AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Garland, Merrick B.	US Court of Appeals DC Circuit	05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
US Circuit Judge-Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2013 to 12/31/2013
7. Chambers or Office Address		
U.S. Courthouse 333 Constitution Avenue, N.W. Washington, D.C. 20001		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors	Historical Society of the District of Columbia Circuit
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Garland, Merrick B.	05/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Yale Law School	02/20-21	New Haven, CT	Appellate Clinic	Transportation, meals, room
2.	Harvard Law School	10/22-24	Cambridge, MA	Moot Court	Transportation, meals, room
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Garland, Merrick B.	05/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Garland, Merrick B.	05/13/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Trust Bank Accounts	A	Interest	M	T					
2. Justice Federal Credit Union Accounts	B	Interest	M	T					
3. Citibank Bank Accounts	A	Interest	M	T					
4. Bank of America Bank Accounts	A	Interest	J	T					
5. U.S. Savings Bonds		None	K	T					
6. IRA #1	A	Interest	L	T					
7. -Edward Jones Co. Money Market & cash balance									
8. -Discover Bank CD									
9. -GE Capital Bank CD									
10. Brokerage Account #1 (H)									
11. -General Mills Inc. Common	C	Dividend	M	T					
12. -Pfizer Common	A	Dividend	K	T					
13. -Bristol-Myers Squibb Co. Common	B	Dividend	K	T					
14. -General Electric Co. Common	B	Dividend	L	T					
15. -Procter & Gamble Co. Common	D	Dividend	M	T					
16. -J.M. Smucker Co. Common	A	Dividend	J	T					
17. -Citigroup Inc. Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1.000 or less	B =$1.001 - $2.500	C =$2.501 - $5.000	D =$5.001 - $15.000	E =$15.001 - $50.000
	F =$50.001 - $100.000	G =$100.001 - $1.000.000	H1 =$1.000.001 - $5.000.000	H2 =More than $5.000.000	
2. Value Codes (See Columns C1 and D3)	J =$15.000 or less	K =$15.001 - $50.000	L =$50.001 - $100.000	M =$100.001 - $250.000	
	N =$250.001 - $500.000	O =$500.001 - $1.000.000	P1 =$1.000.001 - $5.000.000	P2 =$5.000.001 - $25.000.000	
	P3 =$25.000.001 - $50.000.000		P4 =More than $50.000.000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Garland, Merrick B.	05/13/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Aberdeen U.S. Equity Fund	B	Dividend	M	T					
19. -Nuveen Maryland Prem. Inc. Municipal Fund	D	Dividend	M	T					
20. -Vanguard S&P 500 ETF Fund	C	Dividend	N	T	Buy (add'l)	02/15/13	L		
21. -Md. State Health & Higher Ed. Rev. Bond	D	Interest	M	T					
22. -Md.State Cmnty Dev. Admin.Rev.Bonds (combined listing)	B	Interest	L	T					
23. -Md Local Gov't Infrastructure Bond	B	Interest	M	T					
24. -U.S. Treasury Notes/Bills (combined listing)	E	Interest	P1	T	Redeemed (part)	02/05/13	L	A	
25. -JP Morgan Chase Bank Deposit Account	A	Interest	N	T					
26. Brokerage Account #2 (H)									
27. -Fidelity Municipal Money Market	A	Dividend	J	T					
28. -Fidelity Equity Dividend Income Fund	D	Dividend	N	T					
29. -Fidelity Spartan 500 Index Fund	E	Dividend	P1	T	Buy (add'l)	06/21/13	K		
30. Fidelity Contrafund	F	Dividend	P1	T					
31. IRA #2	A	Dividend	J	T					
32. -Amer. Funds Money Mkt. Fund									
33. Retirement Account IRA	E	Dividend	N	T					
34. -Fidelity Magellan Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Trust #1 (Y)	E	Dividend	O	T					
36. -U.S. Treasury Notes (combined listing) (Y)									
37. -JP Morgan Chase Bank Deposit Acct (Y)									
38. -iShares Tr. MSCI EAFE Index Fund (Y)					Buy (add'l)	07/26/13	K		
39. -Vanguard Total Stock Mkt ETF (Y)									
40. -Vanguard High Dividend Yield ETF (Y)									
41. Trust #2 (to be renumbered next year as Trust #1)	E	Dividend	O	T					
42. -U.S. Treasury Notes (combined listing)									
43. -JP Morgan Chase Bank Deposit Account									
44. -iShares Tr. MSCI EAFE Index Fund					Buy (add'l)	07/26/13	K		
45. -Vanguard Total Stock Mkt ETF									
46. -Vanguard High Dividend Yield ETF									
47. Rollover IRA	D	Dividend	O	T					
48. -Fidelity Spartan 500 Index Fund									
49. Brokerage Account #3 (H)									
50. -Fidelity Contrafund	C	Dividend	L	T					
51. -Fidelity Mun. Money Mkt	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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52. -Fidelity Select Money Mkt	A	Dividend	L	T					
53. Trust #3 (to be renumbered next year as Trust #2)	G	Rent	P1	W					
54. -Property, NY, NY									
55. -JP Morgan Chase Bank Account									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Garland, Merrick B.	05/13/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Merrick B. Garland

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544