

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Silvers, Robert

Under Secretary for Strategy, Policy, and Plans, Department of Homeland Security

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Silvers, Robert [electronically signed on 01/24/2021 by Silvers, Robert in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Maher, Joseph, Certifying Official [electronically signed on 05/03/2021 by Maher, Joseph in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 05/03/2021 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/07/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Paul Hastings LLP	Los Angeles, California	Law Firm	Partner	4/2017	Present
2	CLEAR (biometric identification security)	New York, New York	Corporation	Advisory Board Member	4/2019	Present
3	Secure Community Network	Chicago, Illinois	Non-Profit	Member, Board of Directors	1/2020	Present
4	New York University	New York, New York	University/College	Adjunct Professor	3/2017	Present
5	DS 2019 Trust	Newark, Delaware	Trust	Investment/Distribution Manager and Trustee	1/2020	Present
6	S Family 2020 Insurance Trust	New York, New York	Trust	Trustee	7/2020	Present
7	Biden-Harris Transition Team (PT Fund, Inc.)	Washington, District of Columbia	Transition Team	Volunteer	10/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA 1 - Traditional	No			
1.1	iShares MSCI Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Vanguard Cash Reserves Federal Money Market Fund (VMMXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	IRA 2 - Roth	No			
2.1	Vanguard Total Stock Market ETF (VTI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Paul Hastings LLP (law firm)	N/A		Partnership Share	\$2,220,742
4	Paul Hastings LLP capital account	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	CLEAR	N/A		Advisory Board Fees	\$25,000
6	New York University	N/A		Salary	\$10,000
7	O'Melveny & Myers (defined contribution plan)	No			
7.1	Fidelity Diversified International Fund Class K Shares (FDIKX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.2	Fidelity Extended Market Index Fund (FSMAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Paul Hastings LLP Defined Contribution Retirement Plan	No			
8.1	Vanguard Institutional Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.2	American EuroPacific Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Paul Hastings LLP Cash Balance Plan	N/A	\$100,001 - \$250,000		None (or less than \$201)
10	New York University (anticipated salary)	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Paul Hastings LLP (anticipated bonus)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
12	Paul Hastings LLP (anticipated partnership share)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	O'Melveny & Myers LLP		Los Angeles, California	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	11/2007
2	Paul Hastings LLP		Los Angeles, California	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	4/2017
3	New York University		New York, New York	Adjunct faculty member compensated at \$10,000 per year. Payment for 2020 services expected in February 2021.	3/2017
4	Paul Hastings LLP	See Endnote	Los Angeles, California	I will receive a partnership share distribution for fiscal year 2021, which ended January 31, 2021. This payment will be received prior to assuming the duties of the position of Under Secretary. Following my conversion to non-equity partner status beginning fiscal year 2022, firm policy requires me to hold fractional "shadow" partnership shares. I will be eligible for partnership distributions based on this fractional share, set by objective formula, and paid to me before I assume the duties of Under Secretary. Any "shadow shares" I receive as a result of my conversion to non-equity partner status will then be forfeited upon my separation from the firm.	1/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Paul Hastings LLP	Los Angeles, California	I will receive a lump sum payment of my cash balance pension plan. The plan sponsor will not make further contributions after my separation. The distribution will be paid pursuant to firm policy in May of the year following my separation.	1/2021
6	Paul Hastings LLP	Los Angeles, California	I will receive a lump sum payment of my capital account at the time of separation and prior to assuming the duties of the position, less the estimate for any tax payments/tax reconciliation that may be owed.	1/2021
7	Paul Hastings LLP	Los Angeles, California	I will receive a fixed rate of compensation based on services I render for the firm's fiscal year 2022. Amounts owed to me for my fiscal year 2022 services will be calculated at the time of my separation and paid prior to assuming the duties of the position.	1/2021
8	Paul Hastings LLP	Los Angeles, California	Pursuant to my employment agreement, I will receive a performance-based bonus for services rendered in the fiscal year ending January 31, 2021. This bonus will be paid prior to my assuming the duties of the position of Under Secretary.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	CLEAR	New York, New York	Advisory Board Member
2	New York University	New York, New York	Adjunct Professor
3	Paul Hastings LLP	Washington, District of Columbia	Law firm partner
4	TCW Group	Los Angeles, California	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	Toyota Motor North America	El Segundo, California	Legal services
6	Ping An	China/Hong Kong, Outside U.S.	Legal services
7	ApiJect	Stamford, Connecticut	Legal services
8	Enthusiast Auto Holdings	Foster City, California	Legal services
9	Oaktree Capital	Los Angeles, California	Legal services
10	SK Holdings	Seoul, Outside U.S.	Legal services
11	SecurityScorecard	New York, New York	Legal services
12	Salesforce.com	San Francisco, California	Legal services
13	First Solar	Tempe, Arizona	Legal services
14	TierPoint	St. Louis, Missouri	Legal services
15	HIG Capital Management	Miami, Florida	Legal services
16	DW Healthcare Partners	Park City, Utah	Legal services
17	CDH Investments	Singapore, Outside U.S.	Legal services
18	Egyptian-American Enterprise Fund	New York, New York	Legal services
19	Chime Financial	San Francisco, California	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
20	Earnin	Palo Alto, California	Legal services
21	Facebook	Menlo Park, California	Legal services
22	Sorrento Therapeutics	San Diego, California	Legal services
23	VirnetX	Zephyr Cove, Nevada	Legal services
24	Plastiq	San Francisco, California	Legal services
25	Hotel Shilla	Seoul, South Korea, Outside U.S.	Legal services
26	Carter's	Atlanta, Georgia	Legal services
27	Trend Micro	Tokyo, Outside U.S.	Legal services
28	Apollo Investment Corp.	New York, New York	Legal services
29	Fora Space Limited	London, Outside U.S.	Legal services
30	Francisco Partners	San Francisco, California	Legal services
31	Vector Capital Management	San Francisco, California	Legal services
32	First Advantage	Atlanta, Georgia	Legal services
33	Terumo Americas	Somerset, New Jersey	Legal services
34	Group42	Abu Dhabi, Outside U.S.	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
35	Willis Towers Watson	London, UK, Outside U.S.	Legal services
36	Dave, Inc.	Los Angeles, California	Legal services
37	WeWork	New York, New York	Legal services
38	Aspiration Partners	Marina del Rey, California	Legal services
39	Alexion Pharmaceuticals	Boston, Massachusetts	Legal services
40	ONE Aviation	Albuquerque, New Mexico	Legal services
41	SAP	Walldorf, Germany, Outside U.S.	Legal services
42	GlaxoSmithKline	Brentford, UK, Outside U.S.	Legal services
43	Sanne Group	New York, New York	Legal services
44	Vision Media Management & Fulfillment	Secaucus, New Jersey	Legal services
45	GCX Limited	Mumbai, Outside U.S.	Legal services
46	Link Mobility Group AS	Oslo, Norway, Outside U.S.	Legal services
47	Eisai Co.	Tokyo, Outside U.S.	Legal services
48	GoodRx	Los Angeles, California	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
49	FreedomCare	New Hyde Park, New York	Legal services
50	Global Mutual	London, Outside U.S.	Legal services
51	Illumina	San Diego, California	Legal services
52	Medusind	New York, New York	Legal services
53	TuSimple	San Diego, California	Legal services
54	Romeo Power	Vernon, California	Legal services
55	Rotating Machinery Services	Bethlehem, Pennsylvania	Legal services
56	CrowdStrike	Sunnyvale, California	Legal services
57	iStar	New York, New York	Legal services
58	MyLife	Los Angeles, California	Legal services
59	GCX Limited Debtor-in-Possession	Mumbai, Outside U.S.	Legal services
60	CitizenM Hotels	Amsterdam, Netherlands, Outside U.S.	Legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA 3 - Roth	No			
1.1	Fidelity Freedom 2030 Fund (FFFEX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Fidelity Freedom 2040 Fund (FFFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Columbia Acorn International Class A Shares (LAIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	O'Melveny & Myers (defined contribution plan)	No			
2.1	Fidelity Managed Income Portfolio II Class 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Dodge & Cox Stock Fund (DODGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Fidelity Diversified International Fund Class K Shares (FDIKX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	Fidelity Low-Priced Stock Fund Class K Shares (FLPKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	T Rowe Price Large-Cap Growth Fund (TRLGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	University of California Defined Contribution Plan Retirement Account	No			
3.1	UC Savings Fund	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank 1 - Joint Checking account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	US bank 1 - Checking account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	US bank 1 - Checking account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	US bank 2 - Checking account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	US bank 2 - Preferred Money Market Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	US bank 2 - Savings Plus Account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	US bank 3 - Certificate of Deposit Account	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
8	US bank 3 - Savings Account (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
9	GoodDog Stock (pet consultation services)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Pine Island Sponsor LLC	No			
10.1	Pine Island Acquisition Corp. (PIPP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	MLCP GLL Funding LLC	No			
11.1	Leisure Acquisition Corp. (LACQU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Silfamhold LLC	No			
12.1	BlackRock Healthcare Opportunities Fund LP	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2	Lakewood Capital Partners LP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.3	Landmark Equity Partners XV ASP Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12.4	CCMP Capital Investors III Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
12.5	PPV Pegasus Fund	No			
12.5.1	Consumer Sleep Solutions (Sleeping disorder products)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.5.2	Lighting Science (LSCG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.5.3	PanTheryx (nutrition and biotechnology)	N/A	\$250,001 - \$500,000		None (or less than \$201)
12.5.4	Pure Biofuels (Producer and distributor of renewable fuel products)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.5.5	Renaissance Downtowns (Real estate development)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.5.6	Six Senses (Luxury resorts)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.6	Crestview Partners IV Fund	No			
12.6.1	ICM Partners	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
12.6.2	Fidelis Insurance	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
12.6.3	SyBridge Technologies	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
12.6.4	Viad Corp. (VVI)	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.7	WM Capital Partners LLC		No			
12.7.1	WMCP XLV	See Endnote	No			
12.7.1.1	Fyre Lake Ventures LLC	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.7.2	WMCP XLVI	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.3	WMCP LIX	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$1,001 - \$2,500
12.7.4	WMCP 51	See Endnote	No	\$1,001 - \$15,000	Capital Gains Interest	\$1,001 - \$2,500
12.7.5	WMCP 52	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
12.7.6	WMCP 53	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$15,001 - \$50,000
12.7.7	WM Capital 54	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.8	WMCP 56	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.9	WMCP 57	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.10	WMCP 58	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
12.7.11	WMCP 59	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$5,001 - \$15,000
12.7.12	WMCP 63	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.13	WMCP 65	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.7.14	WMCP 67	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.15	WMCP 68	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.16	WMCP 69	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.17	WMCP70	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
12.7.18	WMCP71	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.19	WMCP76	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
12.7.20	WMCP77	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.21	WMCP79	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$15,001 - \$50,000
12.7.22	WMCP81	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.7.23	WMCP 50	See Endnote	No	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
12.8	Tenor International and Commercial Arbitration Fund LP	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)
12.9	Apollo Natural Resources II ASP Fund		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.10	Heroic Ventures (Venture capital fund)	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.11	Tenor International and Commercial Arbitration Fund II LP	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.12	Orbimed Asia Partners III Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
12.13	Carlyle Asia V Private Investors Fund		Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
12.14	JLL Partners Fund VIII Fund		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12.15	Strategic Partners VIII Fund		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.16	BoxGroup More, L.P.	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.17	BoxGroup Four, L.P.	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.18	PaeDae, Inc. (DBA Gimbal)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
12.19	HG Vora Opportunistic Capital Fund	See Endnote	No	\$100,001 - \$250,000	Dividends Interest Capital Gains	\$5,001 - \$15,000
12.20	Energy Technology Savings, Inc.	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
12.21	Oaktree European V Fund		No			
12.21.1	Coffim	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.21.2	Costa	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.21.3	Mail Boxes Etc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.21.4	RAFI	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.21.5	Asacha (Media group)		N/A	\$1,001 - \$15,000		None (or less than \$201)
12.22	iCapital-Strategic Partners Infrastructure Fund III		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.23	BX (Blackstone) Growth I Private Fund		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
12.24	DFJ Growth IV Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
12.25	Highbridge Convertible Dislocation Fund		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12.26	RTW Onshore I Investors Fund		Yes	\$250,001 - \$500,000		None (or less than \$201)
12.27	GreenAcreage Real Estate Corp.	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties Dividends	\$1,001 - \$2,500
12.28	Note Receivable from family member		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
12.29	US bank 4 (cash)		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.30	98 BH Matthews LLC		No			
12.30.1	Undeveloped Land, Suffolk County, New York		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
12.31	USRA Equity I LLC	See Endnote	N/A	\$250,001 - \$500,000	Dividends Rent or Royalties	\$15,001 - \$50,000
12.32	Prospect Pointe Acquisition LLC	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
12.33	Provident Management LLC	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
12.34	Highview Acquisition LLC	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.35	Roark-Carvel Fund	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
12.36	Mitchfield Equity LLC	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
12.37	The Ader-Silvers Families LLC		No			
12.37.1	Mack Real Estate Group	See Endnote	N/A	\$250,001 - \$500,000	Capital Gains Rent or Royalties	\$15,001 - \$50,000
12.38	U.S. bank 2 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
12.39	US bank 5 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
12.40	US brokerage money market account (cash)		N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
13	US bank 3 - Certificates of Deposit		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
14	US brokerage - Insured Deposit Account		N/A	\$50,001 - \$100,000		None (or less than \$201)
15	Tahl-Propp Manhattan LP, New York, NY	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Fednorth Associates LLC	See Endnote	N/A	\$500,001 - \$1,000,000	Capital Gains Rent or Royalties	\$100,001 - \$1,000,000
17	450 Remainder LLC	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
18	99 Equity I LLC	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
19	DS 2019 Trust		No			
19.1	HPM Centerline II LLC		No			
19.1.1	Island C-III Holdings II LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.1.1. 1	C-III Capital Partners LLC	See Endnote	No	\$50,001 - \$100,000	Capital Gains Dividends Interest Rent or Royalties	\$15,001 - \$50,000
19.2	The Ader-Silvers Families LLC		No			
19.2.1	Mack Real Estate Group	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
19.3	Energy Technology Savings, Inc.		N/A	\$100,001 - \$250,000		None (or less than \$201)
19.4	Tenor International and Commercial Arbitration Fund LP	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
19.5	US bank 4 (cash)		N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
20	PBS 2019 Trust		No			
20.1	Cerberus Institutional Partners VI LP		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
20.2	CCMP III Capital Investors Fund		Yes	\$100,001 - \$250,000		\$201 - \$1,000
20.3	Carlyle Asia IV Private Investors Fund		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
20.4	Wexford Credit Opp. Fund		No	\$1,000,001 - \$5,000,000	Dividends Capital Gains Interest Rent or Royalties	\$50,001 - \$100,000
20.4.1	Debello Trading Limited	See Endnote	No			
20.5	Crestview III Private Inv. Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
20.6	DFJ Growth 2013 Private Inv. Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.7	Wexford Catalyst Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
20.8	Wexford Spectrum Fund	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
20.9	US bank 4 (cash)	N/A	\$250,001 - \$500,000		
21	DS 2020 Insurance Trust	No			
21.1	Axa Equitable, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.2	Northwest Mutual Ins., whole life	N/A	\$50,001 - \$100,000		None (or less than \$201)
21.3	New York Life, whole life	N/A	\$50,001 - \$100,000		None (or less than \$201)
21.4	AIG / US Life Insurance Company, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	S Family 2020 Insurance Trust	No			
22.1	Voya Reliastar Insurance, whole life	N/A	\$250,001 - \$500,000		None (or less than \$201)
22.2	John Hancock Ins., whole life	N/A	\$50,001 - \$100,000		None (or less than \$201)
22.3	Guardian Ins., whole life	N/A	\$50,001 - \$100,000		None (or less than \$201)
22.4	New York Life, whole life	N/A	\$100,001 - \$250,000		None (or less than \$201)
22.5	Met Life, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)
23	The Silvers GC Trust	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.1	Mitchfield Equity LLC	See Endnote	No	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
23.2	The Ader-Silvers Families LLC		No			
23.2.1	Mack Real Estate Group, LLC		N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
23.3	Tenor International & Commercial Fund II LLC	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
23.4	WM Capital LLC		No			
23.4.1	WMCP XLVI	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.2	WMCP XLIX	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.3	WMCP 53	See Endnote	No	\$1,001 - \$15,000	Capital Gains Interest	\$1,001 - \$2,500
23.4.4	WMCP 54	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.5	WMCP 56	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.6	WMCP 57	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.7	WMCP 58	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.8	WMCP 59	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
23.4.9	WMCP 63 (REO)	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
23.4.10	WMCP 65	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.4.11	WMCP 67	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
23.4.12	WMCP 68	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
23.4.13	WMCP69	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
23.4.14	WMCP70	See Endnote	No	\$1,001 - \$15,000	Interest Capital Gains \$15,001 - \$50,000
23.4.15	WMCP71	See Endnote	No	\$1,001 - \$15,000	Capital Gains Interest \$5,001 - \$15,000
23.4.16	WMCP76	See Endnote	No	\$1,001 - \$15,000	Capital Gains Interest \$5,001 - \$15,000
23.4.17	WMCP 75 (Loan portfolio)		No	\$1,001 - \$15,000	Capital Gains Interest \$15,001 - \$50,000
23.4.18	WMCP79	See Endnote	No	\$1,001 - \$15,000	Capital Gains \$1,001 - \$2,500
23.4.19	WMCP81	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
23.4.20	WMCP 64 (Loan Portfolio)	See Endnote	No	None (or less than \$1,001)	Capital Gains Interest \$2,501 - \$5,000
23.5	US bank 4 (cash)		N/A	\$500,001 - \$1,000,000	Interest \$201 - \$1,000
23.6	US bank 2 (cash)		N/A	\$1,001 - \$15,000	None (or less than \$201)
23.7	US brokerage money market account (cash)		N/A	\$500,001 - \$1,000,000	\$2,501 - \$5,000
24	Wexford Partners V		Yes	None (or less than \$1,001)	None (or less than \$201)
25	Wexford Partners VI		Yes	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	Wexford Partners VII	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Wexford Partners VIII LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	Wexford Partners IX LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	Wexford Partners X LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Brokerage Account	No			
30.1	Vanguard Target Retirement 2020 VTW NX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
30.2	Fidelity Emerging Asia Fund (FSEAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.3	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.4	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
30.5	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
30.6	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30.7	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
30.8	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
30.9	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.10	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund (GRID)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.11	Invesco DB US Dollar Index Bearish Fund (UDN)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30.12	Invesco Exchange Global Water ETF (PIO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30.13	Invesco India ETF (PIN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.14	Invesco Water Resources ETF (PHO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30.15	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
30.16	VanEck Vectors Africa Index ETF (AFK)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
30.17	PepsiCo, Inc. (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.18	PICO Holdings, Inc. (PICO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.19	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.20	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.21	Stanley Black & Decker, Inc. (SWK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
30.22	Yum! Brands, Inc. (YUM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.23	Yum China Holdings (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.24	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
30.25	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.26	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
30.27	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
30.28	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30.29	Vanguard STAR Fund Investor Shares (VGSTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.30	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.31	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30.32	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
30.33	Vanguard Cash Reserves Federal Money Market Fund (VMMXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	10	Salary Payment has subsequently been received.
2.	11	Payment received subsequent to filing.
2.	12	Payment received subsequent to filing.
3.	4	Fiscal year 2021 partnership share was received February 17, 2021.
6.	12.6.1	Interest in talent and literary agency
6.	12.6.2	Provider of specialty insurance and reinsurance products.
6.	12.6.3	Owns and acquires tooling and mold companies.
6.	12.6.4	Conference, exhibition and corporate events business
6.	12.7.1	Loans for leisure facilities and associated residential units
6.	12.7.1.1	Interests in residential real estate in Sherrard, IL.
6.	12.7.2	Loans on Wal-Mart properties across the country.
6.	12.7.3	Loans receivable from New York Packaging.
6.	12.7.4	Small collateralized loans to small businesses and individuals.
6.	12.7.5	Small real estate or collateralized loans to small businesses and individuals.
6.	12.7.6	Real estate/guaranteed loans to small businesses and individuals.
6.	12.7.7	Real estate loans for properties in Puerto Rico.
6.	12.7.8	Collateralized Real Estate loan to one owner.

PART	#	ENDNOTE
6.	12.7.9	Collateralized real estate loans on Arkansas and Barbados properties.
6.	12.7.10	Loans receivable from Houston Ship Channel.
6.	12.7.11	Collateralized loans on restaurant properties.
6.	12.7.12	Loans to residential developers secured by building lots in Bentonville, AR.
6.	12.7.13	Loans to SolPals LLC.
6.	12.7.14	Foreclosed loan on Country Village Shopping Center.
6.	12.7.15	Real estate loan to B.C. Lee Corp.
6.	12.7.16	Collateralized loan to Derritech.
6.	12.7.17	Real estate loan to Berkshire-Douglas LLC.
6.	12.7.18	Real estate loan to RB-CB LLC.
6.	12.7.19	Multi-family housing loan pools to private owners on properties in Illinois and Puerto Rico.
6.	12.7.20	Real estate loan to Arboretum Crossing LLC.
6.	12.7.21	Real estate loan to individual.
6.	12.7.22	Collateralized loans to Cockrell Hill Hospitality / individual.
6.	12.7.23	Real estate loan to Townview Business Center.
6.	12.8	Underlying assets are not ascertainable.
6.	12.10	Company owns wave-shift technology interests.
6.	12.11	Underlying assets are not ascertainable.
6.	12.16	All assets are below the reporting thresholds.
6.	12.17	All assets are below the reporting thresholds.
6.	12.18	Software products for mobile services.
6.	12.19	Underlying assets are not ascertainable.

PART	#	ENDNOTE
6.	12.20	Company operates energy management systems in multi-tenant real estate properties.
6.	12.21.1	French properties management.
6.	12.21.2	Italian Cruise Line.
6.	12.21.3	Shipping, print and related services holding company.
6.	12.21.4	Technology interface solutions.
6.	12.27	Portfolio of industrial net leased properties.
6.	12.31	Portfolio of interests in commercial net lease real estate assets
6.	12.32	Investment in portfolio of residential apartments, Jackson, NJ.
6.	12.33	Investment in single tenant commercial real estate asset, Providence, RI.
6.	12.34	Investment in residential real estate asset, Jackson, NJ.
6.	12.35	Owns fast food, healthclub/spa, auto repair and similar franchisor entities.
6.	12.36	Portfolio of net ground leases of commercial properties.
6.	12.37.1	Residential real estate portfolio in New York, NY, Redmond, WA, Seattle, WA, Los Angeles, CA and Miami, FL.
6.	15	Interest in a portfolio of NYC residential properties.
6.	16	Interest in commercial net leased property, San Bernadino, CA.
6.	17	Remainder interest in ground lease of commercial real estate property, New York, NY.
6.	18	Interest in net lease of commercial real estate properties consisting of multiple restaurant properties across MA, RI and NH.
6.	19.1.1.1	Blind pool of real estate management and securities.
6.	19.2.1	Residential real estate in New York, NY; Redmond, WA, Seattle, WA, Los Angeles, CA and Miami, FL.
6.	19.4	Underlying assets are not ascertainable.
6.	20.4.1	Underlying assets are not ascertainable.

PART	#	ENDNOTE
6.	23.1	This owns interests in a portfolio of net ground leases of commercial properties
6.	23.3	Underlying assets are not ascertainable.
6.	23.4.1	Loans on Wal-Mart properties.
6.	23.4.2	Loans receivable from New York Packaging.
6.	23.4.3	Real estate/guaranteed loans to small businesses and individuals.
6.	23.4.4	Real estate loans in Puerto Rico.
6.	23.4.5	Collateralized Real Estate loan to one owner.
6.	23.4.6	Collateralized real estate loans on Arkansas and Barbados properties.
6.	23.4.7	Loans receivable from Houston Ship Channel.
6.	23.4.8	Collateralized loans on restaurant properties.
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6.	23.4.13	Collateralized loan to Derritech.
6.	23.4.14	Real estate loan to Berkshire-Douglas LLC.
6.	23.4.15	Real estate loan to RB-CB LLC.
6.	23.4.16	Real estate loans in IL and Puerto Rico.
6.	23.4.18	Real estate loan to individual.
6.	23.4.19	Collateralized loans to Cockrell Hill Hospitality / individual.
6.	23.4.20	No longer active.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
