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13 UNITED STATES DISTRICT COURT  
14 CENTRAL DISTRICT OF CALIFORNIA  
15

16 PARAMOUNT PICTURES  
CORPORATION, a Delaware  
17 corporation; and CBS STUDIOS INC.,  
a Delaware corporation,  
18

19 Plaintiffs,

20 v.

21 AXANAR PRODUCTIONS, INC., a  
California corporation; ALEC PETERS,  
an individual, and DOES 1-20,  
22

23 Defendants.  
24  
25  
26  
27  
28

Case No.: 2:15-cv-09938-RGK-E

**PLAINTIFFS' OPPOSITION TO  
DEFENDANTS' MOTION TO  
DISMISS OR STRIKE IN PART  
PLAINTIFFS' FIRST AMENDED  
COMPLAINT**

Complaint Filed: 12/29/2015

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## I. INTRODUCTION

CBS and Paramount are the copyright owners of the Star Trek Copyrighted Works, which consist of six Star Trek television series, with more than 700 different episodes, 12 motion pictures, various books, and other related products. Star Trek is one of the most widely known science fiction entertainment franchises in the world. The first television series was created and first broadcast in 1966, another Star Trek motion picture will premiere this year, and a new Star Trek television series will debut early next year. Star Trek consists of many interlocking fictional characters, stories and worlds. Defendants, an individual and a production company, who own absolutely no rights in Star Trek, created a twenty-minute Star Trek film and are in the process of producing a full-length Star Trek motion picture, for which they raised over \$1 million. Defendants have attempted to recreate the entire look and feel of Plaintiffs' works and have stated that they are producing an authentic "Star Trek film." In order to create these infringing derivative works, Defendants have used numerous Star Trek elements, including copyrighted characters, stories, sets, costumes, etc., all without Plaintiffs' consent.

Defendants' primary attack on Plaintiffs' Amended Complaint (the "Complaint") is that it does not "plausibly" state a claim for copyright infringement. However, the Complaint is more than sufficient to survive a motion to dismiss under the plausibility standard. It extensively details the ways in which Defendants' works, *Prelude to Axanar* and the *Axanar* Motion Picture, infringe the Star Trek Copyrighted Works, including by copying the characters, races and species, costumes, dialogue, settings, and themes that are part of those works. Defendants also argue that there are individual elements of Plaintiffs' copyrighted works that should not be deemed to be independently copyrightable. These arguments are not appropriate at the motion to dismiss stage, nor are they applicable to this lawsuit. For example, Defendants argue, incorrectly, that certain costumes copied in their works are "useful articles," but that doctrine has no application here, as Defendants

1 are not selling clothing, but rather, are creating audio-visual works that  
2 misappropriate Plaintiffs' copyrighted characters, settings and plots. The Court will  
3 later have an opportunity in this matter to address the similarities between the works  
4 at issue and to determine whether Defendants have infringed Plaintiffs' copyrights,  
5 but Defendants' motion to dismiss does not seek a ruling on the issue of substantial  
6 similarity, and Defendants' arguments regarding whether the Court should  
7 eventually take specific elements alleged to have been infringed into account when  
8 it conducts a substantial similarity analysis are, therefore, premature.

9 Defendants also argue that the allegations of the Complaint are not  
10 sufficiently "definite." It is unclear what Defendants are referring to as the  
11 Complaint contains specific detail regarding which works have been infringed, and  
12 which characters, settings, and other elements from Plaintiffs' works have been  
13 copied by Defendants. Further, Defendants' argument in this regard is disingenuous  
14 as they are admittedly and intentionally copying Plaintiffs' films and television  
15 shows and, prior to the filing of this lawsuit, Defendants promoted their works as  
16 "Star Trek" films, and even took pride in the level of copying that they engaged in –  
17 down to exact costumes taken from Plaintiffs' television shows. Defendants'  
18 conduct constitutes an unequivocal and wholesale misappropriation of Plaintiffs'  
19 copyrights and the Complaint sufficiently specifies the infringing acts.

20 Finally, Defendants argue that the Complaint should be dismissed as  
21 "premature," but Defendants cannot deny that they have already created and  
22 distributed numerous "Star Trek" works without Plaintiffs' authorization. While  
23 Defendants may intend to create and distribute additional infringing scenes or  
24 works, that fact does not render the Complaint premature, nor a prior restraint, and  
25 Plaintiffs respectfully request that the Court deny Defendants' motion.

## 26 **II. THE FIRST AMENDED COMPLAINT**

27 The original Star Trek television series ("The Original Series") debuted in  
28 1966 and ran for three seasons, until 1969. FAC ¶13. The Original Series



1 chronicled the adventures of the U.S.S. Enterprise and its crew as they traveled  
2 through space, and featured numerous original elements including the characters,  
3 settings, planets, races and spacecraft that create the fabric of the Star Trek universe.  
4 *Id.* In one of the episodes of The Original Series, James T. Kirk, the captain of the  
5 U.S.S. Enterprise, meets his hero, Garth of Izar, a former Starship captain. FAC  
6 ¶14. In that episode, Kirk and Garth discuss Garth’s victory in the Battle of Axanar.  
7 FAC ¶14.

8 Plaintiffs have registered copyrights in The Original Series, as well as five  
9 further Star Trek television series, totaling more than 700 episodes. FAC ¶ 15.  
10 Plaintiffs also have copyrights in twelve Star Trek motion pictures (FAC ¶ 16), as  
11 well as various books (FAC ¶ 19)(collectively the “Star Trek Copyrighted Works”).  
12 Plaintiffs own the exclusive right to develop, create, and/or produce motion pictures,  
13 televisions shows, and books based on the Star Trek Copyrighted Works. FAC ¶21.

14 Defendants have created works that are intended to be a “prequel” to The  
15 Original Series, chronicling the Battle of Axanar and the conflicts between Klingons  
16 and the Federation leading up to that point. The Complaint sufficiently alleges that  
17 Defendants have created a work that infringes on the Star Trek Copyrighted Works  
18 called *Prelude to Axanar*. FAC ¶22. According to Defendants’ Kickstarter  
19 campaign, “Prelude to Axanar **will be Star Trek** like you have never seen it before,  
20 showing the central characters of Axanar giving both a historical and personal  
21 account of the war.” FAC ¶29 (emphasis added).

22 Plaintiffs also allege that Defendants are responsible for other works that  
23 infringe the Star Trek Copyrighted Works, specifically, the *Axanar* Motion Picture  
24 (collectively with *Prelude to Axanar*, the “*Axanar* Works”), and the underlying  
25 script(s) for that Motion Picture. FAC ¶31. Defendants have already released a  
26 scene from the *Axanar* Motion Picture that they call “the Vulcan Scene,” and  
27 Plaintiffs have alleged on information and belief that Defendants have filmed at  
28 least one other scene from the *Axanar* Motion Picture. FAC ¶32. According to

1 Axanar Productions’ Facebook page, as of August 15, 2015, there was a “fully  
2 revised and locked script” referred to as “the best Star Trek movie script ever!”  
3 FAC ¶36. The Complaint asserts claims for copyright infringement, contributory  
4 copyright infringement, vicarious copyright infringement, and declaratory judgment.

5 **III. ARGUMENT**

6 **A. The Complaint Plausibly Alleges Copyright Infringement.**

7 Defendants argue that the Court should dismiss the Complaint on the ground  
8 that it does not “plausibly” allege infringement of Plaintiffs’ works. The Complaint,  
9 however, states a clear and detailed claim for infringement of Plaintiffs’ copyrights,  
10 identifying the numerous ways in which Defendants’ works have misappropriated  
11 Plaintiffs’ copyrighted works.

12 A plaintiff bringing a claim for copyright infringement must show “(1)  
13 ownership of a valid copyright, and (2) copying of constituent elements of the work  
14 that are original.” *Feist Pubs., Inc. v. Rural Tel. Serv. Co.*, 499 U.S. 340, 361  
15 (1991). Typically, courts conduct a substantial-similarity test to determine whether  
16 works are substantially similar, which “focuses on articulable similarities between  
17 the plot, themes, dialogue, mood, setting, pace, characters, and sequence of events in  
18 the two works.” *Funky Films, Inc. v. Time Warner Entm’t Co., L.P.*, 462 F.3d 1072,  
19 1077 (9th Cir. 2006) (internal citations and quotations omitted). While Defendants  
20 have argued that certain elements of Plaintiffs’ works are not subject to copyright,  
21 they have not asked the Court to conduct a “substantial similarity” analysis between  
22 Plaintiffs’ and Defendants’ works, or to dismiss Plaintiffs’ claims for lack of  
23 substantial similarity. Rather, Defendants are asking the Court to “filter out” certain  
24 elements from the substantial similarity analysis, an analysis that the Defendants  
25 have not even requested. Further, whether an element is copyrightable depends on  
26 the actual element as used in the copyrighted work, not on an abstract description of  
27  
28

1 an element, and can only be done in an analysis of the work as a whole.<sup>1</sup>

2 Defendants' entire argument regarding the various elements they do not believe  
3 should be considered by the Court in later analyzing similarity is irrelevant and  
4 premature.

5 Moreover, even if substantial similarity were at issue, Defendants have  
6 deliberately recreated the Star Trek universe in order to create a derivative work (a  
7 "prequel"), which they claim is a "Star Trek" film. In a situation like this, when  
8 there is literal copying pervading a work, courts have found it to be unnecessary to  
9 conduct a substantial similarity analysis. As explained by the Central District:

10 Usually a court would be required to undertake the extensive  
11 comparisons under the Kroff substantial similarity test to determine  
12 whether Anderson's work is a derivative work. *See* I M. Nimmer, §  
13 3.01 at 3-3; pgs. 25-28 *supra*. However, in this case, Anderson has  
14 bodily appropriated the Rocky characters in his treatment. This Court  
15 need not determine whether the characters in Anderson's treatment are  
16 substantially similar to Stallone's characters, as it is uncontroverted that  
17 the characters were lifted lock, stock, and barrel from the prior Rocky  
18 movies. Anderson retained the names, relationships and built on the  
19 experiences of these characters from the three prior Rocky movies. 1  
20 M. Nimmer, § 2.12 at 2-177 (copying names of characters is highly  
21 probative evidence of infringement). His characters are not merely  
22 substantially similar to Stallone's, they are Stallone's characters. As  
23 Professor Nimmer stated, "Where there is literal similarity . . . [i]t is  
24 not necessary to determine the level of abstraction at which similarity  
25 ceases to consist of an 'expression of ideas' since literal similarity by  
26 definition is always a similarity as to the expression of ideas." 3 M.  
27 Nimmer, § 13.03[3], pg. 13-35. Anderson's bodily appropriation of  
28 these characters infringes upon the protected expression in the Rocky  
characters and renders his work an unauthorized derivative work. 1  
Mimmer, § 2.12 at 2-171. By bodily appropriating the significant  
elements of protected expression in the Rocky characters, Anderson has  
copied protected expression and his treatment infringes on Stallone's  
copyrighted work.

23 *Anderson v. Stallone*, 1989 U.S. Dist. LEXIS 11109, Copy. L. Rep. (CCH) P22665  
24 (C.D. Cal. Apr. 25, 1989); *see also Engineering Dynamics, Inc. v. Structural*

---

27 <sup>1</sup> So, for example, the question is not whether "pointy ears" are copyrightable  
28 in the abstract, but whether the specific pointy ears that are part of the fictitious and  
original Vulcan race and characters are a protected element as part of the larger  
copyrightable elements in Star Trek.

1 *Software, Inc.*, 46 F.3d 408, 410 (5th Cir. 1995)(“[T]he more exact a duplication of  
2 constituent pieces of a work the less overall similarity that may be required.”).

3 Here, as alleged in the Complaint, Defendants have lifted numerous specific  
4 characters (such as Garth of Izar and Soval), settings (including several fictional  
5 planets), races (such as Klingons and Vulcans), copyrighted vessels (such as the  
6 U.S.S. Enterprise and other Federation and Klingon spaceships), dialogue and much  
7 more. FAC ¶¶ 46-47. Defendants have bodily appropriated the Star Trek universe –  
8 admittedly so in order to create an authentic prequel to The Original Series. The  
9 Complaint adequately and “plausibly” alleges copyright infringement and  
10 Defendants’ motion should be denied.

11 **B. The Star Trek Works are Copyrightable.**

12 A work must be original to be protected by copyright, which means that it  
13 “need not be new, but only original.” *N. Coast Indus. v. Jason Maxwell, Inc.*, 972  
14 F.2d 1031, 1033 (9th Cir. 1992). Courts view the work as a whole and do not  
15 dissect copyrighted designs into separate components, because to do so would be  
16 “akin to accepting the position that every song is merely a collection of basic notes,  
17 every painting a derivative work of color and stroke, and every novel merely an  
18 unprotected jumble of words.” *Yurman Design, Inc. v. PAJ, Inc.*, 93 F. Supp. 2d  
19 449, 457 (S.D.N.Y. 2000) (“[a]s the district court noted, if we took this argument  
20 [that court was required to dissect copyrighted designs into separate components] to  
21 its logical conclusion, we might have to decide that there can be no originality in a  
22 painting because all colors or paint have been used somewhere in the past.”).

23 As the Tenth Circuit explained in the context of an analysis of a diagram,

24 Predictably, Warrick says the elements of Lippitt’s diagram—short  
25 labels, shapes, symbols, and selection of typeface—are not eligible for  
copyright protection....

26 Warrick’s view misses the forest for the trees. Any copyrightable work  
27 can be sliced into elements unworthy of copyright protection. *See*  
28 *CMM Cable Rep*, 97 F.3d at 1514. Books could be reduced to a  
collection of non-copyrightable words. Music could be distilled into a  
series of non-copyrightable rhythmic tones. A painting could be

1 viewed as a composition of unprotectable colors. Warrick’s impulse to  
 2 unpack Lippitt’s diagram into ever-smaller and less-protectable  
 elements is understandable, as copyright jurisprudence tends toward  
 dissection.

3 Nevertheless, a limiting principle constrains this reductionism. We  
 4 must focus on whether Lippitt has “selected, coordinated, and  
 arranged” the elements of her diagram in an original way. *Feist*  
 5 *Publ’ns*, 499 U.S. at 358; *Knitwaves, Inc. v. Lollytogs Ltd.*, 71 F.3d  
 996, 1004 (2d Cir. 1995); *see also Feist Publ’ns*, 499 U.S. at 349 (“[I]f  
 6 the selection and arrangement are original, these elements of the work  
 are eligible for copyright protection.”).

7 *Enter. Mgmt. Ltd. v. Warrick*, 717 F.3d 1112, 1118-19 (10th Cir. 2013).

8 Here, Defendants attempt to dissect eight individual elements of the Star Trek  
 9 Copyrighted Works – costumes, shapes, dialogue, elements from public domain,  
 10 language, mood, scènes à faire, and characters—and Defendants argue that these  
 11 elements are not copyrightable and, therefore, they ask the Court to strike them, or  
 12 to dismiss Plaintiffs’ claims entirely. This is precisely the tactic that courts have  
 13 admonished, because it “misses the forest for the trees.” *Enter. Mgmt. Ltd.*, 717  
 14 F.3d at 1119. Moreover, as explained below, Defendants’ arguments are misleading  
 15 and disingenuous, as the doctrines they cite have no application to the infringement  
 16 at issue in this case, and the individual elements are, in fact, copyrightable.

### 17 1. Costumes.

18 Costumes are considered by the court in their analysis of a character’s  
 19 appearance. *See, e.g., Metro-Goldwyn-Mayer, Inc. v. American Honda Motor Co.*,  
 20 900 F. Supp. 1287, 1298 (C.D. Cal. 1995)(“tuxedo-clad British-looking men”); *Lone*  
 21 *Wolf McQuade Assocs. v. CBS*, 961 F. Supp. 587, 593 (S.D.N.Y. 1997)(“jeans and  
 22 casual shirt, with or without a vest, with an attached shield”). Plaintiffs have  
 23 properly alleged that Defendants have infringed various costumes of Plaintiffs,  
 24 which are part of the analysis of whether Defendants have infringed Plaintiffs’  
 25 characters. For example, the character Soval wears a gold robe with green drape  
 26 containing stylized ancient script in both the Star Trek Copyrighted Works and in  
 27 the *Axanar* Works. FAC ¶¶ 46-47.  
 28

1 Defendants argue that claims for infringement of costumes fail because  
2 clothing cannot be copyrighted under the useful articles doctrine. Motion at 6.  
3 However, the costumes at issue are not “useful articles” – they are not being sold as  
4 clothing. The costumes, hair and makeup that Defendants have depicted in order to  
5 recreate the Star Trek Copyrighted Works are all part of the look and feel of the  
6 characters that Defendants have misappropriated. The visual representations of  
7 these fictional characters are by no means “useful articles” and Defendants’  
8 specious argument should be rejected.

9 Defendants have also argued that the medals and designs they have replicated  
10 on the costumes of Star Trek characters are merely “shapes,” but this case is not  
11 about infringing “shapes;” it is about Defendants’ copying of Plaintiffs’ works and  
12 characters, down to intricate details, such as the original designs of Starfleet medals.  
13 *See* FAC ¶46. Further, shapes on articles of clothing *are* copyrightable. *See Star*  
14 *Fabrics, Inc. v. DKJY, Inc.*, 2014 U.S. Dist. LEXIS 2775 (C.D. Cal. Jan. 9, 2014).  
15 Thus, even under Defendants’ misguided analysis, Plaintiffs’ allegations as to  
16 medals and insignia on costumes are proper.

## 17 **2. Dialogue.**

18 Key lines of dialogue, even short phrases, are copyrightable. *Universal City*  
19 *Studios, Inc. v. Kamar Industries, Inc.*, 1982 U.S. Dist. LEXIS 15942, 217 U.S.P.Q.  
20 (BNA) 1162, 1982 WL 1278 (S.D. Tex. 1982) (“I love you, E.T.” and “E.T. phone  
21 home!”). Courts consider dialogue as part of the substantial similarity analysis.  
22 *Funky Films, Inc.*, 462 F.3d at 1077. Defendants’ motion argues that words and  
23 short phrases are not protected. Motion at 7. However, Defendants are parsing the  
24 issue too thinly – the issue is not whether various individual words are  
25 copyrightable. Rather, Plaintiffs’ allegations show that: (1) Defendants have copied  
26 and adopted dialogue from Plaintiffs’ Star Trek Copyrighted Works (such as  
27 “beaming up”) and; (2) these words relate to and in some cases represent various  
28 elements (such as characters). For example, Plaintiffs are not claiming that, by

1 itself, the *name* “Garth of Izar” is copyrightable. They instead have alleged that this  
2 character is a copyrighted element in the Star Trek universe. *See* Motion at 7.  
3 Likewise, Vulcans are copyrighted characters, and Plaintiffs have unabashedly  
4 copied those characters, down to the dialogue that relates to them (such as  
5 discussions regarding “logic” and references to Vulcan lore and philosophy –  
6 including the “Teachings of Surak”). *See* FAC ¶47.

### 7                   3.       Elements from the Public Domain and Nature.

8           Defendants assert that parties cannot claim copyright protection for elements  
9 that are not original in and of themselves, such as works from a prior author or  
10 works that are derived from nature. Motion at 7. This is a misrepresentation of the  
11 law. In determining whether a work is eligible for copyright protection, courts focus  
12 on whether the plaintiff has “selected, coordinated, and arranged” elements, which  
13 are sometimes not in and of themselves copyrightable, in an original way. *Enter.*  
14 *Mgmt. Ltd.*, 717 F.3d at 1119 (*citing Feist Publ’ns*, 499 U.S. at 358). When a court  
15 considers whether a party’s work is original and therefore copyrightable, it considers  
16 the work in its entirety. *Id.*

17           Defendants argue that the word “Vulcan” can be traced to Roman mythology,  
18 that the word “Federation” refers to a country “formed by separate states,” and that  
19 the concept of a “phaser” is similar to weaponry mentioned in H.G. Wells’ *War of*  
20 *the Worlds*. Motion at 7. Defendants’ arguments are frivolous. Plaintiffs do not  
21 claim to own the copyright in these individual words. Moreover, this case does not,  
22 and will not, involve issues relating to the “public domain.” Defendants have  
23 infringed the Vulcan characters that were developed, and that are owned, by  
24 Plaintiffs – not the Roman god of metalworking. Plaintiffs have also infringed the  
25 specific expression of Plaintiffs’ United Federation of Planets (including the races in  
26 that alliance) as well as Plaintiffs’ copyrighted ships and weaponry – such as  
27 phasers, warp drives and dilithium crystals. Defendants, by their own admissions,  
28 are seeking to replicate the entire fictional Star Trek universe, and they are

1 incorporating Star Trek planets, characters, ships and settings in order to produce a  
2 “prequel” to The Original Series. Defendants have intentionally copied Plaintiffs’  
3 fictional characters, settings and plots, as well as the dynamics and conflicts  
4 between various Star Trek races and characters. None of these elements are in the  
5 “public domain,” and no discussion of public domain elements is necessary to  
6 address whether Plaintiffs have sufficiently alleged copyright infringement.

#### 7 **4. Language.**

8 Language is part of dialogue, which represents one aspect of the Star Trek  
9 Copyrighted Works and may be considered (at a later point) in a substantial  
10 similarity analysis. *Funky Films, Inc.*, 462 F.3d at 1077. Defendants argue that the  
11 Klingon language is not copyrightable because it is a useful system. Motion at 9.  
12 Again, this issue is not yet before the Court – and certainly is not an issue to be  
13 addressed on a motion to dismiss.

14 Moreover, this argument is absurd, since a language is only useful if it can be  
15 used to communicate with people, and there are no Klingons with whom to  
16 communicate. The Klingon language is wholly fictitious, original, and  
17 copyrightable, and Defendants’ incorporation of that language in their works will be  
18 part of the Court’s eventual substantial similarity analysis. Defendants’ use of the  
19 Klingon language in their works is simply further evidence of their infringement of  
20 Plaintiffs’ characters, since speaking this fictitious language is an aspect of their  
21 characters.

#### 22 **5. Mood and Theme.**

23 In considering whether works are substantially similar, courts compare the  
24 mood and theme of the two works. *Funky Films, Inc.*, 462 F.3d at 1077. Plaintiffs  
25 allege that their works and Defendants’ works are “science fiction action  
26 adventures.” FAC ¶¶ 46-47. Defendants’ Motion argues that, by alleging this mood  
27 and theme, Plaintiffs are seeking copyright protection of unprotectable ideas. *See*  
28 Motion at 9-10.

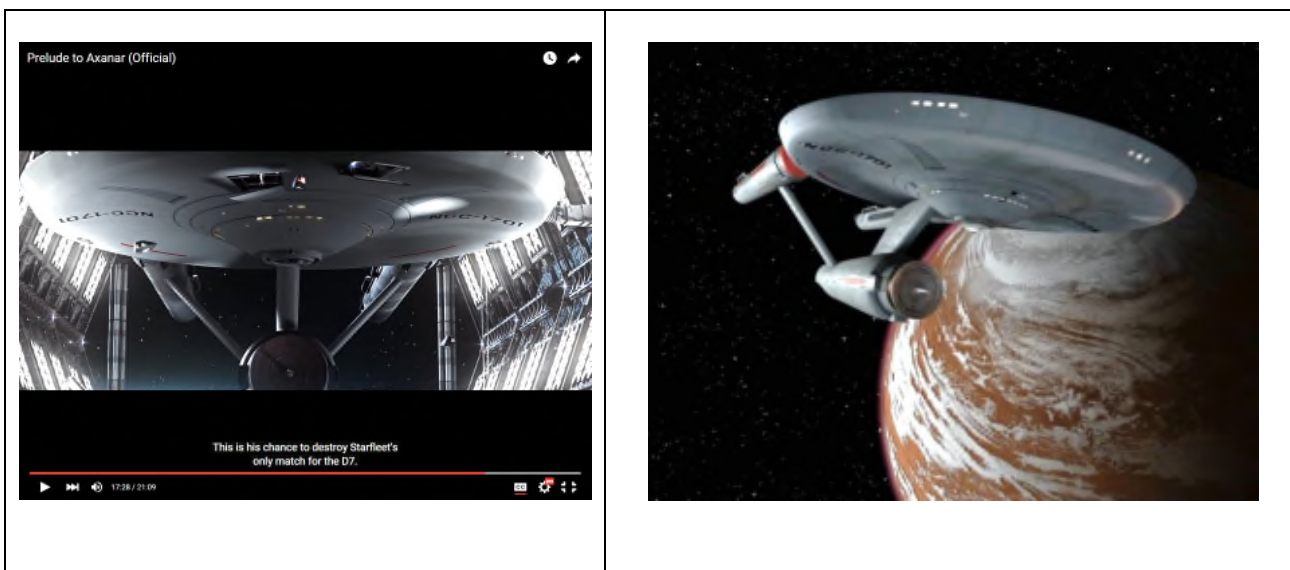


1 First, this argument is irrelevant at this stage as Defendants have not asked the  
2 Court to conduct a similarity analysis. Second, the cases on which Defendants rely  
3 are clearly distinguishable. In *FASA Corp. v. Playmates Toys, Inc.*, 869 F. Supp.  
4 1334, 1351 (N.D. Ill. 1994), the court noted the issue with the plaintiff’s “inability  
5 or unwillingness to identify concrete details pertaining to the BATTLETECH  
6 universe,” whereas here, the Complaint contains extensive detail as to the Star Trek  
7 Copyrighted Works, identifying specific characters, settings, dialogue, and themes.  
8 Likewise, in *Ideal Toy Corp. v. Kenner Products Div. of General Mills Fun Group,*  
9 *Inc.*, 443 F. Supp. 291, 304 (S.D.N.Y. 1977), the court explained that a plaintiff  
10 cannot seek protection for themes, but only for expressions of themes. Here,  
11 Plaintiffs are not seeking copyright protection of the theme of “science fiction action  
12 adventure.” They have instead alleged the existence of similar themes between the  
13 works at issue in order to show that, in addition to the characters, settings and other  
14 elements copied by Defendants, Defendants have also copied the theme and tone  
15 from Plaintiffs’ works.

#### 16 **6. Scènes A Faire.**

17 The scènes à faire doctrine provides that “[f]amiliar stock scenes and themes  
18 that are staples of literature are not protected.” *Althouse v. Warner Bros. Entm’t*,  
19 2014 U.S. Dist. LEXIS 92071, Copy. L. Rep. (CCH) P30602 (C.D. Cal. Apr. 28,  
20 2014). Defendants contend that various elements of Plaintiffs’ Star Trek  
21 Copyrighted Works, such as starships and spacedocks are unprotectable because  
22 they are “staples of science fiction.” Motion at 10. In *Althouse*, the court held that  
23 “flying transports” were scènes à faire, and dismissed a claim when every single  
24 allegation by the plaintiff was non-specific. Here, the Complaint is by no means  
25 general and, instead, alleges numerous elements of creative expression that have  
26 been misappropriated by Defendants. Plaintiffs have not alleged that Defendants  
27 have taken vague elements that, in some way, resemble the Star Trek Copyrighted  
28 Works – instead, Defendants have deliberately and painstakingly copied

1 innumerable elements from across the entire Star Trek universe. Indeed, far from a  
 2 generalized assertion that Defendant have copied “flying transports,” Plaintiffs have  
 3 alleged that Defendants have created a virtually-identical visual representation of the  
 4 U.S.S. Enterprise. As shown below, Defendants have copied this ship in its entirety.  
 5 Defendants’ infringing work even copies the “NCC-1701” Starfleet registry number  
 6 on the underside of the vessel.



16 Defendants have also copied, to a great level of detail, several other ships  
 17 used in the Star Trek Copyrighted Works, such as Klingon warships. FAC, ¶47.  
 18 Thus, Plaintiffs have not merely alleged that the general concepts of a “spaceship”  
 19 or a “spacedock” have been appropriated – the Complaint’s allegations show that  
 20 Defendants have misappropriated the *expression* of these concepts. Even assuming  
 21 that Defendants are properly dissecting the elements of the Star Trek Copyrighted  
 22 Works (and they are not), courts have held that vehicles like the Batmobile are  
 23 copyrightable as characters, and therefore, specific Star Trek starships are also  
 24 copyrightable. *DC Comics v. Towle*, 802 F.3d 1012 (9th Cir. 2015). Finally,  
 25 whether certain elements of Star Trek are *scènes à faire* is only relevant to a  
 26 substantial similarity analysis, which the Defendants have not asked the Court to do.  
 27 Thus, any determination of what, if anything, constitutes *scènes à faire* is premature.

28

## 7. Characters.

Copyright protection is available for characters that are distinctive. *Toho Co., Ltd. v. William Morrow and Co., Inc.*, 33 F. Supp. 2d 1206, 1215 (C.D. Cal. 1998); *Metro-Goldwyn-Mayer, Inc.*, 900 F. Supp. at 1296. Defendants argue that Plaintiffs have not plausibly alleged that the characters in Plaintiffs' Complaint are "especially distinctive" with "widely identifiable traits." Motion at 10.

Defendants rely on *Olson v. NBC*, 855 F.2d 1446, 1452 (9th Cir. 1988), but *Olson* is plainly different from this case. First, *Olson* did not involve a ruling on a motion to dismiss, but instead affirmed the granting of a judgment notwithstanding the verdict. Further, in *Olson*, the plaintiff sued for copyright infringement, alleging that the television show, "The A-Team," infringed characters he created in a treatment. The court rejected that claim, holding that the plaintiff's characters were not copyrightable because they were "depicted only by three- or four-line summaries in the 'Cargo' treatment and screenplay, plus whatever insight into their characters may be derived from their dialogue and action." Here, on the other hand, the Star Trek characters copied by Defendants appear in several movies and television shows, as explained in the Complaint. FAC ¶¶ 46-47 (Soval has appeared in several Enterprise episodes; Garth of Izar appeared in an episode of The Original Series as well as in certain books). Thus, Plaintiffs' characters are fully-formed, and their audio-visual representations include their makeup, hair, costumes, mannerisms, dialogue, language and actions – all of which Defendants have infringed. Plaintiffs have sufficiently alleged infringement of these characters and the Court should reject Defendants' improper attempt to excise the infringed characters from this lawsuit.

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1           **C. Plaintiffs Have Properly Pled Copyright Infringement.**

2           **1. Plaintiffs' Claims Are Sufficiently Detailed As to Which**  
3           **Works Are Infringed.**

4           “Copyright claims need not be pled with particularity.” *Perfect 10, Inc. v.*  
5           *Cybernet Ventures, Inc.*, 167 F. Supp. 2d 1114, 1120 (C.D. Cal. 2001); *Clayton v.*  
6           *Automated Gaming Techs., Inc.*, 2014 U.S. Dist. LEXIS 47003 (E.D. Cal. Apr. 2,  
7           2014). Rather, it is sufficient to “simply alleg[e] present ownership by plaintiff,  
8           registration in compliance with the applicable statute and infringement by  
9           defendant.” *Perfect 10, Inc.*, 167 F. Supp. 2d at 1120 (citation omitted).

10           In *Perfect 10*, the defendant moved to dismiss the plaintiff’s copyright claim  
11           because the complaint did not state “every copyright relied on, every individual  
12           image in the magazines that is being infringed, every image on specific web pages  
13           that does infringe, or the dates of any infringement.” *Id.* at 1120. The court rejected  
14           this argument, noting that “complaints simply alleging present ownership by  
15           plaintiff, registration in compliance with the applicable statute and infringement by  
16           defendant have been held sufficient under the rules.” *Id.* at 1120-21. *See also* 1  
17           Nimmer on Copyright § 12.09 (“To avoid unwieldiness, courts have approved a  
18           complaint that simply alleges representative acts of infringement, rather than a  
19           comprehensive listing.”); *Leadership Studies, Inc. v. Blanchard Training & Dev.,*  
20           *Inc.*, 2016 U.S. Dist. LEXIS 28930 (S.D. Cal. Mar. 4, 2016)(plaintiffs not required  
21           to plead with specificity every design, figure, and diagram at issue). This pleading  
22           standard is consistent with the courts’ practice of analyzing infringement in the  
23           aggregate when it comes to the analysis of several works in a group, such as to  
24           analyze a television series with dozens of episodes as a single work. *See, e.g.,*  
25           *Castle Rock Entm’t, Inc. v. Carol Publ’g Grp., Inc.*, 150 F.3d 132, 138 (2d Cir.  
26           1998)(analyzing in the aggregate the amount of expression copied from 84 *Seinfeld*  
27           episodes).

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1 Here, the Complaint does more than enough to put Defendants on notice of  
 2 the claims against them, and which works are claimed to be infringed. It alleges  
 3 ownership of various Star Trek television series, motion pictures, and books. FAC  
 4 ¶¶ 13-19. The Complaint alleges in copious detail the extensive infringement by  
 5 Defendants of these Star Trek Copyrighted Works. It identifies specific Star Trek  
 6 television episodes, motion pictures, and books and specifies various elements from  
 7 the *Axanar* Works that copy the Star Trek Copyrighted Works. *Id.* It even provides  
 8 visual depictions of many of these elements. *Id.*

9 Defendants insist that Plaintiffs should be required to list each motion picture  
 10 and television series that is infringed upon by each element in the *Axanar* Works.  
 11 *See* Motion at 13. This is not what is required under Rule 8’s notice pleading  
 12 standard. *See Perfect 10, Inc.*, 167 F. Supp. 2d at 1120. Defendants are on notice,  
 13 for example, that each time the U.S.S. Enterprise appears in their *Axanar* Works,  
 14 they are infringing upon each and every Star Trek Copyrighted Work in which the  
 15 U.S.S. Enterprise appears. Plaintiffs should not be required to identify at the  
 16 pleading stage each and every television episode in which this copyrighted element  
 17 appears. The information that Defendants seek can be elicited during the discovery  
 18 stage, and a motion to dismiss should not be used as a substitute for discovery  
 19 requests. Moreover, courts consider the aggregate of large groups of works when  
 20 performing the substantial similarity analysis rather than considering each work at a  
 21 time. *See, e.g., Castle Rock Entm’t, Inc.*, 150 F.3d at 138.<sup>2</sup>

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22  
 23 <sup>2</sup> Defendants’ argument relies on cases where the plaintiff’s complaint was  
 24 vague and failed to identify any specific copyrighted work that was allegedly  
 25 infringed upon. *See Four Navy Seals & Jane Doe v. AP*, 413 F. Supp. 2d 1136 (S.D.  
 26 Cal. 2005) (plaintiff attached a list of 1800 photographs and asserted that at least  
 27 one “unidentified” photograph was distributed by the defendants, but never  
 28 identified which photograph); *Universal Surface Tech., Inc. v. Sae-A Trading Am.  
 Corp.*, 2011 U.S. Dist. LEXIS 10127 (C.D. Cal. Jan. 26, 2011)(plaintiff alleged “no  
 facts” as to what acts constitute infringement and no facts as to which copyrights  
 were infringed); *Synopsys, Inc. v. ATopTech, Inc.*, 2013 U.S. Dist. LEXIS 153089;  
 2013 Copy. L. Rep. (CCH) P30, 507 (N.D. Cal. October 24, 2013) (plaintiff alleged  
 that the defendant infringed its copyrighted software, yet elsewhere in the complaint  
 the plaintiff contradicted itself by alleging that some parts of it software were “open-

1 Defendants also assert that it is problematic for the Complaint to state that it  
 2 is identifying specific examples of infringing elements of the *Axanar* Works, rather  
 3 than limiting the infringement to the examples identified in the case. However, as  
 4 the Central District of California has held, “[r]equiring a statement of each and  
 5 every example would defeat the regime established by Rule 8.” *Perfect 10, Inc.*,  
 6 167 F. Supp. 2d at 1120. Defendants also improperly rely on cases in which courts  
 7 found that the defendants did not have “notice” of the claims because the complaints  
 8 were either vague or alleged that other, non-disclosed copyrights, were potentially  
 9 infringed. *See Palmer Kane LLC v. Scholastic Corp.*, 2014 U.S. Dist. LEXIS 44881  
 10 (S.D.N.Y. Mar. 31, 2014)(complaint stated that list of copyrighted works was not  
 11 exhaustive); *Cole v. John Wiley & Sons*, 2012 U.S. Dist. LEXIS 108612 (S.D.N.Y.  
 12 Aug. 1, 2012)(complaint stated that the infringement was not limited to the list of  
 13 works in the complaint); *Marshall v. McConnell*, 2006 U.S. Dist. LEXIS 12319,  
 14 Copy. L. Rep. (CCH) P29161 (N.D. Tex. Mar. 23, 2006)(complaint never identified  
 15 any specific copyrights that were allegedly infringed upon); *Rosenfeld v. Twentieth*  
 16 *Century Fox Film*, 2008 U.S. Dist. LEXIS 92099 (C.D. Cal. Sept. 25, 2008)(the  
 17 complaint did not identify which works constituted the copyrights at issue). Here,  
 18 on the contrary, Plaintiffs have limited the universe of copyrighted works as those  
 19 included in the defined term “Star Trek Copyrighted Works.” All of these works  
 20 have been identified in the Complaint.

21 **2. Allegations on Information and Belief Are Proper, Especially**  
 22 **When the Facts are In the Defendants’ Control.**

23 Contrary to Defendants’ assertion, *Twombly* does not prevent a plaintiff from  
 24 pleading facts alleged “upon information and belief,” particularly when those facts  
 25 are within the possession and control of the defendant. *Keegan v. Am. Honda Motor*

26  
 27 source,” and therefore not copyrightable). Here, no such issue exists as Plaintiffs  
 28 have identified numerous specific Star Trek Copyrighted Works that have been  
 ¶¶ 46-47.

1 *Co*, 838 F. Supp. 2d 929, 961 n.85 (C.D. Cal. 2012). Plaintiffs have based certain  
2 allegations on information from Defendants’ website and social media postings. For  
3 example, Plaintiffs allege, on information and belief, that defendant Alec Peters  
4 wrote the *Axanar* Works and continues to write the *Axanar* Script and produce the  
5 *Axanar* Motion Picture. FAC ¶ 9. This information is based on Defendants’ own  
6 announcement on Facebook on August 15, 2015 that there was a “fully revised and  
7 locked script,” with an image showing that *Axanar* was “written by Alec Peters.”  
8 FAC ¶ 36. Unlike the cases on which Defendants rely, Plaintiffs’ allegations are  
9 bolstered by specific facts, and many of these allegations are based on information  
10 gleaned from Defendants’ public postings on social media.

11 **D. Plaintiffs’ Claims Are Not Premature.**

12 The Complaint alleges that Defendants have created and released a film  
13 entitled *Prelude to Axanar*, have prepared a script for the *Axanar* Motion Picture,  
14 have released a scene from the *Axanar* Motion Picture, and have completed one  
15 third of the visual effects from the *Axanar* Motion Picture. FAC ¶¶ 32, 36, 39, 42.  
16 Defendants argue that Plaintiffs should have to wait until Defendants are finished  
17 making all of their infringing materials prior to filing suit and that, otherwise, the  
18 controversy is not ripe. They also claim that, by alleging a claim for injunctive  
19 relief, Plaintiffs are impermissibly seeking a prior restraint. Motion at 16-20. As  
20 explained below, courts have held, in nearly identical circumstances, that the  
21 completed and transitory film elements already fixed in a tangible medium of  
22 expression by Defendants constitute actionable material ripe for review, and that  
23 requests for injunctions in the copyright context do not violate the First Amendment.

24 **1. This Controversy is Ripe.**

25 Ripeness prevents “theoretical” or “abstract” disputes. *State ex rel. State*  
26 *Water Resources Control Bd. v. FERC*, 966 F.2d 1541, 1562 (9th Cir. 1992). Courts  
27 have held that “transitory” film-making products render the copyright issues ripe for  
28 the Court’s review. *Walt Disney Prods. v. Filmmation Assocs.*, 628 F. Supp. 871,

1 875-76 (C.D. Cal. 1986)(script, models, designs, and promotional trailer were  
2 actionable). In fact, in a case very similar to the case at bar, *Danjaq, LLC v.*  
3 *Universal City Studios, LLC*, 2014 U.S. Dist. LEXIS 180264, Copy. L. Rep. (CCH)  
4 P30673 (C.D. Cal. Oct. 2, 2014), the defendant moved to dismiss a copyright  
5 infringement claim on the grounds that it had not finished a film, but had only  
6 allegedly purchased the rights to a screenplay and hired writers, actors, and  
7 producers for the project. The court denied the motion, holding that the claims were  
8 ripe because the right to use the screenplay and the hiring of several people for the  
9 development of the motion picture project were “transitory” film-making products.

10 Defendants argue that this lawsuit is premature with respect to the *Axanar*  
11 Motion Picture because the entire movie has not yet been completed. Motion at 16-  
12 19. The Motion fails to acknowledge that the Complaint alleges that Defendants  
13 have already engaged in infringing conduct by producing and releasing *Prelude to*  
14 *Axanar*, have completed an infringing “fully revised and locked script” for the  
15 *Axanar* Motion Picture, and have already completed and disseminated a scene from  
16 the *Axanar* Motion Picture. FAC ¶¶ 32-42. Defendants’ completed acts of  
17 infringement, as well as their transitory products, such as scripts, are actionable,  
18 making this case ripe for review. *See Walt Disney Prods.*, 628 F. Supp. at 875-76;  
19 *Danjaq, LLC*, 2014 U.S. Dist. LEXIS 180264, Copy. L. Rep. (CCH) P30673.

20 Defendants’ cases are also inapplicable to this dispute. *See Clinton v.*  
21 *Acequia, Inc.*, 94 F.3d 568 (9th Cir. 1996)(breach of contract claim was not ripe  
22 because the defendant’s performance of the contract was not yet due); *Portland*  
23 *Police Assn. v. Portland*, 658 F.2d 1272 (9th Cir. 1981)(claim was not ripe when  
24 several contingencies needed to occur in order to violate the plaintiffs’ rights).  
25 Likewise, Defendants misrepresent two other cases as being “analogous cases  
26 seeking declaratory relief [where] courts have dismissed copyright claims as  
27 premature.” Motion at 16, citing *Sierra Applied Scis., Inc. v. Advanced Energy*  
28



1 *Indus.*, 363 F.3d 1361 (Fed. Cir. 2004) and *Int’l Harvester Co. v. Deere & Co.*, 623  
 2 F.2d 1207 (7th Cir. 1980). These cases are patent cases, not copyright cases.<sup>3</sup>

3 Defendants misleadingly argue that courts have held that intermediary works  
 4 are not relevant to the substantial similarity analysis. However, these cases stand for  
 5 the proposition that courts generally will not consider intermediary works as  
 6 evidence that a later version of a work is infringing. See Motion at 19, citing to  
 7 *Walker v. Time Life Films, Inc.*, 615 F. Supp. 430 (S.D.N.Y. 1985); *See v. Durang*,  
 8 711 F.2d 141 (9th Cir. 1983); *Quirk v. Sony Pictures Entm’t Inc.*, 2013 U.S. Dist.  
 9 LEXIS 47954, 106 U.S.P.Q.2d (BNA) 2004 (N.D. Cal. Apr. 2, 2013). Those  
 10 authorities have no application here, where Plaintiffs have alleged that Defendants  
 11 have created and distributed infringing audio-visual works.

12 Defendants’ statement that they do not intend to (further) infringe Plaintiffs’  
 13 copyrights in the future is irrelevant, given that good faith is not an excuse to  
 14 infringe a copyright. *Pye v. Mitchell*, 574 F.2d 476, 481 (9th Cir. 1978). Moreover,  
 15 such statements are contrary to the allegations of the Complaint – which alleges that  
 16 Defendants have already engaged in numerous acts of infringement.

## 17 **2. Injunctions in the Copyright Context Are Not A Violation of** 18 **the First Amendment.**

19 Plaintiffs have not filed a motion for injunctive relief and, therefore,  
 20 Defendants’ arguments regarding “prior restraint” are irrelevant and, at best,  
 21 premature. Defendants are no more “restrained” by the filing of the Complaint than  
 22

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23 <sup>3</sup> The copyright cases on which Defendants rely are also not on point. In  
 24 *Veoh Networks, Inc. v. UMG Recordings, Inc.*, 522 F. Supp. 2d 1265 (S.D. Cal.  
 25 2007), the plaintiff did not “reference any specific copyright” and therefore any  
 26 relief “would necessarily take the form of an advisory opinion.” In *Team Angry*  
 27 *Filmworks, Inc. v. Geer*, 2016 U.S. Dist. LEXIS 36286 (W.D. Pa. Mar. 21, 2016),  
 28 the plaintiff failed to show any concrete steps towards producing its films, given that  
 it did not allege that a screenplay had been written, nor did it contain specific or  
 even approximate allegations about when it could begin film production. Therefore,  
 plaintiff was improperly seeking an opinion from the court advising what the law  
 would be on a hypothetical set of facts. Here, on the other hand, Defendants have  
 already created infringing audio-visual works, have completed an infringing script  
 and additional production is already underway.

1 they would be by the sending of a cease and desist letter. Without the filing of a  
2 motion for an injunction, Defendants may proceed, but they do so at their own peril.

3 Further, courts have held that enjoining a defendant from continuing to  
4 complete an infringing film is not an impermissible prior restraint. *Walt Disney*  
5 *Prods.*, 628 F. Supp. at 878 n.6 (“By distinguishing between copyrightable  
6 expression and uncopyrightable facts and ideas, the Copyright Act itself embodies a  
7 permissible balance between First Amendment protections and the protection of a  
8 copyright holder’s rights.”). As noted by the Second Circuit: “We have repeatedly  
9 rejected First Amendment challenges to injunctions from copyright infringement on  
10 the ground that First Amendment concerns are protected by and coextensive with  
11 the fair use doctrine.” *Nihon Keizai Shimbun, Inc. v. Comline Bus. Data*, 166 F.3d  
12 65, 74-75 (2d Cir. 1999). Similarly, the Ninth Circuit has held that: “First  
13 Amendment concerns in copyright cases are subsumed within the fair use inquiry.  
14 In other words, if the use of the alleged infringer is not fair use, there are no First  
15 Amendment prohibitions against granting a preliminary injunction.” *Elvis Presley*  
16 *Enterprises, Inc. v. Passport Video*, 349 F.3d 622, 627 (9th Cir. 2003). Here,  
17 enough of the Plaintiffs’ works have already been fixed in the *Axanar* Works for the  
18 Court to determine, at the appropriate time, that there is no fair use.

#### 19 **IV. CONCLUSION**

20 For the foregoing reasons, Plaintiffs respectfully request that the Court deny  
21 Defendants’ Motion to Dismiss or Strike.

22 Dated: April 11, 2016

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