

Applicant: Giving Tree Organics		Score	Evaluator: Dawn Hunter	COMMENTS	
A.	Summary				
B.	Production Plan				
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	40		<p>Previously licensed producer serving Farmington and San Juan County. Notes that there was insufficient capital and personnel to develop statewide delivery system and unable to register enough patients to be economically viable (letter from Len Goodman). Santa Fe production and distribution. Affiliated with current LNPP. Summary also refers to past business and discusses member qualifications. Eli Goodman and Jennifer Gonzales will resign position with LNPP if awarded license. Len and Susan Goodman will be unpaid consultants. Plan to take over an existing cultivation facility. Indoor soil method. RO water. Two stage odor remediation protocol described. 200 plants (expansion from current 150 grown at the space). Limiting employee exposure covers fire, chemical, and physical hazards; vehicle and physical safety issues; and a variety of other policies. Production capacity described relative to current production. Secret address provided. There is an equipment breakdown by room and general needs. A current business license is included for the LNPP that was operating there (but no other documentation). Other requested building documentation provided. WPS compliance manual included (but not described). Various NMDA documents included (but not described).</p>	
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	n/a			
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	20			
4	Production Capacity to include expansion plans, if any.	20			
5	Street Address	10			
6	Equipment	8			
7	Appropriate licenses, local zoning, business license. Fire inspection	7			
8	Property Owner Information and Approval	10			
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	20			
13	Written Acknowledgement of Plant Limit	10			
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	8			
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	8			
16	Air Treatment/Filtration systems to be used	10			
TOTAL Score		171.00			Total Possible Points 200

C. Business Plan					
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	25		No investment need for cultivation since existing site is being taken over. Reliance on existing LNP for some materials/products. No plans for patient distribution or direct sales; plans to make product available to LNPPs for distribution. Plan to focus on CBD rich products. There are expenses related to application and balance sheet/year 1 operating costs included. No indication of pricing structure or projected unit sales. Staff qualifications not reiterated here. Total Possible Points 50	
2	Experience/qualifications of staff	10			
TOTAL Score		35.00			
D. Sales and Distribution Plan					
1	Plan for safe distribution	20	Distribution is wholesale to existing LNPPs. Products described. There is a very general statement about delivery service but no details provided (such as a contract or quote, costs to LNPPs). Marketing plan is not very detailed, and basically just describes a website and posters; no samples provided. Product, packaging, and labels described in detail. There is a transportation process, but it does not indicate how product is secured during transport. Confidentiality is adequately described. Even though GTO is not directly interacting with patients, product quality information should be communicated to the LNPP. There are general descriptions of ingestion and inhalation options, and a mention about information that will be available online. Side effects adequately addressed. Education on reporting adverse events and concerns should have covered the communication protocol or interaction with LNPPs who will be selling GTO product to patients for how concerns or adverse events are handled.		
2	Products to be dispensed	10			
3	Delivery Services to include anticipated fees	5			
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	10			
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	10			
6	Transportation of cannabis and CDP.	8			
7	Description of measures taken to ensure confidentiality of enrollees.	10			
8	Means of educating enrollees on limitations	10			
9	Means of educating enrollees on quality of product	7			
10	Ingestion options	7			
11	Inhalation techniques provided for ingestion of product	7			
12	Education on side effects	10			
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	15			
15	Written acknowledgement that plant count will not exceed approved limit	10			
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	10			
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	10			
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	10			
TOTAL Score		169.00			Total Possible Points 200

E. Security Plan				
1	Description of Security devices in production and distribution	30		Current facility has existing security features. There is a detailed list of devices and a description of security practices. Loss prevention plan is adequate, but lacking some detail (waste tracking and disposal for example). Security company services described and copy of agreement included. Limitation of access described in sufficient detail.
2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.	25		
3	Description of how production location will be limited to employees only.	30		
4	Description of security company services.	30		
5	Limitation of access	30		
		TOTAL Score	145.00	Total Possible Points 150
F. Quality Assurance Plans				
1	Method and Process to ensure purity of product.	40		Controls to ensure purity thoroughly addressed. Same for consistency of dose. Overall this section contains great detail. However, as with the earlier section, communication protocols with LNPPs selling GTO product should be included.
2	Process of ensuring consistency of dose	40		
3	Testing	40		
4 to 5	Adverse event and reports to DOH from enrollees	25		Total Possible Points 150
		TOTAL Score	145	
G. Financial and Organizational Information				
1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate	20		Business documents provided. Board member confirmation provided (but not proof of patent or nursing status). Org chart and associated resumes provided. Lease agreement included. There are copies of employment agreements, a promissory note, and tax documents 2012-2014. A financial statement is also included.
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	8		
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	10		
8 to 10	Organizational Chart, Resumes, compensation Agreements	20		
11	Description of outstanding debts including loans, compensation agreements,	20		
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	30		
		TOTAL Score	98	Total Possible Points 100

H. Personnel Materials			
1	Criminal Background checks	10	State and national background checks included. There is a detailed employee handbook. Sample personnel record and job descriptions provided for the positions indicated on the org chart. Training curriculum and material described. Proof of current HIPAA training included.
2	Personnel Policies and Procedures	10	
3	Sample personnel record to include: application, state and federal employment documentation, job description	10	
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training	10	
5	HIPAA Training	10	
TOTAL Score		50.00	Total Possible Points 50
I. Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.	50	Applicant has industry specific agricultural experience.
TOTAL Score		50.00	Total Possible Points 50
Organization and Clarity of Materials			
	Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.	50	Overall, the application was well organized and contained great detail around policies and procedures. There is industry-specific, directly relevant business experience.
TOTAL Score		50.00	Total Possible Points 50
Overall Application Score and reviewer Opinion		93.00	Total Possible Points 1000

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Applicant: <u>Living Tree Organics</u>		Score	Evaluator: <u>Lynna Gallagher</u>
COMMENTS			
A.	Summary		
B.	Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	30	Production / Distribution - Santa Fe Growing in currently occupied MGreen Strong grow plan - already set up for growing indoor, soil, RO system CO2 system 150-200 plant count
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	N/A	
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	30	Established Procedures for Safety
4	Production Capacity to include expansion plans, if any.	10	Expansion Capacity available
5	Street Address	5	Santa Fe - 2nd st
6	Equipment	15	Detailed Description of Equipment
7	Appropriate licenses, local zoning, business license, Fire inspection	10	business license (Hepburn St/ross) Owner consent
8	Property Owner Information and Approval	10	Detailed Property Descriptions + photos, maps
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	15	Acknowledgment of Plant Limit
13	Written Acknowledgement of Plant Limit	10	WPS Manual
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	15	Knowledge of NMOT State
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	15	Established room scrubbers & carbon filter
16	Air Treatment/filtration systems to be used	15	
TOTAL Score		180	Total Possible Points 200



C. Business Plan		
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	25
2	Experience/qualifications of staff	25
TOTAL Score		50
D. Sales and Distribution Plan		
1	Plan for safe distribution	15
2	Products to be dispensed	15
3	Delivery Services to include anticipated fees	15
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	10
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	15
6	Transportation of cannabis and CDP.	10
7	Description of measures taken to ensure confidentiality of enrollees.	15
8	Means of educating enrollees on limitations	10
9	Means of educating enrollees on quality of product	10
10	Ingestion options	10
11	Inhalation techniques provided for ingestion of product	10
12	Education on side effects	10
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	10
15	Written acknowledgement that plant count will not exceed approved limit	10
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	10
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	10
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	10
TOTAL Score		195
		Total Possible Points 200

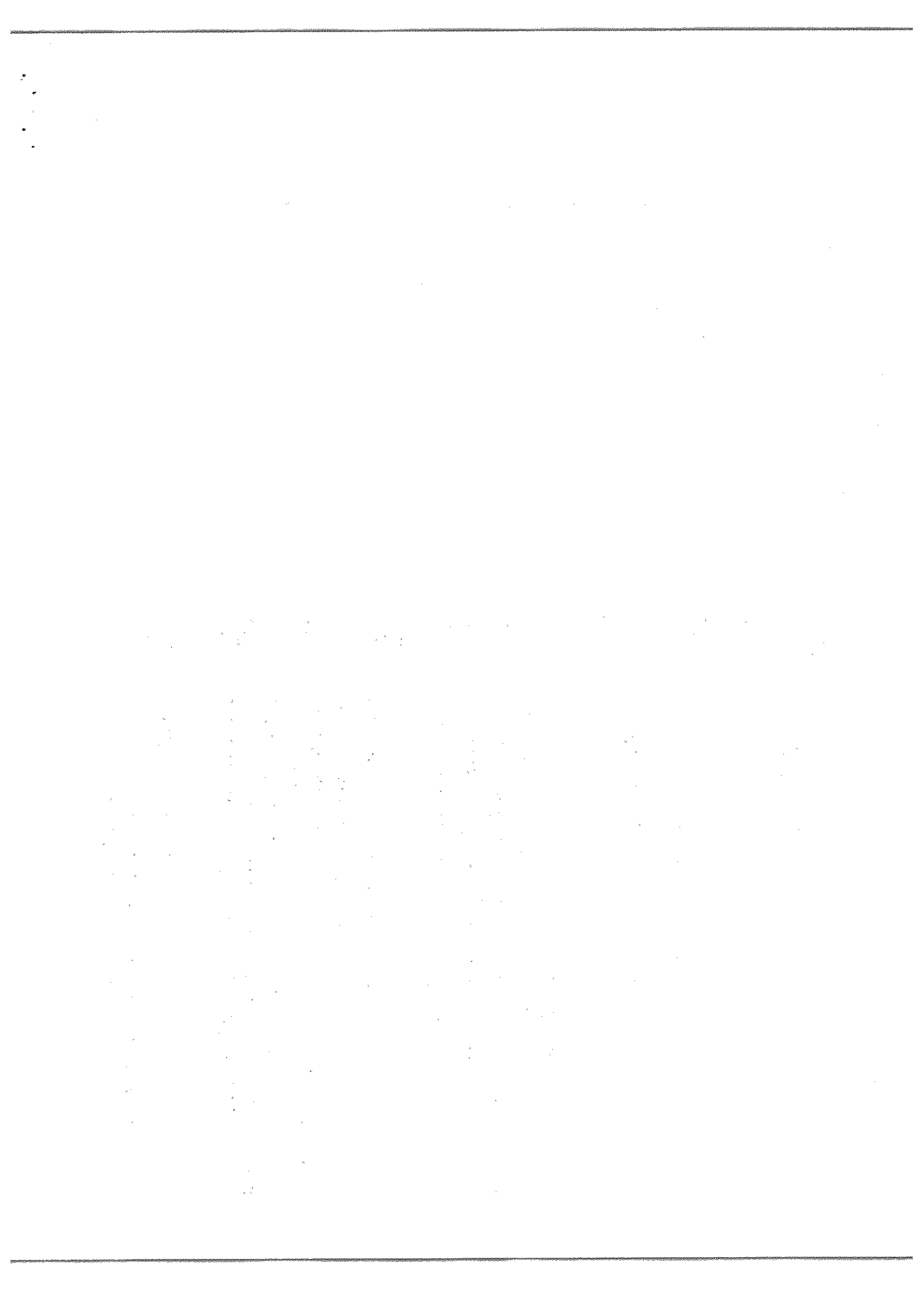
\$25K available funding strong established business Plan
Solid experience & staffing

Distribution - Wholesale to New Mexican CBD Rich Stores, truckers, concentrates, edibles Hot Shots Delivery; No Direct Delivery to Patients
Marketing by website; CBD Facis Education, posters
Packaging Samples & Labels Provided

Description of wholesaler plan with no direct interaction w/ patients; described labeling & education & side effects, methods of good understanding of limitations

Written Acknowledgments & Attestations Attached

Facility Diagram Attached



E. Security Plan			
1	Description of Security devices in production and distribution	30	Protection / Security + ADT
2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.	30	Established Security system in place Cameras, locks, sensors, key pads, security
3	Description of how production location will be limited to employees only.	30	Good loss Prevention / Security Plan
4	Description of security company services.	30	
5	Limitation of access	30	
TOTAL Score		150	Total Possible Points 150
F. Quality Assurance Plans			
1	Method and Process to ensure purity of product.	40	Strong QA Plan - established methods
2	Process of ensuring consistency of dose	40	CBQ stains, ferric
3	Testing	30	Scoper lab testing
4 to 5	Adverse event and reports to DOH from enrollees	30	Report reworkments in place
TOTAL Score		140	Total Possible Points 150
G. Financial and Organizational Information			
1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate	15	Articles, By-laws, S.C. Bus. License, CES
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	15	5 Members (1 RN, 3 patients)
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	10	Lease Agreement
8 to 10	Organizational Chart, Resumes, compensation Agreements	10	Org Chart, Resumes, Compensation Agreements
11	Description of outstanding debts including loans, compensation agreements,	15	Permitting Note for building
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	15	GTO + Backer Tax Returns Strong financial statement
TOTAL Score		80	Total Possible Points 100



H. Personnel Materials			
1	Criminal Background checks	10	<p>DBS & Sentencing Background checks Strong Policies & Procedure Employer Handbook; Employment Application</p>
2	Personnel Policies and Procedures	10	
3	Sample personnel record to include: application, state and federal employment documentation, job description	10	
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training	10	
5	HIPAA Training	10	
TOTAL Score		50	Total Possible Points 50
1. Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.	50	<p>Strong Agricultural, Canada's Experience</p>
TOTAL Score		50	
Organization and Clarity of Materials			
Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.		50	<p>Very Strong Application & Experience</p>
TOTAL Score		50	
Overall Application Score and reviewer Opinion		945	Total Possible Points 1000



Applicant: <u>Giving Tree Organics</u>		Score	Evaluator: <u>MILLS</u>
			COMMENTS
A	Summary		
B	Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	50/1 Greenhouses	6 rooms in flower 200 plants could expand info greenhouse (on 1/2) (intended?) cycle: harvest every 10 days 400 lbs/yr
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	N/A	
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	✓	OK; no pesticides only Caloxox - many thorough SOPs - safety training
4	Production Capacity to include expansion plans, if any.	✓	10 day harvest cycle; 400 lbs/yr
5	Street Address	1800 2nd St	
6	Equipment	✓	- thorough?
7	Appropriate licenses, local zoning, business license. Fire inspection	✓	
8	Property Owner Information and Approval	✓	
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	✓	2,000 sq ft
	no sign	✓	
	3000 ft ² indoors	✓	
13	Written Acknowledgement of Plant Limit	✓	
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	✓	- thorough
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	✓	- thorough - keep records - 2 days of cultivation
16	Air Treatment/filtration systems to be used		
TOTAL Score		200	Total Possible Points 200

GTO + NMaxiCann working together to build GTO org

C. Business Plan		Total Possible Points 50
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	✓
2	Experience/qualifications of staff	✓
TOTAL Score		48
D. Sales and Distribution Plan		Total Possible Points 200
1	Plan for safe distribution	
2	Products to be dispensed	(-)
3	Delivery Services to include anticipated fees	(-)
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	✓
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	✓
6	Transportation of cannabis and CDP.	✓
7	Description of measures taken to ensure confidentiality of enrollees.	N/A
8	Means of educating enrollees on limitations	N/A
9	Means of educating enrollees on quality of product	(-)
10	Ingestion options	brochures
11	Inhalation techniques provided for ingestion of product	N/A
12	Education on side effects	website
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	website
15	Written acknowledgement that plant count will not exceed approved limit	website
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	
TOTAL Score		170
		Total Possible Points 200

0 capital cost - will take over NMaxiCann prod facility by NMaxiCann will use to take all cost to produce products by GTO, 12 GTO build & Eritrich \$50K
Focus on CBD + products growing in 6000 sq ft Break even by 6 mo
Detailed budget

WILL NOT DISTRIBUTE DIRECTLY TO

PATIENTS
- no specific strain, titled 2nd St. Santa Fe, Mon-Fri 9-5
CBD - no products
3 - unmarked vehicle, carrying extra in stock

Wholesale only

(-) delivered statewide by HotShed
Ethereal Delivery Service, no MCO

(-) no fees projected

4. website, brochures, posters for (NAP) on line only

5. app?

6. 2 people in vehicle call phones etc? safety?

10. no example

H. Personnel Materials			
1	Criminal Background checks	✓	complete
2	Personnel Policies and Procedures	✓	extensive!
3	Sample personnel record to include: application, state and federal employment documentation, job description	✓	
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training	✓	- safety training curriculum - complete curriculum - outline HIPAA
5	HIPAA Training	✓	
TOTAL Score		50	Total Possible Points 50
I. Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.		- J. Sand Director of CATT - Exp for Mexican 6 yrs C. Morris
TOTAL Score		40	Total Possible Points 50
Organization and Clarity of Materials			
Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.			extremely well organized + clear!
TOTAL Score		50	Total Possible Points 50
Overall Application Score and reviewer Opinion			Total Possible Points 1000

E. Security Plan			
1	Description of Security devices in production and distribution	✓	Protection / Security devices - in cabinet dialysis & ice machine - in cabinet MS Firewall + buddy system 1 Mar. 2014
2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.	✓	
3	Description of how production location will be limited to employees only.	✓	
4	Description of security company services.	✓	
5	Limitation of access	✓	
TOTAL Score		150	Total Possible Points 150
E. Quality Assurance Plans			
1	Method and Process to ensure purity of product.	✓	outstanding QA Plan!
2	Process of ensuring consistency of dose	✓	
3	Testing	✓	only on website
4 to 5	Adverse event and reports to DOH from enrollees	✓	
TOTAL Score		145	Total Possible Points 150
G. Financial and Organizational Information			
1 to 5	Articles of Incorporation, By-Laws, Business License, Tax and Revenue Registration certificate	✓	
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	✓	app: BOB. 7 3 MCP ahead + 1 med & prof (RN)
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	✓	org chart has nobody
8 to 10	Organizational Chart, Resumes, compensation Agreements	✓	
11	Description of outstanding debts including loans, compensation agreements,	✓	
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	✓	
TOTAL Score		95	Total Possible Points 100

Applicant: Keyway, Inc.		Score	Evaluator: Dawn Hunter
COMMENTS			
A.	Summary		<p>Santa Fe production and distribution, looking at locations in Las Cruces and Gallup. Closed environment agriculture. Hand Raised Cannabis, perpetual harvest. Good workflow diagrams and process descriptions. Limiting employee exposure refers to chemical hazards posed by pesticides but other workplace hazards are not addressed. Plans to use BioTrack. The descriptions of knowledge about WPS and NMMA standards are generalized and could use more detail.</p>
B.	Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	50	
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	n/a	
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	15	
4	Production Capacity to include expansion plans, if any.	20	
5	Street Address	10	
6	Equipment	10	
7	Appropriate licenses, local zoning, business license. Fire inspection	10	
8	Property Owner Information and Approval	10	
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	20	
13	Written Acknowledgement of Plant Limit	10	
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	8	
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	17	
16	Air Treatment/Filtration systems to be used	10	
TOTAL Score		190.00	

C. Business Plan			
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	25	A thorough plan with detailed projections. Could use more narrative around sustainability of operations, and relating production capacity to revenue projections. Experience of backers described in this section (but not staff).
2	Experience/qualifications of staff	15	
TOTAL Score		40.00	Total Possible Points 50
D. Sales and Distribution Plan			
1	Plan for safe distribution	15	There is no description of the Santa Fe dispensary at the opening of this section. There is a patient privacy guide but it does not detail processes or measures to ensure safety of PHI. A limitation presentation and patient agreement form are included but there is no description of the education process. Same for Items 9-12; informational material is included but there is no description of the education process. Education on reportin adverse events and concerns is adequate, but there is limited detail about how the patient can contact the Department or the Applicant; there is also room for more detail around the feedback and remediation process.
2	Products to be dispensed	10	
3	Delivery Services to include anticipated fees	10	
4	Marketing Plan, Including web materials, education materials,, posters, brochures, etc.	20	
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	10	
6	Transportation of cannabis and CDP.	10	
7	Description of measures taken to ensure confidentiality of enrollees.	6	
8	Means of educating enrollees on limitations	7	
9	Means of educating enrollees on quality of product	7	
10	Ingestion options	7	
11	Inhalation techniques provided for ingestion of product	7	
12	Education on side effects	7	
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	15	
15	Written acknowledgement that plant count will not exceed approved limit	10	
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	10	
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	10	
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	10	
TOTAL Score		171.00	

E. Security Plan				
1	Description of Security devices in production and distribution	30	Overall this is a good section. There is detail about security devices and numerous security measures and features. There could be more detail around processes or controls to prevent diversion, theft, or loss of product, as well as better descriptions of access controls, particularly as they relate to the identified facilities. There is a security diagram included, though. A security company is identified but its specific services are not defined.	
2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.	20		
3	Description of how production location will be limited to employees only.	20		
4	Description of security company services.	15		
5	Limitation of access	20		
TOTAL Score		105.00		Total Possible Points 150
R. Quality Assurance Plans				
1	Method and Process to ensure purity of product.	30		Growth process controls are identified that impact purity, although there are other controls that are not described (like maintenance, sanitation, and equipment calibrations). Dosage calculations are described, but not processes to ensure consistency of dose. Feedback on adverse events and reporting is the same as for the distribution section, since the same response was provided. Testing agreement is included but the testing process is not described.
2	Process of ensuring consistency of dose	20		
3	Testing	25		
4 to 5	Adverse event and reports to DOH from enrollees	20		
TOTAL Score		95		Total Possible Points 150
G. Financial and Organizational Information				
1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate	20	A direct listing of expenses related to the application is not included, but there is a financial narrative covering the historical financial agreements.	
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	10		
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	10		
8 to 10	Organizational Chart, Resumes, compensation Agreements	30		
11	Description of outstanding debts including loans, compensation agreements,	20		
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	30		
TOTAL Score		100	Total Possible Points 100	

H. Personnel Materials			
1	Criminal Background checks	10	Proof of current HIPAA training not provided. Training curriculum not clearly defined, although training materials were provided. Only two job descriptions were provided.
2	Personnel Policies and Procedures	10	
3	Sample personnel record to include: application, state and federal employment documentation, job description	8	
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training	7	
5	HIPAA Training	0	
TOTAL Score		35.00	Total Possible Points 50
I. Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.	50	Experience in commercial cultivation in CO, as well as medical cannabis dispensary in CO. No other agricultural experience outside of PPL.
TOTAL Score		50.00	Total Possible Points 50
Organization and Clarity of Materials			
Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.		50	There is directly relevant business and industry-specific experience. Overall the application was organized. There were some areas lacking detail or that failed to directly address the requested item.
TOTAL Score		50.00	Total Possible Points 50
Overall Application Score and reviewer Opinion		896.00	Total Possible Points 1000

Applicant: <u>Keyway</u>		Evaluator: <u>MULLS</u>	
A. Summary		Score	COMMENTS
B. Production Plan			
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	✓	150 plants use Sh. Ft SOPs from Colorado (as proven) 2 flower rooms, 1 veg room - Santa Fe municipal H2O treated by - Doolen water & sediment filter
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	✓	-
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	(-)	- Training, labeling, compliance rules - PPE description?
4	Production Capacity to include expansion plans, if any.		90 lbs harvest, 5.5 harvest/yr ~ 4.25 lbs/m ² - future expansion info on adjoining 10,000 ft ² facility - detailed
5	Street Address	✓	
6	Equipment	✓	
7	Appropriate licenses, local zoning, business license, fire inspection		
8	Property Owner Information and Approval	✓	
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	(-)	prod - no sign disc. 5-599 B-10 map large lay, legible B-10 some town map are not - map should be in disp one in disp
13	Written Acknowledgement of Plant Limit	✓	
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	✓	
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	✓	
16	Air Treatment/Filtration systems to be used		
TOTAL Score		196	Total Possible Points 200

C. Business Plan		Total Possible Points 50
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	✓
2	Experience/qualifications of staff	✓
TOTAL Score		50
D. Sales and Distribution Plan		Total Possible Points 50
1	Plan for safe distribution	✓
2	Products to be dispensed	(+)
3	Delivery Services to include anticipated fees	(+)
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	Phonetic descr. photos pics + documents
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	(-)
6	Transportation of cannabis and CDP.	✓
7	Description of measures taken to ensure confidentiality of enrollees.	(+)
8	Means of educating enrollees on limitations	(+)
9	Means of educating enrollees on quality of product	(+)
10	Ingestion options	(+)
11	Inhalation techniques provided for ingestion of product	(+)
12	Education on side effects	(+)
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	(+)
15	Written acknowledgement that plant count will not exceed approved limit	✓
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	✓
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	✓
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	✓
TOTAL Score		190
		Total Possible Points 200

Phase 1 - South Fe dispen + rural-focused distri
wholesaler retail
extremely detailed imp by imp projections for varying
producted amounts / plant
dosed description of role of 1-2 in.

South Fe M-Sun 8-6
lists strains + kcal C + future products. oils, E, Is etc) edibles
education symposia + 1-1 w/ MDs, other which 2-3
brochures, online \$10-50 on a schedule 1 deliv/med office
3. Keyway courier for MetShel \$10-20 delivery (14) 1/4
day on local + Hotshot MCA
"destroy if undeliverable" - how?
- future - set up "pick up" office sites in
rural areas + have DAs help coord sites + deliveries

4. excellent multi-located mktg plan
6. Driver safety - common devices? unmarked car?
excellent patient ed materials
13-14. Excellent w direct DTH # to call

E. Security Plan				
1	Description of Security devices in production and distribution	✓		<p>Supplier Security (S)</p> <p>Use Bio Track TRK Very good access - entries logged printers also at prod & dist. 2 cables, name buttons back of alarm at both in case main fails in town & outside vid 24/7</p> <p>division prev. effort broad + multi-faceted record re-link from plan? good diagram of sec features Shewer</p>
2	Detailed description of any processes or controls used to prevent diversion, theft or loss of product.	✓		
3	Description of how production location will be limited to employees only.	✓		
4	Description of security company services.	✓		
5	Limitation of access	✓		
		TOTAL Score	150	Total Possible Points 150
F. Quality Assurance Plans				
1	Method and Process to ensure purity of product.	✓		<p>Finally all QA plan</p>
2	Process of ensuring consistency of dose	✓		
3	Testing	✓		
4 to 5	Adverse event and reports to DOH from enrollees	✓		<p>manufactured in D,</p>
		TOTAL Score	150	Total Possible Points 150
G. Financial and Organizational Information				
1 to 5	Articles of Incorporation, By-laws, Business license, Tax and Revenue Registration certificate	✓		<p>Appl. BOD 7; 1 MD, 1 RN & 3 MGR</p>
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	✓		
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	✓		
8 to 10	Organizational Chart, Resumes, Compensation Agreements	✓		
11	Description of outstanding debts including loans, compensation agreements,	✓		
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	✓		
		TOTAL Score	180	Total Possible Points 180

1	Personnel Materials			
1	Criminal Background checks	→		very thorough
2	Personnel Policies and Procedures	→		
3	Sample personnel record to include: application, state and federal employment documentation, job description	→		
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training			
5	HIPAA Training	(-)		no certificates for BOD individuals
TOTAL Score		43		Total Possible Points 50
1	Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.			The team brings a great deal of comm. cannab. production experience from CO. The grower production expertise will come from Shift CO
TOTAL Score		45		Total Possible Points 50
	Organization and Clarity of Materials			
	Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.			very complete, organized & clear.
TOTAL Score		50		Total Possible Points 50
Overall Application Score and reviewer Opinion				Total Possible Points 1000

A	B	C	D
1	Shift: New Mexico		Evaluator: Andrea Sundberg
2		Score	COMMENTS
3	A. Summary		<p>Applicant provided a lot of information on employee safety and grow process. Production and dispensary at same location in Santa Fe. Applicant seems to have a firm grasp on grow process, however most of the information seemed to be training checklist and did not provide a clear picture of grow operations. They provided many Material Data Safety sheets but did not provide clear information on how materials were being utilized.</p>
4	B. Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)		
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.		
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.		
4	Production Capacity to include expansion plans, if any.		
5	Street Address		
6	Equipment		
7	Appropriate licenses, local zoning, business license. Fire inspection		
8	Property Owner Information and Approval		
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.		
13			
14	Written Acknowledgement of Plant Limit		
15	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards		
16	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.		
17	Air Treatment/Filtration systems to be used		
18	TOTAL Score	160.00	Total Possible Points 200



A	B	C	D
19	Business Plan		
20	Description of funding of operation and how applicant intends to ensure success including costs of staff		Business plan contained a lot of research and basic information in the market but not a lot of detail on how applicant will differentiate themselves accept to say they would grow superior product which most applicants state. Have a solid financial backing, and parent company has experience in other States.
21	Experience/qualifications of staff		
22	TOTAL Score	40.00	Total Possible Points 50
23	Sales and Distribution Plan		
24	Plan for safe distribution		The information seems unclear regarding distributions to whether they will establish dispensary or just wholesale to other LNPs to start. Later in the distribution plan they do mention utilizing small offices, to include a network of rural district attorney offices, for delivery. The applicant also discusses using DAs to assist in safe delivery. This seems rather odd and potentially a HIPAA issue. Applicant contains good education materials.
25	Products to be dispensed		
26	Delivery Services to include anticipated fees		
27	Marketing Plan, Including web materials, education materials, posters, brochures, etc.		
28	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,		
29	Transportation of cannabis and CDP.		
30	Description of measures taken to ensure confidentiality of enrollees.		
31	Means of educating enrollees on limitations		
32	Means of educating enrollees on quality of product		
33	Ingestion options		
34	Inhalation techniques provided for ingestion of product		
35	Education on side effects		
36	Education on reporting adverse events and concerns regarding customer service and products.		
37	Written acknowledgement that plant count will not exceed approved limit		
38	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.		
39	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.		
40	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.		
41	TOTAL Score	170.00	Total Possible Points 200



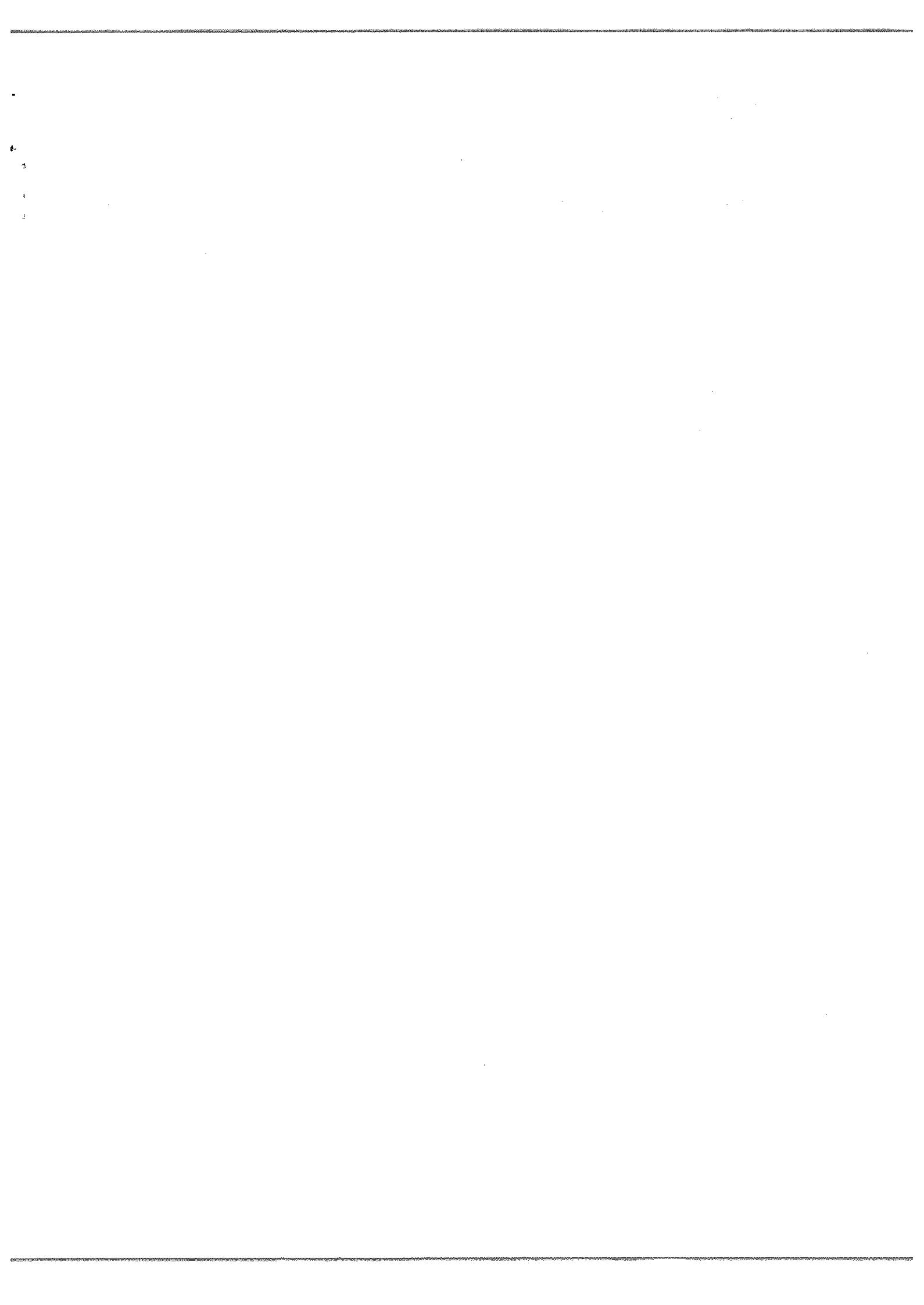
A	B	C	D
42 E.	Security Plan		
43 1	Description of Security devices in production and distribution		Solid security plan
44 2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.		
45 3	Description of how production location will be limited to employees only.		
46 4	Description of security company services.		
47 5	Limitation of access		
48	TOTAL Score	142.00	Total Possible Points 150
49 F.	Quality Assurance Plans		
50 1	Method and Process to ensure purity of product.		Good quality assurance plan. Relies on hands on inspection and cleanliness through process as well as testing.
51 2	Process of ensuring consistency of dose		
52 3	Testing		
53 4 to 5	Adverse event and reports to DOH from enrollees		Total Possible Points 150
54	TOTAL Score	158.00	
55 G.	Financial and Organizational Information		
56 1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate		Applicant provided all information.
57 6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.		
58 7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.		
59 8 to 10	Organizational Chart, Resumes, compensation Agreements		
60 11	Description of outstanding debts including loans, compensation agreements,		
61 12 to 14	Tax returns and financial statement		
62	Financial statements outlining all expenses related to the application and business expenses.		
63	TOTAL Score	82.00	Total Possible Points 100



A	B	C	D
64	H.		
65	1		criminal background checks provide un section G. All other material provided including training samples on HIPAA.
66	2		
67	3		
68	4		
69	5		
70		44.00	Total Possible Points 50
71	1.		
72	1		The parent company has extensive experience however it is unclear how they will be involved with the New Mexico project.
73		36.00	Total Possible Points 50
74			
75		40.00	The applicants parent organization has extensive experience in growing medical cannabis and this applicant has a solid financial backing. I find some of their distribution choices rather off-putting and not patient focused..
76		872	Total Possible Points 1000
77			



A	B	C	D
1	Purifier		Evaluator: Andrea Sunberg
2		Score	COMMENTS
A.	Summary		<p>Production and distribution at same location in ABQ. The applicant obviously has a strong knowledge and experience in the growth and development of medical cannabis. It seems the applicant provided us with their employee training manual which provided the reviewer with good information on their operations. All other materials provided.</p>
B.	Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)		
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.		
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.		
4	Production Capacity to include expansion plans, if any.		
5	Street Address		
6	Equipment		
7	Appropriate licenses, local zoning, business license. Fire inspection		
8	Property Owner Information and Approval		
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.		
13			
14	Written Acknowledgement of Plant Limit		
15	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards		
16	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.		
17	Air Treatment/Filtration systems to be used		
18	TOTAL Score	185.00	Total Possible Points 200



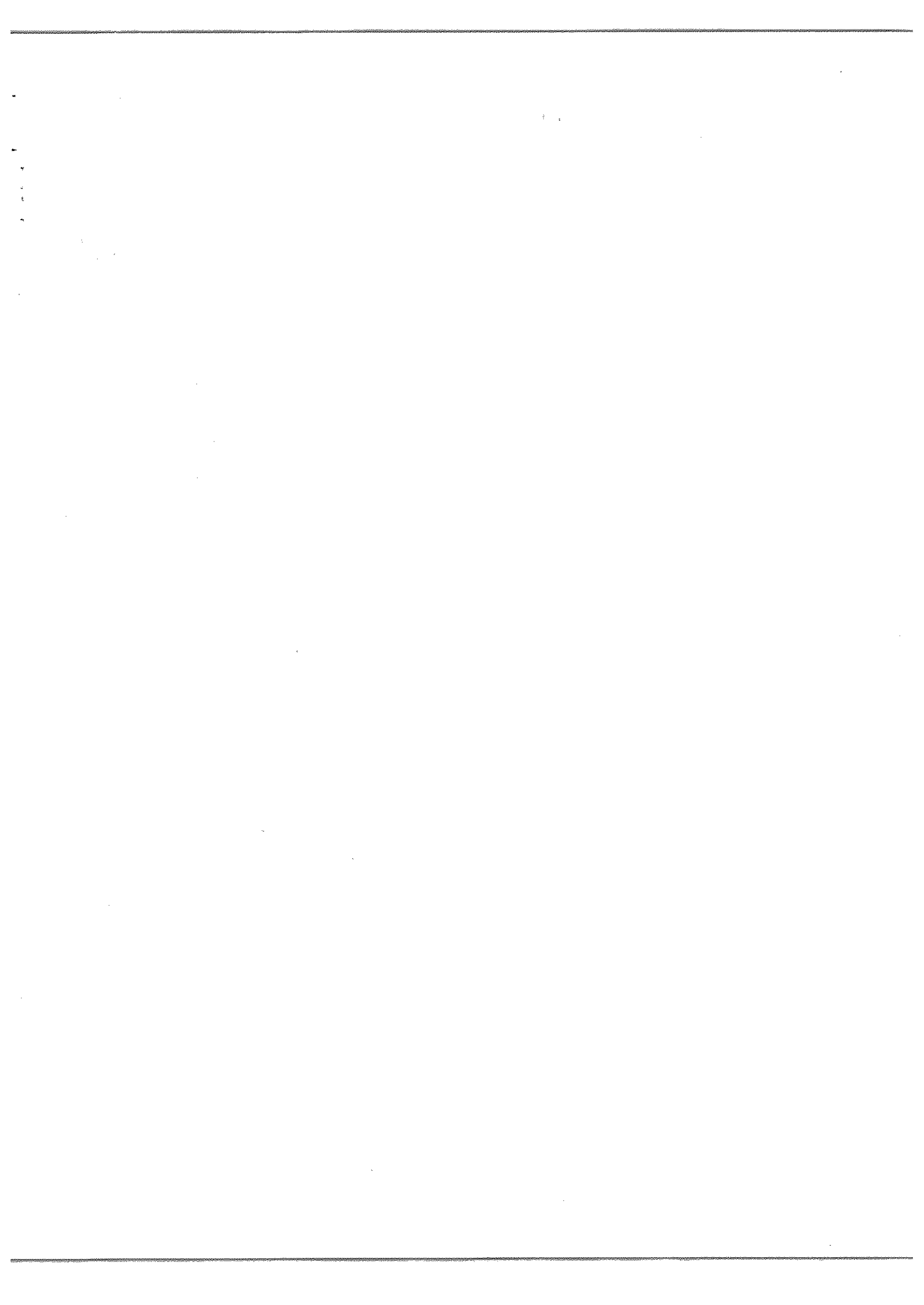
A	B	C	D
19	C. Business Plan		
1	Description of funding of operation and how applicant intends to ensure success including costs of staff		Business plan was adequate. The salaries for most of the staff outside of management seem very low. In some cases barely being minimum wage with the manager making 6x what some employees are earning. Good experience.
21	Experience/qualifications of staff		
22	TOTAL Score	42.00	Total Possible Points 50
23	D. Sales and Distribution Plan		Applicant has a very strong distribution plan with really great east to understand education. I did not find any information on delivery services. All required materials submitted.
24	Plan for safe distribution		
25	Products to be dispensed		
26	Delivery Services to include anticipated fees		
4	Marketing Plan, including web materials, education materials, posters, brochures, etc.		
27	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,		
5			
28			
29	Transportation of cannabis and CDP.		
7	Description of measures taken to ensure confidentiality of enrollees.		
30			
31	Means of educating enrollees on limitations		
32	Means of educating enrollees on quality of product		
33	Ingestion options		
11	Inhalation techniques provided for ingestion of product		
34			
35	Education on side effects		
33 to 14	Education on reporting adverse events and concerns regarding customer service and products.		
36			
15	Written acknowledgement that plant count will not exceed approved limit		
37			
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.		
38			
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.		
39			
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.		
40			
41	TOTAL Score	185.00	Total Possible Points 200



A	B	C	D
42 E.	Security Plan		
43 1	Description of Security devices in production and distribution		The applicant has a strong security plan. It does concern me a little that one of the main parties in the application owns the security company and this was not clearly stated in the security information. Other than that a strong plan.
44 2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.		
45 3	Description of how production location will be limited to employees only.		
46 4	Description of security company services.		
47 5	Limitation of access		
48	TOTAL Score	142.00	Total Possible Points 150
49 F.	Quality Assurance Plans		
50 1	Method and Process to ensure purity of product.		Strong quality assurance with a focus on cleanliness and employee training as well as testing.
51 2	Process of ensuring consistency of dose		
52 3	Testing		
53 4 to 5	Adverse event and reports to DOH from enrollees		
54	TOTAL Score	145.00	Total Possible Points 150
55 G.	Financial and Organizational Information		
56 1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate		All information submitted. The applicant did not provide any information related to one of their board members owning accompany with which they have contracted services. I believe this should have been provided.
57 6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.		
58 7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.		
59 8 to 10	Organizational Chart, Resumes, compensation Agreements		
60 11	Description of outstanding debts including loans, compensation agreements,		
61 12 to 14	Tax returns and financial statement		
62	Financial statements outlining all expenses related to the application and business expenses.		
63	TOTAL Score	71.00	



A	B	C	D
64	H. Personnel Materials		
65	1 Criminal Background checks		No criminal background checks provided with application for any party. All other materials provided.
66	2 Personnel Policies and Procedures		
3	Sample personnel record to include: application, state and federal employment documentation, job description		
67			
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training		
68			
69	5 HIPAA Training		
70	TOTAL Score	24.00	Total Possible Points 50
71	I. Agricultural and Production Experience		
1	Description of applicants or employees skills knowledge and experience in agriculture.		A few people involved with this application have clear agricultural and cannabis experience
72			
73	TOTAL Score	50.00	Total Possible Points 50
74	Organization and Clarity of Materials		
	Relevant experience of key personnel based upon the resumes and experience narratives submitted.		
75	Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.		applicant submitted a strong application however it was lacking all criminal background checks and a clear statement of financial benefit to Board members who owns security company. The applicants have experience with medical cannabis industry.
76	TOTAL Score	39.00	Total Possible Points 50
	Overall Application Score and reviewer Opinion	88.5	Total Possible Points 1000
77			



Applicant: <u>Puffe</u>		Score: <u>15-42</u>		Evaluator: <u>MILLS</u>	
		Score		COMMENTS	
A:	Summary				
B:	Production Plan				
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)		hydroponic deep H ₂ O culture	Sun Notes AB (for Sat 10-6 Sun 12-6) M-Sun 7:30-5	
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.			5 grow rooms - good equipment - 450 plants RO of municipal H ₂ O	
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.			Contract out to 'Puro Air Control' so won't have problems - Puro: not work shoes - kept at 6.11 - detailed protocols - 1st harvest after 10 wks of vegetating cycle & takes 6 harvests @ 100 lbs per 1 can @	
4	Production Capacity to include expansion plans, if any.			- good lot of cult. H ₂ O eqpp - zoning?	
5	Street Address	<u>1038 Santa Fe</u>			
6	Equipment				
7	Appropriate licenses, local zoning, business license, Fire inspection				
8	Property Owner Information and Approval				
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.				
13	Written Acknowledgement of Plant Limit				
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards				
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.				
16	Air Treatment/Filtration systems to be used				
TOTAL Score		<u>195</u>		- e filter UV + ozon generator	
TOTAL Possible Points 200					

sp. = 111 no marketing budget

Ambitious (-) did not include salary for staff
 estimate prot with 500k, 1000 prescriptions, yr 2 on = 1400 lbs
 high start-up costs (> \$1M) ABC is 40% of market (90% of market)
 Public officers provide staff, emergency equipment, public health
 - Law security, business med cal, grow

C.	Business Plan		Total Possible Points 50
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	I	
2	Experience/qualifications of staff		
D.			
	Sales and Distribution Plan		
1	Plan for safe distribution		
2	Products to be dispensed	(+)	
3	Delivery Services to include anticipated fees	(-)	
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	4	
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,		
6	Transportation of cannabis and CDP.	(-)	
7	Description of measures taken to ensure confidentiality of enrollees.	✓	
8	Means of educating enrollees on limitations	✓	
9	Means of educating enrollees on quality of product	✓	
10	Ingestion options		
11	Inhalation techniques provided for ingestion of product	-	
12	Education on side effects	✓	
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	✓	
15	Written acknowledgement that plant count will not exceed approved limit	✓	
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	✓	
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.		
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	✓	
TOTAL Score		185	Total Possible Points 200

target return- pop near VAMC
 San Metro ABC
 will deliver detailed info, add'l for "Herb & Willow"
 3. Manifest, CoC, Travel log, vehicle vault
 \$5-25 fee (-) (GPS?)
 - label looks fine
 4. Online social media, direct mail, paper
 no sample pkging - brief description
 see #3

good educ materials

hrs of disp: M-Sat, 10-6
 Sun 12-6

E.	Security Plan		Total Possible Points 100
1	Description of Security devices in production and distribution	(+)	NT Freeway The Security (BOD number co) Security office emergency generator for prod-ship excellent security plan diversion prevention
2	Detailed description of any processes or controls used to prevent diversion, theft or loss of product		
3	Description of how production location will be limited to employees only.	(+)	
4	Description of security company services.	✓	
5	Limitation of access	✓	
TOTAL Score		150	Total Possible Points 150
F. Quality Assurance Plans			
1	Method and Process to ensure purity of product.		very good QA plan - gets used frequently of more test - addresses the stability of QA in the opinion process
2	Process of ensuring consistency of dose		
3	Testing		excellent samples of report methods for patients
4 to 5	Adverse event and reports to DOH from enrollees		
TOTAL Score		150	Total Possible Points 150
G. Financial and Organizational Information			
1 to 5	Articles of Incorporation, Bylaws, Business License, Tax and Revenue Registration certificate		
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.		- BOB: 8, including TPA + 3 patients
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.		
8 to 10	Organizational Chart, Resumes, compensation Agreements		
11	Description of outstanding debts including loans, compensation agreements,		
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.		Tax returns not filed - new company
TOTAL Score		100	Total Possible Points 100

HI	Personnel Materials			
1	Criminal Background checks	✓		- complete. No records.
2	Personnel Policies and Procedures			- extremely comprehensive. do
3	Sample personnel record to include: application, state and federal employment documentation, job description	✓		- complete
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training			- Very thorough
5	HIPAA Training			
	TOTAL Score	50		Total Possible Points 50
I.	Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.			M.F. from Fox St. Wellness. Cannabis grower in CO has had >100 harvests from 10-2016s
	TOTAL Score			Total Possible Points 50
	Organization and Clarity of Materials			
	Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.			very organized + readable but a lot of jumping back + forth to find info. needed a key
	TOTAL Score	40		Total Possible Points 50
	Overall Application Score and reviewer opinion			Total Possible Points 1000

15-42

Applicant: <u>KARLIFE</u>		Evaluator: <u>Lynn Gallagher</u>	
		COMMENTS	
A.	Summary	Score	
B.	Production Plan		
1	Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor)	30	Production / Distribution - ALBUQUERQUE (San Mateo)
2	If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS.	Deep water Hydroponic	Using Sheep Hill Leaks MT Freeway seed to see tracky knowledge Strong Presentation of solvent, filtration, & Grow methods, details in equipment, water soil, atmosphere, procedures water tolerance policies Water Re system Organic / Deep water Hydroponic
3	How applicant will limit employee exposure to potential unsafe chemicals and conditions.	20	CO2 enrichment 450 total plants @ various stages Very sophisticated, detailed equipment Very strong details on grow method & equipment
4	Production Capacity to include expansion plans, if any.	20	Strong description Provides of inventory books ABQ Business license letter of intent to lease San Mateo Property for crop - owner contact
5	Street Address	20	Property well defined Photos, Description, Floor plans for grow
6	Equipment	25	Very well defined ABQ city & de equipment letter of compliance written acknowledgment & attestation data URS Description provided; NMOR description
7	Appropriate licenses, local zoning, business license. Fire inspection	10	
8	Property Owner Information and Approval	10	
9 to 12	Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc.	15	
13	Written Acknowledgement of Plant Limit	10	
14	Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards	10	
15	Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements.	10	
16	Air Treatment/Filtration systems to be used	20	HVAC, Decontator
TOTAL Score		185	Total Possible Points 200

1-23-37

1937

1937

Business Plan			
1	Description of funding of operation and how applicant intends to ensure success including costs of staff	25	\$1.1 million in private loans @10% interest Banking established thru SW Capital Bank Strong financial plan for success.
2	Experience/qualifications of staff	25	
TOTAL Score		50	Total Possible Points 50
D. Sales and Distribution Plan			
1	Plan for safe distribution	20	Distribution in Albuquerque - San Pedro
2	Products to be dispensed	10	Strong Plan for Distribution; identification of product & approval
3	Delivery Services to include anticipated fees	10	Sample Packaging labels very well accounted; inventory tracking
4	Marketing Plan, Including web materials, education materials, posters, brochures, etc.	10	Strong delivery policies & procedures \$5/10/25 delivery based on location
5	Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion,	10	Full Spectrum of products will be available
6	Transportation of cannabis and CDP.	10	Online Marketing; literature; education word of mouth; direct marketing
7	Description of measures taken to ensure confidentiality of enrollees.	10	Very Strong Education Curriculum attached
8	Means of educating enrollees on limitations	10	
9	Means of educating enrollees on quality of product	10	
10	Ingestion options	15	
11	Inhalation techniques provided for ingestion of product	15	
12	Education on side effects	15	
13 to 14	Education on reporting adverse events and concerns regarding customer service and products.	15	
15	Written acknowledgement that plant count will not exceed approved limit	10	Acknowledgments & attestations provided
16	An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations.	10	
17	Attestation that enrollees must provide a valid patient card and valid NM ID for sales.	10	
18	Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc.	10	Very strong details of facility, floor plan photos
TOTAL Score		200	Total Possible Points 200

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Main body of handwritten text, consisting of several vertical columns of cursive script.

E. Security Plan			
1	Description of Security devices in production and distribution	30	TRU Security Inc - ABA - 24/7 Security Secure entry, Alarm, Motion Detection Sensors, Panic Alarm, Fire Alarms, Temp Alarms Video Monitoring
2	Detailed description of any processes or controls used to prevent diversion, theft or loss, of product.	30	Training of Security procedures detailed Strong Compliance requirements attached
3	Description of how production location will be limited to employees only.	30	
4	Description of security company services.	30	
5	Limitation of access	30	
TOTAL Score		150	Total Possible Points 150
F. Quality Assurance Plans			
1	Method and Process to ensure purity of product.	35	Very Detailed, Strong Quality Assurance Plan Training, Testing, Compliance Meeting, Reporting Procedures and logs created for adverse events
2	Process of ensuring consistency of dose	30	
3	Testing	30	
4 to 5	Adverse event and reports to DOH from enrollees	30	
TOTAL Score		125	Total Possible Points 150
G. Financial and Organizational Information			
1 to 5	Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate	10	Articles, By Laws, ABA Permit, CES
6	Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients.	10	5 Members (Nurse, Medical Provider PA, Pharmacist, RN, 3 patients)
7	Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management.	10	Letter of Intent to Lease - ABO Property Compensation Agreements
8 to 10	Organizational Chart, Resumes, compensation Agreements	10	Org Chart, Resumes
11	Description of outstanding debts including loans, compensation agreements,	20	Loan Agreement 500K @10%; 400K @10% 200K @10%
12 to 14	Tax returns and financial statement Financial statements outlining all expenses related to the application and business expenses.	10	Provide Financial Statements of 5 years w/ expenses
TOTAL Score		70	Total Possible Points 100

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H. Personnel Materials			
1	Criminal Background checks	10	<p>NS checks; FBI checks (3M logant) Very Strong Policies & Procedures; Security, Dispensing, Culture & Delivery Employee Application; Job Descriptions Employee Orientation; Employee Handbook Food Handling Certification HIPAA training identified; 12 Certificates</p> <p>Total Possible Points 50</p>
2	Personnel Policies and Procedures	10	
3	Sample personnel record to include: application, state and federal employment documentation, job description	10	
4	Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training	10	
5	HIPAA Training	10	
TOTAL Score		50	
1. Agricultural and Production Experience			
1	Description of applicants or employees skills knowledge and experience in agriculture.	40	<p>Denser - Kansas's growing experience Extensive Knowledge</p> <p>Total Possible Points 50</p>
TOTAL Score		40	
Organization and Clarity of Materials			
	Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.	50	<p>Applicant is well knowledgeable and Application shows thorough attention and plan for success.</p> <p>Total Possible Points 50</p>
TOTAL Score		50	
Overall Application Score and reviewer Opinion		900	Total Possible Points 1000

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| Applicant: <i>Organica</i> | | Score | Evaluator: <i>Cynthia Gallegos</i> |
|----------------------------|---|-------|---|
| COMMENTS | | | |
| A. | Summary | | |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic; indoor vs. outdoor) | 30 | Production = Albuquerque (near 1-40/Anita)
Distribution = Los Lunas & Rio Rancho
(not the most rural locations)
Former store at SWOP & Recycled
Misigned Appendix B by Timothy Scott
Winnera Cann
No additional info for those who noted
relevant business experience
relevant business = LPA
by Andy McKay = LPA
A.R.T. (Amud response team)
Security by Scott to protect on 5150 square
feet of by Scott - possession locate
financing
Soil based plan for growth, container
production / Municipal water
Ro water system / Segregated grow rooms
(stronger) Detailed Air-flow lighting
T-5 lighting system
Detailed property description
Plan for facility & expansion
USO plant count (234 seedling / 216 flowers)
34 plants/week = 5 harvests / yr.
Carbon Filtration Systems
Also - Abatement - UV neutralizing
chambers |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | N/A | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | |
| 4 | Production Capacity to include expansion plans, if any. | 20 | |
| 5 | Street Address | 20 | |
| 6 | Equipment | 20 | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 15 | |
| 8 | Property Owner Information and Approval | 15 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 15 | |
| 13 | Written Acknowledgement of Plant Limit | 5 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 5 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 15 | |
| 16 | Air Treatment/Filtration systems to be used | 20 | |
| TOTAL Score | | 185 | Total Possible Points 200 |



| C. Business Plan | | | |
|--------------------------------|--|-----|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | <p>\$4.5 Million financing (20% over 5 years) wholesale agreements former CND knowledge base</p> <p>Total Possible Points go</p> |
| 2 | Experience/qualifications of staff | 25 | |
| TOTAL Score | | 50 | |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 10 | |
| 2 | Products to be dispensed | 10 | |
| 3 | Delivery Services to include anticipated fees | 20 | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | |
| 6 | Transportation of cannabis and CDP. | 10 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | |
| 8 | Means of educating enrollees on limitations | 10 | |
| 9 | Means of educating enrollees on quality of product | 10 | |
| 10 | Ingestion options | 10 | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | |
| 12 | Education on side effects | 10 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 20 | |
| TOTAL Score | | 200 | Total Possible Points 200 |

Distribution in Los Lunas & Rio Rancho (How will rural patients be affected) (weakeness)

Partnership w R. Green (least to expand delivery capacity (streets) Barner in south (check points)?

Great marketing material. Education covers whole state; website is comprehensive. First state with Detail? Side effect

Testing (Step 4/11?)

Warnings signs; legal requirements clearly known

Clear labeling; child resistant packaging

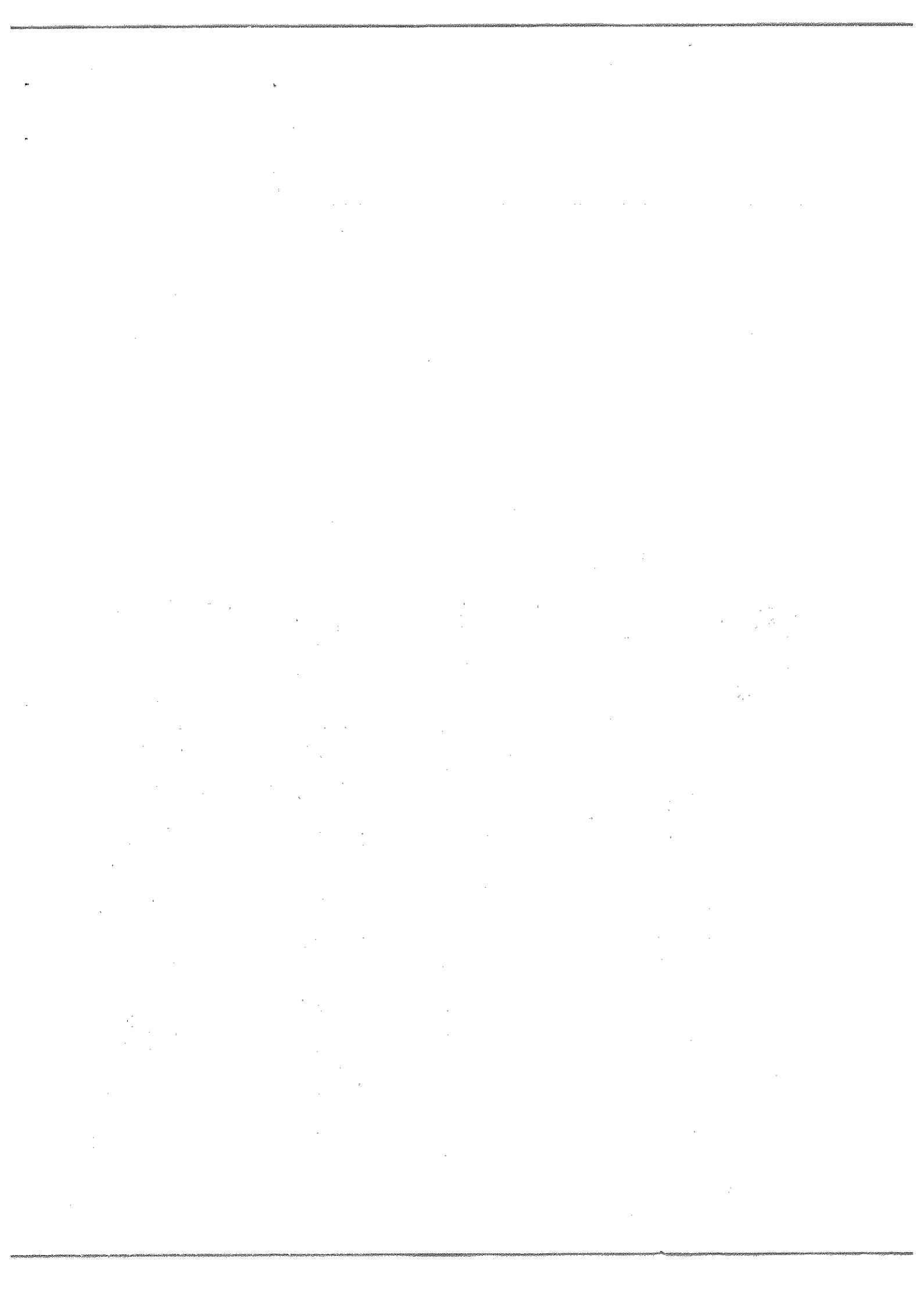
Mobile Retail; Clinic - Vehicle w/ Satellite tracking

Hydration; Passover; rural banks edibles, salves, tinctures, oils, sprays snoots, vapors, pipes

Very Detailed & comprehensive



| E. Security Plan | | | |
|---|---|----------------------|--|
| 1 | Description of Security devices in production and distribution | 40 | Well described security process and monitoring with monitoring and detailed diagram a detail in vehicle mobile monitoring / vehicle tracking logs Maintenance logs |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | |
| 3 | Description of how production location will be limited to employees only. | 30 | |
| 4 | Description of security company services. | 20 | |
| 5 | Limitation of access | 30 | |
| TOTAL Score | | 150 / 150 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 50 | Shows a fundamental knowledge of the Method & process for ensuring purity consistency of dosing & a consistent way to high testing requirements consistency rules |
| 2 | Process of ensuring consistency of dose | 30 | |
| 3 | Testing | 40 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 30 | |
| TOTAL Score | | 150 / 150 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 10 | Articles, By-laws, Permit, Inspection, REG CER |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 1 LPN: 3 patients
Detailed org chart |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | Pg. 178 → NO Agreements |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 15 | Compensation description included |
| 11 | Description of outstanding debts including loans, compensation agreements, | 15 | Promissory Note 4.470 with Steven Jackson 20% wk for 5 years |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 10 | the returns financial statements provided |
| TOTAL Score | | 85 60 | Total Possible Points 100 |



| H. Personnel Materials | | | |
|--|--|------------------|---|
| 1 | Criminal Background checks | 10 | Criminal checks through Carter's investigation
Solid Personnel Policies & Procedures
Employee Handbook, Code of Ethics
Quality Assurance Manual
Safety Manual
Oral & Written Masterize (HIPAA Secure Mail-online training) |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | Total Possible Points 50 |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50 50 | |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | All members have prior LAMP experience
6 years in NJ freeway plan training
Total Possible Points 50 |
| TOTAL Score | | 50 | |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50 | THIS Applicant Shows requisite skill use
& knowledge of legal & admin responsibilities use
Act, Agricultural Manual, Security & Strategic
plan. More Rural focus will be helpful
Total Possible Points 50 |
| TOTAL Score | | 50 50 | |
| Overall Application Score and reviewer Opinion | | | Total Possible Points 1000
985 |



| Applicant: Organica | | Score | Evaluator: Dawn Hunter |
|----------------------------|--|---------------|---|
| COMMENTS | | | |
| A. | Summary | | <p>Summary is well-organized and succinct, and address relevant experience and interest. Production plan is modeled on what is described as a successful and currently operating production facility in NM. It provides enough detail to understand the process, but could perhaps use more description around stages of growth and waste disposal. Barcode system seems to be a useful way of tracking and collecting data, and also supports safety measures. Good visuals. Good description of hygiene practices and how that relates to plant access. Separation of flowering, drying, packaging rooms. Work space seems to be allocated in such a way as to support proposed activities and uses. There is a note that although Albuquerque based, intention is to distribute statewide. Training and licensure for pesticide application are included, as well as emergency fobs, employee IDs, and other things to keep employees safe. There is a description of proposed expansion if permitted in the future, but the facility will start out at full capacity. Numbers provided regarding production capacity. No clear indication of start-up time in days/months at least in this section. Very detailed descriptions of equipment in the pods. No documentation regarding zoning but indication that proposed facility is in an Mt zone. Proposed pesticides listed, and references included regarding WPS standards and NMDA pesticide compliance; could have a little more description of how knowledge was obtained rather than just providing brochures. There does not appear to be a copy of the application included in the materials.</p> |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 40 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | |
| 4 | Production Capacity to include expansion plans, if any. | 20 | |
| 5 | Street Address | 10 | |
| 6 | Equipment | 10 | |
| 7 | Appropriate licenses, local zoning, business license, Fire inspection | 8 | |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 15 | |
| 16 | Air Treatment/Filtration systems to be used | 10 | |
| TOTAL Score | | 183.00 | |

| C. Business Plan | | | |
|--------------------------------|--|---------------|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | Plan addresses start-up time, proposed MOUs, and costs associated with sale and production. It is not clear how the retail value, target retail value, and wholesale or actual value were established. There is a detailed accounting report. Risk management is addressed. There isn't a breakdown of personnel costs or otherwise and indication of what projections are based on. There are brief descriptions about the experiences and qualifications of staff.

Total Possible Points 50 |
| 2 | Experience/qualifications of staff | 10 | |
| TOTAL Score | | 35.00 | |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 15 | |
| 2 | Products to be dispensed | 10 | |
| 3 | Delivery Services to include anticipated fees | 9 | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | |
| 6 | Transportation of cannabis and CDP. | 8 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 9 | |
| 8 | Means of educating enrollees on limitations | 10 | |
| 9 | Means of educating enrollees on quality of product | 10 | |
| 10 | Ingestion options | 10 | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | |
| 12 | Education on side effects | 10 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 20 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | |
| TOTAL Score | | 191.00 | Total Possible Points 200 |

| E. Security Plan | | | |
|---|---|---------------|---|
| 1 | Description of Security devices in production and distribution | 30 | There is a detailed description of security features with visuals for all facilities. There is secure access and overnight security. Delivery security is also described. The description of processes and controls to prevent diversion are pretty short, but the technology used to support operations has been sufficiently described and clearly supports these efforts. Production facility is combined with patient areas, so it's not limited to employees at the site, but there are security measures in place to prevent unauthorized access. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 20 | |
| 3 | Description of how production location will be limited to employees only. | 15 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 30 | |
| TOTAL Score | | 125.00 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 40 | Methods to ensure purity are clearly described, and promote accountability and transparency. Test results will be used to establish dosing. There is no discussion of batch consistency. Testing is described and quality assurance procedures are outlined in the manual provided. Patient education on adverse event reporting is thorough, but there is no discussion of follow-up when such reports are received. |
| 2 | Process of ensuring consistency of dose | 30 | |
| 3 | Testing | 40 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 25 | |
| TOTAL Score | | 135 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | All requested documents were provided. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | |
| TOTAL Score | | 100 | Total Possible Points 100 |

| H. Personnel Materials | | | |
|---|--|--------------|---|
| 1 | Criminal Background checks | 9 | Background checks were provided. One employee does have an arrest for possession of a controlled substance but it's not clear what the disposition was. Policies and procedures, personnel record, and training curriculum are thorough and organized. All currently identified employees completed HIPAA training. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 49.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | Organica has a connection to existing producers that informs its knowledge base and guide for best practices. Employees seem to have relevant knowledge and experience.
Total Possible Points 50 |
| TOTAL Score | | 50.00 | |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50 | Documented experience with Southwest Organic Producers and R. Greenleaf Organics, as well as Producers Guild, Minerwa, and Sandia Botanicals. Application is very technology driven. Overall well-organized and thought out. |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 98.00 | Total Possible Points 1000 |

| A | B | C | D |
|---------|---|--------|--|
| 1 | Applicant: Organica | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | Strong application with a well laid out production plan. All other information included. 450 plants in soil production in ABQ along with distribution. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 8 | | | |
| 5 | Street Address | | |
| 9 | Equipment | | |
| 10 | | | |
| 6 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 11 | | | |
| 12 | Property Owner Information and Approval | | |
| 8 | | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 13 | | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | | | |
| 17 | Air Treatment/Filtration systems to be used | | |
| 16 | | | |
| 18 | TOTAL Score | 182.00 | Total Possible Points 200 |

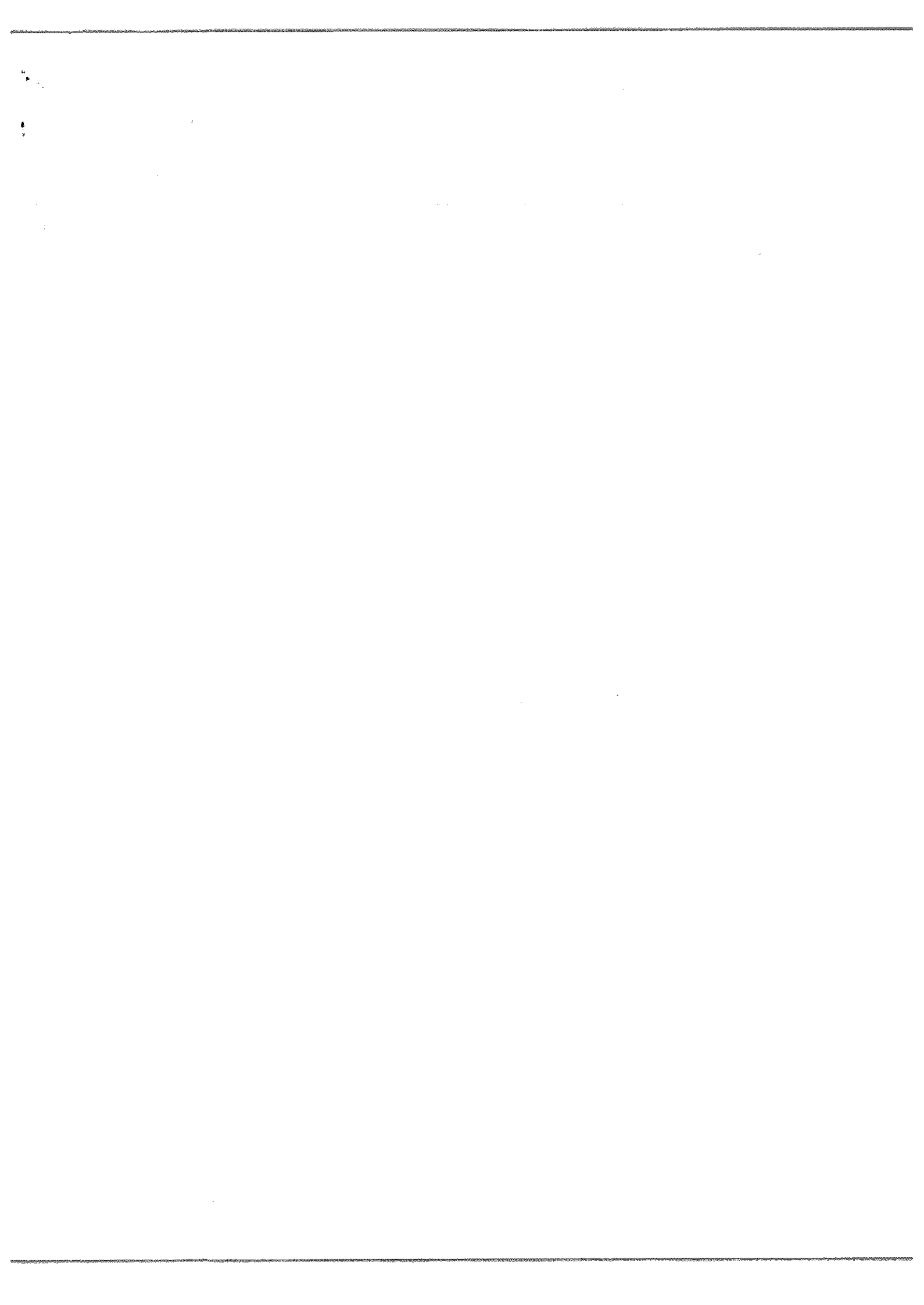
| | A | B | C | D |
|----------|--|---|--------|--|
| 19 | C. Business Plan | | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | | <p>Applicant uses their experience with other producers as a plus, however I have serious concerns about cross mingling with other producers as this can create monopolies which can affect price. We have found several of the people involved with their applicant to be highly combative and overly critical of program. They have strong financial backing.</p> <p>Total Possible Points 50</p> <p>Distribution in Los Luna's with delivery throughout State. I think the marked cars are a problem for confidentiality and security. Have a strong delivery plan reaching entire state once a week. Strong labeling.</p> |
| 20 | Experience/qualifications of staff | | | |
| 21 | | | | |
| 22 | TOTAL Score | | 38.00 | |
| 23 | D. Sales and Distribution Plan | | | |
| 24 | Plan for safe distribution | | | |
| 25 | Products to be dispensed | | | |
| 26 | Delivery Services to include anticipated fees | | | |
| 27 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | | |
| 28 | | | | |
| 29 | Transportation of cannabis and CDP. | | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | | | |
| 30 | | | | |
| 31 | Means of educating enrollees on limitations | | | |
| 32 | Means of educating enrollees on quality of product | | | |
| 33 | Ingestion options | | | |
| 11 | Inhalation techniques provided for ingestion of product | | | |
| 34 | | | | |
| 35 | Education on side effects | | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | | | |
| 36 | | | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | | | |
| 37 | | | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | | |
| 38 | | | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | | |
| 39 | | | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | | |
| 40 | | | | |
| 41 | TOTAL Score | | 175.00 | Total Possible Points 200 |

| A | B | C | D |
|----|---|-------|--|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | All information provide. Complete personnel manual. |
| 66 | 2 Personnel Policies and Procedures | | |
| 67 | 3 Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 68 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 69 | 5 HIPAA Training | | |
| 70 | TOTAL Score | 42.00 | Total Possible Points 50 |
| 71 | 1. Agricultural and Production Experience | | |
| 72 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | Patient have experience in cannabis grows at multiple producers. |
| 73 | TOTAL Score | 42.00 | Total Possible Points 50 |
| 74 | Organization and Clarity of Materials | | |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | strong and well organized application. Applicant has multiple connections with other producers, which can be a slight negative as it can impact price is producers work together but they are familiar with the program. |
| 76 | TOTAL Score | 40.00 | Total Possible Points 50 |
| 77 | Overall Application Score and reviewer Opinion | 88% | Total Possible Points 1000 |

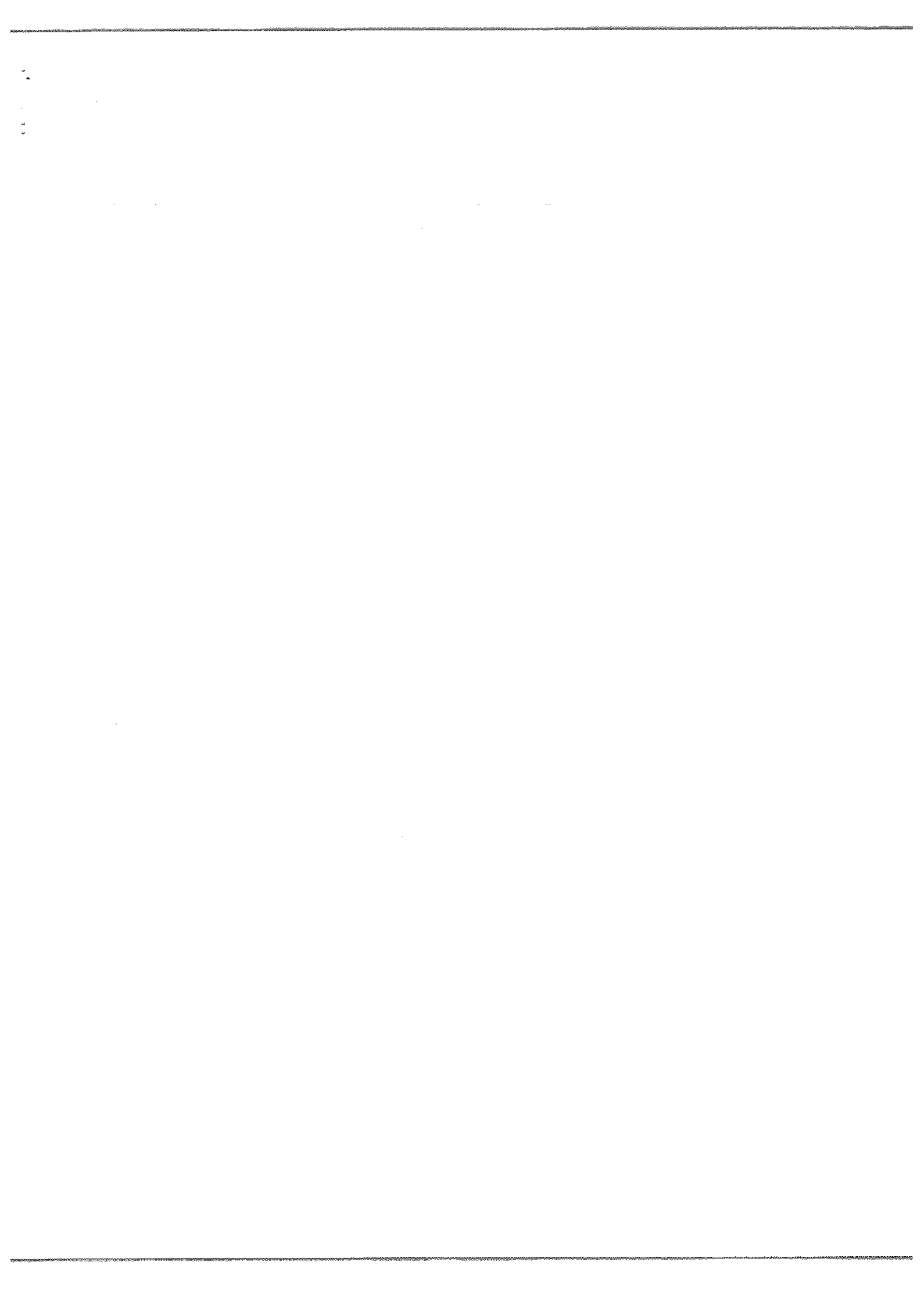
| A | B | C | D |
|--------|---|--------|---|
| 42 | E. Security Plan | | |
| 1 | Description of Security devices in production and distribution | | Security on delivery is questionable due to advertisement on vehicle. Other security plan is appropriate. Would have lied more on emergency procedures. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 3 | Description of how production location will be limited to employees only. | | |
| 4 | Description of security company services. | | |
| 5 | Limitation of access | | |
| 48 | TOTAL Score | 35.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 1 | Method and Process to ensure purity of product. | | Strong quality assurance with a complete quality assurance manual. |
| 2 | Process of ensuring consistency of dose | | |
| 3 | Testing | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | | Total Possible Points 150 |
| 53 | TOTAL Score | 142.00 | |
| 54 | | | |
| 55 | G. Financial and Organizational Information | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | Provided all materials Strong financial backing. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 58 | | | |
| 59 | Organizational Chart, Resumes, compensation Agreements | | |
| 60 | Description of outstanding debts including loans, compensation agreements, | | |
| 61 | Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 87.00 | Total Possible Points 100 |

15-79

| Applicant: <i>Sean Robert Adams</i> | | Evaluator: <i>Lynn Gallagher</i> | |
|-------------------------------------|---|----------------------------------|---|
| Summary | | Score | COMMENTS |
| Production Plan | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 30 | Production & Disbursement Budget / 1716 grams
4520 plant count; indoor & greenhouse
Strong grow plan identified |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | N/A | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | Detailed protocols for ensuring safety |
| 4 | Production Capacity to include expansion plans, if any. | 20 | Good expansion plan, identified
805 gms |
| 5 | Street Address | 10 | Detailed equipment list |
| 6 | Equipment | 15 | Owner concerns |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 | Good Description & aerial photos |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 15 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 15 | Acknowledgments &
Description provided |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 15 | WPS Certificates |
| 16 | Air Treatment/Filtration systems to be used | 10 | Carbon filter; rural location |
| TOTAL Score | | 180 | Total Possible Points 260 |



| C. Business Plan | | | |
|--------------------------------|--|-----|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | \$925K separate private investors |
| 2 | Experience/qualifications of staff | 25 | Strong Business Plan w/ Projecons, budgets growth + experience shown |
| TOTAL Score | | 50 | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 25 | Distribution in Albuquerque & Las Cruces area usable, edibles concentrates, sellers, 2/15 - 600 hours w/ Buddha, Paz, Bharg/Chocolate Centered 1to + shorts delivery
Marketing hrs & discussion, Patient awareness relationship - website packaging / labeling samples provided
Strong education descriptions provided
Acknowledgments & Attestation attached
Detail Photos, descriptions & maps of facilities |
| 2 | Products to be dispensed | 15 | |
| 3 | Delivery Services to include anticipated fees | 15 | |
| 4 | Marketing Plan, including web materials, education materials, posters, brochures, etc. | 15 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | |
| 6 | Transportation of cannabis and CDP. | 10 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | |
| 8 | Means of educating enrollees on limitations | 10 | |
| 9 | Means of educating enrollees on quality of product | 10 | |
| 10 | Ingestion options | 10 | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | |
| 12 | Education on side effects | 10 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | |
| TOTAL Score | | 200 | Total Possible Points 200 |



| E. Security Plan | | | |
|---|---|-----|--|
| 1 | Description of Security devices in production and distribution | 30 | APIC Solutions, Inc |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 50 | Strong Safety Plan
Camera, Surveillance, Panic Buttons
Trains 24/7 monitoring, Fire Alarms |
| 3 | Description of how production location will be limited to employees only. | 30 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 30 | |
| TOTAL Score | | 150 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 35 | QA Plan - Organic, testing, quality control |
| 2 | Process of ensuring consistency of dose | 35 | Standardized testing |
| 3 | Testing | 35 | Reporting mechanisms outlined |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 35 | |
| TOTAL Score | | 140 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 10 | Articles, Bylaws, CES, ABO Permit |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 7 Members (1 RN, 1 MD, 3 Patients) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | Operating Agreements |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 10 | Org. Description, Resumes |
| 11 | Description of outstanding debts including loans, compensation agreements, | 10 | Bank E-Scan Statements \$590k |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 15 | Applicant & Backer Tax Returns
Financial Statements |
| TOTAL Score | | 65 | Total Possible Points 100 |



| Personnel Materials | | | |
|---|---|------------|--|
| 1 | Criminal Background checks | 10 | PPS / Security Checks |
| 2 | Personnel Policies and Procedures | 10 | Jobs Descriptions - Employee Application |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | Strong training outlines |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | HIPAA Described |
| | TOTAL Score | 50 | Total Possible Points 50 |
| Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 25 | Demonstrated knowledge & skills of Agriculture & horticulture |
| | TOTAL Score | 25 | Total Possible Points 50 |
| Organizational Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 30 | Detailed application, key contracts in place w/ BioTrack, Stage 1511, EAB & other contract maker, H5 H5073 |
| | TOTAL Score | 30 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 890 | Total Possible Points 1000 |

1
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| Applicant: Seven Point Farms | | Score | Evaluator: Dawn Hunter |
|------------------------------|---|---------------|--|
| COMMENTS | | | |
| A. | Summary | | <p>Bosque production, Albuquerque distribution. Summary provides overview of applicant interest and qualifications. 450 plants, indoor and greenhouse, soil method. Good detail on the growth plan. Limiting employee exposure covers a variety of hazards. Equipment list with purpose provided, could have more detail on specific equipment items. Several agreements and letters of support provided, including Hot Shot, Budder Pros, Sleep Hill, Chocolate Cartel, and BioTrack.</p> |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 50 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | |
| 4 | Production Capacity to include expansion plans, if any. | 20 | |
| 5 | Street Address | 10 | |
| 6 | Equipment | 10 | |
| 7 | Appropriate licenses, local zoning, business license, fire inspection | 10 | |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 | |
| 16 | Air Treatment/Filtration systems to be used | 10 | |
| TOTAL Score | | 200.00 | |

| C. Business Plan | | | | |
|--------------------------------|--|--------------------|---------------|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | | For profit member managed LLC Szyzyg Farm Management to provide startup funding. Overall the plan information is solid, but there could be a more developed market analysis, identification of sales units and price per unit. |
| 2 | Experience/qualifications of staff | 20 | | |
| | | TOTAL Score | 45.00 | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | | |
| 1 | Plan for safe distribution | 20 | | Plans for locations in Albuquerque and Las Cruces, and delivery along the Rio Grande Corridor. It seems as though a lot of research went into identifying needs and locations. There could be more detail about the security measures to ensure confidentiality. Great info around enrollee education. Overall a solid section. |
| 2 | Products to be dispensed | 10 | | |
| 3 | Delivery Services to include anticipated fees | 10 | | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | | |
| 6 | Transportation of cannabis and CDP. | 10 | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 6 | | |
| 8 | Means of educating enrollees on limitations | 10 | | |
| 9 | Means of educating enrollees on quality of product | 10 | | |
| 10 | Ingestion options | 10 | | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | | |
| 12 | Education on side effects | 10 | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 20 | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas, Break rooms, etc. | 10 | | |
| | | TOTAL Score | 196.00 | |

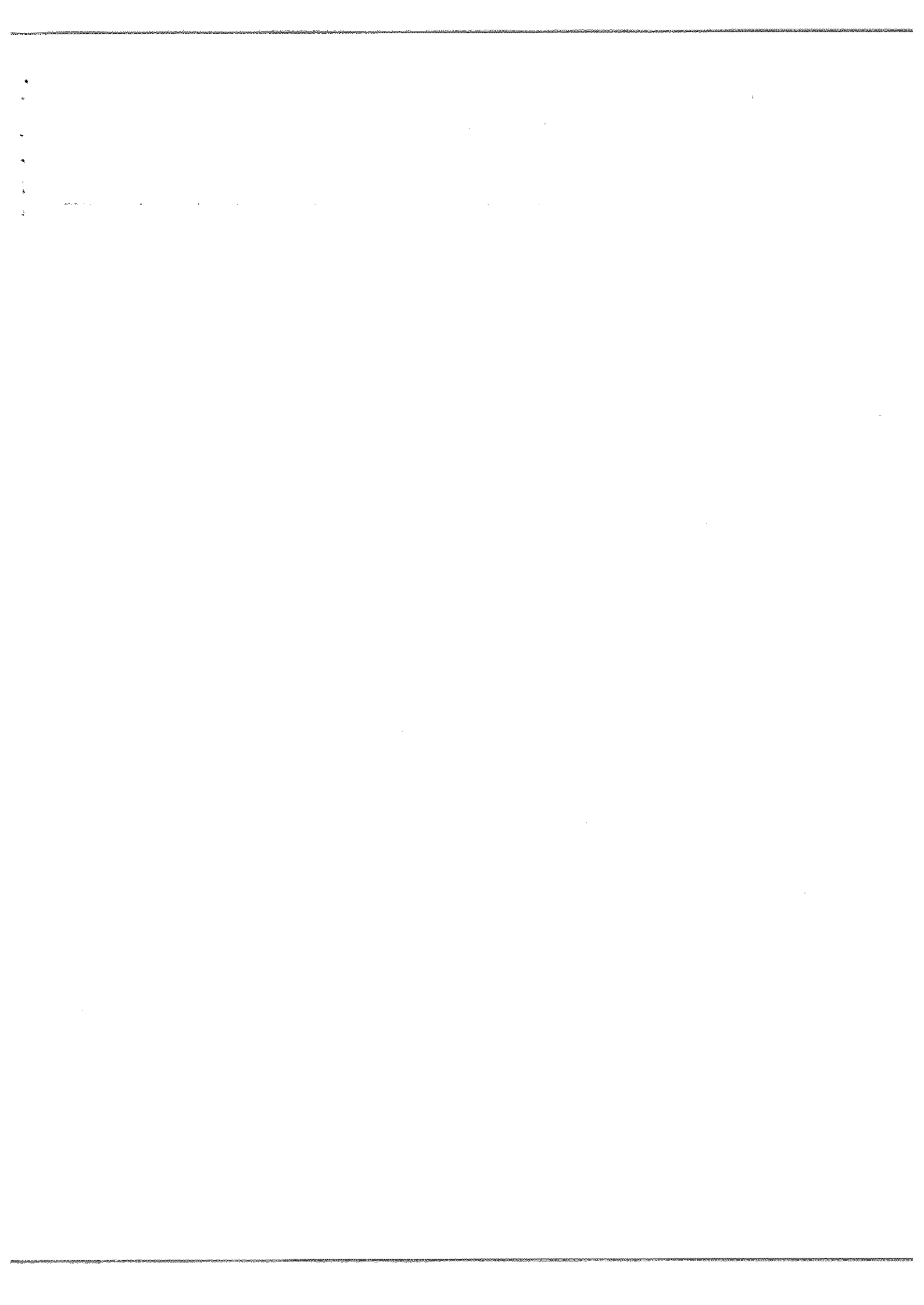
| E. Security Plan | | | |
|---|---|-------|--|
| 1 | Description of Security devices in production and distribution | 30 | Security company services, security measures, and devices are thoroughly described. However, limitation of access and processed to prevent theft, diversion, or loss of product are not directly addressed, if at all. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 10 | |
| 3 | Description of how production location will be limited to employees only. | 0 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 10 | |
| TOTAL Score | | 80.00 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 30 | Overall a good plan, but more detail on the processes and testing protocol would have been useful, rather than reference to SOPs that will be developed. |
| 2 | Process of ensuring consistency of dose | 30 | |
| 3 | Testing | 25 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 30 | |
| TOTAL Score | | 115 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | |
| TOTAL Score | | 100 | |

| Personnel Materials | | | |
|---|--|---------------|---|
| 1 | Criminal Background checks | 10 | |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| | TOTAL Score | 50.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | President's cousin runs recreational and medical cannabis operations in Washington and has been and advisor. Working with an expert on Soil Food Web. |
| | TOTAL Score | 50.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50 | President works in drug discovery and has an advanced degree in Biology. Experience in construction engineering. Relevant industry experience and many letters of support. Plan was well thought out. |
| | TOTAL Score | 50.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 886.00 | Total Possible Points 1000 |

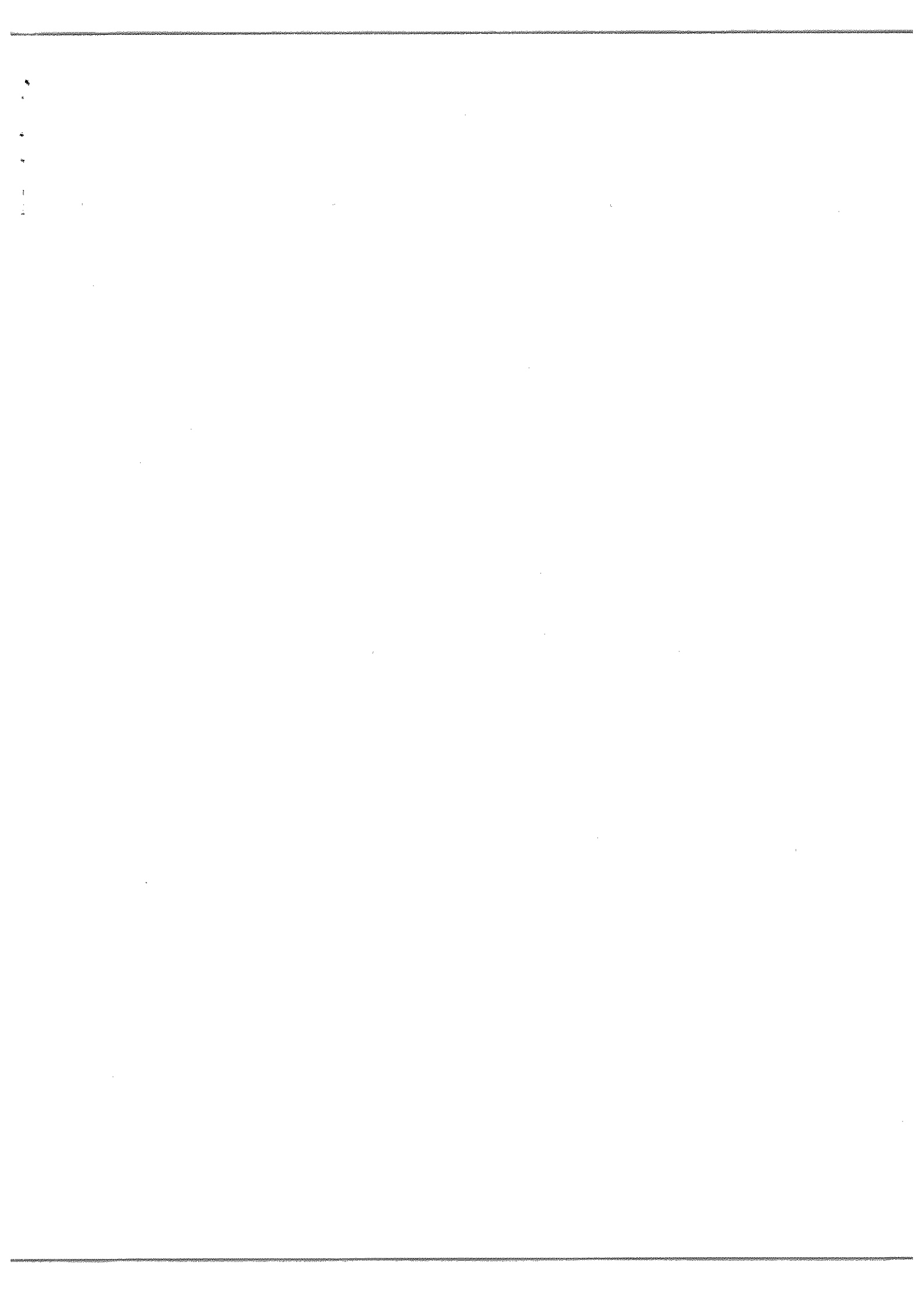
| A | B | C | D |
|---------|--|--------|---|
| 1 | Seven Pint Farms | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | Will not open for 7-9 months. Applicant has relevant experience even if some of it appears to be involved in unlicensed grows. Applicant has a well thought out grow plan. With appropriate safety guidelines. The application was filled with a lot of materials demonstrating applicant experience. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 13 | Written Acknowledgement of Plant Limit | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | Air Treatment/Filtration systems to be used | | |
| 17 | | | |
| 18 | TOTAL Score | 188.00 | Total Possible Points 200 |



| A | B | C | D |
|----|--|--------|---|
| 19 | Business Plan | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | Business plan shows partnership with Szyzyg Farms, but it is unclear how they fit into this plan (who owns them. Is this a contract, etc.) |
| 20 | Experience/qualifications of staff | | |
| 21 | | | Total Possible Points 50 |
| 22 | | 41.00 | |
| 23 | Sales and Distribution Plan | | Dispensary in Dona Ana and Bernalillo with delivery. Still looking fro a dispensary in Las Cruces. Provided good detail and information on dispensary procedures. Provided all other materials. |
| 24 | Plan for safe distribution | | |
| 25 | Products to be dispensed | | |
| 26 | Delivery Services to include anticipated fees | | |
| 27 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | |
| 28 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | |
| 29 | Transportation of cannabis and CDP. | | |
| 30 | Description of measures taken to ensure confidentiality of enrollees. | | |
| 31 | Means of educating enrollees on limitations | | |
| 32 | Means of educating enrollees on quality of product | | |
| 33 | Ingestion options | | |
| 34 | Inhalation techniques provided for ingestion of product | | |
| 35 | Education on side effects | | |
| 36 | Education on reporting adverse events and concerns regarding customer service and products. | | |
| 37 | Written acknowledgement that plant count will not exceed approved limit | | |
| 38 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | |
| 39 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | |
| 40 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| 41 | TOTAL Score | 183.00 | Total Possible Points 200 |



| A | B | C | D |
|----|---|--------|---|
| 42 | Security Plan | | |
| 43 | 1 Description of Security devices in production and distribution | | While the detail on security is appreciated, I have concerns about the vault being a simple door away from a public area. While it is card controlled it will open to a public area. Security company has good referrals. |
| 44 | 2 Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 | 3 Description of how production location will be limited to employees only. | | |
| 46 | 4 Description of security company services. | | |
| 47 | 5 Limitation of access | | |
| 48 | | 142.00 | Total Possible Points 150 |
| 49 | Quality Assurance Plans | | |
| 50 | 1 Method and Process to ensure purity of product. | | Good quality assurance with cleanliness, limited access and checking of product as well as testing. |
| 51 | 2 Process of ensuring consistency of dose | | |
| 52 | 3 Testing | | |
| 53 | 4 to 5 Adverse event and reports to DOH from enrollees | | |
| 54 | | 141.00 | Total Possible Points 150 |
| 55 | G. Financial and Organizational Information | | |
| 56 | 1 to 5 Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | Provided all information seems to have strong financial backing and experience. |
| 57 | 6 Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 | 7 Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 | 8 to 10 Organizational Chart, Resumes, compensation Agreements | | |
| 60 | 11 Description of outstanding debts including loans, compensation agreements, | | |
| 61 | 12 to 14 Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | | 91.00 | Total Possible Points 100 |
| | TOTAL Score | | |



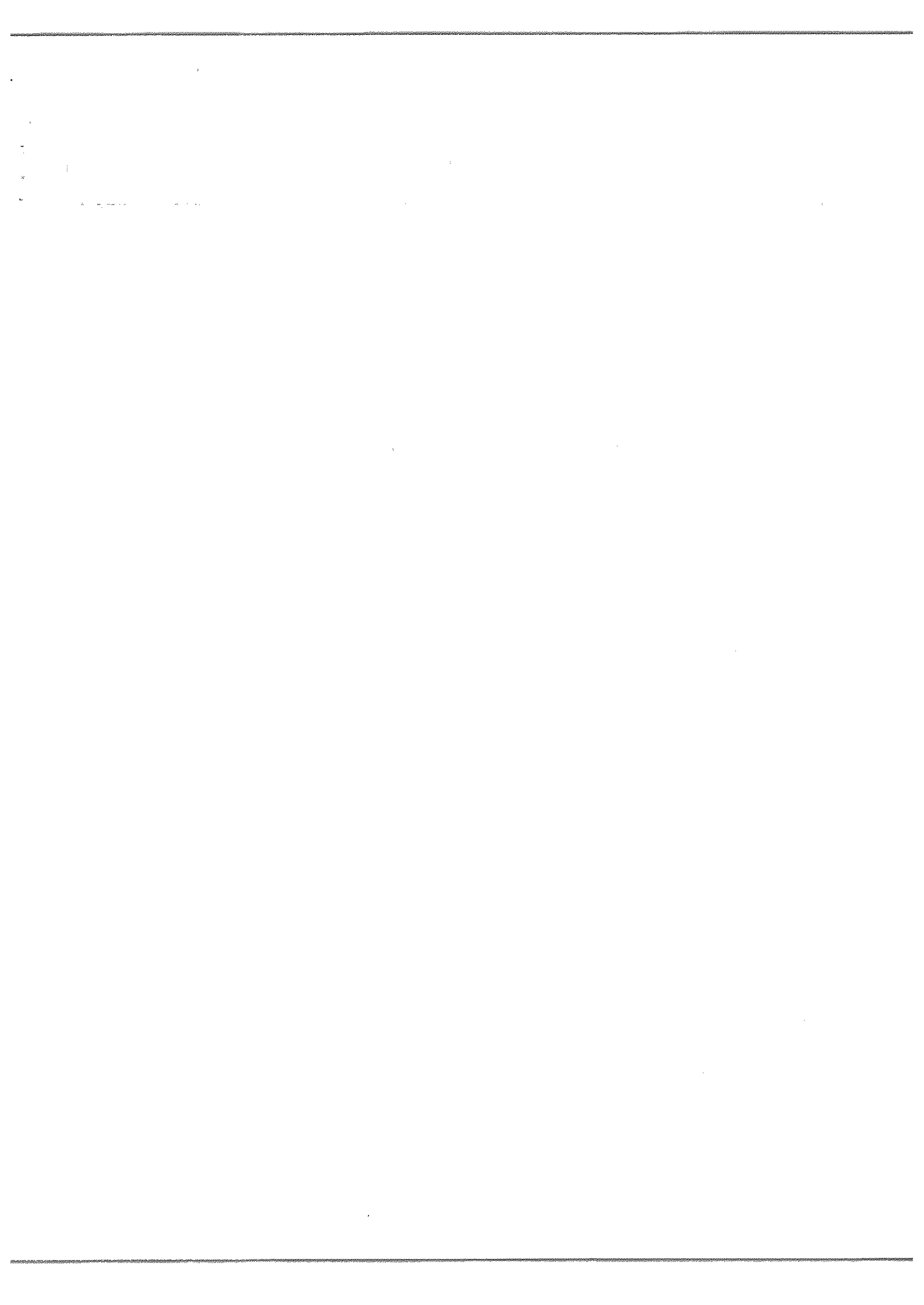
| A | B | C | D |
|----|-----------|-------|---|
| 64 | H. | | |
| 65 | 1 | | criminal background checks provide un section G. All other material provided including |
| 66 | 2 | | training samples on HIPAA. |
| 67 | 3 | | |
| 68 | 4 | | |
| 69 | 5 | | |
| 70 | | 44.00 | Total Possible Points 50 |
| 71 | I. | | |
| 72 | 1 | | Several of the prime players have experience in agriculture and cannabis experience. |
| 73 | | 45.00 | Total Possible Points 50 |
| 74 | | | |
| 75 | | 45.00 | Very solid application with great precaution and distribution criteria and appropriate testing. |
| 76 | | 930 | Total Possible Points 1000 |
| 77 | | | |



| A | B | C | D |
|---------|---|--------|---|
| 1 | Applicant: Kure, Inc. | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | production in Santa Fe of 432 plants, Very strong production plan with clear guidelines for safe product and employee safety. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (eg. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license, Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | Air Treatment/Filtration systems to be used | | |
| 17 | | | |
| 18 | TOTAL Score | 178.00 | Total Possible Points 200 |



| A | B | C | D |
|----|--|--------|--|
| 19 | Business Plan | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | clear business plan with strong financials Did not clearly demonstrate experience of staff. |
| 20 | Experience/qualifications of staff | | |
| 21 | | | Total Possible Points 50 |
| 22 | TOTAL Score | 30.00 | |
| 23 | Sales and Distribution Plan | | Dispensary in Santa Fe, partnering with AIM to qualify people. Good packaging and educational materials. All other information provided. |
| 24 | Plan for safe distribution | | |
| 25 | Products to be dispensed | | |
| 26 | Delivery Services to include anticipated fees | | |
| 27 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | |
| 28 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | |
| 29 | Transportation of cannabis and CDP. | | |
| 30 | Description of measures taken to ensure confidentiality of enrollees. | | |
| 31 | Means of educating enrollees on limitations | | |
| 32 | Means of educating enrollees on quality of product | | |
| 33 | Ingestion options | | |
| 34 | Inhalation techniques provided for ingestion of product | | |
| 35 | Education on side effects | | |
| 36 | Education on reporting adverse events and concerns regarding customer service and products. | | |
| 37 | Written acknowledgement that plant count will not exceed approved limit | | |
| 38 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | |
| 39 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | |
| 40 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| 41 | TOTAL Score | 168.00 | Total Possible Points 200 |



| A | B | C | D |
|-------------|---|--------|---|
| 42 E. | Security Plan | | |
| 43 1 | Description of Security devices in production and distribution | | Good security plans for both locations failed to mention employee training. |
| 44 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 3 | Description of how production location will be limited to employees only. | | |
| 46 4 | Description of security company services. | | Total Possible Points 150 |
| 47 5 | Limitation of access | | |
| 48 | TOTAL Score | 125.00 | |
| 49 F. | Quality Assurance Plans | | |
| 50 1 | Method and Process to ensure purity of product. | | Strong quality assurance plan. |
| 51 2 | Process of ensuring consistency of dose | | |
| 52 3 | Testing | | |
| 53 4 to 5 | Adverse event and reports to DOH from enrollees | | Total Possible Points 150 |
| 54 | TOTAL Score | 140.00 | |
| 55 G. | Financial and Organizational Information | | |
| 56 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | Strong financial backing but I am still not seeing any cannabis experience. Provided all materials. |
| 57 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 8 to 10 | Organizational Chart, Resumes, compensation Agreements | | Total Possible Points 100 |
| 60 11 | Description of outstanding debts including loans, compensation agreements, | | |
| 61 12 to 14 | Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 82.00 | |



| A | B | C | D |
|----|---|-------|----------------------------|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | All materials provided. |
| 66 | 2 Personnel Policies and Procedures | | |
| 67 | 3 Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 68 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | Total Possible Points 50 |
| 69 | 5 HIPAA Training | | |
| 70 | TOTAL Score | 42.00 | |
| 71 | I. Agricultural and Production Experience | | Total Possible Points 50 |
| 72 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | |
| 73 | TOTAL Score | 43.00 | |
| 74 | Organization and Clarity of Materials | | Total Possible Points 1000 |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | |
| 76 | TOTAL Score | 44.00 | |
| 77 | Overall Application Score and reviewer Opinion | 85% | |



15-47

| Applicant: <u>KURE</u> | | Evaluator: <u>Lynn Gallagher</u> |
|---------------------------|---|----------------------------------|
| | | COMMENTS |
| A. | Summary | |
| B. | Production Plan | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | 30 |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | N/A |
| 4 | Production Capacity to include expansion plans, if any. | 15 |
| 5 | Street Address | 15 |
| 6 | Equipment | 15 |
| 7 | Appropriate licenses, local zoning, business license, Fire inspection | 15 |
| 8 | Property Owner Information and Approval | 10 |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 10 |
| 13 | Written Acknowledgement of Plant Limit | 10 |
| 14 | Written acknowledgement of knowledge of U.S.F.P.A. Agricultural worker standards | 15 |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 15 |
| 16 | Air Treatment/Filtration systems to be used | 10 |
| TOTAL Score | | 165 |
| Total Possible Points 200 | | |

Production / Distribution - Santa Fe Modular Pods; small batch consistency 10 production pods; 450 max plants 002 generator
 Internal testing & Auditing planned
 Onsite well water w/ RO system
 Strong safety plan

Strong expansion plan
 Santa Fe location - Metro Bldg Detailed list of equipment
 Bldg Track will be used
 Zoning use letter; Business license letter of intent to lease
 w/ owner - consent
 Property Description, Floor Plan, map, Aerial
 Survey - attached
 Acknowledgments & Affidavits
 Strong Details of EPA WQS & Ventilation / Exhausts



| C. Business Plan | | | |
|--------------------------------|--|-----|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | <p>1.2 Million - private investments
Strong Business Plan; Realistic - Very Strong
Backer financial solvency provided for success</p> <p>Total Possible Points 50</p> |
| 2 | Experience/qualifications of staff | 25 | |
| TOTAL Score | | 50 | |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 15 | |
| 2 | Products to be dispensed | 15 | |
| 3 | Delivery Services to include anticipated fees | 10 | |
| 4 | Marketing Plan, Including web materials, education materials,, posters, brochures, etc. | 15 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 15 | |
| 6 | Transportation of cannabis and CDP. | 10 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 15 | |
| 8 | Means of educating enrollees on limitations | 10 | |
| 9 | Means of educating enrollees on quality of product | 10 | |
| 10 | Ingestion options | 10 | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | |
| 12 | Education on side effects | 10 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 20 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | |
| TOTAL Score | | 195 | Total Possible Points 200 |



| E. Security Plan | | | |
|---|---|-----|--|
| 1 | Description of Security devices in production and distribution | 30 | Intra works Security |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | Comprehensive, detailed Security Plan 24/7 monitoring, cameras, entry alarm id access only, alarms, sensors, detail plan to prevent diversion & limit access |
| 3 | Description of how production location will be limited to employees only. | 30 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 30 | |
| TOTAL Score | | 150 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 35 | Strong Quality Assurance Handbook |
| 2 | Process of ensuring consistency of dose | 35 | Strong Dose Monitoring |
| 3 | Testing | 30 | US & approved lab & BioTrack |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 35 | |
| TOTAL Score | | 135 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 10 | Articles, By-laws, S.C. Bus License, CDS EIN |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 10 members (2 MD's, 3 patients) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | Operatory Agreement
Intent to lease |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 10 | Org Chart (Resumes)
Compensation Agre, BioTrack agr |
| 11 | Description of outstanding debts including loans, compensation agreements, | 25 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 25 | Backer Tax Returns |
| TOTAL Score | | 90 | Total Possible Points 100 |



| H. Personnel Materials | | | |
|--|---|------|---|
| 1 | Criminal Background checks | 10 | |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | Seeing like DPS checks Strong Employee Handbook & Policy + Procedures HIPAA Agri. Sample the Records 3rd Party Training Vendor HIPAA Certificates |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 20 | |
| TOTAL Score | | 20 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 30 | Strong experience shown in business plans, finances, poor handling. CMEs in Medical Cannabis |
| TOTAL Score | | 30 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 88.5 | Total Possible Points 1000 |



| Applicant: KURE 15-47 | | Evaluator: MILLS | |
|-------------------------------------|---|-------------------------|---------------------------|
| A. | Summary | Score | COMMENTS |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | not hydroponic | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | N/A | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | corrected, | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection <i>see above</i> | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | Written Acknowledgement of Plant Limit | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | Air Treatment/Filtration systems to be used | | |
| TOTAL Score | | 200 | Total Possible Points 200 |

Santa Fe
 450 Rstore expansion to 28 pods
 per-pod harvest; weekly produces 32ozs/500gm/pod
 10 production pods (green-air tight, modular)
 CO2 line with add-on
 well water tested @ 60 no ironing
 to perfluorinated carbon filters, if necessary
~~filters~~ is RO
 Berks (PRE) eye protect, gloves, smocks
 CO2 \leq 2000 ppm
 1600 W meters Bldg
 list of cost Bio-Tek + Grow how systems under
 IT - include VPN
 CO2 generators
 HEPA intake air; Carbon filter exhaust
 Supplemental CO2 extraction system
 Carbon filter, Ozone generator

| C. | Business Plan | Score | Total Possible Points |
|---------------------------------------|--|------------|-----------------------|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | |
| 2 | Experience/qualifications of staff | | |
| D. Sales and Distribution Plan | | 45 | 50 |
| 1 | Plan for safe distribution | | |
| 2 | Products to be dispensed | | |
| 3 | Delivery Services to include anticipated fees | (-) | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | ✓ | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | ✓ | |
| 6 | Transportation of cannabis and CDP. | ✓ | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 7/100A | |
| 8 | Means of educating enrollees on limitations | ✓ | |
| 9 | Means of educating enrollees on quality of product | ✓ | |
| 10 | Ingestion options | ✓ | |
| 11 | Inhalation techniques provided for ingestion of product | ✓ | |
| 12 | Education on side effects | ✓ | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | ✓ | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | ✓ | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | (+) | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | (-) | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | (-) | |
| TOTAL Score | | 165 | 200 |

SWOT very solid financial backing
 5 mo to 1st harvest
 7 mo to sales
 \$10 per grower exp; food producer exp
 2.5% market share for viability

Prod M-S 24 hr
 Disp: M-Sat 6-6
 Carillo's RD
 Courier for states w/ de delivery planned \$10 fee
 is DOH-approved courier 'e.g. HAZMAT
 Plans include kitchen (MDEO approved) for edibles production

3. \$10 fee statewide? schedule? service out?
 on-line ordering

(+) 4. excellent mktg + patient ed materials
 (+) 5. excellent pricing + delivery
 (+) 6. loading truck - buddy vehicle will accompany
 very hostes 2 times. cell phone

(-) 13, 14. No DOH mention in either
 (-) 15. Diagram too small + no sq. ft

| E. Security Plan | | |
|---|---|---------------------------|
| 1 | Description of Security devices in production and distribution | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | |
| 3 | Description of how production location will be limited to employees only. | |
| 4 | Description of security company services. | (+) |
| 5 | Limitation of access | (+) |
| TOTAL Score | | 150 |
| F. Quality Assurance Plans | | |
| 1 | Method and Process to ensure purity of product. | |
| 2 | Process of ensuring consistency of dose | |
| 3 | Testing | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | (-) |
| TOTAL Score | | 0 |
| G. Financial and Organizational Information | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | (+) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | |
| 11 | Description of outstanding debts including loans, compensation agreements, | (+) |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | (+) |
| TOTAL Score | | 100 |
| Total Possible Points 100 | | Total Possible Points 100 |

Intra-works (Company)
BioTrade TRC -
perimeter & fence of sensitive wire under surv, & gate
intrusion alarm, recorded videos of internal areas
box and reader control access
Botting backup ea & hrs
Guard on duty 24/7 Prod facility; business hrs
off duty

All adverse event reports go to RUCET medical
director for review
No number of direct report to B011
good 2 Good Plan

Very strong B011 (+)

| | | | | |
|-----------|--|--|-------|---|
| H. | Personnel Materials | | | |
| 1 | Criminal Background checks | | ✓ | |
| 2 | Personnel Policies and Procedures | | ✓ | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | | | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | (-) - | will use 3rd party "Patient Focused Certification" program. No curriculum material provided |
| 5 | HIPAA Training | | ✓ | |
| | TOTAL Score | | 40 | Total Possible Points 50 |
| I. | Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | | | |
| | TOTAL Score | | 50 | Total Possible Points 50 |
| | Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | | grower - experienced
several other Oklahoma grower
State of Oklahoma
Clinics
very organized |
| | TOTAL Score | | 50 | Total Possible Points 50 |
| | Overall Application Score and reviewer Opinion | | 930 | Total Possible Points 1000 |

| A | B | C | D |
|---------|---|--------|---|
| 1 | Urban Wellness | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | Great production plan. Well thought out and detailed. Showed knowledge of the grow process and importance of safety and training. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license, Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | Air Treatment/Filtration systems to be used | | |
| 17 | | | |
| 18 | TOTAL Score | 140.00 | Total Possible Points 200 |

| | A | B | C | D |
|----------|--|--------------------|---------------|--|
| 19 | C. Business Plan | | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | | Two people in key personnel terminated from current producers, I question their estimate son demands, cannot offer a free gram with purchase of a gram. Two dispensaries, opening in month seven of license. |
| 20 | Experience/qualifications of staff | | | |
| 21 | | | | Total Possible Points 50 |
| 22 | | TOTAL Score | 25.00 | |
| 23 | D. Sales and Distribution Plan | | | Distribution in ABQ eventual in Rio Rancho. Limited strains five, One CBD. Not a lot in side effects. Ye catching materials. |
| 24 | Plan for safe distribution | | | |
| 25 | Products to be dispensed | | | |
| 26 | Delivery Services to include anticipated fees | | | |
| 27 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | | |
| 28 | | | | |
| 29 | Transportation of cannabis and CDP. | | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | | | |
| 30 | | | | |
| 31 | Means of educating enrollees on limitations | | | |
| 32 | Means of educating enrollees on quality of product | | | |
| 33 | Ingestion options | | | |
| 11 | Inhalation techniques provided for ingestion of product | | | |
| 34 | | | | |
| 35 | Education on side effects | | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | | | |
| 36 | | | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | | | |
| 37 | | | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | | |
| 38 | | | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | | |
| 39 | | | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | | |
| 40 | | | | |
| 41 | | TOTAL Score | 125.00 | Total Possible Points 200 |

| A | B | C | D |
|-------------|---|--------|---|
| 42 E. | Security Plan | | |
| 43 1 | Description of Security devices in production and distribution | | Addressed security and staff training and controlling access |
| 44 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 3 | Description of how production location will be limited to employees only. | | |
| 46 4 | Description of security company services. | | |
| 47 5 | Limitation of access | | |
| 48 | TOTAL Score | 120.00 | Total Possible Points 150 |
| 49 F. | Quality Assurance Plans | | |
| 50 1 | Method and Process to ensure purity of product. | | Contract person for quality assurance has been a problem for the program. Good info on testing an dquality control. |
| 51 2 | Process of ensuring consistency of dose | | |
| 52 3 | Testing | | |
| 53 4 to 5 | Adverse event and reports to DOH from enrollees | | Total Possible Points 150 |
| 54 | TOTAL Score | 130.00 | |
| 55 G. | Financial and Organizational Information | | |
| 56 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | I am still somewhat unclear how they have 750000 cash on hand fro operation, Provided all materials. |
| 57 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 8 to 10 | Organizational Chart, Resumes, compensation Agreements | | |
| 60 11 | Description of outstanding debts including loans, compensation agreements, | | |
| 61 12 to 14 | Tax returns and financial statement | | Total Possible Points 100 |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 65.00 | |

| | A | B | C | D |
|----|----|--|-------|---|
| 64 | H. | Personnel Materials | | |
| 65 | 1 | Criminal Background checks | | All |
| 66 | 2 | Personnel Policies and Procedures | | |
| 3 | | Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 67 | | | | |
| 4 | | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 68 | | | | |
| 69 | 5 | HIPAA Training | | |
| 70 | | TOTAL Score | 25.00 | Total Possible Points 50 |
| 71 | I. | Agricultural and Production Experience | | |
| 1 | | Description of applicants or employees skills knowledge and experience in agriculture. | | Goclaims agricultural experience but nothing in app shows that other than stating some people have PPL's. |
| 72 | | | | |
| 73 | | TOTAL Score | 30.00 | Total Possible Points 50 |
| 74 | | Organization and Clarity of Materials | | Organized app. With experience of growers. |
| 75 | | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | |
| 76 | | TOTAL Score | 35.00 | Total Possible Points 50 |
| 77 | | Overall Application Score and reviewer Opinion | 745 | Total Possible Points 1000 |

15-10

| Applicant: <u>Urban Wellness</u> | | Evaluator: <u>Lyon Ballinger</u> | |
|----------------------------------|--|----------------------------------|---|
| | | Score | Comments |
| A. | Summary | | |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 30 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | Yes | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | |
| 4 | Production Capacity to include expansion plans, if any. | 20 | |
| 5 | Street Address | 5 | |
| 6 | Equipment | 30 | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 15 | |
| 8 | Property Owner Information and Approval | 15 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 10 | |
| 16 | Air Treatment/Filtration systems to be used | 10 | |
| TOTAL Score | | 195 | |
| | | | <p>Production / Distribution - ALBUQUERQUE Applied in 2010 - Therapeutic Healing Alliance Restructured (right name) 5 years combined cannabis experience UK Cannabis Research tools Bio Track for tracking: Seed to Sale 450 plants; indoor facility Hydroponic Methods Proo x Drain grow Machine Commercial trim Machine Grow Stones - GS-1 Hydro Stones; Oxygen Abuserave location City water; Hydro-logic Commercial R/O system Quality control Measures for safety Room for expansion Property & equipment Description very well detailed Aqa City Permit; zoning; surveyor letter Seek licenses for state by NMDA-postcard use APE Owner Approval A/Estas farms complete Alta Farms</p> |
| Total Possible Points 200 | | | |

11

11

11

11

| Business Plan | | | |
|-----------------------------|--|-----|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 85 | Starting Expenses \$327K |
| 2 | Experience/qualifications of staff | 85 | 75% Loan @ 6% interest) Partnerships w/ Budok Press, LLC - Concentrate, + other Business Experience; Cannabis Experience |
| TOTAL Score | | 50 | Total Possible Points 50 |
| Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 20 | 2 Distribution Sites - A80 / Rio Ranch |
| 2 | Products to be dispensed | 10 | + Delivery |
| 3 | Delivery Services to include anticipated fees | 10 | 5 strains (4 THC; 1 CBD) |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | Concentrate; sables |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 15 | Marketing by word of Mouth, Press Release, Advertisings, Social Media, Website, BioTrack Marketing |
| 6 | Transportation of cannabis and CDP. | 10 | \$9-18 per gram (Concentrate \$50-80) |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | Labels examples |
| 8 | Means of educating enrollees on limitations | 10 | BioTrack's transport manifests |
| 9 | Means of educating enrollees on quality of product | 10 | A80 - A80 Area, 1/5 Regional |
| 10 | Ingestion options | 10 | Confidentiality, Training; HIPAA; NURP |
| 11 | Inhalation techniques provided for ingestion of product | 10 | POS Tracking; Registration procedures |
| 12 | Education on side effects | 10 | Good description of over-sights + |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 | training on quality, ingesters, confidentiality, side effects, adverse events |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | Acknowledgments + Attestations attached |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 15 | Detailed diagrams, Floor Plans |
| TOTAL Score | | 200 | Total Possible Points 200 |

1. The first part of the document discusses the importance of maintaining accurate records of all transactions and activities. It emphasizes the need for transparency and accountability in financial reporting.

2. The second part of the document outlines the various methods used to collect and analyze data. It includes a detailed description of the sampling process and the statistical techniques employed to ensure the reliability of the results.

3. The third part of the document presents the findings of the study. It shows that there is a significant correlation between the variables being studied, and that the results are consistent across different groups and time periods.

4. The fourth part of the document discusses the implications of the findings and offers suggestions for further research. It highlights the need for continued monitoring and evaluation of the system to ensure its effectiveness and efficiency.

5. The fifth part of the document provides a summary of the key points and conclusions. It reiterates the importance of the findings and the need for continued attention to the issues discussed.

| E. Security Plan | | | |
|---|---|-----|---|
| 1 | Description of Security devices in production and distribution | 30 | Armed Response Team - ARQ |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | 3 Secure locations
Vault Room; Secure Door; Motion sensors;
24 hour; Secure Access; Storage safe |
| 3 | Description of how production location will be limited to employees only. | 30 | IPS Security fence @ Dispensary |
| 4 | Description of security company services. | 30 | Strip Access Control; Fence |
| 5 | Limitation of access | 30 | Advance Surveillance |
| TOTAL Score | | 150 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 40 | Proximity, Eryg Detecter; Proper treatments, testing,
and systematic needs; Scale of Clean rooms |
| 2 | Process of ensuring consistency of dose | 40 | Uril Test w/ Humidity Control |
| 3 | Testing | 35 | Standard operating procedures; Recall procedure |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 35 | Total Possible Points 150 |
| TOTAL Score | | 150 | |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 10 | Articles, Bylaws, ARQ Permit, EIN, OES, |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 8 Members (1 RN - former PhD, 1 DDS, 3 Patients, 2 former LWP employees) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | Compensation / Employee Agreements
Lease Agreements; Power's song Note #375K @ Morgan Stanley 50/1000 letter; Purchase Agreement
Org Chart, Job Descriptions, Resumes |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 10 | |
| 11 | Description of outstanding debts including loans, compensation agreements. | 15 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 15 | Financial Statements; Projections; Cash Flow Analysis, Pat Statement |
| TOTAL Score | | 70 | Total Possible Points 100 |

Handwritten text, likely bleed-through from the reverse side of the page. The text is arranged in approximately six vertical columns and is extremely faint and illegible due to the quality of the scan. It appears to contain several lines of text per column, possibly representing a list or a series of entries.

| Personnel Materials | | | |
|--|---|-----|---|
| 1 | Criminal Background checks | 10 | |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50 | Total Possible Points 50 |
| 1. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 25 | 20 experience in med cannabis growing; 10 experience w/ High Desert |
| TOTAL Score | | 25 | Total Possible Points 50 |
| 2. Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 25 | Adam Valdez worked for High Desert Police Custodian; Master grow experience former DOH nurse Dentist - Advisor to Matthew Teas - Bios - High Desert Police - facility maintenance |
| TOTAL Score | | 25 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 915 | Total Possible Points 1000 |

Well Documented; Prior Specific Experience is a strength; location is weakness; strong financial backing + partnership w/ CO grower; weakness that focus seems to be higher the limits. Overall - Very strong applicant. Details of Process & established procedures & Training.

1. The first part of the document discusses the importance of maintaining accurate records of all transactions and activities. It emphasizes that this is crucial for ensuring transparency and accountability in the organization's operations.

2. The second part of the document outlines the various methods and tools used to collect and analyze data. It highlights the need for consistent and reliable data collection processes to support informed decision-making.

3. The third part of the document focuses on the role of technology in modern data management. It discusses how advanced software solutions can streamline data collection, storage, and analysis, leading to more efficient and accurate results.

4. The final part of the document provides a summary of the key findings and recommendations. It stresses the importance of ongoing monitoring and evaluation to ensure that the data management processes remain effective and aligned with the organization's goals.

| Applicant: Urban Wellness | | Score | Evaluator: Dawn Hunter |
|---------------------------|---|--------|--|
| COMMENTS | | | |
| A. | Summary | | <p>Copy of application included and summary addresses industry-specific and general business experience, as well as motivation for the application. Very detailed descriptions of different growth phases and associated requirements. System is hydroponic, and type of water and filtration was described. Good description of waste disposal. Generally good info on limiting employee exposure to unsafe conditions, but this section should have included building safety measures (air testing and filtration for example) and availability of first aid measures (even if referenced elsewhere). There are 2-3 plants per light but it is suggested that production will not be impacted. Distribution is limited to Albuquerque and Rio Rancho. Thorough equipment description. Very good description provided of NMDA requirements, employee safety measures, and licensing and use requirements. Pesticide application process is clearly defined.</p> |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 40 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | yes | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 18 | |
| 4 | Production Capacity to include expansion plans, if any. | 18 | |
| 5 | Street Address | 10 | |
| 6 | Equipment | 10 | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 | |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 | |
| 16 | Air Treatment/Filtration systems to be used | 10 | |
| TOTAL Score | | 186.00 | |

| C. Business Plan | | | |
|---------------------------------------|--|---------------|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | Experience and qualifications of staff as they relate to the proposal are described. SWOT analysis summary included. Intent to target underserved needs in concentrates. Interesting comparison to existing producers but in a saturated area of the state. Plan is relatively thorough but does not address risk mitigation or contingency planning. |
| 2 | Experience/qualifications of staff | 20 | |
| TOTAL Score | | 45.00 | |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 15 | |
| 2 | Products to be dispensed | 10 | |
| 3 | Delivery Services to include anticipated fees | 8 | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 18 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 7 | |
| 6 | Transportation of cannabis and CDP. | 10 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | |
| 8 | Means of educating enrollees on limitations | 10 | |
| 9 | Means of educating enrollees on quality of product | 8 | |
| 10 | Ingestion options | 10 | |
| 11 | Inhalation techniques provided for ingestion of product | 10 | |
| 12 | Education on side effects | 6 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | |
| TOTAL Score | | 172.00 | Total Possible Points 200 |

| E. Security Plan | | | | |
|---|---|---------------|---|--|
| 1 | Description of Security devices in production and distribution | 30 | Indication that all employees will be involved in developing security plans and procedures. Proposed system allows 24 hour control, monitoring, and remote access if necessary. Limits to cash and product stored. Glass break detectors will be installed. Employee, customer, and intruder theft addressed. Delivery safety also covered. | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | | |
| 3 | Description of how production location will be limited to employees only. | 30 | | |
| 4 | Description of security company services. | 30 | | |
| 5 | Limitation of access | 30 | | |
| TOTAL Score | | 150.00 | Total Possible Points 150 | |
| F. Quality Assurance Plans | | | | |
| 1 | Method and Process to ensure purity of product. | 30 | Premise is that consistency in and controlled production leads to consistency in dose. There is a lot of detail around production controls and processes. Product testing and recall are described as well. Part of consistency comes from procedures during product creation (laboratory), but this is not discussed. Reporting of adverse events should include the applicant and not just DOH. | |
| 2 | Process of ensuring consistency of dose | 30 | | |
| 3 | Testing | 40 | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 20 | | |
| TOTAL Score | | 120 | Total Possible Points 150 | |
| G. Financial and Organizational Information | | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | Material could have been better organized but was provided. | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | | |
| TOTAL Score | | 100 | | |

| H. Personnel Materials | | | | |
|---|---|--------------------|-------|---|
| 1 | Criminal Background checks | 10 | | Policies and procedures were described but not clearly referenced; they appear to all be in Appendix K. Same for some of the other areas. All information was provided. |
| 2 | Personnel Policies and Procedures | 8 | | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 8 | | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 8 | | |
| 5 | HIPAA Training | 10 | | |
| | | TOTAL Score | 44.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | | The COO and Cultivation Manager have some agricultural experience, and specifically related to medical cannabis cultivation. |
| | | TOTAL Score | 50.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50 | | Personnel have relevant business experience and industry-specific experience. |
| | | TOTAL Score | 50.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | | 97.00 | Total Possible Points 100 |

| Applicant: Harvest Foundation | | Evaluator: Dawn Hunter | |
|-------------------------------|---|------------------------|---|
| | | Score | COMMENTS |
| A. | Summary | | 450 plants. Recirculation hydroponic system. Detailed, comprehensive growth plan provided. No plans to install air filtration system due to location, but this does not address air quality for employees. Otherwise, section contains good detail on most requested items. |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 40 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | no | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | |
| 4 | Production Capacity to include expansion plans, if any. | 20 | |
| 5 | Street Address | 10 | |
| 6 | Equipment | 10 | |
| 7 | Appropriate licenses, local zoning, business license, fire inspection | 10 | |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 | |
| 16 | Air Treatment/Filtration systems to be used | 5 | |
| TOTAL Score | | 185.00 | |

| C. Business Plan | | | | |
|---------------------------------------|--|---------------|--|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 20 | | Business plan is incomplete. There are projected sales but there is not enough description of how calculations were determined. There is also not a discussion of sustainability of operations. Referred to a different section for information on startup funding. |
| 2 | Experience/qualifications of staff | 20 | | |
| TOTAL Score | | 40.00 | | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | | |
| 1 | Plan for safe distribution | 20 | | Proposed distribution in Albuquerque and Santa Fe, looking at Los Lunas. All 3 addresses provided. Delivery described but no fees and no description of the delivery team. Broad overview of marketing plan, no samples provided. Packaging and labels described; no packaging samples or images. Patient Education Primer described, but the education process generally is not described for any of the requested items. Concern reporting does include info on recall and remediation. Acknowledgment and attestations provided. The dispensary diagrams are not scaled to fit. |
| 2 | Products to be dispensed | 10 | | |
| 3 | Delivery Services to include anticipated fees | 5 | | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 10 | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 5 | | |
| 6 | Transportation of cannabis and CDP. | 10 | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | | |
| 8 | Means of educating enrollees on limitations | 5 | | |
| 9 | Means of educating enrollees on quality of product | 5 | | |
| 10 | Ingestion options | 5 | | |
| 11 | Inhalation techniques provided for ingestion of product | 5 | | |
| 12 | Education on side effects | 5 | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 15 | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 7 | | |
| TOTAL Score | | 147.00 | | |

| E. Security Plan | | | |
|---|---|--------|---|
| 1 | Description of Security devices in production and distribution | 30 | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | |
| 3 | Description of how production location will be limited to employees only. | 30 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 30 | |
| TOTAL Score | | 150.00 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 30 | Cleanliness, sanitation, and maintenance are described to ensure purity, but other environmental and growth process controls could be included. Consistency of dose mostly addresses the extraction process but does not address maintenance or equipment issues adequately, or non-extraction product processes. Recall process described. Patient communication protocol not described in detail. |
| 2 | Process of ensuring consistency of dose | 20 | |
| 3 | Testing | 30 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 20 | |
| TOTAL Score | | 100 | |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | There is not an independent statement of expenses related directly to the application or incurred business expenses. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 15 | |
| TOTAL Score | | 95 | Total Possible Points 100 |

| H. Personnel Materials | | | |
|---|--|---------------|--|
| 1 | Criminal Background checks | 5 | Criminal background checks mostly complete. Job descriptions provided but the rest of the personnel record was not provided. Proof of current HIPAA training not provided. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 5 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 0 | |
| | TOTAL Score | 30.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 40 | Contractors are affiliated with MMJ America that operates dispensaries in Denver and Boulder. There seems to be extensive horticultural experience. |
| | TOTAL Score | 40.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 40 | Overall the application was organized and some section provided great detail. There was not always a cohesive narrative. There is relevant business and industry-specific experience among identified individuals. |
| | TOTAL Score | 40.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 837.00 | Total Possible Points 1000 |

| Applicant: Harvest Foundation | | 15-56 | Score | Evaluator: MILES | COMMENTS |
|-------------------------------|---|-------|------------|------------------|--|
| A | Summary | | | | |
| B | Production Plan | | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | hydroponic | | Santa Fe, Ag M-Sun 7-9 Sedillobest Ranch
Contracted 4m water + 3 Dlawrence of MMT
Amarose to manage + operate prod + disp ops
depends on what sets Santa Fe strain has
Brooms, mono-cropped |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | | | R6 treated well well H2O |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | | | IPM; pesticides only if necessary will get licensed
PPE training (pest training) (applicator) will get licensed
steering harvest
450 plants, days depending upon cultivator
outlet Santa Fe
Harvest every 7-10 days (= weekly)
180 lbs/yr for sale + 18 lbs extract
good 1.6t |
| 4 | Production Capacity to include expansion plans, if any. | | | | will get business license upon approval (it's pending) |
| 5 | Street Address | | | | |
| 6 | Equipment | | | | |
| 7 | Appropriate licenses, local zoning, business license fire inspection | | | | |
| 8 | Property Owner Information and Approval | | | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | (-) | | difficult to need drawings - facility
divided into 2 buildings |
| 13 | Written Acknowledgement of Plant Limit | | | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | | | no handbook handbook location |
| 16 | Air Treatment/Filtration systems to be used | | | | |
| TOTAL Score | | | 165 | | Total Possible Points 200 |

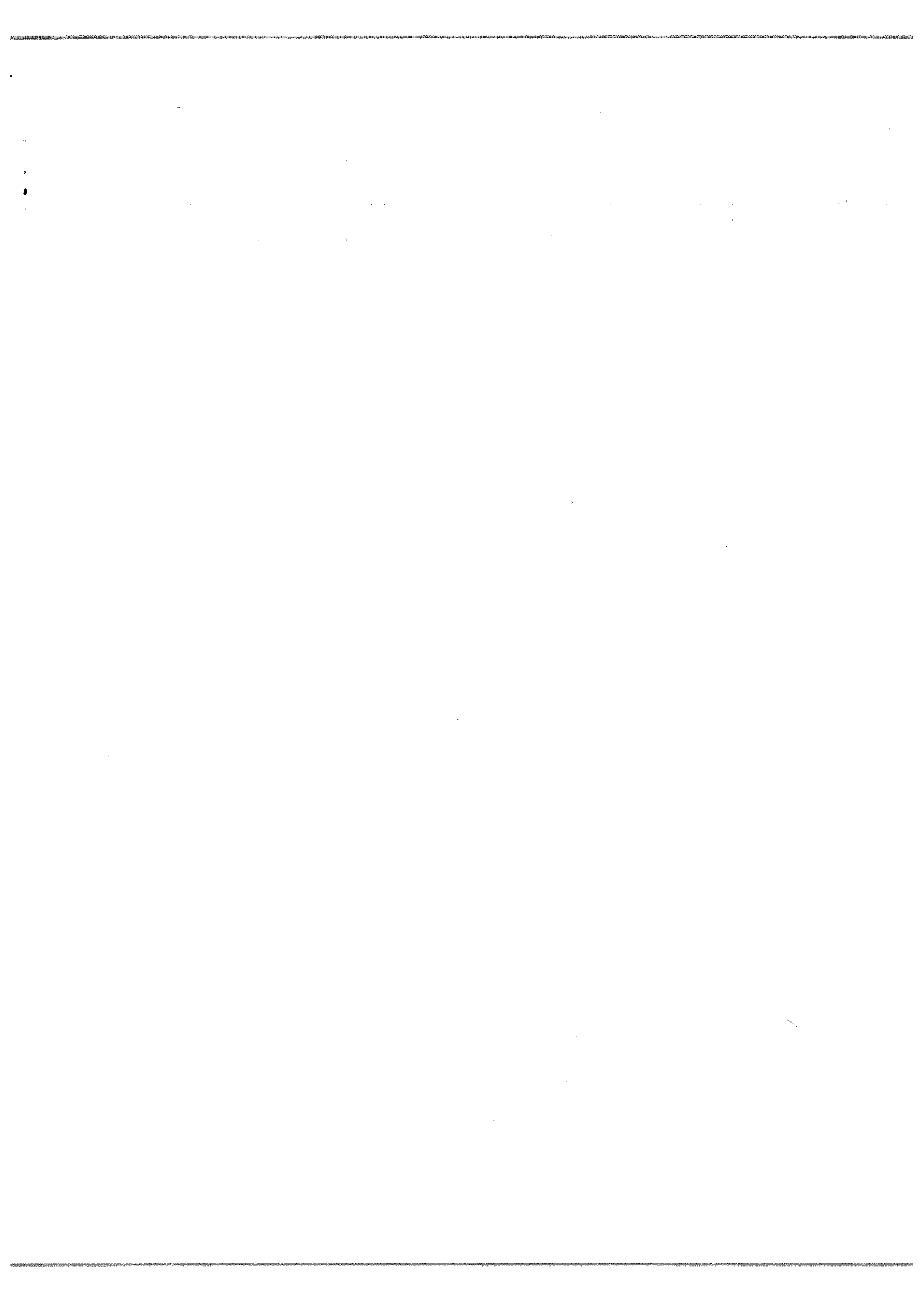
360 lbs / year produced
good prod expenses + men

| | Business Plan | | |
|----------|--|--------|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | discr? | Partner of MMSI Applicant - Can Core Concepts
whole sale + retail MD at disp 3 days/week bud tender (2 large cigs) at disp instead of grow detail (exhausted bud plan) based on CO exp 12 plants / patient support |
| 2 | Experience/qualifications of staff | ✓ | Total Possible Points 50 |
| D. | TOTAL Score | 48 | |
| 1 | Sales and Distribution Plan | ✓ | 30 Stanford SE ABP M-Sun 7 AM-9 PM Santa Fe + Cas Lunat MMSI America w. 11 staff disp. BioTrack THC |
| 2 | Plan for safe distribution | ✓ | |
| 3 | Products to be dispensed | (✓) | 2. TBD - but list potential of: Will dec, be based on edibles - agreement w/ Can Core Products
drinks, e vap |
| 4 | Delivery Services to include anticipated fees | (✓) | |
| 5 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | (✓) | |
| 6 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | (✓) | |
| 7 | Transportation of cannabis and CDP. | ✓ | |
| 8 | Description of measures taken to ensure confidentiality of enrollees. | ✓ | 3. Delivered to patients in underserved areas w/ 100 mil red, US short-term, state-wide
No details at all |
| 9 | Means of educating enrollees on limitations | ✓ | |
| 10 | Means of educating enrollees on quality of product | ✓ | |
| 11 | Ingestion options | ✓ | 5. no play sample or lesson
6. 2 off-duty Fulton PD officers → disp on lab
↑ 8-11 (at truck)
↑ call phone, 2/cor, 2 cars (1 tank) |
| 12 | Inhalation techniques provided for ingestion of product | ✓ | |
| 13 to 14 | Education on side effects | ✓ | |
| 15 | Education on reporting adverse events and concerns regarding customer service and products. | ✓ | 9. Brochures not developed/show content lesson 1, developed/show |
| 16 | Written acknowledgement that plant count will not exceed approved limit | ✓ | |
| 17 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | ✓ | |
| 18 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | ✓ | 3 facilities |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | ✓ | |
| | TOTAL Score | 170 | Total Possible Points 200 |

| E. Security Plan | | | | |
|---|---|-----|--|--|
| 1 | Description of Security devices in production and distribution | | | <p>110 performance score on 1st year
 hired security for 3rd
 Fidelis Protection
 qual. read at Samsung of 3 pts (1 margin)
 BioTrack THE
 rural: 1 security person on site at all times (prod)
 battery backup of ship
 h</p> |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | | |
| 3 | Description of how production location will be limited to employees only. | | | |
| 4 | Description of security company services. | | | |
| 5 | Limitation of access | | | |
| TOTAL Score | | 150 | | Total Possible Points 150 |
| F. Quality Assurance Plans | | | | |
| 1 | Method and Process to ensure purity of product. | | | <p>very thorough
 how determine 'oke by date?'
 Total Possible Points 150</p> |
| 2 | Process of ensuring consistency of dose | | | |
| 3 | Testing | | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | | | |
| TOTAL Score | | 150 | | Total Possible Points 150 |
| G. Financial and Organizational Information | | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | | <p>app: BOB 7 w/ 3 MCP (RN?)
 plan: 7
 Some resumes (e.g. S.D., do not list yrs for experience growing)
 m.w</p> |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | | | |
| 11 | Description of outstanding debts including loans, compensation agreements, | | | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | | | |
| TOTAL Score | | 100 | | |

| | | |
|---|---|---|
| H. Personnel Materials | | |
| 1 | Criminal Background checks | ✓ |
| 2 | Personnel Policies and Procedures | ✓ |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | ✓ |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | (-) |
| 5 | HIPAA Training | (-) |
| | TOTAL Score | 40 |
| | | Total Possible Points 50 |
| I. Agricultural and Production Experience | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | J.D. 26 yrs horticulture specialty ops of MCP grow not given, but may 10-15 yrs contractor w/ outstanding hort. exper |
| | TOTAL Score | 50 |
| | | Total Possible Points 50 |
| Organization and Clarity of Materials | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. | |
| | Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | very organized & clear |
| | TOTAL Score | 50 |
| | | Total Possible Points 1000 |
| Overall Application Score and reviewer Opinion | | |

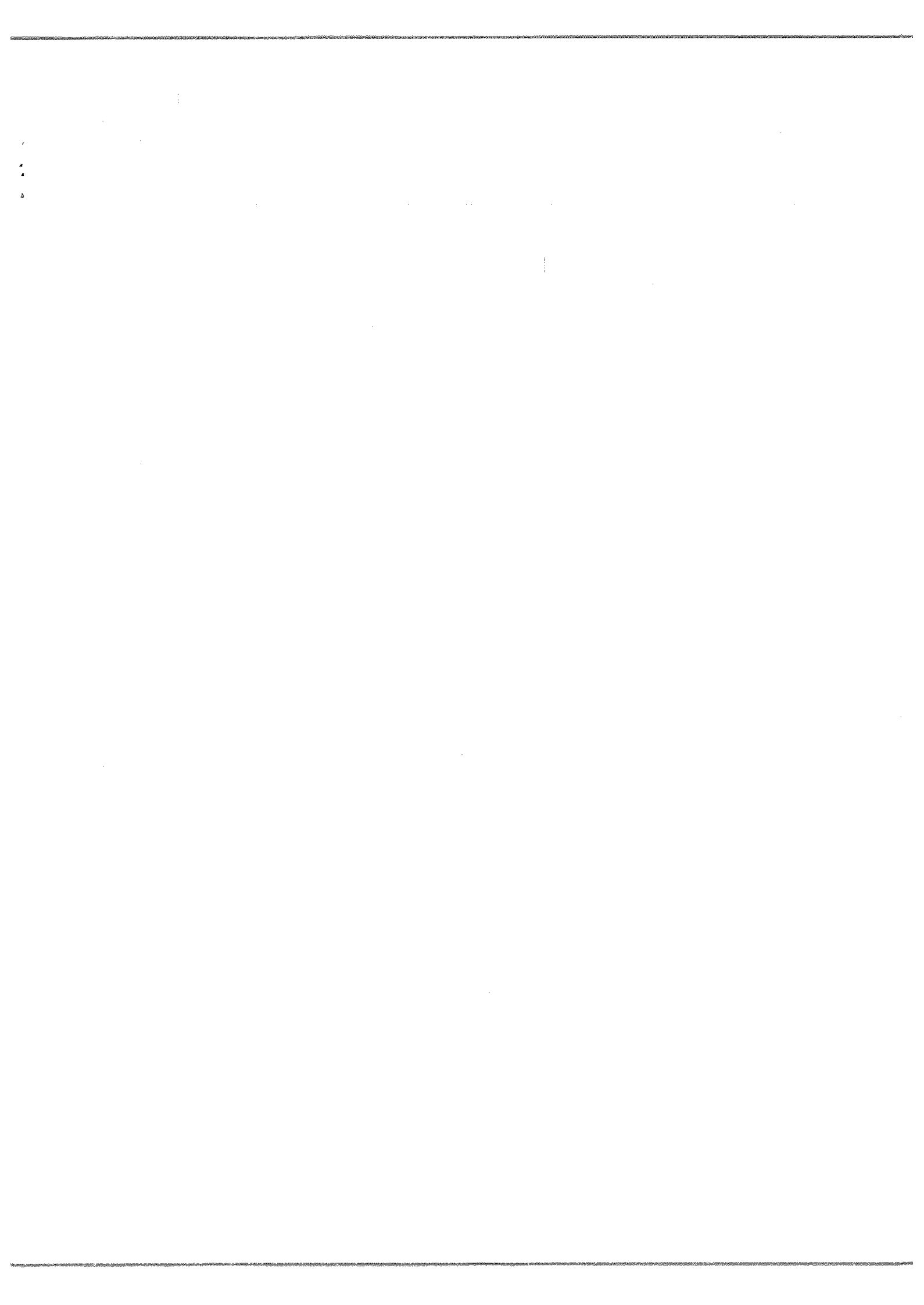
| A | B | C | D | |
|---------|--|--------|--|--|
| 1 | Harvest Foundation- | | <p>Evaluator: Andrea Sundberg</p> <p>COMMENTS</p> <p>Applicants have experience in other States, a lot of focus on pesticide safety. It felt as those a lot of the material was cut and pasted without any real thought on this individual production location. Want to grow 450 plant ein Gallisteo New México. I am unclear about the locations. There were letters regarding Santa Fe, San Juan County, and Saddleback Ranch in Santa fe. While there was A LOT of information it did not seemed focus not his individual company.</p> | |
| 2 | | Score | | |
| 3 | A. Summary | | | |
| 4 | B. Production Plan | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | | |
| 4 | Production Capacity to include expansion plans, if any. | | | |
| 5 | Street Address | | | |
| 6 | Equipment | | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | | |
| 8 | Property Owner Information and Approval | | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | | |
| 13 | | | | |
| 14 | Written Acknowledgement of Plant Limit | | | |
| 15 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | | |
| 16 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | | |
| 17 | Air Treatment/Filtration systems to be used | | | |
| 18 | TOTAL Score | 120.00 | Total Possible Points 200 | |



| | A | B | C | D |
|----------|---------------------------------------|--|--------|---|
| 19 | C. Business Plan | | | |
| 1 | | Description of funding of operation and how applicant intends to ensure success including costs of staff | | <p>There retail business model is confusing. In the opening page it discusses "care givers needing to provide one additional service. I am not sure what this in reference to. Business plan was woefully lacking in any type of plan. It seems that they just copied material from earlier in the application and placed it in this section.</p> <p>Total Possible Points 50</p> <p>Proposed distribution in three locations ABQ, Santa fe, and Los Lunas. Dispensary system is very confusing on paper. The process description does not seem to meet the floor plan. Good hours of operation. Have not clearly established HIPAA training but have some basic plans to protect confidentiality. Education on limits is to simply give enrollee a copy of the Lyn and Erin Comp. Use Act, they should do more to actually educate on limits. Other education materials on side effects are good but may be overbearing fro our enrollees.</p> |
| 20 | | Experience/qualifications of staff | | |
| 21 | | | | |
| 22 | | TOTAL Score | 20.00 | |
| 23 | D. Sales and Distribution Plan | | | |
| 24 | | Plan for safe distribution | | |
| 25 | | Products to be dispensed | | |
| 26 | | Delivery Services to include anticipated fees | | |
| 27 | | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | |
| 5 | | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | |
| 28 | | | | |
| 29 | | Transportation of cannabis and CDP. | | |
| 7 | | Description of measures taken to ensure confidentiality of enrollees. | | |
| 31 | | Means of educating enrollees on limitations | | |
| 32 | | Means of educating enrollees on quality of product | | |
| 33 | | Ingestion options | | |
| 11 | | Inhalation techniques provided for ingestion of product | | |
| 34 | | | | |
| 35 | | Education on side effects | | |
| 13 to 14 | | Education on reporting adverse events and concerns regarding customer service and products. | | |
| 36 | | Written acknowledgement that plant count will not exceed approved limit | | |
| 16 | | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | |
| 38 | | | | |
| 17 | | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | |
| 39 | | | | |
| 18 | | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| 40 | | | | |
| 41 | | TOTAL Score | 165.00 | |
| | | | | <p>Total Possible Points 200</p> |



| A | B | C | D |
|----|---|---------------------------|--|
| 42 | E. Security Plan | | |
| 43 | 1 Description of Security devices in production and distribution | | Good security plan with tracking of materials and employee theft prevention. |
| 44 | 2 Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 | 3 Description of how production location will be limited to employees only. | | |
| 46 | 4 Description of security company services. | | |
| 47 | 5 Limitation of access | | |
| 48 | | TOTAL Score 138.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 50 | 1 Method and Process to ensure purity of product. | | Good quality assurance, focus on tracking and even information on recall. Plan on doing mock recalls annually. |
| 51 | 2 Process of ensuring consistency of dose | | |
| 52 | 3 Testing | | |
| 53 | 4 to 5 Adverse event and reports to DOH from enrollees | | Total Possible Points 150 |
| 54 | | TOTAL Score 130.00 | |
| 55 | G. Financial and Organizational Information | | |
| 56 | 1 to 5 Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | Strong financial background of backers and Board Members. All materials provided, some of the quality of the background checks are questionable. |
| 57 | 6 Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 | 7 Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 | 8 to 10 Organizational Chart, Resumes, compensation Agreements | | |
| 60 | 11 Description of outstanding debts including loans, compensation agreements, | | |
| 61 | 12 to 14 Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | | TOTAL Score 85.00 | Total Possible Points 100 |



| A | B | C | D |
|----|--|-------|--|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | Background checks are questionable. Had considerable amounts of information in employee handbook. |
| 66 | 2 Personnel Policies and Procedures | | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 67 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 68 | 5 HIPAA Training | | |
| 69 | TOTAL Score | 42.00 | Total Possible Points 50 |
| 70 | I. Agricultural and Production Experience | | |
| 71 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | Have two people it looks like they are partnering with who have considerable background in agriculture and one in medical cannabis. |
| 72 | TOTAL Score | 42.00 | Total Possible Points 50 |
| 73 | Organization and Clarity of Materials | | |
| 74 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. | | |
| 75 | Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | Well organized and A LOT of material. Sometimes felt much of the material was filler and not specific to grower. They did not state how long it will take to be up and running. If they are actually going to work with the agricultural people cited they have good experience. |
| 76 | TOTAL Score | 40.00 | Total Possible Points 50 |
| 77 | Overall Application Score and reviewer Opinion | 78% | Total Possible Points 1000 |



| Applicant: Pecos Valley Pharm | | Evaluator: Dawn Hunter |
|-------------------------------|---|----------------------------------|
| | | COMMENTS |
| A. | Summary | Score |
| B. | Production Plan | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 35 |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 |
| 4 | Production Capacity to include expansion plans, if any. | 20 |
| 5 | Street Address | 10 |
| 6 | Equipment | 10 |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 8 |
| 8 | Property Owner Information and Approval | 10 |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 |
| 13 | Written Acknowledgement of Plant Limit | 7 |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 |
| 16 | Air Treatment/Filtration systems to be used | 10 |
| TOTAL Score | | 180.00 |
| | | Total Possible Points 200 |

Roswell production and distribution. Nature's Dairy milk bottling facility. Good summary intro. Indoor, 450 plants, soilless medium. The entire growth process is described, but there is not a lot of detail about the growing method and cellular approach. WPS is generally described but not in as much detail as the NMDA section. WPS compliance manual is included. Plant Limit could be worded to conform to the request better. At the time of application, zoning use was not approved.

| C. Business Plan | | | | |
|--------------------------------|--|---------------|--|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 30 | | Detailed plan with startup costs, projections, and available capital clearly described. |
| 2 | Experience/qualifications of staff | 20 | | |
| TOTAL Score | | 50.00 | | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | | |
| 1 | Plan for safe distribution | 10 | | Location identified but not described. Marketing plan is somewhat limited and only a brochure included as an example. Packaging generally described; no images or samples. Sample label image included. Confidentiality adequately described. HIPAA policy manual included. Patient education generally does not have enough process detail. Ingestion and inhalation options only generally described. More detail is needed on how to report adverse events and concerns. Attestations and acknowledgement could be worded to better conform to the requirement or have more formality to them. |
| 2 | Products to be dispensed | 10 | | |
| 3 | Delivery Services to include anticipated fees | 10 | | |
| 4 | Marketing Plan, Including web materials, education materials,, posters, brochures, etc. | 10 | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 7 | | |
| 6 | Transportation of cannabis and CDP. | 10 | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | | |
| 8 | Means of educating enrollees on limitations | 5 | | |
| 9 | Means of educating enrollees on quality of product | 10 | | |
| 10 | Ingestion options | 5 | | |
| 11 | Inhalation techniques provided for ingestion of product | 5 | | |
| 12 | Education on side effects | 7 | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 15 | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 7 | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 7 | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 7 | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | | |
| TOTAL Score | | 145.00 | | |

| | | | | |
|--|---|---------------|---|----------------------------------|
| E. Security Plan | | | | |
| 1 | Description of Security devices in production and distribution | 30 | There is not enough detail on processes or controls to prevent theft, loss, and diversion; there is also not a lot of process detail on limitation of access. | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 15 | | |
| 3 | Description of how production location will be limited to employees only. | 15 | | |
| 4 | Description of security company services. | 30 | | |
| 5 | Limitation of access | 15 | | |
| TOTAL Score | | 105.00 | Total Possible Points 150 | |
| F. Quality Assurance Plans | | | | |
| 1 | Method and Process to ensure purity of product. | 40 | There is no detail about the testing protocol. There is also not enough detail on adverse events and reporting processes. | |
| 2 | Process of ensuring consistency of dose | 40 | | |
| 3 | Testing | 15 | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 15 | Total Possible Points 150 | |
| TOTAL Score | | 110 | | |
| G. Financial and Organizational Information | | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | Board member confirmation provided but not proof of status. | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 7 | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | | |
| TOTAL Score | | 97 | | Total Possible Points 100 |

| H. Personnel Materials | | | |
|--|--|--------|---|
| 1 | Criminal Background checks | 5 | 5 job descriptions provided but there were other positions identified on the org chart. State background checks missing. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 8 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 43.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | SunCell Technologies hired to oversee production facility construction and launch production - focus on hydroponic systems for the MC industry. |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 35 | Overall, the application was organized. There were some areas of the application that lacked sufficient detail. There is relevant business experience and plan does target an underserved area. |
| TOTAL Score | | 35.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 845.00 | Total Possible Points 1000 |

| Applicant: <u>Peas Valley</u> | | Evaluator: <u>MILES</u> | |
|-------------------------------|---|-------------------------|--|
| Summary | | Score | |
| Production Plan | | COMMENTS | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | ✓ | Reswell M-5 8-5
450 (lot #4132)
hard water
plus particulate & sediment filter |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | ✓ | Reswell M-5 8-5
motive pl |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | ✓ | PPE descriptions - very good
- first approach is natural condit; pesticides only if necessary
- harvest 7: peak/ok => 3200 units/mo
720 lbs/yr at full prod |
| 4 | Production Capacity to include expansion plans, if any. | ✓ | - very thorough?
- submitted to rez-zone. MFG May 12
- old Nations Dairy bottling facility
- sewerage report in App |
| 5 | Street Address | ✓ | |
| 6 | Equipment | ✓ | |
| 7 | Appropriate licenses, local zoning, business license, fire inspection | ✓ | |
| 8 | Property Owner Information and Approval | ✓ | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | ✓ | |
| 13 | Written Acknowledgement of Plant Limit | ✓ | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | ✓ | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | ✓ | |
| 16 | Air Treatment/Filtration systems to be used | ✓ | |
| TOTAL Score | | 195 | Total Possible Points 200 |

After water & lot were activated C

Very Ambitious new proj. ~ 4.5M/yr (full eq - reached)

| C. | Business Plan | (-) | Total Possible Points 50 |
|--------------------|--|-------|--------------------------|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | (-) | |
| 2 | Experience/qualifications of staff | ✓ | |
| TOTAL Score | | 45 | |
| D. | Sales and Distribution Plan | | |
| 1 | Plan for safe distribution | (-) | |
| 2 | Products to be dispensed | ✓ | |
| 3 | Delivery Services to include anticipated fees | (-) | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | ✓ | |
| 6 | Transportation of cannabis and CDP. | (-) | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | See 3 | |
| 8 | Means of educating enrollees on limitations | (-) | |
| 9 | Means of educating enrollees on quality of product | (-) | |
| 10 | Ingestion options | (-) | |
| 11 | Inhalation techniques provided for ingestion of product | (-) | |
| 12 | Education on side effects | ✓ | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | (-) | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | ✓ | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | ✓ | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | ✓ | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| TOTAL Score | | 42 | |

detailed budget of new + expand well-funded to start up roles clearly described + relev. experience

Reseed M-Sat 8-5
 2. plants, to pick, no strains specified
 4. multi-funnel
 3. To South a pond of 30k 1 visit/mo/site
 5. fees estimated \$0-20 dep on area + volume of parcels
 (-) by safety?? using company var of parcels post refing: phone + GPS marked safe?
 5. (-) pkg sample or detailed description
 (-) say will educate but no materials or content shown

say will do but no material - specify will give DOH

4-20 (42)

Total Possible Points 200

160

| | | |
|---|---|----------------------------------|
| E. | Security Plan | |
| 1 | Description of Security devices in production and distribution | ✓ |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | ✓ |
| 3 | Description of how production location will be limited to employees only. | ✓ |
| 4 | Description of security company services. | () |
| 5 | Limitation of access | () |
| TOTAL Score | | 26/130 Total Possible Points 150 |
| F. Quality Assurance Plans | | |
| 1 | Method and Process to ensure purity of product. | () |
| 2 | Process of ensuring consistency of dose | () |
| 3 | Testing | ✓ |
| 4 to 5 | Adverse event and reports to DOH from enrollees | () |
| TOTAL Score | | 14/28 Total Possible Points 150 |
| G. Financial and Organizational Information | | |
| 1 to 5 | Articles of Incorporation, By-Laws, Business License, Tax and Revenue Registration certificate | ✓ |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | ✓ |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | ✓ |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | ✓ |
| 11 | Description of outstanding debts including loans, compensation agreements, | ✓ |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | ✓ |
| TOTAL Score | | 100 Total Possible Points 100 |

Combs Consulting + Computers Use V. Leo Mup System's
 Comprehensive security approach - architecture, electrical, physical, etc.
 "Tried" approach
 24/7 monitoring of cameras + alarm!
 good diagram of Sec. hardware at site

diversion control?
 pg 18- last only when no genetic screen is introduced (1/20)
 but... same will comply w/ all DOH required testing req.
 by also random testing labels,
 how determine "use by date"?

1 MD, 1 RN, 3 MCP.
 app: BOB 10, incl. 1 RN, 1 MD, 3 MCP

| | | |
|--|-----------|--|
| <p>H.</p> <p>Personnel Materials</p> | | |
| <p>1</p> <p>Criminal Background checks</p> | <p>✓</p> | <p>- Pending on all state; federal complete</p> |
| <p>2</p> <p>Personnel Policies and Procedures</p> | <p>✓</p> | |
| <p>3</p> <p>Sample personnel record to include: application, state and federal employment documentation, job description</p> | <p>✓</p> | |
| <p>4</p> <p>Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training</p> | <p>✓</p> | |
| <p>5</p> <p>HIPAA Training</p> | <p>✓</p> | |
| <p>TOTAL Score</p> | <p>46</p> | <p>Total Possible Points 50</p> |
| <p>I.</p> <p>Agricultural and Production Experience</p> | | <p>commercial</p> |
| <p>1</p> <p>Description of applicants or employees skills knowledge and experience in agriculture.</p> | | <p>A.R. exper horticult + canna big grow in CO - 5yr
E.R. hydroponic Lewis - 7yr
master grower to be trained by Sun cell</p> |
| <p>TOTAL Score</p> | <p>35</p> | <p>Total Possible Points 50</p> |
| <p>Organization and Clarity of Materials</p> | | |
| <p>Relevant experience of key personnel based upon the resumes and experience narratives submitted.</p> | | |
| <p>Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems.</p> | | <p>Very organized, easy to follow. Clear</p> |
| <p>TOTAL Score</p> | <p>50</p> | <p>Total Possible Points 50</p> |
| <p>Overall Application Score and reviewer Opinion</p> | | <p>Total Possible Points 1000</p> |

| A | B | C | D |
|---------|---|--------|---|
| 1 | Pecos Valley Pharmaceuticals | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | <p>This is a well thought out production plan. However I am unclear how they plan on the high yield rates. Just to support the cost of the location the producer would have to sell 666 grams a month which is high for that area as Chavez county only has 297 active patients. In addition they are moving into a hostile environment as the community where production is placed is not cannabis friendly. They had all appropriate licenses. Had good info. on pesticide and employee safety.</p> |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 15 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 16 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 17 | Air Treatment/Filtration systems to be used | | |
| 18 | TOTAL Score | 152.00 | Total Possible Points 200 |



| A | B | C | D |
|----|--|--------|---|
| 19 | Business Plan | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | This is a well thought out business plan and clearly articulated, however there projection on growth seems extremely high. I am still unclear on the 12 years of growing experience they have touted in the application. |
| 20 | Experience/qualifications of staff | | |
| 21 | | | |
| 22 | | 35.00 | |
| 23 | TOTAL Score | | Total Possible Points 50 |
| 24 | Sales and Distribution Plan | | Applicants talk repeatedly on using specific strains for conditions because they are "known" to help these conditions. They have not provide any information on how this is known or to what strains they are referring. Have a large delivery area. Offer discount on delivery for purchasing more, this is potential not allowed under the regulation that bans any type of bulk discounts. Their pamphlet has NMDOH sponsoring, this would have to be removed. The brochure also devotes more space to promoting the DPA than educating enrollees. Not a lot of information on protecting patient documents other than employees signing a confidentiality statement. Good warning, however unclear how this will be provided to enrollees other than being on a poster in the office. This section had A LOT of information but it felt very broad; not specific to producer setup. |
| 25 | Plan for safe distribution | | |
| 26 | Products to be dispensed | | |
| 27 | Delivery Services to include anticipated fees | | |
| 28 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | |
| 29 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | |
| 30 | Transportation of cannabis and CDP. | | |
| 31 | Description of measures taken to ensure confidentiality of enrollees. | | |
| 32 | Means of educating enrollees on limitations | | |
| 33 | Means of educating enrollees on quality of product | | |
| 34 | Ingestion options | | |
| 35 | Inhalation techniques provided for ingestion of product | | |
| 36 | Education on side effects | | |
| 37 | Education on reporting adverse events and concerns regarding customer service and products. | | |
| 38 | Written acknowledgement that plant count will not exceed approved limit | | |
| 39 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | |
| 40 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | |
| 41 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| | TOTAL Score | 153.00 | Total Possible Points 200 |



| A | B | C | D |
|----|---|--------|--|
| 42 | E. Security Plan | | |
| 43 | 1 Description of Security devices in production and distribution | | Good security plan. Well thought out and use of what appears to be a professional company. |
| 44 | 2 Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 | 3 Description of how production location will be limited to employees only. | | |
| 46 | 4 Description of security company services. | | |
| 47 | 5 Limitation of access | | |
| 48 | TOTAL Score | 128.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 50 | 1 Method and Process to ensure purity of product. | | Applicant appears to have a focus on quality assurance as long as they adhere to this plan. |
| 51 | 2 Process of ensuring consistency of dose | | |
| 52 | 3 Testing | | |
| 53 | 4 to 5 Adverse event and reports to DOH from enrollees | | Total Possible Points 150 |
| 54 | TOTAL Score | 130.00 | |
| 55 | G. Financial and Organizational Information | | |
| 56 | 1 to 5 Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | All information provided. I am concerned that their expenses will exceed their sales. Some of the agreement seemed vague and/or were not signed. |
| 57 | 6 Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 | 7 Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 | 8 to 10 Organizational Chart, Resumes, compensation Agreements | | |
| 60 | 11 Description of outstanding debts including loans, compensation agreements, | | |
| 61 | 12 to 14 Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 78.00 | Total Possible Points 100 |



| A | B | C | D |
|----|---|-------|--|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | Provided all materials however one criminal background check showed a criminal conviction but did not state the charge. |
| 66 | 2 Personnel Policies and Procedures | | |
| 67 | 3 Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 68 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 69 | 5 HIPAA Training | | |
| 70 | TOTAL Score | 36.00 | Total Possible Points 50 |
| 71 | I. Agricultural and Production Experience | | |
| 72 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | Have contract with company out of Colorado for set up, but unclear what long term involvement will be with company. |
| 73 | TOTAL Score | 32.00 | Total Possible Points 50 |
| 74 | Organization and Clarity of Materials | | |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | Well organized and a lot of material. Did feel a lot of the information was not specific to the company itself. Company leaders have a good business experience but no experience in medical cannabis. The city of Roswell may prove to be challenge for any Producer moving into that area. |
| 76 | TOTAL Score | 38.00 | Total Possible Points 50 |
| 77 | Overall Application Score and reviewer Opinion | 783 | Total Possible Points 1000 |



| Applicant: <u>Sh Wellman's Center 15-31</u> | | Score | Evaluator: <u>MILLS</u> | COMMENTS | |
|---|---|-------|-------------------------|---|---------------------------|
| A. | Summary | | | | |
| B. | Production Plan | | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | ✓ | | <p>E1 Prads
 prod Teas
 Sh A Room room, living room
 Sell grower indoors / pass expression in greenhouse
 seed → sale thru MT Farming
 150 pounds incl. by
 well water - tested monthly, meets std, for food prod,
 (SCD lab)
 Harvest every 2 wks (1/2 plants) = 260 lbs/yr
 Pre - expansion, gain, losses, gain
 → business license upon met approval (required)</p> | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | N/A | | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | | | |
| 4 | Production Capacity to include expansion plans, if any. | ✓ | | | |
| 5 | Street Address | ✓ | | | |
| 6 | Equipment | | | | |
| 7 | Appropriate licenses, local zoning, business license, Fire inspection | | | | |
| 8 | Property Owner Information and Approval | ✓ | | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | ✓ | | | |
| 13 | Written Acknowledgement of Plant Limit | ✓ | | | |
| 14 | Written acknowledgement of knowledge of U.S.F.P.A. Agricultural worker standards | ✓ | | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | ✓ | | | |
| 16 | Air Treatment/Filtration systems to be used | ✓ | | | |
| TOTAL Score | | 200 | | | Total Possible Points 200 |

| C. | Business Plan | | |
|--------------------|--|------------|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | Good description of expenses. Realistic revenue projections |
| 2 | Experience/qualifications of staff | | Grower experience! |
| TOTAL Score | | 50 | Total Possible Points 50 |
| ID. | Sales and Distribution Plan | | |
| 1 | Plan for safe distribution | ✓ | distrib: Taos - at prod site |
| 2 | Products to be dispensed | ✓ | prod T-Son n.7 |
| 3 | Delivery Services to include anticipated fees | ✓ | disp. Tues-S 11-7 |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | ✓ | 18 strains grown titled 9 19 cat B P |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | ✓ | edible outsourced to licensed manuf |
| 6 | Transportation of cannabis and CDP. | ✓ | Private |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | ✓ | concentrates & edibles will be offered (made under contract) |
| 8 | Means of educating enrollees on limitations | ✓ | will accept phone orders from establ. peddlers |
| 9 | Means of educating enrollees on quality of product | ✓ | will deliver w/in 30 min & healthy using its own staff & vehicle |
| 10 | Ingestion options | ✓ | "reasonably delivery charge (\$0-20) |
| 11 | Inhalation techniques provided for ingestion of product | ✓ | plan to contract w/ licensed courier for weed stand deliveries? |
| 12 | Education on side effects | ✓ | Serve Taos & northern NM |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | detailed | marketing: print & online website (already obtained w/ health, signage etc - broad marketing plan) |
| 15 | Written acknowledgement that plant count will not exceed approved limit | ✓ | no packaging samples but per descriptor |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | ✓ | no square footage breakdown |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | ✓ | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | ✓ | |
| TOTAL Score | | 180 | Total Possible Points 200 |

| E. | Security Plan | | |
|--|---|---------------------------|-------|
| 1 | Description of Security devices in production and distribution | | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 3 | Description of how production location will be limited to employees only. | | |
| 4 | Description of security company services. | | |
| 5 | Limitation of access | | |
| | | TOTAL Score | 11/15 |
| | | Total Possible Points 150 | |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | | |
| 2 | Process of ensuring consistency of dose | | |
| 3 | Testing | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | | |
| | | TOTAL Score | 15/20 |
| | | Total Possible Points 150 | |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | | |
| 11 | Description of outstanding debts including loans, compensation agreements, | | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | | |
| | | TOTAL Score | 100 |
| | | Total Possible Points 100 | |

Security Systems of VPI
 IT Freedom good model Tracking
 Co-located Prod & ship to security risks
 cameras include alarm panel's body (Skunk #)
 automatic phone call from alarm (Skunk #)
 pharmacy safety for storage Year ends, send to safe
 yes - installation & product 1st
 no physical guard

delivers 'beta'
 eligible for bus license upon DOH approval

meets req.

| | | | |
|--|---|----------------|-----------------------------------|
| II. Personnel Materials | | | |
| 1 | Criminal Background checks | | |
| 2 | Personnel Policies and Procedures | | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 5 | HIPAA Training | | |
| | TOTAL Score | 50 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | | |
| | TOTAL Score | 45 | Total Possible Points 50 |
| | Organization and Clarity of Materials | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. | | |
| | Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50-1040 | |
| | TOTAL Score | 40 | Total Possible Points 50 |
| | Overall Application Score and reviewer Opinion | 960 | Total Possible Points 1000 |

Very complete

on the job experience of master grower + hort degree
no formal edu in cannabis from Univ/college

- 10 pts (no electronic copy)

| Applicant: Southwest Wellness Center | | Evaluator: Dawn Hunter |
|--------------------------------------|---|------------------------|
| A. | Summary | Score |
| B. | Production Plan | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 45 |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 18 |
| 4 | Production Capacity to include expansion plans, if any. | 15 |
| 5 | Street Address | 10 |
| 6 | Equipment | 8 |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 |
| 8 | Property Owner Information and Approval | 8 |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 |
| 13 | Written Acknowledgement of Plant Limit | 10 |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 15 |
| 16 | Air Treatment/Filtration systems to be used | 8 |
| TOTAL Score | | 177.00 |
| Total Possible Points 200 | | |

COMMENTS

Application included. Production and distribution in Taos. Good breakdown of finances related to the application, covered via backer loan. Summary included that addresses experience and interest. Production and distribution on same site. Indoor growth plan, separate flowering, vegetation, drying, and curing. Use of seed to sale software planned. Well water to be used, "hydroponic-like" system according to the Summary but not addressed again in the Production Plan, no plans for water treatment at this time but water is tested regularly and treatment or filtration measures will be implemented if necessary. Detailed plan by growth stage and subsequent steps of the manufacturing process. Plan to use an external extract manufacturer that is DOH approved. Good note that edible formulas will be adjusted to reflect variation in potency by batch. Employee protection covers a variety of workplace hazards. Growth estimate is much higher than the current highest producing LNPPs (total grams per year). Expansion plans include potential outdoor greenhouse so security issues specific to that would have to be addressed. Equipment list provided but no specifics, quotes, or cost estimates, brand info for some items, etc. Verification that TISA has actually vacated the premises will be necessary. EPA and NMDA standards described, acknowledgements provided. Good overall section.

| C. Business Plan | | | |
|--------------------------------|--|---------------|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | Annual expenses outlined and a reasonable annual sales estimate is provided based on the numbers used. Note that operating losses are expected the first year, which is realistic. No SWOT or market analysis but there appears to be a general understanding of market issues in New Mexico. There is not much discussion of sustainability - focus on up front and Year 1 costs. Staff not addressed in this section other than the Elkhorn Ventures members.

Total Possible Points 50 |
| 2 | Experience/qualifications of staff | 5 | |
| TOTAL Score | | 30.00 | |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 15 | |
| 2 | Products to be dispensed | 10 | |
| 3 | Delivery Services to include anticipated fees | 8 | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 15 | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 7 | |
| 6 | Transportation of cannabis and CDP. | 7 | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 6 | |
| 8 | Means of educating enrollees on limitations | 7 | |
| 9 | Means of educating enrollees on quality of product | 8 | |
| 10 | Ingestion options | 8 | |
| 11 | Inhalation techniques provided for ingestion of product | 8 | |
| 12 | Education on side effects | 10 | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 15 | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | |
| TOTAL Score | | 164.00 | Total Possible Points 200 |

| E. Security Plan | | | |
|---|---|--------|--|
| 1 | Description of Security devices in production and distribution | 20 | Security devices described. Theft and loss addressed but not really diversion. Limitation of access and restrictions on employee access are described adequately. Security company services identified but no additional detail (proposal, agreement or the like) provided. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 20 | |
| 3 | Description of how production location will be limited to employees only. | 20 | |
| 4 | Description of security company services. | 20 | |
| 5 | Limitation of access | 20 | |
| TOTAL Score | | 100.00 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 30 | Good detail on purity of product and consistency of dose, as well as testing. Some additional detail on cleaning procedures and batch testing would have clarified the process more. Adverse events and reports referred back to a previous section, which was adequate but not detailed enough regarding the process for educating patients, and the follow up. |
| 2 | Process of ensuring consistency of dose | 30 | |
| 3 | Testing | 30 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 15 | |
| TOTAL Score | | 105 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 15 | Most business documents provided. No business license yet. Board member confirmation provided but no proof of status (patient ID card or physician license number). Org chart and resumes provided. Financial info provided as well as statement outlining expenses related to the application. Signed employment agreements included. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 7 | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | |
| TOTAL Score | | 91 | Total Possible Points 100 |

| Personnel Materials | | | |
|---|--|--------|--|
| 1 | Criminal Background checks | 10 | Background check results included. Policy and procedure manual provided and it seems thorough. Sample personnel record included and description of state and federal employment documentation. Training materials described and samples provided. Current HIPAA training certificates were included. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 35 | The Master Grower has recently obtained education and work experience in agriculture (commercial crops) and industry-specific experience as a Master Grower for an Arizona licensed producer. No other employee experience described. |
| TOTAL Score | | 35.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 45 | Overall this was a well-organized application with good detail in most sections. There is some experience in the industry, but there seems to be relevant business/operational experience for most of the employees. |
| TOTAL Score | | 45.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 798.00 | Total Possible Points 1000 |

| A | B | C | D |
|---------|--|--------|--|
| 1 | Southwest Wellness center | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | 150 Plants production in Taos. Operating off a well with monthly testing. Would like to see a filtration system in place. Adequate grow plan with good detail. There is currently school in same building however they are allegedly vacating. There was suppose to be a letter demonstrating this, however it was not included. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | Written Acknowledgement of Plant Limit | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NIM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | Air Treatment/Filtration systems to be used | | |
| 17 | | | |
| 18 | TOTAL Score | 120.00 | |



| | A | B | C | D |
|----------|--|-------------|--------|--|
| 19 | C. Business Plan | | | |
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | | Rather weak business plan. Their projected sales seemed very high. Lacked detail on info. On ensuring success.
Total Possible Points 50 |
| 20 | Experience/qualifications of staff | | | |
| 21 | | | | |
| 22 | | TOTAL Score | 22.00 | |
| 23 | D. Sales and Distribution Plan | | | Good distribution plan and good education materials. Good description of packaging and labels.
Total Possible Points 200 |
| 24 | Plan for safe distribution | | | |
| 25 | Products to be dispensed | | | |
| 26 | Delivery Services to include anticipated fees | | | |
| 4 | Marketing Plan, Including web materials, education materials,, posters, brochures, etc. | | | |
| 27 | | | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | | |
| 28 | | | | |
| 29 | 6 Transportation of cannabis and CDP. | | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | | | |
| 30 | | | | |
| 31 | 8 Means of educating enrollees on limitations | | | |
| 32 | 9 Means of educating enrollees on quality of product | | | |
| 33 | 10 Ingestion options | | | |
| 11 | Inhalation techniques provided for ingestion of product | | | |
| 34 | | | | |
| 35 | 12 Education on side effects | | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | | | |
| 36 | | | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | | | |
| 37 | | | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | | |
| 38 | | | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NIM ID for sales. | | | |
| 39 | | | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | | |
| 40 | | | | |
| 41 | | TOTAL Score | 158.00 | |



| A | B | C | D |
|----|---|--------|--|
| 42 | E. Security Plan | | |
| 43 | 1 Description of Security devices in production and distribution | | Decent security plan. I would have liked more security since distributional and production are in the same location. One of few producers to address potential fraud of employees. |
| 44 | 2 Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 45 | 3 Description of how production location will be limited to employees only. | | |
| 46 | 4 Description of security company services. | | |
| 47 | 5 Limitation of access | | |
| 48 | TOTAL Score | 121.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 50 | 1 Method and Process to ensure purity of product. | | decent quality assurance. Would have liked more specific detail about their operation rather than the generic statement of we will follow specific guidelines. |
| 51 | 2 Process of ensuring consistency of dose | | |
| 52 | 3 Testing | | |
| 53 | 4 Adverse event and reports to DOH from enrollees | | |
| 54 | TOTAL Score | 100.00 | Total Possible Points 150 |
| 55 | G. Financial and Organizational Information | | |
| 56 | 1 to 5 Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | Backer is a new company created in 2015 with no tax records. Backer is in fact owned and operated by the same people operating the grow. I am not sure why this was not made clear in the application. |
| 57 | 6 Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 58 | 7 Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 59 | 8 to 10 Organizational Chart, Resumes, compensation Agreements | | |
| 60 | 11 Description of outstanding debts including loans, compensation agreements, | | |
| 61 | 12 to 14 Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 65.00 | Total Possible Points 100 |

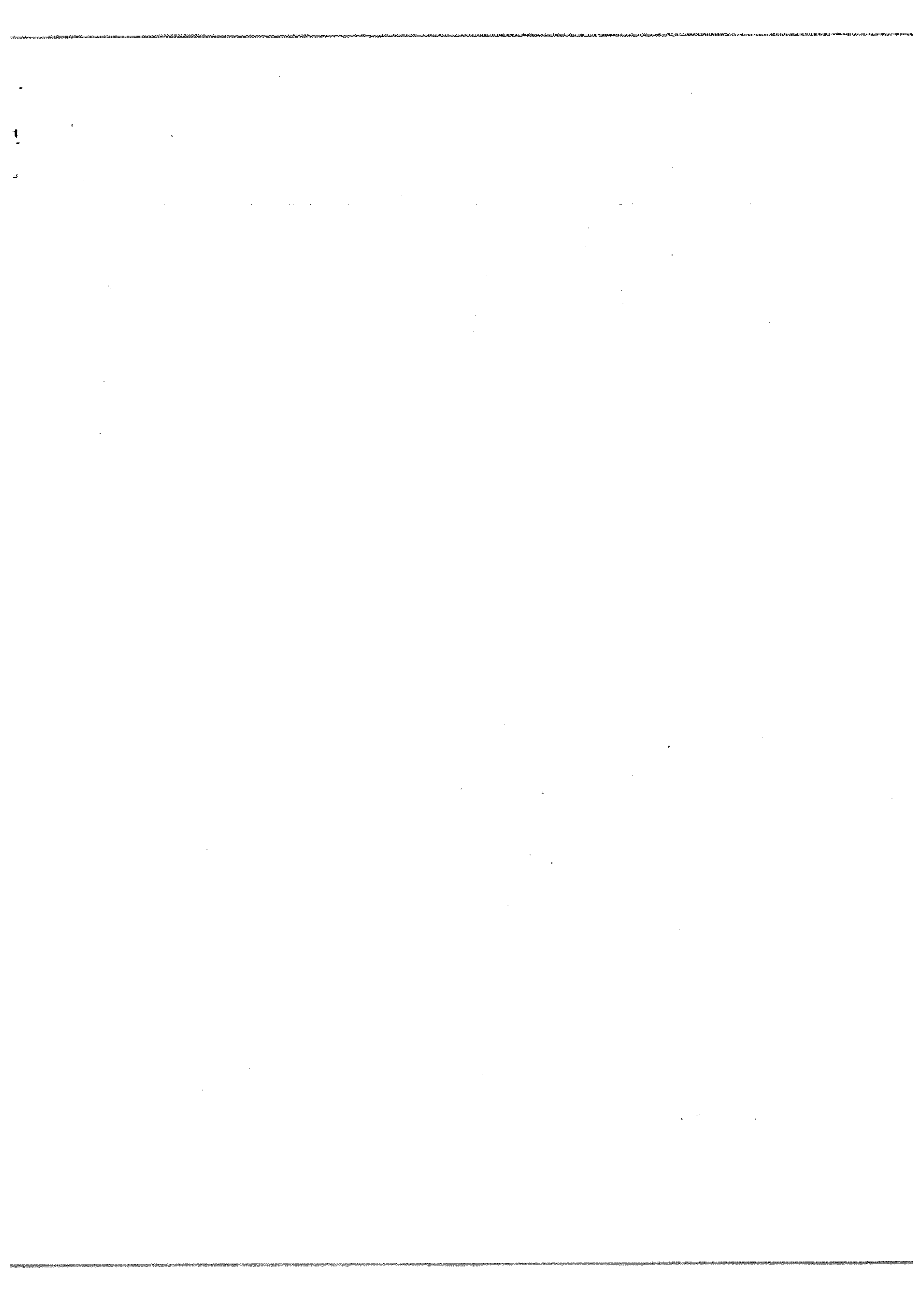


| A | B | C | D |
|----|---|-------|---|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | Included all materials and they were adequate. |
| 66 | 2 Personnel Policies and Procedures | | |
| 67 | 3 Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 68 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | Total Possible Points 50 |
| 69 | 5 HIPAA Training | | |
| 70 | TOTAL Score | 38.00 | |
| 71 | I. Agricultural and Production Experience | | |
| 72 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | Master Grower has a Masters degree in Agriculture and grow experience in Arizona. It is unclear if he is still employed in Arizona. |
| 73 | TOTAL Score | 40.00 | |
| 74 | Organization and Clarity of Materials | | Total Possible Points 50 |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | strong application in most areas. I feel they are anticipating their sales a little heavy. It was well organized. |
| 76 | TOTAL Score | 38.00 | |
| 77 | Overall Application Score and reviewer Opinion | 71% | Total Possible Points 1000 |



15-52

| Applicant: <u>Verde Care</u> | | Score | Evaluator: <u>Lynn Callender</u> |
|------------------------------|---|-------|--|
| REQUIREMENTS | | | |
| A. | Summary | | |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic; indoor vs. outdoor) | 30 | Production / Distribution - ABE
True living organics (TLB)
Soil Based, organic indoor grow site
450 plant count
Compo fertigated environment control system
Growtronic. BioTrack inventory control
Reverse Osmosis; City Water
Strong Grow Plan, Expansion well
documented |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | N/A | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 15 | ALB overgrown. |
| 4 | Production Capacity to include expansion plans, if any. | 15 | Detailed Equipment list
email from Bernadette's zoning
owner consent
Soil Safety protocols |
| 5 | Street Address | 5 | Property, Aerials, Thorough Diagrams |
| 6 | Equipment | 15 | Bernadette zoning letter
Member owner consent
Surveys letter |
| 7 | Appropriate licenses, local zoning, business license, fire inspection | 15 | Attestations of Acknowledgments
Vern Strong, knowledge, identification
Carbon & Phosphorus (Detailed evidence) |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 20 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 | |
| 16 | Air Treatment/Filtration systems to be used. | 10 | |
| TOTAL Score | | 185 | Total Possible Points 360 |



| C. Business Plan | | | |
|--------------------------------|--|-----|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | Strong Business Plan w/ Financials \$750,000 investors |
| 2 | Experience/qualifications of staff | 25 | Pro track Operator Industry Contacts |
| TOTAL Score | | 50 | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | |
| 1 | Plan for safe distribution | 20 | Disemburn ABQ - VOT Sheet |
| 2 | Products to be dispensed | 15 | Full range products (log - CDP) BHO |
| 3 | Delivery Services to include anticipated fees | 10 | high CBD, hybrid, SO/SO |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | Well organized Strong Disbourn Plan |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | Agreement with HOT SHOTS |
| 6 | Transportation of cannabis and CDP. | 10 | Detail Marketing Plan Web, social |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | Media, leaflets, Education |
| 8 | Means of educating enrollees on limitations | 10 | Patient Handbook |
| 9 | Means of educating enrollees on quality of product | 10 | Labeling Packaging Samples |
| 10 | Ingestion options | 10 | HOT SHOTS transport |
| 11 | Inhalation techniques provided for ingestion of product | 10 | HOT SHOTS + Manual |
| 12 | Education on side effects | 10 | Strong Patient information on safety |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 | ingestibles, side effects, adverse effects |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | Written Acknowledgment |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | Attestations provided |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM MID for sales. | 10 | - |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas, Break rooms, etc. | 15 | Diagram, Photos, Floor Plan, Detail |
| TOTAL Score | | 200 | Total Possible Points 200 |



| E. Security Plan | | | |
|---|---|-----|---|
| 1 | Description of Security devices in production and distribution | 30 | A - TBCT Securing 24/7 |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 30 | Very Strong Security Plan |
| 3 | Description of how production location will be limited to employees only. | 30 | Security and Safety Manual; Emergency Cameras, alarms, key pad, Sensor Plan |
| 4 | Description of security company services. | 20 | Laser Scan, Gate Sensor |
| 5 | Limitation of access | 20 | |
| TOTAL Score | | 130 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and process to ensure purity of product. | 30 | Quality Control Plan; personal processes |
| 2 | Process of ensuring consistency of dose | 30 | are and clean environments; process |
| 3 | Testing | 30 | Productivity 4-11 hrs |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 25 | TPD process; 15 observations |
| TOTAL Score | | 115 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 10 | Articles, By-laws, Bernadillo zoning articles, EIN, 3 parents |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 9 Members (1 MD, 3 parents) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 10 | Org Chart, Resumes |
| 11 | Description of outstanding debts including loans, compensation agreements, | 10 | Budget plan |
| 12 to 14 | Tax returns and financial statement financial statements outlining all expenses related to the application and business expenses. | 10 | Tax Returns of Backers |
| TOTAL Score | | 60 | Total Possible Points 100 |



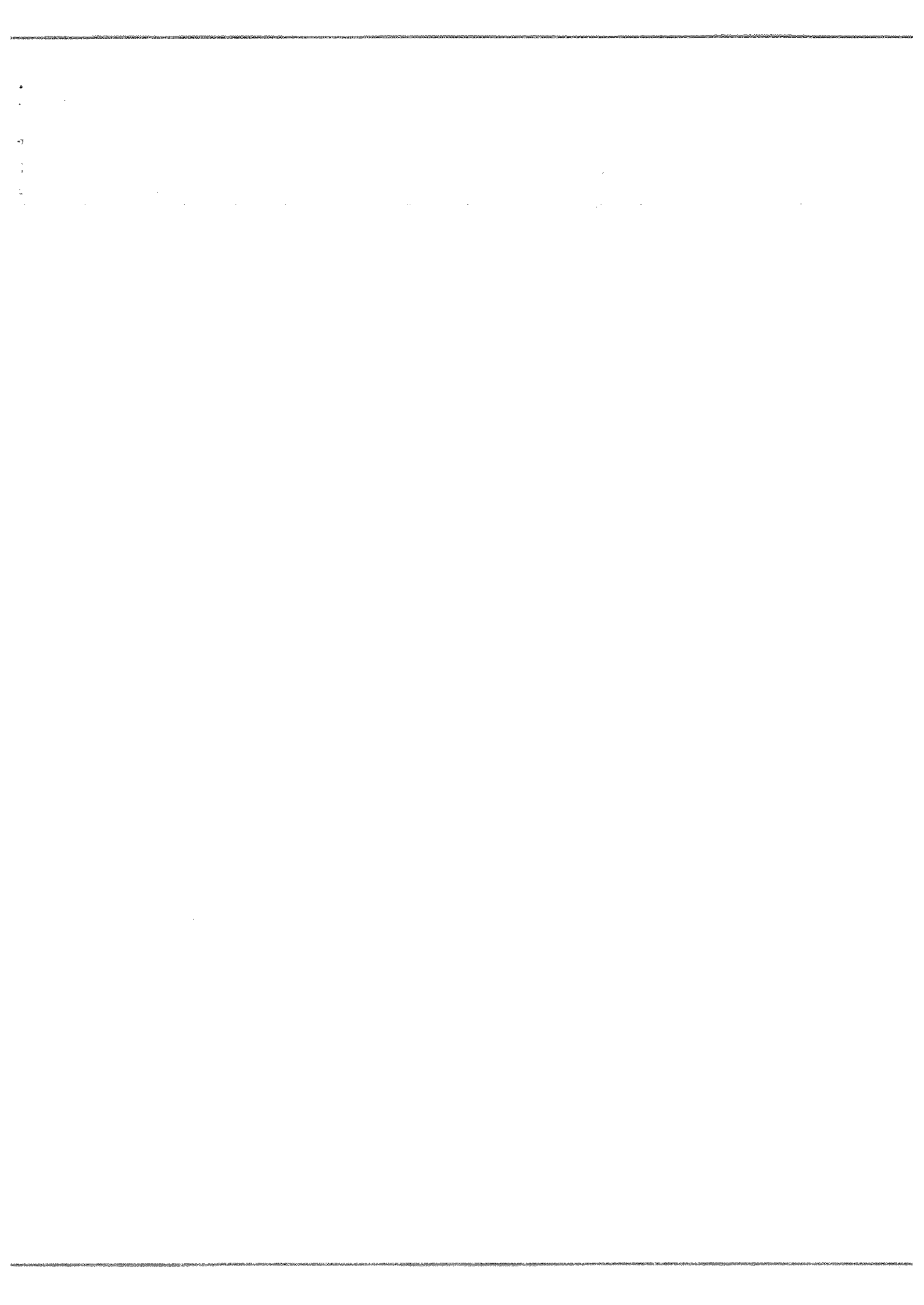
| Personnel Materials | | | |
|--|---|----|---|
| 1 | Criminal Background Checks | 10 | Sentry Link Nationwide Check
NM Ops (DUI, Battery on household member)
Personnel Policy Manual
Employment App's case
Training Reviewer Certificate
HIPAA Certificate |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | HIPAA Certificate
Total Possible Points 50 |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50 | |
| Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 15 | Grape/Wingard grower - France, Ag Degree NARS
Total Possible Points 50 |
| TOTAL Score | | 15 | |
| Organization and Clarity of Materials | | | |
| Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | 15 | 6 months consultation CO, CA, AR, OR, WA
med cannabis industry; business ownership
Accessy background
Total Possible Points 50 |
| TOTAL Score | | 15 | |
| Overall Application Score and reviewer Opinion | | 80 | Total Possible Points 1000 |



| A | B | C | D |
|---------|---|--------|--|
| 1 | Verde Care | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| A. | Summary | | <p>Albuquerque distribution 450 plants page 15 of 18 transfer to Hot Shots which abs not been approved for delivery. Will take two years to develop manufacturing facility, then an additional five months fro harvest. Growing and dispensing at same location, this leads to some serious security concerns. This is a great application however I am concerned about being over two years before the production will be opened.</p> |
| 3 | Production Plan | | |
| 4 | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 5 | | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 6 | | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 7 | | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 8 | | | |
| 9 | Street Address | | |
| 5 | | | |
| 10 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 11 | | | |
| 12 | Property Owner Information and Approval | | |
| 8 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 9 to 12 | | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 13 | | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. | | |
| 15 | Agricultural worker standards | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 16 | | | |
| 17 | Air Treatment/Filtration systems to be used | | |
| 16 | | | |
| 18 | TOTAL Score | 120.00 | Total Possible Points 200 |



| | A | B | C | D |
|----------|----|--|--------|---|
| 19 | C. | Business Plan | | |
| 1 | | Description of funding of operation and how applicant intends to ensure success including costs of staff | | <p>Good business plan, Clear easy to follow and realistic.</p> <p>Total Possible Points 50</p> <p>Hot shots for delivery, not currently licensed for delivery services. Greta detail and well thought out plan however the time frame is still a concern.</p> |
| 20 | | Experience/qualifications of staff | | |
| 21 | 2 | | | |
| 22 | | TOTAL Score | 40.00 | |
| 23 | D. | Sales and Distribution Plan | | |
| 24 | 1 | Plan for safe distribution | | |
| 25 | 2 | Products to be dispensed | | |
| 26 | 3 | Delivery Services to include anticipated fees | | |
| 27 | 4 | Marketing Plan, Including web materials, education materials,, posters, brochures, etc. | | |
| 5 | | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | |
| 28 | | | | |
| 29 | 6 | Transportation of cannabis and CDP. | | |
| 7 | | Description of measures taken to ensure confidentiality of enrollees. | | |
| 30 | | | | |
| 31 | 8 | Means of educating enrollees on limitations | | |
| 32 | 9 | Means of educating enrollees on quality of product | | |
| 33 | 10 | Ingestion options | | |
| 11 | | Inhalation techniques provided for ingestion of product | | |
| 34 | | | | |
| 35 | 12 | Education on side effects | | |
| 13 to 14 | | Education on reporting adverse events and concerns regarding customer service and products. | | |
| 36 | | | | |
| 15 | | Written acknowledgement that plant count will not exceed approved limit | | |
| 37 | | | | |
| 16 | | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | |
| 38 | | | | |
| 17 | | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | |
| 39 | | | | |
| 18 | | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | |
| 40 | | | | |
| 41 | | TOTAL Score | 160.00 | Total Possible Points 200 |



| A | B | C | D |
|--------|---|--------|---|
| 42 | E. Security Plan | | |
| 1 | Description of Security devices in production and distribution | | Great security plan |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 3 | Description of how production location will be limited to employees only. | | |
| 4 | Description of security company services. | | |
| 5 | Limitation of access | | |
| 48 | TOTAL Score | 145.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 50 | Method and Process to ensure purity of product. | | Thoughtful and appears to be committed to quality and consistent product. |
| 51 | Process of ensuring consistency of dose | | |
| 52 | Testing | | |
| 53 | Adverse event and reports to DOH from enrollees | | |
| 54 | TOTAL Score | 140.00 | Total Possible Points 150 |
| 55 | G. Financial and Organizational Information | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | solid financial information and complete records. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 58 | | | |
| 59 | 8 to 10 | | |
| 60 | Organizational Chart, Resumes, compensation Agreements | | |
| 61 | Description of outstanding debts including loans, compensation agreements, | | |
| 62 | Tax returns and financial statement | | |
| 63 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 80.00 | Total Possible Points 100 |



| A | B | C | D |
|----|---|-------|---|
| 64 | H. Personnel Materials | | |
| 65 | 1 Criminal Background checks | | All records provided and complete |
| 66 | 2 Personnel Policies and Procedures | | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 67 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | Total Possible Points 50 |
| 68 | 5 HIPAA Training | | |
| 70 | TOTAL Score | 40.00 | |
| 71 | I. Agricultural and Production Experience | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | | Good agricultural experience one previously worked for a producer in NM. |
| 72 | | | Total Possible Points 50 |
| 73 | TOTAL Score | 40.00 | |
| 74 | Organization and Clarity of Materials | | |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | Very well put together and through our application I am still concerned about the two year wait for them to open. Doe stat mean they will not pay a fee for to years if they re not growing plants? |
| 76 | TOTAL Score | 35.00 | Total Possible Points 50 |
| 77 | Overall Application Score and reviewer Opinion | 800 | Total Possible Points 1000 |

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| Applicant: Verde Care | | Evaluator: Dawn Hunter | |
|-----------------------|---|------------------------|---|
| | | Score | COMMENTS |
| A. | Summary | | <p>Albuquerque production and distribution. Property owner approval provided. Summary provides overview of qualifications and experience. True Living Organics grow method. Indoor. 450 plant capacity. The production process is described from beginning to end. Good exhibits and diagrams included. Limitation of employee exposure only addresses chemical hazards and refers to Items 14 and 15; no other hazards addressed. Production capacity and expansion space generally described. All other items sufficiently addressed.</p> |
| B. | Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 50 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 10 | |
| 4 | Production Capacity to include expansion plans, if any. | 10 | |
| 5 | Street Address | 10 | |
| 6 | Equipment | 10 | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 | |
| 8 | Property Owner Information and Approval | 10 | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | |
| 13 | Written Acknowledgement of Plant Limit | 10 | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 10 | |
| 16 | Air Treatment/Filtration systems to be used | 10 | |
| TOTAL Score | | 170.00 | |

| C. Business Plan | | | | |
|--------------------------------|--|---------------|--|---|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 20 | | There is some redundant information from the production plan included here. Startup timeline and expenses included. An income and expense statement is included but there is no indication of what the figures are based on and there isn't a solid market analysis. There is also no discussion of sustainability. Staff experience/qualifications not described here. |
| 2 | Experience/qualifications of staff | 5 | | |
| TOTAL Score | | 25.00 | | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | | |
| 1 | Plan for safe distribution | 15 | | Location identified and generally described. Products listed and generally described. Delivery services described with fees indicated for Albuquerque and Santa Fe and surrounding areas. Transportation does not have process detail; reiterates delivery info. HIPAA is described but not physical or electronic security measures. Process for educating enrollees on limitations not described. There is general, no detailed, info on ingestion and inhalation methods. There could be more info about the process around adverse events and concerns reporting. |
| 2 | Products to be dispensed | 7 | | |
| 3 | Delivery Services to include anticipated fees | 10 | | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 20 | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 | | |
| 6 | Transportation of cannabis and CDP. | 5 | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 5 | | |
| 8 | Means of educating enrollees on limitations | 5 | | |
| 9 | Means of educating enrollees on quality of product | 10 | | |
| 10 | Ingestion options | 5 | | |
| 11 | Inhalation techniques provided for ingestion of product | 5 | | |
| 12 | Education on side effects | 10 | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 15 | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas, Break rooms, etc. | 10 | | |
| TOTAL Score | | 165.00 | | |

| E. Security Plan | | | |
|---|---|--------------------|--|
| 1 | Description of Security devices in production and distribution | 30 | Some measures to prevent theft, loss, and diversion are identified but the processes are measures are not described in detail and the list is not comprehensive. Limitation of access is inferred but not explicitly addressed. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 15 | |
| 3 | Description of how production location will be limited to employees only. | 10 | |
| 4 | Description of security company services. | 30 | |
| 5 | Limitation of access | 10 | |
| | | TOTAL Score | 95-00 |
| F. Quality Assurance Plans | | | |
| 1 | Method and Process to ensure purity of product. | 30 | Testing process and requirements not really described. QA plan not connected well to the requested items although the information provided is good. There could be more process information around ensuring consistency of dose. Adverse events and reports could also have more process detail. |
| 2 | Process of ensuring consistency of dose | 30 | |
| 3 | Testing | 20 | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 20 | |
| | | TOTAL Score | |
| G. Financial and Organizational Information | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | Copies of 2 patient ID cards missing. Resumes are more like bios or work summaries but are included for all identified persons. |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 20 | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 20 | Total Possible Points 100 |
| | | TOTAL Score | |

| H. Personnel Materials | | | |
|---|---|--------|---|
| 1 | Criminal Background checks | 10 | There could be more detail about the training curriculum, and the materials or training programs to be used. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| I. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 50 | Vineyard experience. Previous experience in medical cannabis cultivation with producers. Farm experience. |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 50 | Overall well organized with good detail and visuals. Industry-specific experience identified. Security and QA were the weakest areas. |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 802.00 | Total Possible Points 1000 |

15-44

| Applicant: <i>Seven Horst</i> | | Evaluator: <i>Lynn Gallagher</i> | |
|-------------------------------|---|----------------------------------|---|
| | | COMMENTS | |
| A. | Summary | Score | |
| B. | Production Plan | | |
| 1 | Plan for growth; cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 15 | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | Hybrid | Production Distribution - ABQ
Energy Efficient Greenhouse Grow
Herbal Edibles will do extraction
Not primarily Hydroponic - features
will be integrated into greenhouse grow
city & well water will be tested
if necessary - will install RO system |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 15 | Training, Safety, Risk management
Protective gear |
| 4 | Production Capacity to include expansion plans, if any. | 15 | 150 plants - 9 initial Greenhouses w/
room for expansion |
| 5 | Street/Address | 5 | ABQ location |
| 6 | Equipment | 10 | Detailed equipment list w/ photo identifies |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 | Licensing ABQ Permit
Landlord-owner consent |
| 8 | Property Owner Information and Approval | 10 | Lease Agreement |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 10 | Property Descriptions, Maps, Plans |
| 13 | Written Acknowledgement of Plant Limit | 10 | Supplier acknowledgment attached |
| 14 | Written acknowledgment of knowledge of U.S.E.P.A. Agricultural worker standards | 10 | Certification Contained w/in Summary
EPA WPS Acknowledgment; Restrictions
Acknowledgment |
| 15 | Description of applicant's knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 10 | |
| 16 | Air Treatment/Filtration systems to be used. | 10 | ECOSOBER - odor neutralizing agents |
| TOTAL SCORE | | 130 | Total Possible Points 200 |

10

11

12

Handwritten header or title text, possibly including a date or location.

Main body of handwritten text, consisting of several vertical columns of cursive script.

| C. Business Plan | | |
|--------------------------------|--|---------------------------|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 |
| 2 | Experience/qualifications of staff | 25 |
| TOTAL Score | | 50 |
| D. Sales and Distribution Plan | | |
| 1 | Plan for safe distribution | 10 |
| 2 | Products to be dispensed | 10 |
| 3 | Delivery Services to include anticipated fees | 10 |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | 10 |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 10 |
| 6 | Transportation of cannabis and CDP. | 10 |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 |
| 8 | Means of educating enrollees on limitations | 10 |
| 9 | Means of educating enrollees on quality of product | 10 |
| 10 | Ingestion options | 10 |
| 11 | Inhalation techniques provided for ingestion of product | 10 |
| 12 | Education on side effects | 10 |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 10 |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM/JD for sales. | 10 |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 5 |
| TOTAL Score | | 165 |
| | | Total Possible Points 200 |

Private Funding \$350K @ 6%

Banking Services Established Through SunCapital OK

Detailed Business Plan w/ Patient Expansions

Defining Plan for Success, Marketing

Total Possible Points 50

Dispensary on Central Ave SE - ASB

Nob Hill area

MJ Freeway POS & Tractery

Sample Menu, Photos, posters & Tractery

*Looks a little focused on "recreational use"

Approved delivery (i.e., hot spots) @ delivery

Auto; 201 outside area

Marketing includes: Branding (generating excitement) might be a weakness - sgd he medicine

serious focus

La der, Print Ad's social media

Sample packaging & labels

Sample travel manifest, tractery

MJ Freeway

Safe transport

Manual & Training

HIPAA Compliance

Private Notice; Registrar

Private education material provide

Strong education

Attestations & Acknowledgments provide in summary

Dispensary maps, Plans Photos

at Distribution Photo looks more like bar than Pharmacy.

Handwritten text, possibly bleed-through from the reverse side of the page. The text is arranged in approximately 10 vertical columns and is extremely faint and illegible due to the quality of the scan.

| E. Security Plan | | | |
|--|---|-----|---|
| 1 | Description of Security devices in production and distribution | 25 | ARMED Response Team - ABQ Remote Security, key pad, panic button cameras, alarms, fencing, motion detectors, strong theft prevention process, only authorized ^{employees} visitors describe of ABQ facility |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 25 | |
| 3 | Description of how production location will be limited to employees only. | 25 | |
| 4 | Description of security company services. | 25 | |
| 5 | Limitation of access | 25 | |
| TOTAL Score | | 125 | Total Possible Points 150 |
| F. Quality Assurance Plans | | | |
| 1 | Method and process to ensure purity of product. | 20 | QC Testing - moisture control, clean environment |
| 2 | Process of ensuring consistency of dose | 20 | |
| 3 | Testing | 20 | |
| 4 to 5 | Adverse event and reports to: DOH from enrollees | 20 | |
| TOTAL Score | | 80 | Total Possible Points 150 |
| G. Financial and Organizational Information | | | |
| Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | 10 | Articles, bylaws, ABQ Business license, CER |
| 6 | Board/Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 10 | 7 Members (3 patients, 1 license holder, 3 active) |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | NO Agreements
Compensate the agents for 3 members OR chart, resumes, |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 10 | Admission Note 350K @ 10% (unsigned) |
| 11 | Description of outstanding debts including loans, compensation agreements, | 10 | |
| 12 to 14 | Tax returns and financial statement financial statements outlining all expenses related to the application and business expenses. | 10 | Tax Returns of Buckle's Strong financials & Business Plan |
| TOTAL Score | | 60 | Total Possible Points 100 |

Handwritten text, likely bleed-through from the reverse side of the page. The text is arranged in several vertical columns and is extremely faint and illegible due to the quality of the scan.

| Item | Personnel Materials | | |
|--|--|-----|---|
| 1 | Original Background checks | 10 | |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 10 | DPS Checks &orra National Check
Detailed Employee Handbook &
Personnel Records, Job descriptions
Training Curriculum Materials |
| 4 | Training curriculum; confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | HIPAA Certifcates |
| TOTAL Score | | 50 | Total Possible Points 50 |
| Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and experience in agriculture. | 25 | Plant World - PWR Business (Laundry
10 cats) |
| TOTAL Score | | 25 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted.
Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 25 | Business experience; well document
Solid, Strong Plans; to much of experience
of normal use |
| TOTAL Score | | 25 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 710 | Total Possible Points 1000 |

1. The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that proper record-keeping is essential for the integrity and transparency of the financial system.

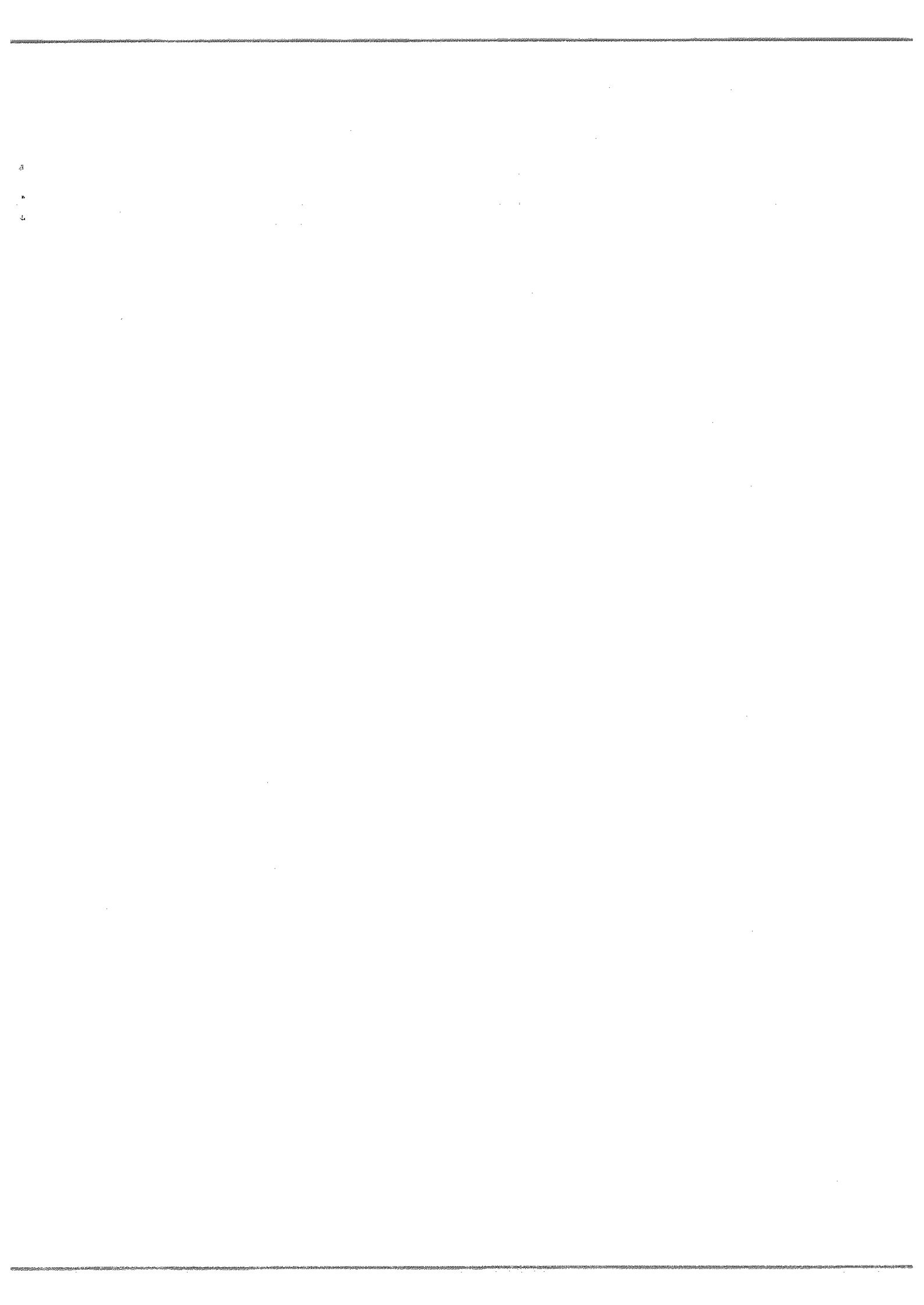
2. The second part of the document outlines the various methods used to collect and analyze data. It highlights the need for standardized procedures to ensure that the information gathered is reliable and comparable.

3. The third part of the document focuses on the role of technology in modern data management. It discusses how digital tools and software can streamline processes and improve the efficiency of data collection and analysis.

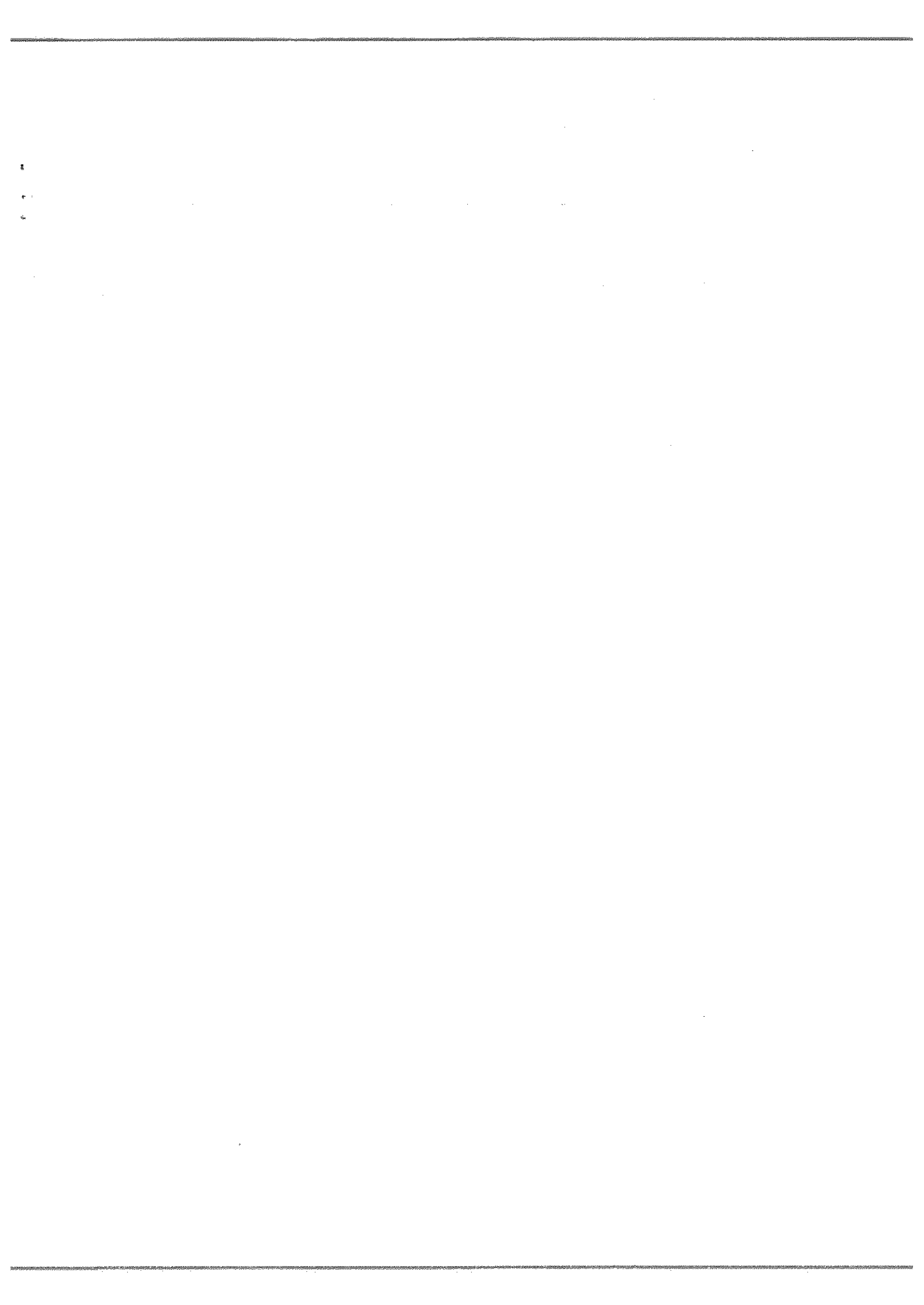
4. The fourth part of the document addresses the challenges associated with data security and privacy. It stresses the importance of implementing robust security measures to protect sensitive information from unauthorized access and breaches.

5. The fifth part of the document concludes by discussing the future of data management and the potential for further innovation in this field. It suggests that continued investment in research and development will lead to more advanced and effective data management solutions.

| A | B | C | D |
|---------|---|--------|---|
| 1 | Applicant: Seven Clover | | Evaluator: Andrea Sundberg |
| 2 | | Score | COMMENTS |
| 3 | A. Summary | | 150 Plants in ABQ, solid grow plan, seems to have good experience. Good agricultural experience regarding worker safety standards. Clear plan, but unclear on the time frame to open at this location as they will need to put in greenhouses and set them up for the grow. |
| 4 | B. Production Plan | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used, as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | | |
| 4 | Production Capacity to include expansion plans, if any. | | |
| 5 | Street Address | | |
| 6 | Equipment | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | | |
| 8 | Property Owner Information and Approval | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | | |
| 13 | | | |
| 14 | Written Acknowledgement of Plant Limit | | |
| 15 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | | |
| 16 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | | |
| 17 | Air Treatment/Filtration systems to be used | | |
| 18 | TOTAL Score | 168.00 | Total Possible Points 200 |



| 19 | A | B | C | D |
|----------|--|--------------------|--------|--|
| 1 | Business Plan | | | |
| 20 | Description of funding of operation and how applicant intends to ensure success including costs of staff | | | Overstated experience of some members, MS. Osborn has three months experience according to our records. They mention plant world and it is unclear if this business will continue in operation after they start growing. Unsure if budget and sales projection are appropriate.
Total Possible Points 50 |
| 21 | Experience/qualifications of staff | | | |
| 22 | | TOTAL Score | 37.00 | |
| 23 | D. Sales and Distribution Plan | | | |
| 24 | Plan for safe distribution | | | Mock up of location shows outside lounging area. While good for customers they have to be clear about product use at location. Designs are...interesting. For delivery selected a company that is not currently licensed for delivery. Good labels and packaging. Good easy to read education materials. |
| 25 | Products to be dispensed | | | |
| 26 | Delivery Services to include anticipated fees | | | |
| 4 | Marketing Plan, Including web materials, education materials, posters, brochures, etc. | | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | | | |
| 28 | | | | |
| 29 | Transportation of cannabis and CDP. | | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | | | |
| 30 | | | | |
| 31 | Means of educating enrollees on limitations | | | |
| 32 | Means of educating enrollees on quality of product | | | |
| 33 | Ingestion options | | | |
| 11 | Inhalation techniques provided for ingestion of product | | | |
| 34 | | | | |
| 35 | Education on side effects | | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | | | |
| 36 | | | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | | | |
| 37 | | | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | | | |
| 38 | | | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | | | |
| 39 | | | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | | | |
| 40 | | | | |
| 41 | | TOTAL Score | 180.00 | Total Possible Points 200 |



| A | B | C | D |
|--------|---|--------|--|
| 42 | E. Security Plan | | |
| 1 | Description of Security devices in production and distribution | | Good strong security plan. However I am still unclear if at the grow location there will still be an operating business. |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | | |
| 3 | Description of how production location will be limited to employees only. | | |
| 4 | Description of security company services. | | |
| 5 | Limitation of access | | |
| 48 | TOTAL Score | 120.00 | Total Possible Points 150 |
| 49 | F. Quality Assurance Plans | | |
| 50 | Method and Process to ensure purity of product. | | Well thought out quality assurance, however it seemed to be lacking in some basic techniques regarding general cleanliness and access to grow areas. |
| 51 | Process of ensuring consistency of dose | | |
| 52 | Testing | | |
| 53 | Adverse event and reports to DOH from enrollees | | |
| 54 | TOTAL Score | 105.00 | Total Possible Points 150 |
| 55 | G. Financial and Organizational Information | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | | All items included in detail |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | | |
| 58 | | | |
| 59 | Organizational Chart, Resumes, compensation Agreements | | |
| 60 | Description of outstanding debts including loans, compensation agreements, | | |
| 61 | Tax returns and financial statement | | |
| 62 | Financial statements outlining all expenses related to the application and business expenses. | | |
| 63 | TOTAL Score | 85.00 | Total Possible Points 100 |



| A | B | C | D |
|----|---|-------|--|
| 64 | H. Personnel Materials | | All materials provide. Good training fro cannabis workers. |
| 65 | 1 Criminal Background checks | | |
| 66 | 2 Personnel Policies and Procedures | | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | | |
| 67 | 4 Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | | |
| 68 | 5 HIPAA Training | | Total Possible Points 50 |
| 70 | TOTAL Score | 40.00 | |
| 71 | I. Agricultural and Production Experience | | Good experience
Total Possible Points 50 |
| 72 | 1 Description of applicants or employees skills knowledge and experience in agriculture. | | |
| 73 | TOTAL Score | 41.00 | Well organized and complete. |
| 74 | Organization and Clarity of Materials | | |
| 75 | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | | Total Possible Points 50 |
| 76 | TOTAL Score | 42.00 | |
| 77 | Overall Application Score and reviewer Opinion | 818 | Total Possible Points 1000 |



| Applicant: Seven Glover | | Evaluator: Dawn Hunter | | |
|-------------------------|---|------------------------|--|----------------------------------|
| | | COMMENTS | | |
| A. | Summary | | | |
| B. | Production Plan | | | |
| 1 | Plan for growth, cultivation, harvesting to include number of plants, method of cultivation (e.g. Greenhouse, hydroponic, indoor vs. outdoor) | 35 | <p>Production in Albuquerque in a greenhouse. Start with 150 plants, can expand (this is described in general terms). Growth stages described but there could be more detail about yields, rotation, layout, etc. Key equipment list provided but it is not comprehensive. Great building detail. Knowledge of WPS generally described; NMDA standards described in more detail.</p> | |
| 2 | If applicant intends to cultivate cannabis using a hydroponic system a description of water source to be used as well as type and extent of water filtration to be used, as applicable. THIS IS ONLY REQUIRED FOR HYDROPONIC GROWS. | n/a | | |
| 3 | How applicant will limit employee exposure to potential unsafe chemicals and conditions. | 20 | | |
| 4 | Production Capacity to include expansion plans, if any. | 15 | | |
| 5 | Street Address | 10 | | |
| 6 | Equipment | 7 | | |
| 7 | Appropriate licenses, local zoning, business license. Fire inspection | 10 | | |
| 8 | Property Owner Information and Approval | 10 | | |
| 9 to 12 | Property description of production and distribution showing outside of building, property lines, parking areas, square footage, rooms, partitions, storage, etc. | 20 | | |
| 13 | Written Acknowledgement of Plant Limit | 10 | | |
| 14 | Written acknowledgement of knowledge of U.S.E.P.A. Agricultural worker standards | 7 | | |
| 15 | Description of applicants knowledge of NM Department Of Agriculture, pesticide registration, licensing and use requirements. | 20 | | |
| 16 | Air Treatment/Filtration systems to be used | 10 | | |
| TOTAL Score | | 174.00 | | Total Possible Points 200 |

| C. Business Plan | | | | |
|--------------------------------|--|---------------|--|--|
| 1 | Description of funding of operation and how applicant intends to ensure success including costs of staff | 25 | | Funding sources, anticipated costs, and income described. There are financial statements (2 year profit and loss, annual summary) included; this includes personnel costs. Projections based on modest estimates. There is not really a risk analysis or sustainability plan clearly articulated. |
| 2 | Experience/qualifications of staff | 20 | | |
| TOTAL Score | | 45.00 | | Total Possible Points 50 |
| D. Sales and Distribution Plan | | | | |
| 1 | Plan for safe distribution | 20 | | Agreement with Hot Shot Services included. Some packaging and label samples provided but labels do not have all required content and not all packaging is described. Educating enrollees on limitations and quality of product is well-described. Ingestion options are described but inhalation options not really covered in detail. Side effects are described but not the education process. Reporting adverse effects is adequately addressed, but concerns reporting does not contain enough detail. |
| 2 | Products to be dispensed | 10 | | |
| 3 | Delivery Services to include anticipated fees | 10 | | |
| 4 | Marketing Plan, including web materials, education materials, posters, brochures, etc. | 20 | | |
| 5 | Packaging description and sample package, and labeling to include DOH requirements around THC, CBD testing content, Lab Name, dosage suggestion, | 5 | | |
| 6 | Transportation of cannabis and CDP. | 10 | | |
| 7 | Description of measures taken to ensure confidentiality of enrollees. | 10 | | |
| 8 | Means of educating enrollees on limitations | 10 | | |
| 9 | Means of educating enrollees on quality of product | 10 | | |
| 10 | Ingestion options | 10 | | |
| 11 | Inhalation techniques provided for ingestion of product | 5 | | |
| 12 | Education on side effects | 5 | | |
| 13 to 14 | Education on reporting adverse events and concerns regarding customer service and products. | 15 | | |
| 15 | Written acknowledgement that plant count will not exceed approved limit | 10 | | |
| 16 | An attestation that nobody is allowed to consume cannabis or CDP on the property of either the distribution or production locations. | 10 | | |
| 17 | Attestation that enrollees must provide a valid patient card and valid NM ID for sales. | 10 | | |
| 18 | Diagram of facility to include, square footage, layout of areas, restrooms, safe, storage areas. Break rooms, etc. | 10 | | |
| TOTAL Score | | 180.00 | | |

| E. Security Plan | | | | |
|---|---|---------------|--|----------------------------------|
| 1 | Description of Security devices in production and distribution | 30 | Overall a solid section. More process details around limitation of access would be useful. | |
| 2 | Detailed description of any processes or controls used to prevent diversion, theft or loss, of product. | 25 | | |
| 3 | Description of how production location will be limited to employees only. | 20 | | |
| 4 | Description of security company services. | 30 | | |
| 5 | Limitation of access | 20 | | |
| TOTAL Score | | 125.00 | Total Possible Points 150 | |
| F. Quality Assurance Plans | | | | |
| 1 | Method and Process to ensure purity of product. | 35 | Process to ensure purity described well. Measuring potency and testing for consistency of dose described, but more detail could be provided around other controls such as plant genetics, equipment calibration, etc. Adverse events and reports could also use more detail around the process. | |
| 2 | Process of ensuring consistency of dose | 20 | | |
| 3 | Testing | 35 | | |
| 4 to 5 | Adverse event and reports to DOH from enrollees | 15 | | |
| TOTAL Score | | 105 | | Total Possible Points 150 |
| G. Financial and Organizational Information | | | | |
| 1 to 5 | Articles of Incorporation, By-laws, Business License, Tax and Revenue Registration certificate | 20 | Patient ID card copies provided but there is no separate statement of board member confirmation. There is also no proof of medical licensure. Unsigned compensation agreements included. Positions on org chart do not have names associated with them. There is no separate statement of finances related to the application and startup business expenses. | |
| 6 | Board Member confirmation of at least five voting members, one medical provider (limited to a MD, DO, RN, Nurse Practitioner, Physicians Assistant) and three active patients. | 5 | | |
| 7 | Agreements between two or more members of the applicant that relate in any manner to assets, property or profit of the applicant or any other comparable document related to structure or management. | 10 | | |
| 8 to 10 | Organizational Chart, Resumes, compensation Agreements | 15 | | |
| 11 | Description of outstanding debts including loans, compensation agreements, | 20 | | |
| 12 to 14 | Tax returns and financial statement
Financial statements outlining all expenses related to the application and business expenses. | 15 | | |
| TOTAL Score | | 85 | Total Possible Points 100 | |

| H. Personnel Materials | | | |
|---|--|--------|--|
| 1 | Criminal Background checks | 10 | Mostly complete personnel record included. Job descriptions included. Good training curriculum materials. |
| 2 | Personnel Policies and Procedures | 10 | |
| 3 | Sample personnel record to include: application, state and federal employment documentation, job description | 8 | |
| 4 | Training curriculum: confidentiality laws, conduct and ethics, DOH Rules and regs, safety and security training | 10 | |
| 5 | HIPAA Training | 10 | |
| TOTAL Score | | 48.00 | Total Possible Points 50 |
| 1. Agricultural and Production Experience | | | |
| 1 | Description of applicants or employees skills knowledge and expertise in agriculture. | 50 | Head grower worked in Rowland's Nursery and currently owns Plant World a wholesale nursery. Consultant is trained in agricultural extension and horticulture. Executive Director has worked for Rowland's Nursery and as a consultant for a supermarket chain. |
| TOTAL Score | | 50.00 | Total Possible Points 50 |
| Organization and Clarity of Materials | | | |
| | Relevant experience of key personnel based upon the resumes and experience narratives submitted. Documented work experience on similar systems will be evaluated more favorably than experience with non-related systems. | 35 | Another consultant has experience with current producers. There is relevant industry and general business experience. The application was generally well organized and most items were addressed sufficiently or in great detail. |
| TOTAL Score | | 35.00 | Total Possible Points 50 |
| Overall Application Score and reviewer Opinion | | 847.00 | Total Possible Points 1000 |