AO 10 Rev. 1/2015

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gorsuch, Neil M.	Tenth Circuit Court of Appeals	08/11/2016
4. Title (Article III judges indicate active or senior status;	5a. Report Type (check appropriate type)	6. Reporting Period
magistrate judges indicate full- or part-time)	Nomination Date	1/1/2015
Circuit Judge	Initial Annual Final	to
	5b. Amended Report	12/31/2015
7. Chambers or Office Address		
Byron White Courthouse		
1823 Stout Street		
Denver, CO 80257		
	ctions accompanying this form must be followed. Complete a	ill parts,
checking the NONE box fo	or each part where you have no reportable information.	
		1
I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing	instructions.)	
NONE (No reportable positions.)		
NONE (No reportable positions.)		
POSITION	NAME OF ORGANI	ZATION/ENTITY
	Will G MG(
1. Member	Walden Group LLC (mountain property)	
2. Executive Committee, Board of Directors	Federal Judges Association	
3. Adjunct Professor	University of Colorado Law School	
4. Member	University of Chicago Law School's Visiting	Committee
5.		
II. AGREEMENTS. (Reporting individual only; see pp. 14-16	S of filing instructions)	
	ry james man actions.	
NONE (No reportable agreements.)		
DATE	PARTIES AND TERMS	
1. 2015 University of Colora	do Law School - teaching agreement	
2.		
3.		

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

08/11/2016

A. Filer's Non-Investment	Income			
NONE (No reportable	le non-investment inco	ome.)		
<u>DATE</u>		SOURCE AN	D TYPE	INCOME (yours, not spouse's)
1.2015	Princeton Un	iversity Press - book royaltic	es	\$304.94
2. 2015	University of	Colorado Law School - tea	ching	\$26,000.00
3. 2015	West Publish	ing Corporation - book roya	lties	\$5,000.00
4.				
<u>DATE</u>	le non-investment inc	ome.) <u>SOURCE AN</u>	<u>D TYPE</u>	
1.				
2				
2. 3. 4.				
3,	t children; see pp. 25-27 of fili			
3. 4. IV. REIMBURSEME (Includes those to spouse and dependen	t children; see pp. 25-27 of fili		PURPOSE	ITEMS PAID OR PROVIDED
3. 4. IV. REIMBURSEME (Includes those to spouse and dependen NONE (No reportab	t children; see pp. 25-27 of fili le reimbursements.)	ng instructions.)	PURPOSE _cducational program	ITEMS PAID OR PROVIDED Meals, program, hotel (self and family)
3. 4. IV. REIMBURSEME (Includes those to spouse and dependen NONE (No reportab) SOURCE	t children; see pp. 25-27 of fili le reimbursements.) <u>DATES</u>	ng instructions.) LOCATION		

FINANCIAL DISCLOSURE REPORT Name of Person Reporting

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Gorsuch, Neil M.

Date of Report 08/11/2016

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

~	NONE (No reportable gifts.)		
	SOURCE	DESCRIPTION	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	DESCRIPTION	VALUE CODE
1.		·	
2.			
3.	-		
4.			
5.			

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Name of Person Reporting **Date of Report** Gorsuch, Neil M. 08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)				C. lue at end ng period		Transactio	D. ons during	reporting p	eriod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	USAA Brokerage Acct:									
2.	- Money Market, Tax Exempt	A	Int./Div.	1	Т					
3.	- Long Term Tax Ex Bond Fund	D	Int./Div	М	Т	Sold (part)	08/17/15	К	A	
4.	- High Yield Bond Fund	D	Int./Div.	М	Т					
5.	- Int'l Fund	Е	Int./Div.	N	Т					
6.	- S&P Fund	D	Int./Div.	N	Т					
7.	- Value Fund	D	Int./Div.	М	Т					
8.	- Interm Tax Ex Bond Fund	D	Int./Div.	N	Т					
9.	- Small Cap Fund	Е	Int./Div.	М	Т					
10.	- Short Term Tax Ex Bond Fund	В	Int./Div.	М	Т					
11.	Walden Group LLC		None	N	w					
12.	529 Plans - VA Educ Savings Trust (Age Based)	A	Int./Div.	0	Т					
13.	529 Plans - College Invest Savings Plan (Age Based)	A	Int./Div.	L	Т					
14.	Spouse 403B Plan - TIAA CREF	A	Int./Div.	J	Т					
15.	Senate Credit Union checking	A	Int./Div.	J	Т					
16.	USAA IRA Acct:									
17.	-Target Retirement 2030 Fund	Е	Int./Div.	0	Т					

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F=\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $Q=\!\!Appraisal$ U =Book Value B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O=\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 PI =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S = AssessmentW =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 E=\$15,001 - \$50,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)		B. Income during reporting period					D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)		
18.	Spouse USAA IRA Acct:											
19.	-Target Retirement 2030 Fund	В	Int./Div.	L	Т							
20.	USAA SEP IRA Acct:											
21.	- Money Market	A	Int./Div.	J	Т							
22.	- Precious Metals & Minerals Fund	A	Int./Div.	J	Т							
23.	- Short Term Bond Fund	A	Int./Div.	K	Т							
24.	-Income Fund	A	Int./Div.	К	Т							
25.	- Intermediate Bond Fund	A	Int./Div	J	Т							
26.	- USAA Real Return Fund	A	Int./Div.	J	т							
27.	- USAA Managed Allocation Fd	A	Int./Div.	J	Т							
28.	- USAA High Income Fd	A	Int./Div.	J	Т							
29.	- USAA Emerging Markets Fd	A	Int./Div.	J	Т							
30.	- USAA International Fund Inst	A	Int./Div.	К	Т							
31.	- USAA Small Cap Stock Fund Inst	A	Int./Div.	J	Т							
32.	- USAA Income Stock Fund Inst	A	Int./Div.	J	Т							
33.	- USAA Growth Fund Instl	A	Int./Diva	J	Т	Sold (part)	10/26/15	J	A			
34.	USAA CD Acct:											

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $Q=\!\!Appraisal$ U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 = 1,000,001 - 5,000,000L=\$50,001 - \$100,000 PI =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000 S =Assessment

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

E=\$15,001 - \$50,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	B. Income during reporting period				Income during Gross value at ea		lue at end	D. Transactions during					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)				
35.	- Money Market	A	Int./Div.	J	Т					×				
36.	USAA P.I. Mgmt Acct:													
37.	- Money Market, Tax Ex Fund	A	Int./Div.	L	Т									
38.	- Aurora Wtr Rev Muni Bond 8/1/22	В	Interest	K	Т									
39.	- Boulder County CO Open Space Cap 7/15/22	В	Interest	L	Т									
40.	- Boulder Sch Dist 2 Muni Bond 12/1/15	Е	Interest			Redeemed	12/01/15	К						
41.	- Broomfield Sales and Use Tax 12/1/27	В	Interest	L	Т									
42.	- Colo St Brd Gov Unv Entr Sys Muni Bond 3/1/16	В	Interest	К	Т									
43.	- Colo Springs Col Util Muni Bond 11/15/20	A	Interest	К	Т									
44.	- Colo Springs Col Utl Muni Bond 11/15/26	В	Interest	К	Т									
45.	- Colo Wtr Res & Pwr Muni Bond 8/1/24	В	Interest			Redeemed	08/03/15	К						
46.	- Denver Exc Tax Rev Muni Bond 9/1/18	В	Interest	K	Т									
47.	-Denver Colo City & Cnty	В	Interest	K	Т	Buy	06/12/15	K						
48.	- Eagle Garfield Routt Muni Bond 12/1/23	В	Interest	К	Т									
49.	- Longmont Co Enterprise WSTWTR Rev 11/1/26	В	Interest	K	Т									
50.	- Mesa College Muni Bond 5/15/23	В	Interest	К	Т									
51.	- Pueblo Cnty Sch Dist Muni Bond 12/15/21	В	Interest	K	Т									

L Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L=\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	II =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)		Description of Assets Income during		Lue at ending period	D. Transactions during reporting period				eriod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	- Summit Cnty School Dist 12/1/21	В	Interest	K	Т					
53.	-Univ Colo Ent Sys Muni Bond 6/1/25	A	Interest			Redeemed	06/01/15	К		
54.	-Univ Colo Ent Sys 6/1/29	В	Interest	K	Т	Buy	12/22/15	К		
55.	-Univ Colo Ent Sys 6/1/31	В	Interest	K	Т	Buy	08/07/15	К		
56.	- Weld Sch Dist Muni Bond 12/1/19	В	Interest	К	Т					
57.	- Westminster SIs and Use Muni Bond 12/1/15	В	Interest			Redeemed	12/01/15	L		
58.	- University Northn Colo Greeley Inst Bond 6/1/30	В	Interest	К	Т	£.				
59.	- Greely Colo Wtr Rev Ref Bds 8/01/22	В	Interest	K	Т					
60.	- Arapahoe Cnty Solo Sch Dist 12/01/28	В	Interest	L	Т					
61.	-Platte River Pwr 6/1/25	В	Interest	К	Т	Buy	01/28/15	К		
62.	- Short Term Tax Ex Bond Fund	A	Int./Div.	K	Т	Sold (part)	01/26/15	J		
63.	Spouse USAA Rev Trust:									
64.	- Money Market, Tax Ex Fund	A	Interest	K	Т					
65.	- USAA Savings CD 01/09/15	А	Interest			Redeemed	01/09/15	К		
66.	-USAA Savings CD 07/15/16	A	Interest	К	Т	Buy	01/15/15	К		
67.	- USAA Savings CD 01/09/17	А	Interest	K	Т					
68.	Spouse Banco Santander SA (SAN)		None			Sold	05/02/15	J		

Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L=\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Gorsuch, Neil M.

Name of Person Reporting

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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Name of Person Reporting	Date of Report
Gorsuch, Neil M.	08/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Neil M. Gorsuch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544