

S. 2009--C

A. 3009--C

S E N A T E - A S S E M B L Y

January 23, 2017

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IN SENATE -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read twice and ordered printed, and when printed to be committed to the Committee on Finance -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

IN ASSEMBLY -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read once and referred to the Committee on Ways and Means -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- again reported from said committee with amendments, ordered reprinted as amended and recommitted to said committee -- again reported from said committee with amendments, ordered reprinted as amended and recommitted to said committee

AN ACT intentionally omitted (Part A); intentionally omitted (Part B); to amend the tax law and the administrative code of the city of New York, in relation to the school tax reduction credit for residents of a city with a population of one million or more; and to repeal section 54-f of the state finance law relating thereto (Part C); intentionally omitted (Part D); intentionally omitted (Part E); to amend the real property tax law, in relation to authorizing partial payments of property taxes (Part F); to amend the tax law, in relation to the STAR personal income tax credit (Part G); to amend the real property tax law and the tax law, in relation to the applicability of the STAR credit to cooperative apartment corporations; and repealing certain provisions of the tax law relating thereto (Part H); to amend chapter 540 of the laws of 1992, amending the real property tax law relating to oil and gas charges, in relation to the effectiveness thereof (Part I); to amend the state finance law, in relation to the veterans' home assistance fund (Part J); to amend the economic development law and the tax law, in relation to life sciences companies (Part K); to amend the economic development law, in relation to the employee training incentive program (Part L); to amend the tax law, in relation to extending the empire state film production credit and empire state

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets [ ] is old law to be omitted.

LBD12574-08-7

film post production credit for three years (Part M); to amend the labor law and the tax law, in relation to a program to provide tax incentives for employers employing at risk youth (Subpart A); and to amend the labor law and the tax law, in relation to establishing the empire state apprenticeship tax credit program (Subpart B) (Part N); to amend the tax law, in relation to extending the alternative fuels and electric vehicle recharging property credit for five years (Part O); to amend the tax law, in relation to the investment tax credit (Part P); to amend the tax law, in relation to the treatment of single member limited liability companies that are disregarded entities in determining eligibility for tax credits (Part Q); to amend the tax law, in relation to extending the top personal income tax rate for two years; and to repeal subparagraph (B) of paragraph 1 of subsection (a), subparagraph (B) of paragraph 1 of subsection (b) and subparagraph (B) of paragraph 1 of subsection (c) of section 601 of the tax law, relating to the imposition of tax (Part R); to amend the tax law and the administrative code of the city of New York, in relation to extending the high income charitable contribution deduction limitation (Part S); to amend the tax law, in relation to increasing the child and dependent care tax credit (Part T); to amend the tax law, in relation to the financial institution data match system for state tax collection purposes; and providing for the repeal of such provisions upon expiration thereof (Part U); intentionally omitted (Part V); intentionally omitted (Part W); to amend chapter 59 of the laws of 2013, amending the tax law relating to serving an income execution with respect to individual tax debtors without filing a warrant, in relation to extending the provisions authorizing service of income executions on individual tax debtors without filing a warrant (Part X); intentionally omitted (Part Y); to amend the tax law, in relation to the definition of New York source income (Part Z); to amend the tax law, in relation to closing the nonresident partnership asset sale loophole (Part AA); intentionally omitted (Part BB); to amend the tax law, in relation to closing the existing tax loopholes for transactions between related entities under article 28 and pursuant to the authority of article 29 of such law (Part CC); to amend the tax law, in relation to clarifying the imposition of sales tax on gas service or electric service of whatever nature (Part DD); intentionally omitted (Part EE); intentionally omitted (Part FF); intentionally omitted (Part GG); intentionally omitted (Part HH); intentionally omitted (Part II); intentionally omitted (Part JJ); intentionally omitted (Part KK); to amend the racing, pari-mutuel wagering and breeding law, in relation to modifying the funding of and improve the operation of drug testing in horse racing (Part LL); to amend the executive law, in relation to the powers and duties of the state bingo control commission; and to amend the general municipal law, in relation to bingo games (Part MM); to amend the racing, pari-mutuel wagering and breeding law, in relation to allowing for the reprivatization of NYRA (Part NN); to amend the racing, pari-mutuel wagering and breeding law, in relation to licenses for simulcast facilities, sums relating to track simulcast, simulcast of out-of state thoroughbred races, simulcasting of races run by out-of-state harness tracks and distributions of wagers; to amend chapter 281 of the laws of 1994 amending the racing, pari-mutuel wagering and breeding law and other laws relating to simulcasting; to amend chapter 346 of the laws of 1990 amending the racing, pari-mutuel wagering and breeding law and other laws relating to simulcasting and the imposition of certain taxes, in relation to

extending certain provisions thereof; and to amend the racing, pari-mutuel wagering and breeding law, in relation to extending certain provisions thereof (Part OO); to amend the tax law, in relation to vendor fees paid to vendor tracks (Part PP); to amend the tax law, in relation to capital awards to vendor tracks (Part QQ); intentionally omitted (Part RR); to amend the racing, pari-mutuel wagering and breeding law and the workers' compensation law, in relation to the New York Jockey Injury Compensation Fund, Inc. (Part SS); to amend the tax law and the real property tax law, in relation to changing the calculation of STAR credit (Part TT); to amend the tax law, in relation to the prepaid sales tax on motor fuel and diesel motor fuel under article 28 thereof (Part UU); to amend the tax law and the administrative code of the city of New York, in relation to qualified financial instruments of RICS and REITS (Part VV); to amend the tax law, in relation to exempting certain monuments from sales and use taxes (Part WW); to amend the New York state urban development corporation act, in relation to certain qualified entities (Part XX); to amend the economic development law, in relation to excelsior research and development tax credits (Part YY); to amend the economic development law, in relation to eligibility to participate in the excelsior jobs program (Part ZZ); to amend the vehicle and traffic law, the insurance law, the executive law, the general municipal law and the tax law, in relation to the regulation of transportation network company services; to establish the New York State TNC Accessibility Task Force and the New York state transportation network company review board; and providing for the repeal of certain provisions relating thereto (Part AAA); to establish the county-wide shared services property tax savings law (Part BBB); to amend chapter 261 of the laws of 1988, amending the state finance law and other laws relating to the New York state infrastructure trust fund, in relation to the minority and women-owned business enterprise program (Part CCC); to amend the tax law, in relation to the establishment of a tax credit for farm donations to food pantries (Part DDD); to amend the tax law, in relation to the imposition of a surcharge on prepaid wireless communications service and to repeal certain provisions of the county law relating thereto (Part EEE); to amend the public health law, in relation to the health care facility transformation program (Part FFF); to amend the public health law, in relation to managed long term care plans and demonstrations (Part GGG); to amend the education law, in relation to establishing the excelsior scholarship (Part HHH); to amend the education law, in relation to establishing enhanced tuition assistance program awards (Part III); to amend the education law, in relation to the NY-SUNY 2020 challenge grant program act; and to amend chapter 260 of the laws of 2011, amending the education law and the New York state urban development corporation act relating to establishing components of the NY-SUNY 2020 challenge grant program, in relation to the effectiveness thereof (Part JJJ); to amend the education law, in relation to a New York state part-time scholarship award program (Part KKK); requiring the president of the higher education services corporation to report on options to make college more affordable for New York students and providing for the repeal of such provisions upon expiration thereof (Part LLL); to amend the education law, in relation to establishing the New York state child welfare worker incentive scholarship program and the New York state child welfare worker loan forgiveness incentive program (Part MMM); to amend the workers' compensation law, in relation to the schedule of compen-

sation in the case of injury, and in relation to appeals (Subpart A); to amend the workers' compensation law, in relation to requiring the drafting of permanency impairment guidelines (Subpart B); to amend the workers' compensation law, in relation to a comprehensive pharmacy benefit plan and prescription drug formulary (Subpart C); to amend the workers' compensation law, in relation to penalties for failure to pay compensation (Subpart D); to amend the workers' compensation law, in relation to assumption of workers' compensation liability policies (Subpart E); to amend chapter 11 of the laws of 2008 amending the workers' compensation law, the insurance law, the volunteer ambulance workers' benefit law and the volunteer firefighters' benefit law relating to rates for workers' compensation insurance and setting forth conditions for workers' compensation rate service organization, in relation to the effectiveness thereof; and to amend the insurance law, in relation to workers' compensation rate service organizations (Subpart F); to amend the workers' compensation law, in relation to requiring a study on independent medical examinations (Subpart G); and to amend the workers' compensation law, in relation to security for payment of compensation (Subpart H); to amend the workers' compensation law, in relation to liability for compensation (Subpart I); and to amend the workers' compensation law, in relation to assessments for annual expenses; and providing for the repeal of certain provisions upon expiration thereof (Subpart J) (Part NNN); to amend the tax law, in relation to allowing an additional New York itemized deduction for union dues not included in federal itemized deductions (Part OOO); to amend the executive law and the criminal procedure law, in relation to the establishment of the office of the inspector general of New York for transportation (Part PPP); authorizing the transfer of certain expenditures and disbursements; and to repeal a chapter of the laws of 2017 making appropriations for the support of government, as proposed in legislative bills numbers S.5492 and A.7068 relating thereto (Part QQQ); to amend the infrastructure investment act, in relation to the definition of an authorized entity that may utilize design-build contracts, and in relation to the effectiveness thereof (Part RRR); to amend the retirement and social security law, in relation to disability benefits for certain members of the New York city police pension fund (Part SSS); to amend the real property tax law, in relation to the affordable New York housing program and to repeal certain provisions of such law relating thereto (Part TTT); to amend the economic development law, in relation to comprehensive economic development reporting; and to repeal section 438 of the economic development law relating thereto (Part UUU); to amend the criminal procedure law, the family court act and the executive law, in relation to statements of those accused of crimes and eyewitness identifications, to enhance criminal investigations and prosecutions and to promote confidence in the criminal justice system of this state; to amend the county law and the executive law, in relation to the implementation of a plan regarding indigent legal services (Part VVV); to amend the criminal procedure law, the penal law, the executive law, the family court act, the social services law, the correction law, the county law and the state finance law, in relation to proceedings against juvenile and adolescent offenders and the age of juvenile and adolescent offenders and to repeal certain provisions of the criminal procedure law relating thereto (Part WWW); to provide for the administration of certain funds and accounts related to the 2017-18 budget and authorizing certain payments and transfers; to amend the state finance law, in

relation to the school tax relief fund and payments, transfers and deposits; to amend chapter 62 of the laws of 2003 amending the general business law and other laws relating to implementing the state fiscal plan for the 2003-2004 state fiscal year, in relation to the deposit provisions of the tobacco settlement financing corporation act; to amend the state finance law, in relation to establishing the retiree health benefit trust fund; to amend chapter 174 of the laws of 1968 constituting the New York state urban development corporation act, in relation to funding project costs undertaken by non-public schools; to amend the New York state urban development corporation act, in relation to funding project costs for certain capital projects; to amend chapter 389 of the laws of 1997, relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, in relation to the issuance of bonds; to amend the private housing finance law, in relation to housing program bonds and notes; to amend chapter 329 of the laws of 1991, amending the state finance law and other laws relating to the establishment of the dedicated highway and bridge trust fund, in relation to the issuance of bonds; to amend the public authorities law, in relation to the issuance of bonds by the dormitory authority; to amend chapter 61 of the laws of 2005 relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, in relation to issuance of bonds by the urban development corporation; to amend the New York state urban development corporation act, in relation to the issuance of bonds; to amend the public authorities law, in relation to the state environmental infrastructure projects; to amend the New York state urban development corporation act, in relation to authorizing the urban development corporation to issue bonds to fund project costs for the implementation of a NY-CUNY challenge grant program and increasing the bonding limit for certain state and municipal facilities; to amend chapter 61 of the laws of 2005, relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, in relation to increasing the bonding limit for certain public protection facilities; to amend chapter 81 of the laws of 2002, relating to providing for the administration of certain funds and accounts related to the 2002-2003 budget, in relation to increasing the aggregate amount of bonds to be issued by the New York state urban development corporation; to amend the public authorities law, in relation to financing of peace bridge and transportation capital projects; to amend the public authorities law, in relation to dormitories at certain educational institutions other than state operated institutions and statutory or contract colleges under the jurisdiction of the state university of New York; to amend the New York state medical care facilities finance agency act, in relation to bonds and mental health facilities improvement notes; to amend the state finance law and the public authorities law, in relation to funding certain capital projects and the issuance of bonds; to repeal sections 58, 59 and 60 of the state finance law relating thereto; and providing for the repeal of certain provisions upon expiration thereof (Part XXX); and to amend the education law, in relation to contracts for excellence and the apportionment of public moneys; to amend the education law, in relation to requiring the commissioner of education to include certain information in the official score report of all students; to amend the education law, in relation to charter school tuition and facility aid for charter schools; relating to apportionment to the Haverstraw-Stony Point central school district; relating to penalties

arising from late final cost reports; to amend chapter 425 of the laws of 2002, amending the education law relating to the provision of supplemental educational services, attendance at a safe public school and the suspension of pupils who bring a firearm to or possess a firearm at a school, in relation to the effectiveness thereof; to amend the education law, in relation to English language learner pupils; to amend chapter 101 of the laws of 2003, amending the education law relating to the implementation of the No Child Left Behind Act of 2001, in relation to the effectiveness thereof; to amend the education law, in relation to transportable classroom units; to amend chapter 507 of the laws of 1974 relating to providing for the apportionment of state monies to certain nonpublic schools, to reimburse them for their expenses in complying with certain state requirements for the administration of state testing and evaluation programs and for participation in state programs for the reporting of basic educational data, in relation to the state's immunization program; to amend the education law, in relation to grants for hiring teachers; to amend the education law, in relation to foundation aid; to amend the education law, in relation to education of Native American pupils; to amend the education law, in relation to additional expanded prekindergarten; to amend the education law, in relation to conforming foundation aid base change to accommodate pulling out community schools; to amend the education law, in relation to establishing a foundation aid phase-in; and in relation to maintenance of effort reduction; and in relation to general aid for public schools; to amend the education law, in relation to state aid adjustments; to amend the education law, in relation to the teachers of tomorrow teacher recruitment and retention program; to amend the education law, in relation to class sizes for special classes containing certain students with disabilities; relating to the Hendrick Hudson reserve fund; to amend the education law, in relation to approved reimbursement for preschool integrated special class programs; to amend part B of chapter 57 of the laws of 2008 amending the education law relating to the universal pre-kindergarten program, in relation to the effectiveness thereof; to amend chapter 121 of the laws of 1996 relating to authorizing the Roosevelt union free school district to finance deficits by the issuance of serial bonds, in relation to certain apportionments; to amend the general municipal law, in relation to contracts for the purchase of certain produce; to amend chapter 472 of the laws of 1998 amending the education law relating to the lease of school buses by school districts in relation to the effectiveness thereof; to amend chapter 82 of the laws of 1995, amending the education law and certain other laws relating to state aid to school districts and the appropriation of funds for the support of government, in relation to the effectiveness thereof; to amend chapter 756 of the laws of 1992, relating to funding a program for work force education conducted by the consortium for worker education in New York city, in relation to reimbursements for the 2017-2018 school year; to amend chapter 756 of the laws of 1992, relating to funding a program for work force education conducted by the consortium for worker education in New York city, in relation to withholding a portion of employment preparation education aid and in relation to the effectiveness thereof; to amend chapter 89 of the laws of 2016, relating to supplementary funding for dedicated programs for public school students in the East Ramapo central school district, in relation to reimbursement to such school district and in relation to the effectiveness thereof; to amend chapter 147 of the laws of 2001, amending

the education law relating to conditional appointment of school district, charter school or BOCES employees, in relation to the effectiveness thereof; relating to school bus driver training; relating to special apportionment for salary expenses and public pension accruals; relating to suballocations of appropriations; relating to the city school district of the city of Rochester; relating to total foundation aid for the purpose of the development, maintenance or expansion of certain magnet schools or magnet school programs for the 2017-2018 school year; relating to support of public libraries; to amend chapter 57 of the laws of 2004, relating to the support of education, in relation to the effectiveness thereof; to amend chapter 658 of the laws of 2002, amending the education law, relating to citizenship requirements for permanent certification as a teacher, in relation to extending the effectiveness thereof; to amend the education law, in relation to serving persons twenty-one years of age or older (Part YYY)

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. This act enacts into law major components of legislation  
 2 which are necessary to implement the state fiscal plan for the 2017-2018  
 3 state fiscal year. Each component is wholly contained within a Part  
 4 identified as Parts A through YYY. The effective date for each partic-  
 5 ular provision contained within such Part is set forth in the last  
 6 section of such Part. Any provision in any section contained within a  
 7 Part, including the effective date of the Part, which makes a reference  
 8 to a section "of this act", when used in connection with that particular  
 9 component, shall be deemed to mean and refer to the corresponding  
 10 section of the Part in which it is found. Section three of this act sets  
 11 forth the general effective date of this act.

12 PART A  
 13 Intentionally Omitted

14 PART B  
 15 Intentionally Omitted

16 PART C  
 17 Section 1. Section 54-f of the state finance law is REPEALED.

18 S 2. Subsection (ggg) of section 606 of the tax law, as added by  
 19 section 1 of part E of chapter 60 of the laws of 2016, and as relettered  
 20 by section 1 of part A of chapter 73 of the laws of 2016, is amended to  
 21 read as follows:

22 (ggg) School tax reduction credit for residents of a city with a popu-  
 23 lation over one million. (1) For taxable years beginning after two thou-  
 24 sand fifteen, a school tax reduction credit shall be allowed to a resi-  
 25 dent individual of the state who is a resident of a city with a  
 26 population over one million, as provided below. The credit shall be  
 27 allowed against the taxes authorized by this article reduced by the  
 28 credits permitted by this article. If the credit exceeds the tax as so  
 29 reduced, the excess shall be treated as an overpayment of tax to be

1 credited or refunded in accordance with the provisions of section six  
2 hundred eighty-six of this article, provided however, that no interest  
3 will be paid thereon. For purposes of this subsection, no credit shall  
4 be granted to an individual with respect to whom a deduction under  
5 subsection (c) of section one hundred fifty-one of the internal revenue  
6 code is allowable to another taxpayer for the taxable year.

7 (2) The amount of the credit under this [paragraph] SUBSECTION shall  
8 be determined based upon the taxpayer's income as defined in subpara-  
9 graph (ii) of paragraph (b) of subdivision four of section four hundred  
10 twenty-five of the real property tax law.

11 (3) For TAXABLE YEARS BEGINNING IN TWO THOUSAND SIXTEEN, THE CREDIT  
12 SHALL BE DETERMINED AS PROVIDED IN THIS PARAGRAPH, PROVIDED THAT FOR the  
13 purposes of this paragraph, any taxpayer under subparagraphs (A) and (B)  
14 of this paragraph with income of more than two hundred fifty thousand  
15 dollars shall not receive a credit.

16 (A) Married individuals filing joint returns and surviving spouses. In  
17 the case of married individuals who make a single return jointly and of  
18 a surviving spouse, the credit shall be one hundred twenty-five dollars.

19 (B) All others. In the case of an unmarried individual, a head of a  
20 household or a married individual filing a separate return, the credit  
21 shall be sixty-two dollars and fifty cents.

22 [(3)] (4) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND SIXTEEN, THE  
23 CREDIT SHALL EQUAL THE "FIXED" AMOUNT PROVIDED BY PARAGRAPH (4-A) OF  
24 THIS SUBSECTION PLUS THE "RATE REDUCTION" AMOUNT PROVIDED BY PARAGRAPH  
25 (4-B) OF THIS SUBSECTION.

26 (4-A) THE "FIXED" AMOUNT OF THE CREDIT SHALL BE DETERMINED AS PROVIDED  
27 IN THIS PARAGRAPH, PROVIDED THAT ANY TAXPAYER WITH INCOME OF MORE THAN  
28 TWO HUNDRED FIFTY THOUSAND DOLLARS SHALL NOT RECEIVE SUCH AMOUNT.

29 (A) MARRIED INDIVIDUALS FILING JOINT RETURNS AND SURVIVING SPOUSES. IN  
30 THE CASE OF MARRIED INDIVIDUALS WHO MAKE A SINGLE RETURN JOINTLY AND OF  
31 A SURVIVING SPOUSE, THE "FIXED" AMOUNT OF THE CREDIT SHALL BE ONE  
32 HUNDRED TWENTY-FIVE DOLLARS.

33 (B) ALL OTHERS. IN THE CASE OF AN UNMARRIED INDIVIDUAL, A HEAD OF A  
34 HOUSEHOLD OR A MARRIED INDIVIDUAL FILING A SEPARATE RETURN, THE "FIXED"  
35 AMOUNT OF THE CREDIT SHALL BE SIXTY-TWO DOLLARS AND FIFTY CENTS.

36 (4-B) THE "RATE REDUCTION" AMOUNT OF THE CREDIT SHALL BE DETERMINED AS  
37 PROVIDED IN THIS PARAGRAPH, PROVIDED THAT ANY TAXPAYER WITH INCOME OF  
38 MORE THAN FIVE HUNDRED THOUSAND DOLLARS SHALL NOT RECEIVE SUCH AMOUNT.

39 (A) FOR MARRIED INDIVIDUALS WHO MAKE A SINGLE RETURN JOINTLY AND FOR A  
40 SURVIVING SPOUSE:

41 IF THE CITY TAXABLE INCOME IS:	THE "RATE REDUCTION" AMOUNT IS:
42 NOT OVER \$21,600	0.171% OF THE CITY TAXABLE INCOME
43 OVER \$21,600 BUT NOT OVER \$500,000	\$37 PLUS 0.228% OF EXCESS OVER
44	\$21,600
45 OVER \$500,000	NOT APPLICABLE

46 (B) FOR A HEAD OF HOUSEHOLD:	
47 IF THE CITY TAXABLE INCOME IS:	THE "RATE REDUCTION" AMOUNT IS:
48 NOT OVER \$14,400	0.171% OF THE CITY TAXABLE INCOME
49 OVER \$14,400 BUT NOT OVER \$500,000	\$25 PLUS 0.228% OF EXCESS OVER
50	\$14,400
51 OVER \$500,000	NOT APPLICABLE

52 (C) FOR AN UNMARRIED INDIVIDUAL OR A MARRIED INDIVIDUAL FILING  
53 A SEPARATE RETURN:

54 IF THE CITY TAXABLE INCOME IS:	THE "RATE REDUCTION" AMOUNT IS:
55 NOT OVER \$12,000	0.171% OF THE CITY TAXABLE INCOME
56 OVER \$12,000 BUT NOT OVER \$500,000	\$21 PLUS 0.228% OF EXCESS OVER



\$12,000

OVER \$500,000

NOT APPLICABLE

(5) Part-year residents. If a taxpayer changes status during the taxable year from resident to nonresident, or from nonresident to resident, the school tax reduction credit authorized by this subsection shall be prorated according to the number of months in the period of residence.

S 3. Paragraphs 1, 2 and 3 of subsection (a) of section 1304 of the tax law, as amended by section 2 of part B of chapter 59 of the laws of 2015, are amended to read as follows:

(1) Resident married individuals filing joint returns and resident surviving spouses. The tax under this section for each taxable year on the city taxable income of every city resident married individual who makes a single return jointly with his or her spouse under subsection (b) of section thirteen hundred six of this article and on the city taxable income of every city resident surviving spouse shall be determined in accordance with the following tables:

(A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

IF THE CITY TAXABLE INCOME IS:

THE TAX IS:

NOT OVER \$21,600

2.7% OF THE CITY TAXABLE INCOME

OVER \$21,600 BUT NOT

\$583 PLUS 3.3% OF EXCESS

OVER \$45,000

OVER \$21,600

OVER \$45,000 BUT NOT

\$1,355 PLUS 3.35% OF EXCESS

OVER \$90,000

OVER \$45,000

OVER \$90,000

\$2,863 PLUS 3.4% OF EXCESS

OVER \$90,000

(B) FOR TAXABLE YEAR BEGINNING AFTER TWO THOUSAND FOURTEEN

AND BEFORE TWO THOUSAND SEVENTEEN:

If the city taxable income is:

The tax is:

Not over \$21,600

2.55% of the city taxable income

Over \$21,600 but not

\$551 plus 3.1% of excess

over \$45,000

over \$21,600

Over \$45,000 but not

\$1,276 plus 3.15% of excess

over \$90,000

over \$45,000

Over \$90,000 but not

\$2,694 plus 3.2% of excess

over \$500,000

over \$90,000

Over \$500,000

\$16,803 plus 3.4% of excess

over \$500,000

[(B)] (C) For taxable years beginning after two thousand nine and before two thousand fifteen:

If the city taxable income is:

The tax is:

Not over \$21,600

2.55% of the city taxable income

Over \$21,600 but not

\$551 plus 3.1% of excess

over \$45,000

over \$21,600

Over \$45,000 but not

\$1,276 plus 3.15% of excess

over \$90,000

over \$45,000

Over \$90,000 but not

\$2,694 plus 3.2% of excess

over \$500,000

over \$90,000

Over \$500,000

\$15,814 plus 3.4% of excess

over \$500,000

1 (2) Resident heads of households. The tax under this section for each  
 2 taxable year on the city taxable income of every city resident head of a  
 3 household shall be determined in accordance with the following tables:

4 (A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

5	IF THE CITY TAXABLE INCOME IS:	THE TAX IS:
6	NOT OVER \$14,400	2.7% OF THE CITY TAXABLE INCOME
7	OVER \$14,400 BUT NOT	\$389 PLUS 3.3% OF EXCESS
8	OVER \$30,000	OVER \$14,400
9	OVER \$30,000 BUT NOT	\$904 PLUS 3.35% OF EXCESS
10	OVER \$60,000	OVER \$30,000
11	OVER \$60,000	\$1,909 PLUS 3.4% OF EXCESS
12		OVER \$60,000

13 (B) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND FOURTEEN AND BEFORE  
 14 TWO THOUSAND SEVENTEEN:

15	If the city taxable income is:	The tax is:
16	Not over \$14,400	2.55% of the city taxable income
17	Over \$14,400 but not	\$367 plus 3.1% of excess
18	over \$30,000	over \$14,400
19	Over \$30,000 but not	\$851 plus 3.15% of excess
20	over \$60,000	over \$30,000
21	Over \$60,000 but not	\$1,796 plus 3.2% of excess
22	over \$500,000	over \$60,000
23	Over \$500,000	\$16,869 plus 3.4% of excess
24		over \$500,000

25 [(B)] (C) For taxable years beginning after two thousand nine and before  
 26 two thousand fifteen:

27	If the city taxable income is:	The tax is:
28	Not over \$14,400	2.55% of the city taxable income
29	Over \$14,400 but not	\$367 plus 3.1% of excess
30	over \$30,000	over \$14,400
31	Over \$30,000 but not	\$851 plus 3.15% of excess
32	over \$60,000	over \$30,000
33	Over \$60,000 but not	\$1,796 plus 3.2% of excess
34	over \$500,000	over \$60,000
35	Over \$500,000	\$15,876 plus 3.4% of excess
36		Over \$500,000

37 (3) Resident unmarried individuals, resident married individuals  
 38 filing separate returns and resident estates and trusts. The tax under  
 39 this section for each taxable year on the city taxable income of every  
 40 city resident individual who is not a city resident married individual  
 41 who makes a single return jointly with his or her spouse under  
 42 subsection (b) of section thirteen hundred six of this article or a city  
 43 resident head of household or a city resident surviving spouse, and on  
 44 the city taxable income of every city resident estate and trust shall be  
 45 determined in accordance with the following tables:

46 (A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

47	IF THE CITY TAXABLE INCOME IS:	THE TAX IS:
48	NOT OVER \$12,000	2.7% OF THE CITY TAXABLE INCOME

1	OVER \$12,000 BUT NOT	\$324 PLUS 3.3% OF EXCESS
2	OVER \$25,000	OVER \$12,000
3	OVER \$25,000 BUT NOT	\$753 PLUS 3.35% OF EXCESS
4	OVER \$50,000	OVER \$25,000
5	OVER \$50,000	\$1,591 PLUS 3.4% OF EXCESS
6		OVER \$50,000

7 (B) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND FOURTEEN AND BEFORE  
8 TWO THOUSAND SEVENTEEN:

9	If the city taxable income is:	The tax is:
10	Not over \$12,000	2.55% of the city taxable income
11	Over \$12,000 but not	\$306 plus 3.1% of excess
12	over \$25,000	over \$12,000
13	Over \$25,000 but not	\$709 plus 3.15% of excess
14	over \$50,000	over \$25,000
15	Over \$50,000 but not	\$1,497 plus 3.2% of excess
16	over \$500,000	over \$50,000
17	Over \$500,000	\$16,891 plus 3.4%
18		of excess over \$500,000

19 [(B)] (C) For taxable years beginning after two thousand nine and  
20 before two thousand fifteen:

21	If the city taxable income is:	The tax is:
22	Not over \$12,000	2.55% of the city taxable income
23	Over \$12,000 but not	\$306 plus 3.1% of excess
24	over \$25,000	over \$12,000
25	Over \$25,000 but not	\$709 plus 3.15% of excess
26	over \$50,000	over \$25,000
27	Over \$50,000 but not	\$1,497 plus 3.2% of excess
28	over \$500,000	over \$50,000
29	Over \$500,000	\$15,897 plus 3.4%
30		of excess over \$500,000

31 S 4. Paragraphs 1, 2 and 3 of subsection (a) of section 11-1701 of the  
32 administrative code of the city of New York, as amended by section 3 of  
33 part B of chapter 59 of the laws of 2015, are amended to read as  
34 follows:

35 (1) Resident married individuals filing joint returns and resident  
36 surviving spouses. The tax under this section for each taxable year on  
37 the city taxable income of every city resident married individual who  
38 makes a single return jointly with his or her spouse under subdivision  
39 (b) of section 11-1751 of this chapter and on the city taxable income of  
40 every city resident surviving spouse shall be determined in accordance  
41 with the following tables:

42 (A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

43	IF THE CITY TAXABLE INCOME IS:	THE TAX IS:
44	NOT OVER \$21,600	2.7% OF THE CITY TAXABLE INCOME
45	OVER \$21,600 BUT NOT	\$583 PLUS 3.3% OF EXCESS
46	OVER \$45,000	OVER \$21,600
47	OVER \$45,000 BUT NOT	\$1,355 PLUS 3.35% OF EXCESS
48	OVER \$90,000	OVER \$45,000
49	OVER \$90,000	\$2,863 PLUS 3.4% OF EXCESS
50		OVER \$90,000

1 (B) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND FOURTEEN AND BEFORE  
2 TWO THOUSAND SEVENTEEN:

3	If the city taxable income is:	The tax is:
4	Not over \$21,600	2.55% of the city taxable income
5	Over \$21,600 but not	\$551 plus 3.1% of excess
6	over \$45,000	over \$21,600
7	Over \$45,000 but not	\$1,276 plus 3.15% of excess
8	over \$90,000	over \$45,000
9	Over \$90,000 but not	\$2,694 plus 3.2% of excess
10	over \$500,000	over \$90,000
11	Over \$500,000	\$16,803 plus 3.4% of excess
12		over \$500,000

13 [(B)] (C) For taxable years beginning after two thousand nine and  
14 before two thousand fifteen:

15	If the city taxable income is:	The tax is:
16	Not over \$21,600	2.55% of the city taxable income
17	Over \$21,600 but not	\$551 plus 3.1% of excess
18	over \$45,000	over \$21,600
19	Over \$45,000 but not	\$1,276 plus 3.15% of excess
20	over \$90,000	over \$45,000
21	Over \$90,000 but not	\$2,694 plus 3.2% of excess
22	over \$500,000	over \$90,000
23	Over \$500,000	\$15,814 plus 3.4% of excess
24		over \$500,000

25 (2) Resident heads of households. The tax under this section for each  
26 taxable year on the city taxable income of every city resident head of a  
27 household shall be determined in accordance with the following tables:

28 (A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

29	IF THE CITY TAXABLE INCOME IS:	THE TAX IS:
30	NOT OVER \$14,400	2.7% OF THE CITY TAXABLE INCOME
31	OVER \$14,400 BUT NOT	\$389 PLUS 3.3% OF EXCESS
32	OVER \$30,000	OVER \$14,400
33	OVER \$30,000 BUT NOT	\$904 PLUS 3.35% OF EXCESS
34	OVER \$60,000	OVER \$30,000
35	OVER \$60,000	\$1,909 PLUS 3.4% OF EXCESS
36		OVER \$60,000

37 (B) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND FOURTEEN AND BEFORE  
38 TWO THOUSAND SEVENTEEN:

39	If the city taxable income is:	The tax is:
40	Not over \$14,400	2.55% of the city taxable income
41	Over \$14,400 but not	\$367 plus 3.1% of excess
42	over \$30,000	over \$14,400
43	Over \$30,000 but not	\$851 plus 3.15% of excess
44	over \$60,000	over \$30,000
45	Over \$60,000 but not	\$1,796 plus 3.2% of excess
46	over \$500,000	over \$60,000
47	Over \$500,000	\$16,869 plus 3.4% of excess
48		over \$500,000

49 [(B)] (C) For taxable years beginning after two thousand nine and  
50 before two thousand fifteen:

1	If the city taxable income is:	The tax is:
2	Not over \$14,400	2.55% of the city taxable income
3	Over \$14,400 but not	\$367 plus 3.1% of excess
4	over \$30,000	over \$14,400
5	Over \$30,000 but not	\$851 plus 3.15% of excess
6	over \$60,000	over \$30,000
7	Over \$60,000 but not	\$1,796 plus 3.2% of excess
8	over \$500,000	over \$60,000
9	Over \$500,000	\$15,876 plus 3.4% of excess
10		over \$500,000

11 (3) Resident unmarried individuals, resident married individuals  
 12 filing separate returns and resident estates and trusts. The tax under  
 13 this section for each taxable year on the city taxable income of every  
 14 city resident individual who is not a married individual who makes a  
 15 single return jointly with his or her spouse under subdivision (b) of  
 16 section 11-1751 of this chapter or a city resident head of a household  
 17 or a city resident surviving spouse, and on the city taxable income of  
 18 every city resident estate and trust shall be determined in accordance  
 19 with the following tables:

20 (A) For taxable years beginning after two thousand [fourteen] SIXTEEN:

21	IF THE CITY TAXABLE INCOME IS:	THE TAX IS:
22	NOT OVER \$12,000	2.7% OF THE CITY TAXABLE INCOME
23	OVER \$12,000 BUT NOT	\$324 PLUS 3.3% OF EXCESS
24	OVER \$25,000	OVER \$12,000
25	OVER \$25,000 BUT NOT	\$753 PLUS 3.35% OF EXCESS
26	OVER \$50,000	OVER \$25,000
27	OVER \$50,000	\$1,591 PLUS 3.4% OF EXCESS
28		OVER \$50,000

29 (B) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND FOURTEEN AND BEFORE  
 30 TWO THOUSAND SEVENTEEN:

31	If the city taxable income is:	The tax is:
32	Not over \$12,000	2.55% of the city taxable income
33	Over \$12,000 but not	\$306 plus 3.1% of excess
34	over \$25,000	over \$12,000
35	Over \$25,000 but not	\$709 plus 3.15% of excess
36	over \$50,000	over \$25,000
37	Over \$50,000 but not	\$1,497 plus 3.2% of excess
38	over \$500,000	over \$50,000
39	Over \$500,000	\$16,891 plus 3.4% of excess
40		over \$500,000

41 [(B)] (C) For taxable years beginning after two thousand nine and  
 42 before two thousand fifteen:

43	If the city taxable income is:	The tax is:
44	Not over \$12,000	2.55% of the city taxable income
45	Over \$12,000 but not	\$306 plus 3.1% of excess
46	over \$25,000	over \$12,000
47	Over \$25,000 but not	\$709 plus 3.15% of excess
48	over \$50,000	over \$25,000
49	Over \$50,000 but not	\$1,497 plus 3.2% of excess
50	over \$500,000	over \$50,000
51	Over \$500,000	\$15,897 plus 3.4% of excess

1 over \$500,000

2 S 5. Notwithstanding any provision of law to the contrary, the method  
3 of determining the amount to be deducted and withheld from wages on  
4 account of taxes imposed by or pursuant to the authority of article 30  
5 of the tax law in connection with the implementation of the provisions  
6 of this act shall be prescribed by the commissioner of taxation and  
7 finance with due consideration to the effect such withholding tables and  
8 methods would have on the receipt and amount of revenue. The commission-  
9 er of taxation and finance shall adjust such withholding tables and  
10 methods in regard to taxable years beginning in 2017 and after in such  
11 manner as to result, so far as practicable, in withholding from an  
12 employee's wages an amount substantially equivalent to the tax reason-  
13 ably estimated to be due for such taxable years as a result of the  
14 provisions of this act. Provided, however, for tax year 2017 the with-  
15 holding tables shall reflect as accurately as practicable the full  
16 amount of tax year 2017 liability so that such amount is withheld by  
17 December 31, 2017. In carrying out his or her duties and responsibil-  
18 ities under this section, the commissioner of taxation and finance may  
19 prescribe a similar procedure with respect to the taxes required to be  
20 deducted and withheld by local laws imposing taxes pursuant to the  
21 authority of articles 30, 30-A and 30-B of the tax law, the provisions  
22 of any other law in relation to such a procedure to the contrary  
23 notwithstanding.

24 S 6. 1. Notwithstanding any provision of law to the contrary, no addi-  
25 tion to tax shall be imposed for failure to pay the estimated tax in  
26 subsection (c) of section 685 of the tax law and subdivision (c) of  
27 section 11-1785 of the administrative code of the city of New York with  
28 respect to any underpayment of a required installment due prior to, or  
29 within thirty days of, the effective date of this act to the extent that  
30 such underpayment was created or increased by the amendments made by  
31 this act, provided, however, that the taxpayer remits the amount of any  
32 underpayment prior to or with his or her next quarterly estimated tax  
33 payment.

34 2. The commissioner of taxation and finance shall take steps to publi-  
35 cize the necessary adjustments to estimated tax and, to the extent  
36 reasonably possible, to inform the taxpayer of the tax liability changes  
37 made by this act.

38 S 7. This act shall take effect immediately and shall apply to taxable  
39 years beginning on and after January 1, 2017.

40 PART D

41 Intentionally Omitted

42 PART E

43 Intentionally Omitted

44 PART F

45 Section 1. Section 928-a of the real property tax law, as added by  
46 chapter 680 of the laws of 1994, subdivision 1 as further amended by  
47 subdivision (b) of section 1 of part W of chapter 56 of the laws of 2010  
48 and subdivision 2 as amended by chapter 199 of the laws of 1997, is  
49 amended to read as follows:

1 S 928-a. Partial payment of taxes. 1. (A) Notwithstanding the  
2 provisions of any general or special law to the contrary, [the board of  
3 supervisors or the county legislature of any county may by resolution  
4 authorize the collecting officers in one or more of the classes of  
5 municipal corporations described herein] EACH COLLECTING OFFICER IS  
6 HEREBY AUTHORIZED to accept from any taxpayer at any time partial  
7 payments for or on account of taxes, special ad valorem levies or  
8 special assessments [in such amount or manner] and apply such payments  
9 on THE account [thereof in such manner as may be prescribed by such  
10 resolution; provided, however, that such resolution], FOLLOWING THE  
11 ADOPTION OF A RESOLUTION BY THE GOVERNING BODY OF THE MUNICIPAL CORPO-  
12 RATION THAT EMPLOYS THE COLLECTING OFFICER ALLOWING PARTIAL PAYMENTS.  
13 SUCH RESOLUTION MAY LIMIT THE CONDITIONS UNDER WHICH PARTIAL PAYMENTS  
14 WILL BE ACCEPTED, IN WHICH CASE PARTIAL PAYMENTS SHALL BE ACCEPTED IN  
15 ACCORDANCE WITH THE CONDITIONS SET FORTH IN THE RESOLUTION.

16 (B) SUCH RESOLUTION MAY REQUIRE A SERVICE CHARGE NOT TO EXCEED TEN  
17 DOLLARS TO BE PAID WITH EACH PARTIAL PAYMENT. SUCH SERVICE CHARGE SHALL  
18 BELONG TO THE MUNICIPAL CORPORATION THAT EMPLOYS THE COLLECTING OFFICER.

19 (C) WHERE SCHOOL DISTRICT TAXES ARE PAYABLE TO THE COLLECTING OFFICER  
20 OF A CITY OR TOWN THAT HAS ACTED TO ALLOW PARTIAL PAYMENTS, THE GOVERN-  
21 ING BODY OF THE SCHOOL DISTRICT MAY PASS A RESOLUTION ALLOWING PARTIAL  
22 PAYMENTS FOR SCHOOL DISTRICT PURPOSES. SUCH RESOLUTION MAY LIMIT THE  
23 CONDITIONS UNDER WHICH PARTIAL PAYMENTS MAY BE ACCEPTED. WHERE A SCHOOL  
24 DISTRICT HAS PASSED A RESOLUTION ALLOWING PARTIAL PAYMENTS, AND HAS  
25 PROVIDED A COPY TO THE COLLECTING OFFICER AT LEAST SIXTY DAYS BEFORE THE  
26 LAST DATE SET BY LAW FOR THE DELIVERY OF THE TAX ROLL TO THE COLLECTING  
27 OFFICER, THE COLLECTING OFFICER SHALL BE AUTHORIZED TO ACCEPT PARTIAL  
28 PAYMENTS OF SCHOOL DISTRICT TAXES UNDER THE CONDITIONS SPECIFIED IN THE  
29 SCHOOL DISTRICT'S RESOLUTION, SUBJECT TO THE FOLLOWING:

30 (I) IF THE CONDITIONS SET BY THE SCHOOL DISTRICT UPON PARTIAL PAYMENTS  
31 DIFFER FROM THOSE SET BY THE CITY OR TOWN, AND IN THE JUDGMENT OF THE  
32 COLLECTING OFFICER IT WOULD BE BURDENSOME TO ADMINISTER THEM, THE  
33 COLLECTING OFFICER MAY NOTIFY THE SCHOOL DISTRICT THAT THE SCHOOL  
34 DISTRICT'S CONDITIONS ARE NOT ACCEPTABLE. SUCH NOTICE SHALL BE PROVIDED  
35 NO LATER THAN FIFTEEN DAYS AFTER THE DATE ON WHICH THE COLLECTING OFFI-  
36 CER RECEIVED A COPY OF THE SCHOOL DISTRICT RESOLUTION, OR FORTY-FIVE  
37 DAYS BEFORE THE LAST DATE SET BY LAW FOR THE DELIVERY OF THE TAX ROLL TO  
38 THE COLLECTING OFFICER, WHICHEVER IS LATER.

39 (II) WHERE SUCH NOTICE HAS BEEN PROVIDED, THE COLLECTING OFFICER SHALL  
40 BE AUTHORIZED TO ACCEPT PARTIAL PAYMENTS OF SCHOOL DISTRICT TAXES UNDER  
41 THE SAME CONDITIONS AS MAY APPLY TO CITY OR TOWN TAXES, UNLESS THE  
42 SCHOOL DISTRICT NOTIFIES THE COLLECTING OFFICER THAT THE CITY OR TOWN'S  
43 CONDITIONS ARE NOT ACCEPTABLE. SUCH NOTICE SHALL BE PROVIDED NO LATER  
44 THAN FIFTEEN DAYS AFTER THE DATE ON WHICH THE SCHOOL DISTRICT RECEIVED  
45 THE COLLECTING OFFICER'S NOTICE, OR THIRTY DAYS BEFORE THAT LAST DATE  
46 SET BY LAW FOR THE DELIVERY OF THE TAX ROLL TO THE COLLECTING OFFICER,  
47 WHICHEVER IS LATER.

48 (III) WHERE SUCH NOTICE HAS BEEN PROVIDED, THE COLLECTING OFFICER  
49 SHALL NOT BE AUTHORIZED TO ACCEPT PARTIAL PAYMENTS OF SCHOOL DISTRICT  
50 TAXES.

51 (D) ANY RESOLUTION ADOPTED PURSUANT TO THIS SECTION shall be adopted  
52 AT LEAST SIXTY DAYS prior to the preparation and delivery of the tax  
53 rolls to the appropriate collecting officers. A copy of any resolution  
54 [enacting, amending or repealing any such partial payment program]  
55 ADOPTED PURSUANT TO THIS SECTION, OR AMENDING OR REPEALING A RESOLUTION  
56 ADOPTED PURSUANT TO THIS SECTION, shall be filed with the commissioner

1 AND, IN THE CASE OF A RESOLUTION ADOPTED BY A SCHOOL DISTRICT, WITH THE  
2 CITY OR TOWN CLERK, no later than thirty days after the adoption there-  
3 of.

4 2. [Such resolution shall apply to one or more of the following class-  
5 es of municipal corporations: (a) all towns within the county; (b) all  
6 cities for which the county enforces the collection of delinquent taxes;  
7 or (c) all villages for which the county enforces the collection of  
8 delinquent taxes. If the resolution does not specify the class or class-  
9 es of municipal corporations to which it applies, it shall be deemed to  
10 apply only to the towns in the county.

11 3.] After any partial payment authorized pursuant to this section has  
12 been paid, interest and penalties shall be charged against the unpaid  
13 balance only. The acceptance of a partial payment by any official pursu-  
14 ant to this section shall not be deemed to affect any liens and powers  
15 of any [county] MUNICIPAL CORPORATION conferred in any general or  
16 special act, but such rights and powers shall remain in full force and  
17 effect to enforce collection of the unpaid balance of such tax or tax  
18 liens together with interest, penalties and other lawful charges.

19 3. A COLLECTING OFFICER WHO IS AUTHORIZED TO ACCEPT PARTIAL PAYMENTS  
20 PURSUANT TO THIS SECTION MAY NOT DECLINE TO DO SO.

21 4. NOTHING CONTAINED HEREIN SHALL BE CONSTRUED TO AUTHORIZE A COLLECT-  
22 ING OFFICER TO ACCEPT A PARTIAL PAYMENT AFTER THE EXPIRATION OF HIS OR  
23 HER WARRANT, OR AT ANY OTHER TIME THAT SUCH COLLECTING OFFICER IS NOT  
24 AUTHORIZED TO ACCEPT TAX PAYMENTS.

25 5. NOTHING CONTAINED HEREIN SHALL LIMIT THE ABILITY OF A COLLECTING  
26 OFFICER TO ACCEPT PARTIAL PAYMENTS OF TAXES AUTHORIZED UNDER ANY OTHER  
27 GENERAL OR SPECIAL LAW.

28 S 2. This act shall take effect immediately, provided, however, that  
29 in the case of a county that had adopted a resolution pursuant to  
30 section 928-a of the real property tax law as such section read on the  
31 date immediately preceding the effective date of this act, the former  
32 provisions of such section 928-a shall remain in effect until such  
33 resolution shall be repealed by the county.

34

## PART G

35 Section 1. Paragraph 7 of subsection (eee) of section 606 of the tax  
36 law, as amended by section 8 of part A of chapter 73 of the laws of  
37 2016, is amended to read as follows:

38 (7) Disclosure of incomes AND OTHER INFORMATION. (A) Where the  
39 commissioner has denied a taxpayer's claim for the credit authorized by  
40 this subsection in whole or in part on the grounds that the affiliated  
41 income of the parcel in question exceeds the applicable limit, the  
42 commissioner shall have the authority to reveal to that taxpayer the  
43 names and incomes of the other taxpayers whose incomes were included in  
44 the computation of such affiliated income.

45 (B) NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, THE NAMES  
46 AND ADDRESSES OF INDIVIDUALS WHO HAVE APPLIED FOR OR ARE RECEIVING THE  
47 CREDIT AUTHORIZED BY THIS SUBSECTION MAY BE DISCLOSED TO ASSESSORS AND  
48 COUNTY DIRECTORS OF REAL PROPERTY TAX SERVICES. IN ADDITION, WHERE AN  
49 AGREEMENT IS IN PLACE BETWEEN THE COMMISSIONER AND THE HEAD OF THE TAX  
50 DEPARTMENT OF ANOTHER STATE, SUCH INFORMATION MAY BE DISCLOSED TO SUCH  
51 OFFICIAL OR HIS OR HER DESIGNEES. SUCH INFORMATION SHALL BE CONSIDERED  
52 CONFIDENTIAL AND SHALL NOT BE SUBJECT TO FURTHER DISCLOSURE PURSUANT TO  
53 THE FREEDOM OF INFORMATION LAW OR OTHERWISE.

54 S 2. This act shall take effect immediately.



1

## PART H

2 Section 1. Subparagraph (ii) of paragraph (k) of subdivision 2 of  
3 section 425 of the real property tax law, as amended by section 2 of  
4 part A of chapter 405 of the laws of 1999, is amended to read as  
5 follows:

6 (ii) That proportion of the assessment of such real property owned by  
7 a cooperative apartment corporation determined by the relationship of  
8 such real property vested in such tenant-stockholder to such entire  
9 parcel and the buildings thereon owned by such cooperative apartment  
10 corporation in which such tenant-stockholder resides shall be subject to  
11 exemption from taxation pursuant to this section and any exemption so  
12 granted shall be credited by the appropriate taxing authority against  
13 the assessed valuation of such real property. Upon the completion of the  
14 final assessment roll, or as soon thereafter as is practicable, the  
15 assessor shall forward to the cooperative apartment corporation a state-  
16 ment setting forth the exemption attributable to each eligible tenant-  
17 stockholder. The reduction in real property taxes attributable to each  
18 eligible tenant-stockholder shall be credited by the cooperative apart-  
19 ment corporation against the amount of such taxes otherwise payable by  
20 or chargeable to such tenant-stockholder. THE ASSESSOR SHALL ALSO  
21 FORWARD TO THE COMMISSIONER, AT THE TIME AND IN THE MANNER PRESCRIBED BY  
22 THE COMMISSIONER, A STATEMENT SETTING FORTH THE TAXABLE ASSESSED VALUE  
23 ATTRIBUTABLE TO EACH TENANT-STOCKHOLDER, WITHOUT REGARD TO THE  
24 EXEMPTION, AND SUCH OTHER INFORMATION AS THE COMMISSIONER SHALL DEEM  
25 NECESSARY TO PROPERLY CALCULATE THE STAR CREDIT AUTHORIZED BY SUBSECTION  
26 (EEE) OF SECTION SIX HUNDRED SIX OF THE TAX LAW FOR THOSE TENANT-STOCK-  
27 HOLDERS WHO QUALIFY FOR IT.

28 S 2. Subparagraph (E) of paragraph 1 of subsection (eee) of section  
29 606 of the tax law, as amended by section 8 of part A of chapter 73 of  
30 the laws of 2016, is amended to read as follows:

31 (E) "Qualifying taxes" means the school district taxes that were  
32 levied upon the taxpayer's primary residence for the associated fiscal  
33 year that were actually paid by the taxpayer during the taxable year;  
34 or, in the case of a city school district that is subject to article  
35 fifty-two of the education law, the combined city and school district  
36 taxes that were levied upon the taxpayer's primary residence for the  
37 associated fiscal year that were actually paid by the taxpayer during  
38 the taxable year. PROVIDED, HOWEVER, THAT IN THE CASE OF A COOPERATIVE  
39 APARTMENT, "QUALIFYING TAXES" MEANS THE SCHOOL DISTRICT TAXES THAT WOULD  
40 HAVE BEEN LEVIED UPON THE TENANT-STOCKHOLDER'S PRIMARY RESIDENCE IF IT  
41 WERE SEPARATELY ASSESSED, AS DETERMINED BY THE COMMISSIONER BASED ON THE  
42 STATEMENT PROVIDED BY THE ASSESSOR PURSUANT TO SUBPARAGRAPH (II) OF  
43 PARAGRAPH (K) OF SUBDIVISION TWO OF SECTION FOUR HUNDRED TWENTY-FIVE OF  
44 THE REAL PROPERTY TAX LAW, OR IN THE CASE OF A COOPERATIVE APARTMENT  
45 CORPORATION THAT IS DESCRIBED IN SUBPARAGRAPH (IV) OF PARAGRAPH (K) OF  
46 SUBDIVISION TWO OF SECTION FOUR HUNDRED TWENTY-FIVE OF THE REAL PROPERTY  
47 TAX LAW, ONE THIRD OF SUCH AMOUNT. In no case shall the term "qualifying  
48 taxes" be construed to include penalties or interest.

49 S 3. Subparagraph (A) of paragraph 6 of subsection (eee) of section  
50 606 of the tax law is REPEALED.

51 S 4. This act shall take effect immediately, provided that section one  
52 of this act shall apply to final assessment rolls used to levy school  
53 taxes for school years beginning on and after July 1, 2017, and provided  
54 further that sections two and three of this act shall apply to taxable  
55 years beginning on and after January 1, 2017.

1

## PART I

2 Section 1. Section 2 of chapter 540 of the laws of 1992, amending the  
3 real property tax law relating to oil and gas charges, as amended by  
4 section 1 of part C of chapter 59 of the laws of 2014, is amended to  
5 read as follows:

6 S 2. This act shall take effect immediately and shall be deemed to  
7 have been in full force and effect on and after April 1, 1992; provided,  
8 however that any charges imposed by section 593 of the real property tax  
9 law as added by section one of this act shall first be due for values  
10 for assessment rolls with tentative completion dates after July 1, 1992,  
11 and provided further, that this act shall remain in full force and  
12 effect until March 31, [2018] 2021, at which time section 593 of the  
13 real property tax law as added by section one of this act shall be  
14 repealed.

15 S 2. This act shall take effect immediately.

16

## PART J

17 Section 1. Subdivision 5 of section 81 of the state finance law, as  
18 added by chapter 432 of the laws of 2016, is amended to read as follows:

19 5. Moneys shall be payable from the fund on the audit and warrant of  
20 the comptroller on vouchers approved and certified by the commissioner  
21 of health, for veterans' homes operated by the department of health, and  
22 by the [commissioner of education] CHANCELLOR OF THE STATE UNIVERSITY OF  
23 NEW YORK, for the veterans' home operated by the state university of New  
24 York.

25 S 2. This act shall take effect immediately and shall be deemed to  
26 have been in full force and effect on and after November 14, 2016.

27

## PART K

28 Section 1. Section 352 of the economic development law, as added by  
29 section 1 of part MM of chapter 59 of the laws of 2010, subdivisions 7,  
30 8, 9, 10, 12, 13, 14, 15, 16, 17, 18, 19, 20 and 21 as amended and  
31 subdivision 11 as added by section 1 of part K of chapter 59 of the laws  
32 of 2015, is amended to read as follows:

33 S 352. Definitions. For the purposes of this article:

34 1. "Agriculture" means both agricultural production (establishments  
35 performing the complete farm or ranch operation, such as farm owner-op-  
36 erators, tenant farm operators, and sharecroppers) and agricultural  
37 support (establishments that perform one or more activities associated  
38 with farm operation, such as soil preparation, planting, harvesting, and  
39 management, on a contract or fee basis).

40 2. "Back office operations" means a business function that may include  
41 one or more of the following activities: customer service, information  
42 technology and data processing, human resources, accounting and related  
43 administrative functions.

44 3. "Benefit-cost ratio" means the following calculation: the numerator  
45 is the sum of (i) the value of all remuneration projected to be paid for  
46 all net new jobs during the period of participation in the program, and  
47 (ii) the value of capital investments to be made by the business enter-  
48 prise during the period of participation in the program, and the denomi-  
49 nator is the amount of total tax benefits under this article that will  
50 be used and refunded.

1 4. "Certificate of eligibility" means the document issued by the  
2 department to an applicant that has completed an application to be  
3 admitted into the excelsior jobs program and has been accepted into the  
4 program by the department. Possession of a certificate of eligibility  
5 does not by itself guarantee the eligibility to claim the tax credit.

6 5. "Certificate of tax credit" means the document issued to a partic-  
7 ipant by the department, after the department has verified that the  
8 participant has met all applicable eligibility criteria in this article.  
9 The certificate shall be issued annually if such criteria are satisfied  
10 and shall specify the exact amount of each of the tax credit components  
11 under this article that a participant may claim, pursuant to section  
12 three hundred fifty-five of this article, and shall specify the taxable  
13 year in which such credit may be claimed.

14 6. "Distribution center" means a large scale facility involving proc-  
15 essing, repackaging and/or movement of finished or semi-finished goods  
16 to retail locations across a multi-state area.

17 7. "Entertainment company" means a corporation, partnership, limited  
18 partnership, or other entity principally engaged in the production or  
19 post production of (i) motion pictures, which shall include feature-  
20 length films and television films, (ii) instructional videos, (iii)  
21 televised commercial advertisements, (iv) animated films or cartoons,  
22 (v) music videos, (vi) television programs, which shall include, but not  
23 be limited to, television series, television pilots, and single tele-  
24 vision episodes, or (vii) programs primarily intended for radio broad-  
25 cast. "Entertainment company" shall not include an entity (i) principal-  
26 ly engaged in the live performance of events, including, but not limited  
27 to, theatrical productions, concerts, circuses, and sporting events,  
28 (ii) principally engaged in the production of content intended primarily  
29 for industrial, corporate or institutional end-users, (iii) principally  
30 engaged in the production of fundraising films or programs, or (iv)  
31 engaged in the production of content for which records are required  
32 under section 2257 of title 18, United States code, to be maintained  
33 with respect to any performer in such production.

34 8. "Financial services data centers or financial services customer  
35 back office operations" means operations that manage the data or  
36 accounts of existing customers or provide product or service information  
37 and support to customers of financial services companies, including  
38 banks, other lenders, securities and commodities brokers and dealers,  
39 investment banks, portfolio managers, trust offices, and insurance  
40 companies.

41 9. "Investment zone" shall mean an area within the state that had been  
42 designated under paragraph (i) of subdivision (a) and subdivision (d) of  
43 section nine hundred fifty-eight of the general municipal law that was  
44 wholly contained within up to four distinct and separate contiguous  
45 areas as of the date immediately preceding the date the designation of  
46 such area expired pursuant to section nine hundred sixty-nine of the  
47 general municipal law.

48 10. "LIFE SCIENCES" MEANS AGRICULTURAL BIOTECHNOLOGY, BIOGENERICs,  
49 BIOINFORMATICS, BIOMEDICAL ENGINEERING, BIOPHARMACEUTICALS, ACADEMIC  
50 MEDICAL CENTERS, BIOTECHNOLOGY, CHEMICAL SYNTHESIS, CHEMISTRY TECHNOLO-  
51 GY, MEDICAL DIAGNOSTICS, GENOMICS, MEDICAL IMAGE ANALYSIS, MARINE BIOLO-  
52 GY, MEDICAL DEVICES, MEDICAL NANOTECHNOLOGY, NATURAL PRODUCT PHARMACEU-  
53 TICALS, PROTEOMICS, REGENERATIVE MEDICINE, RNA INTERFERENCE, STEM CELL  
54 RESEARCH, MEDICAL AND NEUROLOGICAL CLINICAL TRIALS, HEALTH ROBOTICS AND  
55 VETERINARY SCIENCE.

1 11. "LIFE SCIENCES COMPANY" MEANS A BUSINESS ENTITY OR AN ORGANIZATION  
2 OR INSTITUTION THAT DEVOTES THE MAJORITY OF ITS EFFORTS IN THE VARIOUS  
3 STAGES OF RESEARCH, DEVELOPMENT, TECHNOLOGY TRANSFER AND COMMERCIALIZA-  
4 TION RELATED TO ANY LIFE SCIENCES FIELD.

5 12. "Manufacturing" means the process of working raw materials into  
6 products suitable for use or which gives new shapes, new quality or new  
7 combinations to matter which has already gone through some artificial  
8 process by the use of machinery, tools, appliances, or other similar  
9 equipment. "Manufacturing" does not include an operation that involves  
10 only the assembly of components, provided, however, the assembly of  
11 motor vehicles or other high value-added products shall be considered  
12 manufacturing.

13 [11.] 13. "Music production" means the process of creating sound  
14 recordings of at least eight minutes, recorded in professional sound  
15 studios, intended for commercial release. "Music production" does not  
16 include recording of live concerts, or recordings that are primarily  
17 spoken word or wildlife or nature sounds, or produced for instructional  
18 use or advertising or promotional purposes.

19 [12.] 14. "Net new jobs" means:

20 (a) jobs created in this state that (i) are new to the state,  
21 (ii) have not been transferred from employment with another business  
22 located in this state including from a related person in this state,  
23 (iii) are either full-time wage-paying jobs or equivalent to a full-  
24 time wage-paying job requiring at least thirty-five hours per week, and  
25 (iv) are filled for more than six months; or  
26 (b) jobs obtained by an entertainment company in this state (i) as a  
27 result of the termination of a licensing agreement with another enter-  
28 tainment company, (ii) that the commissioner determines to be at risk of  
29 leaving the state as a direct result of the termination, (iii) that are  
30 either full-time wage-paying jobs or equivalent to a full-time wage-pay-  
31 ing job requiring at least thirty-five hours per week, and (iv) that are  
32 filled for more than six months.

33 [13.] 15. "Participant" means a business entity that:

34 (a) has completed an application prescribed by the department to be  
35 admitted into the program;  
36 (b) has been issued a certificate of eligibility by the department;  
37 (c) has demonstrated that it meets the eligibility criteria in section  
38 three hundred fifty-three and subdivision two of section three hundred  
39 fifty-four of this article; and  
40 (d) has been certified as a participant by the commissioner.

41 [14.] 16. "Preliminary schedule of benefits" means the maximum aggre-  
42 gate amount of each component of the tax credit that a participant in  
43 the excelsior jobs program is eligible to receive pursuant to this arti-  
44 cle. The schedule shall indicate the annual amount of each component of  
45 the credit a participant may claim in each of its ten years of eligibil-  
46 ity. The preliminary schedule of benefits shall be issued by the  
47 department when the department approves the application for admission  
48 into the program. The commissioner may amend that schedule, provided  
49 that the commissioner complies with the credit caps in section three  
50 hundred fifty-nine of this article.

51 [15.] 17. "Qualified investment" means an investment in tangible prop-  
52 erty (including a building or a structural component of a building)  
53 owned by a business enterprise which:

54 (a) is depreciable pursuant to section one hundred sixty-seven of the  
55 internal revenue code;

56 (b) has a useful life of four years or more;

1 (c) is acquired by purchase as defined in section one hundred seven-  
2 ty-nine (d) of the internal revenue code;

3 (d) has a situs in this state; and

4 (e) is placed in service in the state on or after the date the certif-  
5 icate of eligibility is issued to the business enterprise.

6 [16.] 18. "Regionally significant project" means (a) a manufacturer  
7 creating at least fifty net new jobs in the state and making significant  
8 capital investment in the state; (b) a business creating at least twenty  
9 net new jobs in agriculture in the state and making significant capital  
10 investment in the state, (c) a financial services firm, distribution  
11 center, or back office operation creating at least three hundred net new  
12 jobs in the state and making significant capital investment in the  
13 state, (d) a scientific research and development firm creating at least  
14 twenty net new jobs in the state, and making significant capital invest-  
15 ment in the state, (E) A LIFE SCIENCES COMPANY CREATING AT LEAST TWENTY  
16 NET NEW JOBS IN THE STATE AND MAKING SIGNIFICANT CAPITAL INVESTMENT IN  
17 THE STATE or [(e)] (F) an entertainment company creating or obtaining at  
18 least two hundred net new jobs in the state and making significant capi-  
19 tal investment in the state. Other businesses creating three hundred or  
20 more net new jobs in the state and making significant capital investment  
21 in the state may be considered eligible as a regionally significant  
22 project by the commissioner as well. The commissioner shall promulgate  
23 regulations pursuant to section three hundred fifty-six of this article  
24 to determine what constitutes significant capital investment for each of  
25 the project categories indicated in this subdivision and what additional  
26 criteria a business must meet to be eligible as a regionally significant  
27 project, including, but not limited to, whether a business exports a  
28 substantial portion of its products or services outside of the state or  
29 outside of a metropolitan statistical area or county within the state.

30 [17.] 19. "Related person" means a "related person" pursuant to  
31 subparagraph (c) of paragraph three of subsection (b) of section four  
32 hundred sixty-five of the internal revenue code.

33 [18.] 20. "Remuneration" means wages and benefits paid to an employee  
34 by a participant in the excelsior jobs program.

35 [19.] 21. "Research and development expenditures" mean the expenses of  
36 the business enterprise that are qualified research expenses under the  
37 federal research and development credit under section forty-one of the  
38 internal revenue code and are attributable to activities conducted in  
39 the state. If the federal research and development credit has expired,  
40 then the research and development expenditures shall be calculated as if  
41 the federal research and development credit structure and definition in  
42 effect in federal tax year two thousand nine were still in effect.

43 [20.] 22. "Scientific research and development" means conducting  
44 research and experimental development in the physical, engineering, and  
45 life sciences, including but not limited to agriculture, electronics,  
46 environmental, biology, botany, biotechnology, computers, chemistry,  
47 food, fisheries, forests, geology, health, mathematics, medicine, ocean-  
48 ography, pharmacy, physics, veterinary, and other allied subjects. For  
49 the purposes of this article, scientific research and development does  
50 not include medical or veterinary laboratory testing facilities.

51 [21.] 23. "Software development" means the creation of coded computer  
52 instructions or production or post-production of video games, as defined  
53 in subdivision one-a of section six hundred eleven of the general busi-  
54 ness law, other than those embedded and used exclusively in advertising,  
55 promotional websites or microsites, and also includes new media as  
56 defined by the commissioner in regulations.

1 S 2. Subdivisions 1 and 3 of section 353 of the economic development  
2 law, as amended by section 2 of part K of chapter 59 of the laws of  
3 2015, are amended to read as follows:

4 1. To be a participant in the excelsior jobs program, a business enti-  
5 ty shall operate in New York state predominantly:

6 (a) as a financial services data center or a financial services back  
7 office operation;

8 (b) in manufacturing;

9 (c) in software development and new media;

10 (d) in scientific research and development;

11 (e) in agriculture;

12 (f) in the creation or expansion of back office operations in the  
13 state;

14 (g) in a distribution center;

15 (h) in an industry with significant potential for private-sector  
16 economic growth and development in this state as established by the  
17 commissioner in regulations promulgated pursuant to this article. In  
18 promulgating such regulations the commissioner shall include job and  
19 investment criteria;

20 (i) as an entertainment company; [or]

21 (j) in music production; OR

22 (K) AS A LIFE SCIENCES COMPANY.

23 3. For the purposes of this article, in order to participate in the  
24 excelsior jobs program, a business entity operating predominantly in  
25 manufacturing must create at least ten net new jobs; a business entity  
26 operating predominately in agriculture must create at least five net new  
27 jobs; a business entity operating predominantly as a financial service  
28 data center or financial services customer back office operation must  
29 create at least fifty net new jobs; a business entity operating predomi-  
30 nantly in scientific research and development must create at least five  
31 net new jobs; a business entity operating predominantly in software  
32 development must create at least five net new jobs; a business entity  
33 creating or expanding back office operations must create at least fifty  
34 net new jobs; a business entity operating predominately in music  
35 production must create at least five net new jobs; a business entity  
36 operating predominantly as an entertainment company must create or  
37 obtain at least one hundred net new jobs; or a business entity operating  
38 predominantly as a distribution center in the state must create at least  
39 seventy-five net new jobs, notwithstanding subdivision five of this  
40 section; OR A BUSINESS ENTITY OPERATING PREDOMINATELY AS A LIFE SCIENCES  
41 COMPANY MUST CREATE AT LEAST FIVE NET NEW JOBS; or a business entity  
42 must be a regionally significant project as defined in this article; or

43 S 3. Subdivision 4 of section 353 of the economic development law, as  
44 amended by section 1 of part C of chapter 68 of the laws of 2013, is  
45 amended to read as follows:

46 4. A business entity operating predominantly in one of the industries  
47 referenced in paragraphs (a) through (h) OR IN PARAGRAPH (K) of subdivi-  
48 sion one of this section but which does not meet the job requirements of  
49 subdivision three of this section must have at least twenty-five full-  
50 time job equivalents unless such business is a business entity operating  
51 predominantly in manufacturing then it must have at least ten full-time  
52 job equivalents and must demonstrate that its benefit-cost ratio is at  
53 least ten to one.

54 S 4. Subdivision 5 of section 354 of the economic development law, as  
55 amended by section 2 of part O of chapter 60 of the laws of 2016, is  
56 amended to read as follows:



1 paragraph as needed; provided, however, that under no circumstances may  
2 the aggregate statutory cap for all program years be exceeded. One  
3 hundred percent of the unawarded amounts remaining at the end of two  
4 thousand twenty-four may be allocated in subsequent years, notwithstand-  
5 ing the fifty percent limitation on any amounts of tax credits not  
6 awarded in taxable years two thousand eleven through two thousand twen-  
7 ty-four. Provided, however, no tax credits may be allowed for taxable  
8 years beginning on or after January first, two thousand [twenty-seven]  
9 THIRTY.

10 S 6. Subdivision (b) of section 31 of the tax law, as amended by  
11 section 3 of part 0 of chapter 60 of the laws of 2016, is amended to  
12 read as follows:

13 (b) To be eligible for the excelsior jobs program credit, the taxpayer  
14 shall have been issued a "certificate of tax credit" by the department  
15 of economic development pursuant to subdivision four of section three  
16 hundred fifty-four of the economic development law, which certificate  
17 shall set forth the amount of each credit component that may be claimed  
18 for the taxable year. A taxpayer may claim such credit for ten consec-  
19 utive taxable years commencing in the first taxable year that the  
20 taxpayer receives a certificate of tax credit or the first taxable year  
21 listed on its preliminary schedule of benefits, whichever is later,  
22 provided that no tax credits may be allowed for taxable years beginning  
23 on or after January first, two thousand [twenty-seven] THIRTY. The  
24 taxpayer shall be allowed to claim only the amount listed on the certif-  
25 icate of tax credit for that taxable year. Such certificate must be  
26 attached to the taxpayer's return. No cost or expense paid or incurred  
27 by the taxpayer shall be the basis for more than one component of this  
28 credit or any other tax credit, except as provided in section three  
29 hundred fifty-five of the economic development law.

30 S 7. The tax law is amended by adding a new section 43 to read as  
31 follows:

32 S 43. LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT. (A) ALLOWANCE  
33 OF CREDIT. (1) A TAXPAYER THAT IS A QUALIFIED LIFE SCIENCES COMPANY, OR  
34 THAT IS A SOLE PROPRIETOR OF OR A PARTNER IN A PARTNERSHIP THAT IS A  
35 QUALIFIED LIFE SCIENCES COMPANY OR A SHAREHOLDER OF A NEW YORK S CORPO-  
36 RATION THAT IS A QUALIFIED LIFE SCIENCES COMPANY, AND IS SUBJECT TO TAX  
37 UNDER ARTICLE NINE-A OR TWENTY-TWO OF THIS CHAPTER, SHALL BE ALLOWED A  
38 CREDIT AGAINST SUCH TAX, PURSUANT TO THE PROVISIONS REFERRED TO IN  
39 SUBDIVISION (E) OF THIS SECTION, FOR A PERIOD OF THREE YEARS, AS  
40 PROVIDED IN SUBPARAGRAPH (II) OF PARAGRAPH TWO OF THIS SUBDIVISION, TO  
41 BE COMPUTED AS PROVIDED IN THIS SECTION, PROVIDED THAT NO CREDIT SHALL  
42 BE ALLOWED FOR TAXABLE YEARS BEGINNING ON OR AFTER JANUARY FIRST, TWO  
43 THOUSAND TWENTY-EIGHT. SUCH CREDIT MAY BE CLAIMED IN THE TAXABLE YEAR  
44 SPECIFIED ON THE CERTIFICATE OF TAX CREDIT ISSUED TO THE QUALIFIED LIFE  
45 SCIENCES COMPANY.

46 (2)(I) FOR A QUALIFIED LIFE SCIENCES COMPANY THAT EMPLOYS TEN OR MORE  
47 PERSONS DURING THE TAXABLE YEAR, THE AMOUNT OF THE CREDIT SHALL BE EQUAL  
48 TO FIFTEEN PERCENT OF SUCH QUALIFIED LIFE SCIENCES COMPANY'S RESEARCH  
49 AND DEVELOPMENT EXPENDITURES IN THIS STATE FOR THE TAXABLE YEAR. FOR A  
50 QUALIFIED LIFE SCIENCES COMPANY THAT EMPLOYS LESS THAN TEN PERSONS  
51 DURING THE TAXABLE YEAR, THE AMOUNT OF THE CREDIT SHALL BE EQUAL TO  
52 TWENTY PERCENT OF SUCH QUALIFIED LIFE SCIENCES COMPANY'S RESEARCH AND  
53 DEVELOPMENT EXPENDITURES IN THIS STATE FOR THE TAXABLE YEAR.

54 (II) THE CREDIT SHALL BE ALLOWED ONLY WITH RESPECT TO THE FIRST TAXA-  
55 BLE YEAR DURING WHICH THE CRITERIA SET FORTH IN THIS SUBDIVISION ARE  
56 SATISFIED, AND WITH RESPECT TO EACH OF THE TWO TAXABLE YEARS NEXT



1 FOLLOWING (BUT ONLY, WITH RESPECT TO EACH OF SUCH YEARS, IF SUCH CRITE-  
2 RIA ARE SATISFIED). SUBSEQUENT CERTIFICATIONS OF THE LIFE SCIENCES  
3 COMPANY BY THE DEPARTMENT OF ECONOMIC DEVELOPMENT PURSUANT TO THIS  
4 SECTION SHALL NOT EXTEND THE THREE TAXABLE YEAR TIME LIMITATION ON THE  
5 ALLOWANCE OF THE CREDIT SET FORTH IN THE PRECEDING SENTENCE.

6 (3) THE TOTAL AMOUNT OF CREDIT ALLOWABLE TO A QUALIFIED LIFE SCIENCES  
7 COMPANY, OR, IF THE LIFE SCIENCES COMPANY IS PROPERLY INCLUDED OR  
8 REQUIRED TO BE INCLUDED IN A COMBINED REPORT, TO THE COMBINED GROUP,  
9 TAKEN IN THE AGGREGATE, SHALL NOT EXCEED FIVE HUNDRED THOUSAND DOLLARS  
10 IN ANY TAXABLE YEAR. IF THE LIFE SCIENCES COMPANY IS A PARTNER IN A  
11 PARTNERSHIP OR SHAREHOLDER OF A NEW YORK S CORPORATION, THEN THE TOTAL  
12 AMOUNT OF CREDIT ALLOWABLE SHALL BE APPLIED AT THE ENTITY LEVEL, SO THAT  
13 THE TOTAL AMOUNT OF CREDIT ALLOWABLE TO ALL THE PARTNERS OR SHAREHOLDERS  
14 OF EACH SUCH ENTITY, TAKEN IN THE AGGREGATE, DOES NOT EXCEED FIVE  
15 HUNDRED THOUSAND DOLLARS IN ANY TAXABLE YEAR.

16 (4) NO RESEARCH AND DEVELOPMENT EXPENDITURES MADE BY THE LIFE SCIENCES  
17 COMPANY AND USED EITHER AS THE BASIS FOR THE ALLOWANCE OF THE CREDIT  
18 PROVIDED FOR PURSUANT TO THIS SECTION OR USED IN THE CALCULATION OF THE  
19 CREDIT PROVIDED PURSUANT TO THIS SECTION SHALL BE USED TO CLAIM ANY  
20 OTHER CREDIT ALLOWED PURSUANT TO THIS CHAPTER OR BE USED IN THE CALCU-  
21 LATION OF ANY OTHER CREDIT ALLOWED PURSUANT TO THIS CHAPTER.

22 (B) MAXIMUM AMOUNT OF CREDITS. THE AGGREGATE AMOUNT OF TAX CREDITS  
23 ALLOWED UNDER THIS SECTION TO TAXPAYERS SUBJECT TO TAX UNDER ARTICLES  
24 NINE-A AND TWENTY-TWO OF THIS CHAPTER IN ANY TAXABLE YEAR SHALL BE TEN  
25 MILLION DOLLARS, AND SHALL BE ALLOTTED FROM THE FUNDS AVAILABLE FOR TAX  
26 CREDITS UNDER ARTICLE SEVENTEEN OF THE ECONOMIC DEVELOPMENT LAW. SUCH  
27 AGGREGATE AMOUNT OF CREDITS SHALL BE ALLOCATED BY THE DEPARTMENT OF  
28 ECONOMIC DEVELOPMENT AMONG TAXPAYERS IN ORDER OF PRIORITY BASED UPON THE  
29 DATE OF FILING AN APPLICATION FOR ALLOCATION OF LIFE SCIENCES RESEARCH  
30 AND DEVELOPMENT TAX CREDIT WITH SUCH DEPARTMENT. IF THE TOTAL AMOUNT OF  
31 ALLOCATED CREDITS APPLIED FOR IN ANY PARTICULAR YEAR EXCEEDS THE AGGRE-  
32 GATE AMOUNT OF TAX CREDITS ALLOWED FOR SUCH YEAR UNDER THIS SECTION,  
33 SUCH EXCESS SHALL BE TREATED AS HAVING BEEN APPLIED FOR ON THE FIRST DAY  
34 OF THE SUBSEQUENT YEAR.

35 (C) DEFINITIONS. AS USED IN THIS SECTION THE FOLLOWING TERMS SHALL  
36 HAVE THE FOLLOWING MEANINGS:

37 (1) "CERTIFICATE OF TAX CREDIT" MEANS THE DOCUMENT ISSUED TO A QUALI-  
38 FIED LIFE SCIENCES COMPANY BY THE DEPARTMENT OF ECONOMIC DEVELOPMENT,  
39 AFTER THE DEPARTMENT OF ECONOMIC DEVELOPMENT HAS VERIFIED THAT SUCH LIFE  
40 SCIENCES COMPANY HAS MET ALL APPLICABLE CRITERIA IN THIS SECTION TO BE  
41 ELIGIBLE FOR THE LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT  
42 ALLOWED UNDER THIS SECTION, INCLUDING BUT NOT LIMITED TO VERIFYING THAT  
43 THE LIFE SCIENCES COMPANY IS A NEW BUSINESS. THE CERTIFICATE SHALL BE  
44 ISSUED ANNUALLY IF SUCH CRITERIA ARE SATISFIED AND SHALL SPECIFY THE  
45 EXACT AMOUNT OF THE LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT  
46 THAT MAY BE CLAIMED BY SUCH QUALIFIED LIFE SCIENCES COMPANY, PURSUANT TO  
47 THIS SECTION, AND SHALL SPECIFY THE TAXABLE YEAR IN WHICH SUCH CREDIT  
48 MAY BE CLAIMED.

49 (2) "NEW BUSINESS" MEANS ANY BUSINESS THAT QUALIFIES AS A NEW BUSINESS  
50 UNDER EITHER PARAGRAPH (F) OF SUBDIVISION ONE OF SECTION TWO HUNDRED  
51 TEN-B OR PARAGRAPH TEN OF SUBSECTION ONE OF SECTION SIX HUNDRED SIX OF  
52 THIS CHAPTER.

53 (3) "QUALIFIED LIFE SCIENCES COMPANY" MEANS A LIFE SCIENCES COMPANY,  
54 AS DEFINED IN SUBDIVISION ELEVEN OF SECTION THREE HUNDRED FIFTY-TWO OF  
55 THE ECONOMIC DEVELOPMENT LAW, THAT HAS BEEN CERTIFIED BY THE DEPARTMENT  
56 OF ECONOMIC DEVELOPMENT AS A LIFE SCIENCES COMPANY AND IS A NEW BUSI-

1 NESS. PROVIDED HOWEVER, FOR PURPOSES OF THE CREDIT AUTHORIZED UNDER  
2 THIS SECTION, THE DEPARTMENT OF ECONOMIC DEVELOPMENT SHALL NOT CERTIFY  
3 AS A LIFE SCIENCES COMPANY ANY CORPORATION, PARTNERSHIP, LIMITED PART-  
4 NERSHIP, OR OTHER ENTITY THAT HAS BEEN WITHIN THE IMMEDIATELY PRECEDING  
5 SIXTY MONTHS A RELATED PERSON TO AN ENTITY THAT IS A LIFE SCIENCES  
6 COMPANY OR AN ENTITY THAT IS ENGAGED IN SCIENTIFIC RESEARCH AND DEVELOP-  
7 MENT AS DEFINED IN SUBDIVISION TWENTY-TWO OF SECTION THREE HUNDRED  
8 FIFTY-TWO OF THE ECONOMIC DEVELOPMENT LAW.

9 (4) "RESEARCH AND DEVELOPMENT EXPENDITURES" MEANS QUALIFIED RESEARCH  
10 EXPENSES AS DEFINED IN SUBSECTION (B) OF SECTION 41 OF THE INTERNAL  
11 REVENUE CODE, PROVIDED, HOWEVER, THAT SUCH QUALIFIED RESEARCH EXPENSES  
12 SHALL NOT INCLUDE AMOUNTS UNDER SUBPARAGRAPH (B) OF PARAGRAPH 1 OF  
13 SUBSECTION (B) OF SECTION 41 OF THE INTERNAL REVENUE CODE AND AS FURTHER  
14 DESCRIBED IN PARAGRAPH 3 OF SUBSECTION (B) OF SECTION 41 OF THE INTERNAL  
15 REVENUE CODE. IF SECTION 41 OF THE INTERNAL REVENUE CODE HAS EXPIRED,  
16 THEN THE RESEARCH AND DEVELOPMENT EXPENSES SHALL BE CALCULATED AS IF THE  
17 FEDERAL RESEARCH AND DEVELOPMENT CREDIT STRUCTURE AND DEFINITION IN  
18 EFFECT IN SECTION 41 IN FEDERAL TAX YEAR TWO THOUSAND NINE WERE STILL IN  
19 EFFECT.

20 (5) "RELATED PERSON" MEANS A RELATED PERSON AS DEFINED IN SUBPARAGRAPH  
21 (C) OF PARAGRAPH THREE OF SUBSECTION (B) OF SECTION 465 OF THE INTERNAL  
22 REVENUE CODE. FOR THIS PURPOSE, A "RELATED PERSON" SHALL INCLUDE AN  
23 ENTITY THAT WOULD HAVE QUALIFIED AS A "RELATED PERSON" IF IT HAD NOT  
24 BEEN DISSOLVED, LIQUIDATED, MERGED WITH ANOTHER ENTITY OR OTHERWISE  
25 CEASED TO EXIST OR OPERATE.

26 (D)(1) FOR PURPOSES OF THIS SECTION, IN ORDER TO BE ELIGIBLE FOR THE  
27 LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT ALLOWED UNDER THIS  
28 SECTION, A LIFE SCIENCES COMPANY MUST BE ISSUED A CERTIFICATE OF TAX  
29 CREDIT BY THE DEPARTMENT OF ECONOMIC DEVELOPMENT. THE DEPARTMENT OF  
30 ECONOMIC DEVELOPMENT SHALL VERIFY THAT SUCH LIFE SCIENCES COMPANY HAS  
31 MET ALL APPLICABLE ELIGIBILITY CRITERIA IN THIS SECTION BEFORE ISSUING A  
32 CERTIFICATE OF TAX CREDIT, INCLUDING BUT NOT LIMITED TO VERIFYING THAT  
33 THE LIFE SCIENCES COMPANY IS A NEW BUSINESS.

34 (2) THE COMMISSIONER OF ECONOMIC DEVELOPMENT, AFTER CONSULTING WITH  
35 THE COMMISSIONER, SHALL PROMULGATE REGULATIONS BY OCTOBER THIRTY-FIRST,  
36 TWO THOUSAND SEVENTEEN TO ESTABLISH PROCEDURES FOR THE ALLOCATION OF TAX  
37 CREDITS ALLOWED UNDER THIS SECTION. SUCH RULES AND REGULATIONS SHALL  
38 INCLUDE PROVISIONS DESCRIBING THE APPLICATION PROCESS FOR THE CREDIT  
39 ALLOWED UNDER THIS SECTION, THE DUE DATES FOR SUCH APPLICATIONS, THE  
40 ELIGIBILITY STANDARDS FOR QUALIFIED LIFE SCIENCES COMPANIES, THE STAND-  
41 ARDS WHICH SHALL BE USED TO EVALUATE THE APPLICATIONS, THE DOCUMENTATION  
42 THAT WILL BE PROVIDED TO TAXPAYERS TO SUBSTANTIATE TO THE DEPARTMENT THE  
43 AMOUNT OF TAX CREDITS ALLOCATED TO SUCH TAXPAYERS, AND SUCH OTHER  
44 PROVISIONS AS DEEMED NECESSARY AND APPROPRIATE. NOTWITHSTANDING ANY  
45 OTHER PROVISIONS TO THE CONTRARY IN THE STATE ADMINISTRATIVE PROCEDURE  
46 ACT, SUCH RULES AND REGULATIONS MAY BE ADOPTED ON AN EMERGENCY BASIS IF  
47 NECESSARY TO MEET SUCH OCTOBER THIRTY-FIRST, TWO THOUSAND SEVENTEEN  
48 DEADLINE.

49 (E) CROSS-REFERENCES. FOR APPLICATION OF THE CREDIT PROVIDED FOR IN  
50 THIS SECTION, SEE THE FOLLOWING PROVISIONS OF THIS CHAPTER:

51 (1) ARTICLE 9-A: SECTION 210-B: SUBDIVISION 52.

52 (2) ARTICLE 22: SECTION 606: SUBSECTION (HHH).

53 (F) NOTWITHSTANDING ANY PROVISION OF THIS CHAPTER, (I) EMPLOYEES AND  
54 OFFICERS OF THE DEPARTMENT OF ECONOMIC DEVELOPMENT AND THE DEPARTMENT  
55 SHALL BE ALLOWED AND ARE DIRECTED TO SHARE AND EXCHANGE INFORMATION  
56 REGARDING THE CREDITS APPLIED FOR, ALLOWED, OR CLAIMED PURSUANT TO THIS

1 SECTION AND TAXPAYERS WHO ARE APPLYING FOR CREDITS OR WHO ARE CLAIMING  
2 CREDITS, INCLUDING INFORMATION CONTAINED IN OR DERIVED FROM CREDIT CLAIM  
3 FORMS SUBMITTED TO THE DEPARTMENT AND APPLICATIONS FOR CERTIFICATION  
4 SUBMITTED TO THE DEPARTMENT OF ECONOMIC DEVELOPMENT, AND (II) THE  
5 COMMISSIONER AND THE COMMISSIONER OF THE DEPARTMENT OF ECONOMIC DEVELOP-  
6 MENT MAY RELEASE THE NAMES AND ADDRESSES OF ANY TAXPAYER CLAIMING THE  
7 CREDIT ALLOWED UNDER THIS SECTION AND THE AMOUNT OF THE CREDIT EARNED BY  
8 THE TAXPAYER. PROVIDED, HOWEVER, IF A TAXPAYER CLAIMS SUCH CREDIT  
9 BECAUSE IT IS A MEMBER OF A LIMITED LIABILITY COMPANY OR A PARTNER IN A  
10 PARTNERSHIP, ONLY THE AMOUNT OF CREDIT EARNED BY THE ENTITY AND NOT THE  
11 AMOUNT OF CREDIT CLAIMED BY THE TAXPAYER MAY BE RELEASED.

12 (G) FOR PURPOSES OF THE CREDIT ALLOWED UNDER THIS SECTION, THE NUMBER  
13 OF PERSONS EMPLOYED BY A QUALIFIED LIFE SCIENCES COMPANY DURING THE  
14 TAXABLE YEAR SHALL BE DETERMINED BY ASCERTAINING THE NUMBER OF SUCH  
15 INDIVIDUALS EMPLOYED FULL-TIME BY SUCH COMPANY, EXCLUDING GENERAL EXECU-  
16 TIVE OFFICERS, ON THE THIRTY-FIRST DAY OF MARCH, THE THIRTIETH DAY OF  
17 JUNE, THE THIRTIETH DAY OF SEPTEMBER AND THE THIRTY-FIRST DAY OF DECEM-  
18 BER DURING EACH TAXABLE YEAR, BY ADDING TOGETHER THE NUMBER OF SUCH  
19 INDIVIDUALS ASCERTAINED ON EACH OF SUCH DATES AND DIVIDING THE SUM SO  
20 OBTAINED BY THE NUMBER OF SUCH DATES OCCURRING WITHIN SUCH TAXABLE YEAR.  
21 AN INDIVIDUAL EMPLOYED FULL-TIME MEANS AN EMPLOYEE IN A JOB CONSISTING  
22 OF AT LEAST THIRTY-FIVE HOURS PER WEEK, OR TWO OR MORE EMPLOYEES WHO ARE  
23 IN JOBS THAT TOGETHER CONSTITUTE THE EQUIVALENT OF A JOB OF AT LEAST  
24 THIRTY-FIVE HOURS PER WEEK (FULL-TIME EQUIVALENT).

25 S 8. Section 210-B of the tax law is amended by adding a new subdivi-  
26 sion 52 to read as follows:

27 52. LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT. (A) ALLOWANCE  
28 OF CREDIT. A TAXPAYER THAT IS ELIGIBLE PURSUANT TO SECTION FORTY-THREE  
29 OF THIS CHAPTER SHALL BE ALLOWED A CREDIT TO BE COMPUTED AS PROVIDED IN  
30 SUCH SECTION AGAINST THE TAX IMPOSED BY THIS ARTICLE.

31 (B) APPLICATION OF CREDIT. THE CREDIT ALLOWED UNDER THIS SUBDIVISION  
32 FOR ANY TAXABLE YEAR SHALL NOT REDUCE THE TAX DUE FOR SUCH YEAR TO LESS  
33 THAN THE AMOUNT PRESCRIBED IN PARAGRAPH (D) OF SUBDIVISION ONE OF  
34 SECTION TWO HUNDRED TEN OF THIS ARTICLE. PROVIDED, HOWEVER, THAT IF THE  
35 AMOUNT OF THE CREDIT ALLOWABLE UNDER THIS SUBDIVISION FOR ANY TAXABLE  
36 YEAR REDUCES THE TAX TO SUCH AMOUNT OR IF THE TAXPAYER OTHERWISE PAYS  
37 TAX BASED ON THE FIXED DOLLAR MINIMUM AMOUNT, THE EXCESS SHALL BE TREAT-  
38 ED AS AN OVERPAYMENT OF TAX TO BE CREDITED OR REFUNDED IN ACCORDANCE  
39 WITH THE PROVISIONS OF SECTION ONE THOUSAND EIGHTY-SIX OF THIS CHAPTER.  
40 PROVIDED, FURTHER, THE PROVISIONS OF SUBSECTION (C) OF SECTION ONE THOU-  
41 SAND EIGHTY-EIGHT OF THIS CHAPTER NOTWITHSTANDING, NO INTEREST SHALL BE  
42 PAID THEREON.

43 S 9. Section 606 of the tax law is amended by adding a new subsection  
44 (hhh) to read as follows:

45 (HHH) LIFE SCIENCES RESEARCH AND DEVELOPMENT TAX CREDIT. (1) ALLOWANCE  
46 OF CREDIT. A TAXPAYER WHO IS ELIGIBLE PURSUANT TO SECTION FORTY-THREE OF  
47 THIS CHAPTER SHALL BE ALLOWED A CREDIT TO BE COMPUTED AS PROVIDED IN  
48 SUCH SECTION AGAINST THE TAX IMPOSED BY THIS ARTICLE.

49 (2) APPLICATION OF CREDIT. IF THE AMOUNT OF THE CREDIT ALLOWABLE UNDER  
50 THIS SUBSECTION FOR ANY TAXABLE YEAR EXCEEDS THE TAXPAYER'S TAX FOR SUCH  
51 YEAR, THE EXCESS SHALL BE TREATED AS AN OVERPAYMENT OF TAX TO BE CREDIT-  
52 ED OR REFUNDED AS PROVIDED IN SECTION SIX HUNDRED EIGHTY-SIX OF THIS  
53 ARTICLE, PROVIDED, HOWEVER, THAT NO INTEREST SHALL BE PAID THEREON.

54 S 10. Subparagraph (B) of paragraph 1 of subsection (i) of section 606  
55 of the tax law is amended by adding a new clause (xliii) to read as  
56 follows:

1 (XLIII) LIFE SCIENCES RESEARCH AND AMOUNT OF CREDIT UNDER  
2 DEVELOPMENT TAX CREDIT UNDER SUBDIVISION FIFTY-TWO OF  
3 SUBSECTION (HHH) SECTION TWO HUNDRED TEN-B

4 S 11. This act shall take effect immediately, and shall apply to taxa-  
5 ble years beginning on or after January 1, 2018.

6 PART L

7 Section 1. Section 441 of the economic development law, as added by  
8 section 1 of part 0 of chapter 59 of the laws of 2015, is amended to  
9 read as follows:

10 S 441. Definitions. As used in this article, the following terms shall  
11 have the following meanings:

12 1. "Approved provider" means an entity meeting such criteria as shall  
13 be established by the commissioner in rules and regulations promulgated  
14 pursuant to this article, that may provide eligible training to employ-  
15 ees of a business entity participating in the employee training incen-  
16 tive program; provided that, for internship programs, the business enti-  
17 ty shall be an approved provider or an approved provider in contract  
18 with such business entity. Such criteria shall ensure that any approved  
19 provider possess adequate credentials to provide the training described  
20 in an application by a business entity to the commissioner to partic-  
21 ipate in the employee training incentive program.

22 2. "Commissioner" means the commissioner of economic development.

23 3. "Eligible training" means (a) training provided by an approved  
24 provider that is:

25 (i) to upgrade, retrain or improve the productivity of employees;

26 (ii) provided to employees [filling net new jobs, or to existing  
27 employees] in connection with a significant capital investment by a  
28 participating business entity;

29 (iii) determined by the commissioner to satisfy a business need on the  
30 part of a participating business entity;

31 (iv) not designed to train or upgrade skills as required by a federal  
32 or state entity;

33 (v) not training the completion of which may result in the awarding of  
34 a license or certificate required by law in order to perform a job func-  
35 tion; and

36 (vi) not culturally focused training; or

37 (b) an internship program in advanced technology OR LIFE SCIENCES  
38 approved by the commissioner and provided by an approved provider, on or  
39 after August first, two thousand fifteen, to provide employment and  
40 experience opportunities for current students, recent graduates, and  
41 recent members of the armed forces.

42 4. ["Net new job" means a job created in this state that:

43 (a) is new to the state;

44 (b) has not been transferred from employment with another business  
45 located in this state through an acquisition, merger, consolidation or  
46 other reorganization of businesses or the acquisition of assets of  
47 another business, and has not been transferred from employment with a  
48 related person in this state;

49 (c) is either a full-time wage-paying job or equivalent to a full-time  
50 wage-paying job requiring at least thirty-five hours per week;

51 (d) is filled for more than six months;

52 (e) is filled by a person who has received eligible training; and

1 (f) is comprised of tasks the performance of which required the person  
2 filling the job to undergo eligible training.] "LIFE SCIENCES" MEANS  
3 AGRICULTURAL BIOTECHNOLOGY, BIOGENERICS, BIOINFORMATICS, BIOMEDICAL  
4 ENGINEERING, BIOPHARMACEUTICALS, ACADEMIC MEDICAL CENTERS, BIOTECHNOLO-  
5 GY, CHEMICAL SYNTHESIS, CHEMISTRY TECHNOLOGY, MEDICAL DIAGNOSTICS,  
6 GENOMICS, MEDICAL IMAGE ANALYSIS, MARINE BIOLOGY, MEDICAL DEVICES,  
7 MEDICAL NANOTECHNOLOGY, NATURAL PRODUCT PHARMACEUTICALS, PROTEOMICS,  
8 REGENERATIVE MEDICINE, RNA INTERFERENCE, STEM CELL RESEARCH, MEDICAL AND  
9 NEUROLOGICAL CLINICAL TRIALS, HEALTH ROBOTICS AND VETERINARY SCIENCE.  
10 "LIFE SCIENCES COMPANY" IS A BUSINESS ENTITY OR AN ORGANIZATION OR  
11 INSTITUTION THAT DEVOTES THE MAJORITY OF ITS EFFORTS IN THE VARIOUS  
12 STAGES OF RESEARCH, DEVELOPMENT, TECHNOLOGY TRANSFER AND COMMERCIALIZA-  
13 TION RELATED TO ANY LIFE SCIENCES FIELD.

14 5. "Significant capital investment" means a capital investment [of at  
15 least one million dollars] in new business processes or equipment, THE  
16 COST OF WHICH IS EQUAL TO OR EXCEEDS TEN DOLLARS FOR EVERY ONE DOLLAR OF  
17 TAX CREDIT ALLOWED TO AN ELIGIBLE BUSINESS ENTITY UNDER THIS PROGRAM  
18 PURSUANT TO SUBDIVISION FIFTY OF SECTION TWO HUNDRED TEN-B OR SUBSECTION  
19 (DDD) OF SECTION SIX HUNDRED SIX OF THE TAX LAW.

20 6. "Strategic industry" means an industry in this state, as estab-  
21 lished by the commissioner in regulations promulgated pursuant to this  
22 article, based upon the following criteria:

- 23 (a) shortages of workers trained to work within the industry;
- 24 (b) technological disruption in the industry, requiring significant  
25 capital investment for existing businesses to remain competitive;
- 26 (c) the ability of businesses in the industry to relocate outside of  
27 the state in order to attract talent;
- 28 (d) the potential to recruit minorities and women to be trained to  
29 work in the industry in which they are traditionally underrepresented;
- 30 (e) the potential to create jobs in economically distressed areas,  
31 which shall be based on criteria indicative of economic distress,  
32 including poverty rates, numbers of persons receiving public assistance,  
33 and unemployment rates; or
- 34 (f) such other criteria as shall be developed by the commissioner in  
35 consultation with the commissioner of labor.

36 S 2. Section 442 of the economic development law, as added by section  
37 1 of part 0 of chapter 59 of the laws of 2015, is amended to read as  
38 follows:

39 S 442. Eligibility criteria. In order to participate in the employee  
40 training incentive program, a business entity must satisfy the following  
41 criteria:

- 42 1. (a) The business entity must operate in the state predominantly in  
43 a strategic industry;
- 44 (b) The business entity must demonstrate that it is obtaining eligible  
45 training from an approved provider;
- 46 (c) The business entity must [create at least ten net new jobs or]  
47 make a significant capital investment in connection with the eligible  
48 training; and
- 49 (d) The business entity must be in compliance with all worker  
50 protection and environmental laws and regulations. In addition, the  
51 business entity may not owe past due state taxes or local property  
52 taxes; or
- 53 2. (a) The business entity, or an approved provider in contract with  
54 such business entity, must be approved by the commissioner to provide  
55 eligible training in the form of an internship program in advanced tech-

1 nology OR AT A LIFE SCIENCES COMPANY pursuant to paragraph (b) of subdi-  
2 vision three of section four hundred forty-one of this article;  
3 (b) The business entity must be located in the state;  
4 (c) The business entity must be in compliance with all worker  
5 protection and environmental laws and regulations. In addition, the  
6 business entity must not have past due state taxes or local property  
7 taxes;  
8 (d) The internship program shall not displace regular employees;  
9 (e) The business entity must have less than one hundred employees; and  
10 (f) Participation of an individual in an internship program shall not  
11 last more than a total of twelve months.  
12 S 3. This act shall take effect immediately.

13 PART M

14 Section 1. Paragraph 5 of subdivision (a) of section 24 of the tax  
15 law, as amended by chapter 420 of the laws of 2016, is amended to read  
16 as follows:  
17 (5) For the period two thousand fifteen through two thousand [nine-  
18 teen] TWENTY-TWO, in addition to the amount of credit established in  
19 paragraph two of this subdivision, a taxpayer shall be allowed a credit  
20 equal to the product (or pro rata share of the product, in the case of a  
21 member of a partnership) of ten percent and the amount of wages or sala-  
22 ries paid to individuals directly employed (excluding those employed as  
23 writers, directors, music directors, producers and performers, including  
24 background actors with no scripted lines) by a qualified film production  
25 company or a qualified independent film production company for services  
26 performed by those individuals in one of the counties specified in this  
27 paragraph in connection with a qualified film with a minimum budget of  
28 five hundred thousand dollars. For purposes of this additional credit,  
29 the services must be performed in one or more of the following counties:  
30 Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung,  
31 Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex,  
32 Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis,  
33 Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga,  
34 Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Saratoga,  
35 Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben,  
36 [Suffolk,] Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne,  
37 Wyoming, or Yates. The aggregate amount of tax credits allowed pursuant  
38 to the authority of this paragraph shall be five million dollars each  
39 year during the period two thousand fifteen through two thousand [nine-  
40 teen] TWENTY-TWO of the annual allocation made available to the program  
41 pursuant to paragraph four of subdivision (e) of this section. Such  
42 aggregate amount of credits shall be allocated by the governor's office  
43 for motion picture and television development among taxpayers in order  
44 of priority based upon the date of filing an application for allocation  
45 of film production credit with such office. If the total amount of allo-  
46 cated credits applied for under this paragraph in any year exceeds the  
47 aggregate amount of tax credits allowed for such year under this para-  
48 graph, such excess shall be treated as having been applied for on the  
49 first day of the next year. If the total amount of allocated tax credits  
50 applied for under this paragraph at the conclusion of any year is less  
51 than five million dollars, the remainder shall be treated as part of the  
52 annual allocation made available to the program pursuant to paragraph  
53 four of subdivision (e) of this section. However, in no event may the  
54 total of the credits allocated under this paragraph and the credits

1 allocated under paragraph five of subdivision (a) of section thirty-one  
2 of this article exceed five million dollars in any year during the peri-  
3 od two thousand fifteen through two thousand [nineteen] TWENTY-TWO.

4 S 2. Paragraph 4 of subdivision (e) of section 24 of the tax law, as  
5 amended by section 1-a of part P of chapter 60 of the laws of 2016, is  
6 amended to read as follows:

7 (4) Additional pool 2 - The aggregate amount of tax credits allowed in  
8 subdivision (a) of this section shall be increased by an additional four  
9 hundred twenty million dollars in each year starting in two thousand ten  
10 through two thousand [nineteen] TWENTY-TWO provided however, seven  
11 million dollars of the annual allocation shall be available for the  
12 empire state film post production credit pursuant to section thirty-one  
13 of this article in two thousand thirteen and two thousand fourteen and  
14 twenty-five million dollars of the annual allocation shall be available  
15 for the empire state film post production credit pursuant to section  
16 thirty-one of this article in each year starting in two thousand fifteen  
17 through two thousand [nineteen] TWENTY-TWO. This amount shall be allo-  
18 cated by the governor's office for motion picture and television devel-  
19 opment among taxpayers in accordance with subdivision (a) of this  
20 section. If the commissioner of economic development determines that the  
21 aggregate amount of tax credits available from additional pool 2 for the  
22 empire state film production tax credit have been previously allocated,  
23 and determines that the pending applications from eligible applicants  
24 for the empire state film post production tax credit pursuant to section  
25 thirty-one of this article is insufficient to utilize the balance of  
26 unallocated empire state film post production tax credits from such  
27 pool, the remainder, after such pending applications are considered,  
28 shall be made available for allocation in the empire state film tax  
29 credit pursuant to this section, subdivision twenty of section two  
30 hundred ten-B and subsection (gg) of section six hundred six of this  
31 chapter. Also, if the commissioner of economic development determines  
32 that the aggregate amount of tax credits available from additional pool  
33 2 for the empire state film post production tax credit have been previ-  
34 ously allocated, and determines that the pending applications from  
35 eligible applicants for the empire state film production tax credit  
36 pursuant to this section is insufficient to utilize the balance of unal-  
37 located film production tax credits from such pool, then all or part of  
38 the remainder, after such pending applications are considered, shall be  
39 made available for allocation for the empire state film post production  
40 credit pursuant to this section, subdivision thirty-two of section two  
41 hundred ten-B and subsection (qq) of section six hundred six of this  
42 chapter. The governor's office for motion picture and television devel-  
43 opment must notify taxpayers of their allocation year and include the  
44 allocation year on the certificate of tax credit. Taxpayers eligible to  
45 claim a credit must report the allocation year directly on their empire  
46 state film production credit tax form for each year a credit is claimed  
47 and include a copy of the certificate with their tax return. In the case  
48 of a qualified film that receives funds from additional pool 2, no  
49 empire state film production credit shall be claimed before the later of  
50 the taxable year the production of the qualified film is complete, or  
51 the taxable year immediately following the allocation year for which the  
52 film has been allocated credit by the governor's office for motion  
53 picture and television development.

54 S 3. Paragraph 6 of subdivision (a) of section 31 of the tax law, as  
55 amended by section 2 of part JJ of chapter 59 of the laws of 2014, is  
56 amended to read as follows:

1 (6) For the period two thousand fifteen through two thousand [nine-  
2 teen] TWENTY-TWO, in addition to the amount of credit established in  
3 paragraph two of subdivision (a) of this section, a taxpayer shall be  
4 allowed a credit equal to the product (or pro rata share of the product,  
5 in the case of a member of a partnership) of ten percent and the amount  
6 of wages or salaries paid to individuals directly employed (excluding  
7 those employed as writers, directors, music directors, producers and  
8 performers, including background actors with no scripted lines) for  
9 services performed by those individuals in one of the counties specified  
10 in this paragraph in connection with the post production work on a qual-  
11 ified film with a minimum budget of five hundred thousand dollars at a  
12 qualified post production facility in one of the counties listed in this  
13 paragraph. For purposes of this additional credit, the services must be  
14 performed in one or more of the following counties: Albany, Allegany,  
15 Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton,  
16 Cortland, Delaware, Erie, Essex, Franklin, Fulton, Genesee, Hamilton,  
17 Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery,  
18 Niagara, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Schenecta-  
19 dy, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Tioga, Tompkins,  
20 Wayne, Wyoming, or Yates. The aggregate amount of tax credits allowed  
21 pursuant to the authority of this paragraph shall be five million  
22 dollars each year during the period two thousand fifteen through two  
23 thousand [nineteen] TWENTY-TWO of the annual allocation made available  
24 to the empire state film post production credit pursuant to paragraph  
25 four of subdivision (e) of section twenty-four of this article. Such  
26 aggregate amount of credits shall be allocated by the governor's office  
27 for motion picture and television development among taxpayers in order  
28 of priority based upon the date of filing an application for allocation  
29 of post production credit with such office. If the total amount of allo-  
30 cated credits applied for under this paragraph in any year exceeds the  
31 aggregate amount of tax credits allowed for such year under this para-  
32 graph, such excess shall be treated as having been applied for on the  
33 first day of the next year. If the total amount of allocated tax credits  
34 applied for under this paragraph at the conclusion of any year is less  
35 than five million dollars, the remainder shall be treated as part of the  
36 annual allocation for two thousand seventeen made available to the  
37 empire state film post production credit pursuant to paragraph four of  
38 subdivision (e) of section twenty-four of this article. However, in no  
39 event may the total of the credits allocated under this paragraph and  
40 the credits allocated under paragraph five of subdivision (a) of section  
41 twenty-four of this article exceed five million dollars in any year  
42 during the period two thousand fifteen through two thousand [nineteen]  
43 TWENTY-TWO.

44 S 4. This act shall take effect immediately.

45

#### PART N

46 Section 1. This part enacts into law major components of legislation  
47 relating to the New York youth jobs program tax credit and the empire  
48 state apprenticeship tax credit program. Each component is wholly  
49 contained within a Subpart identified as Subparts A through B. The  
50 effective date for each particular provision contained within a Subpart  
51 is set forth in the last section of such Subpart. Any provision in any  
52 section contained within a Subpart, including the effective date of the  
53 Subpart, which makes reference to a section "of this act", when used in  
54 connection with that particular component, shall be deemed to mean and



1 refer to the corresponding section of the Subpart in which it is found.  
2 Section three of this part sets forth the general effective date of this  
3 part.

4 SUBPART A

5 Section 1. The section heading and subdivisions (a), (d) and (e) of  
6 section 25-a of the labor law, the section heading and subdivisions (d)  
7 and (e) as amended by section 1 of part AA of chapter 56 of the laws of  
8 2015, and subdivision (a) as amended by section 1 of part VV of chapter  
9 60 of the laws of 2016 are amended to read as follows:

10 Power to administer the [urban] NEW YORK youth jobs program tax cred-  
11 it.

12 (a) The commissioner is authorized to establish and administer the  
13 program established under this section to provide tax incentives to  
14 employers for employing at risk youth in part-time and full-time posi-  
15 tions. There will be [five] TEN distinct pools of tax incentives.  
16 Program one will cover tax incentives allocated for two thousand twelve  
17 and two thousand thirteen. Program two will cover tax incentives allo-  
18 cated in two thousand fourteen. Program three will cover tax incentives  
19 allocated in two thousand fifteen. Program four will cover tax incen-  
20 tives allocated in two thousand sixteen. Program five will cover tax  
21 incentives allocated in two thousand seventeen. PROGRAM SIX WILL COVER  
22 TAX INCENTIVES ALLOCATED IN TWO THOUSAND EIGHTEEN. PROGRAM SEVEN WILL  
23 COVER TAX INCENTIVES ALLOCATED IN TWO THOUSAND NINETEEN. PROGRAM EIGHT  
24 WILL COVER TAX INCENTIVES ALLOCATED IN TWO THOUSAND TWENTY. PROGRAM  
25 NINE WILL COVER TAX INCENTIVES ALLOCATED IN TWO THOUSAND TWENTY-ONE.  
26 PROGRAM TEN WILL COVER TAX INCENTIVES ALLOCATED IN TWO THOUSAND TWENTY-  
27 TWO. The commissioner is authorized to allocate up to twenty-five  
28 million dollars of tax credits under program one, ten million dollars of  
29 tax credits under program two, twenty million dollars of tax credits  
30 under program three, [and] fifty million dollars of tax credits under  
31 each of programs four and five, AND FORTY MILLION DOLLARS OF TAX CREDITS  
32 UNDER PROGRAMS SIX, SEVEN, EIGHT, NINE AND TEN.

33 (d) To participate in the program established under this section, an  
34 employer must submit an application (in a form prescribed by the commis-  
35 sioner) to the commissioner after January first, two thousand twelve but  
36 no later than November thirtieth, two thousand twelve for program one,  
37 after January first, two thousand fourteen but no later than November  
38 thirtieth, two thousand fourteen for program two, after January first,  
39 two thousand fifteen but no later than November thirtieth, two thousand  
40 fifteen for program three, after January first, two thousand sixteen but  
41 no later than November thirtieth, two thousand sixteen for program four,  
42 [and] after January first, two thousand seventeen but no later than  
43 November thirtieth, two thousand seventeen for program five, AFTER JANU-  
44 ARY FIRST, TWO THOUSAND EIGHTEEN BUT NO LATER THAN NOVEMBER THIRTIETH,  
45 TWO THOUSAND EIGHTEEN FOR PROGRAM SIX, AFTER JANUARY FIRST, TWO THOUSAND  
46 NINETEEN BUT NO LATER THAN NOVEMBER THIRTIETH, TWO THOUSAND NINETEEN FOR  
47 PROGRAM SEVEN, AFTER JANUARY FIRST, TWO THOUSAND TWENTY BUT NO LATER  
48 THAN NOVEMBER THIRTIETH, TWO THOUSAND TWENTY FOR PROGRAM EIGHT, AFTER  
49 JANUARY FIRST, TWO THOUSAND TWENTY-ONE BUT NO LATER THAN NOVEMBER THIR-  
50 TIETH, TWO THOUSAND TWENTY-ONE FOR PROGRAM NINE, AND AFTER JANUARY  
51 FIRST, TWO THOUSAND TWENTY-TWO BUT NO LATER THAN NOVEMBER THIRTIETH, TWO  
52 THOUSAND TWENTY-TWO FOR PROGRAM TEN. The qualified employees must start  
53 their employment on or after January first, two thousand twelve but no  
54 later than December thirty-first, two thousand twelve for program one,

1 on or after January first, two thousand fourteen but no later than  
2 December thirty-first, two thousand fourteen for program two, on or  
3 after January first, two thousand fifteen but no later than December  
4 thirty-first, two thousand fifteen for program three, on or after Janu-  
5 ary first, two thousand sixteen but no later than December thirty-first,  
6 two thousand sixteen for program four, [and] on or after January first,  
7 two thousand seventeen but no later than December thirty-first, two  
8 thousand seventeen for program five, ON OR AFTER JANUARY FIRST, TWO  
9 THOUSAND EIGHTEEN BUT NO LATER THAN DECEMBER THIRTY-FIRST, TWO THOUSAND  
10 EIGHTEEN FOR PROGRAM SIX, ON OR AFTER JANUARY FIRST, TWO THOUSAND NINE-  
11 TEEN BUT NO LATER THAN DECEMBER THIRTY-FIRST, TWO THOUSAND NINETEEN FOR  
12 PROGRAM SEVEN, ON OR AFTER JANUARY FIRST, TWO THOUSAND TWENTY BUT NO  
13 LATER THAN DECEMBER THIRTY-FIRST, TWO THOUSAND TWENTY FOR PROGRAM EIGHT,  
14 ON OR AFTER JANUARY FIRST, TWO THOUSAND TWENTY-ONE BUT NO LATER THAN  
15 DECEMBER THIRTY-FIRST, TWO THOUSAND TWENTY-ONE FOR PROGRAM NINE, AND ON  
16 OR AFTER JANUARY FIRST, TWO THOUSAND TWENTY-TWO BUT NO LATER THAN DECEM-  
17 BER THIRTY-FIRST, TWO THOUSAND TWENTY-TWO FOR PROGRAM TEN. The commis-  
18 sioner shall establish guidelines and criteria that specify requirements  
19 for employers to participate in the program including criteria for  
20 certifying qualified employees, ENSURING THAT THE PROCESS ESTABLISHED  
21 WILL MINIMIZE ANY UNDUE DELAY IN ISSUING THE CERTIFICATE OF ELIGIBILITY.  
22 Any regulations that the commissioner determines are necessary may be  
23 adopted on an emergency basis notwithstanding anything to the contrary  
24 in section two hundred two of the state administrative procedure act.  
25 Such requirements may include the types of industries that the employers  
26 are engaged in. The commissioner may give preference to employers that  
27 are engaged in demand occupations or industries, or in regional growth  
28 sectors, including BUT NOT LIMITED TO those identified by the regional  
29 economic development councils, such as clean energy, healthcare,  
30 advanced manufacturing and conservation. In addition, the commissioner  
31 shall give preference to employers who offer advancement and employee  
32 benefit packages to the qualified individuals.

33 (e) If, after reviewing the application submitted by an employer, the  
34 commissioner determines that such employer is eligible to participate in  
35 the program established under this section, the commissioner shall issue  
36 the employer a certificate of eligibility that establishes the employer  
37 as a qualified employer. The certificate of eligibility shall specify  
38 the maximum amount of tax credit that the employer will be allowed to  
39 claim AND THE PROGRAM YEAR UNDER WHICH IT CAN BE CLAIMED.

40 S 1-a. Subdivision (b) of section 25-a of the labor law is amended by  
41 adding a new paragraph 4 to read as follows:

42 (4) FOR PROGRAMS SIX, SEVEN, EIGHT, NINE AND TEN, THE TAX CREDIT UNDER  
43 EACH PROGRAM SHALL BE ALLOCATED AS FOLLOWS: (I) TWENTY MILLION DOLLARS  
44 OF TAX CREDIT FOR QUALIFIED EMPLOYEES; AND (II) TWENTY MILLION DOLLARS  
45 OF TAX CREDIT FOR INDIVIDUALS WHO MEET ALL OF THE REQUIREMENTS FOR A  
46 QUALIFIED EMPLOYEE EXCEPT FOR THE RESIDENCY REQUIREMENT OF SUBPARAGRAPH  
47 (II) OF PARAGRAPH TWO OF THIS SUBDIVISION, WHICH INDIVIDUALS SHALL BE  
48 DEEMED TO MEET THE RESIDENCY REQUIREMENTS OF SUBPARAGRAPH (II) OF PARA-  
49 GRAPH TWO OF THIS SUBDIVISION IF THEY RESIDE IN NEW YORK STATE.

50 S 2. The subdivision heading of subdivision 36 of section 210-B of the  
51 tax law, as amended by section 2 of part AA of chapter 56 of the laws of  
52 2015, is amended to read as follows:

53 [Urban] NEW YORK youth jobs program tax credit.

54 S 3. The subsection heading of subsection (tt) of section 606 of the  
55 tax law, as amended by section 3 of part AA of chapter 56 of the laws of  
56 2015, is amended to read as follows:

1 [Urban] NEW YORK youth jobs program tax credit.

2 S 4. Clause (xxxiii) of subparagraph (B) of paragraph 1 of subsection  
3 (i) of section 606 of the tax law, as amended by section 4 of part AA of  
4 chapter 56 of the laws of 2015, is amended to read as follows:

5 (xxxiii) [Urban] NEW YORK youth Amount of credit under  
6 jobs program tax credit subdivision thirty-six  
7 of section two hundred ten-B

8 S 5. This act shall take effect immediately.

9

#### SUBPART B

10 Section 1. The labor law is amended by adding a new section 25-c to  
11 read as follows:

12 S 25-C. POWER TO ADMINISTER THE EMPIRE STATE APPRENTICESHIP TAX CREDIT  
13 PROGRAM. (A) THE COMMISSIONER IS AUTHORIZED TO ESTABLISH AND ADMINISTER  
14 THE EMPIRE STATE APPRENTICESHIP TAX CREDIT PROGRAM TO PROVIDE TAX INCEN-  
15 TIVES TO CERTIFIED EMPLOYERS FOR EMPLOYING QUALIFIED APPRENTICES PURSU-  
16 ANT TO AN APPRENTICESHIP AGREEMENT REGISTERED WITH THE DEPARTMENT PURSU-  
17 ANT TO PARAGRAPH (D) OF SUBDIVISION ONE OF SECTION EIGHT HUNDRED ELEVEN  
18 OF THIS CHAPTER. THE COMMISSIONER IS AUTHORIZED TO ALLOCATE UP TO TEN  
19 MILLION DOLLARS OF TAX CREDITS ANNUALLY, BEGINNING IN TWO THOUSAND EIGH-  
20 TEEN AND ENDING BEFORE TWO THOUSAND TWENTY-THREE. ANY UNUSED ANNUAL  
21 ALLOCATION OF THE CREDIT SHALL BE MADE AVAILABLE IN EACH OF THE SUBSE-  
22 QUENT YEARS BEFORE TWO THOUSAND TWENTY-THREE.

23 (B) DEFINITIONS. (1) THE TERM "QUALIFIED APPRENTICESHIP AGREEMENT"  
24 MEANS AN APPRENTICESHIP AGREEMENT AS DEFINED BY SECTION EIGHT HUNDRED  
25 SIXTEEN OF THIS CHAPTER THAT HAS BEEN REGISTERED WITH, AND APPROVED BY,  
26 THE COMMISSIONER, FOR A TRADE OTHER THAN A CONSTRUCTION TRADE.

27 (2) THE TERM "QUALIFIED EMPLOYER" MEANS AN EMPLOYER THAT HAS OR  
28 PARTICIPATES IN A COMMISSIONER APPROVED REGISTERED APPRENTICESHIP  
29 PROGRAM.

30 (3) THE TERM "CONSTRUCTION" MEANS CONSTRUCTING, RECONSTRUCTING, ALTER-  
31 ING, MAINTAINING, MOVING, REHABILITATING, REPAIRING, RENOVATING, FABRI-  
32 CATING, SERVICING, OR DEMOLITION OF ANY BUILDING, STRUCTURE, OR IMPROVE-  
33 MENT, OR COMPONENT, OR RELATING TO THE EXCAVATION OF OR OTHER  
34 DEVELOPMENT OR IMPROVEMENT TO LAND.

35 (4) THE TERM "PARTICIPATING EMPLOYER" MEANS A QUALIFIED EMPLOYER THAT  
36 HAS APPLIED TO PARTICIPATE IN THE EMPIRE STATE APPRENTICESHIP TAX CREDIT  
37 PROGRAM AND RECEIVED A PRELIMINARY CERTIFICATE OF TAX CREDIT FROM THE  
38 COMMISSIONER. THE PRELIMINARY CERTIFICATE SHALL STATE THE MAXIMUM AMOUNT  
39 OF THE TAX CREDIT THAT THE EMPLOYER MAY BE ABLE TO CLAIM IF THE APPLI-  
40 CANT BECOMES A "CERTIFIED EMPLOYER."

41 (5) THE TERM "CERTIFIED EMPLOYER" MEANS A QUALIFIED EMPLOYER THAT HAS  
42 RECEIVED A FINAL CERTIFICATE OF ELIGIBILITY FROM THE COMMISSIONER AFTER  
43 THE COMMISSIONER HAS DETERMINED THAT THE QUALIFIED EMPLOYER HAS  
44 FULFILLED ALL THE REQUISITE ELIGIBILITY CRITERIA TO PARTICIPATE IN THE  
45 EMPIRE STATE APPRENTICESHIP TAX CREDIT PROGRAM ESTABLISHED IN THIS  
46 SECTION. THE FINAL CERTIFICATE OF ELIGIBILITY SHALL STATE THE ACTUAL  
47 AMOUNT OF TAX CREDIT THAT A CERTIFIED EMPLOYER IS ENTITLED TO CLAIM AND  
48 THE ALLOCATION YEAR OF THE CREDIT.

49 (6) THE TERM "QUALIFIED APPRENTICE" MEANS AN INDIVIDUAL EMPLOYED BY A  
50 PARTICIPATING EMPLOYER IN A FULL TIME POSITION FOR AT LEAST SIX MONTHS  
51 OF A CALENDAR YEAR PURSUANT TO A QUALIFIED APPRENTICESHIP AGREEMENT WITH  
52 A QUALIFIED EMPLOYER. NO INDIVIDUAL EMPLOYED BY A QUALIFIED EMPLOYER  
53 SHALL BE DEEMED A QUALIFIED APPRENTICE IF SUCH INDIVIDUAL HAS NOT

1 COMPLETED THEIR APPRENTICESHIP TRAINING PROGRAM WITHIN ONE YEAR OF THEIR  
2 EXPECTED DATE OF COMPLETION OF THEIR PROGRAM.

3 (7) THE TERM "DISADVANTAGED YOUTH" MEANS AN INDIVIDUAL:

4 (I) WHO IS BETWEEN THE AGES OF SIXTEEN AND TWENTY-FOUR WHEN THE YOUTH  
5 BEGINS THE APPRENTICESHIP; AND

6 (II) WHO IS LOW-INCOME OR AT-RISK, AS THOSE TERMS ARE DEFINED BY THE  
7 COMMISSIONER.

8 (8) THE TERM "MENTOR" MEANS AN INDIVIDUAL WHO PROVIDES INSTRUCTION,  
9 GUIDANCE, AND SUPPORT TO THE APPRENTICE ON A REGULAR BASIS THROUGHOUT  
10 THE APPRENTICE'S COMPLETION OF THE APPRENTICESHIP AS THE APPRENTICE  
11 SEEKS EMPLOYMENT IN THE FIELD OR INDUSTRY OF THE APPRENTICESHIP. THE  
12 GOAL OF THE MENTOR IS TO HELP TRAIN THE APPRENTICE IN HIS OR HER TRADE  
13 AND TO HELP THE APPRENTICE SUCCESSFULLY COMPLETE THE APPRENTICESHIP AND  
14 TO SECURE AND RETAIN EMPLOYMENT.

15 (C)(1) A CERTIFIED EMPLOYER SHALL BE ENTITLED TO A TAX CREDIT AGAINST  
16 INCOME OR FRANCHISE TAX FOR EACH QUALIFIED APPRENTICE. THE BASE CREDIT  
17 ALLOWED UNDER THIS PROGRAM SHALL BE COMPUTED AS FOLLOWS:

18 (A) (1) TWO THOUSAND DOLLARS FOR EACH FIRST YEAR APPRENTICE; (2) THREE  
19 THOUSAND DOLLARS FOR EACH SECOND YEAR APPRENTICE; (3) FOUR THOUSAND  
20 DOLLARS FOR EACH THIRD YEAR APPRENTICE; (4) FIVE THOUSAND DOLLARS FOR  
21 EACH FOURTH YEAR APPRENTICE; AND (5) SIX THOUSAND DOLLARS FOR EACH FIFTH  
22 YEAR APPRENTICE. THE APPRENTICE'S STATUS AS A FIRST, SECOND, THIRD,  
23 FOURTH OR FIFTH YEAR APPRENTICE WILL BE DETERMINED ON THE LAST DAY OF  
24 THE CALENDAR YEAR, OR IF THE APPRENTICE IS NO LONGER EMPLOYED BY THE  
25 PARTICIPATING EMPLOYER ON THE LAST DAY OF THE CALENDAR YEAR, ON THE LAST  
26 DAY OF THE APPRENTICE'S EMPLOYMENT WITH THE PARTICIPATING EMPLOYER; OR

27 (B) IN LIEU OF THE CREDIT SPECIFIED IN SUBPARAGRAPH (A) OF THIS PARA-  
28 GRAPH, FOR EACH QUALIFIED APPRENTICE WHO IS CONSIDERED A DISADVANTAGED  
29 YOUTH FOR EACH TAX YEAR: (1) FIVE THOUSAND DOLLARS FOR EACH FIRST YEAR  
30 APPRENTICE; (2) SIX THOUSAND DOLLARS FOR EACH SECOND YEAR APPRENTICE;  
31 AND (3) SEVEN THOUSAND DOLLARS FOR EACH THIRD, FOURTH, OR FIFTH YEAR  
32 APPRENTICE. THE APPRENTICE'S STATUS AS A FIRST, SECOND, THIRD, FOURTH OR  
33 FIFTH YEAR APPRENTICE WILL BE DETERMINED ON THE LAST DAY OF THE CALENDAR  
34 YEAR, OR IF THE APPRENTICE IS NO LONGER EMPLOYED BY THE PARTICIPATING  
35 EMPLOYER ON THE LAST DAY OF THE CALENDAR YEAR, ON THE LAST DAY OF THE  
36 APPRENTICE'S EMPLOYMENT WITH THE PARTICIPATING EMPLOYER. IF A DISADVAN-  
37 TAGED YOUTH BEGINS AN APPRENTICESHIP BEFORE THE AGE OF TWENTY-FIVE, A  
38 CERTIFIED EMPLOYER SHALL BE ELIGIBLE TO CONTINUE TO RECEIVE THE TAX  
39 CREDIT FOR SUCH YOUTH UNDER THIS SUBPARAGRAPH UNTIL THAT APPRENTICE  
40 COMPLETES THE APPRENTICESHIP.

41 (2) IF AN APPRENTICE HAS BEEN TRAINED IN HIS OR HER TRADE BY A MENTOR  
42 FOR THE ENTIRETY OF THE CALENDAR YEAR, THE BASE CREDIT AMOUNTS DESCRIBED  
43 IN PARAGRAPH ONE OF THIS SUBDIVISION SHALL BE INCREASED BY FIVE HUNDRED  
44 DOLLARS.

45 (3) THE CERTIFIED EMPLOYER SHALL NOT BE ALLOWED A TAX CREDIT UNDER  
46 THIS PROGRAM FOR ANY APPRENTICE, IF THAT APPRENTICE IS THE BASIS FOR ANY  
47 OTHER STATE TAX CREDIT.

48 (D) APPLICATION AND APPROVAL PROCESS. (1) TO PARTICIPATE IN THE  
49 PROGRAM ESTABLISHED UNDER THIS SECTION, A QUALIFIED EMPLOYER MUST SUBMIT  
50 TO THE COMMISSIONER AN APPLICATION IN A FORM PRESCRIBED BY THE COMMIS-  
51 SIONER. AS PART OF SUCH APPLICATION, A QUALIFIED EMPLOYER MUST:

52 (A) AGREE TO ALLOW THE DEPARTMENT OF TAXATION AND FINANCE TO SHARE ITS  
53 TAX INFORMATION WITH THE DEPARTMENT. HOWEVER, ANY INFORMATION SHARED AS  
54 A RESULT OF THIS AGREEMENT SHALL NOT BE AVAILABLE FOR DISCLOSURE OR  
55 INSPECTION UNDER THE STATE FREEDOM OF INFORMATION LAW.

1 (B) ALLOW THE DEPARTMENT AND ITS AGENTS ACCESS TO ANY AND ALL BOOKS  
2 AND RECORDS THE DEPARTMENT MAY REQUIRE TO MONITOR COMPLIANCE.

3 (2) AFTER REVIEWING A QUALIFIED EMPLOYER'S COMPLETED APPLICATION AND  
4 DETERMINING THAT THE QUALIFIED EMPLOYER WILL MEET THE ELIGIBILITY CONDI-  
5 TIONS SET FORTH UNDER THIS SECTION AND ANY APPLICABLE REGULATIONS  
6 PROMULGATED BY THE COMMISSIONER, THE COMMISSIONER MAY ADMIT THE APPLI-  
7 CANT INTO THE PROGRAM AS A PARTICIPATING EMPLOYER AND PROVIDE THE APPLI-  
8 CANT WITH A PRELIMINARY CERTIFICATE OF ELIGIBILITY ESTABLISHING THE  
9 QUALIFIED EMPLOYER AS A PARTICIPATING EMPLOYER AND STATING THE MAXIMUM  
10 AMOUNT OF CREDIT FOR WHICH THE APPLICANT MAY BE ELIGIBLE.

11 (3) TO RECEIVE A FINAL CERTIFICATE OF TAX CREDIT, THE PARTICIPATING  
12 EMPLOYER MUST ANNUALLY SUBMIT A FINAL REPORT TO THE COMMISSIONER, IN A  
13 FORM PRESCRIBED BY THE COMMISSIONER. THE REPORT MUST DEMONSTRATE THAT  
14 THE APPLICANT HAS SATISFIED ALL ELIGIBILITY REQUIREMENTS AND PROVIDED  
15 ALL THE INFORMATION NECESSARY FOR THE COMMISSIONER TO COMPUTE AN ACTUAL  
16 AMOUNT OF CREDIT ALLOWED FOR THAT CALENDAR YEAR, NOTWITHSTANDING THE  
17 FACT THAT A PARTICIPATING EMPLOYER'S TAXABLE YEAR MAY BE A FISCAL YEAR,  
18 AS DEFINED IN SUBDIVISION TEN OF SECTION TWO HUNDRED EIGHT OF THE TAX  
19 LAW.

20 (4) AFTER REVIEWING THE FINAL REPORT AND FINDING IT SUFFICIENT, THE  
21 COMMISSIONER SHALL CERTIFY THE PARTICIPATING EMPLOYER AS A CERTIFIED  
22 EMPLOYER AND ISSUE A FINAL CERTIFICATE OF TAX CREDIT. SUCH CERTIFICATE  
23 SHALL INCLUDE, BUT NOT BE LIMITED TO, THE FOLLOWING INFORMATION:

24 (A) THE NAME AND EMPLOYER IDENTIFICATION NUMBER OF THE CERTIFIED  
25 EMPLOYER;

26 (B) THE ACTUAL AMOUNT OF CREDIT TO WHICH THE CERTIFIED EMPLOYER IS  
27 ENTITLED FOR THAT CALENDAR YEAR, WHICH ACTUAL AMOUNT CANNOT EXCEED THE  
28 AMOUNT OF CREDIT LISTED ON THE PRELIMINARY CERTIFICATE BUT MAY BE LESS  
29 THAN SUCH AMOUNT;

30 (C) THE ALLOCATION YEAR OF THE CREDIT.

31 (5) IF A CERTIFIED EMPLOYER'S TAXABLE YEAR IS A FISCAL YEAR, IT SHALL  
32 BE ENTITLED TO CLAIM THE CREDIT ON THE RETURN FOR THE FISCAL YEAR THAT  
33 INCLUDES THE LAST DAY OF THE CALENDAR YEAR COVERED BY THE FINAL CERTIF-  
34 ICATE OF TAX CREDIT.

35 (E) THE COMMISSIONER SHALL ESTABLISH GUIDELINES AND CRITERIA THAT  
36 SPECIFY REQUIREMENTS FOR QUALIFIED EMPLOYERS TO PARTICIPATE IN THE  
37 PROGRAM INCLUDING CRITERIA FOR CERTIFYING QUALIFIED APPRENTICES. ANY  
38 REGULATIONS THAT THE COMMISSIONER DETERMINES ARE NECESSARY AND ARE  
39 CONSISTENT WITH THE PURPOSE OF THIS ARTICLE MAY BE ADOPTED ON AN EMER-  
40 GENY BASIS NOTWITHSTANDING ANY PROVISIONS TO THE CONTRARY IN THE STATE  
41 ADMINISTRATIVE PROCEDURE ACT. THE COMMISSIONER MAY GIVE PREFERENCE TO  
42 QUALIFIED EMPLOYERS THAT HIRE AND TRAIN DISADVANTAGED YOUTH THROUGH  
43 QUALIFIED APPRENTICESHIP AGREEMENTS, AND QUALIFIED EMPLOYERS THAT ARE  
44 ENGAGED IN DEMAND OCCUPATIONS OR INDUSTRIES, OR IN REGIONAL GROWTH  
45 SECTORS, INCLUDING THOSE IDENTIFIED BY THE DEPARTMENT, SUCH AS CLEAN  
46 ENERGY, HEALTH CARE, TECHNOLOGY, INCLUDING SOFTWARE ENGINEERING AND WEB  
47 DEVELOPMENT, ADVANCED MANUFACTURING AND CONSERVATION. IN ADDITION, THE  
48 COMMISSIONER MAY GIVE PREFERENCE TO EMPLOYERS THAT EMPLOY APPRENTICES IN  
49 NEWLY ESTABLISHED APPRENTICESHIP PROGRAMS. THE COMMISSIONER ALSO MAY  
50 TAKE THE FOLLOWING FACTORS INTO CONSIDERATION WHEN EVALUATING WHETHER TO  
51 APPROVE AN APPLICATION IN A YEAR SUBSEQUENT TO THE YEAR IN WHICH A QUAL-  
52 IFIED EMPLOYER WAS DETERMINED TO BE A CERTIFIED EMPLOYER:

53 (1) THE LENGTH OF THE QUALIFIED APPRENTICESHIP AGREEMENT THE EMPLOYER  
54 HAS ENTERED INTO;

1 (2) HOW MANY APPRENTICES HAVE GRADUATED FROM THE APPRENTICESHIP  
2 PROGRAM TO WHICH THE QUALIFIED APPRENTICE EMPLOYED BY THE EMPLOYER  
3 BELONGS;

4 (3) HOW MANY APPRENTICES IN THE APPRENTICESHIP PROGRAM THE QUALIFIED  
5 EMPLOYER HAS HIRED; AND

6 (4) ANY OTHER FACTORS THE COMMISSIONER DEEMS RELEVANT.

7 (F) THE COMMISSIONER SHALL ANNUALLY PUBLISH A REPORT. SUCH REPORT MUST  
8 CONTAIN THE NAMES AND ADDRESSES OF ANY CERTIFIED EMPLOYER ISSUED A FINAL  
9 CERTIFICATE OF ELIGIBILITY UNDER THIS SECTION, THE WORK LOCATION OF EACH  
10 APPRENTICE GENERATING CREDIT, THE AMOUNT OF EMPIRE STATE APPRENTICESHIP  
11 TAX CREDIT ALLOWED TO THE CERTIFIED EMPLOYER AS SPECIFIED ON SUCH FINAL  
12 CERTIFICATE OF ELIGIBILITY, AND THE NUMBER OF EACH OF THE FIRST YEAR  
13 APPRENTICES, SECOND YEAR APPRENTICES, THIRD YEAR APPRENTICES, FOURTH  
14 YEAR APPRENTICES, AND FIFTH YEAR APPRENTICES, AND HOW MANY OF EACH OF  
15 THOSE TYPES ARE CONSIDERED DISADVANTAGED YOUTH. THE COMMISSIONER SHALL  
16 INCLUDE IN SUCH REPORT THE RELEVANT INDUSTRIES OF CERTIFIED EMPLOYERS  
17 AND RECOMMENDATIONS FOR LEGISLATIVE OR OTHER ACTION TO FURTHER THE  
18 INTENT AND PURPOSE OF THE EMPIRE STATE APPRENTICESHIP TAX CREDIT  
19 PROGRAM.

20 (G) THE COMMISSIONER SHALL PROMOTE, PUBLISH AND DISSEMINATE INFORMA-  
21 TION CONCERNING THE EMPIRE STATE APPRENTICESHIP TAX CREDIT AND OTHER  
22 AVAILABLE FUNDING, PARTICULARLY TARGETING INDUSTRIES AND FIELDS OF BUSI-  
23 NESS NOT CURRENTLY TAKING ADVANTAGE OF APPRENTICESHIPS.

24 S 2. Section 210-B of the tax law is amended by adding a new subdivi-  
25 sion 49 to read as follows:

26 49. EMPIRE STATE APPRENTICESHIP TAX CREDIT. (A) A TAXPAYER THAT HAS  
27 BEEN CERTIFIED BY THE COMMISSIONER OF LABOR AS A CERTIFIED EMPLOYER  
28 PURSUANT TO SECTION TWENTY-FIVE-C OF THE LABOR LAW SHALL BE ALLOWED A  
29 CREDIT AGAINST THE TAX IMPOSED BY THIS ARTICLE EQUAL TO THE AMOUNT SPEC-  
30 IFIED UNDER SUBDIVISION (C) OF SECTION TWENTY-FIVE-C OF THE LABOR LAW.  
31 IN NO EVENT SHALL THE TAXPAYER BE ALLOWED A CREDIT GREATER THAN THE  
32 AMOUNT OF THE CREDIT LISTED ON THE FINAL CERTIFICATE OF ELIGIBILITY.

33 (B) THE CREDIT ALLOWED UNDER THIS SUBDIVISION FOR ANY TAXABLE YEAR MAY  
34 NOT REDUCE THE TAX DUE FOR THAT YEAR TO LESS THAN THE AMOUNT PRESCRIBED  
35 IN PARAGRAPH (D) OF SUBDIVISION ONE OF SECTION TWO HUNDRED TEN OF THIS  
36 ARTICLE. HOWEVER, IF THE AMOUNT OF THE CREDIT ALLOWED UNDER THIS SUBDI-  
37 VISION FOR ANY TAXABLE YEAR REDUCES THE TAX TO THAT AMOUNT OR IF THE  
38 TAXPAYER OTHERWISE PAYS TAX BASED ON THE FIXED DOLLAR MINIMUM AMOUNT,  
39 ANY AMOUNT OF CREDIT NOT DEDUCTIBLE IN THAT TAXABLE YEAR WILL BE TREATED  
40 AS AN OVERPAYMENT OF TAX TO BE CREDITED OR REFUNDED IN ACCORDANCE WITH  
41 THE PROVISIONS OF SECTION ONE THOUSAND EIGHTY-SIX OF THIS CHAPTER.  
42 PROVIDED, HOWEVER, NO INTEREST WILL BE PAID THEREON.

43 S 3. Section 606 of the tax law is amended by adding a new subsection  
44 (vvv) to read as follows:

45 (VVV) EMPIRE STATE APPRENTICESHIP TAX CREDIT. (1)(A) A TAXPAYER THAT  
46 HAS BEEN CERTIFIED BY THE COMMISSIONER OF LABOR AS A CERTIFIED EMPLOYER  
47 PURSUANT TO SECTION TWENTY-FIVE-C OF THE LABOR LAW SHALL BE ALLOWED A  
48 CREDIT AGAINST THE TAX IMPOSED BY THIS ARTICLE EQUAL TO THE AMOUNT SPEC-  
49 IFIED UNDER SUBDIVISION (C) OF SECTION TWENTY-FIVE-C OF THE LABOR LAW.  
50 IN NO EVENT SHALL THE TAXPAYER BE ALLOWED A CREDIT GREATER THAN THE  
51 AMOUNT OF THE CREDIT LISTED ON THE FINAL CERTIFICATE OF TAX CREDIT.

52 (B) A TAXPAYER THAT IS A PARTNER IN A PARTNERSHIP, MEMBER OF A LIMITED  
53 LIABILITY COMPANY OR SHAREHOLDER IN AN S CORPORATION THAT HAS BEEN  
54 CERTIFIED BY THE COMMISSIONER OF LABOR AS A CERTIFIED EMPLOYER PURSUANT  
55 TO SECTION TWENTY-FIVE-C OF THE LABOR LAW SHALL BE ALLOWED ITS PRO RATA

1 SHARE OF THE CREDIT EARNED BY THE PARTNERSHIP, LIMITED LIABILITY COMPANY  
2 OR S CORPORATION.

3 (2) IF THE AMOUNT OF THE CREDIT ALLOWED UNDER THIS SUBSECTION EXCEEDS  
4 THE TAXPAYER'S TAX FOR THE TAXABLE YEAR, ANY AMOUNT OF CREDIT NOT DEDUC-  
5 TIBLE IN THAT TAXABLE YEAR WILL BE TREATED AS AN OVERPAYMENT OF TAX TO  
6 BE CREDITED OR REFUNDED IN ACCORDANCE WITH THE PROVISIONS OF SECTION SIX  
7 HUNDRED EIGHTY-SIX OF THIS ARTICLE. PROVIDED, HOWEVER, NO INTEREST WILL  
8 BE PAID THEREON.

9 S 4. Subparagraph (B) of paragraph 1 of subsection (i) of section 606  
10 of the tax law is amended by adding a new clause (xlirii) to read as  
11 follows:

12 (XLIIII) EMPIRE STATE APPRENTICESHIP	AMOUNT OF CREDIT UNDER
13 TAX CREDIT UNDER SUBSECTION (VVV)	SUBDIVISION FORTY-NINE OF
14	SECTION TWO HUNDRED TEN-B

15 S 5. This act shall take effect immediately and shall apply to taxable  
16 years commencing on or after January 1, 2018.

17 S 2. Severability. If any clause, sentence, paragraph, subdivision or  
18 section of this part shall be adjudged by any court of competent juris-  
19 diction to be invalid, such judgment shall not affect, impair, or inval-  
20 idate the remainder thereof, but shall be confined in its operation to  
21 the clause, sentence, paragraph, subdivision or section thereof directly  
22 involved in the controversy in which such judgment shall have been  
23 rendered. It is hereby declared to be the intent of the legislature that  
24 this part would have been enacted even if such invalid provisions had  
25 not been included herein.

26 S 3. This act shall take effect immediately; provided, however, that  
27 the applicable effective date of Subparts A and B of this part shall be  
28 as specifically set forth in the last section of such Subparts.

29 PART O

30 Section 1. Subdivision 6 of section 187-b of the tax law, as amended  
31 by section 1 of part G of chapter 59 of the laws of 2013, is amended to  
32 read as follows:

33 6. Termination. The credit allowed by subdivision two of this section  
34 shall not apply in taxable years beginning after December thirty-first,  
35 two thousand [seventeen] TWENTY-TWO.

36 S 2. Paragraph (f) of subdivision 30 of section 210-B of the tax law,  
37 as added by section 17 of part A of chapter 59 of the laws of 2014, is  
38 amended to read as follows:

39 (f) Termination. The credit allowed by paragraph (b) of this subdivi-  
40 sion shall not apply in taxable years beginning after December thirty-  
41 first, two thousand [seventeen] TWENTY-TWO.

42 S 3. Paragraph 6 of subsection (p) of section 606 of the tax law, as  
43 amended by section 3 of part G of chapter 59 of the laws of 2013, is  
44 amended to read as follows:

45 (6) Termination. The credit allowed by this subsection shall not apply  
46 in taxable years beginning after December thirty-first, two thousand  
47 [seventeen] TWENTY-TWO.

48 S 4. This act shall take effect immediately.

49 PART P

50 Section 1. Legislative findings. The legislature finds and declares  
51 that this act does not alter the meaning of the statutes amended herein;  
52 instead, it is the intent of the legislature to confirm the long-stand-

1 ing position of the department of taxation and finance interpreting  
2 these statutes, as well as relevant prior statutes, as not allowing the  
3 investment tax credit where tangible personal property and other tangi-  
4 ble property is principally used by the taxpayer in the production or  
5 distribution of electricity or steam, the delivery of natural gas after  
6 extraction from wells and the production and delivery of water through  
7 pipes and mains.

8 S 2. Subparagraph (i) of paragraph (b) of subdivision 1 of section  
9 210-B of the tax law, as amended by section 31 of part T of chapter 59  
10 of the laws of 2015, is amended to read as follows:

11 (i) A credit shall be allowed under this subdivision with respect to  
12 tangible personal property and other tangible property, including build-  
13 ings and structural components of buildings, which are: depreciable  
14 pursuant to section one hundred sixty-seven of the internal revenue  
15 code, have a useful life of four years or more, are acquired by purchase  
16 as defined in section one hundred seventy-nine (d) of the internal  
17 revenue code, have a situs in this state and are (A) principally used by  
18 the taxpayer in the production of goods by manufacturing, processing,  
19 assembling, refining, mining, extracting, farming, agriculture, horti-  
20 culture, floriculture, viticulture or commercial fishing, (B) industrial  
21 waste treatment facilities or air pollution control facilities, used in  
22 the taxpayer's trade or business, (C) research and development property,  
23 or (D) principally used in the ordinary course of the taxpayer's trade  
24 or business as a broker or dealer in connection with the purchase or  
25 sale (which shall include but not be limited to the issuance, entering  
26 into, assumption, offset, assignment, termination, or transfer) of  
27 stocks, bonds or other securities as defined in section four hundred  
28 seventy-five (c)(2) of the Internal Revenue Code, or of commodities as  
29 defined in section four hundred seventy-five (e) of the Internal Revenue  
30 Code, (E) principally used in the ordinary course of the taxpayer's  
31 trade or business of providing investment advisory services for a regu-  
32 lated investment company as defined in section eight hundred fifty-one  
33 of the Internal Revenue Code, or lending, loan arrangement or loan orig-  
34 ination services to customers in connection with the purchase or sale  
35 (which shall include but not be limited to the issuance, entering into,  
36 assumption, offset, assignment, termination, or transfer) of securities  
37 as defined in section four hundred seventy-five (c)(2) of the Internal  
38 Revenue Code, (F) principally used in the ordinary course of the taxpay-  
39 er's business as an exchange registered as a national securities  
40 exchange within the meaning of sections 3(a)(1) and 6(a) of the Securi-  
41 ties Exchange Act of 1934 or a board of trade as defined in subparagraph  
42 one of paragraph (a) of section fourteen hundred ten of the not-for-pro-  
43 fit corporation law or as an entity that is wholly owned by one or more  
44 such national securities exchanges or boards of trade and that provides  
45 automation or technical services thereto, or (G) principally used as a  
46 qualified film production facility including qualified film production  
47 facilities having a situs in an empire zone designated as such pursuant  
48 to article eighteen-B of the general municipal law, where the taxpayer  
49 is providing three or more services to any qualified film production  
50 company using the facility, including such services as a studio lighting  
51 grid, lighting and grip equipment, multi-line phone service, broadband  
52 information technology access, industrial scale electrical capacity,  
53 food services, security services, and heating, ventilation and air  
54 conditioning. For purposes of clauses (D), (E) and (F) of this subpara-  
55 graph, property purchased by a taxpayer affiliated with a regulated  
56 broker, dealer, registered investment advisor, national securities



1 exchange or board of trade, is allowed a credit under this subdivision  
2 if the property is used by its affiliated regulated broker, dealer,  
3 registered investment advisor, national securities exchange or board of  
4 trade in accordance with this subdivision. For purposes of determining  
5 if the property is principally used in qualifying uses, the uses by the  
6 taxpayer described in clauses (D) and (E) of this subparagraph may be  
7 aggregated. In addition, the uses by the taxpayer, its affiliated regu-  
8 lated broker, dealer and registered investment advisor under either or  
9 both of those clauses may be aggregated. Provided, however, a taxpayer  
10 shall not be allowed the credit provided by clauses (D), (E) and (F) of  
11 this subparagraph unless the property is first placed in service before  
12 October first, two thousand fifteen and (i) eighty percent or more of  
13 the employees performing the administrative and support functions  
14 resulting from or related to the qualifying uses of such equipment are  
15 located in this state or (ii) the average number of employees that  
16 perform the administrative and support functions resulting from or  
17 related to the qualifying uses of such equipment and are located in this  
18 state during the taxable year for which the credit is claimed is equal  
19 to or greater than ninety-five percent of the average number of employ-  
20 ees that perform these functions and are located in this state during  
21 the thirty-six months immediately preceding the year for which the cred-  
22 it is claimed, or (iii) the number of employees located in this state  
23 during the taxable year for which the credit is claimed is equal to or  
24 greater than ninety percent of the number of employees located in this  
25 state on December thirty-first, nineteen hundred ninety-eight or, if the  
26 taxpayer was not a calendar year taxpayer in nineteen hundred ninety-  
27 eight, the last day of its first taxable year ending after December  
28 thirty-first, nineteen hundred ninety-eight. If the taxpayer becomes  
29 subject to tax in this state after the taxable year beginning in nine-  
30 teen hundred ninety-eight, then the taxpayer is not required to satisfy  
31 the employment test provided in the preceding sentence of this subpara-  
32 graph for its first taxable year. For purposes of clause (iii) of this  
33 subparagraph the employment test will be based on the number of employ-  
34 ees located in this state on the last day of the first taxable year the  
35 taxpayer is subject to tax in this state. If the uses of the property  
36 must be aggregated to determine whether the property is principally used  
37 in qualifying uses, then either each affiliate using the property must  
38 satisfy this employment test or this employment test must be satisfied  
39 through the aggregation of the employees of the taxpayer, its affiliated  
40 regulated broker, dealer, and registered investment advisor using the  
41 property. For purposes of [this subdivision, the term "goods" shall not  
42 include electricity] CLAUSE (A) OF THIS SUBPARAGRAPH, TANGIBLE PERSONAL  
43 PROPERTY AND OTHER TANGIBLE PROPERTY SHALL NOT INCLUDE PROPERTY PRINCI-  
44 PALLY USED BY THE TAXPAYER IN THE PRODUCTION OR DISTRIBUTION OF ELEC-  
45 TRICITY, NATURAL GAS AFTER EXTRACTION FROM WELLS, STEAM, OR WATER DELIV-  
46 ERED THROUGH PIPES AND MAINS.

47 S 3. Subparagraph (A) of paragraph 2 of subsection (a) of section 606  
48 of the tax law, as amended by chapter 637 of the laws of 2008, is  
49 amended to read as follows:

50 (A) A credit shall be allowed under this subsection with respect to  
51 tangible personal property and other tangible property, including build-  
52 ings and structural components of buildings, which are: depreciable  
53 pursuant to section one hundred sixty-seven of the internal revenue  
54 code, have a useful life of four years or more, are acquired by purchase  
55 as defined in section one hundred seventy-nine (d) of the internal  
56 revenue code, have a situs in this state and are (i) principally used by

1 the taxpayer in the production of goods by manufacturing, processing,  
2 assembling, refining, mining, extracting, farming, agriculture, horti-  
3 culture, floriculture, viticulture or commercial fishing, (ii) indus-  
4 trial waste treatment facilities or air pollution control facilities,  
5 used in the taxpayer's trade or business, (iii) research and development  
6 property, (iv) principally used in the ordinary course of the taxpayer's  
7 trade or business as a broker or dealer in connection with the purchase  
8 or sale (which shall include but not be limited to the issuance, enter-  
9 ing into, assumption, offset, assignment, termination, or transfer) of  
10 stocks, bonds or other securities as defined in section four hundred  
11 seventy-five (c)(2) of the Internal Revenue Code, or of commodities as  
12 defined in section 475(e) of the Internal Revenue Code, (v) principally  
13 used in the ordinary course of the taxpayer's trade or business of  
14 providing investment advisory services for a regulated investment compa-  
15 ny as defined in section eight hundred fifty-one of the Internal Revenue  
16 Code, or lending, loan arrangement or loan origination services to  
17 customers in connection with the purchase or sale (which shall include  
18 but not be limited to the issuance, entering into, assumption, offset,  
19 assignment, termination, or transfer) of securities as defined in  
20 section four hundred seventy-five (c)(2) of the Internal Revenue Code,  
21 or (vi) principally used as a qualified film production facility includ-  
22 ing qualified film production facilities having a situs in an empire  
23 zone designated as such pursuant to article eighteen-B of the general  
24 municipal law, where the taxpayer is providing three or more services to  
25 any qualified film production company using the facility, including such  
26 services as a studio lighting grid, lighting and grip equipment, multi-  
27 line phone service, broadband information technology access, industrial  
28 scale electrical capacity, food services, security services, and heat-  
29 ing, ventilation and air conditioning. For purposes of clauses (iv) and  
30 (v) of this subparagraph, property purchased by a taxpayer affiliated  
31 with a regulated broker, dealer, or registered investment adviser is  
32 allowed a credit under this subsection if the property is used by its  
33 affiliated regulated broker, dealer or registered investment adviser in  
34 accordance with this subsection. For purposes of determining if the  
35 property is principally used in qualifying uses, the uses by the taxpay-  
36 er described in clauses (iv) and (v) of this subparagraph may be aggre-  
37 gated. In addition, the uses by the taxpayer, its affiliated regulated  
38 broker, dealer and registered investment adviser under either or both of  
39 those clauses may be aggregated. Provided, however, a taxpayer shall not  
40 be allowed the credit provided by clauses (iv) and (v) of this subpara-  
41 graph unless (I) eighty percent or more of the employees performing the  
42 administrative and support functions resulting from or related to the  
43 qualifying uses of such equipment are located in this state, or (II) the  
44 average number of employees that perform the administrative and support  
45 functions resulting from or related to the qualifying uses of such  
46 equipment and are located in this state during the taxable year for  
47 which the credit is claimed is equal to or greater than ninety-five  
48 percent of the average number of employees that perform these functions  
49 and are located in this state during the thirty-six months immediately  
50 preceding the year for which the credit is claimed, or (III) the number  
51 of employees located in this state during the taxable year for which the  
52 credit is claimed is equal to or greater than ninety percent of the  
53 number of employees located in this state on December thirty-first,  
54 nineteen hundred ninety-eight or, if the taxpayer was not a calendar  
55 year taxpayer in nineteen hundred ninety-eight, the last day of its  
56 first taxable year ending after December thirty-first, nineteen hundred

1 ninety-eight. If the taxpayer becomes subject to tax in this state after  
2 the taxable year beginning in nineteen hundred ninety-eight, then the  
3 taxpayer is not required to satisfy the employment test provided in the  
4 preceding sentence of this subparagraph for its first taxable year. For  
5 the purposes of clause (III) of this subparagraph the employment test  
6 will be based on the number of employees located in this state on the  
7 last day of the first taxable year the taxpayer is subject to tax in  
8 this state. If the uses of the property must be aggregated to determine  
9 whether the property is principally used in qualifying uses, then either  
10 each affiliate using the property must satisfy this employment test or  
11 this employment test must be satisfied through the aggregation of the  
12 employees of the taxpayer, its affiliated regulated broker, dealer, and  
13 registered investment adviser using the property. For purposes of [this  
14 subsection, the term "goods" shall not include electricity] CLAUSE (I)  
15 OF THIS SUBPARAGRAPH, TANGIBLE PERSONAL PROPERTY AND OTHER TANGIBLE  
16 PROPERTY SHALL NOT INCLUDE PROPERTY PRINCIPALLY USED BY THE TAXPAYER IN  
17 THE PRODUCTION OR DISTRIBUTION OF ELECTRICITY, NATURAL GAS AFTER  
18 EXTRACTION FROM WELLS, STEAM, OR WATER DELIVERED THROUGH PIPES AND  
19 MAINS.

20 S 4. This act shall take effect immediately.

21

#### PART Q

22 Section 1. Legislative findings. The legislature finds it necessary to  
23 revise a decision of the tax appeals tribunal that disturbed the long-  
24 standing policy of the department of taxation and finance that single  
25 member limited liability companies that are treated as disregarded enti-  
26 ties for federal income tax purposes also would be treated as disre-  
27 garded entities for purposes of determining eligibility of the owners of  
28 such entities for tax credits allowed under article 9, 9-A, 22, 32  
29 (prior to its repeal) or 33 of the tax law. The decision of the tax  
30 appeals tribunal, if allowed to stand, will result in the denial of tax  
31 credits, such as empire zone tax credits, to taxpayers who in prior  
32 years received those credits.

33 S 2. The tax law is amended by adding a new section 43 to read as  
34 follows:

35 S 43. SINGLE MEMBER LIMITED LIABILITY COMPANIES AND ELIGIBILITY FOR  
36 TAX CREDITS. A LIMITED LIABILITY COMPANY THAT HAS A SINGLE MEMBER AND IS  
37 DISREGARDED AS AN ENTITY SEPARATE FROM ITS OWNER FOR FEDERAL INCOME TAX  
38 PURPOSES (WITHOUT REFERENCE TO ANY SPECIAL RULES RELATED TO THE IMPOSI-  
39 TION OF CERTAIN FEDERAL TAXES, INCLUDING BUT NOT LIMITED TO CERTAIN  
40 EMPLOYMENT AND EXCISE TAXES) SHALL BE DISREGARDED AS AN ENTITY SEPARATE  
41 FROM ITS OWNER FOR PURPOSES OF DETERMINING WHETHER OR NOT THE TAXPAYER  
42 THAT IS THE SINGLE MEMBER OF SUCH LIMITED LIABILITY COMPANY SATISFIES  
43 THE REQUIREMENTS TO BE ELIGIBLE FOR ANY TAX CREDIT ALLOWED UNDER ARTICLE  
44 NINE, NINE-A, TWENTY-TWO OR THIRTY-THREE OF THIS CHAPTER OR ALLOWED  
45 UNDER ARTICLE THIRTY-TWO OF THIS CHAPTER PRIOR TO THE REPEAL OF SUCH  
46 ARTICLE. SUCH REQUIREMENTS, INCLUDING BUT NOT LIMITED TO ANY NECESSARY  
47 CERTIFICATION, EMPLOYMENT OR INVESTMENT THRESHOLDS, PAYMENT OBLIGATIONS,  
48 AND ANY TIME PERIOD FOR ELIGIBILITY, SHALL BE IMPOSED ON THE TAXPAYER  
49 AND THE DETERMINATION OF WHETHER OR NOT SUCH REQUIREMENTS HAVE BEEN  
50 SATISFIED AND THE COMPUTATION OF THE CREDIT SHALL BE MADE BY DEEMING  
51 SUCH TAXPAYER AND SUCH LIMITED LIABILITY COMPANY TO BE A SINGLE ENTITY.  
52 IF THE TAXPAYER IS THE SINGLE MEMBER OF MORE THAN ONE LIMITED LIABILITY  
53 COMPANY THAT IS DISREGARDED AS AN ENTITY SEPARATE FROM ITS OWNER, THE  
54 DETERMINATION OF WHETHER OR NOT THE REQUIREMENTS TO BE ELIGIBLE FOR ANY

1 TAX CREDIT ALLOWED UNDER ARTICLE NINE, NINE-A, TWENTY-TWO OR  
 2 THIRTY-THREE OF THIS CHAPTER OR ALLOWED UNDER ARTICLE THIRTY-TWO OF THIS  
 3 CHAPTER PRIOR TO THE REPEAL OF SUCH ARTICLE HAVE BEEN SATISFIED AND THE  
 4 COMPUTATION OF THE CREDIT SHALL BE MADE BY DEEMING SUCH TAXPAYER AND  
 5 SUCH LIMITED LIABILITY COMPANIES TO BE A SINGLE ENTITY.

6 S 3. This act shall take effect immediately; provided however, that  
 7 section 43 of the tax law, as added by section two of this act, shall  
 8 apply to all taxable years for which the statute of limitations for  
 9 seeking a refund or assessing additional tax is still open.

10 PART R

11 Section 1. Subparagraph (B) of paragraph 1 of subsection (a) of  
 12 section 601 of the tax law is REPEALED and a new subparagraph (B) is  
 13 added to read as follows:

14 (B)(I) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND EIGHTEEN THE  
 15 FOLLOWING RATES SHALL APPLY:

16 IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
17 NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE
18	INCOME
19 OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
20	\$17,150
21 OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
22	\$23,600
23 OVER \$27,900 BUT NOT OVER \$43,000	\$1,202 PLUS 5.9% OF EXCESS OVER
24	\$27,900
25 OVER \$43,000 BUT NOT OVER \$161,550	\$2,093 PLUS 6.33% OF EXCESS OVER
26	\$43,000
27 OVER \$161,550 BUT NOT OVER \$323,200	\$9,597 PLUS 6.57% OF EXCESS OVER
28	\$161,550
29 OVER \$323,200 BUT NOT OVER \$2,155,350	\$20,218 PLUS 6.85% OF EXCESS OVER
30	\$323,200
31 OVER \$2,155,350	\$145,720 PLUS 8.82% OF EXCESS OVER
32	\$2,155,350

33 (II) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND NINETEEN THE FOLLOW-  
 34 ING RATES SHALL APPLY:

35 IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
36 NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE
37	INCOME
38 OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
39	\$17,150
40 OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
41	\$23,600
42 OVER \$27,900 BUT NOT OVER \$43,000	\$1,202 PLUS 5.9% OF EXCESS OVER
43	\$27,900
44 OVER \$43,000 BUT NOT OVER \$161,550	\$2,093 PLUS 6.21% OF EXCESS OVER
45	\$43,000
46 OVER \$161,550 BUT NOT OVER \$323,200	\$9,455 PLUS 6.49% OF EXCESS OVER
47	\$161,550
48 OVER \$323,200 BUT NOT OVER \$2,155,350	\$19,946 PLUS 6.85% OF EXCESS OVER
49	\$323,200
50 OVER \$2,155,350	\$145,448 PLUS 8.82% OF EXCESS OVER
51	\$2,155,350

52 (III) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY THE FOLLOWING  
 53 RATES SHALL APPLY:

1	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
2	NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE INCOME
3	OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
4		\$17,150
5	OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
6		\$23,600
7	OVER \$27,900 BUT NOT OVER \$43,000	\$1,202 PLUS 5.9% OF EXCESS OVER
8		\$27,900
9	OVER \$43,000 BUT NOT OVER \$161,550	\$2,093 PLUS 6.09% OF EXCESS OVER
10		\$43,000
11	OVER \$161,550 BUT NOT OVER \$323,200	\$9,313 PLUS 6.41% OF EXCESS OVER
12		\$161,550
13	OVER \$323,200	\$19,674 PLUS 6.85% OF EXCESS OVER
14		\$323,200

15 (IV) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-ONE THE  
 16 FOLLOWING RATES SHALL APPLY:

17	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
18	NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE INCOME
19	OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
20		\$17,150
21	OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
22		\$23,600
23	OVER \$27,900 BUT NOT OVER \$43,000	\$1,202 PLUS 5.9% OF EXCESS OVER
24		\$27,900
25	OVER \$43,000 BUT NOT OVER \$161,550	\$2,093 PLUS 5.97% OF EXCESS OVER
26		\$43,000
27	OVER \$161,550 BUT NOT OVER \$323,200	\$9,170 PLUS 6.33% OF EXCESS OVER
28		\$161,550
29	OVER \$323,200	\$19,403 PLUS 6.85% OF EXCESS OVER
30		\$323,200

31 (V) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-TWO THE FOLLOW-  
 32 ING RATES SHALL APPLY:

33	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
34	NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE INCOME
35	OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
36		\$17,150
37	OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
38		\$23,600
39	OVER \$27,900 BUT NOT OVER \$161,550	\$1,202 PLUS 5.85% OF EXCESS OVER
40		\$27,900
41	OVER \$161,550 BUT NOT OVER \$323,200	\$9,021 PLUS 6.25% OF EXCESS OVER
42		\$161,550
43	OVER \$323,200	\$19,124 PLUS 6.85% OF EXCESS OVER
44		\$323,200

45 (VI) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-THREE THE  
 46 FOLLOWING RATES SHALL APPLY:

47	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
48	NOT OVER \$17,150	4% OF THE NEW YORK TAXABLE INCOME
49	OVER \$17,150 BUT NOT OVER \$23,600	\$686 PLUS 4.5% OF EXCESS OVER
50		\$17,150
51	OVER \$23,600 BUT NOT OVER \$27,900	\$976 PLUS 5.25% OF EXCESS OVER
52		\$23,600
53	OVER \$27,900 BUT NOT OVER \$161,550	\$1,202 PLUS 5.73% OF EXCESS OVER
54		\$27,900
55	OVER \$161,550 BUT NOT OVER \$323,200	\$8,860 PLUS 6.17% OF EXCESS OVER

1 \$161,550  
 2 OVER \$323,200 \$18,834 PLUS 6.85% OF EXCESS OVER  
 3 \$323,200  
 4 (VII) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-FOUR THE  
 5 FOLLOWING RATES SHALL APPLY:  
 6 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
 7 NOT OVER \$17,150 4% OF THE NEW YORK TAXABLE INCOME  
 8 OVER \$17,150 BUT NOT OVER \$23,600 \$686 PLUS 4.5% OF EXCESS OVER  
 9 \$17,150  
 10 OVER \$23,600 BUT NOT OVER \$27,900 \$976 PLUS 5.25% OF EXCESS OVER  
 11 \$23,600  
 12 OVER \$27,900 BUT NOT OVER \$161,550 \$1,202 PLUS 5.61% OF EXCESS OVER  
 13 \$27,900  
 14 OVER \$161,550 BUT NOT OVER \$323,200 \$8,700 PLUS 6.09% OF EXCESS OVER  
 15 \$161,550  
 16 OVER \$323,200 \$18,544 PLUS 6.85% OF EXCESS OVER  
 17 \$323,200

18 (VIII) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND TWENTY-FOUR THE  
 19 FOLLOWING RATES SHALL APPLY:  
 20 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
 21 NOT OVER \$17,150 4% OF THE NEW YORK TAXABLE INCOME  
 22 OVER \$17,150 BUT NOT OVER \$23,600 \$686 PLUS 4.5% OF EXCESS OVER  
 23 \$17,150  
 24 OVER \$23,600 BUT NOT OVER \$27,900 \$976 PLUS 5.25% OF EXCESS OVER  
 25 \$23,600  
 26 OVER \$27,900 BUT NOT OVER \$161,550 \$1,202 PLUS 5.5% OF EXCESS OVER  
 27 \$27,900  
 28 OVER \$161,550 BUT NOT OVER \$323,200 \$8,553 PLUS 6.00% OF EXCESS OVER  
 29 \$161,550  
 30 OVER \$323,200 \$18,252 PLUS 6.85% OF EXCESS OVER  
 31 \$323,200

32 S 2. Subparagraph (B) of paragraph 1 of subsection (b) of section 601  
 33 of the tax law is REPEALED and a new subparagraph (B) is added to read  
 34 as follows:

35 (B)(I) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND EIGHTEEN THE  
 36 FOLLOWING RATES SHALL APPLY:  
 37 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
 38 NOT OVER \$12,800 4% OF THE NEW YORK TAXABLE INCOME  
 39 OVER \$12,800 BUT NOT OVER \$17,650 \$512 PLUS 4.5% OF EXCESS OVER \$12,800  
 40 OVER \$17,650 BUT NOT OVER \$20,900 \$730 PLUS 5.25% OF EXCESS OVER  
 41 \$17,650  
 42 OVER \$20,900 BUT NOT OVER \$32,200 \$901 PLUS 5.9% OF EXCESS OVER \$20,900  
 43 OVER \$32,200 BUT NOT OVER \$107,650 \$1,568 PLUS 6.33% OF EXCESS OVER  
 44 \$32,200  
 45 OVER \$107,650 BUT NOT OVER \$269,300 \$6,344 PLUS 6.57% OF EXCESS OVER  
 46 \$107,650  
 47 OVER \$269,300 BUT NOT OVER \$1,616,450 \$16,964 PLUS 6.85% OF EXCESS OVER  
 48 \$269,300  
 49 OVER \$1,616,450 \$109,244 PLUS 8.82% OF EXCESS OVER  
 50 \$1,616,450

51 (II) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND NINETEEN THE FOLLOW-  
 52 ING RATES SHALL APPLY:  
 53 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
 54 NOT OVER \$12,800 4% OF THE NEW YORK TAXABLE INCOME  
 55 OVER \$12,800 BUT NOT OVER \$17,650 \$512 PLUS 4.5% OF EXCESS OVER \$12,800  
 56 OVER \$17,650 BUT NOT OVER \$20,900 \$730 PLUS 5.25% OF EXCESS OVER

1		\$17,650
2	OVER \$20,900 BUT NOT OVER \$32,200	\$901 PLUS 5.9% OF EXCESS OVER \$20,900
3	OVER \$32,200 BUT NOT OVER \$107,650	\$1,568 PLUS 6.21% OF EXCESS OVER
4		\$32,200
5	OVER \$107,650 BUT NOT OVER \$269,300	\$6,253 PLUS 6.49% OF EXCESS OVER
6		\$107,650
7	OVER \$269,300 BUT NOT OVER \$1,616,450	\$16,744 PLUS 6.85% OF EXCESS OVER
8		\$269,300
9	OVER \$1,616,450	\$109,024 PLUS 8.82% OF EXCESS OVER
10		\$1,616,450

11 (III) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY THE FOLLOWING  
12 RATES SHALL APPLY:

13	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
14	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
15	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER \$12,800
16	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
17		\$17,650
18	OVER \$20,900 BUT NOT OVER \$32,200	\$901 PLUS 5.9% OF EXCESS OVER \$20,900
19	OVER \$32,200 BUT NOT OVER \$107,650	\$1,568 PLUS 6.09% OF EXCESS OVER
20		\$32,200
21	OVER \$107,650 BUT NOT OVER \$269,300	\$6,162 PLUS 6.41% OF EXCESS OVER
22		\$107,650
23	OVER \$269,300	\$16,524 PLUS 6.85% OF EXCESS OVER
24		\$269,300

25 (IV) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-ONE THE  
26 FOLLOWING RATES SHALL APPLY:

27	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
28	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
29	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER
30		\$12,800
31	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
32		\$17,650
33	OVER \$20,900 BUT NOT OVER \$32,200	\$901 PLUS 5.9% OF EXCESS OVER
34		\$20,900
35	OVER \$32,200 BUT NOT OVER \$107,650	\$1,568 PLUS 5.97% OF EXCESS OVER
36		\$32,200
37	OVER \$107,650 BUT NOT OVER \$269,300	\$6,072 PLUS 6.33% OF EXCESS OVER
38		\$107,650
39	OVER \$269,300	\$16,304 PLUS 6.85% OF EXCESS OVER
40		\$269,300

41 (V) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-TWO THE FOLLOW-  
42 ING RATES SHALL APPLY:

43	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
44	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
45	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER
46		\$12,800
47	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
48		\$17,650
49	OVER \$20,900 BUT NOT OVER \$107,650	\$901 PLUS 5.85% OF EXCESS OVER
50		\$20,900
51	OVER \$107,650 BUT NOT OVER \$269,300	\$5,976 PLUS 6.25% OF EXCESS OVER
52		\$107,650
53	OVER \$269,300	\$16,079 PLUS 6.85% OF EXCESS OVER
54		\$269,300

55 (VI) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-THREE THE  
56 FOLLOWING RATES SHALL APPLY:

1	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
2	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
3	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER
4		\$12,800
5	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
6		\$17,650
7	OVER \$20,900 BUT NOT OVER \$107,650	\$901 PLUS 5.73% OF EXCESS OVER
8		\$20,900
9	OVER \$107,650 BUT NOT OVER \$269,300	\$5,872 PLUS 6.17% OF EXCESS OVER
10		\$107,650
11	OVER \$269,300	\$15,845 PLUS 6.85% OF EXCESS OVER
12		\$269,300

13 (VII) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-FOUR THE  
 14 FOLLOWING RATES SHALL APPLY:

15	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
16	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
17	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER
18		\$12,800
19	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
20		\$17,650
21	OVER \$20,900 BUT NOT OVER \$107,650	\$901 PLUS 5.61% OF EXCESS OVER
22		\$20,900
23	OVER \$107,650 BUT NOT OVER \$269,300	\$5,768 PLUS 6.09% OF EXCESS OVER
24		\$107,650
25	OVER \$269,300	\$15,612 PLUS 6.85% OF EXCESS OVER
26		\$269,300

27 (VIII) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND TWENTY-FOUR THE  
 28 FOLLOWING RATES SHALL APPLY:

29	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
30	NOT OVER \$12,800	4% OF THE NEW YORK TAXABLE INCOME
31	OVER \$12,800 BUT NOT OVER \$17,650	\$512 PLUS 4.5% OF EXCESS OVER
32		\$12,800
33	OVER \$17,650 BUT NOT OVER \$20,900	\$730 PLUS 5.25% OF EXCESS OVER
34		\$17,650
35	OVER \$20,900 BUT NOT OVER \$107,650	\$901 PLUS 5.5% OF EXCESS OVER
36		\$20,900
37	OVER \$107,650 BUT NOT OVER \$269,300	\$5,672 PLUS 6.00% OF EXCESS OVER
38		\$107,650
39	OVER \$269,300	\$15,371 PLUS 6.85% OF EXCESS OVER
40		\$269,300

41 S 3. Subparagraph (B) of paragraph 1 of subsection (c) of section 601  
 42 of the tax law is REPEALED and a new subparagraph (B) is added to read  
 43 as follows:

44 (B)(I) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND EIGHTEEN THE  
 45 FOLLOWING RATES SHALL APPLY:

46	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
47	NOT OVER \$8,500	4% OF THE NEW YORK TAXABLE INCOME
48	OVER \$8,500 BUT NOT OVER \$11,700	\$340 PLUS 4.5% OF EXCESS OVER
49		\$8,500
50	OVER \$11,700 BUT NOT OVER \$13,900	\$484 PLUS 5.25% OF EXCESS OVER
51		\$11,700
52	OVER \$13,900 BUT NOT OVER \$21,400	\$600 PLUS 5.9% OF EXCESS OVER
53		\$13,900
54	OVER \$21,400 BUT NOT OVER \$80,650	\$1,042 PLUS 6.33% OF EXCESS OVER
55		\$21,400
56	OVER \$80,650 BUT NOT OVER \$215,400	\$4,793 PLUS 6.57% OF EXCESS OVER



1		\$80,650
2	OVER \$215,400 BUT NOT OVER \$1,077,550	\$13,646 PLUS 6.85% OF EXCESS OVER
3		\$215,400
4	OVER \$1,077,550	\$72,703 PLUS 8.82% OF EXCESS OVER
5		\$1,077,550

6 (II) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND NINETEEN THE FOLLOW-  
7 ING RATES SHALL APPLY:

8	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
9	NOT OVER \$8,500	4% OF THE NEW YORK TAXABLE INCOME
10	OVER \$8,500 BUT NOT OVER \$11,700	\$340 PLUS 4.5% OF EXCESS OVER
11		\$8,500
12	OVER \$11,700 BUT NOT OVER \$13,900	\$484 PLUS 5.25% OF EXCESS OVER
13		\$11,700
14	OVER \$13,900 BUT NOT OVER \$21,400	\$600 PLUS 5.9% OF EXCESS OVER
15		\$13,900
16	OVER \$21,400 BUT NOT OVER \$80,650	\$1,042 PLUS 6.21% OF EXCESS OVER
17		\$21,400
18	OVER \$80,650 BUT NOT OVER \$215,400	\$4,721 PLUS 6.49% OF EXCESS OVER
19		\$80,650
20	OVER \$215,400 BUT NOT OVER \$1,077,550	\$13,467 PLUS 6.85% OF EXCESS OVER
21		\$215,400
22	OVER \$1,077,550	\$72,524 PLUS 8.82% OF EXCESS OVER
23		\$1,077,550

24 (III) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY THE FOLLOWING  
25 RATES SHALL APPLY:

26	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
27	NOT OVER \$8,500	4% OF THE NEW YORK TAXABLE INCOME
28	OVER \$8,500 BUT NOT OVER \$11,700	\$340 PLUS 4.5% OF EXCESS OVER
29		\$8,500
30	OVER \$11,700 BUT NOT OVER \$13,900	\$484 PLUS 5.25% OF EXCESS OVER
31		\$11,700
32	OVER \$13,900 BUT NOT OVER \$21,400	\$600 PLUS 5.9% OF EXCESS OVER
33		\$13,900
34	OVER \$21,400 BUT NOT OVER \$80,650	\$1,042 PLUS 6.09% OF EXCESS OVER
35		\$21,400
36	OVER \$80,650 BUT NOT OVER \$215,400	\$4,650 PLUS 6.41% OF EXCESS OVER
37		\$80,650
38	OVER \$215,400	\$13,288 PLUS 6.85% OF EXCESS OVER
39		\$215,400

40 (IV) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-ONE THE  
41 FOLLOWING RATES SHALL APPLY:

42	IF THE NEW YORK TAXABLE INCOME IS:	THE TAX IS:
43	NOT OVER \$8,500	4% OF THE NEW YORK TAXABLE INCOME
44	OVER \$8,500 BUT NOT OVER \$11,700	\$340 PLUS 4.5% OF EXCESS OVER
45		\$8,500
46	OVER \$11,700 BUT NOT OVER \$13,900	\$484 PLUS 5.25% OF EXCESS OVER
47		\$11,700
48	OVER \$13,900 BUT NOT OVER \$21,400	\$600 PLUS 5.9% OF EXCESS OVER
49		\$13,900
50	OVER \$21,400 BUT NOT OVER \$80,650	\$1,042 PLUS 5.97% OF EXCESS OVER
51		\$21,400
52	OVER \$80,650 BUT NOT OVER \$215,400	\$4,579 PLUS 6.33% OF EXCESS OVER
53		\$80,650
54	OVER \$215,400	\$13,109 PLUS 6.85% OF EXCESS OVER
55		\$215,400

1 (V) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-TWO THE FOLLOW-  
2 ING RATES SHALL APPLY:  
3 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
4 NOT OVER \$8,500 4% OF THE NEW YORK TAXABLE INCOME  
5 OVER \$8,500 BUT NOT OVER \$11,700 \$340 PLUS 4.5% OF EXCESS OVER  
6 \$8,500  
7 OVER \$11,700 BUT NOT OVER \$13,900 \$484 PLUS 5.25% OF EXCESS OVER  
8 \$11,700  
9 OVER \$13,900 BUT NOT OVER \$80,650 \$600 PLUS 5.85% OF EXCESS OVER  
10 \$13,900  
11 OVER \$80,650 BUT NOT OVER \$215,400 \$4,504 PLUS 6.25% OF EXCESS OVER  
12 \$80,650  
13 OVER \$215,400 \$12,926 PLUS 6.85% OF EXCESS OVER  
14 \$215,400

15 (VI) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-THREE THE  
16 FOLLOWING RATES SHALL APPLY:  
17 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
18 NOT OVER \$8,500 4% OF THE NEW YORK TAXABLE INCOME  
19 OVER \$8,500 BUT NOT OVER \$11,700 \$340 PLUS 4.5% OF EXCESS OVER  
20 \$8,500  
21 OVER \$11,700 BUT NOT OVER \$13,900 \$484 PLUS 5.25% OF EXCESS OVER  
22 \$11,700  
23 OVER \$13,900 BUT NOT OVER \$80,650 \$600 PLUS 5.73% OF EXCESS OVER  
24 \$13,900  
25 OVER \$80,650 BUT NOT OVER \$215,400 \$4,424 PLUS 6.17% OF EXCESS OVER  
26 \$80,650  
27 OVER \$215,400 \$12,738 PLUS 6.85% OF EXCESS OVER  
28 \$215,400

29 (VII) FOR TAXABLE YEARS BEGINNING IN TWO THOUSAND TWENTY-FOUR THE  
30 FOLLOWING RATES SHALL APPLY:  
31 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
32 NOT OVER \$8,500 4% OF THE NEW YORK TAXABLE INCOME  
33 OVER \$8,500 BUT NOT OVER \$11,700 \$340 PLUS 4.5% OF EXCESS OVER  
34 \$8,500  
35 OVER \$11,700 BUT NOT OVER \$13,900 \$484 PLUS 5.25% OF EXCESS OVER  
36 \$11,700  
37 OVER \$13,900 BUT NOT OVER \$80,650 \$600 PLUS 5.61% OF EXCESS OVER  
38 \$13,900  
39 OVER \$80,650 BUT NOT OVER \$215,400 \$4,344 PLUS 6.09% OF EXCESS OVER  
40 \$80,650  
41 OVER \$215,400 \$12,550 PLUS 6.85% OF EXCESS OVER  
42 \$215,400

43 (VIII) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND TWENTY-FOUR THE  
44 FOLLOWING RATES SHALL APPLY:  
45 IF THE NEW YORK TAXABLE INCOME IS: THE TAX IS:  
46 NOT OVER \$8,500 4% OF THE NEW YORK TAXABLE INCOME  
47 OVER \$8,500 BUT NOT OVER \$11,700 \$340 PLUS 4.5% OF EXCESS OVER  
48 \$8,500  
49 OVER \$11,700 BUT NOT OVER \$13,900 \$484 PLUS 5.25% OF EXCESS OVER  
50 \$11,700  
51 OVER \$13,900 BUT NOT OVER \$80,650 \$600 PLUS 5.50% OF EXCESS OVER  
52 \$13,900  
53 OVER \$80,650 BUT NOT OVER \$215,400 \$4,271 PLUS 6.00% OF EXCESS OVER  
54 \$80,650  
55 OVER \$215,400 \$12,356 PLUS 6.85% OF EXCESS OVER  
56 \$215,400

1 S 4. Subparagraph (D) of paragraph 1 of subsection (d-1) of section  
2 601 of the tax law, as amended by section 5 of part FF of chapter 59 of  
3 the laws of 2013, is amended to read as follows:

4 (D) The tax table benefit is the difference between (i) the amount of  
5 taxable income set forth in the tax table in paragraph one of subsection  
6 (a) of this section not subject to the 8.82 percent rate of tax for the  
7 taxable year multiplied by such rate and (ii) the dollar denominated tax  
8 for such amount of taxable income set forth in the tax table applicable  
9 to the taxable year in paragraph one of subsection (a) of this section  
10 less the sum of the tax table benefits in subparagraphs (A), (B) and (C)  
11 of this paragraph. The fraction for this subparagraph is computed as  
12 follows: the numerator is the lesser of fifty thousand dollars or the  
13 excess of New York adjusted gross income for the taxable year over two  
14 million dollars and the denominator is fifty thousand dollars. This  
15 subparagraph shall apply only to taxable years beginning on or after  
16 January first, two thousand twelve and before January first, two thou-  
17 sand [eighteen] TWENTY.

18 S 5. Subparagraph (C) of paragraph 2 of subsection (d-1) of section  
19 601 of the tax law, as amended by section 6 of part FF of chapter 59 of  
20 the laws of 2013, is amended to read as follows:

21 (C) The tax table benefit is the difference between (i) the amount of  
22 taxable income set forth in the tax table in paragraph one of subsection  
23 (b) of this section not subject to the 8.82 percent rate of tax for the  
24 taxable year multiplied by such rate and (ii) the dollar denominated tax  
25 for such amount of taxable income set forth in the tax table applicable  
26 to the taxable year in paragraph one of subsection (b) of this section  
27 less the sum of the tax table benefits in subparagraphs (A) and (B) of  
28 this paragraph. The fraction for this subparagraph is computed as  
29 follows: the numerator is the lesser of fifty thousand dollars or the  
30 excess of New York adjusted gross income for the taxable year over one  
31 million five hundred thousand dollars and the denominator is fifty thou-  
32 sand dollars. This subparagraph shall apply only to taxable years begin-  
33 ning on or after January first, two thousand twelve and before January  
34 first, two thousand [eighteen] TWENTY.

35 S 6. Subparagraph (C) of paragraph 3 of subsection (d-1) of section  
36 601 of the tax law, as amended by section 7 of part FF of chapter 59 of  
37 the laws of 2013, is amended to read as follows:

38 (C) The tax table benefit is the difference between (i) the amount of  
39 taxable income set forth in the tax table in paragraph one of subsection  
40 (c) of this section not subject to the 8.82 percent rate of tax for the  
41 taxable year multiplied by such rate and (ii) the dollar denominated tax  
42 for such amount of taxable income set forth in the tax table applicable  
43 to the taxable year in paragraph one of subsection (c) of this section  
44 less the sum of the tax table benefits in subparagraphs (A) and (B) of  
45 this paragraph. The fraction for this subparagraph is computed as  
46 follows: the numerator is the lesser of fifty thousand dollars or the  
47 excess of New York adjusted gross income for the taxable year over one  
48 million dollars and the denominator is fifty thousand dollars. This  
49 subparagraph shall apply only to taxable years beginning on or after  
50 January first, two thousand twelve and before January first, two thou-  
51 sand [eighteen] TWENTY.

52 S 7. This act shall take effect immediately.

1 Section 1. Subsection (g) of section 615 of the tax law, as amended by  
2 section 1 of part H of chapter 59 of the laws of 2015, is amended to  
3 read as follows:

4 (g)(1) With respect to an individual whose New York adjusted gross  
5 income is over one million dollars and no more than ten million dollars,  
6 the New York itemized deduction shall be an amount equal to fifty  
7 percent of any charitable contribution deduction allowed under section  
8 one hundred seventy of the internal revenue code for taxable years  
9 beginning after two thousand nine and before two thousand [eighteen]  
10 TWENTY. With respect to an individual whose New York adjusted gross  
11 income is over one million dollars, the New York itemized deduction  
12 shall be an amount equal to fifty percent of any charitable contribution  
13 deduction allowed under section one hundred seventy of the internal  
14 revenue code for taxable years beginning in two thousand nine or after  
15 two thousand [seventeen] NINETEEN.

16 (2) With respect to an individual whose New York adjusted gross income  
17 is over ten million dollars, the New York itemized deduction shall be an  
18 amount equal to twenty-five percent of any charitable contribution  
19 deduction allowed under section one hundred seventy of the internal  
20 revenue code for taxable years beginning after two thousand nine and  
21 ending before two thousand [eighteen] TWENTY.

22 S 2. Subdivision (g) of section 11-1715 of the administrative code of  
23 the city of New York, as amended by section 2 of part H of chapter 59 of  
24 the laws of 2015, is amended to read as follows:

25 (g) (1) With respect to an individual whose New York adjusted gross  
26 income is over one million dollars but no more than ten million dollars,  
27 the New York itemized deduction shall be an amount equal to fifty  
28 percent of any charitable contribution deduction allowed under section  
29 one hundred seventy of the internal revenue code for taxable years  
30 beginning after two thousand nine and before two thousand [eighteen]  
31 TWENTY. With respect to an individual whose New York adjusted gross  
32 income is over one million dollars, the New York itemized deduction  
33 shall be an amount equal to fifty percent of any charitable contribution  
34 deduction allowed under section one hundred seventy of the internal  
35 revenue code for taxable years beginning in two thousand nine or after  
36 two thousand [seventeen] NINETEEN.

37 (2) With respect to an individual whose New York adjusted gross income  
38 is over ten million dollars, the New York itemized deduction shall be an  
39 amount equal to twenty-five percent of any charitable contribution  
40 deduction allowed under section one hundred seventy of the internal  
41 revenue code for taxable years beginning after two thousand nine and  
42 ending before two thousand [eighteen] TWENTY.

43 S 3. This act shall take effect immediately.

44

#### PART T

45 Section 1. Subsection (c) of section 606 of the tax law is amended by  
46 adding a new paragraph 1-a to read as follows:

47 (1-A) FOR TAXABLE YEARS BEGINNING AFTER TWO THOUSAND SEVENTEEN, FOR A  
48 TAXPAYER WITH NEW YORK ADJUSTED GROSS INCOME OF AT LEAST FIFTY THOUSAND  
49 DOLLARS BUT LESS THAN ONE HUNDRED FIFTY THOUSAND DOLLARS, THE APPLICABLE  
50 PERCENTAGE SHALL BE THE APPLICABLE PERCENTAGE OTHERWISE COMPUTED UNDER  
51 PARAGRAPH ONE OF THIS SUBSECTION MULTIPLIED BY A FACTOR AS FOLLOWS:

52 IF NEW YORK ADJUSTED GROSS  
53 INCOME IS:

THE FACTOR IS:

54 AT LEAST \$50,000 AND LESS

1	THAN \$55,000	1.1682
2	AT LEAST \$55,000 AND LESS	
3	THAN \$60,000	1.2733
4	AT LEAST \$60,000 AND LESS	
5	THAN \$65,000	2.322
6	AT LEAST \$65,000 AND LESS	
7	THAN \$150,000	3.000

8 S 2. Subsection (c) of section 606 of the tax law is amended by adding  
9 a new paragraph 1-b to read as follows:

10 (1-B) NOTWITHSTANDING ANYTHING IN THIS SUBSECTION TO THE CONTRARY, A  
11 TAXPAYER SHALL BE ALLOWED A CREDIT AS PROVIDED IN THIS SUBSECTION EQUAL  
12 TO THE APPLICABLE PERCENTAGE OF THE CREDIT ALLOWABLE UNDER SECTION TWEN-  
13 TY-ONE OF THE INTERNAL REVENUE CODE FOR THE SAME TAXABLE YEAR (WITHOUT  
14 REGARD TO WHETHER THE TAXPAYER IN FACT CLAIMED THE CREDIT UNDER SUCH  
15 SECTION TWENTY-ONE FOR SUCH TAXABLE YEAR) THAT WOULD HAVE BEEN ALLOWED  
16 ABSENT THE APPLICATION OF SECTION 21(C) OF SUCH CODE FOR TAXPAYERS WITH  
17 MORE THAN TWO QUALIFYING INDIVIDUALS, PROVIDED HOWEVER, THAT THE CREDIT  
18 SHALL BE CALCULATED AS IF THE DOLLAR LIMIT ON AMOUNT CREDITABLE SHALL  
19 NOT EXCEED SEVEN THOUSAND FIVE HUNDRED DOLLARS IF THERE ARE THREE QUALI-  
20 FYING INDIVIDUALS, EIGHT THOUSAND FIVE HUNDRED DOLLARS IF THERE ARE FOUR  
21 QUALIFYING INDIVIDUALS, AND NINE THOUSAND DOLLARS IF THERE ARE FIVE OR  
22 MORE QUALIFYING INDIVIDUALS.

23 S 3. This act shall take effect immediately; provided, however, that  
24 section two of this act shall apply to taxable years beginning on or  
25 after January 1, 2018.

26 PART U

27 Section 1. Paragraph (a) of subdivision 1 and paragraph (a) of subdivi-  
28 sion 2 of section 1701 of the tax law, as added by section 1 of part  
29 CC-1 of chapter 57 of the laws of 2008, are amended to read as follows:

30 (a) "Debt" means [all] PAST-DUE TAX liabilities, including unpaid tax,  
31 interest, and penalty, that the commissioner is required by law to  
32 collect and that have [been reduced to judgment by the docketing of a  
33 New York state tax warrant in the office of a county clerk located in  
34 the state of New York or by the filing of a copy of the warrant in the  
35 office of the department of state] BECOME FIXED AND FINAL SUCH THAT THE  
36 TAXPAYER NO LONGER HAS ANY RIGHT TO ADMINISTRATIVE OR JUDICIAL REVIEW.

37 (a) To assist the commissioner in the collection of debts, the depart-  
38 ment must develop and operate a financial institution data match system  
39 for the purpose of identifying and seizing the non-exempt assets of tax  
40 debtors as identified by the commissioner. The commissioner is author-  
41 ized to designate a third party to develop and operate this system.  
42 NOTWITHSTANDING ANY OTHER PROVISIONS OF THIS CHAPTER, THE COMMISSIONER  
43 IS AUTHORIZED TO DISCLOSE THE DEBT AND THE DEBTOR INFORMATION TO SUCH  
44 THIRD PARTY AND TO FINANCIAL INSTITUTIONS FOR PURPOSES OF THIS SYSTEM.  
45 Any third party designated by the commissioner to develop and operate a  
46 financial data match system must keep all information it obtains from  
47 both the department and the financial institution confidential, and any  
48 employee, agent or representative of that third party is prohibited from  
49 disclosing that information to anyone other than the department or the  
50 financial institution.

51 S 2. This act shall take effect immediately and shall expire April 1,  
52 2020 when upon such date the provisions of this act shall be deemed  
53 repealed.

1 PART V

2 Intentionally Omitted

3 PART W

4 Intentionally Omitted

5 PART X

6 Section 1. Section 2 of part Q of chapter 59 of the laws of 2013,  
7 amending the tax law, relating to serving an income execution with  
8 respect to individual tax debtors without filing a warrant, as amended  
9 by section 1 of part DD of chapter 59 of the laws of 2015, is amended to  
10 read as follows:

11 S 2. This act shall take effect immediately and shall expire and be  
12 deemed repealed on and after April 1, [2017] 2020.

13 S 2. This act shall take effect immediately and shall be deemed to  
14 have been in full force and effect on and after April 1, 2017.

15 PART Y

16 Intentionally Omitted

17 PART Z

18 Section 1. Clause 1 of subparagraph (A) of paragraph 1 of subsection  
19 (b) of section 631 of the tax law, as added by section 1 of part F-1 of  
20 chapter 57 of the laws of 2009, is amended to read as follows:

21 (1) For purposes of this subparagraph, the term "real property located  
22 in this state" includes an interest in a partnership, limited liability  
23 corporation, S corporation, or non-publicly traded C corporation with  
24 one hundred or fewer shareholders (hereinafter the "entity") that owns  
25 real property that is located in New York [and has a fair market value  
26 that] OR OWNS SHARES OF STOCK IN A COOPERATIVE HOUSING CORPORATION WHERE  
27 THE COOPERATIVE UNITS RELATING TO THE SHARES ARE LOCATED IN NEW YORK;  
28 PROVIDED, THAT THE SUM OF THE FAIR MARKET VALUES OF SUCH REAL PROPERTY,  
29 COOPERATIVE SHARES, AND RELATED COOPERATIVE UNITS equals or exceeds  
30 fifty percent of all the assets of the entity on the date of sale or  
31 exchange of the taxpayer's interest in the entity. Only those assets  
32 that the entity owned for at least two years before the date of the sale  
33 or exchange of the taxpayer's interest in the entity are to be used in  
34 determining the fair market value of all the assets of the entity on the  
35 date of sale or exchange. The gain or loss derived from New York sources  
36 from the taxpayer's sale or exchange of an interest in an entity that is  
37 subject to the provisions of this subparagraph is the total gain or loss  
38 for federal income tax purposes from that sale or exchange multiplied by  
39 a fraction, the numerator of which is the fair market value of the real  
40 property, AND THE COOPERATIVE HOUSING CORPORATION STOCK AND RELATED  
41 COOPERATIVE UNITS located in New York on the date of sale or exchange  
42 and the denominator of which is the fair market value of all the assets  
43 of the entity on the date of sale or exchange.

44 S 2. This act shall take effect immediately and shall apply to taxable  
45 years beginning on or after January 1, 2017.

46 PART AA

1 Section 1. Paragraph 1 of subsection (a) of section 632 of the tax  
2 law, as amended by chapter 28 of the laws of 1987, is amended to read as  
3 follows:

4 (1) In determining New York source income of a nonresident partner of  
5 any partnership, there shall be included only the portion derived from  
6 or connected with New York sources of such partner's distributive share  
7 of items of partnership income, gain, loss and deduction entering into  
8 his federal adjusted gross income, as such portion shall be determined  
9 under regulations of the tax commission consistent with the applicable  
10 rules of section six hundred thirty-one OF THIS PART. IF A NONRESIDENT  
11 IS A PARTNER IN A PARTNERSHIP WHERE A SALE OR TRANSFER OF THE MEMBERSHIP  
12 INTEREST OF THE PARTNER IS SUBJECT TO THE PROVISIONS OF SECTION  
13 ONE-THOUSAND SIXTY OF THE INTERNAL REVENUE CODE, THEN ANY GAIN RECOG-  
14 NIZED ON THE SALE OR TRANSFER FOR FEDERAL INCOME TAX PURPOSES SHALL BE  
15 TREATED AS NEW YORK SOURCE INCOME ALLOCATED IN A MANNER CONSISTENT WITH  
16 THE APPLICABLE METHODS AND RULES FOR ALLOCATION UNDER THIS ARTICLE IN  
17 THE YEAR THAT THE ASSETS WERE SOLD OR TRANSFERRED.

18 S 2. This act shall take effect immediately.

19 PART BB

20 Intentionally Omitted

21 PART CC

22 Section 1. Paragraph 4 of subdivision (b) of section 1101 of the tax  
23 law is amended by adding a new subparagraph (v) to read as follows:

24 (V) NOTWITHSTANDING THE PROVISIONS OF SUBPARAGRAPH (I) OF THIS PARA-  
25 GRAPH, THE FOLLOWING SALES OF TANGIBLE PERSONAL PROPERTY SHALL BE DEEMED  
26 TO BE RETAIL SALES: (A) A SALE TO A SINGLE MEMBER LIMITED LIABILITY  
27 COMPANY OR A SUBSIDIARY FOR RESALE TO ITS MEMBER OR OWNER, WHERE SUCH  
28 SINGLE MEMBER LIMITED LIABILITY COMPANY OR SUBSIDIARY IS DISREGARDED AS  
29 AN ENTITY SEPARATE FROM ITS OWNER FOR FEDERAL INCOME TAX PURPOSES (WITH-  
30 OUT REFERENCE TO ANY SPECIAL RULES RELATED TO THE IMPOSITION OF CERTAIN  
31 FEDERAL TAXES), INCLUDING BUT NOT LIMITED TO CERTAIN EMPLOYMENT AND  
32 EXCISE TAXES; (B) A SALE TO A PARTNERSHIP FOR RESALE TO ONE OR MORE OF  
33 ITS PARTNERS; OR (C) A SALE TO A TRUSTEE OF A TRUST FOR RESALE TO ONE OR  
34 MORE BENEFICIARIES OF SUCH TRUST.

35 S 2. Subdivision 2 of section 1118 of the tax law, as amended by  
36 section 4 of subpart B of part S of chapter 57 of the laws of 2010, is  
37 amended to read as follows:

38 (2)(A) In respect to the use of property or services purchased by the  
39 user while a nonresident of this state, except in the case of tangible  
40 personal property or services which the user, in the performance of a  
41 contract, incorporates into real property located in the state. A person  
42 while engaged in any manner in carrying on in this state any employment,  
43 trade, business or profession, shall not be deemed a nonresident with  
44 respect to the use in this state of property or services in such employ-  
45 ment, trade, business or profession. This exemption does not apply to  
46 the use of qualified property where the qualified property is purchased  
47 primarily to carry individuals, whether or not for hire, who are agents,  
48 employees, officers, shareholders, members, managers, partners, or  
49 directors of (A) the purchaser, where any of those individuals was a  
50 resident of this state when the qualified property was purchased or (B)  
51 any affiliated person that was a resident when the qualified property  
52 was purchased. For purposes of this subdivision: (i) persons are affil-

1 iated persons with respect to each other where one of the persons has an  
2 ownership interest of more than five percent, whether direct or indi-  
3 rect, in the other, or where an ownership interest of more than five  
4 percent, whether direct or indirect, is held in each of the persons by  
5 another person or by a group of other persons that are affiliated  
6 persons with respect to each other; (ii) "qualified property" means  
7 [aircraft,] vessels and motor vehicles; and (iii) "carry" means to take  
8 any person from one point to another, whether for the business purposes  
9 or pleasure of that person. For an exception to the exclusions from the  
10 definition of "retail sale" applicable to [aircraft and] vessels, see  
11 subdivision (q) of section eleven hundred eleven of this article.

12 (B) NOTWITHSTANDING ANY PROVISION OF THIS ARTICLE TO THE CONTRARY, THE  
13 EXCLUSION IN PARAGRAPH (A) OF THIS SUBDIVISION SHALL NOT APPLY TO THE  
14 USE WITHIN THE STATE OF PROPERTY OR A SERVICE PURCHASED OUTSIDE THIS  
15 STATE BY A NONRESIDENT THAT IS NOT AN INDIVIDUAL, UNLESS SUCH NONRESI-  
16 DENT HAS BEEN DOING BUSINESS OUTSIDE THE STATE FOR AT LEAST SIX MONTHS  
17 PRIOR TO THE DATE SUCH NONRESIDENT BROUGHT SUCH PROPERTY OR SERVICE INTO  
18 THIS STATE.

19 S 3. This act shall take effect immediately.

20

PART DD

21 Section 1. Section 1105-C of the tax law, as added by section 24-a of  
22 part Y of chapter 63 of the laws of 2000, and subdivision (d) as added  
23 by section 1 of part B of chapter 85 of the laws of 2002, is amended to  
24 read as follows:

25 S 1105-C. Reduced tax rates with respect to certain gas service and  
26 electric service. Notwithstanding any other provisions of this article  
27 or article twenty-nine of this chapter:

28 (a) The rates of taxes imposed by this article and pursuant to the  
29 authority of article twenty-nine of this chapter on receipts from every  
30 sale of gas service or electric service of whatever nature (including  
31 the transportation, transmission or distribution of gas or electricity,  
32 but not including gas or electricity) shall be [reduced each year on  
33 September first, beginning in the year two thousand, and each year ther-  
34 eafter, at the rate per year of twenty-five percent of the rates in  
35 effect on September first, two thousand, so that the rates of such taxes  
36 on such receipts shall be] zero percent [on and after September first,  
37 two thousand three] UNLESS THE CHARGE IS BY THE VENDOR FOR TRANSPORTA-  
38 TION, TRANSMISSION OR DISTRIBUTION, REGARDLESS OF WHETHER SUCH CHARGES  
39 ARE SEPARATELY STATED IN THE WRITTEN CONTRACT, IF ANY, OR ON THE BILL  
40 RENDERED TO SUCH PURCHASER AND REGARDLESS OF WHETHER SUCH TRANSPORTA-  
41 TION, TRANSMISSION, OR DISTRIBUTION IS PROVIDED BY SUCH VENDOR OR A  
42 THIRD PARTY.

43 (b) [The provisions of subdivision (b) of section eleven hundred six  
44 of this article shall apply to the reduced rates described in subdivi-  
45 sion (a) of this section, as if such section referred to this section,  
46 provided that any reference in subdivision (b) of such section eleven  
47 hundred six to the date August first, nineteen hundred sixty-five, shall  
48 be deemed to refer, respectively, to September first of the applicable  
49 years described in subdivision (a) of this section, and any reference in  
50 subdivision (b) of such section eleven hundred six to July thirty-first,  
51 nineteen hundred sixty-five, shall be deemed to refer to the day imme-  
52 diately preceding each such September first, respectively.

53 (c) Nothing in this section shall be deemed to exempt from the taxes  
54 imposed under this article or pursuant to the authority of article twen-



1 ty-nine of this chapter any transaction which may not be subject to the  
 2 reduced rates of such taxes, each year, as set forth in subdivision (a)  
 3 of this section in effect on the respective September first.  
 4 (d)] For [the purpose] PURPOSES of [the reduced rate of tax provided  
 5 by] subdivision (a) of this section, [the following shall apply to a  
 6 sale, other than a sale for resale, of the] WHERE THE transportation,  
 7 transmission or distribution of gas or electricity [by a vendor not  
 8 subject to the supervision of the public service commission where such  
 9 transportation, transmission or distribution service being] IS sold [is]  
 10 wholly within a service area of the state wherein the public service  
 11 commission [shall have] HAS approved by formal order a single retailer  
 12 model for the regulated utility which has the responsibility to serve  
 13 that area[. Where such a vendor makes a sale, other than a sale for  
 14 resale, of gas or electricity to be delivered to a customer within such  
 15 service area and, for the purpose of transporting, transmitting or  
 16 distributing such gas or electricity, also makes a sale of transporta-  
 17 tion, transmission or distribution service to such customer], the charge  
 18 for [the] SUCH transportation, transmission or distribution [of gas or  
 19 electricity wholly within such service area made by such vendor,  
 20 notwithstanding paragraph three of subdivision (b) of section eleven  
 21 hundred one of this article, shall not be included in the receipt for  
 22 such gas or electricity, and, therefore,] WHEN MADE BY THE PROVIDER WHO  
 23 ALSO SELLS, OTHER THAN AS A SALE FOR RESALE, THE GAS OR ELECTRICITY,  
 24 shall qualify for such reduced rate.  
 25 S 2. This act shall take effect immediately.

26 PART EE  
 27 Intentionally Omitted  
 28 PART FF  
 29 Intentionally Omitted  
 30 PART GG  
 31 Intentionally Omitted  
 32 PART HH  
 33 Intentionally Omitted  
 34 PART II  
 35 Intentionally Omitted  
 36 PART JJ  
 37 Intentionally Omitted  
 38 PART KK  
 39 Intentionally Omitted  
 40 PART LL

1 Section 1. Subdivision 2 of section 902 of the racing, pari-mutuel  
2 wagering and breeding law, as amended by chapter 18 of the laws of 2008,  
3 is amended and four new subdivisions 3, 4, 5 and 6 are added to read as  
4 follows:

5 2. Notwithstanding any inconsistent provision of THE law, all costs  
6 and expenses of the [state racing and wagering board] GAMING COMMISSION  
7 for equine drug testing and research shall be paid from an appropriation  
8 from the state treasury, on the certification of the [chairman of the  
9 state racing and wagering board] EXECUTIVE DIRECTOR OF THE COMMISSION,  
10 upon the audit and warrant of the comptroller and pursuant to a plan  
11 developed by the [state racing and wagering board] COMMISSION as  
12 approved by the director of the budget; PROVIDED, HOWEVER, THE COMMIS-  
13 SION MAY DIRECT THE ASSESSMENT IMPOSED PURSUANT TO SUBDIVISION THREE OF  
14 THIS SECTION TO BE PAID DIRECTLY TO THE LABORATORY AUTHORIZED TO CONDUCT  
15 EQUINE DRUG TESTING PURSUANT TO SUBDIVISION ONE OF THIS SECTION,  
16 PROVIDED HOWEVER, UPON DIRECTION OF THE COMMISSION, ANY AMOUNTS DIRECTLY  
17 PAID TO SUCH LABORATORY SHALL CONSTITUTE AN ENCUMBRANCE OF  
18 APPROPRIATION.

19 3. (A) THE COMMISSION MAY IMPOSE AN ASSESSMENT ON EACH RACE TRACK  
20 LICENSED OR FRANCHISED PURSUANT TO THIS CHAPTER, AND AN ADDITIONAL PER  
21 START FEE, FOR ANY ADDITIONAL COSTS AND EXPENSES OF EQUINE DRUG TESTING  
22 AND RESEARCH CONDUCTED AT A LABORATORY AUTHORIZED PURSUANT TO SUBDIVI-  
23 SION ONE OF THIS SECTION, AFTER ALL OTHER FUNDS FOR SUCH PURPOSE HAVE  
24 BEEN EXPENDED.

25 (B) (I) THE COMMISSION SHALL ESTABLISH AN ASSESSABLE AMOUNT BY MAY  
26 FIRST OF EACH YEAR BASED ON THE PROJECTED DEFICIT OF REVENUES DEPOSITED  
27 INTO THE RACING REGULATION ACCOUNT ESTABLISHED BY SECTION NINETY-NINE-I  
28 OF THE STATE FINANCE LAW, INCLUDING FUNDS DEPOSITED PURSUANT TO SECTIONS  
29 ONE HUNDRED FIFTEEN, TWO HUNDRED THIRTY-SIX, TWO HUNDRED THIRTY-EIGHT,  
30 THREE HUNDRED SEVEN, THREE HUNDRED EIGHT, THREE HUNDRED EIGHTEEN, FIVE  
31 HUNDRED TWENTY-SEVEN, ONE THOUSAND SEVEN, ONE THOUSAND EIGHT, ONE THOU-  
32 SAND NINE, ONE THOUSAND FOURTEEN, ONE THOUSAND FIFTEEN, ONE THOUSAND  
33 SIXTEEN, AND ONE THOUSAND EIGHTEEN OF THIS CHAPTER IN RELATION TO THE  
34 CONDUCT OF RACING, THE AMOUNT OF FUNDS PAID FOR EQUIPMENT PURSUANT TO  
35 SUBDIVISION TWO OF SECTION TWO HUNDRED TWENTY-EIGHT OF THIS CHAPTER, THE  
36 AMOUNT OF FUNDS RECEIVED BY THE COMMISSION FROM THE PURSE ENHANCEMENT  
37 ACCOUNT FOR EQUINE HEALTH AND SAFETY PURSUANT TO PARAGRAPH TWO OF SUBDI-  
38 VISION B OF SECTION SIXTEEN HUNDRED TWELVE OF THE TAX LAW IN RELATION TO  
39 VIDEO LOTTERY TERMINAL FACILITIES AT RACE TRACKS LICENSED PURSUANT TO  
40 ARTICLES TWO AND THREE OF THIS CHAPTER, AND BY THE AMOUNT OF FUNDS  
41 GENERATED BY ANY OTHER EXISTING FEES, TAXES AND ASSESSMENTS PAID BY RACE  
42 TRACKS OR OWNERS LICENSED PURSUANT TO ARTICLES TWO AND THREE OF THIS  
43 CHAPTER FOR THE PURPOSE OF EQUINE DRUG TESTING, COMPARED TO EXPENSES IN  
44 THE RACING REGULATION ACCOUNT. THE COMMISSION SHALL IMPOSE THE ASSESSA-  
45 BLE AMOUNT AS AN ASSESSMENT UPON EACH RACE TRACK, AND AS AN ADDITIONAL  
46 PER START FEE ON EACH OWNER. IN NO EVENT SHALL THE TOTAL ASSESSABLE  
47 AMOUNT EXCEED THE TOTAL EXPENSE PROJECTED BY THE COMMISSION FOR EQUINE  
48 DRUG TESTING AND RESEARCH CONDUCTED AT A LABORATORY AUTHORIZED PURSUANT  
49 TO SUBDIVISION ONE OF THIS SECTION DURING THAT YEAR.

50 (II) THE TOTAL VALUE OF THE ASSESSMENT IMPOSED UPON ALL RACE TRACKS  
51 SHALL BE FIFTY PERCENT OF THE ASSESSABLE AMOUNT CALCULATED BY SUBPARA-  
52 GRAPH (I) OF THIS PARAGRAPH, AND SHALL BE ASSESSED IN A MANNER THAT IS  
53 PROPORTIONAL TO THE NUMBER OF STARTS AT EACH RACE TRACK DURING THE  
54 PREVIOUS YEAR. IN NO EVENT SHALL ANY RACE TRACK IMPOSE THE COST OF SUCH  
55 ASSESSMENT, IN PART OR IN WHOLE, ON ANY OWNER OR TRAINER.

1 (III) THE TOTAL VALUE OF THE ADDITIONAL PER START FEE IMPOSED ON  
2 OWNERS LICENSED PURSUANT TO THIS CHAPTER AS AN ADDITIONAL PER START FEE  
3 SHALL BE FIFTY PERCENT OF THE ASSESSABLE AMOUNT CALCULATED BY SUBPARA-  
4 GRAPH (I) OF THIS PARAGRAPH DIVIDED BY THE TOTAL NUMBER OF STARTS IN THE  
5 PREVIOUS YEAR, AND SHALL BE ASSESSED AND PAID IN THE SAME MANNER, AND IN  
6 ADDITION TO, THE FEE FOR THE START OF A HORSE IN NEW YORK STATE PARI-MU-  
7 TUEL RACES PROVIDED BY SECTION ONE HUNDRED FIFTEEN-A OF THIS CHAPTER.

8 4. PAYMENT OF THE ASSESSMENT IMPOSED BY THIS SECTION SHALL BE MADE TO  
9 THE COMMISSION, OR TO THE LABORATORY AUTHORIZED TO CONDUCT EQUINE DRUG  
10 TESTING IF DIRECTED BY THE COMMISSION, BY EACH ENTITY REQUIRED TO MAKE  
11 SUCH PAYMENTS. PAYMENTS OF SUCH ASSESSMENT SHALL BE MADE ON THE LAST  
12 BUSINESS DAY OF EACH MONTH AND SHALL COVER ONE-TWELFTH OF THE ANNUAL  
13 ASSESSMENT, PROVIDED HOWEVER THAT ALL SUCH PAYMENTS REQUIRED TO BE MADE  
14 ON THE LAST DAY OF APRIL SHALL BE DUE WITH THE MAY PAYMENT. A PENALTY OF  
15 FIVE PERCENT, AND INTEREST AT THE RATE OF ONE PERCENT PER MONTH FROM THE  
16 DATE THE ASSESSMENT, IS DUE TO THE DATE OF THE PAYMENT OF THE ASSESS-  
17 MENT, AND SHALL BE PAYABLE IN CASE ANY ASSESSMENT IMPOSED BY THIS CHAP-  
18 TER IS NOT PAID WHEN DUE. IF THE COMMISSION DETERMINES THAT ANY PAYMENT  
19 RECEIVED UNDER THIS SECTION WAS PAID IN ERROR, THE COMMISSION MAY CAUSE  
20 THE SAME TO BE REFUNDED WITHOUT INTEREST OUT OF ANY MONIES COLLECTED  
21 THEREUNDER, PROVIDED AN APPLICATION THEREFOR IS FILED WITH THE COMMIS-  
22 SION WITHIN ONE YEAR FROM THE TIME THE ERRONEOUS PAYMENT IS MADE.

23 5. ANY DEFICIT IN THE RACING REGULATION ACCOUNT ON MARCH THIRTY-FIRST  
24 OF EACH YEAR, EXCLUDING ANY DEFICIT ATTRIBUTED TO THE NEGATIVE FUND  
25 BALANCE AS OF MARCH THIRTY-FIRST, TWO THOUSAND SEVENTEEN, SHALL BE ADDED  
26 TO THE ASSESSABLE AMOUNT FOR THE FOLLOWING YEAR. FIFTY PERCENT OF ANY  
27 SURPLUS IN SUCH ACCOUNT AS OF MARCH THIRTY-FIRST OF EACH YEAR, NOT TO  
28 EXCEED THE AMOUNT OF THE ASSESSMENT IMPOSED ON RACE TRACKS IN THAT YEAR,  
29 SHALL BE USED TO REDUCE THE ASSESSMENT IMPOSED ON EACH RACE TRACK IN THE  
30 FOLLOWING YEAR IN PROPORTION TO THE AMOUNT PAID BY EACH RACE TRACK IN  
31 THE YEAR OF THE SURPLUS. FIFTY PERCENT OF ANY SURPLUS IN SUCH ACCOUNT  
32 AS OF MARCH THIRTY-FIRST OF EACH YEAR, NOT TO EXCEED THE TOTAL AMOUNT OF  
33 THE ADDITIONAL START FEES IN THAT YEAR, SHALL BE USED TO REDUCE THE  
34 ADDITIONAL PER START FEE IN THE FOLLOWING YEAR. SUCH REDUCTION SHALL BE  
35 CALCULATED IN THE SAME MANNER AS THE ADDITIONAL PER START FEE.

36 6. NOT LATER THAN MAY FIRST, EACH YEAR, THE COMMISSION SHALL SUBMIT TO  
37 THE DIRECTOR OF THE BUDGET, THE TEMPORARY PRESIDENT OF THE SENATE AND  
38 THE SPEAKER OF THE ASSEMBLY A REPORT ON THE REVENUE GENERATED BY THE  
39 ASSESSMENT, THE TOTAL COST OF EQUINE DRUG TESTING, AND ANY PROJECTED  
40 DEFICIT OR SURPLUS IN THE RACING REGULATION ACCOUNT. THE COMMISSION  
41 SHALL ALSO PUBLISH SUCH REPORT ON THE COMMISSION'S WEBSITE AS SOON AS  
42 PRACTICABLE.

43 S 2. This act shall take effect immediately.

44 PART MM

45 Section 1. Paragraph (b) of subdivision 2 of section 435 of the execu-  
46 tive law, as amended by chapter 164 of the laws of 2003, is amended to  
47 read as follows:

48 (b) No person, firm or corporation, other than an organization [which]  
49 THAT is or has been during the preceding twelve months duly licensed to  
50 conduct bingo games, shall sell or distribute bingo supplies or equip-  
51 ment without having first obtained a license therefor upon A written OR  
52 ELECTRONIC application made, verified and filed with the commission in  
53 the form prescribed by the rules and regulations of the commission. As a  
54 part of its determination concerning the applicant's suitability for

1 licensing as a bingo supplier, the [New York state racing and wagering  
 2 board] COMMISSION shall require the applicant to furnish to such board  
 3 two sets of fingerprints. Such fingerprints shall be submitted to the  
 4 division of criminal justice services for a state criminal history  
 5 record check, as defined in subdivision one of section three thousand  
 6 thirty-five of the education law, and may be submitted to the federal  
 7 bureau of investigation for a national criminal history record check.  
 8 In each such application for a license under this section shall be stat-  
 9 ed the name and address of the applicant; the names and addresses of its  
 10 officers, directors, shareholders or partners; the amount of gross  
 11 receipts realized on the sale or distribution of bingo supplies and  
 12 equipment to duly licensed organizations during the last preceding  
 13 calendar or fiscal year, and such other information as shall be  
 14 prescribed by such rules and regulations. The fee for such license shall  
 15 be a sum equal to twenty-five dollars plus an amount based upon the  
 16 gross sales, if any, of bingo equipment and supplies to authorized  
 17 organizations by the applicant during the preceding calendar year, or  
 18 fiscal year if the applicant maintains his OR HER accounts on a fiscal  
 19 year basis, and determined in accordance with the following schedule:

20	gross sales of \$1,000 to \$4,999.....	\$10.00
21	gross sales of \$5,000 to \$19,999.....	\$50.00
22	gross sales of \$20,000 to \$49,999.....	\$200.00
23	gross sales of \$50,000 to \$100,000.....	\$500.00
24	gross sales in excess of \$100,000.....	\$1,000.00

25 S 2. Section 476 of the general municipal law is amended by adding a  
 26 new subdivision 13 to read as follows:

27 13. "ANCILLARY NON-GAMING ACTIVITY" SHALL MEAN ANY ACTIVITY NOT  
 28 DIRECTLY RELATED TO THE CONDUCT OR OUTCOME OF ANY GAME OF BINGO, AND  
 29 SHALL INCLUDE ASSISTING AT ANY FOOD CONCESSION, CLEANING, MAINTENANCE  
 30 AND SITE PREPARATION AT THE LOCATION WHERE GAMES OF BINGO ARE CONDUCTED.

31 S 3. Subdivisions 5 and 6 of section 479 of the general municipal law,  
 32 as amended by chapter 328 of the laws of 1994, are amended to read as  
 33 follows:

34 5. No prize shall exceed the sum or value of [one] FIVE thousand  
 35 dollars in any single game of bingo.

36 6. No series of prizes on any one bingo occasion shall aggregate more  
 37 than [three] FIFTEEN thousand dollars.

38 S 4. Section 480 of the general municipal law, as amended by chapter  
 39 438 of the laws of 1962, paragraph (a) of subdivision 1 as amended by  
 40 chapter 611 of the laws of 1963, paragraph (b) of subdivision 2 as  
 41 amended by chapter 413 of the laws of 1963, is amended to read as  
 42 follows:

43 S 480. Application for license. 1. To conduct bingo. (a) Each appli-  
 44 cant for a license TO CONDUCT BINGO shall, after obtaining an identifi-  
 45 cation number from the control commission, file with the clerk of the  
 46 municipality a written OR ELECTRONIC application therefor in the form  
 47 prescribed in the rules and regulations of the control commission, duly  
 48 executed and verified, in which SUCH APPLICANT shall [be stated] STATE:

49 (1) the name and address of the applicant together with sufficient  
 50 facts relating to [its] SUCH APPLICANT'S incorporation and organization  
 51 to enable the governing body of the municipality to determine whether or  
 52 not [it] THE APPLICANT is a bona fide authorized organization;

53 (2) the names and addresses of [its] THE APPLICANT'S officers; the  
 54 place or places where, AND the date or dates and the time or times when,  
 55 the applicant intends to conduct bingo under the license applied for;

1 (3) in case the applicant intends to lease premises for this purpose  
2 from other than an authorized organization, the name and address of the  
3 licensed commercial lessor of such premises, and the capacity or poten-  
4 tial capacity for public assembly purposes of space in any premises  
5 presently owned or occupied by the applicant;

6 (4) the amount of rent to be paid or other consideration to be given  
7 directly or indirectly for each occasion for use of the premises of  
8 another authorized organization licensed under this article to conduct  
9 bingo or for use of the premises of a licensed commercial lessor;

10 (5) all other items of expense intended to be incurred or paid in  
11 connection with the holding, operating and conducting of such games of  
12 bingo and the names and addresses of the persons to whom, and the  
13 purposes for which, they are to be paid;

14 (6) the specific purposes to which the entire net proceeds of such  
15 games OF BINGO are to be devoted and in what manner; that no commission,  
16 salary, compensation, reward or recompense will be paid to any person  
17 for conducting such bingo game or games or for assisting therein except  
18 as in this article otherwise provided; and such other information as  
19 shall be prescribed by [such] THE rules and regulations OF THE COMMIS-  
20 SION.

21 (b) In each application there shall be designated an active member or  
22 members of the applicant organization under whom the game or games of  
23 bingo will be conducted and to the application shall be appended a  
24 statement executed by the member or members so designated, that he, SHE  
25 or they will be responsible for the conduct of such bingo games in  
26 accordance with the terms of the license, [and] the rules and regu-  
27 lations of the commission and [of] this article.

28 2. Commercial lessor. (a) Each applicant for a license to lease prem-  
29 ises to a licensed organization for the purposes of conducting bingo  
30 therein shall file with the clerk of the municipality [a written] AN  
31 application therefor in a form prescribed in the rules and regulations  
32 of the control commission duly executed and verified, which shall set  
33 forth the name and address of the applicant; designation and address of  
34 the premises intended to be covered by the license sought; lawful capac-  
35 ity for public assembly purposes; cost of premises and assessed valu-  
36 ation for real estate tax purposes, or annual net lease rent, whichever  
37 is applicable; gross rentals received and itemized expenses for the  
38 immediately preceding calendar or fiscal year, if any; gross rentals, if  
39 any, derived from bingo during the last preceding calendar or fiscal  
40 year; computation by which proposed rental schedule was determined;  
41 number of occasions on which applicant anticipates receiving rent for  
42 bingo during the ensuing year or shorter period if applicable; proposed  
43 rent for each such occasion; estimated gross rental income from all  
44 other sources during the ensuing year; estimated expenses itemized for  
45 ensuing year and amount of each item allocated to bingo rentals; a  
46 statement that the applicant in all respects conforms with the specifi-  
47 cations contained in the definition of "authorized commercial lessor"  
48 set forth in section four hundred seventy-six of this article, and such  
49 other information as shall be prescribed by [such] THE rules and regu-  
50 lations OF THE COMMISSION.

51 (b) At the end of the license period, a recapitulation, in a manner  
52 prescribed in the rules and regulations of the commission, shall be made  
53 as between the licensee and the municipal governing body in respect of  
54 the gross rental actually received during the license period and the fee  
55 paid therefor[, and any]. THE LICENSEE SHALL PAY ANY deficiency of fee  
56 thereby shown to be due [shall be paid by the licensee] and any excess

1 of fee thereby shown to have been paid shall be credited to [said] SUCH  
2 licensee, in such manner as the commission by rules and regulations  
3 shall prescribe.

4 S 5. Paragraph (a) of subdivision 1 of section 481 of the general  
5 municipal law, as amended by section 17 of part LL of chapter 56 of the  
6 laws of 2010, is amended to read as follows:

7 (a) Issuance of licenses to conduct bingo. If the governing body of  
8 the municipality [shall determine] DETERMINES that the applicant is duly  
9 qualified to be licensed to conduct bingo under this article; that the  
10 member or members of the applicant designated in the application to  
11 conduct bingo are bona fide active members of the applicant and are  
12 persons of good moral character and have never been convicted of a crime  
13 or, if convicted, have received a pardon or a certificate of good  
14 conduct or a certificate of relief from disabilities pursuant to article  
15 twenty-three of the correction law; that such games OF BINGO are to be  
16 conducted in accordance with the provisions of this article and in  
17 accordance with the rules and regulations of the commission, and that  
18 the proceeds thereof are to be disposed of as provided by this article,  
19 and if the governing body is satisfied that no commission, salary,  
20 compensation, reward or recompense [whatever] WHAT SO EVER will be paid  
21 or given to any person holding, operating or conducting or assisting in  
22 the holding, operation and conduct of any such games OF BINGO except as  
23 in this article otherwise provided; and that no prize will be offered  
24 and given in excess of the sum or value of [one] FIVE thousand dollars  
25 in any single game and that the aggregate of all prizes offered and  
26 given in all of such games conducted on a single occasion, under said  
27 license shall not exceed the sum or value of [three] FIFTEEN thousand  
28 dollars, [it] THEN THE MUNICIPALITY shall issue a license to the appli-  
29 cant for the conduct of bingo upon payment of a license fee of eighteen  
30 dollars and seventy-five cents for each bingo occasion; provided, howev-  
31 er, that the governing body shall refuse to issue a license to an appli-  
32 cant seeking to conduct bingo in premises of a licensed commercial  
33 lessor where [it] SUCH GOVERNING BODY determines that the premises pres-  
34 ently owned or occupied by [said] SUCH applicant are in every respect  
35 adequate and suitable for conducting bingo games.

36 S 6. Section 486 of the general municipal law, as amended by chapter  
37 438 of the laws of 1962, is amended to read as follow:

38 S 486. Participation by persons under THE AGE OF eighteen. No person  
39 under the age of eighteen years shall be permitted to play any game or  
40 games of bingo conducted pursuant to any license issued under this arti-  
41 cle [unless accompanied by an adult]. No person under the age of eigh-  
42 teen years shall be permitted to conduct, OPERATE or assist in the  
43 conduct of any game of bingo conducted pursuant to any license issued  
44 [under] PURSUANT TO this article. NOTHING IN THIS SECTION SHALL PREVENT  
45 A PERSON SIXTEEN YEARS OF AGE OR OLDER FROM PERFORMING ANCILLARY  
46 NON-GAMING ACTIVITIES CONDUCTED IN CONJUNCTION WITH ANY GAME OF BINGO  
47 CONDUCTED PURSUANT TO ANY LICENSE PURSUANT TO THIS ARTICLE.

48 S 7. Intentionally omitted.

49 S 8. Section 490 of the general municipal law, as amended by chapter  
50 99 of the laws of 1988, is amended to read as follows:

51 S 490. Advertising of bingo games. A licensee may advertise the  
52 conduct of an occasion of bingo to the general public by means of news-  
53 paper, radio, circular, handbill and poster, [and] by one sign not  
54 exceeding sixty square feet in area, which may be displayed on or adja-  
55 cent to the premises owned or occupied by a licensed authorized organ-  
56 ization, [and when] AND THROUGH THE INTERNET OR TELEVISION AS MAY BE

1 REGULATED BY THE RULES AND REGULATIONS OF THE COMMISSION. WHEN an organ-  
2 ization is licensed to conduct bingo occasions on the premises of another  
3 licensed authorized organization or of a licensed commercial lessor,  
4 one additional such sign may be displayed on or adjacent to the premises  
5 in which the occasions are to be conducted. Additional signs may be  
6 displayed upon any firefighting OR AMBULANCE equipment belonging to any  
7 licensed authorized organization which is a volunteer fire company,  
8 VOLUNTEER AMBULANCE CORPS or upon any equipment of a first aid or rescue  
9 squad in and throughout the community served by such volunteer fire  
10 company, VOLUNTEER AMBULANCE CORPS or such first aid or rescue squad, as  
11 the case may be. All advertisements shall be limited to the description  
12 of such event as "bingo", the name of the licensed authorized organiza-  
13 tion conducting such BINGO occasions, the license number of the author-  
14 ized organization as assigned by the clerk; THE PRIZES OFFERED and the  
15 date, location and time of the bingo occasion.

16 S 9. Subdivision 1 of section 491 of the general municipal law, as  
17 amended by chapter 667 of the laws of 1980, is amended to read as  
18 follows:

19 1. Within seven days after the conclusion of any occasion of bingo,  
20 the authorized organization [which] THAT conducted the same, and [its]  
21 SUCH AUTHORIZED ORGANIZATION'S members who were in charge thereof, and  
22 when applicable the authorized organization [which] THAT rented its  
23 premises therefor, shall each furnish to the clerk of the municipality a  
24 statement subscribed by the member in charge and affirmed by [him] SUCH  
25 PERSON as true, under the penalties of perjury, showing the amount of  
26 the gross receipts derived therefrom and each item of expense incurred,  
27 or paid, and each item of expenditure made or to be made, the name and  
28 address of each person to whom each such item has been paid, or is to be  
29 paid, with a detailed description of the merchandise purchased or the  
30 services rendered therefor, the net proceeds derived from such game or  
31 rental, as the case may be, and the use to which such proceeds have been  
32 or are to be applied and a list of prizes offered and given, with the  
33 respective values thereof[, and it]. A CLERK MAY MAKE PROVISIONS FOR THE  
34 OPTION FOR THE ELECTRONIC FILING OF SUCH STATEMENT. IT shall be the duty  
35 of each licensee to maintain and keep such books and records as may be  
36 necessary to substantiate the particulars of each such statement and  
37 within fifteen days after the end of each calendar quarter during which  
38 there has been any occasion of bingo, a summary statement of such infor-  
39 mation, in form prescribed by the [state] COMMISSION, shall be furnished  
40 in the same manner to the [state racing and wagering board] COMMISSION.

41 S 10. Subdivision 3-b and paragraph (c) of subdivision 5 of section  
42 186 of the general municipal law, as amended by subdivision 3-b as added  
43 by chapter 550 of the laws of 1994, paragraph (c) of subdivision 5 as  
44 amended by chapter 881 of the laws of 1981, are amended to read as  
45 follows:

46 3-b. "Raffle" shall mean and include those games of chance in which a  
47 participant pays money in return for a ticket or other receipt and in  
48 which a prize is awarded on the basis of a winning number or numbers,  
49 color or colors, or symbol or symbols designated on the ticket or  
50 receipt, determined by chance as a result of:

51 (A) a drawing from among those tickets or receipts previously sold; OR

52 (B) A RANDOM EVENT, THE RESULTS OF WHICH CORRESPOND WITH TICKETS OR  
53 RECEIPTS PREVIOUSLY SOLD.

54 (c) Those [which shall] THAT otherwise lessen the burdens borne by  
55 government or [which] THAT are voluntarily undertaken by an authorized  
56 organization to augment or supplement services which government would

1 normally render to the people, including, in the case of volunteer  
2 [firemen's] FIREFIGHTERS OR VOLUNTARY EMERGENCY MEDICAL SERVICE activ-  
3 ities, the purchase, erection or maintenance of a building for a fire-  
4 house OR A VOLUNTEER AMBULANCE CORPS BUILDING, activities open to the  
5 public for the enhancement of membership[,] and the purchase of equip-  
6 ment [which] THAT can reasonably be expected to increase the efficiency  
7 of response to fires, accidents, MEDICAL EMERGENCIES, public calamities  
8 and other emergencies.

9 S 11. Subdivisions 5, 6 and 13 of section 189 of the general municipal  
10 law, subdivision 5 as amended by chapter 434 of the laws of 2016, subdi-  
11 vision 6 as amended by chapter 302 of the laws of 2010, and subdivision  
12 13 as amended by chapter 252 of the laws of 1998, are amended to read as  
13 follows:

14 5. (A) No single prize awarded by games of chance other than raffle  
15 shall exceed the sum or value of three hundred dollars, except that for  
16 merchandise wheels, no single prize shall exceed the sum or value of two  
17 hundred fifty dollars, AND FOR BELL JAR, NO SINGLE PRIZE SHALL EXCEED  
18 THE SUM OR VALUE OF ONE THOUSAND DOLLARS.

19 (B) No single prize awarded by raffle shall exceed the sum or value of  
20 three hundred thousand dollars.

21 (C) No single wager shall exceed six dollars and for bell jars, coin  
22 boards[,] or merchandise boards, no single prize shall exceed [five  
23 hundred] ONE THOUSAND dollars, provided, however, that such limitation  
24 shall not apply to the amount of money or value paid by the participant  
25 in a raffle in return for a ticket or other receipt.

26 (D) For coin boards and merchandise boards, the value of a prize shall  
27 be determined by [its costs] THE COST OF SUCH PRIZE to the authorized  
28 organization or, if donated, [its] THE fair market value OF SUCH PRIZE.

29 6. (A) No authorized organization shall award a series of prizes  
30 consisting of cash or of merchandise with an aggregate value in excess  
31 of:

32 (1) ten thousand dollars during the successive operations of any one  
33 merchandise wheel[,] ; and

34 (2) [three] SIX thousand dollars during the successive operations of  
35 any bell jar, coin board[,] or merchandise board.

36 (B) No series of prizes awarded by raffle shall have an aggregate  
37 value in excess of five hundred thousand dollars.

38 (C) For coin boards and merchandise boards, the value of a prize shall  
39 be determined by [its cost] THE COST OF SUCH PRIZE to the authorized  
40 organization or, if donated, [its] THE fair market value OF SUCH PRIZE.

41 13. (A) No game of chance, OTHER THAN A RAFFLE THAT COMPLIES WITH  
42 PARAGRAPH (B) OF THIS SUBDIVISION, shall be conducted on other than the  
43 premises of an authorized organization or an authorized games of chance  
44 lessor. [Nothing herein shall prohibit the sale of raffle]

45 (B) RAFFLE tickets MAY BE SOLD to the public, AND A RAFFLE DRAWING MAY  
46 OCCUR, outside the premises of an authorized organization or an author-  
47 ized games of chance lessor[; or in municipalities which have] IF SUCH  
48 SALES OCCUR, OR SUCH DRAWING OCCURS, IN A MUNICIPALITY THAT:

49 (1) HAS passed a local law, ordinance or resolution in accordance with  
50 sections one hundred eighty-seven and one hundred eighty-eight of this  
51 article approving the conduct of games of chance;

52 (2) [that are] IS located in the county in which the municipality  
53 issuing the RAFFLE license is located [and] OR in [the counties which  
54 are] A COUNTY THAT IS contiguous to the county in which the municipality  
55 issuing the raffle license is located[, provided those municipalities



1 have authorized the licensee, in writing, to sell such raffle tickets  
2 therein and provided, however, that no];

3 (3) HAS NOT OBJECTED TO SUCH SALES AFTER THE GAMING COMMISSION GIVES  
4 NOTICE TO SUCH MUNICIPALITY OF AN AUTHORIZED ORGANIZATION'S REQUEST TO  
5 SELL SUCH RAFFLE TICKETS IN SUCH MUNICIPALITY; AND

6 (4) HAS NOT OBJECTED TO THE LOCATION IN SUCH MUNICIPALITY THAT SUCH  
7 DRAWING IS PROPOSED TO OCCUR, AFTER THE COMMISSION GIVES NOTICE TO SUCH  
8 MUNICIPALITY OF AN AUTHORIZED ORGANIZATION'S REQUEST TO CONDUCT SUCH  
9 DRAWING IN SUCH MUNICIPALITY. A LOCATION OF A DRAWING MAY BE ON  
10 STATE-OWNED PROPERTY SO LONG AS THE AUTHORIZED ORGANIZATION CONDUCTING  
11 THE RAFFLE OBTAINS ALL REQUIRED AUTHORIZATIONS TO DO SO AND COMPLIES  
12 WITH THIS PARAGRAPH.

13 (C) THE GAMING COMMISSION MAY BY REGULATION PRESCRIBE THE ADVANCE  
14 NOTICE AN AUTHORIZED ORGANIZATION MUST PROVIDE TO THE GAMING COMMISSION  
15 IN ORDER TO TAKE ADVANTAGE OF THE PROVISIONS OF PARAGRAPH (B) OF THIS  
16 SUBDIVISION, FORMS IN WHICH SUCH A REQUEST SHALL BE MADE AND THE TIME  
17 PERIOD IN WHICH A MUNICIPALITY MUST COMMUNICATE AN OBJECTION TO THE  
18 GAMING COMMISSION.

19 (D) NO sale of raffle tickets shall be made more than one hundred  
20 eighty days prior to the date scheduled for the occasion at which the  
21 raffle will be conducted.

22 (E) The winner of any single prize in a raffle shall not be required  
23 to be present at the time such raffle is conducted.

24 S 12. Subdivisions 1 and 2 of section 190-a of the general municipal  
25 law, as amended by chapter 400 of the laws of 2005, are amended to read  
26 as follows:

27 1. Notwithstanding the licensing requirements set forth in this arti-  
28 cle and their filing requirements set forth in subdivision four of  
29 section one hundred ninety of this article, an authorized organization  
30 may conduct a raffle without complying with such licensing requirements  
31 or such filing requirements, provided, that such organization shall  
32 derive net proceeds from raffles in an amount less than five thousand  
33 dollars during the conduct of one raffle and shall derive net proceeds  
34 from raffles in an amount less than [twenty] THIRTY thousand dollars  
35 during one calendar year.

36 2. (a) For the purposes of this section, "authorized organization"  
37 shall mean and include any bona fide religious or charitable organiza-  
38 tion or bona fide educational, fraternal or service organization or bona  
39 fide organization of veterans [or], volunteer [firefighter, which] FIRE-  
40 FIGHTERS OR VOLUNTEER AMBULANCE WORKERS THAT by its charter, certificate  
41 of incorporation, constitution, or act of the legislature, [shall have]  
42 HAS among its dominant purposes one or more of the lawful purposes as  
43 defined in this article, provided that each shall operate without profit  
44 to its members[, ] and provided that each such organization has engaged  
45 in serving one or more of the lawful purposes as defined in this article  
46 for a period of [three years] ONE YEAR immediately prior to being grant-  
47 ed the filing requirement exemption contained in subdivision one of this  
48 section.

49 (b) No organization shall be deemed an authorized organization [which]  
50 THAT is formed primarily for the purpose of conducting games of chance  
51 and [which] THAT does not devote at least seventy-five percent of its  
52 activities to other than conducting games of chance. No political party  
53 shall be deemed an authorized organization.

54 S 13. Section 195-d of the general municipal law, as amended by chap-  
55 ter 637 of the laws of 1999, is amended to read as follows:

1 S 195-d. Charge for admission and participation; amount of prizes;  
2 award of prizes. 1. A fee may be charged by any licensee for admission  
3 to any game or games of chance conducted under any license issued under  
4 this article. The clerk or department may in its discretion fix a mini-  
5 mum fee.

6 2. With the exception of bell jars, coin boards, seal cards, merchan-  
7 dise boards[,] and raffles, every winner shall be determined and every  
8 prize shall be awarded and delivered within the same calendar day as  
9 that upon which the game was played. No alcoholic beverage shall be  
10 offered or given as a prize in any game of chance.

11 3. A PLAYER MAY PURCHASE A CHANCE WITH CASH OR, IF THE AUTHORIZED  
12 ORGANIZATION WISHES, WITH A PERSONAL CHECK.

13 S 14. Section 195-e of the general municipal law, as amended by  
14 section 94 of the laws of 1981, is amended to read as follows:

15 S 195-e. Advertising games. A licensee may advertise the conduct of  
16 games of chance to the general public by means of newspaper, circular,  
17 handbill and poster, and by one sign not exceeding sixty square feet in  
18 area, which may be displayed on or adjacent to the premises owned or  
19 occupied by a licensed authorized organization, [and when] THROUGH THE  
20 INTERNET OR TELEVISION AS MAY BE REGULATED BY THE RULES AND REGULATIONS  
21 OF THE COMMISSION. WHEN an organization is licensed OR AUTHORIZED to  
22 conduct games of chance on THE premises of an authorized games of chance  
23 lessor, one additional such sign may be displayed on or adjacent to the  
24 premises in which the games are to be conducted. Additional signs may  
25 be displayed upon any [fire fighting] FIREFIGHTING OR AMBULANCE equip-  
26 ment belonging to any licensed authorized organization [which] THAT is a  
27 volunteer fire company, VOLUNTEER AMBULANCE CORPS or upon any equipment  
28 of a first aid or rescue squad in and throughout the community served by  
29 such volunteer fire company, VOLUNTEER AMBULANCE CORPS or such first aid  
30 or rescue squad, as the case may be. All advertisements shall be limited  
31 to the description of such event as "Games of chance" or "Las Vegas  
32 Night", the name of the authorized organization conducting such games,  
33 the license number of the authorized organization as assigned by the  
34 clerk or department, THE PRIZES OFFERED and the date, location and time  
35 of the event.

36 S 15. Subdivision 2 of section 195-f of the general municipal law, as  
37 amended by chapter 678 of the laws of 2004, is amended to read as  
38 follows:

39 2. Within thirty days after the conclusion of an occasion during which  
40 a raffle was conducted, the authorized organization conducting such  
41 raffle and the members in charge of such raffle, and, when applicable,  
42 the authorized games of chance lessor [which] THAT rented its premises  
43 therefor, shall each furnish to the clerk or department a statement on a  
44 form prescribed by the [board] GAMING COMMISSION, subscribed by the  
45 member in charge and affirmed by him OR HER as true, under the penalties  
46 of perjury, showing the number of tickets printed, the number of tickets  
47 sold, the price, and the number of tickets returned to or retained by  
48 the authorized organization as unsold, a description and statement of  
49 the fair market value for each prize actually awarded, the amount of the  
50 gross receipts derived therefrom, each item of expenditure made or to be  
51 made other than prizes, the name and address of each person to whom each  
52 such item of expense has been paid, or is to be paid, a detailed  
53 description of the merchandise purchased or the services rendered there-  
54 for, the net proceeds derived from the raffle at such occasion, the use  
55 to which the proceeds have been or are to be applied [and]. IT shall be  
56 the duty of each licensee to maintain and keep such books and records as

1 may be necessary to substantiate the particulars of each such statement,  
2 provided, however, where the cumulative net proceeds or net profits  
3 derived from the conduct of a raffle or raffles are less than thirty  
4 thousand dollars during any one occasion, in such case, the reporting  
5 requirement shall be satisfied by the filing within thirty days of the  
6 conclusion of such occasion a verified statement prescribed by the  
7 [board] GAMING COMMISSION attesting to the amount of such net proceeds  
8 or net profits and the distribution thereof for lawful purposes with the  
9 clerk or department and a copy with the [board] GAMING COMMISSION, and  
10 provided further, however, where the cumulative net proceeds derived  
11 from the conduct of a raffle or raffles are less than five thousand  
12 dollars during any one occasion and less than [twenty] THIRTY thousand  
13 dollars during one calendar year, no reporting shall be required.

14 S 16. Subdivision 5 of section 195-o of the general municipal law, as  
15 amended by section 637 of the laws of 1999, is amended to read as  
16 follows:

17 5. Reports. A distributor shall report quarterly to the [board] GAMING  
18 COMMISSION, on a form prescribed by the [board] GAMING COMMISSION, its  
19 sales of each type of bell jar deal or tickets. This report shall be  
20 filed quarterly on or before the twentieth day of the month succeeding  
21 the end of the quarter in which the sale was made. The [board] GAMING  
22 COMMISSION may require that a distributor submit the quarterly report  
23 and invoices required by this section via [magnetic] ELECTRONIC media or  
24 electronic data transfer.

25 S 17. This act shall take effect on the ninetieth day after it shall  
26 have become a law.

27

## PART NN

28 Section 1. Section 207 of the racing, pari-mutuel wagering and breed-  
29 ing law, as added by chapter 18 of the laws of 2008, paragraphs a, b and  
30 c of subdivision 1 as added by section 4, paragraph c of subdivision 1  
31 as added by section 5 and subdivision 5 as added by section 6 of chapter  
32 457 of the laws of 2012, and paragraph d of subdivision 1 as amended by  
33 section 1 of part C of chapter 73 of the laws of 2016, is amended to  
34 read as follows:

35 S 207. Board of directors of a franchised corporation. 1. a. The  
36 board of directors, to be called the New York racing association [reor-  
37 ganization] board, shall consist of seventeen members[, five of whom  
38 shall be elected by the present class A directors of The New York Racing  
39 Association, Inc., eight to be] WHO SHALL HAVE EQUAL VOTING RIGHTS: TWO  
40 appointed by the governor, two [to be] appointed by the temporary presi-  
41 dent of the senate and two [to be] appointed by the speaker of the  
42 assembly; EIGHT APPOINTED BY THE EXECUTIVE COMMITTEE OF THE NEW YORK  
43 RACING ASSOCIATION REORGANIZATION BOARD OF DIRECTORS CONSTITUTED PURSU-  
44 ANT TO CHAPTER FOUR HUNDRED FIFTY-SEVEN OF THE LAWS OF TWO THOUSAND  
45 TWELVE, WHICH SHALL CONTINUE TO EXIST UNTIL SUCH TIME AS THE APPOINT-  
46 MENTS REQUIRED HEREUNDER ARE MADE. THE NEW YORK RACING ASSOCIATION WILL  
47 INCLUDE KNOWLEDGE OF THE MARKETPLACE AND COMMUNITIES IN WHICH THE NEW  
48 YORK RACING ASSOCIATION OPERATES AS A FACTOR IN BOARD SELECTION; ONE WHO  
49 SHALL BE THE PRESIDENT AND CHIEF EXECUTIVE OFFICER OF THE FRANCHISED  
50 CORPORATION, EX OFFICIO AND WITHOUT TERM LIMITATION; ONE APPOINTED BY  
51 THE NEW YORK THOROUGHBRED BREEDERS, INC.; AND ONE APPOINTED BY THE NEW  
52 YORK THOROUGHBRED HORSEMEN'S ASSOCIATION REPRESENTING AT LEAST FIFTY-ONE  
53 PERCENT OF THE HORSEMEN USING THE FACILITIES OF THE FRANCHISED CORPO-  
54 RATION. THE NEW YORK RACING ASSOCIATION BOARD MAY INCLUDE ADDITIONAL EX

1 OFFICIO, NON-VOTING MEMBERS AS APPOINTED PURSUANT TO A MAJORITY VOTE OF  
2 THE BOARD. ALL PUBLIC APPOINTED MEMBERS OF THE BOARD SHALL BE A RESIDENT  
3 OF NEW YORK STATE.

4 (i) The governor shall nominate a member to serve as chair FOR AN  
5 INITIAL TERM OF THREE YEARS, WHO SHALL SERVE AT THE PLEASURE OF THE  
6 GOVERNOR, subject to confirmation by majority vote of the board [of  
7 directors. All non-ex officio members shall have equal voting rights].  
8 THEREAFTER, THE BOARD SHALL ELECT ITS CHAIR, WHO SHALL SERVE AT THE  
9 PLEASURE OF THE BOARD, FROM AMONG ITS MEMBERS.

10 (ii) THE TERM OF VOTING MEMBERSHIP ON THE NEW YORK RACING ASSOCIATION  
11 BOARD SHALL BE THREE YEARS. INDIVIDUAL APPOINTEES SHALL BE LIMITED TO  
12 SERVING AS A VOTING MEMBER THE LESSER OF THREE TERMS OR NINE YEARS.  
13 NOTWITHSTANDING THE FOREGOING, THE INITIAL TERM OF ONE MEMBER APPOINTED  
14 BY EACH OF THE GOVERNOR, TEMPORARY PRESIDENT OF THE SENATE, AND SPEAKER  
15 OF THE ASSEMBLY, THE MEMBER APPOINTED BY THE NEW YORK THOROUGHBRED  
16 HORSEMEN'S ASSOCIATION, AND THE MEMBER APPOINTED BY THE NEW YORK  
17 THOROUGHBRED BREEDERS, INC. SHALL EXPIRE MARCH THIRTY-FIRST, TWO THOU-  
18 SAND EIGHTEEN; THE INITIAL TERM OF THE REMAINING MEMBERS APPOINTED BY  
19 EACH OF THE GOVERNOR, TEMPORARY PRESIDENT OF THE SENATE, AND SPEAKER OF  
20 THE ASSEMBLY AND TWO MEMBERS APPOINTED BY THE NEW YORK RACING ASSOCI-  
21 ATION REORGANIZATION BOARD SHALL EXPIRE ON MARCH THIRTY-FIRST, TWO THOU-  
22 SAND NINETEEN; AND THE REMAINING MEMBERS SHALL SERVE FULL THREE-YEAR  
23 TERMS.

24 (III) In the event of a member vacancy occurring by death, resignation  
25 or otherwise, the respective appointing [officer or officers] AUTHORITY  
26 shall appoint a successor who shall hold office for the unexpired  
27 portion of the term. [A vacancy from the members appointed from the  
28 present board of The New York Racing Association, Inc., shall be filled  
29 by the remaining such members] IN THE CASE OF VACANCIES AMONG MEMBERS  
30 APPOINTED BY THE EXECUTIVE COMMITTEE OF THE NEW YORK RACING ASSOCIATION  
31 REORGANIZATION BOARD OF DIRECTORS CONSTITUTED PURSUANT TO CHAPTER FOUR  
32 HUNDRED FIFTY-SEVEN OF THE LAWS OF TWO THOUSAND TWELVE, APPOINTMENTS  
33 THEREAFTER SHALL BE MADE BY THE EXECUTIVE COMMITTEE OF THE NEW YORK  
34 RACING ASSOCIATION BOARD AS CONSTITUTED BY THE CHAPTER OF THE LAWS OF  
35 TWO THOUSAND SEVENTEEN THAT AMENDED THIS SECTION.

36 b. The franchised corporation shall establish a compensation committee  
37 to fix salary guidelines, such guidelines to be consistent with an oper-  
38 ation of other first class thoroughbred racing operations in the United  
39 States; a finance AND AUDIT committee, to review annual operating and  
40 capital budgets for each of the three racetracks; a nominating AND  
41 GOVERNANCE committee, to nominate any new directors to be designated by  
42 the franchised corporation to replace its existing directors AND BE  
43 RESPONSIBLE FOR ALL ISSUES AFFECTING THE GOVERNANCE OF THE FRANCHISED  
44 CORPORATION; AN EQUINE SAFETY COMMITTEE TO REVIEW INDUSTRY BEST PRAC-  
45 TICES TO IMPROVE THE SAFETY OF HORSE RACING OF THE THREE RACETRACKS; A  
46 RACING COMMITTEE TO ADDRESS ALL ISSUES RELATED TO RACING OPERATIONS; and  
47 an executive committee. Each of the compensation, finance, nominating  
48 and executive committees shall include at least one [of] PUBLIC MEMBER  
49 FROM AMONG the directors appointed by the governor[, and the executive  
50 committee shall include at least one of the directors appointed by the  
51 temporary president of the senate and at least one of the directors  
52 appointed by the speaker of the assembly].

53 [b. In addition to these voting members, the board shall have two ex  
54 officio members to advise on critical economic and equine health  
55 concerns of the racing industry, one appointed by the New York Thorough-  
56 bred Breeders Inc., and one appointed by the New York thoroughbred

1 horsemen's association (or such other entity as is certified and  
2 approved pursuant to section two hundred twenty-eight of this article).  
3 c. All directors shall serve at the pleasure of their appointing  
4 authority.]

5 c. Upon the effective date of this paragraph, the structure of the NEW  
6 YORK RACING ASSOCIATION board [of the franchised corporation] shall be  
7 deemed to be incorporated within and made part of the certificate of  
8 incorporation of the franchised corporation, and no amendment to such  
9 certificate of incorporation shall be necessary to give effect to any  
10 such provision, and any provision contained within such certificate  
11 inconsistent in any manner shall be superseded by the provisions of this  
12 section. Such board shall, however, make appropriate conforming changes  
13 to all governing documents of the franchised corporation including but  
14 not limited to corporate by-laws. Following such conforming changes,  
15 amendments to the by-laws of the franchised corporation shall [only] be  
16 made ONLY by unanimous vote of the board.

17 [d. The board, which shall become effective upon appointment of a  
18 majority of public members, shall terminate five years from its date of  
19 creation.]

20 2. Members of the NEW YORK RACING ASSOCIATION board [of directors]  
21 shall serve without compensation for their services, but [publicly  
22 appointed members of the board] shall be entitled to reimbursement from  
23 the franchised corporation for actual and necessary expenses incurred in  
24 the performance of their [official] duties FOR THE BOARD.

25 3. Members of the NEW YORK RACING ASSOCIATION board [of directors],  
26 except as otherwise provided by law, may engage in private employment,  
27 or in a profession or business, however no member shall have any direct  
28 or indirect economic interest in any video lottery gaming facility,  
29 excluding incidental benefits based on purses or awards won in the ordi-  
30 nary conduct of racing operations, or any direct or indirect interest in  
31 any development undertaken at the racetracks of the state racing fran-  
32 chise INCLUDING REAL ESTATE DEVELOPMENT PARCELS AS DEFINED IN THE FRAN-  
33 CHISE AGREEMENT.

34 4. The affirmative vote of a majority of members of the NEW YORK  
35 RACING ASSOCIATION board [of directors] shall be necessary for the tran-  
36 saction of any business or the exercise of any power or function of the  
37 franchised corporation. The franchised corporation may delegate on an  
38 annual basis to one or more of its members, or its officers, agents or  
39 employees, such powers and duties as it may deem proper.

40 5. Each voting member of the NEW YORK RACING ASSOCIATION board [of  
41 directors] of the franchised corporation shall annually make a written  
42 disclosure to [the] SUCH board of any interest held by the director,  
43 such director's spouse or unemancipated child, in any entity undertaking  
44 business in the racing or breeding industry. Such interest disclosure  
45 shall be promptly updated, in writing, in the event of any material  
46 change.

47 The NEW YORK RACING ASSOCIATION board shall establish parameters for  
48 the reporting and disclosure of such director interests.

49 6. EACH VOTING MEMBER OF THE NEW YORK RACING ASSOCIATION BOARD  
50 APPOINTED BY THE EXECUTIVE COMMITTEE OF THE NEW YORK RACING ASSOCIATION  
51 REORGANIZATION BOARD OF DIRECTORS SHALL SEEK A RACETRACK MANAGEMENT  
52 LICENSE ISSUED BY THE GAMING COMMISSION, ANY FEES FOR WHICH SHALL BE  
53 WAIVED BY THE COMMISSION. NO VOTING MEMBER OF THE BOARD REQUIRED BY THE  
54 FOREGOING TO SEEK A RACETRACK MANAGEMENT LICENSE MAY VOTE ON ANY BOARD  
55 MATTER UNTIL SUCH LICENSE IS ISSUED.

1 7. FOR PURPOSES OF SECTION TWO HUNDRED TWELVE OF THIS ARTICLE, THE  
2 ESTABLISHMENT OF THE NEW YORK RACING ASSOCIATION, INC. BOARD OF DIREC-  
3 TORS UNDER THIS SECTION SHALL NOT CONSTITUTE THE ASSUMPTION OF THE FRAN-  
4 CHISE BY A SUCCESSOR ENTITY.

5 8. THE FRANCHISE CORPORATION SHALL NOT HAVE ANY DIRECT OR INDIRECT  
6 OWNERSHIP, CONTROL, INFLUENCE, OR INVESTMENT, IN ANY FRANCHISE OVERSIGHT  
7 BOARD APPROVED DEVELOPMENT OR SUCH ALTERNATIVE USE AS MAY BE APPROVED BY  
8 THE FRANCHISE OVERSIGHT BOARD CONDUCTED ON THE REAL ESTATE DEVELOPMENT  
9 PARCELS AS DEFINED IN THE FRANCHISE AGREEMENT.

10 S 2. Subparagraphs (ii), (iii), (vii) and (xvii) of paragraph a of  
11 subdivision 8 of section 212 of the racing, pari-mutuel wagering and  
12 breeding law, as added by chapter 18 of the laws of 2008, are amended,  
13 subparagraph (xviii) is renumbered subparagraph (xx) and two new subpar-  
14 agraphs (xviii) and (xix) are added to read as follows:

15 (ii) monitor and enforce compliance with definitive documents that  
16 comprise the franchise agreement between the franchised corporation and  
17 the state of New York governing the franchised corporation's operation  
18 of thoroughbred racing and pari-mutuel wagering at the racetracks. The  
19 franchise agreement shall contain objective performance standards that  
20 shall allow contract review in a manner consistent with this chapter.  
21 The franchise oversight board shall notify the franchised corporation  
22 authorized by this chapter in writing of any material breach of the  
23 performance standards or repeated non-material breaches which the fran-  
24 chise oversight board may determine collectively constitute a material  
25 breach of the performance standards. Prior to taking any action against  
26 such franchised corporation, the franchise oversight board shall provide  
27 the franchised corporation with the reasonable opportunity to cure any  
28 material breach of the performance standards or repeated non-material  
29 breaches which the franchise oversight board may determine collectively  
30 constitute a material breach of the performance standards. Upon a writ-  
31 ten finding of a material breach of the performance standards or  
32 repeated non-material breaches which the franchise oversight board may  
33 determine collectively constitute a material breach of the performance  
34 standards, the franchise oversight board may recommend that the fran-  
35 chise agreement be terminated. The franchise oversight board shall refer  
36 such recommendation to the [racing and wagering board] COMMISSION for a  
37 hearing conducted pursuant to section two hundred forty-five of this  
38 article for a determination of whether to terminate the franchise agree-  
39 ment with the franchised corporation;

40 (iii) oversee, monitor and review all significant transactions and  
41 operations of the franchised corporation authorized by this chapter;  
42 provided, however, that nothing in this section shall be deemed to  
43 reduce, diminish or impede the authority of the [state racing and wager-  
44 ing board] COMMISSION to, pursuant to article one of this chapter,  
45 determine and enforce compliance by the franchised corporation with  
46 terms of racing laws and regulations. Such oversight shall include, but  
47 not be limited to:

48 (A) review and make recommendations concerning the annual operating  
49 budgets of such franchised corporation;

50 (B) review and make recommendations concerning operating revenues and  
51 the establishment of a financial plan;

52 (C) review and make recommendations concerning accounting, internal  
53 control systems and security procedures;

54 (D) review such franchised corporation's revenue and expenditure  
55 [policies] POLICIES which shall include collective bargaining agreements

1 management and employee compensation plans, vendor contracts and capital  
2 improvement plans;

3 (E) review such franchise corporation's compliance with the laws,  
4 rules and regulations applicable to its activities;

5 (F) make recommendations for establishing model governance principles  
6 to improve accountability and transparency; and

7 (G) receive, review, approve or disapprove capital expense plans  
8 submitted annually by the franchised corporation.

9 (vii) review and provide any recommendations on all simulcasting  
10 contracts (buy and sell) that are also subject to prior approval of the  
11 [racing and wagering board] COMMISSION;

12 (xvii) request and accept the assistance of any state agency, includ-  
13 ing but not limited to, the [racing and wagering board, the division of  
14 the lottery] COMMISSION, office of parks, recreation and historic pres-  
15 ervation, the department of environmental conservation and the depart-  
16 ment of taxation and finance, in obtaining information related to the  
17 franchised corporation's compliance with the terms of the franchise  
18 agreement;[and]

19 (XVIII) WHEN THE FRANCHISE OVERSIGHT BOARD DETERMINES THE FINANCIAL  
20 POSITION OF THE FRANCHISED CORPORATION HAS DEVIATED MATERIALLY FROM THE  
21 FRANCHISED CORPORATION'S FINANCIAL PLAN, OR OTHER SUCH RELATED DOCUMENTS  
22 PROVIDED TO THE FRANCHISE OVERSIGHT BOARD, AND SUCH DEVIATION IS NOT  
23 MITIGATED BY THE FRANCHISED CORPORATION WITHIN ONE HUNDRED EIGHTY DAYS  
24 OF THE FRANCHISE OVERSIGHT BOARD PROVIDING NOTICE OF SUCH DETERMINATION  
25 TO THE FRANCHISED CORPORATION, OR WHEN THE IMPLEMENTATION OF SUCH PLAN  
26 WOULD, IN THE OPINION OF THE FRANCHISE OVERSIGHT BOARD, POSE A SIGNIF-  
27 ICANT RISK TO THE LIQUIDITY OF THE FRANCHISED CORPORATION, IN ANY ORDER  
28 OR COMBINATION:

29 (A) HIRE, AT THE EXPENSE OF THE FRANCHISED CORPORATION, AN INDEPENDENT  
30 FINANCIAL ADVISER TO EVALUATE THE FINANCIAL POSITION OF THE FRANCHISED  
31 CORPORATION AND REPORT ON SUCH TO THE FRANCHISE OVERSIGHT BOARD; AND

32 (B) REQUIRE THE FRANCHISED CORPORATION TO SUBMIT FOR THE FRANCHISE  
33 OVERSIGHT BOARD'S APPROVAL A CORRECTIVE ACTION PLAN ADDRESSING ANY  
34 CONCERNS IDENTIFIED AS RISKS BY THE FRANCHISE OVERSIGHT BOARD.

35 (XIX) WHEN THE FRANCHISE OVERSIGHT BOARD FINDS THE FRANCHISED CORPO-  
36 RATION HAS EXPERIENCED TWO CONSECUTIVE YEARS OF MATERIAL LOSSES DUE TO  
37 CIRCUMSTANCES WITHIN THE CONTROL OF THE FRANCHISED CORPORATION, AS  
38 DETERMINED BY THE FRANCHISE OVERSIGHT BOARD, AND WHEN THE FRANCHISED  
39 CORPORATION HAS FAILED TO ADDRESS CONCERNS IDENTIFIED BY THE FRANCHISE  
40 OVERSIGHT BOARD PURSUANT TO SUBPARAGRAPH (XVIII) OF THIS PARAGRAPH, THE  
41 BOARD MAY BY UNANIMOUS VOTE REQUEST THE DIRECTOR OF THE BUDGET TO  
42 IMPOUND AND ESCROW RACING SUPPORT PAYMENTS ACCRUING TO THE BENEFIT OF  
43 THE FRANCHISED CORPORATION PURSUANT TO PARAGRAPHS THREE AND FOUR OF  
44 SUBDIVISION F OF SECTION SIXTEEN HUNDRED TWELVE OF THE TAX LAW. THE  
45 DIRECTOR OF THE BUDGET SHALL RELEASE SUCH IMPOUNDED AND ESCROWED RACING  
46 SUPPORT PAYMENTS UPON NOTICE FROM THE FRANCHISE OVERSIGHT BOARD THAT THE  
47 FRANCHISED CORPORATION HAS ACHIEVED THE GOALS OF A NEW CORRECTIVE ACTION  
48 PLAN APPROVED BY THE BOARD.

49 THE DIRECTOR OF THE BUDGET SHALL, UPON WARRANT OF THE FRANCHISE OVER-  
50 SIGHT BOARD, APPROVE THE USE OF WITHHELD RACING SUPPORT PAYMENTS NECES-  
51 SARY TO SATISFY FINANCIAL INSTRUMENTS USED TO FUND BOARD-APPROVED CAPI-  
52 TAL INVESTMENTS, AS APPROVED BY THE FRANCHISE OVERSIGHT BOARD.

53 S 3. Subparagraph (i) of paragraph (d) of subdivision 1 of section 238  
54 of the racing, pari-mutuel wagering and breeding law, as amended by  
55 section 2 of part BB of chapter 60 of the laws of 2016, is amended to  
56 read as follows:

1 (i) The pari-mutuel tax rate authorized by paragraph (a) of this  
2 subdivision shall be effective so long as a franchised corporation noti-  
3 fies the gaming commission by August fifteenth of each year that such  
4 pari-mutuel tax rate is effective of its intent to conduct a race meet-  
5 ing at Aqueduct racetrack during the months of December, January, Febru-  
6 ary, March and April. For purposes of this paragraph such race meeting  
7 shall consist of not less than ninety-five days of racing UNLESS OTHER-  
8 WISE AGREED TO IN WRITING BY THE NEW YORK THOROUGHBRED BREEDERS INC.,  
9 THE NEW YORK THOROUGHBRED HORSEMEN'S ASSOCIATION (OR SUCH OTHER ENTITY  
10 AS IS CERTIFIED AND APPROVED PURSUANT TO SECTION TWO HUNDRED  
11 TWENTY-EIGHT OF THIS ARTICLE) AND APPROVED BY THE COMMISSION. Not later  
12 than May first of each year that such pari-mutuel tax rate is effective,  
13 the gaming commission shall determine whether a race meeting at Aqueduct  
14 racetrack consisted of the number of days as required by this paragraph.  
15 In determining the number of race days, cancellation of a race day  
16 because of an act of God that the gaming commission approves or because  
17 of weather conditions that are unsafe or hazardous which the gaming  
18 commission approves shall not be construed as a failure to conduct a  
19 race day. Additionally, cancellation of a race day because of circum-  
20 stances beyond the control of such franchised corporation for which the  
21 gaming commission gives approval shall not be construed as a failure to  
22 conduct a race day. If the gaming commission determines that the number  
23 of days of racing as required by this paragraph have not occurred then  
24 the pari-mutuel tax rate in paragraph (a) of this subdivision shall  
25 revert to the pari-mutuel tax rates in effect prior to January first,  
26 nineteen hundred ninety-five.

27 S 4. This act shall take effect April 1, 2017; provided, however, that  
28 section one of this act shall take effect upon the appointment of a  
29 majority of board members; provided, further, that the state franchise  
30 oversight board shall notify the legislative bill drafting commission  
31 upon the occurrence of such appointments in order that the commission  
32 may maintain an accurate and timely effective data base of the official  
33 text of the laws of the state of New York in furtherance of effectuating  
34 the provisions of section 44 of the legislative law and section 70-b of  
35 the public officers law; provided further that the amendments to section  
36 212 of the racing, pari-mutuel wagering and breeding law made by section  
37 two of this act shall not affect the repeal of such section and shall be  
38 deemed repealed therewith.

39

## PART 00

40 Section 1. Paragraph (a) of subdivision 1 of section 1003 of the  
41 racing, pari-mutuel wagering and breeding law, as amended by section 1  
42 of part FF of chapter 60 of the laws of 2016, is amended to read as  
43 follows:

44 (a) Any racing association or corporation or regional off-track  
45 betting corporation, authorized to conduct pari-mutuel wagering under  
46 this chapter, desiring to display the simulcast of horse races on which  
47 pari-mutuel betting shall be permitted in the manner and subject to the  
48 conditions provided for in this article may apply to the commission for  
49 a license so to do. Applications for licenses shall be in such form as  
50 may be prescribed by the commission and shall contain such information  
51 or other material or evidence as the commission may require. No license  
52 shall be issued by the commission authorizing the simulcast transmission  
53 of thoroughbred races from a track located in Suffolk county. The fee  
54 for such licenses shall be five hundred dollars per simulcast facility



1 and for account wagering licensees that do not operate either a simul-  
2 cast facility that is open to the public within the state of New York or  
3 a licensed racetrack within the state, twenty thousand dollars per year  
4 payable by the licensee to the commission for deposit into the general  
5 fund. Except as provided in this section, the commission shall not  
6 approve any application to conduct simulcasting into individual or group  
7 residences, homes or other areas for the purposes of or in connection  
8 with pari-mutuel wagering. The commission may approve simulcasting into  
9 residences, homes or other areas to be conducted jointly by one or more  
10 regional off-track betting corporations and one or more of the follow-  
11 ing: a franchised corporation, thoroughbred racing corporation or a  
12 harness racing corporation or association; provided (i) the simulcasting  
13 consists only of those races on which pari-mutuel betting is authorized  
14 by this chapter at one or more simulcast facilities for each of the  
15 contracting off-track betting corporations which shall include wagers  
16 made in accordance with section one thousand fifteen, one thousand  
17 sixteen and one thousand seventeen of this article; provided further  
18 that the contract provisions or other simulcast arrangements for such  
19 simulcast facility shall be no less favorable than those in effect on  
20 January first, two thousand five; (ii) that each off-track betting  
21 corporation having within its geographic boundaries such residences,  
22 homes or other areas technically capable of receiving the simulcast  
23 signal shall be a contracting party; (iii) the distribution of revenues  
24 shall be subject to contractual agreement of the parties except that  
25 statutory payments to non-contracting parties, if any, may not be  
26 reduced; provided, however, that nothing herein to the contrary shall  
27 prevent a track from televising its races on an irregular basis primari-  
28 ly for promotional or marketing purposes as found by the commission. For  
29 purposes of this paragraph, the provisions of section one thousand thir-  
30 teen of this article shall not apply. Any agreement authorizing an  
31 in-home simulcasting experiment commencing prior to May fifteenth, nine-  
32 teen hundred ninety-five, may, and all its terms, be extended until June  
33 thirtieth, two thousand [seventeen] EIGHTEEN; provided, however, that  
34 any party to such agreement may elect to terminate such agreement upon  
35 conveying written notice to all other parties of such agreement at least  
36 forty-five days prior to the effective date of the termination, via  
37 registered mail. Any party to an agreement receiving such notice of an  
38 intent to terminate, may request the commission to mediate between the  
39 parties new terms and conditions in a replacement agreement between the  
40 parties as will permit continuation of an in-home experiment until June  
41 thirtieth, two thousand [seventeen] EIGHTEEN; and (iv) no in-home simul-  
42 casting in the thoroughbred special betting district shall occur without  
43 the approval of the regional thoroughbred track.

44 S 2. Subparagraph (iii) of paragraph d of subdivision 3 of section  
45 1007 of the racing, pari-mutuel wagering and breeding law, as amended by  
46 section 2 of part FF of chapter 60 of the laws of 2016, is amended to  
47 read as follows:

48 (iii) Of the sums retained by a receiving track located in Westchester  
49 county on races received from a franchised corporation, for the period  
50 commencing January first, two thousand eight and continuing through June  
51 thirtieth, two thousand [seventeen] EIGHTEEN, the amount used exclusive-  
52 ly for purses to be awarded at races conducted by such receiving track  
53 shall be computed as follows: of the sums so retained, two and one-half  
54 percent of the total pools. Such amount shall be increased or decreased  
55 in the amount of fifty percent of the difference in total commissions  
56 determined by comparing the total commissions available after July twen-

1 ty-first, nineteen hundred ninety-five to the total commissions that  
2 would have been available to such track prior to July twenty-first,  
3 nineteen hundred ninety-five.

4 S 3. The opening paragraph of subdivision 1 of section 1014 of the  
5 racing, pari-mutuel wagering and breeding law, as amended by section 3  
6 of part FF of chapter 60 of the laws of 2016, is amended to read as  
7 follows:

8 The provisions of this section shall govern the simulcasting of races  
9 conducted at thoroughbred tracks located in another state or country on  
10 any day during which a franchised corporation is conducting a race meet-  
11 ing in Saratoga county at Saratoga thoroughbred racetrack until June  
12 thirtieth, two thousand [seventeen] EIGHTEEN and on any day regardless  
13 of whether or not a franchised corporation is conducting a race meeting  
14 in Saratoga county at Saratoga thoroughbred racetrack after June thirti-  
15 eth, two thousand [seventeen] EIGHTEEN. On any day on which a fran-  
16 chised corporation has not scheduled a racing program but a thoroughbred  
17 racing corporation located within the state is conducting racing, every  
18 off-track betting corporation branch office and every simulcasting  
19 facility licensed in accordance with section one thousand seven (that  
20 have entered into a written agreement with such facility's represen-  
21 tative horsemen's organization, as approved by the commission), one  
22 thousand eight, or one thousand nine of this article shall be authorized  
23 to accept wagers and display the live simulcast signal from thoroughbred  
24 tracks located in another state or foreign country subject to the  
25 following provisions:

26 S 4. Subdivision 1 of section 1015 of the racing, pari-mutuel wagering  
27 and breeding law, as amended by section 4 of part FF of chapter 60 of  
28 the laws of 2016, is amended to read as follows:

29 1. The provisions of this section shall govern the simulcasting of  
30 races conducted at harness tracks located in another state or country  
31 during the period July first, nineteen hundred ninety-four through June  
32 thirtieth, two thousand [seventeen] EIGHTEEN. This section shall super-  
33 sede all inconsistent provisions of this chapter.

34 S 5. The opening paragraph of subdivision 1 of section 1016 of the  
35 racing, pari-mutuel wagering and breeding law, as amended by section 5  
36 of part FF of chapter 60 of the laws of 2016, is amended to read as  
37 follows:

38 The provisions of this section shall govern the simulcasting of races  
39 conducted at thoroughbred tracks located in another state or country on  
40 any day during which a franchised corporation is not conducting a race  
41 meeting in Saratoga county at Saratoga thoroughbred racetrack until June  
42 thirtieth, two thousand [seventeen] EIGHTEEN. Every off-track betting  
43 corporation branch office and every simulcasting facility licensed in  
44 accordance with section one thousand seven that have entered into a  
45 written agreement with such facility's representative horsemen's organ-  
46 ization as approved by the commission, one thousand eight or one thou-  
47 sand nine of this article shall be authorized to accept wagers and  
48 display the live full-card simulcast signal of thoroughbred tracks  
49 (which may include quarter horse or mixed meetings provided that all  
50 such wagering on such races shall be construed to be thoroughbred races)  
51 located in another state or foreign country, subject to the following  
52 provisions; provided, however, no such written agreement shall be  
53 required of a franchised corporation licensed in accordance with section  
54 one thousand seven of this article:

1 S 6. The opening paragraph of section 1018 of the racing, pari-mutuel  
2 wagering and breeding law, as amended by section 6 of part FF of chapter  
3 60 of the laws of 2016, is amended to read as follows:

4 Notwithstanding any other provision of this chapter, for the period  
5 July twenty-fifth, two thousand one through September eighth, two thou-  
6 sand [sixteen] SEVENTEEN, when a franchised corporation is conducting a  
7 race meeting within the state at Saratoga Race Course, every off-track  
8 betting corporation branch office and every simulcasting facility  
9 licensed in accordance with section one thousand seven (that has entered  
10 into a written agreement with such facility's representative horsemen's  
11 organization as approved by the commission), one thousand eight or one  
12 thousand nine of this article shall be authorized to accept wagers and  
13 display the live simulcast signal from thoroughbred tracks located in  
14 another state, provided that such facility shall accept wagers on races  
15 run at all in-state thoroughbred tracks which are conducting racing  
16 programs subject to the following provisions; provided, however, no such  
17 written agreement shall be required of a franchised corporation licensed  
18 in accordance with section one thousand seven of this article.

19 S 7. Section 32 of chapter 281 of the laws of 1994, amending the  
20 racing, pari-mutuel wagering and breeding law and other laws relating  
21 to simulcasting, as amended by section 7 of part FF of chapter 60 of the  
22 laws of 2016, is amended to read as follows:

23 S 32. This act shall take effect immediately and the pari-mutuel tax  
24 reductions in section six of this act shall expire and be deemed  
25 repealed on July 1, [2017] 2018; provided, however, that nothing  
26 contained herein shall be deemed to affect the application, qualifica-  
27 tion, expiration, or repeal of any provision of law amended by any  
28 section of this act, and such provisions shall be applied or qualified  
29 or shall expire or be deemed repealed in the same manner, to the same  
30 extent and on the same date as the case may be as otherwise provided by  
31 law; provided further, however, that sections twenty-three and twenty-  
32 five of this act shall remain in full force and effect only until May 1,  
33 1997 and at such time shall be deemed to be repealed.

34 S 8. Section 54 of chapter 346 of the laws of 1990, amending the  
35 racing, pari-mutuel wagering and breeding law and other laws relating to  
36 simulcasting and the imposition of certain taxes, as amended by section  
37 8 of part FF of chapter 60 of the laws of 2016, is amended to read as  
38 follows:

39 S 54. This act shall take effect immediately; provided, however,  
40 sections three through twelve of this act shall take effect on January  
41 1, 1991, and section 1013 of the racing, pari-mutuel wagering and breed-  
42 ing law, as added by section thirty-eight of this act, shall expire and  
43 be deemed repealed on July 1, [2017] 2018; and section eighteen of this  
44 act shall take effect on July 1, 2008 and sections fifty-one and fifty-  
45 two of this act shall take effect as of the same date as chapter 772 of  
46 the laws of 1989 took effect.

47 S 9. Paragraph (a) of subdivision 1 of section 238 of the racing,  
48 pari-mutuel wagering and breeding law, as amended by section 9 of part  
49 FF of chapter 60 of the laws of 2016, is amended to read as follows:

50 (a) The franchised corporation authorized under this chapter to  
51 conduct pari-mutuel betting at a race meeting or races run thereat shall  
52 distribute all sums deposited in any pari-mutuel pool to the holders of  
53 winning tickets therein, provided such tickets be presented for payment  
54 before April first of the year following the year of their purchase,  
55 less an amount which shall be established and retained by such fran-  
56 chised corporation of between twelve to seventeen per centum of the

1 total deposits in pools resulting from on-track regular bets, and four-  
2 teen to twenty-one per centum of the total deposits in pools resulting  
3 from on-track multiple bets and fifteen to twenty-five per centum of the  
4 total deposits in pools resulting from on-track exotic bets and fifteen  
5 to thirty-six per centum of the total deposits in pools resulting from  
6 on-track super exotic bets, plus the breaks. The retention rate to be  
7 established is subject to the prior approval of the gaming commission.  
8 Such rate may not be changed more than once per calendar quarter to be  
9 effective on the first day of the calendar quarter. "Exotic bets" and  
10 "multiple bets" shall have the meanings set forth in section five  
11 hundred nineteen of this chapter. "Super exotic bets" shall have the  
12 meaning set forth in section three hundred one of this chapter. For  
13 purposes of this section, a "pick six bet" shall mean a single bet or  
14 wager on the outcomes of six races. The breaks are hereby defined as the  
15 odd cents over any multiple of five for payoffs greater than one dollar  
16 five cents but less than five dollars, over any multiple of ten for  
17 payoffs greater than five dollars but less than twenty-five dollars,  
18 over any multiple of twenty-five for payoffs greater than twenty-five  
19 dollars but less than two hundred fifty dollars, or over any multiple of  
20 fifty for payoffs over two hundred fifty dollars. Out of the amount so  
21 retained there shall be paid by such franchised corporation to the  
22 commissioner of taxation and finance, as a reasonable tax by the state  
23 for the privilege of conducting pari-mutuel betting on the races run at  
24 the race meetings held by such franchised corporation, the following  
25 percentages of the total pool for regular and multiple bets five per  
26 centum of regular bets and four per centum of multiple bets plus twenty  
27 per centum of the breaks; for exotic wagers seven and one-half per  
28 centum plus twenty per centum of the breaks, and for super exotic bets  
29 seven and one-half per centum plus fifty per centum of the breaks. For  
30 the period June first, nineteen hundred ninety-five through September  
31 ninth, nineteen hundred ninety-nine, such tax on regular wagers shall be  
32 three per centum and such tax on multiple wagers shall be two and one-  
33 half per centum, plus twenty per centum of the breaks. For the period  
34 September tenth, nineteen hundred ninety-nine through March thirty-  
35 first, two thousand one, such tax on all wagers shall be two and six-  
36 tenths per centum and for the period April first, two thousand one  
37 through December thirty-first, two thousand [seventeen] EIGHTEEN, such  
38 tax on all wagers shall be one and six-tenths per centum, plus, in each  
39 such period, twenty per centum of the breaks. Payment to the New York  
40 state thoroughbred breeding and development fund by such franchised  
41 corporation shall be one-half of one per centum of total daily on-track  
42 pari-mutuel pools resulting from regular, multiple and exotic bets and  
43 three per centum of super exotic bets provided, however, that for the  
44 period September tenth, nineteen hundred ninety-nine through March thir-  
45 ty-first, two thousand one, such payment shall be six-tenths of one per  
46 centum of regular, multiple and exotic pools and for the period April  
47 first, two thousand one through December thirty-first, two thousand  
48 [seventeen] EIGHTEEN, such payment shall be seven-tenths of one per  
49 centum of such pools.

50 S 10. This act shall take effect immediately.

51

PART PP

52 Section 1. Clause (F) of subparagraph (ii) of paragraph 1 of subdivi-  
53 sion b of section 1612 of the tax law, as amended by section 1 of part  
54 EE of chapter 60 of the laws of 2016, is amended to read as follows:

1 (F) notwithstanding clauses (A), (B), (C), (D) and (E) of this subpar-  
2 agraph, when a vendor track, is located in Sullivan county and within  
3 sixty miles from any gaming facility in a contiguous state such vendor  
4 fee shall, for a period of [nine] TEN years commencing April first, two  
5 thousand eight, be at a rate of forty-one percent of the total revenue  
6 wagered at the vendor track after payout for prizes pursuant to this  
7 chapter, after which time such rate shall be as for all tracks in clause  
8 (C) of this subparagraph.

9 S 2. This act shall take effect immediately and shall be deemed to  
10 have been in full force and effect on and after April 1, 2017.

11

## PART QQ

12 Section 1. Clause (H) of subparagraph (ii) of paragraph 1 of subdivi-  
13 sion b of section 1612 of the tax law, as separately amended by section  
14 1 of part GG and section 2 of part SS of chapter 60 of the laws of 2016,  
15 is amended to read as follows:

16 (H) notwithstanding clauses (A), (B), (C), (D), (E), (F) and (G) of  
17 this subparagraph, the track operator of a vendor track and in the case  
18 of Aqueduct, the video lottery terminal facility operator, shall be  
19 eligible for a vendor's capital award of up to four percent of the total  
20 revenue wagered at the vendor track after payout for prizes pursuant to  
21 this chapter, which shall be used exclusively for capital project  
22 investments to improve the facilities of the vendor track which promote  
23 or encourage increased attendance at the video lottery gaming facility  
24 including, but not limited to hotels, other lodging facilities, enter-  
25 tainment facilities, retail facilities, dining facilities, events  
26 arenas, parking garages and other improvements that enhance facility  
27 amenities; provided that such capital investments shall be approved by  
28 the division, in consultation with the state racing and wagering board,  
29 and that such vendor track demonstrates that such capital expenditures  
30 will increase patronage at such vendor track's facilities and increase  
31 the amount of revenue generated to support state education programs. The  
32 annual amount of such vendor's capital awards that a vendor track shall  
33 be eligible to receive shall be limited to two million five hundred  
34 thousand dollars, except for Aqueduct racetrack, for which there shall  
35 be no annual limit, provided, however, that any such capital award for  
36 the Aqueduct video lottery terminal facility operator shall be one  
37 percent of the total revenue wagered at the video lottery terminal  
38 facility after payout for prizes pursuant to this chapter until the  
39 earlier of the designation of one thousand video lottery devices as  
40 hosted pursuant to paragraph four of subdivision a of section sixteen  
41 hundred seventeen-a of this chapter or April first, two thousand nine-  
42 teen and shall then be four percent of the total revenue wagered at the  
43 video lottery terminal facility after payout for prizes pursuant to this  
44 chapter, provided, further, that such capital award shall only be  
45 provided pursuant to an agreement with the operator to construct an  
46 expansion of the facility, hotel, and convention and exhibition space  
47 requiring a minimum capital investment of three hundred million dollars.  
48 Except for tracks having less than one thousand one hundred video gaming  
49 machines, and except for a vendor track located west of State Route 14  
50 from Sodus Point to the Pennsylvania border within New York, and except  
51 for Aqueduct racetrack each track operator shall be required to co-in-  
52 vest an amount of capital expenditure equal to its cumulative vendor's  
53 capital award. For all tracks, except for Aqueduct racetrack, the amount  
54 of any vendor's capital award that is not used during any one year peri-

1 od may be carried over into subsequent years ending before April first,  
2 two thousand [seventeen] EIGHTEEN. Any amount attributable to a capital  
3 expenditure approved prior to April first, two thousand [seventeen]  
4 EIGHTEEN and completed before April first, two thousand [nineteen] TWEN-  
5 TY; or approved prior to April first, two thousand [twenty-one] TWENTY-  
6 TWO and completed before April first, two thousand [twenty-three] TWEN-  
7 TY-FOUR for a vendor track located west of State Route 14 from Sodus  
8 Point to the Pennsylvania border within New York, shall be eligible to  
9 receive the vendor's capital award. In the event that a vendor track's  
10 capital expenditures, approved by the division prior to April first, two  
11 thousand [seventeen] EIGHTEEN and completed prior to April first, two  
12 thousand [nineteen] TWENTY, exceed the vendor track's cumulative capital  
13 award during the five year period ending April first, two thousand  
14 [seventeen] EIGHTEEN, the vendor shall continue to receive the capital  
15 award after April first, two thousand [seventeen] EIGHTEEN until such  
16 approved capital expenditures are paid to the vendor track subject to  
17 any required co-investment. In no event shall any vendor track that  
18 receives a vendor fee pursuant to clause (F) or (G) of this subparagraph  
19 be eligible for a vendor's capital award under this section. Any opera-  
20 tor of a vendor track which has received a vendor's capital award,  
21 choosing to divest the capital improvement toward which the award was  
22 applied, prior to the full depreciation of the capital improvement in  
23 accordance with generally accepted accounting principles, shall reim-  
24 burse the state in amounts equal to the total of any such awards. Any  
25 capital award not approved for a capital expenditure at a video lottery  
26 gaming facility by April first, two thousand [seventeen] EIGHTEEN shall  
27 be deposited into the state lottery fund for education aid; and  
28 S 2. This act shall take effect immediately.

29 PART RR

30 Intentionally Omitted

31 PART SS

32 Section 1. Subdivision 6 of section 221 of the racing, pari-mutuel  
33 wagering and breeding law, as amended by chapter 325 of the laws of 2004  
34 and such section as renumbered by chapter 18 of the laws of 2008, is  
35 amended to read as follows:

36 6. (A) The fund shall secure workers' compensation insurance coverage  
37 on a blanket basis for the benefit of all jockeys, apprentice jockeys  
38 and exercise persons licensed pursuant to this article or article four  
39 of this chapter who are employees under section two of the workers'  
40 compensation law, AND MAY ELECT, WITH THE APPROVAL OF THE GAMING COMMIS-  
41 SION, TO SECURE WORKERS' COMPENSATION INSURANCE FOR EMPLOYEES OF  
42 LICENSED TRAINERS OR OWNERS. IN THE EVENT THE FUND ELECTS, WITH THE  
43 APPROVAL OF THE GAMING COMMISSION, TO SECURE WORKERS' COMPENSATION  
44 INSURANCE FOR EMPLOYEES OF LICENSED TRAINERS OR OWNERS, THE FUND MAY  
45 DISCONTINUE TO SECURE WORKERS' COMPENSATION INSURANCE FOR EMPLOYEES OF  
46 LICENSED TRAINERS OR OWNERS ONLY UPON PRIOR APPROVAL OF THE GAMING  
47 COMMISSION.

48 (B) THE FUND MAY ELECT, WITH THE APPROVAL OF THE GAMING COMMISSION, TO  
49 SECURE WORKERS' COMPENSATION INSURANCE COVERAGE THROUGH A FORM OF SELF-  
50 INSURANCE, PROVIDED THAT THE FUND HAS MET THE REQUIREMENTS OF THE WORK-  
51 ERS' COMPENSATION BOARD, INCLUDING, WITHOUT LIMITATION, SUBDIVISION  
52 THREE OF SECTION FIFTY OF THE WORKERS' COMPENSATION LAW.

1 S 2. Subdivision 7 of section 221 of the racing, pari-mutuel wagering  
2 and breeding law, as amended by chapter 18 of the laws of 2008 and the  
3 opening paragraph as amended by section 1 of part PP of chapter 60 of  
4 the laws of 2016, is amended to read as follows:

5 7. In order to pay the costs of the insurance required by this section  
6 and by the workers' compensation law and to carry out its other powers  
7 and duties and to pay for any of its liabilities under section four-  
8 teen-a of the workers' compensation law, the New York Jockey Injury  
9 Compensation Fund, Inc. shall ascertain the total funding necessary and  
10 establish the sums that are to be paid by all owners and trainers  
11 licensed or required to be licensed under section two hundred twenty of  
12 this article, to obtain the total funding amount required annually. In  
13 order to provide that any sum required to be paid by an owner or trainer  
14 is equitable, the fund shall establish payment schedules which reflect  
15 such factors as are appropriate, including where applicable, the  
16 geographic location of the racing corporation at which the owner or  
17 trainer participates, the duration of such participation, the amount of  
18 any purse earnings, the number of horses involved, or such other factors  
19 as the fund shall determine to be fair, equitable and in the best inter-  
20 ests of racing. In no event shall the amount deducted from an owner's  
21 share of purses exceed two per centum; provided, however, for two thou-  
22 sand [sixteen] SEVENTEEN the New York Jockey Injury Compensation Fund,  
23 Inc. may use up to two million dollars from the account established  
24 pursuant to subdivision nine of section two hundred eight of this arti-  
25 cle to pay the annual costs required by this section and the funds from  
26 such account shall not count against the two per centum of purses  
27 deducted from an owner's share of purses. The amount deducted from an  
28 owner's share of purses shall not exceed one per centum after April  
29 first, two thousand [seventeen] TWENTY. In the cases of multiple owner-  
30 ships and limited racing appearances, the fund shall equitably adjust  
31 the sum required.

32 The [state racing and wagering board] GAMING COMMISSION shall, as a  
33 condition of racing, require any racing corporation or any quarterhorse  
34 racing association or corporation authorized under this chapter to  
35 conduct pari-mutuel betting at a race meeting or races run thereat, to  
36 require that each trainer utilizing the facilities of such association  
37 or corporation and each owner racing a horse shall place or have placed  
38 on deposit with the horsemen's bookkeeper of such racing association or  
39 corporation, an amount to be established and paid in a manner to be  
40 determined by the fund.

41 Should the fund determine that the amount which has been collected in  
42 the manner prescribed is inadequate to pay the annual costs required by  
43 this section, it shall notify the [state racing and wagering board]  
44 GAMING COMMISSION of the deficiency and the amount of the additional sum  
45 or sums necessary to be paid by each owner and/or trainer in order to  
46 cover such deficiency. The [state racing and wagering board] GAMING  
47 COMMISSION shall, as an additional condition of racing, direct any  
48 racing corporation or any quarterhorse racing association or corporation  
49 authorized under this chapter to conduct pari-mutuel betting at a race  
50 meeting or races run thereat, to require each trainer and owner to place  
51 such additional sum or sums on deposit with the respective horsemen's  
52 bookkeeper.

53 All amounts collected by a horsemen's bookkeeper pursuant to this  
54 section shall be transferred to the fund created under this section and  
55 shall be used by the fund to purchase workers' compensation insurance  
56 for jockeys, apprentice jockeys and exercise persons licensed pursuant

1 to this article or article four of this chapter who are employees under  
2 section two of the workers' compensation law, AND AT THE ELECTION OF THE  
3 FUND, WITH THE APPROVAL OF THE GAMING COMMISSION, TO SECURE WORKERS'  
4 COMPENSATION INSURANCE FOR EMPLOYEES OF LICENSED TRAINERS OR OWNERS to  
5 pay for any of its liabilities under section fourteen-a of the workers'  
6 compensation law and to administer the workers' compensation program for  
7 such jockeys, apprentice jockeys and exercise persons AND, IF APPROVED  
8 BY THE GAMING COMMISSION, EMPLOYEES OF LICENSED TRAINERS OR OWNERS  
9 required by this section and the workers' compensation law.

10 IN THE EVENT THE FUND ELECTS, WITH THE APPROVAL OF THE GAMING COMMIS-  
11 SION, TO SECURE WORKERS' COMPENSATION INSURANCE FOR EMPLOYEES OF  
12 LICENSED TRAINERS OR OWNERS, THE FUND MAY ELECT TO HAVE THE SUM REQUIRED  
13 TO BE PAID BY AN OWNER OR TRAINER PURSUANT TO THIS SECTION BE SUBJECT TO  
14 AN EXAMINATION OF WORKERS' COMPENSATION CLAIMS ATTRIBUTABLE UNDER THE  
15 FUND TO EACH SUCH OWNER OR TRAINER, INCLUDING THE FREQUENCY AND SEVERITY  
16 OF ACCIDENTS AND INJURIES.

17 S 3. Subdivision 12 of section 221 of the racing, pari-mutuel wagering  
18 and breeding law, as amended by chapter 325 of the laws of 2004 and such  
19 section as renumbered by chapter 18 of the laws of 2008, is amended and  
20 two new subdivisions 13 and 14 are added to read as follows:

21 12. [The fund and the state racing and wagering board shall have such  
22 power as is necessary to implement the provisions of this section.] FOR  
23 PURPOSES OF THIS SECTION, THE TERM "EMPLOYEES OF LICENSED TRAINERS OR  
24 OWNERS" SHALL HAVE THE SAME MEANING AS SUBDIVISION TWENTY-FOUR OF  
25 SECTION TWO OF THE WORKERS' COMPENSATION LAW.

26 13. A. THERE IS CREATED A RACING SAFETY COMMITTEE TO REVIEW THE RISK  
27 MANAGEMENT REPORT SUBMITTED TO THE COMMISSION BY THE FUND ON OR ABOUT  
28 SEPTEMBER THIRTIETH, TWO THOUSAND SIXTEEN AND TO MAKE NON-BINDING RECOM-  
29 MENDATIONS FOR THE IMPLEMENTATION OF THE SAFETY PROPOSALS AND INITI-  
30 ATIVES SET FORTH IN SUCH REPORT. SUCH COMMITTEE SHALL CONSIST OF SEVEN  
31 MEMBERS, EACH TO SERVE A TERM OF THREE YEARS, WITH ONE MEMBER EACH  
32 APPOINTED BY:

33 (I) THE FUND;

34 (II) THE GAMING COMMISSION;

35 (III) THE FRANCHISED CORPORATION;

36 (IV) THE RACING ASSOCIATION OR CORPORATION LICENSED PURSUANT TO THIS  
37 ARTICLE OR ARTICLE FOUR OF THIS CHAPTER TO OPERATE THE RACING AND TRAIN-  
38 ING FACILITIES AT FINGER LAKES RACETRACK;

39 (V) THE HORSEMEN'S ORGANIZATION REPRESENTING AT LEAST FIFTY-ONE  
40 PERCENT OF THE OWNERS AND TRAINERS USING THE FACILITIES OF THE FRAN-  
41 CHISED CORPORATION;

42 (VI) THE HORSEMEN'S ORGANIZATION REPRESENTING AT LEAST FIFTY-ONE  
43 PERCENT OF THE OWNERS AND TRAINERS USING THE FACILITIES OF THE FINGER  
44 LAKES RACETRACK; AND

45 (VII) THE JOCKEYS' GUILD.

46 THE MEMBER OF THE RACING SAFETY COMMITTEE APPOINTED BY THE FUND SHALL  
47 SERVE AS CHAIRPERSON AND THE MEMBER OF THE RACING SAFETY COMMITTEE  
48 APPOINTED BY THE COMMISSION SHALL SERVE AS VICE-CHAIRPERSON. MEMBERS OF  
49 THE RACING SAFETY COMMITTEE SHALL HAVE EQUAL VOTING RIGHTS.

50 B. THE RACING SAFETY COMMITTEE SHALL MEET WITHIN NINETY DAYS FOLLOWING  
51 THE EFFECTIVE DATE OF THIS SUBDIVISION TO REVIEW AND DISCUSS THE IMPL-  
52 MENTATION OF THE RECOMMENDATIONS CONTAINED IN THE RISK MANAGEMENT REPORT  
53 SUBMITTED TO THE GAMING COMMISSION BY THE FUND ON OR ABOUT SEPTEMBER  
54 THIRTIETH, TWO THOUSAND SIXTEEN. THE RACING SAFETY COMMITTEE SHALL MEET  
55 ON OR AFTER JULY FIRST, TWO THOUSAND SEVENTEEN, AND AT LEAST ANNUALLY  
56 THEREAFTER, TO REVIEW THE WORKERS' COMPENSATION LOSS INFORMATION AND THE



1 STATUS OF SAFETY-RELATED FINDINGS AND RECOMMENDATIONS AND TO DEVELOP AN  
2 ANNUAL STRATEGIC PLAN TO ADDRESS IDENTIFIED SAFETY ISSUES.

3 C. THE MEMBERS APPOINTED PURSUANT TO SUBPARAGRAPH (III) AND (IV) OF  
4 PARAGRAPH A OF THIS SUBDIVISION, IN CONSULTATION WITH THE OTHER MEMBERS  
5 OF THE RACING SAFETY COMMITTEE, SHALL:

6 (I) WITHIN ONE HUNDRED EIGHTY DAYS FOLLOWING THE EFFECTIVE DATE OF  
7 THIS SUBDIVISION, FOR EACH TRACK, DEVELOP SAFETY RULES FOR TRAINING  
8 ACTIVITIES TO BE DOCUMENTED AND COMMUNICATED, IN BOTH ENGLISH AND SPAN-  
9 ISH, TO JOCKEYS, APPRENTICE JOCKEYS, AND EXERCISE PERSONS LICENSED  
10 PURSUANT TO THIS ARTICLE OR ARTICLE FOUR OF THIS CHAPTER WHO ARE EMPLOY-  
11 EES UNDER SECTION TWO OF THE WORKERS' COMPENSATION LAW, AND AT THE  
12 ELECTION OF THE FUND, WITH THE APPROVAL OF THE GAMING COMMISSION,  
13 EMPLOYEES OF LICENSED TRAINERS OR OWNERS. SUCH SAFETY RULES SHALL  
14 INCLUDE, BUT NOT BE LIMITED TO, PROPER USAGE OF PERSONAL PROTECTIVE  
15 EQUIPMENT, REQUIRED RESPONSE TO LOOSE HORSES, PROHIBITION OF CELL PHONE  
16 USE WHILE MOUNTED ON A HORSE, GENERAL REQUIREMENTS FOR JOGGING, GALLOP-  
17 ING, BREEZING, PONYING A HORSE, AND STARTING GATE SAFETY PROTOCOLS.  
18 REFRESHER TRAINING RELATED TO SUCH SAFETY RULES SHALL BE REQUIRED AT THE  
19 START OF EACH MEET.

20 (II) PRIOR TO THE START OF EACH MEET, FOLLOWING THE EFFECTIVE DATE OF  
21 THIS SUBDIVISION, MEET WITH TRAINERS OR THEIR REPRESENTATIVES TO DISCUSS  
22 AND ADDRESS IDENTIFIED SAFETY ISSUES.

23 (III) WITHIN ONE HUNDRED EIGHTY DAYS FOLLOWING THE EFFECTIVE DATE OF  
24 THIS SUBDIVISION, FOR EACH TRACK, DEVELOP A WRITTEN, DOCUMENTED EMERGEN-  
25 CY RESPONSE PLAN TO ADDRESS RESPONSE PROTOCOLS TO ON-TRACK ACCIDENTS AND  
26 INCIDENTS, WHICH, AT A MINIMUM, SHALL INCLUDE DETAILED INFORMATION  
27 REGARDING ROLES AND RESPONSIBILITIES FOR INDIVIDUALS WHO ARE RESPONSIBLE  
28 FOR TRACK-RELATED ACCIDENTS AND INCIDENTS, INCLUDING, BUT NOT LIMITED  
29 TO, OUTRIDERS, EMERGENCY MEDICAL TECHNICIANS/PARAMEDICS, AMBULANCE DRIV-  
30 ERS, SECURITY, AND VETERINARY STAFF AND CLOCKERS.

31 (IV) WITHIN TWO HUNDRED TEN DAYS FOLLOWING THE EFFECTIVE DATE OF THIS  
32 SUBDIVISION, COMMUNICATE THE EMERGENCY RESPONSE PLAN TO ALL ON-TRACK  
33 PERSONNEL AS PART OF NEW HIRE ORIENTATION AND JOB ASSIGNMENT.

34 (V) WITHIN TWO HUNDRED TEN DAYS FOLLOWING THE EFFECTIVE DATE OF THIS  
35 SUBDIVISION, AND AT LEAST ONCE ANNUALLY THEREAFTER, FOR EACH TRACK,  
36 CONDUCT A MOCK EMERGENCY RESPONSE DRILL FOR ON-TRACK ACCIDENTS PRIOR TO  
37 THE OPENING OF EACH RACE MEET. SUCH EMERGENCY RESPONSE DRILL SHALL BE  
38 FILMED AND USED FOR EDUCATION AND TRAINING PURPOSES FOR PERSONNEL,  
39 INCLUDING IN NEW HIRE ORIENTATION, AND TO ASSESS THE PERFORMANCE OF  
40 INDIVIDUALS INVOLVED IN THE EMERGENCY RESPONSE.

41 (VI) WITHIN ONE HUNDRED EIGHTY DAYS FOLLOWING THE EFFECTIVE DATE OF  
42 THIS SUBDIVISION, UPGRADE THE CURRENT LEVEL OF EMERGENCY MEDICAL RESPON-  
43 DERS FROM EMERGENCY MEDICAL TECHNICIANS TO PARAMEDICS.

44 14. THE FUND AND THE GAMING COMMISSION SHALL HAVE SUCH POWER AS IS  
45 NECESSARY TO IMPLEMENT THE PROVISIONS OF THIS SECTION.

46 S 4. Section 2 of the workers' compensation law is amended by adding a  
47 new subdivision 24 to read as follows:

48 24. "EMPLOYEES OF LICENSED TRAINERS OR OWNERS" MEANS ASSISTANT TRAIN-  
49 ERS, FOREMEN, WATCHMEN AND STABLE EMPLOYEES, INCLUDING GROOMS AND  
50 HOT-WALKERS, EMPLOYED BY A TRAINER OR OWNER LICENSED PURSUANT TO ARTICLE  
51 TWO OR FOUR OF THE RACING, PARI-MUTUEL WAGERING AND BREEDING LAW.

52 S 5. The second undesignated paragraph of subdivision 3 of section 2  
53 of the workers' compensation law, as amended by chapter 392 of the laws  
54 of 2008, is amended to read as follows:

55 Notwithstanding any other provision of this chapter and for purposes  
56 of this chapter only, "employer" shall mean, with respect to a jockey,

1 apprentice jockey or exercise person licensed under article two or four  
2 of the racing, pari-mutuel wagering and breeding law, AND AT THE  
3 ELECTION OF THE NEW YORK JOCKEY INJURY COMPENSATION FUND, INC., WITH THE  
4 APPROVAL OF THE NEW YORK STATE GAMING COMMISSION, EMPLOYEES OF LICENSED  
5 TRAINERS OR OWNERS, performing services for an owner or trainer in  
6 connection with the training or racing of a horse at a facility of a  
7 racing association or corporation subject to article two or four of the  
8 racing, pari-mutuel wagering and breeding law and subject to the juris-  
9 diction of the New York state [racing and wagering board] GAMING COMMIS-  
10 SION, The New York Jockey Injury Compensation Fund, Inc. and all owners  
11 and trainers who are licensed or required to be licensed under article  
12 two or four of the racing, pari-mutuel wagering and breeding law at the  
13 time of any occurrence for which benefits are payable pursuant to this  
14 chapter in respect to the injury or death of such jockey, apprentice  
15 jockey [or], exercise person OR, IF APPROVED BY THE NEW YORK STATE  
16 GAMING COMMISSION, EMPLOYEE OF A LICENSED TRAINER OR OWNER.

17 S 6. The fifth undesignated paragraph of subdivision 4 of section 2 of  
18 the workers' compensation law, as amended by chapter 169 of the laws of  
19 2007, is amended to read as follows:

20 Notwithstanding any other provision of this chapter, and for purposes  
21 of this chapter only, a jockey, apprentice jockey or exercise person  
22 licensed under article two or four of the racing, pari-mutuel wagering  
23 and breeding law, AND AT THE ELECTION OF THE NEW YORK JOCKEY INJURY  
24 COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE GAMING  
25 COMMISSION, EMPLOYEES OF LICENSED TRAINERS OR OWNERS, performing  
26 services for an owner or trainer in connection with the training or  
27 racing of a horse at a facility of a racing association or corporation  
28 subject to article two or four of the racing, pari-mutuel wagering and  
29 breeding law and subject to the jurisdiction of the New York state  
30 [racing and wagering board] GAMING COMMISSION shall be regarded as the  
31 "employee" not solely of such owner or trainer, but shall instead be  
32 conclusively presumed to be the "employee" of The New York Jockey Injury  
33 Compensation Fund, Inc. and also of all owners and trainers who are  
34 licensed or required to be licensed under article two or four of the  
35 racing, pari-mutuel wagering and breeding law at the time of any occur-  
36 rence for which benefits are payable pursuant to this chapter in respect  
37 of the injury or death of such jockey, apprentice jockey [or], exercise  
38 person OR, IF APPROVED BY THE NEW YORK STATE GAMING COMMISSION, EMPLOYEE  
39 OF A LICENSED TRAINER OR OWNER.

40 S 7. The third undesignated paragraph of subdivision 5 of section 2 of  
41 the workers' compensation law, as amended by chapter 392 of the laws of  
42 2008, is amended to read as follows:

43 Notwithstanding any other provision of this chapter, and for purposes  
44 of this chapter only, a jockey, apprentice jockey or exercise person  
45 licensed under article two or four of the racing, pari-mutuel wagering  
46 and breeding law, AND AT THE ELECTION OF THE NEW YORK JOCKEY INJURY  
47 COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE GAMING  
48 COMMISSION, EMPLOYEES OF LICENSED TRAINERS OR OWNERS, performing  
49 services for an owner or trainer in connection with the training or  
50 racing of a horse at a facility of a racing association or corporation  
51 subject to article two or four of the racing, pari-mutuel wagering and  
52 breeding law and subject to the jurisdiction of the New York state  
53 [racing and wagering board] GAMING COMMISSION shall be regarded as in  
54 the "employment" not solely of such owner and trainer, but shall instead  
55 be conclusively presumed to be in the "employment" of The New York Jock-  
56 ey Injury Compensation Fund, Inc. and of all owners and trainers who are

1 licensed or required to be licensed under article two or four of the  
2 racing, pari-mutuel wagering and breeding law, at the time of any occur-  
3 rence for which benefits are payable pursuant to this chapter in respect  
4 of the injury or death of such jockey, apprentice jockey [or], exercise  
5 person OR, IF APPROVED BY THE NEW YORK STATE GAMING COMMISSION, EMPLOYEE  
6 OF A LICENSED TRAINER OR OWNER. For the purpose of this chapter only,  
7 whether a livery driver's performance of covered services, as those  
8 terms are defined in article six-G of the executive law, constitutes  
9 "employment" shall be determined in accordance with section eighteen-c  
10 of this chapter.

11 S 8. The opening paragraph of section 11 of the workers' compensation  
12 law, as amended by chapter 169 of the laws of 2007, is amended to read  
13 as follows:

14 The liability of an employer prescribed by the last preceding section  
15 shall be exclusive and in place of any other liability whatsoever, to  
16 such employee, his or her personal representatives, spouse, parents,  
17 dependents, distributees, or any person otherwise entitled to recover  
18 damages, contribution or indemnity, at common law or otherwise, on  
19 account of such injury or death or liability arising therefrom, except  
20 that if an employer fails to secure the payment of compensation for his  
21 or her injured employees and their dependents as provided in section  
22 fifty of this chapter, an injured employee, or his or her legal repre-  
23 sentative in case of death results from the injury, may, at his or her  
24 option, elect to claim compensation under this chapter, or to maintain  
25 an action in the courts for damages on account of such injury; and in  
26 such an action it shall not be necessary to plead or prove freedom from  
27 contributory negligence nor may the defendant plead as a defense that  
28 the injury was caused by the negligence of a fellow servant nor that the  
29 employee assumed the risk of his or her employment, nor that the injury  
30 was due to the contributory negligence of the employee. The liability  
31 under this chapter of The New York Jockey Injury Compensation Fund, Inc.  
32 created under section two hundred [thirteen-a] TWENTY-ONE of the racing,  
33 pari-mutuel wagering and breeding law shall be limited to the provision  
34 of workers' compensation coverage to jockeys, apprentice jockeys [and],  
35 exercise persons, AND AT THE ELECTION OF THE NEW YORK JOCKEY INJURY  
36 COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE GAMING  
37 COMMISSION, EMPLOYEES OF LICENSED TRAINERS OR OWNERS licensed under  
38 article two or four of the racing, pari-mutuel wagering and breeding law  
39 and any statutory penalties resulting from the failure to provide such  
40 coverage.

41 S 9. Subdivision 4 of section 14-a of the workers' compensation law,  
42 as amended by chapter 169 of the laws of 2007, is amended to read as  
43 follows:

44 4. With respect to a jockey, apprentice jockey or exercise person  
45 licensed under article two or four of the racing, pari-mutuel wagering  
46 and breeding law, AND AT THE ELECTION OF THE NEW YORK JOCKEY INJURY  
47 COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE GAMING  
48 COMMISSION, AN EMPLOYEE OF A LICENSED TRAINER OR OWNER, who, pursuant to  
49 section two of this chapter, is an employee of all owners and trainers  
50 licensed or required to be licensed under article two or four of the  
51 racing, pari-mutuel wagering and breeding law and The New York Jockey  
52 Injury Compensation Fund, Inc., the owner or trainer for whom such jock-  
53 ey, apprentice jockey [or], exercise person OR, IF APPROVED BY THE NEW  
54 YORK STATE GAMING COMMISSION, EMPLOYEE OF A LICENSED TRAINER OR OWNER  
55 was performing services at the time of the accident shall be solely  
56 responsible for the double payments described in subdivision one of this

1 section, to the extent that such payments exceed any amounts otherwise  
2 payable with respect to such jockey, apprentice jockey [or], exercise  
3 person OR, IF APPROVED BY THE NEW YORK STATE GAMING COMMISSION, EMPLOYEE  
4 OF A LICENSED TRAINER OR OWNER under any other section of this chapter,  
5 and the New York Jockey Injury Compensation Fund, Inc. shall have no  
6 responsibility for such excess payments, unless there shall be a failure  
7 of the responsible owner or trainer to pay such award within the time  
8 provided under this chapter. In the event of such failure to pay and the  
9 board requires the fund to pay the award on behalf of such owner or  
10 trainer who has been found to have violated this section, the fund shall  
11 be entitled to an award against such owner or trainer for the amount so  
12 paid which shall be collected in the same manner as an award of compen-  
13 sation.

14 S 10. Section 18-a of the workers' compensation law, as amended by  
15 chapter 169 of the laws of 2007, is amended to read as follows:

16 S 18-a. Notice: The New York Jockey Injury Compensation Fund, Inc.  
17 Wherever in this chapter it shall be required that notice be given to an  
18 employer, except for claims involving section fourteen-a of the workers'  
19 compensation law such notice requirement shall be deemed satisfied by  
20 giving notice to the New York Jockey Injury Compensation Fund, Inc., in  
21 connection with an injury to a jockey, apprentice jockey or exercise  
22 person licensed under article two or four of the racing, pari-mutuel  
23 wagering and breeding law, AND AT THE ELECTION OF THE NEW YORK JOCKEY  
24 INJURY COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE  
25 GAMING COMMISSION, AN EMPLOYEE OF A LICENSED TRAINER OR OWNER, who,  
26 pursuant to section two of this chapter, is an employee of all owners  
27 and trainers licensed or required to be licensed under article two or  
28 four of the racing, pari-mutuel wagering and breeding law and of the  
29 fund. In a claim involving section fourteen-a of the workers' compen-  
30 sation law such required notice shall be given to the employing owner  
31 and/or trainer of the fund.

32 S 11. Subdivision 8 of section 50 of the workers' compensation law, as  
33 amended by chapter 169 of the laws of 2007, is amended to read as  
34 follows:

35 8. The requirements of section ten of this chapter regarding the  
36 provision of workers' compensation insurance as to owners and trainers  
37 governed by the racing, pari-mutuel wagering and breeding law who are  
38 employers under section two of this chapter are satisfied in full by  
39 compliance with the requirements imposed upon owners and trainers by  
40 section two hundred [thirteen-a] TWENTY-ONE of the racing, pari-mutuel  
41 wagering and breeding law, provided that in the event double compen-  
42 sation, death benefits, or awards are payable with respect to an injured  
43 employee under section fourteen-a of this chapter, the owner or trainer  
44 for whom the injured jockey, apprentice jockey or exercise person  
45 licensed under article two or four of the racing, pari-mutuel wagering  
46 and breeding law, AND AT THE ELECTION OF THE NEW YORK JOCKEY INJURY  
47 COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW YORK STATE GAMING  
48 COMMISSION, EMPLOYEE OF A LICENSED TRAINER OR OWNER, is performing  
49 services as a jockey, apprentice jockey or exercise person so licensed  
50 at the time of the accident OR, IF APPROVED BY THE NEW YORK STATE GAMING  
51 COMMISSION, AN EMPLOYEE OF A LICENSED TRAINER OR OWNER shall bear the  
52 sole responsibility for the amount payable pursuant to such section  
53 fourteen-a in excess of the amount otherwise payable under this chapter,  
54 unless there shall be a failure of the responsible owner or trainer to  
55 pay such award within the time provided under this chapter. In the event  
56 of such failure to pay and the board requires the fund to pay the award

1 on behalf of such owner or trainer who has been found to have violated  
2 section fourteen-a OF THIS CHAPTER, the fund shall be entitled to an  
3 award against such owner or trainer for the amount so paid which shall  
4 be collected in the same manner as an award of compensation. Coverage  
5 directly procured by any owner or trainer for the purpose of satisfying  
6 the requirements of this chapter with respect to employees of the owner  
7 or trainer shall not include coverage on any jockey, apprentice jockey  
8 or exercise person licensed under article two or four of the racing,  
9 pari-mutuel wagering and breeding law, AND AT THE ELECTION OF THE NEW  
10 YORK JOCKEY INJURY COMPENSATION FUND, INC., WITH THE APPROVAL OF THE NEW  
11 YORK STATE GAMING COMMISSION, ANY EMPLOYEE OF A LICENSED TRAINER OR  
12 OWNER, to the extent that such jockey, apprentice jockey [or], exercise  
13 person OR, IF APPROVED BY THE NEW YORK STATE GAMING COMMISSION, EMPLOYEE  
14 OF A LICENSED TRAINER OR OWNER is also covered under coverage procured  
15 by The New York Jockey Injury Compensation Fund, Inc. pursuant to the  
16 requirements of section two hundred [thirteen-a] TWENTY-ONE of the  
17 racing, pari-mutuel wagering and breeding law, and to that extent,  
18 coverage procured by the fund pursuant to the requirements of the  
19 racing, pari-mutuel wagering and breeding law shall be considered prima-  
20 ry.

21 S 12. This act shall take effect immediately.

22

PART TT

23 Section 1. Subsection (eee) of section 606 of the tax law is amended  
24 by adding a new paragraph 13 to read as follows:

25 (13) (A) NOTHING HEREIN SHALL BE CONSTRUED TO PRECLUDE THE COMMISSION-  
26 ER FROM MAKING A PRELIMINARY ADVANCE PAYMENT OF THE CREDIT BASED UPON AN  
27 ESTIMATE OF THE STAR TAX SAVINGS APPLICABLE TO A SCHOOL DISTRICT  
28 PORTION, WHERE HE OR SHE FINDS THAT ATTEMPTING TO ASCERTAIN THE ACTUAL  
29 STAR TAX SAVINGS APPLICABLE TO THE SCHOOL DISTRICT PORTION WOULD JEOP-  
30 ARDIZE THE TIMELY ISSUANCE OF THE PAYMENT. WHEN MAKING SUCH AN ESTIMATE,  
31 THE COMMISSIONER SHALL CONSIDER THE STAR TAX SAVINGS APPLICABLE IN THE  
32 SCHOOL DISTRICT FISCAL YEAR PRECEDING THE ASSOCIATED FISCAL YEAR, THE  
33 ALLOWABLE LEVY GROWTH FACTOR APPLICABLE TO THE CALCULATION OF THE TAX  
34 LEVY LIMIT FOR THE ASSOCIATED FISCAL YEAR PURSUANT TO PARAGRAPH A OF  
35 SUBDIVISION TWO OF SECTION TWO THOUSAND TWENTY-THREE-A OF THE EDUCATION  
36 LAW, TAXABLE ASSESSED VALUE WHERE APPROPRIATE, AND SUCH OTHER INFORMA-  
37 TION THAT IN HIS OR HER JUDGMENT WILL HELP MAKE THE ESTIMATE AS ACCURATE  
38 AS POSSIBLE.

39 (B) NOTHING HEREIN SHALL BE CONSTRUED TO PRECLUDE THE COMMISSIONER  
40 FROM MAKING A PRELIMINARY ADVANCE PAYMENT OF THE CREDIT WITHOUT ATTEMPT-  
41 ING TO ASCERTAIN THE TAXPAYER'S QUALIFYING TAXES, WHERE HE OR SHE FINDS  
42 THAT ATTEMPTING TO ASCERTAIN THE TAXPAYER'S QUALIFYING TAXES WOULD JEOP-  
43 ARDIZE THE TIMELY ISSUANCE OF THE PAYMENT.

44 (C) IF THE COMMISSIONER DETERMINES THAT A TAXPAYER RECEIVED A PRELIMI-  
45 NARY ADVANCE PAYMENT THAT IS ABOVE OR BELOW THE ADVANCE PAYMENT TO WHICH  
46 HE OR SHE WAS ENTITLED UNDER THIS SUBSECTION, THE COMMISSIONER SHALL  
47 PROVIDE NOTICE TO SUCH TAXPAYER THAT THE NEXT ADVANCE PAYMENT DUE TO  
48 SUCH TAXPAYER UNDER THIS SUBSECTION SHALL BE ADJUSTED TO RECONCILE SUCH  
49 UNDERPAYMENT OR OVERPAYMENT; PROVIDED, HOWEVER, THE COMMISSIONER SHALL  
50 PERMIT A TAXPAYER TO REQUEST THAT SUCH ADJUSTMENT BE MADE ON AN  
51 ORIGINALLY FILED TIMELY INCOME TAX RETURN FOR THE TAX YEAR IN WHICH SUCH  
52 OVERPAYMENT OR UNDERPAYMENT OCCURRED, PROVIDED SUCH RETURN IS FILED ON  
53 OR BEFORE THE DUE DATE FOR SUCH RETURN, DETERMINED WITHOUT REGARD TO  
54 EXTENSIONS.

1 (D) A TAXPAYER WHO RECEIVED A PRELIMINARY ADVANCE PAYMENT THAT CONSTI-  
2 TUTES AN OVERPAYMENT SHALL NOT BE REQUIRED TO PAY INTEREST ON THE AMOUNT  
3 OF THE OVERPAYMENT.

4 S 2. Subparagraph (B) of paragraph 10 of subsection (eee) of section  
5 606 of the tax law, as amended by section 8 of part A of chapter 73 of  
6 the laws of 2016, is amended to read as follows:

7 (B) On or before [September fifteenth of each year] THE DATE SPECIFIED  
8 BELOW, or as soon thereafter as practicable, the commissioner shall  
9 determine the eligibility of taxpayers for this credit utilizing the  
10 information available to him or her as obtained from the applications  
11 submitted on or before July first of that year, or such later date as  
12 may have been prescribed by the commissioner for that purpose, and from  
13 such other sources as the commissioner deems reliable and appropriate.  
14 For those taxpayers whom the commissioner has determined eligible for  
15 this credit, the commissioner shall advance a payment in the amount  
16 specified in paragraph three, four or six of this subsection, whichever  
17 is applicable. Such payment shall be issued by [September thirtieth of  
18 the year the credit is allowed] THE DATE SPECIFIED BELOW, or as soon  
19 thereafter as is practicable; PROVIDED THAT IF SUCH PAYMENT IS ISSUED  
20 AFTER SUCH DATE, IT SHALL BE SUBJECT TO INTEREST AT THE RATE PRESCRIBED  
21 BY SUBPARAGRAPH (A) OF PARAGRAPH TWO OF SUBSECTION (J) OF SECTION SIX  
22 HUNDRED NINETY-SEVEN OF THIS ARTICLE. Nothing contained herein shall be  
23 deemed to preclude the commissioner from issuing payments after [Septem-  
24 ber thirtieth] SUCH DATE to qualified taxpayers whose applications were  
25 made after July first of that year, or such later date as may have been  
26 prescribed by the commissioner for such purpose.

27 (I) THE APPLICABLE DATES FOR THIS PURPOSE ARE AS FOLLOWS:

28 (I) IF THE SCHOOL DISTRICT TAX ROLL IS FILED WITH THE COMMISSIONER ON  
29 OR BEFORE JULY FIRST, THE DETERMINATION OF ELIGIBILITY SHALL BE MADE BY  
30 JULY FIFTEENTH, OR AS SOON THEREAFTER AS IS PRACTICABLE, AND THE ADVANCE  
31 PAYMENT SHALL BE ISSUED BY JULY THIRTIETH, OR AS SOON THEREAFTER AS IS  
32 PRACTICABLE.

33 (II) IF THE SCHOOL DISTRICT TAX ROLL IS FILED WITH THE COMMISSIONER  
34 AFTER JULY FIRST AND ON OR BEFORE SEPTEMBER FIRST, THE DETERMINATION OF  
35 ELIGIBILITY SHALL BE MADE BY SEPTEMBER FIFTEENTH, OR AS SOON THEREAFTER  
36 AS IS PRACTICABLE, AND THE ADVANCE PAYMENT SHALL BE ISSUED BY SEPTEMBER  
37 THIRTIETH, OR AS SOON THEREAFTER AS IS PRACTICABLE.

38 (III) IF THE SCHOOL DISTRICT TAX ROLL IS FILED WITH THE COMMISSIONER  
39 AFTER SEPTEMBER FIRST, THE DETERMINATION OF ELIGIBILITY SHALL BE MADE BY  
40 THE FIFTEENTH DAY AFTER SUCH FILING, OR AS SOON THEREAFTER AS IS PRACTI-  
41 CABLE, AND THE ADVANCE PAYMENT SHALL BE ISSUED BY THE THIRTIETH DAY  
42 AFTER SUCH FILING, OR AS SOON THEREAFTER AS IS PRACTICABLE.

43 (II) NOTWITHSTANDING THE FOREGOING PROVISIONS OF THIS SUBPARAGRAPH, IN  
44 THE CASE OF TAXPAYERS WHOSE PRIMARY RESIDENCE IS A COOPERATIVE APARTMENT  
45 OR A MOBILE HOME THAT IS SUBJECT TO THE PROVISIONS OF SUBPARAGRAPH (A)  
46 OR (B) OF PARAGRAPH SIX OF THIS SUBSECTION, THE PAYMENT SHALL BE ISSUED  
47 BY THE SIXTIETH DAY FOLLOWING RECEIPT OF ALL OF THE DATA NEEDED TO PROP-  
48 ERLY CALCULATE THE CREDIT, OR AS SOON THEREAFTER AS IS PRACTICABLE.

49 S 3. Subdivision 6 of section 1306-a of the real property tax law, as  
50 amended by section 7 of part A of chapter 73 of the laws of 2016, is  
51 amended to read as follows:

52 6. When the commissioner determines, at least [thirty] TWENTY days  
53 prior to the levy of school district taxes, that an advance credit of  
54 the personal income tax credit authorized by subsection (eee) of section  
55 six hundred six of the tax law will be provided to the owners of a  
56 parcel in that school district, he or she shall so notify the assessor,

1 the county director of real property tax services, and the authorities  
2 of the school district, who shall cause a statement to be placed on the  
3 tax bill for the parcel in substantially the following form: ["A STAR  
4 check of \$            will be mailed to you upon issuance by the NYS Tax  
5 Department". The commissioner shall advise such officials of the amount  
6 to be entered therein.] "AN ESTIMATED STAR CHECK WILL BE MAILED TO YOU  
7 UPON ISSUANCE BY THE NYS TAX DEPARTMENT. ANY OVERPAYMENT OR UNDERPAYMENT  
8 CAN BE RECONCILED ON YOUR NEXT TAX RETURN OR STAR CREDIT CHECK."

9 Notwithstanding any provision of law to the contrary, in the event  
10 that the parcel in question had been granted a STAR exemption on the  
11 assessment roll upon which school district taxes are to be levied, such  
12 exemption shall be deemed null and void, shall be removed from the  
13 assessment roll, and shall be disregarded when the parcel's tax liabil-  
14 ity is determined. The assessor or other local official or officials  
15 having custody and control of the data file used to generate school  
16 district tax rolls and tax bills shall be authorized and directed to  
17 change such file as necessary to enable the school district authorities  
18 to discharge the duties imposed upon them by this subdivision.

19 S 4. This act shall take effect immediately.

20 PART UU

21 Section 1. Paragraph 2 of subdivision (e) of section 1111 of the tax  
22 law, as amended by section 1 of part LL of chapter 59 of the laws of  
23 2014, is amended to read as follows:

24 (2) (i) Where the motor fuel is imported, manufactured or sold in, or  
25 diesel motor fuel is sold or used in the region referred to in subpara-  
26 graph (i) of paragraph one of this subdivision, the tax required to be  
27 prepaid pursuant to section eleven hundred two of this article on each  
28 gallon of such fuel shall be [seventeen and one-half] SIXTEEN cents.

29 (ii) Where motor fuel is imported, manufactured or sold in, or diesel  
30 motor fuel is sold or used in the region referred to in subparagraph  
31 (ii) of paragraph one of this subdivision, the tax required to be  
32 prepaid pursuant to section eleven hundred two of this article on each  
33 gallon of such fuel shall be [twenty-one] SIXTEEN cents.

34 (iii) Where motor fuel is imported, manufactured or sold in, or diesel  
35 motor fuel is sold or used in the region referred to in subparagraph  
36 (iii) of paragraph one of this subdivision, the tax required to be  
37 prepaid pursuant to section eleven hundred two of this article on each  
38 gallon of such fuel shall be [sixteen] FIFTEEN cents.

39 S 2. Subdivision (e) of section 1111 of the tax law is amended by  
40 adding two new paragraphs 4 and 5 to read as follows:

41 (4) THE COMMISSIONER IS AUTHORIZED TO ADJUST THE RATES IN PARAGRAPH  
42 TWO OF THIS SUBDIVISION AND SHALL PRESCRIBE A SCHEDULE OF SUCH RATES FOR  
43 EACH REGION DESCRIBED IN PARAGRAPH ONE OF THIS SUBDIVISION AS PROVIDED  
44 IN THIS PARAGRAPH.

45 (I) THE SCHEDULE REQUIRED BY THIS PARAGRAPH SHALL BE REVIEWED SEMIAN-  
46 NUALLY DURING THE MONTHS OF APRIL AND OCTOBER OF EACH YEAR, BEGINNING IN  
47 OCTOBER, TWO THOUSAND SEVENTEEN. THE COMMISSIONER SHALL DETERMINE A  
48 TENTATIVE RATE OF TAX THAT WOULD BE REQUIRED TO BE PREPAID PURSUANT TO  
49 SECTION ELEVEN HUNDRED TWO OF THIS ARTICLE ON EACH GALLON OF MOTOR FUEL  
50 OR DIESEL MOTOR FUEL SOLD OR USED BY MULTIPLYING THE REGIONAL AVERAGE  
51 RETAIL SALES PRICES FOR SUCH FUEL FOR EACH REGION DESCRIBED IN PARAGRAPH  
52 ONE OF THIS SUBDIVISION BY A NUMBER THAT IS SEVENTY-FIVE PERCENT OF THE  
53 AVERAGE LOCAL SALES TAX RATE IN EACH SUCH REGION AND ADDING TO THE PROD-  
54 UCT THEREOF THE TAXES IMPOSED BY PARAGRAPHS ONE AND TWO OF SUBDIVISION

1 (M) OF THIS SECTION. THE REGIONAL AVERAGE RETAIL SALES PRICE SHALL BE  
2 DETERMINED FOR PURPOSES OF THIS SUBDIVISION USING DATA REGARDING SALES  
3 PRICES, WHICH SHALL INCLUDE, BUT NOT BE LIMITED TO, SALES PRICES AS  
4 COMPILED BY GOVERNMENT OR INDUSTRY SURVEYS AND SOURCES, TAKING INTO  
5 CONSIDERATION WITH RESPECT TO MOTOR FUEL, THE VOLUMES AND PRICES OF  
6 UNLEADED MOTOR FUELS, INCLUDING REFORMULATED OR LIKE MOTOR FUELS, SOLD  
7 IN THIS STATE AND WITH RESPECT TO BOTH MOTOR FUEL AND DIESEL MOTOR FUEL,  
8 THE VOLUME AND PRICES OF SUCH FUELS SOLD AT FULL SERVICE AND SELF-SER-  
9 VICE PUMPS FOR SUCH FUELS, DURING AN IMMEDIATELY PRECEDING PERIOD OF UP  
10 TO TWELVE MONTHS ENDING THE LAST DAY OF MARCH IN THE CASE OF THE APRIL  
11 SEMIANNUAL REVIEW AND ENDING THE LAST DAY OF SEPTEMBER IN THE CASE OF  
12 THE OCTOBER SEMIANNUAL REVIEW; PROVIDED, HOWEVER, THAT THE REGIONAL  
13 AVERAGE RETAIL SALES PRICES FOR BOTH MOTOR FUEL AND DIESEL MOTOR FUEL  
14 SHALL REPRESENT THE RETAIL SALES PRICES UPON WHICH THE TAX UNDER THIS  
15 ARTICLE AND PURSUANT TO THE AUTHORITY OF ARTICLE TWENTY-NINE OF THIS  
16 CHAPTER IS COMPUTED (INCLUDING ALL FEDERAL AND STATE AND ANY LOCAL TAXES  
17 INCLUDED IN SUCH PRICE) FOR SUCH PERIOD.

18 (II) IF UPON SUCH REVIEW, IT IS DETERMINED THAT THE TENTATIVE RATE OF  
19 TAX THAT WOULD BE REQUIRED TO BE PREPAID FOR MOTOR FUEL OR DIESEL MOTOR  
20 FUEL IN ANY OF THE REGIONS DESCRIBED IN PARAGRAPH ONE OF THIS SUBDIVI-  
21 SION WOULD INCREASE OR DECREASE THE RATE FOR SUCH REGION THEN IN EFFECT  
22 BY TWO OR MORE CENTS PER GALLON, THE COMMISSIONER SHALL ADJUST SUCH RATE  
23 TO BE EQUAL TO THE TENTATIVE RATE, WHICH SHALL TAKE EFFECT ON THE FIRST  
24 DAY OF JUNE OR THE FIRST DAY OF DECEMBER, RESPECTIVELY. PROVIDED, HOWEV-  
25 ER, THE COMMISSIONER SHALL SET THE RATE OF TAX REQUIRED TO BE PREPAID IN  
26 THE REGION DESCRIBED IN SUBPARAGRAPH (II) OF PARAGRAPH ONE OF THIS  
27 SUBDIVISION EQUAL TO THE RATE SET FORTH IN SUBPARAGRAPH (I) OF SUCH  
28 PARAGRAPH, UNLESS THE REGIONAL AVERAGE RETAIL SALES PRICE IN THE METRO-  
29 POLITAN COMMUTER TRANSPORTATION DISTRICT EXCEEDS FOUR DOLLARS PER  
30 GALLON. IN SUCH EVENT, THE COMMISSIONER IS AUTHORIZED TO ESTABLISH A  
31 SEPARATE RATE IN THE REGION DESCRIBED IN SUCH SUBPARAGRAPH (II) AND  
32 SHALL COMPUTE SUCH RATE BY MULTIPLYING THE REGIONAL AVERAGE RETAIL SALES  
33 PRICES FOR MOTOR FUEL AND DIESEL MOTOR FUEL IN SUCH REGION BY A NUMBER  
34 THAT IS EIGHTY-FIVE PERCENT OF THE AVERAGE LOCAL SALES TAX RATE IN SUCH  
35 REGION AND ADDING TO THE PRODUCT THEREOF THE TAXES IMPOSED BY PARAGRAPHS  
36 ONE AND TWO OF SUBDIVISION (M) OF THIS SECTION.

37 (III) THE COMMISSIONER SHALL CAUSE TO BE PUBLISHED ON THE DEPARTMENT'S  
38 WEBSITE THE SCHEDULE OF RATES AND THE REGIONAL AVERAGE RETAIL SALES  
39 PRICES OF MOTOR FUEL AND DIESEL MOTOR FUEL FIXED BY THIS SECTION, NO  
40 LATER THAN TEN DAYS PRIOR TO THE EFFECTIVE DATE OF SUCH RATES. NOTWITH-  
41 STANDING ANY OTHER PROVISION OF LAW, THE CALCULATION AND PUBLICATION OF  
42 THE RATES SO FIXED BY THE PROVISIONS OF THIS SECTION SHALL NOT BE  
43 INCLUDED WITHIN PARAGRAPH (A) OF SUBDIVISION TWO OF SECTION ONE HUNDRED  
44 TWO OF THE STATE ADMINISTRATIVE PROCEDURE ACT RELATING TO THE DEFINITION  
45 OF A RULE.

46 (5) WHERE A NEW RATE OF TAX REQUIRED TO BE PREPAID FOR MOTOR FUEL OR  
47 DIESEL MOTOR FUEL IS DETERMINED BY THE COMMISSIONER, (I) IF SUCH NEW  
48 RATE IS LESS THAN THE RATE THEN IN EFFECT, ON THE DATE THE RATE BECOMES  
49 EFFECTIVE (A) A REGISTERED DISTRIBUTOR SHALL BE ENTITLED TO A CREDIT IN  
50 AN AMOUNT EQUAL TO THE DIFFERENCE BETWEEN THE AMOUNT OF THE PREPAID TAX  
51 PAID OR INCURRED BY HIM OR HER WITH RESPECT TO MOTOR FUEL WHICH HE OR  
52 SHE IMPORTED AND WHICH HE OR SHE HAS IN INVENTORY AT THE TIME SUCH NEW  
53 RATE BECOMES EFFECTIVE AND THE AMOUNT OF TAX WHICH WOULD BE DUE ON SUCH  
54 INVENTORY IF THE PREPAID TAX WERE CALCULATED BASED ON SUCH NEW AVERAGE  
55 PRICE FOR THE REGION IN WHICH SUCH MOTOR FUEL WAS IMPORTED AND (B) SUCH  
56 INVENTORY SHALL THEN BE DEEMED TO HAVE BEEN TAXED BASED ON THE RATE AND



1 ALL CERTIFICATIONS OF TAX PAYMENT GIVEN BY THE DISTRIBUTOR WITH RESPECT  
2 TO MOTOR FUEL IN SUCH INVENTORY SHALL INDICATE A PASS THROUGH OF THE  
3 PREPAID TAX BASED ON SUCH NEW PRICE, (II) IF SUCH NEW RATE IS GREATER  
4 THAN THE EXISTING RATE, ON THE DATE SUCH NEW RATE BECOMES EFFECTIVE (A)  
5 SUCH DISTRIBUTOR SHALL BECOME LIABLE TO PAY AN ADDITIONAL TAX EQUAL TO  
6 THE DIFFERENCE BETWEEN THE AMOUNT OF TAX WHICH WOULD BE DUE WITH RESPECT  
7 TO MOTOR FUEL WHICH HE OR SHE IMPORTED AND WHICH HE OR SHE HAS IN INVEN-  
8 TORY AT THE TIME SUCH NEW RATE BECOMES EFFECTIVE IF THE PREPAID TAX ON  
9 SUCH MOTOR FUEL WERE CALCULATED BASED ON SUCH NEW AVERAGE PRICE FOR THE  
10 REGION IN WHICH SUCH MOTOR FUEL WAS IMPORTED AND THE AMOUNT OF PREPAID  
11 TAX PAID OR ACTUALLY INCURRED BY SUCH DISTRIBUTOR WITH RESPECT TO SUCH  
12 MOTOR FUEL AND (B) SUCH INVENTORY SHALL THEN BE DEEMED TO HAVE BEEN  
13 TAXED BASED ON THE NEW RATE AND ALL CERTIFICATIONS OF TAX PAYMENT GIVEN  
14 BY THE DISTRIBUTOR WITH RESPECT TO MOTOR FUEL IN SUCH INVENTORY SHALL  
15 INDICATE A PASS THROUGH OF THE PREPAID TAX BASED ON SUCH NEW RATE. SUCH  
16 CREDIT SHALL BE ALLOWED WITH RESPECT TO OR SUCH TAX SHALL BE PAID WITH  
17 THE RETURN COVERING THE MONTH IMMEDIATELY PRECEDING THE MONTH IN WHICH  
18 SUCH NEW RATE BECOMES EFFECTIVE. ANY CARRYOVER CREDIT MAY BE APPLIED TO  
19 SUBSEQUENT PERIODS. THE AMOUNT TO BE REPORTED AS ADDITIONAL TAX SHALL  
20 BE PAID AND DISPOSED OF IN THE SAME MANNER AS THE TAX REQUIRED TO BE  
21 PREPAID BY SECTION ELEVEN HUNDRED TWO OF THIS ARTICLE. SUCH ADDITIONAL  
22 TAX SHALL BE DETERMINED, ASSESSED, COLLECTED AND ENFORCED IN THE SAME  
23 MANNER AS THE TAX REQUIRED TO BE PREPAID BY SECTION ELEVEN HUNDRED TWO  
24 OF THIS ARTICLE.

25 S 3. This act shall take effect September 1, 2017.

26

#### PART VV

27 Section 1. The opening paragraph of paragraph (a) of subdivision 5 of  
28 section 210-A of the tax law, as amended by section 4 of part P of chap-  
29 ter 60 of the laws of 2016, is amended to read as follows:

30 A financial instrument is a "nonqualified financial instrument" if it  
31 is not a qualified financial instrument. A qualified financial instru-  
32 ment means a financial instrument that is of a type described in any of  
33 clauses (A), (B), (C), (D), (G), (H) or (I) of subparagraph two of this  
34 paragraph and that has been marked to market in the taxable year by the  
35 taxpayer under section 475 or section 1256 of the internal revenue code.  
36 Further, if the taxpayer has in the taxable year marked to market a  
37 financial instrument of the type described in any of the clauses (A),  
38 (B), (C), (D), (G), (H) or (I) of subparagraph two of this paragraph,  
39 then any financial instrument within that type described in the above  
40 specified clause or clauses that has not been marked to market by the  
41 taxpayer under section 475 or section 1256 of the internal revenue code  
42 is a qualified financial instrument in the taxable year. Notwithstanding  
43 the two preceding sentences, (i) a loan secured by real property shall  
44 not be a qualified financial instrument, (ii) if the only loans that are  
45 marked to market by the taxpayer under section 475 or section 1256 of  
46 the internal revenue code are loans secured by real property, then no  
47 loans shall be qualified financial instruments, (iii) stock that is  
48 investment capital as defined in paragraph (a) of subdivision five of  
49 section two hundred eight of this article shall not be a qualified  
50 financial instrument, and (iv) stock that generates other exempt income  
51 as defined in subdivision six-a of section two hundred eight of this  
52 article and that is not marked to market under section 475 or section  
53 1256 of the internal revenue code shall not constitute a qualified  
54 financial instrument with respect to the income from that stock that is

1 described in such subdivision six-a. If a corporation is included in a  
 2 combined report, the definition of qualified financial instrument shall  
 3 be determined on a combined basis. IN THE CASE OF A RIC OR A REIT THAT  
 4 IS NOT A CAPTIVE RIC OR A CAPTIVE REIT, A QUALIFIED FINANCIAL INSTRUMENT  
 5 MEANS A FINANCIAL INSTRUMENT THAT IS OF A TYPE DESCRIBED IN ANY OF  
 6 CLAUSES (A), (B), (C), (D), (G), (H) OR (I) OF SUBPARAGRAPH TWO OF THIS  
 7 PARAGRAPH, OTHER THAN (I) A LOAN SECURED BY REAL PROPERTY, (II) STOCK  
 8 THAT IS INVESTMENT CAPITAL AS DEFINED IN PARAGRAPH (A) OF SUBDIVISION  
 9 FIVE OF SECTION TWO HUNDRED EIGHT OF THIS ARTICLE, AND (III) STOCK THAT  
 10 GENERATES OTHER EXEMPT INCOME AS DEFINED IN SUBDIVISION SIX-A OF SECTION  
 11 TWO HUNDRED EIGHT OF THIS ARTICLE WITH RESPECT TO THE INCOME FROM THAT  
 12 STOCK THAT IS DESCRIBED IN SUCH SUBDIVISION SIX-A.

13 S 2. Clause (D) of subparagraph 1 of paragraph (d) of subdivision 1 of  
 14 section 210 of the tax law, as amended by section 19 of part T of chap-  
 15 ter 59 of the laws of 2015, is amended to read as follows:

16 (D) Otherwise, for all other taxpayers not covered by clauses (A), (B)  
 17 [and], (C) AND (D-1) of this subparagraph, the amount prescribed by this  
 18 paragraph will be determined in accordance with the following table:

19 If New York receipts are:	The fixed dollar minimum tax is:
20 not more than \$100,000	\$ 25
21 more than \$100,000 but not over \$250,000	\$ 75
22 more than \$250,000 but not over \$500,000	\$ 175
23 more than \$500,000 but not over \$1,000,000	\$ 500
24 more than \$1,000,000 but not over \$5,000,000	\$1,500
25 more than \$5,000,000 but not over \$25,000,000	\$3,500
26 more than \$25,000,000 but not over \$50,000,000	\$5,000
27 more than \$50,000,000 but not over \$100,000,000	\$10,000
28 more than \$100,000,000 but not over \$250,000,000	\$20,000
29 more than \$250,000,000 but not over \$500,000,000	\$50,000
30 more than \$500,000,000 but not over \$1,000,000,000	\$100,000
31 Over \$1,000,000,000	\$200,000

32 S 3. Subparagraph 1 of paragraph (d) of subdivision 1 of section 210  
 33 of the tax law is amended by adding a new clause (D-1) to read as  
 34 follows:

35 (D-1) IN THE CASE OF A REIT OR A RIC THAT IS NOT A CAPTIVE REIT OR  
 36 CAPTIVE RIC, THE AMOUNT PRESCRIBED BY THIS PARAGRAPH WILL BE DETERMINED  
 37 IN ACCORDANCE WITH THE FOLLOWING TABLE:

38 IF NEW YORK RECEIPTS ARE:	THE FIXED DOLLAR MINIMUM TAX IS:
39 NOT MORE THAN \$100,000	\$ 25
40 MORE THAN \$100,000 BUT NOT OVER \$250,000	\$ 75
41 MORE THAN \$250,000 BUT NOT OVER \$500,000	\$ 175
42 MORE THAN \$500,000	\$ 500

43 S 4. The opening paragraph of paragraph (a) of subdivision 5 of  
 44 section 11-654.2 of the administrative code of the city of New York, as  
 45 amended by section 16 of part P of chapter 60 of the laws of 2016, is  
 46 amended to read as follows:

47 A financial instrument is a "nonqualified financial instrument" if it  
 48 is not a qualified financial instrument. A qualified financial instru-  
 49 ment means a financial instrument that is of a type described in any of  
 50 [clause] CLAUSES (i), (ii), (iii), (iv), (vii), (viii) or (ix) of  
 51 subparagraph two of this paragraph and that has been marked to market in  
 52 the taxable year by the taxpayer under section 475 or section 1256 of

1 the internal revenue code. Further, if the taxpayer has in the taxable  
2 year marked to market a financial instrument of the type described in  
3 any of [clause] CLAUSES (i), (ii), (iii), (iv), (vii), (viii) or (ix) of  
4 subparagraph two of this paragraph, then any financial instrument within  
5 that type described in the above specified clause or clauses that has  
6 not been marked to market by the taxpayer under section 475 or section  
7 1256 of the internal revenue code is a qualified financial instrument in  
8 the taxable year. Notwithstanding the two preceding sentences, (i) a  
9 loan secured by real property shall not be a qualified financial instru-  
10 ment, (ii) if the only loans that are marked to market by the taxpayer  
11 under section 475 or section 1256 of the internal revenue code are loans  
12 secured by real property, then no loans shall be qualified financial  
13 instruments, (iii) stock that is investment capital as defined in para-  
14 graph (a) of subdivision four of section 11-652 of this subchapter shall  
15 not be a qualified financial instrument, and (iv) stock that generates  
16 other exempt income as defined in subdivision five-a of section 11-652  
17 of this subchapter and that is not marked to market under section 475 or  
18 section 1256 of the internal revenue code shall not constitute a quali-  
19 fied financial instrument with respect to the income from that stock  
20 that is described in such subdivision five-a. If a corporation is  
21 included in a combined report, the definition of qualified financial  
22 instrument shall be determined on a combined basis. IN THE CASE OF A  
23 RIC OR A REIT THAT IS NOT A CAPTIVE RIC OR A CAPTIVE REIT, A QUALIFIED  
24 FINANCIAL INSTRUMENT MEANS A FINANCIAL INSTRUMENT THAT IS OF A TYPE  
25 DESCRIBED IN ANY OF CLAUSES (I), (II), (III), (IV), (VII), (VIII) OR  
26 (IX) OF SUBPARAGRAPH TWO OF THIS PARAGRAPH, OTHER THAN (I) A LOAN  
27 SECURED BY REAL PROPERTY, (II) STOCK THAT IS INVESTMENT CAPITAL AS  
28 DEFINED IN PARAGRAPH (A) OF SUBDIVISION FOUR OF SECTION 11-652 OF THIS  
29 SUBCHAPTER, AND (III) STOCK THAT GENERATES OTHER EXEMPT INCOME AS  
30 DEFINED IN SUBDIVISION FIVE-A OF SECTION 11-652 OF THIS SUBCHAPTER WITH  
31 RESPECT TO THE INCOME FROM THAT STOCK THAT IS DESCRIBED IN SUCH SUBDIVI-  
32 SION FIVE-A.

33 S 5. This act shall take effect immediately and shall apply to taxable  
34 years beginning on or after January 1, 2016.

35 PART WW

36 Section 1. Subdivision (a) of section 1115 of the tax law is amended  
37 by adding a new paragraph 44 to read as follows:

38 (44) MONUMENTS AS THAT TERM IS DEFINED IN SUBDIVISION (F) OF SECTION  
39 FIFTEEN HUNDRED TWO OF THE NOT-FOR-PROFIT CORPORATION LAW.

40 S 2. This act shall take effect on the first day of a sales tax quar-  
41 terly period, as described in subdivision (b) of section 1136 of the tax  
42 law, beginning at least ninety days after the date this act shall have  
43 become a law and shall apply to sales made on or after such date.

44 PART XX

45 Section 1. Subdivision 3 of section 16-v of section 1 of chapter 174  
46 of the laws of 1968, constituting the New York state urban development  
47 corporation act, is amended by adding a new paragraph (e) to read as  
48 follows:

49 (E) NOTWITHSTANDING ANY OTHER PROVISION OF LAW TO THE CONTRARY, A  
50 QUALIFIED ENTITY THAT HAS PREVIOUSLY BEEN DESIGNATED AS A NEW YORK STATE  
51 INCUBATOR AND HAS NOT FULLY DISBURSED ANY GRANTS AWARDED PURSUANT TO

1 THIS SECTION, SHALL CONTINUE BEING DESIGNATED AS SUCH BY THE CORPORATION  
2 FOR AN ADDITIONAL THREE YEARS.

3 S 2. This act shall take effect immediately.

4 PART YY

5 Section 1. Subdivision 3 of section 355 of the economic development  
6 law, as amended by section 4 of part G of chapter 61 of the laws of  
7 2011, is amended to read as follows:

8 3. Excelsior research and development tax credit component. A partic-  
9 ipant in the excelsior jobs program shall be eligible to claim a credit  
10 equal to fifty percent of the portion of the participant's federal  
11 research and development tax credit that relates to the participant's  
12 research and development expenditures in New York state during the taxa-  
13 ble year; provided however, the excelsior research and development tax  
14 credit shall not exceed [three] SIX percent of the qualified research  
15 and development expenditures attributable to activities conducted in New  
16 York state. If the federal research and development credit has expired,  
17 then the research and development expenditures relating to the federal  
18 research and development credit shall be calculated as if the federal  
19 research and development credit structure and definition in effect in  
20 two thousand nine were still in effect. Notwithstanding any other  
21 provision of this chapter to the contrary, research and development  
22 expenditures in this state, including salary or wage expenses for jobs  
23 related to research and development activities in this state, may be  
24 used as the basis for the excelsior research and development tax credit  
25 component and the qualified emerging technology company facilities,  
26 operations and training credit under the tax law.

27 S 2. This act shall take effect immediately and shall apply to taxable  
28 years beginning on or after January 1, 2018.

29 PART ZZ

30 Section 1. Subdivision 16 of section 352 of the economic development  
31 law, as amended by section 1 of part K of chapter 59 of the laws of  
32 2015, is amended and a new subdivision 20-a is added to read as follows:

33 16. "Regionally significant project" means (a) a manufacturer creating  
34 at least [fifty] TEN net new jobs in the state and making significant  
35 capital investment in the state; (b) a business creating at least [twen-  
36 ty] TEN net new jobs in agriculture in the state and making significant  
37 capital investment in the state, (c) a financial services firm, distrib-  
38 ution center, or back office operation creating at least [three] ONE  
39 hundred net new jobs in the state and making significant capital invest-  
40 ment in the state, (d) a scientific research and development firm creat-  
41 ing at least [twenty] TEN net new jobs in the state, and making signif-  
42 icant capital investment in the state or (e) an entertainment company  
43 creating or obtaining at least two hundred net new jobs in the state and  
44 making significant capital investment in the state. Other businesses  
45 creating [three] ONE hundred FIFTY or more net new jobs in the state and  
46 making significant capital investment in the state may be considered  
47 eligible as a regionally significant project by the commissioner as  
48 well. The commissioner shall promulgate regulations pursuant to section  
49 three hundred fifty-six of this article to determine [what constitutes  
50 significant capital investment for each of the project categories indi-  
51 cated in this subdivision and] what additional criteria a business must  
52 meet to be eligible as a regionally significant project, including, but

1 not limited to, whether a business exports a substantial portion of its  
2 products or services outside of the state or outside of a metropolitan  
3 statistical area or county within the state.

4 20-A. "SIGNIFICANT CAPITAL INVESTMENT" MEANS A PROJECT WHICH WILL BE  
5 EITHER A NEWLY CONSTRUCTED FACILITY OR A NEWLY CONSTRUCTED ADDITION TO,  
6 EXPANSION OF OR IMPROVEMENT OF A FACILITY, CONSISTING OF TANGIBLE  
7 PERSONAL PROPERTY AND OTHER TANGIBLE PROPERTY, INCLUDING BUILDINGS AND  
8 STRUCTURAL COMPONENTS OF BUILDINGS, THAT ARE DEPRECIABLE PURSUANT TO  
9 SECTION ONE HUNDRED SIXTY-SEVEN OF THE INTERNAL REVENUE CODE, HAVE A  
10 USEFUL LIFE OF FOUR YEARS OR MORE, ARE ACQUIRED BY PURCHASE AS DEFINED  
11 IN SECTION ONE HUNDRED SEVENTY-NINE (D) OF THE INTERNAL REVENUE CODE,  
12 AND THAT IS EQUAL TO OR EXCEEDS (A) ONE MILLION DOLLARS FOR A MANUFAC-  
13 Turer; (B) TWO HUNDRED FIFTY THOUSAND DOLLARS FOR AN AGRICULTURE BUSI-  
14 ness; (C) THREE MILLION DOLLARS FOR A FINANCIAL SERVICES FIRM OR BACK  
15 OFFICE OPERATION; (D) FIFTEEN MILLION DOLLARS FOR A DISTRIBUTION CENTER;  
16 (E) THREE MILLION DOLLARS FOR A SCIENTIFIC RESEARCH AND DEVELOPMENT  
17 FIRM; OR (F) THREE MILLION DOLLARS FOR OTHER BUSINESSES.

18 S 2. Subdivisions 3 and 4 of section 353 of the economic development  
19 law, subdivision 3 as amended by section 2 of part K of chapter 59 of  
20 the laws of 2015 and subdivision 4 as amended by section 1 of part C of  
21 chapter 68 of the laws of 2013, are amended to read as follows:

22 3. For the purposes of this article, in order to participate in the  
23 excelsior jobs program, a business entity operating predominantly in  
24 manufacturing must create at least [ten] FIVE net new jobs; a business  
25 entity operating predominately in agriculture must create at least five  
26 net new jobs; a business entity operating predominantly as a financial  
27 service data center or financial services customer back office operation  
28 must create at least [fifty] TWENTY-FIVE net new jobs; a business entity  
29 operating predominantly in scientific research and development must  
30 create at least five net new jobs; a business entity operating predomi-  
31 nantly in software development must create at least five net new jobs; a  
32 business entity creating or expanding back office operations must create  
33 at least [fifty] TWENTY-FIVE net new jobs; a business entity operating  
34 predominately in music production must create at least five net new  
35 jobs; a business entity operating predominantly as an entertainment  
36 company must create or obtain at least one hundred net new jobs; or a  
37 business entity operating predominantly as a distribution center in the  
38 state must create at least [seventy-five] FIFTY net new jobs, notwith-  
39 standing subdivision five of this section; or a business entity must be  
40 a regionally significant project as defined in this article; or

41 4. A business entity operating predominantly in one of the industries  
42 referenced in paragraphs (a) through (h) of subdivision one of this  
43 section but which does not meet the job requirements of subdivision  
44 three of this section must have at least twenty-five full-time job  
45 equivalents unless such business is a business entity operating predomi-  
46 nantly in manufacturing then it must have at least [ten] FIVE full-time  
47 job equivalents and must demonstrate that its benefit-cost ratio is at  
48 least ten to one.

49 S 3. This act shall take effect immediately.

50

#### PART AAA

51 Section 1. Legislative intent. The purpose of this act is to ensure  
52 the safety, reliability, and cost-effectiveness of transportation  
53 network company (TNC) services within the state of New York and to

1 preserve and enhance access to these important transportation options  
2 for residents and visitors to the state.

3 S 2. The vehicle and traffic law is amended by adding a new article  
4 44-B to read as follows:

5 ARTICLE 44-B

6 TRANSPORTATION NETWORK COMPANY SERVICES

7 SECTION 1691. DEFINITIONS.

8 1692. GENERAL PROVISIONS.

9 1693. FINANCIAL RESPONSIBILITY OF TRANSPORTATION NETWORK COMPANIES.

10 1694. DISCLOSURES.

11 1695. INSURANCE PROVISIONS.

12 1696. DRIVER AND VEHICLE REQUIREMENTS.

13 1697. MAINTENANCE OF RECORDS.

14 1698. AUDIT PROCEDURES; CONFIDENTIALITY OF RECORDS.

15 1699. CRIMINAL HISTORY BACKGROUND CHECK OF TRANSPORTATION NETWORK COMPANY DRIVERS.

16 1700. CONTROLLING AUTHORITY.

17  
18  
19 S 1691. DEFINITIONS. AS USED IN THIS ARTICLE: 1. "TRANSPORTATION  
20 NETWORK COMPANY VEHICLE" OR "TNC VEHICLE" MEANS A VEHICLE THAT IS:

21 (A) USED BY A TRANSPORTATION NETWORK COMPANY DRIVER TO PROVIDE A TNC  
22 PREARRANGED TRIP ORIGINATING WITHIN THE STATE OF NEW YORK; AND

23 (B) OWNED, LEASED OR OTHERWISE AUTHORIZED FOR USE BY THE TRANSPORTA-  
24 TION NETWORK COMPANY DRIVER;

25 (C) SUCH TERM SHALL NOT INCLUDE:

26 (I) A TAXICAB, AS DEFINED IN SECTION ONE HUNDRED FORTY-EIGHT-A OF THIS  
27 CHAPTER AND SECTION 19-502 OF THE ADMINISTRATIVE CODE OF THE CITY OF NEW  
28 YORK, OR AS OTHERWISE DEFINED IN LOCAL LAW;

29 (II) A LIVERY VEHICLE, AS DEFINED IN SECTION ONE HUNDRED TWENTY-ONE-E  
30 OF THIS CHAPTER, OR AS OTHERWISE DEFINED IN LOCAL LAW;

31 (III) A BLACK CAR, LIMOUSINE, OR LUXURY LIMOUSINE, AS DEFINED IN  
32 SECTION 19-502 OF THE ADMINISTRATIVE CODE OF THE CITY OF NEW YORK, OR AS  
33 OTHERWISE DEFINED IN LOCAL LAW;

34 (IV) A FOR-HIRE VEHICLE, AS DEFINED IN SECTION 19-502 OF THE ADMINIS-  
35 TRATIVE CODE OF THE CITY OF NEW YORK, OR AS OTHERWISE DEFINED IN LOCAL  
36 LAW;

37 (V) A BUS, AS DEFINED IN SECTION ONE HUNDRED FOUR OF THIS CHAPTER;

38 (VI) ANY MOTOR VEHICLE WEIGHING MORE THAN SIX THOUSAND FIVE HUNDRED  
39 POUNDS UNLOADED;

40 (VII) ANY MOTOR VEHICLE HAVING A SEATING CAPACITY OF MORE THAN SEVEN  
41 PASSENGERS; AND

42 (VIII) ANY MOTOR VEHICLE SUBJECT TO SECTION THREE HUNDRED SEVENTY OF  
43 THIS CHAPTER.

44 2. "DIGITAL NETWORK" MEANS ANY SYSTEM OR SERVICE OFFERED OR UTILIZED  
45 BY A TRANSPORTATION NETWORK COMPANY THAT ENABLES TNC PREARRANGED TRIPS  
46 WITH TRANSPORTATION NETWORK COMPANY DRIVERS.

47 3. "TRANSPORTATION NETWORK COMPANY" OR "TNC" MEANS A PERSON, CORPO-  
48 RATION, PARTNERSHIP, SOLE PROPRIETORSHIP, OR OTHER ENTITY THAT IS  
49 LICENSED PURSUANT TO THIS ARTICLE AND IS OPERATING IN NEW YORK STATE  
50 EXCLUSIVELY USING A DIGITAL NETWORK TO CONNECT TRANSPORTATION NETWORK  
51 COMPANY PASSENGERS TO TRANSPORTATION NETWORK COMPANY DRIVERS WHO PROVIDE  
52 TNC PREARRANGED TRIPS.

53 4. "TRANSPORTATION NETWORK COMPANY DRIVER" OR "TNC DRIVER" MEANS AN  
54 INDIVIDUAL WHO:

1 (A) RECEIVES CONNECTIONS TO POTENTIAL PASSENGERS AND RELATED SERVICES  
2 FROM A TRANSPORTATION NETWORK COMPANY IN EXCHANGE FOR PAYMENT OF A FEE  
3 TO THE TRANSPORTATION NETWORK COMPANY; AND

4 (B) USES A TNC VEHICLE TO OFFER OR PROVIDE A TNC PREARRANGED TRIP TO  
5 TRANSPORTATION NETWORK COMPANY PASSENGERS UPON CONNECTION THROUGH A  
6 DIGITAL NETWORK CONTROLLED BY A TRANSPORTATION NETWORK COMPANY IN  
7 EXCHANGE FOR COMPENSATION OR PAYMENT OF A FEE.

8 5. "TRANSPORTATION NETWORK COMPANY PASSENGER" OR "PASSENGER" MEANS A  
9 PERSON OR PERSONS WHO USE A TRANSPORTATION NETWORK COMPANY'S DIGITAL  
10 NETWORK TO CONNECT WITH A TRANSPORTATION NETWORK COMPANY DRIVER WHO  
11 PROVIDES TNC PREARRANGED TRIPS TO THE PASSENGER IN THE TNC VEHICLE  
12 BETWEEN POINTS CHOSEN BY THE PASSENGER.

13 6. (A) "TNC PREARRANGED TRIP" OR "TRIP" MEANS THE PROVISION OF TRANS-  
14 PORTATION BY A TRANSPORTATION NETWORK COMPANY DRIVER TO A PASSENGER  
15 PROVIDED THROUGH THE USE OF A TNC'S DIGITAL NETWORK:

16 (I) BEGINNING WHEN A TRANSPORTATION NETWORK COMPANY DRIVER ACCEPTS A  
17 PASSENGER'S REQUEST FOR A TRIP THROUGH A DIGITAL NETWORK CONTROLLED BY A  
18 TRANSPORTATION NETWORK COMPANY;

19 (II) CONTINUING WHILE THE TRANSPORTATION NETWORK COMPANY DRIVER TRANS-  
20 PORTS THE REQUESTING PASSENGER IN A TNC VEHICLE; AND

21 (III) ENDING WHEN THE LAST REQUESTING PASSENGER DEPARTS FROM THE TNC  
22 VEHICLE.

23 (B) THE TERM "TNC PREARRANGED TRIP" DOES NOT INCLUDE TRANSPORTATION  
24 PROVIDED THROUGH ANY OF THE FOLLOWING:

25 (I) SHARED EXPENSE CARPOOL OR VANPOOL ARRANGEMENTS, INCLUDING THOSE AS  
26 DEFINED IN SECTION ONE HUNDRED FIFTY-EIGHT-B OF THIS CHAPTER; AND

27 (II) USE OF A TAXICAB, LIVERY, LUXURY LIMOUSINE, OR OTHER FOR-HIRE  
28 VEHICLE, AS DEFINED IN THIS CHAPTER, SECTION 19-502 OF THE ADMINISTRA-  
29 TIVE CODE OF THE CITY OF NEW YORK, OR AS OTHERWISE DEFINED IN LOCAL LAW.

30 7. "GROUP POLICY" MEANS AN INSURANCE POLICY ISSUED PURSUANT TO SECTION  
31 THREE THOUSAND FOUR HUNDRED FIFTY-FIVE OF THE INSURANCE LAW.

32 S 1692. GENERAL PROVISIONS. 1. A TNC OR A TNC DRIVER SHALL NOT BE  
33 DEEMED A COMMON CARRIER, AS DEFINED IN SUBDIVISION SIX OF SECTION TWO OF  
34 THE TRANSPORTATION LAW; A CONTRACT CARRIER OF PASSENGERS BY MOTOR VEHI-  
35 CLE, AS DEFINED IN SUBDIVISION NINE OF SECTION TWO OF THE TRANSPORTATION  
36 LAW; OR A MOTOR CARRIER, AS DEFINED IN SUBDIVISION SEVENTEEN OF SECTION  
37 TWO OF THE TRANSPORTATION LAW. NEITHER A TNC NOR A TNC DRIVER SHALL BE  
38 DEEMED TO PROVIDE TAXICAB OR FOR-HIRE VEHICLE SERVICE WHILE OPERATING AS  
39 A TNC OR TNC DRIVER PURSUANT TO THIS ARTICLE. MOREOVER, A TNC DRIVER  
40 SHALL NOT BE REQUIRED TO REGISTER THE TNC VEHICLE SUCH TNC DRIVER USES  
41 FOR TNC PREARRANGED TRIPS AS A COMMERCIAL OR FOR-HIRE VEHICLE, AS SET  
42 FORTH IN ARTICLE FOURTEEN OF THIS CHAPTER.

43 2. (A) A TNC MAY NOT OPERATE IN THE STATE OF NEW YORK WITHOUT FIRST  
44 HAVING OBTAINED A LICENSE ISSUED BY THE DEPARTMENT IN A FORM AND MANNER  
45 AND WITH APPLICABLE FEES AS PROVIDED FOR BY REGULATIONS PROMULGATED BY  
46 THE COMMISSIONER. AS A CONDITION OF OBTAINING A LICENSE, A TNC SHALL BE  
47 REQUIRED TO SUBMIT TO THE DEPARTMENT PROOF OF A GROUP POLICY ISSUED  
48 PURSUANT TO SECTION THREE THOUSAND FOUR HUNDRED FIFTY-FIVE OF THE INSUR-  
49 ANCE LAW. FAILURE OF A TNC TO COMPLY WITH THE PROVISIONS OF THIS ARTICLE  
50 MAY RESULT IN APPLICABLE PENALTIES, WHICH MAY INCLUDE, BUT ARE NOT  
51 LIMITED TO FINES, SUSPENSION OR REVOCATION OF LICENSE OR A COMBINATION  
52 THEREOF AS OTHERWISE PROVIDED BY LAW. NO LICENSE SHALL BE SUSPENDED OR  
53 REVOKED EXCEPT UPON NOTICE TO THE TNC AND AFTER AN OPPORTUNITY TO BE  
54 HEARD.

55 (B) FAILURE OF A TNC TO OBTAIN A LICENSE BEFORE OPERATION, PURSUANT TO  
56 THIS SUBDIVISION SHALL CONSTITUTE A MISDEMEANOR.

1 3. A TNC MUST MAINTAIN AN AGENT FOR SERVICE OF PROCESS IN THE STATE OF  
2 NEW YORK.

3 4. ON BEHALF OF A TNC DRIVER, A TNC MAY CHARGE A FARE FOR THE SERVICES  
4 RENDERED TO PASSENGERS; PROVIDED THAT, IF A FARE IS COLLECTED FROM A  
5 PASSENGER, THE TNC SHALL DISCLOSE TO SUCH PASSENGER THE FARE WITHIN THE  
6 TNC'S DIGITAL NETWORK. THE TNC SHALL ALSO PROVIDE PASSENGERS, BEFORE  
7 SUCH PASSENGERS ENTER A TNC VEHICLE, THE ACTUAL FARE OR AN ESTIMATED  
8 FARE FOR SUCH TNC PREARRANGED TRIP THROUGH THE TNC'S DIGITAL NETWORK.  
9 THE TNC SHALL ALSO POST THE FAIR CALCULATION METHOD ON ITS WEBSITE.

10 5. A TNC'S DIGITAL NETWORK SHALL DISPLAY A PICTURE OF THE TNC DRIVER,  
11 AND PROVIDE THE MAKE, MODEL, COLOR AND LICENSE PLATE NUMBER OF THE TNC  
12 VEHICLE UTILIZED FOR PROVIDING THE TNC PREARRANGED TRIP BEFORE THE  
13 PASSENGER ENTERS THE TNC VEHICLE.

14 6. WITHIN A REASONABLE PERIOD OF TIME FOLLOWING THE COMPLETION OF A  
15 TRIP, A TNC SHALL TRANSMIT AN ELECTRONIC RECEIPT TO THE PASSENGER ON  
16 BEHALF OF THE TNC DRIVER THAT LISTS:

17 (A) THE ORIGIN AND DESTINATION OF THE TRIP;

18 (B) THE TOTAL TIME AND DISTANCE OF THE TRIP;

19 (C) AN ITEMIZATION OF THE TOTAL FARE PAID, IF ANY;

20 (D) A SEPARATE STATEMENT OF THE APPLICABLE ASSESSMENT FEE AND  
21 SURCHARGE; AND

22 (E) THE TNC NAME AND OPERATING LICENSE NUMBER.

23 7. A TNC DRIVER SHALL NOT SOLICIT OR ACCEPT STREET HAILS.

24 8. A TNC SHALL ADOPT A POLICY PROHIBITING SOLICITATION OR ACCEPTANCE  
25 OF CASH PAYMENTS FOR THE FARES CHARGED TO PASSENGERS FOR TNC PREARRANGED  
26 TRIPS AND NOTIFY TNC DRIVERS OF SUCH POLICY. TNC DRIVERS SHALL NOT  
27 SOLICIT OR ACCEPT CASH PAYMENTS FROM PASSENGERS.

28 9. A TNC SHALL PREVENT A TNC DRIVER FROM ACCEPTING TNC PREARRANGED  
29 TRIPS WITHIN A CITY OF A POPULATION OF ONE MILLION OR MORE AND ANY COUN-  
30 TY OR CITY THAT HAS ENACTED A LOCAL LAW OR ORDINANCE PURSUANT TO SECTION  
31 ONE HUNDRED EIGHTY-TWO OF THE GENERAL MUNICIPAL LAW AND HAS NOT REPEALED  
32 SUCH LOCAL LAW OR ORDINANCE, EXCEPT WHERE THE ACCEPTANCE OF A PREAR-  
33 RANGED TRIP IS AUTHORIZED PURSUANT TO AN EXISTING RECIPROCITY AGREEMENT.

34 10. NOTHING IN THIS ARTICLE SHALL APPLY TO CITIES WITH A POPULATION OF  
35 ONE MILLION OR MORE.

36 S 1693. FINANCIAL RESPONSIBILITY OF TRANSPORTATION NETWORK COMPANIES.

37 1. A TNC DRIVER, OR TNC ON THE TNC DRIVER'S BEHALF THROUGH A GROUP POLI-  
38 CY, SHALL MAINTAIN INSURANCE THAT RECOGNIZES THAT THE DRIVER IS A TNC  
39 DRIVER AND PROVIDES FINANCIAL RESPONSIBILITY COVERAGE:

40 (A) WHILE THE TNC DRIVER IS LOGGED ONTO THE TNC'S DIGITAL NETWORK; AND

41 (B) WHILE THE TNC DRIVER IS ENGAGED IN A TNC PREARRANGED TRIP.

42 2. (A) THE FOLLOWING AUTOMOBILE FINANCIAL RESPONSIBILITY INSURANCE  
43 REQUIREMENTS SHALL APPLY WHILE A TNC DRIVER IS LOGGED ONTO THE TNC'S  
44 DIGITAL NETWORK BUT IS NOT ENGAGED IN A TNC PREARRANGED TRIP: INSURANCE  
45 AGAINST LOSS FROM THE LIABILITY IMPOSED BY LAW FOR DAMAGES, INCLUDING  
46 DAMAGES FOR CARE AND LOSS OF SERVICES, BECAUSE OF BODILY INJURY TO OR  
47 DEATH OF ANY PERSON, AND INJURY TO OR DESTRUCTION OF PROPERTY ARISING  
48 OUT OF THE OWNERSHIP, MAINTENANCE, USE OR OPERATION OF A PERSONAL VEHI-  
49 CLE OR VEHICLES WITHIN THIS STATE, OR ELSEWHERE IN THE UNITED STATES IN  
50 NORTH AMERICA OR CANADA, SUBJECT TO A LIMIT, EXCLUSIVE OF INTEREST AND  
51 COSTS, WITH RESPECT TO EACH SUCH OCCURRENCE, OF AT LEAST SEVENTY-FIVE  
52 THOUSAND DOLLARS BECAUSE OF BODILY INJURY TO OR DEATH OF ONE PERSON IN  
53 ANY ONE ACCIDENT AND, SUBJECT TO SAID LIMIT FOR ONE PERSON, TO A LIMIT  
54 OF AT LEAST ONE HUNDRED FIFTY THOUSAND DOLLARS BECAUSE OF BODILY INJURY  
55 TO OR DEATH OF TWO OR MORE PERSONS IN ANY ONE ACCIDENT, AND TO A LIMIT  
56 OF AT LEAST TWENTY-FIVE THOUSAND DOLLARS BECAUSE OF INJURY TO OR



1 DESTRUCTION OF PROPERTY OF OTHERS IN ANY ONE ACCIDENT, PROVIDED HOWEVER,  
2 THAT SUCH POLICY NEED NOT BE FOR A PERIOD COTERMINOUS WITH THE REGISTRA-  
3 TION PERIOD OF THE PERSONAL VEHICLE INSURED, AND COVERAGE IN SATISFAC-  
4 TION OF THE FINANCIAL RESPONSIBILITY REQUIREMENTS SET FORTH IN SECTION  
5 THREE THOUSAND FOUR HUNDRED TWENTY OF THE INSURANCE LAW, ARTICLE FIFTY-  
6 ONE OF THE INSURANCE LAW, AND SUCH OTHER REQUIREMENTS OR REGULATIONS  
7 THAT MAY APPLY FOR THE PURPOSES OF SATISFYING THE FINANCIAL RESPONSIBIL-  
8 ITY REQUIREMENTS WITH RESPECT TO THE USE OR OPERATION OF A MOTOR VEHI-  
9 CLE.

10 (B) THE COVERAGE REQUIREMENTS OF PARAGRAPH (A) OF THIS SUBDIVISION MAY  
11 BE SATISFIED BY ANY OF THE FOLLOWING:

12 (I) INSURANCE MAINTAINED BY THE TNC DRIVER; OR

13 (II) INSURANCE PROVIDED THROUGH A GROUP POLICY MAINTAINED BY THE TNC;  
14 OR

15 (III) A COMBINATION OF SUBPARAGRAPHS (I) AND (II) OF THIS PARAGRAPH.

16 3. (A) THE FOLLOWING AUTOMOBILE FINANCIAL RESPONSIBILITY INSURANCE  
17 REQUIREMENTS SHALL APPLY WHILE A TNC DRIVER IS ENGAGED IN A TNC PREAR-  
18 RANGED TRIP: INSURANCE AGAINST LOSS FROM THE LIABILITY IMPOSED BY LAW  
19 FOR DAMAGES, INCLUDING DAMAGES FOR CARE AND LOSS OF SERVICES, BECAUSE OF  
20 BODILY INJURY TO OR DEATH OF ANY PERSON, AND INJURY TO OR DESTRUCTION OF  
21 PROPERTY ARISING OUT OF THE OWNERSHIP, MAINTENANCE, USE, OR OPERATION OF  
22 A SPECIFIC PERSONAL VEHICLE OR VEHICLES WITHIN THIS STATE, OR ELSEWHERE  
23 IN THE UNITED STATES IN NORTH AMERICA OR CANADA, SUBJECT TO A LIMIT,  
24 EXCLUSIVE OF INTEREST AND COSTS, WITH RESPECT TO EACH SUCH OCCURRENCE,  
25 OF AT LEAST ONE MILLION TWO HUNDRED FIFTY THOUSAND DOLLARS BECAUSE OF  
26 BODILY INJURY TO OR DEATH OF ANY PERSON, AND INJURY TO OR DESTRUCTION OF  
27 PROPERTY PROVIDED HOWEVER, THAT SUCH POLICY NEED NOT BE FOR A PERIOD  
28 COTERMINOUS WITH THE REGISTRATION PERIOD OF THE PERSONAL VEHICLE  
29 INSURED, AND COVERAGE IN SATISFACTION OF THE FINANCIAL RESPONSIBILITY  
30 REQUIREMENTS SET FORTH IN SECTION THREE THOUSAND FOUR HUNDRED TWENTY OF  
31 THE INSURANCE LAW, ARTICLE FIFTY-ONE OF THE INSURANCE LAW; COVERAGE  
32 PROVIDED IN ACCORDANCE WITH SUBSECTION (F) OF SECTION THREE THOUSAND  
33 FOUR HUNDRED TWENTY OF THE INSURANCE LAW, PROVIDING SUPPLEMENTARY  
34 UNINSURED/UNDERINSURED MOTORIST INSURANCE FOR BODILY INJURY, IN THE  
35 AMOUNT OF ONE MILLION TWO HUNDRED FIFTY THOUSAND DOLLARS BECAUSE OF  
36 BODILY INJURY TO OR DEATH OF ANY PERSON IN ANY ONE ACCIDENT; AND SUCH  
37 OTHER REQUIREMENTS OR REGULATIONS THAT MAY APPLY FOR THE PURPOSES OF  
38 SATISFYING THE FINANCIAL RESPONSIBILITY REQUIREMENTS WITH RESPECT TO THE  
39 USE OR OPERATION OF A MOTOR VEHICLE.

40 (B) THE COVERAGE REQUIREMENTS OF PARAGRAPH (A) OF THIS SUBDIVISION MAY  
41 BE SATISFIED BY ANY OF THE FOLLOWING:

42 (I) INSURANCE MAINTAINED BY THE TNC DRIVER; OR

43 (II) INSURANCE PROVIDED THROUGH A GROUP POLICY MAINTAINED BY THE TNC;  
44 OR

45 (III) A COMBINATION OF SUBPARAGRAPHS (I) AND (II) OF THIS PARAGRAPH.

46 4. A TNC SHALL, UPON ENTERING INTO A CONTRACTUAL AGREEMENT WITH A TNC  
47 DRIVER, PROVIDE NOTICE TO THE TNC DRIVER THAT HE OR SHE MAY NEED ADDI-  
48 TIONAL INSURANCE COVERAGE INCLUDING MOTOR VEHICLE PHYSICAL DAMAGE COVER-  
49 AGE AS DESCRIBED IN PARAGRAPH NINETEEN OF SUBSECTION (A) OF SECTION ONE  
50 THOUSAND ONE HUNDRED THIRTEEN OF THE INSURANCE LAW IF THE TNC VEHICLE  
51 BEING USED BY THE TNC DRIVER IS SUBJECT TO A LEASE OR LOAN. A TNC SHALL  
52 ALSO POST THIS NOTICE ON ITS WEBSITE IN A PROMINENT PLACE, AND PROVIDE  
53 CONTACT INFORMATION FOR THE DEPARTMENT OF FINANCIAL SERVICES.

54 5. IF INSURANCE MAINTAINED BY A TNC DRIVER PURSUANT TO SUBDIVISIONS  
55 TWO AND THREE OF THIS SECTION HAS LAPSED OR DOES NOT PROVIDE THE  
56 REQUIRED COVERAGE, THEN THE GROUP POLICY MAINTAINED BY A TNC SHALL

1 PROVIDE THE COVERAGE REQUIRED BY THIS SECTION BEGINNING WITH THE FIRST  
2 DOLLAR OF A CLAIM AND HAVE THE DUTY TO DEFEND SUCH CLAIM.

3 6. COVERAGE UNDER A GROUP POLICY MAINTAINED BY THE TNC SHALL NOT BE  
4 DEPENDENT ON THE DENIAL OF A CLAIM BY THE INSURER THAT ISSUED THE INSUR-  
5 ANCE POLICY USED TO REGISTER THE TNC VEHICLE, NOR SHALL THAT INSURER BE  
6 REQUIRED TO FIRST DENY A CLAIM.

7 7. (A) EXCEPT AS PROVIDED IN PARAGRAPH (B) OF THIS SUBDIVISION, A  
8 GROUP POLICY MAINTAINED BY A TNC PURSUANT TO SUBPARAGRAPH (II) OF PARA-  
9 GRAPH (B) OF SUBDIVISIONS TWO OR THREE OF THIS SECTION SHALL BE PLACED  
10 WITH AN INSURER AUTHORIZED TO WRITE INSURANCE IN THIS STATE.

11 (B) IF A TNC IS UNABLE TO PURCHASE A GROUP POLICY PURSUANT TO SUBPARA-  
12 GRAPH (II) OF PARAGRAPH (B) OF SUBDIVISIONS TWO OR THREE OF THIS SECTION  
13 BECAUSE SUCH INSURANCE IS UNAVAILABLE FROM AUTHORIZED INSURERS THE TNC  
14 MAY ACQUIRE SUCH GROUP INSURANCE WITH AN EXCESS LINE BROKER PURSUANT TO  
15 SECTION TWO THOUSAND ONE HUNDRED EIGHTEEN OF THE INSURANCE LAW.

16 (C) THE OBLIGATION TO DETERMINE WHETHER THE INSURANCE REQUIRED BY THIS  
17 SECTION IS UNAVAILABLE FROM INSURERS AUTHORIZED TO WRITE INSURANCE IN  
18 THIS STATE SHALL BE MADE PRIOR TO THE INITIAL PLACEMENT AND AT EACH  
19 RENEWAL OF A POLICY.

20 8. A TNC DRIVER WHO, WHILE OPERATING A TNC VEHICLE WAS LOGGED ON TO  
21 THE TNC'S DIGITAL NETWORK BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR  
22 WAS ENGAGED IN A TNC PREARRANGED TRIP, AND HAS IN EFFECT THE INSURANCE  
23 REQUIRED PURSUANT TO THIS ARTICLE, SHALL NOT BE DEEMED TO BE IN  
24 VIOLATION OF ARTICLE SIX OF THIS CHAPTER DURING SUCH TIME THAT HE OR SHE  
25 WAS LOGGED ON TO THE TNC'S DIGITAL NETWORK BUT NOT ENGAGED IN A TNC  
26 PREARRANGED TRIP OR WAS ENGAGED IN A TNC PREARRANGED TRIP.

27 9. A TNC DRIVER SHALL CARRY PROOF OF COVERAGE SATISFYING SUBDIVISIONS  
28 TWO AND THREE OF THIS SECTION WITH HIM OR HER AT ALL TIMES DURING HIS OR  
29 HER USE OR OPERATION OF A TNC VEHICLE IN CONNECTION WITH A TNC'S DIGITAL  
30 NETWORK. SUCH PROOF OF COVERAGE SHALL BE IN SUCH FORM AS THE COMMISSION-  
31 ER SHALL PRESCRIBE, WHICH MAY BE IN THE FORM OF AN INSURANCE IDENTIFICA-  
32 TION CARD AS DEFINED IN SECTION THREE HUNDRED ELEVEN OF THIS CHAPTER.  
33 ANY INSURANCE IDENTIFICATION CARD ISSUED PURSUANT TO THE PROVISIONS OF  
34 THIS ARTICLE SHALL BE IN ADDITION TO THE INSURANCE IDENTIFICATION CARD  
35 REQUIRED PURSUANT TO ARTICLE SIX OF THIS CHAPTER, AND NOTHING CONTAINED  
36 IN THIS ARTICLE SHALL BE DEEMED TO SUPERSEDE THE REQUIREMENTS OF SUCH  
37 ARTICLE SIX. WHENEVER THE PRODUCTION OF AN INSURANCE IDENTIFICATION CARD  
38 IS REQUIRED BY LAW, A TNC DRIVER SHALL (A) PRODUCE THE INSURANCE IDEN-  
39 TIFICATION CARD ISSUED PURSUANT TO ARTICLE SIX OF THIS CHAPTER AND, (B)  
40 IF SUCH DRIVER (I) WAS LOGGED ON TO THE TNC'S DIGITAL NETWORK BUT NOT  
41 ENGAGED IN A TNC PREARRANGED TRIP OR (II) WAS ENGAGED IN A TNC PREAR-  
42 RANGED TRIP, SUCH DRIVER SHALL ALSO PRODUCE THE INSURANCE IDENTIFICATION  
43 CARD REQUIRED PURSUANT TO THIS ARTICLE.

44 10. THE SUPERINTENDENT OF FINANCIAL SERVICES IS AUTHORIZED TO ISSUE  
45 SUCH RULES AND REGULATIONS NECESSARY TO IMPLEMENT THIS SECTION.

46 11. THE SUPERINTENDENT OF FINANCIAL SERVICES MAY PROMULGATE REGU-  
47 LATIONS TO ADDRESS INSURANCE COVERAGE UNDER THIS SECTION AND SECTION  
48 SIXTEEN HUNDRED NINETY-FIVE OF THIS ARTICLE WHEN A TNC DRIVER USES  
49 MULTIPLE DIGITAL NETWORKS SIMULTANEOUSLY.

50 12. NOTHING IN THIS SECTION SHALL IMPOSE FINANCIAL RESPONSIBILITY  
51 REQUIREMENTS UPON ANY ENTITIES OPERATING AS VEHICLES FOR HIRE IN A CITY  
52 WITH A POPULATION OF ONE MILLION OR MORE.

53 13. AN INSURER SHALL NOT INCLUDE A MANDATORY ARBITRATION CLAUSE IN A  
54 POLICY ISSUED PURSUANT TO THIS SECTION. NOTHING IN THIS SECTION SUPER-  
55 CEDES THE MANDATORY ARBITRATION REQUIREMENTS CONTAINED IN SECTION FIVE  
56 THOUSAND ONE HUNDRED FIVE OF THE INSURANCE LAW.

1 S 1694. DISCLOSURES. A TNC SHALL DISCLOSE IN WRITING TO TNC DRIVERS  
2 THE FOLLOWING BEFORE THEY ARE ALLOWED TO ACCEPT A REQUEST FOR A TNC  
3 PREARRANGED TRIP ON THE TNC'S DIGITAL NETWORK:

4 1. THE INSURANCE COVERAGE, INCLUDING THE TYPES OF COVERAGE AND THE  
5 LIMITS FOR EACH COVERAGE, THAT THE TNC PROVIDES WHILE THE TNC DRIVER  
6 USES A TNC VEHICLE IN CONNECTION WITH A TNC'S DIGITAL NETWORK;

7 2. THAT THE TNC DRIVER'S OWN AUTOMOBILE INSURANCE POLICY MIGHT NOT  
8 PROVIDE ANY COVERAGE WHILE THE TNC DRIVER IS LOGGED ON TO THE TNC'S  
9 DIGITAL NETWORK OR IS ENGAGED IN A TNC PREARRANGED TRIP, DEPENDING ON  
10 ITS TERMS; AND

11 3. THAT, IF A TNC VEHICLE HAS A LIEN AGAINST IT, THEN THE CONTINUED  
12 USE OF SUCH TNC VEHICLE BY ITS TNC DRIVER WITHOUT PHYSICAL DAMAGE COVER-  
13 AGE MAY VIOLATE THE TERMS OF THE CONTRACT WITH THE LIENHOLDER.

14 S 1695. INSURANCE PROVISIONS. 1. INSURERS THAT WRITE MOTOR VEHICLE  
15 INSURANCE IN THIS STATE MAY, IN THE INSURANCE POLICY, EXCLUDE ANY AND  
16 ALL COVERAGE AFFORDED UNDER THE POLICY ISSUED TO AN OWNER OR OPERATOR OF  
17 A TNC VEHICLE FOR ANY LOSS OR INJURY THAT OCCURS WHILE A TNC DRIVER IS  
18 LOGGED ON TO A TNC'S DIGITAL NETWORK OR WHILE A DRIVER PROVIDES A TNC  
19 PREARRANGED TRIP, INCLUDING:

20 (A) LIABILITY COVERAGE FOR BODILY INJURY AND PROPERTY DAMAGE;

21 (B) COVERAGE PROVIDED PURSUANT TO ARTICLE FIFTY-ONE OF THE INSURANCE  
22 LAW;

23 (C) UNINSURED MOTORIST COVERAGE;

24 (D) SUPPLEMENTARY UNINSURED/UNDERINSURED MOTORIST COVERAGE; AND

25 (E) MOTOR VEHICLE PHYSICAL DAMAGE COVERAGE AS DESCRIBED IN PARAGRAPH  
26 NINETEEN OF SUBSECTION (A) OF SECTION ONE THOUSAND ONE HUNDRED THIRTEEN  
27 OF THE INSURANCE LAW.

28 2. SUCH EXCLUSIONS SHALL APPLY NOTWITHSTANDING ANY REQUIREMENT UNDER  
29 THE LAW TO THE CONTRARY. NOTHING IN THIS SECTION IMPLIES OR REQUIRES  
30 THAT AN OWNER'S POLICY OF LIABILITY INSURANCE OR OTHER MOTOR VEHICLE  
31 INSURANCE POLICY PROVIDE COVERAGE WHILE THE TNC DRIVER IS LOGGED ON TO  
32 THE TNC'S DIGITAL NETWORK, WHILE THE TNC DRIVER IS ENGAGED IN A TNC  
33 PREARRANGED TRIP OR WHILE THE TNC DRIVER OTHERWISE USES OR OPERATES A  
34 TNC VEHICLE TO TRANSPORT PASSENGERS FOR COMPENSATION.

35 3. NOTHING SHALL BE DEEMED TO PRECLUDE AN INSURER FROM PROVIDING  
36 PRIMARY, EXCESS, OR UMBRELLA COVERAGE FOR THE TNC DRIVER'S TNC VEHICLE,  
37 IF IT CHOSE TO DO SO BY CONTRACT OR ENDORSEMENT.

38 4. MOTOR VEHICLE INSURERS THAT EXCLUDE THE COVERAGE DESCRIBED IN THIS  
39 ARTICLE SHALL HAVE NO DUTY TO DEFEND OR INDEMNIFY ANY CLAIM EXPRESSLY  
40 EXCLUDED THEREUNDER. NOTHING IN THIS ARTICLE SHALL BE DEEMED TO INVALI-  
41 DATE OR LIMIT AN EXCLUSION CONTAINED IN A POLICY INCLUDING ANY POLICY IN  
42 USE OR APPROVED FOR USE IN THIS STATE PRIOR TO THE EFFECTIVE DATE OF  
43 THIS SECTION.

44 5. A MOTOR VEHICLE INSURER THAT DEFENDS OR INDEMNIFIES A CLAIM AGAINST  
45 A TNC DRIVER THAT IS EXCLUDED UNDER THE TERMS OF ITS POLICY SHALL HAVE A  
46 RIGHT OF CONTRIBUTION AGAINST OTHER INSURERS THAT PROVIDE MOTOR VEHICLE  
47 INSURANCE TO THE SAME DRIVER IN SATISFACTION OF THE COVERAGE REQUIRE-  
48 MENTS OF THE PROVISIONS OF THIS ARTICLE.

49 6. IN A CLAIMS COVERAGE INVESTIGATION, A TNC AND ANY INSURER PROVIDING  
50 COVERAGE UNDER THIS ARTICLE SHALL, WITHIN FIFTEEN DAYS AFTER A CLAIM HAS  
51 BEEN FILED, FACILITATE THE EXCHANGE OF RELEVANT INFORMATION WITH DIRECT-  
52 LY INVOLVED PARTIES AND ANY INSURER OF THE TNC DRIVER IF APPLICABLE,  
53 INCLUDING THE PRECISE TIMES THAT A TNC DRIVER LOGGED ON AND OFF OF THE  
54 TNC'S DIGITAL NETWORK IN THE TWELVE HOUR PERIOD IMMEDIATELY PRECEDING  
55 AND IN THE TWELVE HOUR PERIOD IMMEDIATELY FOLLOWING THE ACCIDENT AND  
56 DISCLOSE TO ONE ANOTHER A CLEAR DESCRIPTION OF THE COVERAGE, EXCLUSIONS

1 AND LIMITS PROVIDED UNDER ANY MOTOR VEHICLE INSURANCE MAINTAINED UNDER  
2 THIS ARTICLE.

3 7. THE SUPERINTENDENT OF FINANCIAL SERVICES MAY PROMULGATE SUCH RULES  
4 AND REGULATIONS THAT THE SUPERINTENDENT DEEMS NECESSARY TO FACILITATE  
5 THE SHARING OF INFORMATION BETWEEN INSURERS, WHEN A MOTOR VEHICLE ACCI-  
6 DENT OCCURS AND AT LEAST ONE OF THE INSURERS IS PROVIDING FINANCIAL  
7 RESPONSIBILITY COVERAGE TO A TNC VEHICLE PURSUANT TO THIS ARTICLE.

8 8. THE COMMISSIONER SHALL PROVIDE RELEVANT INSURANCE COVERAGE INFORMA-  
9 TION REQUIRED BY THIS ARTICLE TO THE FOLLOWING PERSONS UPON REQUEST:

10 (A) A PERSON TO WHOM AN ACCIDENT REPORT PERTAINS OR WHO IS NAMED IN  
11 SUCH REPORT, OR HIS OR HER AUTHORIZED REPRESENTATIVE; AND

12 (B) ANY OTHER PERSON OR HIS OR HER AUTHORIZED REPRESENTATIVE WHO HAS  
13 DEMONSTRATED TO THE SATISFACTION OF THE COMMISSIONER THAT SUCH PERSON IS  
14 OR MAY BE A PARTY TO A CIVIL ACTION ARISING OUT OF THE CONDUCT DESCRIBED  
15 IN SUCH ACCIDENT REPORT.

16 S 1696. DRIVER AND VEHICLE REQUIREMENTS. 1. (A) AT ALL TIMES, AN INDI-  
17 VIDUAL ACTING AS A TNC DRIVER SHALL BE PERMITTED BY THE TNC AS FOLLOWS:

18 (I) THE INDIVIDUAL SHALL SUBMIT AN APPLICATION TO THE TNC, WHICH SHALL  
19 INCLUDE INFORMATION REGARDING HIS OR HER ADDRESS, AGE, DRIVER'S LICENSE,  
20 MOTOR VEHICLE REGISTRATION, AUTOMOBILE LIABILITY INSURANCE, AND OTHER  
21 INFORMATION REQUIRED BY THE TNC;

22 (II) THE TNC SHALL CONDUCT, OR HAVE A THIRD PARTY CONDUCT, A CRIMINAL  
23 BACKGROUND CHECK FOR EACH APPLICANT IN ACCORDANCE WITH SECTION SIXTEEN  
24 HUNDRED NINETY-NINE OF THIS ARTICLE AND THAT SHALL REVIEW WHETHER THE  
25 APPLICANT:

26 (A) IS LISTED ON THE NEW YORK STATE SEX OFFENDER REGISTRY PURSUANT TO  
27 ARTICLE SIX-C OF THE CORRECTION LAW; AND

28 (B) IS A MATCH IN THE UNITED STATES DEPARTMENT OF JUSTICE NATIONAL SEX  
29 OFFENDER PUBLIC WEBSITE;

30 (III) THE TNC SHALL OBTAIN AND REVIEW, OR HAVE A THIRD PARTY OBTAIN  
31 AND REVIEW, A DRIVING HISTORY RESEARCH REPORT FOR SUCH INDIVIDUAL.

32 (B) THE TNC SHALL NOT PERMIT AN APPLICANT WHERE SUCH APPLICANT:

33 (I) FAILS TO MEET ALL QUALIFICATIONS PURSUANT TO SECTION SIXTEEN  
34 HUNDRED NINETY-NINE OF THIS ARTICLE;

35 (II) IS A MATCH IN THE UNITED STATES DEPARTMENT OF JUSTICE NATIONAL  
36 SEX OFFENDER PUBLIC WEBSITE;

37 (III) DOES NOT POSSESS A VALID NEW YORK DRIVER'S LICENSE;

38 (IV) DOES NOT POSSESS PROOF OF REGISTRATION FOR THE MOTOR VEHICLES  
39 USED TO PROVIDE TNC PREARRANGED TRIPS;

40 (V) DOES NOT POSSESS PROOF OF AUTOMOBILE LIABILITY INSURANCE FOR THE  
41 MOTOR VEHICLES USED TO PROVIDE TNC PREARRANGED TRIPS AS A TNC VEHICLE;  
42 OR

43 (VI) IS NOT AT LEAST NINETEEN YEARS OF AGE.

44 (C) UPON REVIEW OF ALL INFORMATION RECEIVED AND RETAINED BY THE TNC  
45 AND UPON VERIFYING THAT THE INDIVIDUAL IS NOT DISQUALIFIED PURSUANT TO  
46 THIS SECTION FROM RECEIVING A TNC DRIVER PERMIT, A TNC MAY ISSUE A TNC  
47 DRIVER PERMIT TO THE APPLICANT. THE TNC SHALL REVIEW ALL INFORMATION  
48 RECEIVED RELATING TO SUCH APPLICANT AND HOLD SUCH INFORMATION FOR SIX  
49 YEARS ALONG WITH A CERTIFICATION THAT SUCH APPLICANT QUALIFIES TO  
50 RECEIVE A TNC DRIVER PERMIT.

51 (D) (I) A TNC THAT ISSUES A TNC DRIVER'S PERMIT PURSUANT TO THIS  
52 SECTION SHALL PARTICIPATE IN THE NEW YORK LICENSE EVENT NOTIFICATION  
53 SERVICE (LENS) ESTABLISHED BY THE DEPARTMENT TO OBTAIN TIMELY NOTICE  
54 WHEN ANY OF THE FOLLOWING VIOLATIONS ARE ADDED TO A TNC DRIVER'S DRIVING  
55 RECORD:

1 (A) UNLAWFULLY FLEEING A POLICE OFFICER IN A MOTOR VEHICLE IN  
2 VIOLATION OF SECTIONS 270.25, 270.30 OR 270.35 OF THE PENAL LAW;

3 (B) RECKLESS DRIVING IN VIOLATION OF SECTION ONE THOUSAND TWO HUNDRED  
4 TWELVE OF THIS CHAPTER;

5 (C) OPERATING WHILE LICENSE OR PRIVILEGE IS SUSPENDED OR REVOKED IN  
6 VIOLATION OF SECTION FIVE HUNDRED ELEVEN OF THIS CHAPTER, EXCLUDING  
7 SUBDIVISION SEVEN OF SUCH SECTION;

8 (D) OPERATING A MOTOR VEHICLE UNDER THE INFLUENCE OF ALCOHOL OR DRUGS  
9 IN VIOLATION OF SECTION ONE THOUSAND ONE HUNDRED NINETY-TWO OF THIS  
10 CHAPTER; AND

11 (E) LEAVING THE SCENE OF AN INCIDENT WITHOUT REPORTING IN VIOLATION OF  
12 SUBDIVISION TWO OF SECTION SIX HUNDRED OF THIS CHAPTER.

13 (II) THE DEPARTMENT MAY PROMULGATE REGULATIONS AUTHORIZING ADDITIONAL  
14 LENS NOTIFICATIONS AS THE COMMISSIONER DEEMS NECESSARY TO PROTECT PUBLIC  
15 HEALTH AND SAFETY.

16 (III) UPON SUCH NOTICE, A TNC MAY SUSPEND OR REVOKE ANY TNC DRIVER'S  
17 PERMIT AND REVOKE ACCESS TO THE TNC DIGITAL NETWORK, ONLY AFTER CONSID-  
18 ERING THE NUMBER OR SEVERITY OF ANY SUCH VIOLATIONS, INCLUDING SUCH  
19 FACTORS AS REQUIRED BY THIS ARTICLE FOR OBTAINING A TNC PERMIT, WHEN  
20 NECESSARY TO PROTECT PUBLIC HEALTH AND SAFETY. IF, HOWEVER, SUCH A  
21 NOTICE PROVIDES THAT AN APPLICANT HAS BEEN CONVICTED OF A DISQUALIFYING  
22 CRIME PURSUANT TO SECTION SIXTEEN HUNDRED NINETY-NINE OF THIS ARTICLE  
23 SUCH TNC DRIVER'S ACCESS TO THE TNC DIGITAL NETWORK AND SUCH TNC DRIV-  
24 ER'S PERMIT SHALL BOTH IMMEDIATELY BE SUSPENDED OR REVOKED. UPON SUCH  
25 REVOCATION OR SUSPENSION PURSUANT TO THIS SECTION, THE TNC SHALL PROVIDE  
26 THE DRIVER WITH A COPY OF THE LENS RECORD USED TO MAKE SUCH DETERMI-  
27 NATION.

28 (E) NO PERSON SHALL OPERATE A TNC VEHICLE OR OPERATE AS A TNC DRIVER  
29 UNLESS SUCH PERSON HOLDS A VALID TNC DRIVER PERMIT ISSUED PURSUANT TO  
30 THIS SECTION. A VIOLATION OF THIS PARAGRAPH SHALL BE A TRAFFIC INFRAC-  
31 TION PUNISHABLE BY A FINE OF NOT LESS THAN SEVENTY-FIVE NOR MORE THAN  
32 THREE HUNDRED DOLLARS, OR BY IMPRISONMENT FOR NOT MORE THAN FIFTEEN  
33 DAYS, OR BY BOTH SUCH FINE AND IMPRISONMENT.

34 (F) THE NAMES AND IDENTIFYING INFORMATION OF TNC DRIVERS PROVIDED  
35 PURSUANT TO PARAGRAPH (D) OF THIS SUBDIVISION SHALL BE CONSIDERED INFOR-  
36 MATION, WHICH IF DISCLOSED, WOULD CONSTITUTE AN UNWARRANTED INVASION OF  
37 PERSONAL PRIVACY UNDER THE PROVISIONS OF ARTICLE SIX OF THE PUBLIC OFFI-  
38 CERS LAW.

39 2. A TNC SHALL IMPLEMENT A ZERO-TOLERANCE POLICY REGARDING A TNC DRIV-  
40 ER'S ACTIVITIES WHILE ACCESSING THE TNC'S DIGITAL NETWORK. SUCH POLICY  
41 SHALL ADDRESS THE ISSUE OF OPERATING A VEHICLE UNDER THE INFLUENCE OF  
42 ALCOHOL OR DRUGS WHILE A TNC DRIVER IS PROVIDING TNC PREARRANGED TRIPS  
43 OR IS LOGGED ONTO THE TNC'S DIGITAL NETWORK BUT IS NOT PROVIDING TNC  
44 PREARRANGED TRIPS, AND THE TNC SHALL PROVIDE NOTICE OF THIS POLICY ON  
45 ITS DIGITAL NETWORK, AS WELL AS PROCEDURES TO REPORT A COMPLAINT ABOUT A  
46 TNC DRIVER WITH WHOM A TNC PREARRANGED TRIP WAS COMMENCED AND WHOM THE  
47 PASSENGER REASONABLY SUSPECTS WAS OPERATING A VEHICLE UNDER THE INFLU-  
48 ENCE OF ALCOHOL OR DRUGS DURING THE COURSE OF THE TNC PREARRANGED TRIP.

49 3. (A) A TNC SHALL ADOPT A POLICY OF NON-DISCRIMINATION ON THE BASIS  
50 OF DESTINATION, RACE, COLOR, NATIONAL ORIGIN, RELIGIOUS BELIEF, PRACTICE  
51 OR AFFILIATION, SEX, DISABILITY, AGE, SEXUAL ORIENTATION, OR GENETIC  
52 PREDISPOSITION WITH RESPECT TO PASSENGERS AND POTENTIAL PASSENGERS AND  
53 NOTIFY TNC DRIVERS OF SUCH POLICY.

54 (B) TNC DRIVERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGARDING  
55 NON-DISCRIMINATION AGAINST PASSENGERS OR POTENTIAL PASSENGERS ON THE  
56 BASIS OF DESTINATION, RACE, COLOR, NATIONAL ORIGIN, RELIGIOUS BELIEF,

1 PRACTICE OR AFFILIATION, SEX, DISABILITY, AGE, SEXUAL ORIENTATION, OR  
2 GENETIC PREDISPOSITION WITH RESPECT TO PASSENGERS AND POTENTIAL PASSEN-  
3 GERS AND NOTIFY TNC DRIVERS OF SUCH POLICY.

4 (C) TNC DRIVERS SHALL COMPLY WITH ALL APPLICABLE LAWS RELATING TO  
5 ACCOMMODATION OF SERVICE ANIMALS.

6 (D) A TNC SHALL IMPLEMENT AND MAINTAIN A POLICY AND AN OVERSIGHT PROC-  
7 ESS OF PROVIDING ACCESSIBILITY TO PASSENGERS OR POTENTIAL PASSENGERS  
8 WITH A DISABILITY AND ACCOMMODATION OF SERVICE ANIMALS AS SUCH TERM IS  
9 DEFINED IN SECTION ONE HUNDRED TWENTY-THREE-B OF THE AGRICULTURE AND  
10 MARKETS LAW AND SHALL TO THE EXTENT PRACTICABLE ADOPT FINDINGS ESTAB-  
11 LISHED BY THE NEW YORK STATE TNC ACCESSIBILITY TASK FORCE ADOPTED PURSU-  
12 ANT TO SECTION TWENTY-ONE OF THE CHAPTER OF THE LAWS OF TWO THOUSAND  
13 SEVENTEEN THAT ADDED THIS SECTION. A TNC SHALL NOT IMPOSE ADDITIONAL  
14 CHARGES FOR PROVIDING SERVICES TO PERSONS WITH PHYSICAL DISABILITIES  
15 BECAUSE OF THOSE DISABILITIES.

16 (E) THE NEW YORK STATE DIVISION OF HUMAN RIGHTS SHALL BE AUTHORIZED TO  
17 ACCEPT, REVIEW AND INVESTIGATE ANY POTENTIAL OR ACTUAL VIOLATIONS OF  
18 THIS SUBDIVISION IN A FORM AND MANNER CONSISTENT WITH AUTHORITY UNDER  
19 ARTICLE FIFTEEN OF THE EXECUTIVE LAW AND SHALL NOTIFY THE DEPARTMENT,  
20 UPON A FINDING OF A VIOLATION, FOR PURPOSES OF PERMIT SUSPENSION.

21 4. A TNC SHALL REQUIRE THAT ANY OR ALL MOTOR VEHICLES THAT A TNC DRIV-  
22 ER WILL USE AS A TNC VEHICLE TO PROVIDE TNC PREARRANGED TRIPS MEETS  
23 APPLICABLE NEW YORK STATE VEHICLE SAFETY AND EMISSIONS REQUIREMENTS, AS  
24 SET FORTH IN SECTION THREE HUNDRED ONE OF THIS CHAPTER, OR THE VEHICLE  
25 SAFETY AND EMISSIONS REQUIREMENTS OF THE STATE IN WHICH THE VEHICLE IS  
26 REGISTERED.

27 5. THE DEPARTMENT SHALL PROMULGATE REGULATIONS TO ENSURE THAT EACH TNC  
28 VEHICLE IS EASILY IDENTIFIED AS SUCH AND THAT THE TNC FOR WHICH THE TNC  
29 DRIVER IS PROVIDING THE TNC SERVICE OR TNC PREARRANGED TRIP IS DISTIN-  
30 GUISHABLE. SUCH MARKING SHALL BE IN SUCH FORM AS IS APPROVED BY THE  
31 COMMISSIONER, AND SHALL BE ATTACHED, AFFIXED OR DISPLAYED IN SUCH MANNER  
32 AS HE OR SHE MAY PRESCRIBE BY REGULATION.

33 S 1697. MAINTENANCE OF RECORDS. A TNC SHALL MAINTAIN THE FOLLOWING  
34 RECORDS:

35 1. INDIVIDUAL TRIP RECORDS FOR AT LEAST SIX YEARS FROM THE DATE EACH  
36 TRIP WAS PROVIDED; AND

37 2. INDIVIDUAL RECORDS OF TNC DRIVERS AT LEAST UNTIL THE SIX YEAR ANNI-  
38 VERSARY OF THE DATE ON WHICH A TNC DRIVER'S RELATIONSHIP WITH THE TNC  
39 HAS ENDED.

40 S 1698. AUDIT PROCEDURES; CONFIDENTIALITY OF RECORDS. 1. FOR THE  
41 PURPOSE OF VERIFYING THAT A TNC IS IN COMPLIANCE WITH THE LICENSING  
42 REQUIREMENTS OF THE DEPARTMENT, THE DEPARTMENT SHALL RESERVE THE RIGHT  
43 TO AUDIT A SAMPLE OF RECORDS THAT THE TNC IS REQUIRED TO MAINTAIN, UPON  
44 REQUEST BY THE DEPARTMENT THAT SHALL BE FULFILLED IN NO FEWER THAN TEN  
45 BUSINESS DAYS BY THE TNC. THE SAMPLE SHALL BE CHOSEN RANDOMLY BY THE  
46 DEPARTMENT IN A MANNER AGREEABLE TO BOTH PARTIES. THE AUDIT SHALL TAKE  
47 PLACE AT A MUTUALLY AGREED LOCATION IN NEW YORK STATE. ANY RECORD  
48 FURNISHED TO THE DEPARTMENT MAY EXCLUDE INFORMATION THAT WOULD TEND TO  
49 IDENTIFY SPECIFIC DRIVERS OR PASSENGERS.

50 2. THE NAMES AND IDENTIFYING INFORMATION OF TNC DRIVERS THAT ARE  
51 RECEIVED PURSUANT TO THIS SECTION SHALL BE CONSIDERED INFORMATION WHICH,  
52 IF DISCLOSED, WOULD CONSTITUTE AN UNWARRANTED INVASION OF PERSONAL  
53 PRIVACY UNDER THE PROVISIONS OF ARTICLE SIX OF THE PUBLIC OFFICERS LAW.

54 3. THE DEPARTMENT SHALL ESTABLISH REGULATIONS FOR THE FILING OF  
55 COMPLAINTS AGAINST ANY TNC DRIVER OR TNC PURSUANT TO THIS SECTION.

1 S 1699. CRIMINAL HISTORY BACKGROUND CHECK OF TRANSPORTATION NETWORK  
2 COMPANY DRIVERS. 1. A TNC SHALL CONDUCT, OR HAVE A THIRD PARTY CONDUCT,  
3 A CRIMINAL HISTORY BACKGROUND CHECK USING A LAWFUL METHOD APPROVED BY  
4 THE DEPARTMENT PURSUANT TO PARAGRAPH (A) OF SUBDIVISION TWO OF THIS  
5 SECTION FOR PERSONS APPLYING TO DRIVE FOR SUCH COMPANY.

6 2. (A) THE METHOD USED TO CONDUCT A CRIMINAL HISTORY BACKGROUND CHECK  
7 PURSUANT TO SUBDIVISION ONE OF THIS SECTION SHALL BE ESTABLISHED IN  
8 REGULATIONS ADOPTED BY THE DEPARTMENT WITHIN THIRTY DAYS OF THE EFFEC-  
9 TIVE DATE OF THIS SUBDIVISION. TO ENSURE SAFETY OF THE PASSENGERS AND  
10 THE PUBLIC SUCH REGULATIONS SHALL ESTABLISH THE METHOD USED TO CONDUCT  
11 SUCH BACKGROUND CHECKS AND ANY PROCESSES AND OPERATIONS NECESSARY TO  
12 COMPLETE SUCH CHECKS. THE REVIEW OF CRIMINAL HISTORY INFORMATION AND  
13 DETERMINATIONS ABOUT WHETHER OR NOT AN APPLICANT IS ISSUED A TNC DRIVER  
14 PERMIT SHALL BE CONTROLLED BY PARAGRAPHS (B), (C) AND (D) OF THIS SUBDI-  
15 VISION.

16 (B) AN APPLICANT SHALL BE DISQUALIFIED TO RECEIVE A TNC DRIVER PERMIT  
17 WHERE HE OR SHE:

18 (I) STANDS CONVICTED IN THE LAST THREE YEARS OF: UNLAWFUL FLEEING A  
19 POLICE OFFICER IN A MOTOR VEHICLE IN VIOLATION OF SECTIONS 270.35,  
20 270.30 OR 270.25 OF THE PENAL LAW, RECKLESS DRIVING IN VIOLATION OF  
21 SECTION TWELVE HUNDRED TWELVE OF THIS CHAPTER, OPERATING WHILE LICENSE  
22 OR PRIVILEGE IS SUSPENDED OR REVOKED IN VIOLATION OF SECTION FIVE  
23 HUNDRED ELEVEN OF THIS CHAPTER, EXCLUDING SUBDIVISION SEVEN OF SUCH  
24 SECTION, A MISDEMEANOR OFFENSE OF OPERATING A MOTOR VEHICLE WHILE UNDER  
25 THE INFLUENCE OF ALCOHOL OR DRUGS IN VIOLATION OF SECTION ELEVEN HUNDRED  
26 NINETY-TWO OF THIS CHAPTER, OR LEAVING THE SCENE OF AN ACCIDENT IN  
27 VIOLATION OF SUBDIVISION TWO OF SECTION SIX HUNDRED OF THIS CHAPTER. IN  
28 CALCULATING THE THREE YEAR PERIOD UNDER THIS SUBPARAGRAPH, ANY PERIOD OF  
29 TIME DURING WHICH THE PERSON WAS INCARCERATED AFTER THE COMMISSION OF  
30 SUCH OFFENSE SHALL BE EXCLUDED AND SUCH THREE YEAR PERIOD SHALL BE  
31 EXTENDED BY A PERIOD OR PERIODS EQUAL TO THE TIME SPENT INCARCERATED; OR

32 (II) STANDS CONVICTED IN THE LAST SEVEN YEARS OF: A SEX OFFENSE  
33 DEFINED IN SUBDIVISION TWO OF SECTION ONE HUNDRED SIXTY-EIGHT-A OF THE  
34 CORRECTION LAW, A FELONY OFFENSE DEFINED IN ARTICLE ONE HUNDRED TWENTY-  
35 FIVE OF THE PENAL LAW, A VIOLENT FELONY OFFENSE DEFINED IN SECTION 70.02  
36 OF THE PENAL LAW, A CLASS A FELONY OFFENSE DEFINED IN THE PENAL LAW, A  
37 FELONY OFFENSE DEFINED IN SECTION ELEVEN HUNDRED NINETY-TWO OF THIS  
38 CHAPTER, AN OFFENSE FOR WHICH REGISTRATION AS A SEX OFFENDER IS REQUIRED  
39 PURSUANT TO ARTICLE SIX-C OF THE CORRECTION LAW, OR ANY CONVICTION OF AN  
40 OFFENSE IN ANY OTHER JURISDICTION THAT HAS ALL THE ESSENTIAL ELEMENTS OF  
41 AN OFFENSE LISTED IN THIS SUBPARAGRAPH. IN CALCULATING THE SEVEN YEAR  
42 PERIOD UNDER THIS SUBPARAGRAPH, ANY PERIOD OF TIME DURING WHICH THE  
43 PERSON WAS INCARCERATED AFTER THE COMMISSION OF SUCH OFFENSE SHALL BE  
44 EXCLUDED AND SUCH SEVEN YEAR PERIOD SHALL BE EXTENDED BY A PERIOD OR  
45 PERIODS EQUAL TO THE TIME SPENT INCARCERATED.

46 (C) A CRIMINAL HISTORY RECORD THAT CONTAINS CRIMINAL CONVICTION INFOR-  
47 MATION THAT DOES NOT DISQUALIFY AN APPLICANT PURSUANT TO SUBPARAGRAPHS  
48 (I) OR (II) OF PARAGRAPH (B) OF THIS SUBDIVISION, SHALL BE REVIEWED AND  
49 CONSIDERED ACCORDING TO THE PROVISIONS OF ARTICLE TWENTY-THREE-A OF THE  
50 CORRECTION LAW AND SUBDIVISIONS FIFTEEN AND SIXTEEN OF SECTION TWO  
51 HUNDRED NINETY-SIX OF THE EXECUTIVE LAW IN DETERMINING WHETHER OR NOT  
52 THE APPLICANT SHOULD BE ISSUED A TNC DRIVER'S PERMIT.

53 (D) UPON RECEIPT OF CRIMINAL CONVICTION INFORMATION PURSUANT TO THIS  
54 SECTION FOR ANY APPLICANT, SUCH APPLICANT SHALL PROMPTLY BE PROVIDED  
55 WITH A COPY OF SUCH INFORMATION AS WELL AS A COPY OF ARTICLE  
56 TWENTY-THREE-A OF THE CORRECTION LAW. SUCH APPLICANT SHALL ALSO BE

1 INFORMED OF HIS OR HER RIGHT TO SEEK CORRECTION OF ANY INCORRECT INFOR-  
2 MATION CONTAINED IN SUCH CRIMINAL HISTORY INFORMATION PURSUANT TO THE  
3 REGULATIONS AND PROCEDURES ESTABLISHED BY THE DIVISION OF CRIMINAL  
4 JUSTICE SERVICES.

5 (E) THE DEPARTMENT SHALL PROMULGATE REGULATIONS FOR THE PURPOSE OF  
6 IMPLEMENTING THE PROVISIONS OF THIS SUBDIVISION.

7 3. A TNC SHALL UPDATE THE CRIMINAL HISTORY BACKGROUND CHECK YEARLY  
8 DURING THE PERIOD IN WHICH THE PERSON IS AUTHORIZED TO DRIVE FOR THE  
9 COMPANY, HOWEVER, THE COMMISSIONER MAY REQUIRE, PURSUANT TO REGULATION,  
10 MORE FREQUENT CRIMINAL HISTORY BACKGROUND CHECKS.

11 4. TO ENSURE SAFETY OF THE PASSENGERS AND THE PUBLIC A TNC SHALL BE  
12 RESPONSIBLE FOR ALL FEES ASSOCIATED WITH THE CRIMINAL HISTORY CHECK  
13 PURSUANT TO SUBDIVISION ONE OF THIS SECTION.

14 5. ANY TNC FOUND TO HAVE VIOLATED ANY REQUIREMENTS ESTABLISHED PURSU-  
15 ANT TO THIS SECTION, SHALL ON THE FIRST INSTANCE, BE SUBJECT TO A CIVIL  
16 PENALTY OF NOT MORE THAN TEN THOUSAND DOLLARS. FOR ANY SUBSEQUENT  
17 INSTANCE WITHIN THE PERIOD OF TWO YEARS FROM ANY INITIAL VIOLATION, SUCH  
18 TNC SHALL BE SUBJECT TO A CIVIL PENALTY OF NOT MORE THAN FIFTY THOUSAND  
19 DOLLARS, OR THE SUSPENSION OR REVOCATION OF ITS TNC LICENSE OR BOTH.

20 S 1700. CONTROLLING AUTHORITY. 1. NOTWITHSTANDING ANY OTHER PROVISION  
21 OF LAW, THE REGULATION OF TNCs AND TNC DRIVERS IS GOVERNED EXCLUSIVELY  
22 BY THE PROVISIONS OF THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN  
23 WHICH ADDED THIS SECTION AND ANY RULES PROMULGATED BY THE STATE THROUGH  
24 ITS AGENCIES CONSISTENT WITH SUCH CHAPTER. NO COUNTY, TOWN, CITY OR  
25 VILLAGE MAY ENACT A TAX OR ANY FEE OR OTHER SURCHARGE ON A TNC, A TNC  
26 DRIVER, OR A TNC VEHICLE USED BY A TNC DRIVER OR REQUIRE A LICENSE,  
27 PERMIT, OR ADDITIONAL INSURANCE COVERAGE OR ANY OTHER LIMITATIONS OR  
28 RESTRICTIONS, EXCEPT FOR A PROHIBITION ON PICK-UP PURSUANT TO SECTION  
29 ONE HUNDRED EIGHTY-TWO OF THE GENERAL MUNICIPAL LAW, FOR A TNC, A TNC  
30 DRIVER, OR A TNC VEHICLE USED BY A TNC DRIVER, WHERE SUCH FEE,  
31 SURCHARGE, UNAUTHORIZED TAX, LICENSE, PERMIT, INSURANCE COVERAGE, LIM-  
32 ITATION OR RESTRICTION, RELATES TO FACILITATING OR PROVIDING TNC PREAR-  
33 RANGED TRIPS, OR SUBJECTS A TNC, A TNC DRIVER, OR A TNC VEHICLE USED BY  
34 A TNC DRIVER TO OPERATIONAL, OR OTHER REQUIREMENTS.

35 2. NOTHING IN THIS ARTICLE SHALL AUTHORIZE ANY TNC DRIVER TO PICK-UP A  
36 PASSENGER FOR PURPOSES OF A TNC PREARRANGED TRIP IN A CITY WITH A POPU-  
37 LATION OF ONE MILLION OR MORE OR WHERE A COUNTY OR CITY HAS OPTED TO  
38 PROHIBIT THE SAME PURSUANT TO AUTHORITY CONSISTENT WITH SECTION ONE  
39 HUNDRED EIGHTY-TWO OF THE GENERAL MUNICIPAL LAW, EXCEPT WHERE THE  
40 ACCEPTANCE OF A PREARRANGED TRIP IS AUTHORIZED PURSUANT TO AN EXISTING  
41 RECIPROCITY AGREEMENT.

42 3. NOTHING IN THIS ARTICLE SHALL: (A) LIMIT THE ABILITY OF A COUNTY,  
43 TOWN, CITY OR VILLAGE TO ADOPT OR AMEND GENERALLY APPLICABLE LIMITATIONS  
44 OR RESTRICTIONS RELATING TO LOCAL TRAFFIC OR PARKING CONTROL AS AUTHOR-  
45 IZED BY STATE LAW; OR (B) PREEMPT ANY RECIPROCITY AGREEMENTS, INCLUDING  
46 AGREEMENTS ENTERED INTO PURSUANT TO SECTION FOUR HUNDRED NINETY-EIGHT OF  
47 THIS CHAPTER, BETWEEN A COUNTY, TOWN, CITY OR VILLAGE THAT RELATES TO  
48 SERVICES REGULATED BY SECTION ONE HUNDRED EIGHTY-ONE OF THE GENERAL  
49 MUNICIPAL LAW.

50 4. NOTHING IN THIS ARTICLE SHALL BE CONSTRUED TO LIMIT THE ABILITY OF  
51 A MUNICIPALITY OR OTHER GOVERNING AUTHORITY THAT OWNS OR OPERATES AN  
52 AIRPORT LOCATED OUTSIDE OF A CITY WITH A POPULATION OF ONE MILLION OR  
53 MORE FROM ADOPTING REGULATIONS AND ENTERING INTO CONTRACTS OR OTHER  
54 AGREEMENTS RELATING TO THE DUTIES AND RESPONSIBILITIES ON AIRPORT PROP-  
55 erty OF A TRANSPORTATION NETWORK COMPANY, WHICH MAY INCLUDE THE IMPOSI-  
56 TION AND PAYMENT OF REASONABLE FEES, PROVIDED THAT ANY SUCH CONTRACTS,



1 AGREEMENTS, OR REGULATIONS SHALL NOT IMPOSE ANY LICENSE OR OTHER OPERA-  
2 TIONAL REQUIREMENT ON A TRANSPORTATION NETWORK COMPANY DRIVER OR TRANS-  
3 PORTATION NETWORK COMPANY VEHICLE THAT IS INCONSISTENT WITH OR ADDI-  
4 TIONAL TO THE REQUIREMENTS OF THIS ARTICLE.

5 S 3. Section 370 of the vehicle and traffic law is amended by adding a  
6 new subdivision 8 to read as follows:

7 8. NOTWITHSTANDING ANY OTHER PROVISION OF THIS ARTICLE, AN INDIVIDUAL  
8 SHALL NOT BE DEEMED TO BE ENGAGED IN THE BUSINESS OF CARRYING OR TRANS-  
9 PORTING PASSENGERS FOR HIRE IF THE INDIVIDUAL DOES SO SOLELY AS A TRANS-  
10 PORTATION NETWORK COMPANY DRIVER IN ACCORDANCE WITH ARTICLE FORTY-FOUR-B  
11 OF THIS CHAPTER.

12 S 4. Section 600 of the vehicle and traffic law, as amended by chapter  
13 49 of the laws of 2005, is amended to read as follows:

14 S 600. Leaving scene of an incident without reporting. 1. Property  
15 damage. a. Any person operating a motor vehicle who, knowing or having  
16 cause to know that damage has been caused to the real property or to the  
17 personal property, not including animals, of another, due to an incident  
18 involving the motor vehicle operated by such person shall, before leav-  
19 ing the place where the damage occurred, stop, exhibit his or her  
20 license and insurance identification card for such vehicle, when such  
21 card is required pursuant to articles six and eight of this chapter, and  
22 give his or her name, residence, including street and number, insurance  
23 carrier and insurance identification information including but not  
24 limited to the number and effective dates of said individual's insurance  
25 policy, and license number to the party sustaining the damage, or in  
26 case the person sustaining the damage is not present at the place where  
27 the damage occurred then he or she shall report the same as soon as  
28 physically able to the nearest police station, or judicial officer. IN  
29 ADDITION TO THE FOREGOING, ANY SUCH PERSON SHALL ALSO: (I) PRODUCE THE  
30 PROOF OF INSURANCE COVERAGE REQUIRED PURSUANT TO ARTICLE FORTY-FOUR-B OF  
31 THIS CHAPTER IF SUCH PERSON IS A TNC DRIVER OPERATING A TNC VEHICLE  
32 WHILE THE INCIDENT OCCURRED WHO WAS (A) LOGGED ON TO THE TNC'S DIGITAL  
33 NETWORK BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B) WAS ENGAGED IN  
34 A TNC PREARRANGED TRIP; AND (II) DISCLOSE WHETHER HE OR SHE, AT THE TIME  
35 SUCH INCIDENT OCCURRED, WAS (A) LOGGED ON TO THE TNC'S DIGITAL NETWORK  
36 BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B) WAS ENGAGED IN A TNC  
37 PREARRANGED TRIP.

38 b. It shall be the duty of any member of a law enforcement agency who  
39 is at the scene of the accident to request the said operator or opera-  
40 tors of the motor vehicles, when physically capable of doing so, to  
41 exchange the information required hereinabove and such member of a law  
42 enforcement agency shall assist such operator or operators in making  
43 such exchange of information in a reasonable and harmonious manner.

44 A violation of the provisions of paragraph a of this subdivision shall  
45 constitute a traffic infraction punishable by a fine of up to two  
46 hundred fifty dollars or a sentence of imprisonment for up to fifteen  
47 days or both such fine and imprisonment.

48 2. Personal injury. a. Any person operating a motor vehicle who,  
49 knowing or having cause to know that personal injury has been caused to  
50 another person, due to an incident involving the motor vehicle operated  
51 by such person shall, before leaving the place where the said personal  
52 injury occurred, stop, exhibit his or her license and insurance iden-  
53 tification card for such vehicle, when such card is required pursuant to  
54 articles six and eight of this chapter, and give his or her name, resi-  
55 dence, including street and street number, insurance carrier and insur-  
56 ance identification information including but not limited to the number

1 and effective dates of said individual's insurance policy and license  
2 number, to the injured party, if practical, and also to a police offi-  
3 cer, or in the event that no police officer is in the vicinity of the  
4 place of said injury, then, he or she shall report said incident as soon  
5 as physically able to the nearest police station or judicial officer.  
6 IN ADDITION TO THE FOREGOING, ANY SUCH PERSON SHALL ALSO: (I) PRODUCE  
7 THE PROOF OF INSURANCE COVERAGE REQUIRED PURSUANT TO ARTICLE  
8 FORTY-FOUR-B OF THIS CHAPTER IF SUCH PERSON IS A TNC DRIVER OPERATING A  
9 TNC VEHICLE AT THE TIME OF THE INCIDENT WHO WAS (A) LOGGED ON TO THE  
10 TNC'S DIGITAL NETWORK BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B)  
11 WAS ENGAGED IN A TNC PREARRANGED TRIP; AND (II) DISCLOSE WHETHER HE OR  
12 SHE, AT THE TIME SUCH INCIDENT OCCURRED, WAS (A) LOGGED ON TO THE TNC'S  
13 DIGITAL NETWORK BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B) WAS  
14 ENGAGED IN A TNC PREARRANGED TRIP.

15 b. It shall be the duty of any member of a law enforcement agency who  
16 is at the scene of the accident to request the said operator or opera-  
17 tors of the motor vehicles, when physically capable of doing so, to  
18 exchange the information required hereinabove and such member of a law  
19 enforcement agency shall assist such operator or operators in making  
20 such exchange of information in a reasonable and harmonious manner.

21 c. A violation of the provisions of paragraph a of this subdivision  
22 resulting solely from the failure of an operator to exhibit his or her  
23 license and insurance identification card for the vehicle or exchange  
24 the information required in such paragraph shall constitute a class B  
25 misdemeanor punishable by a fine of not less than two hundred fifty nor  
26 more than five hundred dollars in addition to any other penalties  
27 provided by law. Any subsequent such violation shall constitute a class  
28 A misdemeanor punishable by a fine of not less than five hundred nor  
29 more than one thousand dollars in addition to any other penalties  
30 provided by law. Any violation of the provisions of paragraph a of this  
31 subdivision, other than for the mere failure of an operator to exhibit  
32 his or her license and insurance identification card for such vehicle or  
33 exchange the information required in such paragraph, shall constitute a  
34 class A misdemeanor, punishable by a fine of not less than five hundred  
35 dollars nor more than one thousand dollars in addition to any other  
36 penalties provided by law. Any such violation committed by a person  
37 after such person has previously been convicted of such a violation  
38 shall constitute a class E felony, punishable by a fine of not less than  
39 one thousand nor more than two thousand five hundred dollars in addition  
40 to any other penalties provided by law. Any violation of the provisions  
41 of paragraph a of this subdivision, other than for the mere failure of  
42 an operator to exhibit his or her license and insurance identification  
43 card for such vehicle or exchange the information required in such para-  
44 graph, where the personal injury involved (i) results in serious phys-  
45 ical injury, as defined in section 10.00 of the penal law, shall consti-  
46 tute a class E felony, punishable by a fine of not less than one  
47 thousand nor more than five thousand dollars in addition to any other  
48 penalties provided by law, or (ii) results in death shall constitute a  
49 class D felony punishable by a fine of not less than two thousand nor  
50 more than five thousand dollars in addition to any other penalties  
51 provided by law.

52 3. FOR THE PURPOSES OF THIS ARTICLE, THE TERMS "TNC", "TNC DRIVER",  
53 "TNC VEHICLE", "TNC PREARRANGED TRIP" AND "DIGITAL NETWORK" SHALL HAVE  
54 THE SAME MEANINGS AS SUCH TERMS ARE DEFINED IN ARTICLE FORTY-FOUR-B OF  
55 THIS CHAPTER.

1 S 5. Section 601 of the vehicle and traffic law, as amended by chapter  
2 672 of the laws of 2004, is amended to read as follows:

3 S 601. Leaving scene of injury to certain animals without reporting.  
4 Any person operating a motor vehicle which shall strike and injure any  
5 horse, dog, cat or animal classified as cattle shall stop and endeavor  
6 to locate the owner or custodian of such animal or a police, peace or  
7 judicial officer of the vicinity, and take any other reasonable and  
8 appropriate action so that the animal may have necessary attention, and  
9 shall also promptly report the matter to such owner, custodian or offi-  
10 cer (or if no one of such has been located, then to a police officer of  
11 some other nearby community), exhibiting his or her license and insur-  
12 ance identification card for such vehicle, when such card is required  
13 pursuant to articles six and eight of this chapter, giving his or her  
14 name and residence, including street and street number, insurance carri-  
15 er and insurance identification information and license number. IN ADDI-  
16 TION TO THE FOREGOING, ANY SUCH PERSON SHALL ALSO: (I) PRODUCE THE PROOF  
17 OF INSURANCE COVERAGE REQUIRED PURSUANT TO ARTICLE FORTY-FOUR-B OF THIS  
18 CHAPTER IF SUCH PERSON IS A TNC DRIVER OPERATING A TNC VEHICLE AT THE  
19 TIME OF THE INCIDENT WHO WAS (A) LOGGED ON TO THE TNC'S DIGITAL NETWORK  
20 BUT NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B) WAS ENGAGED IN A TNC  
21 PREARRANGED TRIP; AND (II) DISCLOSE WHETHER HE OR SHE, AT THE TIME SUCH  
22 INCIDENT OCCURRED, WAS (A) LOGGED ON TO THE TNC'S DIGITAL NETWORK BUT  
23 NOT ENGAGED IN A TNC PREARRANGED TRIP OR (B) WAS ENGAGED IN A TNC PREAR-  
24 RANGED TRIP. Violation of this section shall be punishable by a fine of  
25 not more than one hundred dollars for a first offense and by a fine of  
26 not less than fifty nor more than one hundred fifty dollars for a second  
27 offense and each subsequent offense; provided, however where the animal  
28 that has been struck and injured is a guide dog, hearing dog or service  
29 dog, as such terms are defined in section forty-seven-b of the civil  
30 rights law which is actually engaged in aiding or guiding a person with  
31 a disability, a violation of this section shall be [publishable] PUNISH-  
32 ABLE by a fine of not less than fifty nor more than one hundred fifty  
33 dollars for a first offense and by a fine of not less than one hundred  
34 fifty dollars nor more than three hundred dollars for a second offense  
35 and each subsequent offense.

36 S 6. The insurance law is amended by adding two new sections 3455 and  
37 3456 to read as follows:

38 S 3455. TRANSPORTATION NETWORK COMPANY GROUP INSURANCE POLICIES. (A)  
39 FOR PURPOSES OF THIS SECTION, THE FOLLOWING DEFINITIONS SHALL APPLY:

40 (1) "TRANSPORTATION NETWORK COMPANY" SHALL HAVE THE SAME MEANING AS  
41 SET FORTH IN ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

42 (2) "CERTIFICATE" OR "CERTIFICATE OF INSURANCE" MEANS ANY POLICY,  
43 CONTRACT OR OTHER EVIDENCE OF INSURANCE, OR ENDORSEMENT THERETO, ISSUED  
44 TO A GROUP MEMBER UNDER A TRANSPORTATION NETWORK COMPANY GROUP POLICY.

45 (3) "TRANSPORTATION NETWORK COMPANY GROUP POLICY" OR "GROUP POLICY"  
46 MEANS A GROUP POLICY, INCLUDING CERTIFICATES ISSUED TO THE GROUP  
47 MEMBERS, WHERE THE GROUP POLICYHOLDER IS A TRANSPORTATION NETWORK COMPA-  
48 NY AND THE POLICY PROVIDES INSURANCE TO THE TRANSPORTATION NETWORK  
49 COMPANY AND TO GROUP MEMBERS:

50 (A) IN ACCORDANCE WITH THE REQUIREMENTS OF ARTICLE FORTY-FOUR-B OF THE  
51 VEHICLE AND TRAFFIC LAW;

52 (B) OF THE TYPE DESCRIBED IN PARAGRAPH THIRTEEN, FOURTEEN, OR NINETEEN  
53 OF SUBSECTION (A) OF SECTION ONE THOUSAND ONE HUNDRED THIRTEEN OF THIS  
54 CHAPTER; AND

55 (C) IN SATISFACTION OF THE FINANCIAL RESPONSIBILITY REQUIREMENTS SET  
56 FORTH IN SECTION THREE THOUSAND FOUR HUNDRED TWENTY OF THIS ARTICLE,

1 SUBDIVISION FOUR OF SECTION THREE HUNDRED ELEVEN OF THE VEHICLE AND  
2 TRAFFIC LAW, ARTICLE FIFTY-ONE OF THIS CHAPTER, AND SUCH OTHER REQUIRE-  
3 MENTS OR REGULATIONS THAT MAY APPLY FOR THE PURPOSES OF SATISFYING THE  
4 FINANCIAL RESPONSIBILITY REQUIREMENTS WITH RESPECT TO THE USE OR OPERA-  
5 TION OF A MOTOR VEHICLE.

6 (4) "GROUP MEMBER" MEANS A TRANSPORTATION NETWORK COMPANY DRIVER AS  
7 DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

8 (5) "GROUP POLICYHOLDER" MEANS A TRANSPORTATION NETWORK COMPANY.

9 (6) "TNC VEHICLE" SHALL HAVE THE MEANING SET FORTH IN ARTICLE  
10 FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

11 (B) AN INSURER MAY ISSUE OR ISSUE FOR DELIVERY IN THIS STATE A TRANS-  
12 PORTATION NETWORK COMPANY GROUP POLICY TO A TRANSPORTATION NETWORK  
13 COMPANY AS A GROUP POLICYHOLDER ONLY IN ACCORDANCE WITH THE PROVISIONS  
14 OF THIS SECTION.

15 (C)(1) A TRANSPORTATION NETWORK COMPANY GROUP POLICY SHALL PROVIDE  
16 COVERAGE FOR A TNC VEHICLE IN ACCORDANCE WITH THE REQUIREMENTS OF ARTI-  
17 CLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

18 (2) A TRANSPORTATION NETWORK COMPANY GROUP POLICY MAY PROVIDE:

19 (A) COVERAGE FOR LIMITS HIGHER THAN THE MINIMUM LIMITS REQUIRED PURSU-  
20 ANT TO ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

21 (B) SUPPLEMENTARY UNINSURED/UNDERINSURED MOTORISTS INSURANCE FOR BODI-  
22 LY INJURY PURSUANT TO PARAGRAPH TWO OF SUBSECTION (F) OF SECTION THREE  
23 THOUSAND FOUR HUNDRED TWENTY OF THIS ARTICLE;

24 (C) SUPPLEMENTAL SPOUSAL LIABILITY INSURANCE PURSUANT TO SUBSECTION  
25 (G) OF SECTION THREE THOUSAND FOUR HUNDRED TWENTY OF THIS CHAPTER; AND

26 (D) MOTOR VEHICLE PHYSICAL DAMAGE COVERAGE AS DESCRIBED IN PARAGRAPH  
27 NINETEEN OF SUBSECTION (A) OF SECTION ONE THOUSAND ONE HUNDRED THIRTEEN  
28 OF THIS CHAPTER.

29 (3) THE COVERAGE DESCRIBED IN PARAGRAPHS ONE AND TWO OF THIS  
30 SUBSECTION MAY BE PROVIDED IN ONE GROUP POLICY OR IN SEPARATE GROUP  
31 POLICIES.

32 (4) A TRANSPORTATION NETWORK COMPANY GROUP POLICY, INCLUDING CERTIF-  
33 ICATES, SHALL BE ISSUED BY AUTHORIZED INSURERS OR FROM EXCESS LINE  
34 BROKERS PURSUANT TO SECTION SIXTEEN SIX HUNDRED NINETY-THREE OF THE  
35 VEHICLE AND TRAFFIC LAW.

36 (5) A POLICYHOLDER ALSO MAY BE AN INSURED UNDER A GROUP POLICY.

37 (D) THE PREMIUM FOR THE TRANSPORTATION NETWORK COMPANY GROUP POLICY,  
38 INCLUDING CERTIFICATES MAY BE PAID BY THE GROUP POLICYHOLDER FROM THE  
39 FUNDS CONTRIBUTED:

40 (1) WHOLLY BY THE GROUP POLICYHOLDER;

41 (2) WHOLLY BY THE GROUP MEMBERS; OR

42 (3) JOINTLY BY THE GROUP POLICYHOLDER AND THE GROUP MEMBERS.

43 (E) (1) ANY POLICY DIVIDEND, RETROSPECTIVE PREMIUM CREDIT, OR RETRO-  
44 SPECTIVE PREMIUM REFUND IN RESPECT OF PREMIUMS PAID BY THE GROUP POLICY-  
45 HOLDER MAY:

46 (A) BE APPLIED TO REDUCE THE PREMIUM CONTRIBUTION OF THE GROUP POLICY-  
47 HOLDER, BUT NOT IN EXCESS OF THE PROPORTION TO ITS CONTRIBUTION; OR

48 (B) BE RETAINED BY THE GROUP POLICYHOLDER.

49 (2) ANY POLICY DIVIDEND, RETROSPECTIVE PREMIUM CREDIT, OR RETROSPEC-  
50 TIVE PREMIUM REFUND NOT DISTRIBUTED UNDER PARAGRAPH ONE OF THIS  
51 SUBSECTION SHALL BE:

52 (A) APPLIED TO REDUCE FUTURE PREMIUMS AND, ACCORDINGLY, FUTURE  
53 CONTRIBUTIONS, OF EXISTING OR FUTURE GROUP MEMBERS, OR BOTH; OR

54 (B) PAID OR REFUNDED TO THOSE GROUP MEMBERS INSURED ON THE DATE THE  
55 PAYMENT OR REFUND IS MADE TO THE GROUP POLICYHOLDER, IF DISTRIBUTED BY

1 THE GROUP POLICYHOLDER, OR ON THE DATE OF MAILING, IF DISTRIBUTED  
2 DIRECTLY BY THE INSURER, SUBJECT TO THE FOLLOWING REQUIREMENTS:

3 (I) THE INSURER SHALL BE RESPONSIBLE FOR DETERMINING THE ALLOCATION OF  
4 THE PAYMENT OF REFUND TO THE GROUP MEMBERS;

5 (II) IF THE GROUP POLICYHOLDER DISTRIBUTES THE PAYMENT OR REFUND, THE  
6 INSURER SHALL BE RESPONSIBLE FOR AUDIT TO ASCERTAIN THAT THE PAYMENT OR  
7 REFUND IS ACTUALLY MADE IN ACCORDANCE WITH THE ALLOCATION PROCEDURE; AND

8 (III) IF THE GROUP POLICYHOLDER FAILS TO MAKE THE PAYMENT OR REFUND,  
9 THE INSURER SHALL MAKE THE PAYMENT OR REFUND DIRECTLY OR USE THE METHOD  
10 PROVIDED IN SUBPARAGRAPH (A) OF THIS PARAGRAPH.

11 (3) NOTWITHSTANDING PARAGRAPHS ONE AND TWO OF THIS SUBSECTION, IF A  
12 DIVIDEND ACCRUES UPON TERMINATION OF COVERAGE UNDER A TRANSPORTATION  
13 NETWORK COMPANY GROUP POLICY, THE PREMIUM FOR WHICH WAS PAID OUT OF  
14 FUNDS CONTRIBUTED BY GROUP MEMBERS SPECIFICALLY FOR THE COVERAGE, THE  
15 DIVIDEND SHALL BE PAID OR REFUNDED BY THE GROUP POLICYHOLDER TO THE  
16 GROUP MEMBERS INSURED ON THE DATE THE PAYMENT OR REFUND IS MADE TO THE  
17 GROUP POLICYHOLDER, NET OF REASONABLE EXPENSES INCURRED BY THE GROUP  
18 POLICYHOLDER IN PAYING OR REFUNDING THE DIVIDEND TO SUCH GROUP MEMBERS.

19 (4) FOR THE PURPOSES OF THIS SUBSECTION, "DIVIDEND" MEANS A RETURN BY  
20 THE INSURER OF A TRANSPORTATION NETWORK COMPANY GROUP POLICY OF EXCESS  
21 PREMIUMS TO THE GROUP POLICYHOLDER IN LIGHT OF FAVORABLE LOSS EXPERI-  
22 ENCE, INCLUDING RETROSPECTIVE PREMIUM CREDITS OR RETROSPECTIVE PREMIUM  
23 REFUNDS. THE TERM "DIVIDEND" DOES NOT INCLUDE REIMBURSEMENTS OR FEES  
24 RECEIVED BY A GROUP POLICYHOLDER IN CONNECTION WITH THE OPERATION OR  
25 ADMINISTRATION OF A TRANSPORTATION NETWORK COMPANY GROUP POLICY, INCLUD-  
26 ING ADMINISTRATIVE REIMBURSEMENTS, FEES FOR SERVICES PROVIDED BY THE  
27 GROUP POLICYHOLDER, OR TRANSACTIONAL SERVICE FEES.

28 (F) THE INSURER SHALL TREAT IN LIKE MANNER ALL ELIGIBLE GROUP MEMBERS  
29 OF THE SAME CLASS AND STATUS.

30 (G) EACH POLICY WRITTEN PURSUANT TO THIS SECTION SHALL PROVIDE PER  
31 OCCURRENCE LIMITS OF COVERAGE FOR EACH GROUP MEMBER IN AN AMOUNT NOT  
32 LESS THAN THAT REQUIRED BY THIS ARTICLE, AND MAY PROVIDE COVERAGE FOR  
33 LIMITS HIGHER THAN THE MINIMUM LIMITS REQUIRED UNDER THE LAW.

34 (H) (1) THE INSURER SHALL BE RESPONSIBLE FOR MAILING OR DELIVERY OF A  
35 CERTIFICATE OF INSURANCE TO EACH GROUP MEMBER INSURED UNDER THE TRANS-  
36 PORTATION NETWORK COMPANY GROUP POLICY, PROVIDED, HOWEVER, THAT THE  
37 INSURER MAY DELEGATE THE MAILING OR DELIVERY TO THE TRANSPORTATION  
38 NETWORK COMPANY. THE INSURER SHALL ALSO BE RESPONSIBLE FOR THE MAILING  
39 OR DELIVERY TO EACH GROUP MEMBER OF AN AMENDED CERTIFICATE OF INSURANCE  
40 OR ENDORSEMENT TO THE CERTIFICATE, WHENEVER THERE IS A CHANGE IN LIMITS;  
41 CHANGE IN TYPE OF COVERAGE; ADDITION, REDUCTION, OR ELIMINATION OF  
42 COVERAGE; OR ADDITION OF EXCLUSION, UNDER THE TRANSPORTATION NETWORK  
43 COMPANY GROUP POLICY OR CERTIFICATE.

44 (2) THE CERTIFICATE SHALL CONTAIN IN SUBSTANCE ALL MATERIAL TERMS AND  
45 CONDITIONS OF COVERAGE AFFORDED TO GROUP MEMBERS, UNLESS THE TRANSPORTA-  
46 TION NETWORK COMPANY GROUP POLICY IS INCORPORATED BY REFERENCE AND A  
47 COPY OF THE GROUP POLICY ACCOMPANIES THE CERTIFICATE.

48 (3) IF ANY COVERAGE AFFORDED TO THE GROUP MEMBER IS EXCESS OF APPLICA-  
49 BLE INSURANCE COVERAGE, THE CERTIFICATE SHALL CONTAIN A NOTICE ADVISING  
50 THE GROUP MEMBERS THAT, IF THE MEMBER HAS OTHER INSURANCE COVERAGE,  
51 SPECIFIED COVERAGES UNDER THE TRANSPORTATION NETWORK COMPANY GROUP POLI-  
52 CY WILL BE EXCESS OVER THE OTHER INSURANCE.

53 (I) A GROUP POLICYHOLDER SHALL COMPLY WITH THE PROVISIONS OF SECTION  
54 TWO THOUSAND ONE HUNDRED TWENTY-TWO OF THIS CHAPTER, IN THE SAME MANNER  
55 AS AN AGENT OR BROKER, IN ANY ADVERTISEMENT, SIGN, PAMPHLET, CIRCULAR,

1 CARD, OR OTHER PUBLIC ANNOUNCEMENT REFERRING TO COVERAGE UNDER A TRANS-  
2 PORTATION NETWORK COMPANY GROUP POLICY OR CERTIFICATE.

3 (J) A TRANSPORTATION NETWORK COMPANY GROUP POLICY SHALL NOT BE SUBJECT  
4 TO SECTION THREE THOUSAND FOUR HUNDRED TWENTY-FIVE OR SECTION THREE  
5 THOUSAND FOUR HUNDRED TWENTY-SIX OF THIS ARTICLE; PROVIDED THAT THE  
6 FOLLOWING REQUIREMENTS SHALL APPLY WITH REGARD TO TERMINATION OF COVER-  
7 AGE:

8 (1)(A) AN INSURER MAY TERMINATE A GROUP POLICY OR CERTIFICATE ONLY IF  
9 CANCELLATION IS BASED ON ONE OR MORE OF THE REASONS SET FORTH IN SUBPAR-  
10 AGRAPH (A) THROUGH (D) OR (F) THROUGH (H) OF PARAGRAPH ONE OF SUBSECTION  
11 (C) OF SECTION THREE THOUSAND FOUR HUNDRED TWENTY-SIX OF THIS ARTICLE;  
12 PROVIDED, HOWEVER, THAT AN ACT OR OMISSION BY A GROUP MEMBER THAT WOULD  
13 CONSTITUTE THE BASIS FOR CANCELLATION OF AN INDIVIDUAL CERTIFICATE SHALL  
14 NOT CONSTITUTE THE BASIS FOR CANCELLATION OF THE GROUP POLICY.

15 (B) WHERE THE PREMIUM IS DERIVED WHOLLY FROM FUNDS CONTRIBUTED BY THE  
16 GROUP POLICYHOLDER, AN INSURER MAY CANCEL AN INDIVIDUAL CERTIFICATE ONLY  
17 IF CANCELLATION IS BASED ON ONE OR MORE OF THE REASONS SET FORTH IN  
18 SUBPARAGRAPH (B), (C) OR (H) OF PARAGRAPH ONE OF SUBSECTION (C) OF  
19 SECTION THREE THOUSAND FOUR HUNDRED TWENTY-SIX OF THIS ARTICLE.

20 (2) (A) AN INSURER'S CANCELLATION OF A GROUP POLICY, INCLUDING ALL  
21 CERTIFICATES, SHALL NOT BECOME EFFECTIVE UNTIL THIRTY DAYS AFTER THE  
22 INSURER MAILS OR DELIVERS WRITTEN NOTICE OF CANCELLATION TO THE GROUP  
23 POLICYHOLDER AT THE MAILING ADDRESS SHOWN IN THE POLICY.

24 (I) WHERE ALL OR PART OF THE PREMIUM IS DERIVED FROM FUNDS CONTRIBUTED  
25 BY THE GROUP MEMBER SPECIFICALLY FOR THE COVERAGE, THE INSURER SHALL  
26 ALSO MAIL OR DELIVER WRITTEN NOTICE OF CANCELLATION OF THE GROUP POLICY  
27 TO THE GROUP MEMBER AT THE GROUP MEMBER'S MAILING ADDRESS. SUCH CANCEL-  
28 LATION SHALL NOT BECOME EFFECTIVE UNTIL THIRTY DAYS AFTER THE INSURER  
29 MAILS OR DELIVERS THE WRITTEN NOTICE TO THE GROUP MEMBER.

30 (II) WHERE NONE OF THE PREMIUM IS DERIVED FROM FUNDS CONTRIBUTED BY A  
31 GROUP MEMBER SPECIFICALLY FOR THE COVERAGE, THE GROUP POLICY HOLDER  
32 SHALL MAIL OR DELIVER WRITTEN NOTICE TO THE GROUP MEMBER ADVISING THE  
33 GROUP MEMBER OF THE CANCELLATION OF THE GROUP POLICY AND THE EFFECTIVE  
34 DATE OF CANCELLATION. THE GROUP POLICY HOLDER SHALL MAIL OR DELIVER THE  
35 WRITTEN NOTICE WITHIN NINETY DAYS AFTER RECEIVING NOTICE OF CANCELLATION  
36 FROM THE INSURER.

37 (B) AN INSURER'S CANCELLATION OF AN INDIVIDUAL CERTIFICATE SHALL NOT  
38 BECOME EFFECTIVE UNTIL THIRTY DAYS AFTER THE INSURER MAILS OR DELIVERS  
39 WRITTEN NOTICE OF CANCELLATION TO THE GROUP MEMBER AT THE GROUP MEMBER'S  
40 MAILING ADDRESS AND TO THE GROUP POLICYHOLDER AT THE MAILING ADDRESS  
41 SHOWN IN THE GROUP POLICY.

42 (3) (A) A GROUP POLICYHOLDER MAY CANCEL A GROUP POLICY, INCLUDING ALL  
43 CERTIFICATES, OR ANY INDIVIDUAL CERTIFICATE, FOR ANY REASON UPON THIRTY  
44 DAYS WRITTEN NOTICE TO THE INSURER AND EACH GROUP MEMBER; AND

45 (B) THE GROUP POLICYHOLDER SHALL MAIL OR DELIVER WRITTEN NOTICE TO  
46 EACH AFFECTED GROUP MEMBER OF THE GROUP POLICYHOLDER'S CANCELLATION OF  
47 THE GROUP POLICY OR CERTIFICATE AND THE EFFECTIVE DATE OF CANCELLATION.  
48 THE GROUP POLICYHOLDER SHALL MAIL OR DELIVER THE WRITTEN NOTICE TO THE  
49 GROUP MEMBER'S MAILING ADDRESS AT LEAST THIRTY DAYS PRIOR TO THE EFFEC-  
50 TIVE DATE OF CANCELLATION.

51 (4) (A) UNLESS A GROUP POLICY PROVIDES FOR A LONGER POLICY PERIOD, THE  
52 POLICY AND ALL CERTIFICATES SHALL BE ISSUED OR RENEWED FOR A ONE-YEAR  
53 POLICY PERIOD.

54 (B) THE GROUP POLICYHOLDER SHALL BE ENTITLED TO RENEW THE GROUP POLICY  
55 AND ALL CERTIFICATES UPON TIMELY PAYMENT OF THE PREMIUM BILLED TO THE  
56 GROUP POLICYHOLDER FOR THE RENEWAL, UNLESS:

1 (I) THE INSURER MAILS OR DELIVERS TO THE GROUP POLICYHOLDER AND ALL  
2 GROUP MEMBERS WRITTEN NOTICE OF NONRENEWAL, OR CONDITIONAL RENEWAL; AND

3 (II) THE INSURER MAILS OR DELIVERS THE WRITTEN NOTICE AT LEAST THIRTY,  
4 BUT NOT MORE THAN ONE HUNDRED TWENTY DAYS PRIOR TO THE EXPIRATION DATE  
5 SPECIFIED IN THE POLICY OR, IF NO DATE IS SPECIFIED, THE NEXT ANNIVER-  
6 SARY DATE OF THE POLICY.

7 (5) WHERE THE GROUP POLICYHOLDER NONRENEWS THE GROUP POLICY, THE GROUP  
8 POLICYHOLDER SHALL MAIL OR DELIVER WRITTEN NOTICE TO EACH GROUP MEMBER  
9 ADVISING THE GROUP MEMBER OF NONRENEWAL OF THE GROUP POLICY AND THE  
10 EFFECTIVE DATE OF NONRENEWAL. THE GROUP POLICYHOLDER SHALL MAIL OR  
11 DELIVER WRITTEN NOTICE AT LEAST THIRTY DAYS PRIOR TO THE NONRENEWAL.

12 (6) EVERY NOTICE OF CANCELLATION, NONRENEWAL, OR CONDITIONAL RENEWAL  
13 SHALL SET FORTH THE SPECIFIC REASON OR REASONS FOR CANCELLATION, NONRE-  
14 NEWAL, OR CONDITIONAL RENEWAL.

15 (7) (A) AN INSURER SHALL NOT BE REQUIRED UNDER THIS SUBSECTION TO GIVE  
16 NOTICE TO A GROUP MEMBER IF THE INSURER HAS BEEN ADVISED BY EITHER THE  
17 GROUP POLICYHOLDER OR ANOTHER INSURER THAT SUBSTANTIALLY SIMILAR COVER-  
18 AGE HAS BEEN OBTAINED FROM THE OTHER INSURER WITHOUT LAPSE OF COVERAGE.

19 (B) A GROUP POLICYHOLDER SHALL NOT BE REQUIRED UNDER THIS SUBSECTION  
20 TO GIVE NOTICE TO A GROUP MEMBER IF SUBSTANTIALLY SIMILAR COVERAGE HAS  
21 BEEN OBTAINED FROM ANOTHER INSURER WITHOUT LAPSE OF COVERAGE.

22 (8) (A) IF, PRIOR TO THE EFFECTIVE DATE OF CANCELLATION, NONRENEWAL,  
23 OR CONDITIONAL RENEWAL OF THE GROUP POLICY, OR A CERTIFICATE, WHETHER  
24 INITIATED BY THE INSURER, GROUP POLICYHOLDER OR BY THE GROUP MEMBER IN  
25 REGARD TO THE GROUP MEMBER'S CERTIFICATE, COVERAGE ATTACHES PURSUANT TO  
26 THE TERMS OF A GROUP POLICY, THEN THE COVERAGE SHALL BE EFFECTIVE UNTIL  
27 EXPIRATION OF THE APPLICABLE PERIOD OF COVERAGE PROVIDED IN THE GROUP  
28 POLICY NOTWITHSTANDING THE CANCELLATION, NONRENEWAL OR CONDITIONAL  
29 NONRENEWAL OF THE GROUP POLICY.

30 (B) NOTWITHSTANDING SUBPARAGRAPH (A) OF THIS PARAGRAPH, AN INSURER MAY  
31 TERMINATE COVERAGE UNDER AN INDIVIDUAL CERTIFICATE ON THE EFFECTIVE DATE  
32 OF CANCELLATION, IF THE CERTIFICATE IS CANCELLED IN ACCORDANCE WITH THE  
33 PROVISIONS OF SUBPARAGRAPH (B) OF PARAGRAPH ONE OF THIS SUBSECTION.

34 (K) ANY MAILING OR DELIVERY TO A GROUP MEMBER REQUIRED OR PERMITTED  
35 UNDER THIS SECTION MAY BE MADE BY ELECTRONIC MAIL IF CONSENT TO SUCH  
36 METHOD OF DELIVERY HAS BEEN PREVIOUSLY RECEIVED FROM SUCH GROUP MEMBER.

37 (L) AN INSURER MAY ISSUE A TRANSPORTATION NETWORK COMPANY GROUP POLICY  
38 TO A TRANSPORTATION NETWORK COMPANY, NOTWITHSTANDING THAT IT MAY BE A  
39 CONDITION OF OPERATING A VEHICLE ON THE TRANSPORTATION NETWORK COMPANY'S  
40 DIGITAL NETWORK FOR THE TNC DRIVER TO PARTICIPATE IN SUCH GROUP POLICY.

41 (M) AN INSURER SHALL NOT INCLUDE A MANDATORY ARBITRATION CLAUSE IN A  
42 POLICY THAT PROVIDES FINANCIAL RESPONSIBILITY COVERAGE UNDER THIS  
43 SECTION EXCEPT AS PERMITTED IN SECTION FIVE THOUSAND ONE HUNDRED FIVE OF  
44 THE INSURANCE LAW.

45 S 3456. PROHIBITION AGAINST CANCELLATION OF POLICY WHEN MOTOR VEHICLE  
46 IS USED OR OPERATED THROUGH A TRANSPORTATION NETWORK COMPANY PROGRAM.

47 (A) AN INSURER SHALL NOT CANCEL AN EXISTING MOTOR VEHICLE INSURANCE  
48 POLICY SOLELY ON THE BASIS THAT THE MOTOR VEHICLE COVERED BY THE INSUR-  
49 ANCE HAS BEEN MADE AVAILABLE PURSUANT TO A TRANSPORTATION NETWORK COMPA-  
50 NY PROGRAM IN COMPLIANCE WITH ARTICLE FORTY-FOUR-B OF THE VEHICLE AND  
51 TRAFFIC LAW.

52 (B) THE DEFINITIONS SET FORTH IN SECTION THREE THOUSAND FOUR HUNDRED  
53 FIFTY-FIVE OF THIS ARTICLE SHALL APPLY TO THIS SECTION.

54 S 6-a. Subsection (g) of section 5102 of the insurance law is amended  
55 to read as follows:

1 (g) "Insurer" means the insurance company or self-insurer, as the case  
2 may be, which provides the financial security required by article six  
3 [or], eight, OR FORTY-FOUR-B of the vehicle and traffic law.

4 S 7. Subsection (b) of section 5103 of the insurance law is amended by  
5 adding a new paragraph 4 to read as follows:

6 (4) IS INJURED WHILE A MOTOR VEHICLE IS BEING USED OR OPERATED BY A  
7 TNC DRIVER PURSUANT TO ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC  
8 LAW, PROVIDED, HOWEVER, THAT ONLY THE INSURER ISSUING THE OWNER'S POLICY  
9 OF LIABILITY INSURANCE PROVIDING COVERAGE FOR THE MOTOR VEHICLE BEING  
10 OPERATED BY A TNC DRIVER MAY EXCLUDE SUCH COVERAGE AND AN INSURER MAY  
11 NOT INCLUDE THIS EXCLUSION IN A POLICY USED TO SATISFY THE REQUIREMENTS  
12 UNDER ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

13 S 8. Subsection (d) of section 5106 of the insurance law, as added by  
14 chapter 452 of the laws of 2005, is amended to read as follows:

15 (d) [Where] (1) EXCEPT AS PROVIDED IN PARAGRAPH TWO OF THIS  
16 SUBSECTION, WHERE there is reasonable belief more than one insurer would  
17 be the source of first party benefits, the insurers may agree among  
18 themselves, if there is a valid basis therefor, that one of them will  
19 accept and pay the claim initially. If there is no such agreement, then  
20 the first insurer to whom notice of claim is given shall be responsible  
21 for payment. Any such dispute shall be resolved in accordance with the  
22 arbitration procedures established pursuant to section five thousand one  
23 hundred five of this article and [regulation] REGULATIONS as promulgated  
24 by the superintendent, and any insurer paying first-party benefits shall  
25 be reimbursed by other insurers for their proportionate share of the  
26 costs of the claim and the allocated expenses of processing the claim,  
27 in accordance with the provisions entitled "other coverage" contained in  
28 regulation and the provisions entitled "other sources of first-party  
29 benefits" contained in regulation. If there is no such insurer and the  
30 motor vehicle accident occurs in this state, then an applicant who is a  
31 qualified person as defined in article fifty-two of this chapter shall  
32 institute the claim against THE motor vehicle accident indemnification  
33 corporation.

34 (2) A GROUP POLICY ISSUED PURSUANT TO SECTION THREE THOUSAND FOUR  
35 HUNDRED FIFTY-FIVE OF THIS CHAPTER SHALL PROVIDE FIRST PARTY BENEFITS  
36 WHEN A DISPUTE EXISTS AS TO WHETHER A DRIVER WAS USING OR OPERATING A  
37 MOTOR VEHICLE IN CONNECTION WITH A TRANSPORTATION NETWORK COMPANY WHEN  
38 LOSS, DAMAGE, INJURY, OR DEATH OCCURS. A TRANSPORTATION NETWORK COMPANY  
39 SHALL NOTIFY THE INSURER THAT ISSUED THE OWNER'S POLICY OF LIABILITY  
40 INSURANCE OF THE DISPUTE WITHIN TEN BUSINESS DAYS OF BECOMING AWARE THAT  
41 THE DISPUTE EXISTS. WHEN THERE IS A DISPUTE, THE GROUP INSURER LIABLE  
42 FOR THE PAYMENT OF FIRST PARTY BENEFITS UNDER A GROUP POLICY SHALL HAVE  
43 THE RIGHT TO RECOVER THE AMOUNT PAID FROM THE DRIVER'S INSURER TO THE  
44 EXTENT THAT THE DRIVER WOULD HAVE BEEN LIABLE TO PAY DAMAGES IN AN  
45 ACTION AT LAW.

46 S 9. Subsection (b) of section 2305 of the insurance law, as amended  
47 by chapter 11 of the laws of 2008, paragraph 13 as amended by chapter  
48 136 of the laws of 2008, is amended to read as follows:

49 (b) rate filings for:

50 (1) workers' compensation insurance;

51 (2) motor vehicle insurance, or surety bonds, required by section  
52 three hundred seventy of the vehicle and traffic law OR ARTICLE  
53 FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW;

54 (3) joint underwriting;

55 (4) motor vehicle assigned risk insurance;



1 (5) insurance issued by the New York Property Insurance Underwriting  
2 Association;  
3 (6) risk sharing plans authorized by section two thousand three  
4 hundred eighteen of this article;  
5 (7) title insurance;  
6 (8) medical malpractice liability insurance;  
7 (9) insurance issued by the Medical Malpractice Insurance Association;  
8 (10) mortgage guaranty insurance;  
9 (11) credit property insurance, as defined in section two thousand  
10 three hundred forty of this article; [and]

11 (12) gap insurance; AND  
12 (13) [Private] PRIVATE passenger automobile insurance, except as  
13 provided in section two thousand three hundred fifty of this article[.],  
14 shall be filed with the superintendent and shall not become effective  
15 unless either the filing has been approved or thirty days, which the  
16 superintendent may with cause extend an additional thirty days and with  
17 further cause extend an additional fifteen days, have elapsed and the  
18 filing has not been disapproved as failing to meet the requirements of  
19 this article, including the standard that rates be not otherwise unrea-  
20 sonable. After a rate filing becomes effective, the filing and support-  
21 ing information shall be open to public inspection. If a filing is  
22 disapproved, THEN notice of such disapproval order shall be given, spec-  
23 ifying in what respects such filing fails to meet the requirements of  
24 this article. Upon his or her request, the superintendent shall be  
25 provided with support and assistance from the workers' compensation  
26 board and other state agencies and departments with appropriate juris-  
27 diction. The loss cost multiplier for each insurer providing coverage  
28 for workers' compensation, as defined by regulation promulgated by the  
29 superintendent, shall be promptly displayed on the department's website  
30 and updated in the event of any change.

31 S 10. Paragraph 1 of subsection (a) of section 3425 of the insurance  
32 law, as amended by chapter 235 of the laws of 1989, is amended to read  
33 as follows:

34 (1) "Covered policy" means a contract of insurance, referred to in  
35 this section as "automobile insurance", issued or issued for delivery in  
36 this state, on a risk located or resident in this state, insuring  
37 against losses or liabilities arising out of the ownership, operation,  
38 or use of a motor vehicle, predominantly used for non-business purposes,  
39 when a natural person is the named insured under the policy of automo-  
40 bile insurance; PROVIDED, HOWEVER, THAT THE USE OR OPERATION OF THE  
41 MOTOR VEHICLE BY A TRANSPORTATION NETWORK DRIVER AS A TNC VEHICLE IN  
42 ACCORDANCE WITH ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW  
43 SHALL NOT BE INCLUDED IN DETERMINING WHETHER THE MOTOR VEHICLE IS BEING  
44 USED PREDOMINANTLY FOR NON-BUSINESS PURPOSES.

45 S 11. Subdivisions 1 and 3 of section 160-cc of the executive law, as  
46 added by chapter 49 of the laws of 1999, are amended and a new subdivi-  
47 sion 10 is added to read as follows:

48 1. "Black car operator" means the registered owner of a for-hire vehi-  
49 cle, or a driver designated by such registered owner to operate the  
50 registered owner's for-hire vehicle as the registered owner's authorized  
51 designee, whose injury arose out of and in the course of providing  
52 covered services to a central dispatch facility that is a registered  
53 member of the New York black car operators' injury compensation fund,  
54 inc.

55 (A) FOR THE PURPOSES OF THE ADMINISTRATION OF THIS ARTICLE, A BLACK  
56 CAR OPERATOR SHALL INCLUDE A TNC DRIVER THAT IS ENGAGED IN A TNC PREAR-

1 RANGED TRIP. FOR THE PURPOSES OF THIS ARTICLE, THE TERMS "TNC DRIVER",  
2 "TNC PREARRANGED TRIP" AND "DIGITAL NETWORK" SHALL HAVE THE SAME MEAN-  
3 INGS AS SUCH TERMS ARE DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE  
4 AND TRAFFIC LAW.

5 (B) FOR THE PURPOSES OF THE ADMINISTRATION OF THIS ARTICLE, A BLACK  
6 CAR OPERATOR SHALL INCLUDE A TNC DRIVER THAT IS LOGGED ONTO A TNC  
7 DIGITAL NETWORK AND IS NOT ENGAGED IN A TNC PREARRANGED TRIP BUT IS  
8 ENGAGED IN AN ACTIVITY REASONABLY RELATED TO DRIVING AS A TNC DRIVER  
9 TAKING INTO CONSIDERATION THE TIME, PLACE AND MANNER OF SUCH ACTIVITY,  
10 HOWEVER, THAT THIS PARAGRAPH SHALL ONLY APPLY TO A TNC DRIVER PERMITTED  
11 PURSUANT TO ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW WITHIN  
12 TWELVE MONTHS OF THE EFFECTIVE DATE OF THIS PARAGRAPH.

13 3. "Central dispatch facility" means a central facility, wherever  
14 located, INCLUDING A TRANSPORTATION NETWORK COMPANY, that (a) dispatches  
15 the registered owners of for-hire vehicles, or drivers acting as the  
16 designated agent of such registered owners, to both pick-up and  
17 discharge passengers in the state, and (b) has certified to the satis-  
18 faction of the department of state that more than ninety percent of its  
19 for-hire business is on a payment basis other than direct cash payment  
20 by a passenger; provided, however, that a central dispatch facility  
21 shall not include any such central facility that owns fifty percent or  
22 more of the cars it dispatches. FOR THE PURPOSES OF ADMINISTRATION OF  
23 THIS ARTICLE, CENTRAL DISPATCH FACILITY SHALL INCLUDE TNC PREARRANGED  
24 TRIP AS DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

25 10. "TRANSPORTATION NETWORK COMPANY" OR "TNC" SHALL HAVE THE SAME  
26 MEANING AS THE TERM IS DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE  
27 AND TRAFFIC LAW.

28 S 12. Subdivision 1 of section 160-ff of the executive law, as added  
29 by chapter 49 of the laws of 1999, is amended to read as follows:

30 1. [Within thirty days of the effective date of this article, there]  
31 THERE shall be appointed a board of directors of the fund, consisting of  
32 [nine] ELEVEN directors, [five] SIX of whom shall be selected by the  
33 black car assistance corporation; [three] FOUR of whom shall be chosen  
34 by the governor, including one chosen upon the recommendation of the  
35 temporary president of the senate and one chosen upon the recommendation  
36 of the speaker of the assembly; ONE CHOSEN TO REPRESENT A TRANSPORTATION  
37 NETWORK COMPANY AS DEFINED BY ARTICLE FORTY-FOUR-B OF THE VEHICLE AND  
38 TRAFFIC LAW; and one of whom shall be the secretary, who shall serve ex  
39 officio. [The initial terms of directors other than the secretary shall  
40 be staggered, the three directors appointed by the governor serving for  
41 initial terms of three years from the effective date of this article,  
42 three of the remaining five directors serving for initial terms of two  
43 years from the effective date of this article and two directors serving  
44 for initial terms of one year from the effective date of this article]  
45 THE GOVERNOR SHALL APPOINT THE DIRECTOR CHOSEN TO REPRESENT A TRANSPOR-  
46 TATION NETWORK COMPANY NO LATER THAN DECEMBER THIRTY-FIRST, TWO THOUSAND  
47 SEVENTEEN. The [subsequent] terms of all directors other than the secre-  
48 tary shall be three years. The board shall have the power to remove for  
49 cause any director other than the secretary.

50 S 13. Subdivision 3 of section 160-jj of the executive law, as added  
51 by chapter 49 of the laws of 1999, is amended to read as follows:

52 3. No local licensing authority or the department OR THE NEW YORK  
53 STATE DEPARTMENT OF MOTOR VEHICLES shall issue, continue or renew any  
54 license or registration certificate, OR PERMIT for the operation of any  
55 central dispatch facility unless such central dispatch facility, as a  
56 condition of maintaining its license and/or registration certificate,

1 adds the surcharge required by this section to every invoice and billing  
2 for covered services sent to, and every credit payment for covered  
3 services received from, its customers and pays to the fund no later than  
4 the fifteenth day of each month the total surcharges due pursuant to  
5 this article.

6 S 14. The general municipal law is amended by adding a new section 182  
7 to read as follows:

8 S 182. LOCAL REGULATION OF TRANSPORTATION NETWORK COMPANIES. 1. EVERY  
9 COUNTY, AND ANY CITY WITH A POPULATION OF ONE HUNDRED THOUSAND OR MORE  
10 AS OF THE LAST DECENNIAL CENSUS, MAY PROHIBIT THE PICK-UP OF ANY PERSON  
11 BY A TRANSPORTATION NETWORK COMPANY AS DEFINED BY ARTICLE FORTY-FOUR-B  
12 OF THE VEHICLE AND TRAFFIC LAW WITHIN THEIR GEOGRAPHIC BOUNDARIES PURSU-  
13 ANT TO THE ENACTMENT OF A LOCAL LAW OR ORDINANCE, EXCEPT THAT ANY COUNTY  
14 THAT CONTAINS A CITY WITH A POPULATION OF ONE HUNDRED THOUSAND OR MORE  
15 AS OF THE LAST DECENNIAL CENSUS SHALL ONLY BE AUTHORIZED TO PROHIBIT THE  
16 PICK-UP OF ANY PERSON BY A TRANSPORTATION NETWORK COMPANY AS DEFINED BY  
17 ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW OUTSIDE OF THE  
18 GEOGRAPHIC BOUNDARIES OF SUCH CITY.

19 2. ANY COUNTY OR CITY THAT ENACTS A LOCAL LAW OR ORDINANCE PURSUANT TO  
20 THIS SECTION OR REPEALS SUCH LOCAL LAW OR ORDINANCE SHALL NOTIFY THE  
21 DEPARTMENT OF MOTOR VEHICLES. SUCH DEPARTMENT SHALL MAINTAIN ON ITS  
22 PUBLIC WEBSITE A LIST OF ALL COUNTIES AND CITIES THAT HAVE ENACTED A  
23 LOCAL LAW OR ORDINANCE PURSUANT TO THIS SECTION AND SHALL REMOVE FROM  
24 SUCH LIST ANY COUNTY OR CITY THAT REPEALS SUCH LOCAL LAW OR ORDINANCE.

25 3. THIS SECTION SHALL NOT APPLY TO A CITY WITH A POPULATION OF ONE  
26 MILLION OR MORE.

27 S 15. Subdivision 1 of section 171-a of the tax law, as amended by  
28 chapter 90 of the laws of 2014, is amended to read as follows:

29 1. All taxes, interest, penalties and fees collected or received by  
30 the commissioner or the commissioner's duly authorized agent under arti-  
31 cles nine (except section one hundred eighty-two-a thereof and except as  
32 otherwise provided in section two hundred five thereof), nine-A,  
33 twelve-A (except as otherwise provided in section two hundred eighty-  
34 four-d thereof), thirteen, thirteen-A (except as otherwise provided in  
35 section three hundred twelve thereof), eighteen, nineteen, twenty  
36 (except as otherwise provided in section four hundred eighty-two there-  
37 of), twenty-B, twenty-one, twenty-two, twenty-six, [twenty-six-B,] twen-  
38 ty-eight (except as otherwise provided in section eleven hundred two or  
39 eleven hundred three thereof), twenty-eight-A, TWENTY-NINE-B, thirty-one  
40 (except as otherwise provided in section fourteen hundred twenty-one  
41 thereof), thirty-three and thirty-three-A of this chapter shall be  
42 deposited daily in one account with such responsible banks, banking  
43 houses or trust companies as may be designated by the comptroller, to  
44 the credit of the comptroller. Such an account may be established in one  
45 or more of such depositories. Such deposits shall be kept separate and  
46 apart from all other money in the possession of the comptroller. The  
47 comptroller shall require adequate security from all such depositories.  
48 Of the total revenue collected or received under such articles of this  
49 chapter, the comptroller shall retain in the comptroller's hands such  
50 amount as the commissioner may determine to be necessary for refunds or  
51 reimbursements under such articles of this chapter out of which amount  
52 the comptroller shall pay any refunds or reimbursements to which taxpay-  
53 ers shall be entitled under the provisions of such articles of this  
54 chapter. The commissioner and the comptroller shall maintain a system of  
55 accounts showing the amount of revenue collected or received from each  
56 of the taxes imposed by such articles. The comptroller, after reserving

1 the amount to pay such refunds or reimbursements, shall, on or before  
2 the tenth day of each month, pay into the state treasury to the credit  
3 of the general fund all revenue deposited under this section during the  
4 preceding calendar month and remaining to the comptroller's credit on  
5 the last day of such preceding month, (i) except that the comptroller  
6 shall pay to the state department of social services that amount of  
7 overpayments of tax imposed by article twenty-two of this chapter and  
8 the interest on such amount which is certified to the comptroller by the  
9 commissioner as the amount to be credited against past-due support  
10 pursuant to subdivision six of section one hundred seventy-one-c of this  
11 article, (ii) and except that the comptroller shall pay to the New York  
12 state higher education services corporation and the state university of  
13 New York or the city university of New York respectively that amount of  
14 overpayments of tax imposed by article twenty-two of this chapter and  
15 the interest on such amount which is certified to the comptroller by the  
16 commissioner as the amount to be credited against the amount of defaults  
17 in repayment of guaranteed student loans and state university loans or  
18 city university loans pursuant to subdivision five of section one  
19 hundred seventy-one-d and subdivision six of section one hundred seven-  
20 ty-one-e of this article, (iii) and except further that, notwithstanding  
21 any law, the comptroller shall credit to the revenue arrearage account,  
22 pursuant to section ninety-one-a of the state finance law, that amount  
23 of overpayment of tax imposed by article nine, nine-A, twenty-two, thir-  
24 ty, thirty-A, thirty-B or thirty-three of this chapter, and any interest  
25 thereon, which is certified to the comptroller by the commissioner as  
26 the amount to be credited against a past-due legally enforceable debt  
27 owed to a state agency pursuant to paragraph (a) of subdivision six of  
28 section one hundred seventy-one-f of this article, provided, however, he  
29 shall credit to the special offset fiduciary account, pursuant to  
30 section ninety-one-c of the state finance law, any such amount credita-  
31 ble as a liability as set forth in paragraph (b) of subdivision six of  
32 section one hundred seventy-one-f of this article, (iv) and except  
33 further that the comptroller shall pay to the city of New York that  
34 amount of overpayment of tax imposed by article nine, nine-A, twenty-  
35 two, thirty, thirty-A, thirty-B or thirty-three of this chapter and any  
36 interest thereon that is certified to the comptroller by the commission-  
37 er as the amount to be credited against city of New York tax warrant  
38 judgment debt pursuant to section one hundred seventy-one-l of this  
39 article, (v) and except further that the comptroller shall pay to a  
40 non-obligated spouse that amount of overpayment of tax imposed by arti-  
41 cle twenty-two of this chapter and the interest on such amount which has  
42 been credited pursuant to section one hundred seventy-one-c, one hundred  
43 seventy-one-d, one hundred seventy-one-e, one hundred seventy-one-f or  
44 one hundred seventy-one-l of this article and which is certified to the  
45 comptroller by the commissioner as the amount due such non-obligated  
46 spouse pursuant to paragraph six of subsection (b) of section six  
47 hundred fifty-one of this chapter; and (vi) the comptroller shall deduct  
48 a like amount which the comptroller shall pay into the treasury to the  
49 credit of the general fund from amounts subsequently payable to the  
50 department of social services, the state university of New York, the  
51 city university of New York, or the higher education services corpo-  
52 ration, or the revenue arrearage account or special offset fiduciary  
53 account pursuant to section ninety-one-a or ninety-one-c of the state  
54 finance law, as the case may be, whichever had been credited the amount  
55 originally withheld from such overpayment, and (vii) with respect to  
56 amounts originally withheld from such overpayment pursuant to section

1 one hundred seventy-one-l of this article and paid to the city of New  
2 York, the comptroller shall collect a like amount from the city of New  
3 York.

4 S 16. Subdivision 1 of section 171-a of the tax law, as amended by  
5 section 54 of part A of chapter 59 of the laws of 2014, is amended to  
6 read as follows:

7 1. All taxes, interest, penalties and fees collected or received by  
8 the commissioner or the commissioner's duly authorized agent under arti-  
9 cles nine (except section one hundred eighty-two-a thereof and except as  
10 otherwise provided in section two hundred five thereof), nine-A,  
11 twelve-A (except as otherwise provided in section two hundred eighty-  
12 four-d thereof), thirteen, thirteen-A (except as otherwise provided in  
13 section three hundred twelve thereof), eighteen, nineteen, twenty  
14 (except as otherwise provided in section four hundred eighty-two there-  
15 of), twenty-one, twenty-two, twenty-six, [twenty-six-B,] twenty-eight  
16 (except as otherwise provided in section eleven hundred two or eleven  
17 hundred three thereof), twenty-eight-A, TWENTY-NINE-B, thirty-one  
18 (except as otherwise provided in section fourteen hundred twenty-one  
19 thereof), thirty-three and thirty-three-A of this chapter shall be  
20 deposited daily in one account with such responsible banks, banking  
21 houses or trust companies as may be designated by the comptroller, to  
22 the credit of the comptroller. Such an account may be established in one  
23 or more of such depositories. Such deposits shall be kept separate and  
24 apart from all other money in the possession of the comptroller. The  
25 comptroller shall require adequate security from all such depositories.  
26 Of the total revenue collected or received under such articles of this  
27 chapter, the comptroller shall retain in the comptroller's hands such  
28 amount as the commissioner may determine to be necessary for refunds or  
29 reimbursements under such articles of this chapter out of which amount  
30 the comptroller shall pay any refunds or reimbursements to which taxpay-  
31 ers shall be entitled under the provisions of such articles of this  
32 chapter. The commissioner and the comptroller shall maintain a system of  
33 accounts showing the amount of revenue collected or received from each  
34 of the taxes imposed by such articles. The comptroller, after reserving  
35 the amount to pay such refunds or reimbursements, shall, on or before  
36 the tenth day of each month, pay into the state treasury to the credit  
37 of the general fund all revenue deposited under this section during the  
38 preceding calendar month and remaining to the comptroller's credit on  
39 the last day of such preceding month, (i) except that the comptroller  
40 shall pay to the state department of social services that amount of  
41 overpayments of tax imposed by article twenty-two of this chapter and  
42 the interest on such amount which is certified to the comptroller by the  
43 commissioner as the amount to be credited against past-due support  
44 pursuant to subdivision six of section one hundred seventy-one-c of this  
45 article, (ii) and except that the comptroller shall pay to the New York  
46 state higher education services corporation and the state university of  
47 New York or the city university of New York respectively that amount of  
48 overpayments of tax imposed by article twenty-two of this chapter and  
49 the interest on such amount which is certified to the comptroller by the  
50 commissioner as the amount to be credited against the amount of defaults  
51 in repayment of guaranteed student loans and state university loans or  
52 city university loans pursuant to subdivision five of section one  
53 hundred seventy-one-d and subdivision six of section one hundred seven-  
54 ty-one-e of this article, (iii) and except further that, notwithstanding  
55 any law, the comptroller shall credit to the revenue arrearage account,  
56 pursuant to section ninety-one-a of the state finance law, that amount

1 of overpayment of tax imposed by article nine, nine-A, twenty-two, thir-  
2 ty, thirty-A, thirty-B or thirty-three of this chapter, and any interest  
3 thereon, which is certified to the comptroller by the commissioner as  
4 the amount to be credited against a past-due legally enforceable debt  
5 owed to a state agency pursuant to paragraph (a) of subdivision six of  
6 section one hundred seventy-one-f of this article, provided, however, he  
7 shall credit to the special offset fiduciary account, pursuant to  
8 section ninety-one-c of the state finance law, any such amount credita-  
9 ble as a liability as set forth in paragraph (b) of subdivision six of  
10 section one hundred seventy-one-f of this article, (iv) and except  
11 further that the comptroller shall pay to the city of New York that  
12 amount of overpayment of tax imposed by article nine, nine-A, twenty-  
13 two, thirty, thirty-A, thirty-B or thirty-three of this chapter and any  
14 interest thereon that is certified to the comptroller by the commission-  
15 er as the amount to be credited against city of New York tax warrant  
16 judgment debt pursuant to section one hundred seventy-one-l of this  
17 article, (v) and except further that the comptroller shall pay to a  
18 non-obligated spouse that amount of overpayment of tax imposed by arti-  
19 cle twenty-two of this chapter and the interest on such amount which has  
20 been credited pursuant to section one hundred seventy-one-c, one hundred  
21 seventy-one-d, one hundred seventy-one-e, one hundred seventy-one-f or  
22 one hundred seventy-one-l of this article and which is certified to the  
23 comptroller by the commissioner as the amount due such non-obligated  
24 spouse pursuant to paragraph six of subsection (b) of section six  
25 hundred fifty-one of this chapter; and (vi) the comptroller shall deduct  
26 a like amount which the comptroller shall pay into the treasury to the  
27 credit of the general fund from amounts subsequently payable to the  
28 department of social services, the state university of New York, the  
29 city university of New York, or the higher education services corpo-  
30 ration, or the revenue arrearage account or special offset fiduciary  
31 account pursuant to section ninety-one-a or ninety-one-c of the state  
32 finance law, as the case may be, whichever had been credited the amount  
33 originally withheld from such overpayment, and (vii) with respect to  
34 amounts originally withheld from such overpayment pursuant to section  
35 one hundred seventy-one-l of this article and paid to the city of New  
36 York, the comptroller shall collect a like amount from the city of New  
37 York.

38 S 17. Paragraph 34 of subdivision (b) of section 1101 of the tax law,  
39 as amended by section 1 of part WW of chapter 57 of the laws of 2010, is  
40 amended to read as follows:

41 (34) Transportation service. The service of transporting, carrying or  
42 conveying a person or persons by livery service; whether to a single  
43 destination or to multiple destinations; and whether the compensation  
44 paid by or on behalf of the passenger is based on mileage, trip, time  
45 consumed or any other basis. A service that begins and ends in this  
46 state is deemed intra-state even if it passes outside this state during  
47 a portion of the trip. However, transportation service does not include  
48 transportation of persons in connection with funerals. Transportation  
49 service includes transporting, carrying, or conveying property of the  
50 person being transported, whether owned by or in the care of such  
51 person. NOTWITHSTANDING THE FOREGOING, TRANSPORTATION SERVICE SHALL NOT  
52 INCLUDE A TNC PREARRANGED TRIP, AS THAT TERM IS DEFINED IN ARTICLE  
53 FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW, THAT IS SUBJECT TO TAX  
54 UNDER ARTICLE TWENTY-NINE-B OF THIS CHAPTER. In addition to what is  
55 included in the definition of "receipt" in paragraph three of this  
56 subdivision, receipts from the sale of transportation service subject to

1 tax include any handling, carrying, baggage, booking service, adminis-  
 2 trative, mark-up, additional, or other charge, of any nature, made in  
 3 conjunction with the transportation service. Livery service means  
 4 service provided by limousine, black car or other motor vehicle, with a  
 5 driver, but excluding (i) a taxicab, (ii) a bus, and (iii), in a city of  
 6 one million or more in this state, an affiliated livery vehicle, and  
 7 excluding any scheduled public service. Limousine means a vehicle with a  
 8 seating capacity of up to fourteen persons, excluding the driver. Black  
 9 car means a for-hire vehicle dispatched from a central facility. "Affil-  
 10 iated livery vehicle" means a for-hire motor vehicle with a seating  
 11 capacity of up to six persons, including the driver, other than a black  
 12 car or luxury limousine, that is authorized and licensed by the taxi and  
 13 limousine commission of a city of one million or more to be dispatched  
 14 by a base station located in such a city and regulated by such taxi and  
 15 limousine commission; and the charges for service provided by an affil-  
 16 iated livery vehicle are on the basis of flat rate, time, mileage, or  
 17 zones and not on a garage to garage basis.

18 S 18. The tax law is amended by adding a new article 29-B to read as  
 19 follows:

20 ARTICLE 29-B

21 STATE ASSESSMENT FEE ON TRANSPORTATION NETWORK COMPANY  
 22 PREARRANGED TRIPS

23 SECTION 1291. DEFINITIONS.

24 1292. IMPOSITION.

25 1293. PRESUMPTION.

26 1294. RETURNS AND PAYMENT OF STATE ASSESSMENT FEE.

27 1295. RECORDS TO BE KEPT.

28 1296. SECRECY OF RETURNS AND REPORTS.

29 1297. PRACTICE AND PROCEDURE.

30 1298. DEPOSIT AND DISPOSITION OF REVENUE.

31 S 1291. DEFINITIONS. (A) "PERSON" MEANS AN INDIVIDUAL, PARTNERSHIP,  
 32 LIMITED LIABILITY COMPANY, SOCIETY, ASSOCIATION, JOINT STOCK COMPANY,  
 33 CORPORATION, ESTATE, RECEIVER, TRUSTEE, ASSIGNEE, REFEREE OR ANY OTHER  
 34 PERSON ACTING IN A FIDUCIARY OR REPRESENTATIVE CAPACITY, WHETHER  
 35 APPOINTED BY A COURT OR OTHERWISE, ANY COMBINATION OF INDIVIDUALS AND  
 36 ANY OTHER FORM OF UNINCORPORATED ENTERPRISE OWNED OR CONDUCTED BY TWO OR  
 37 MORE PERSONS.

38 (B) "CITY" MEANS A CITY OF A MILLION OR MORE LOCATED IN THE METROPOL-  
 39 ITAN COMMUTER TRANSPORTATION DISTRICT ESTABLISHED BY SECTION TWELVE  
 40 HUNDRED SIXTY-TWO OF THE PUBLIC AUTHORITIES LAW.

41 (C) "TRANSPORTATION NETWORK COMPANY" OR "TNC" SHALL HAVE THE SAME  
 42 MEANING AS THE TERM IS DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE  
 43 AND TRAFFIC LAW.

44 (D) "TNC PREARRANGED TRIP" SHALL HAVE THE SAME MEANING AS THE TERM IS  
 45 DEFINED IN ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

46 (E) "TNC DRIVER" SHALL HAVE THE SAME MEANING AS THE TERM IS DEFINED IN  
 47 ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

48 (F) "TNC VEHICLE" SHALL HAVE THE SAME MEANING AS THE TERM IS DEFINED  
 49 IN ARTICLE FORTY-FOUR-B OF THE VEHICLE AND TRAFFIC LAW.

50 (G) "GROSS TRIP FARE" MEANS THE SUM OF THE BASE FARE CHARGE, DISTANCE  
 51 CHARGE AND TIME CHARGE FOR A COMPLETE TNC PREARRANGED TRIP AT THE APPLI-  
 52 CABLE RATE CHARGED BY THE TNC AT THE TIME SUCH TRIP IS ARRANGED.

53 S 1292. IMPOSITION. THERE IS HEREBY IMPOSED ON EVERY TNC A STATE  
 54 ASSESSMENT FEE OF 4% OF THE GROSS TRIP FARE OF EVERY TNC PREARRANGED  
 55 TRIP PROVIDED BY SUCH TNC THAT ORIGINATES ANYWHERE IN THE STATE OUTSIDE  
 56 THE CITY AND TERMINATES ANYWHERE IN THIS STATE.

1 S 1293. PRESUMPTION. FOR THE PURPOSE OF THE PROPER ADMINISTRATION OF  
2 THIS ARTICLE AND TO PREVENT EVASION OF THE STATE ASSESSMENT FEE IMPOSED  
3 BY THIS ARTICLE, IT SHALL BE PRESUMED THAT EVERY TNC PREARRANGED TRIP  
4 THAT ORIGINATES ANYWHERE IN THE STATE OUTSIDE THE CITY IS SUBJECT TO THE  
5 STATE ASSESSMENT FEE. THIS PRESUMPTION SHALL PREVAIL UNTIL THE CONTRARY  
6 IS PROVEN BY THE PERSON LIABLE FOR THE FEE.

7 S 1294. RETURNS AND PAYMENT OF STATE ASSESSMENT FEE. (A) EVERY PERSON  
8 LIABLE FOR THE STATE ASSESSMENT FEE IMPOSED BY THIS ARTICLE SHALL FILE A  
9 RETURN ON A CALENDAR-QUARTERLY BASIS WITH THE COMMISSIONER. EACH RETURN  
10 SHALL SHOW THE NUMBER OF TNC PREARRANGED TRIPS, THE TOTAL GROSS TRIP  
11 FARES AND THE AMOUNT OF FEES DUE THEREON IN THE QUARTER FOR WHICH THE  
12 RETURN IS FILED, TOGETHER WITH SUCH OTHER INFORMATION AS THE COMMISSION-  
13 ER MAY REQUIRE. THE RETURNS REQUIRED BY THIS SECTION SHALL BE FILED  
14 WITHIN THIRTY DAYS AFTER THE END OF THE QUARTERLY PERIOD COVERED THERE-  
15 BY. IF THE COMMISSIONER DEEMS IT NECESSARY IN ORDER TO ENSURE THE  
16 PAYMENT OF THE STATE ASSESSMENT FEE IMPOSED BY THIS ARTICLE, THE COMMIS-  
17 SIONER MAY REQUIRE RETURNS TO BE MADE FOR SHORTER PERIODS THAN  
18 PRESCRIBED BY THE FOREGOING PROVISIONS OF THIS SECTION, AND UPON SUCH  
19 DATES AS THE COMMISSIONER MAY SPECIFY. THE FORM OF RETURNS SHALL BE  
20 PRESCRIBED BY THE COMMISSIONER AND SHALL CONTAIN SUCH INFORMATION AS THE  
21 COMMISSIONER MAY DEEM NECESSARY FOR THE PROPER ADMINISTRATION OF THIS  
22 ARTICLE. THE COMMISSIONER MAY REQUIRE AMENDED RETURNS TO BE FILED WITHIN  
23 THIRTY DAYS AFTER NOTICE AND TO CONTAIN THE INFORMATION SPECIFIED IN THE  
24 NOTICE. THE COMMISSIONER MAY REQUIRE THAT THE RETURNS BE FILED ELECTRON-  
25 ICALLY.

26 (B) EVERY PERSON REQUIRED TO FILE A RETURN UNDER THIS ARTICLE SHALL,  
27 AT THE TIME OF FILING SUCH RETURN, PAY TO THE COMMISSIONER THE TOTAL OF  
28 ALL STATE ASSESSMENT FEES ON THE CORRECT NUMBER OF TRIPS SUBJECT TO SUCH  
29 FEE UNDER THIS ARTICLE. THE AMOUNT SO PAYABLE TO THE COMMISSIONER FOR  
30 THE PERIOD FOR WHICH A RETURN IS REQUIRED TO BE FILED SHALL BE DUE AND  
31 PAYABLE TO THE COMMISSIONER ON THE DATE SPECIFIED FOR THE FILING OF THE  
32 RETURN FOR SUCH PERIOD, WITHOUT REGARD TO WHETHER A RETURN IS FILED OR  
33 WHETHER THE RETURN THAT IS FILED CORRECTLY SHOWS THE CORRECT NUMBER OF  
34 TRIPS, GROSS TRIP FARES OR AMOUNT OF FEES DUE THEREON. THE COMMISSIONER  
35 MAY REQUIRE THAT THE FEE BE PAID ELECTRONICALLY.

36 S 1295. RECORDS TO BE KEPT. EVERY PERSON LIABLE FOR THE STATE ASSESS-  
37 MENT FEE IMPOSED BY THIS ARTICLE SHALL KEEP:

38 (A) RECORDS OF EVERY TNC PREARRANGED TRIP SUBJECT TO THE STATE ASSESS-  
39 MENT FEE UNDER THIS ARTICLE, AND OF ALL AMOUNTS PAID, CHARGED OR DUE  
40 THEREON, IN SUCH FORM AS THE COMMISSIONER MAY REQUIRE;

41 (B) TRUE AND COMPLETE COPIES, INCLUDING ELECTRONIC COPIES, OF ANY  
42 RECORDS REQUIRED TO BE KEPT BY A STATE AGENCY THAT IS AUTHORIZED TO  
43 PERMIT OR REGULATE A TNC; AND

44 (C) SUCH OTHER RECORDS AND INFORMATION AS THE COMMISSIONER MAY REQUIRE  
45 TO PERFORM HIS OR HER DUTIES UNDER THIS ARTICLE.

46 S 1296. SECRECY OF RETURNS AND REPORTS. (A) EXCEPT IN ACCORDANCE WITH  
47 PROPER JUDICIAL ORDER OR AS OTHERWISE PROVIDED BY LAW, IT SHALL BE  
48 UNLAWFUL FOR THE COMMISSIONER, ANY OFFICER OR EMPLOYEE OF THE DEPART-  
49 MENT, ANY PERSON ENGAGED OR RETAINED BY THE DEPARTMENT ON AN INDEPENDENT  
50 CONTRACT BASIS, OR ANY PERSON WHO IN ANY MANNER MAY ACQUIRE KNOWLEDGE OF  
51 THE CONTENTS OF A RETURN OR REPORT FILED WITH THE COMMISSIONER PURSUANT  
52 TO THIS ARTICLE, TO DIVULGE OR MAKE KNOWN IN ANY MANNER ANY PARTICULARS  
53 SET FORTH OR DISCLOSED IN ANY SUCH RETURN OR REPORT. THE OFFICERS  
54 CHARGED WITH THE CUSTODY OF SUCH RETURNS AND REPORTS SHALL NOT BE  
55 REQUIRED TO PRODUCE ANY OF THEM OR EVIDENCE OF ANYTHING CONTAINED IN  
56 THEM IN ANY ACTION OR PROCEEDING IN ANY COURT, EXCEPT ON BEHALF OF THE



1 COMMISSIONER IN AN ACTION OR PROCEEDING UNDER THE PROVISIONS OF THIS  
2 CHAPTER OR IN ANY OTHER ACTION OR PROCEEDING INVOLVING THE COLLECTION OF  
3 A STATE ASSESSMENT FEE DUE UNDER THIS ARTICLE TO WHICH THE STATE OR THE  
4 COMMISSIONER IS A PARTY OR A CLAIMANT, OR ON BEHALF OF ANY PARTY TO ANY  
5 ACTION, PROCEEDING OR HEARING UNDER THE PROVISIONS OF THIS ARTICLE WHEN  
6 THE RETURNS, REPORTS OR FACTS SHOWN THEREBY ARE DIRECTLY INVOLVED IN  
7 SUCH ACTION, PROCEEDING OR HEARING, IN ANY OF WHICH EVENTS THE COURT, OR  
8 IN THE CASE OF A HEARING, THE DIVISION OF TAX APPEALS MAY REQUIRE THE  
9 PRODUCTION OF, AND MAY ADMIT INTO EVIDENCE, SO MUCH OF SAID RETURNS,  
10 REPORTS OR OF THE FACTS SHOWN THEREBY, AS ARE PERTINENT TO THE ACTION,  
11 PROCEEDING OR HEARING AND NO MORE. THE COMMISSIONER OR THE DIVISION OF  
12 TAX APPEALS MAY, NEVERTHELESS, PUBLISH A COPY OR A SUMMARY OF ANY DECISION  
13 RENDERED AFTER A HEARING REQUIRED BY THIS ARTICLE. NOTHING IN THIS  
14 SECTION SHALL BE CONSTRUED TO PROHIBIT THE DELIVERY TO A PERSON WHO HAS  
15 FILED A RETURN OR REPORT OR TO SUCH PERSON'S DULY AUTHORIZED REPRESENTATIVE  
16 OF A CERTIFIED COPY OF ANY RETURN OR REPORT FILED IN CONNECTION  
17 WITH SUCH PERSON'S STATE ASSESSMENT FEE. NOR SHALL ANYTHING IN THIS  
18 SECTION BE CONSTRUED TO PROHIBIT THE PUBLICATION OF STATISTICS SO CLASSIFIED  
19 AS TO PREVENT THE IDENTIFICATION OF PARTICULAR RETURNS OR REPORTS  
20 AND THE ITEMS THEREOF, OR THE INSPECTION BY THE ATTORNEY GENERAL OR  
21 OTHER LEGAL REPRESENTATIVES OF THE STATE OF THE RETURN OR REPORT OF ANY  
22 PERSON REQUIRED TO PAY THE STATE ASSESSMENT FEE WHO SHALL BRING ACTION  
23 TO REVIEW THE STATE ASSESSMENT FEE BASED THEREON, OR AGAINST WHOM AN  
24 ACTION OR PROCEEDING UNDER THIS CHAPTER HAS BEEN RECOMMENDED BY THE  
25 COMMISSIONER OR THE ATTORNEY GENERAL OR HAS BEEN INSTITUTED, OR THE  
26 INSPECTION OF THE RETURNS OR REPORTS REQUIRED UNDER THIS ARTICLE BY THE  
27 COMPTROLLER OR DULY DESIGNATED OFFICER OR EMPLOYEE OF THE STATE DEPARTMENT  
28 OF AUDIT AND CONTROL, FOR PURPOSES OF THE AUDIT OF A REFUND OF ANY  
29 STATE ASSESSMENT FEE PAID BY A PERSON REQUIRED TO PAY THE STATE ASSESSMENT  
30 FEE UNDER THIS ARTICLE. PROVIDED, FURTHER, NOTHING IN THIS SECTION  
31 SHALL BE CONSTRUED TO PROHIBIT THE DISCLOSURE, IN SUCH MANNER AS THE  
32 COMMISSIONER DEEMS APPROPRIATE, OF THE NAMES AND OTHER APPROPRIATE IDENTIFYING  
33 INFORMATION OF THOSE PERSONS REQUIRED TO PAY STATE ASSESSMENT  
34 FEE UNDER THIS ARTICLE.

35 (B) NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION (A) OF THIS SECTION,  
36 THE COMMISSIONER, IN HIS OR HER DISCRETION, MAY REQUIRE OR PERMIT ANY OR  
37 ALL PERSONS LIABLE FOR ANY STATE ASSESSMENT FEE IMPOSED BY THIS ARTICLE,  
38 TO MAKE PAYMENT TO BANKS, BANKING HOUSES OR TRUST COMPANIES DESIGNATED  
39 BY THE COMMISSIONER AND TO FILE RETURNS WITH SUCH BANKS, BANKING HOUSES  
40 OR TRUST COMPANIES AS AGENTS OF THE COMMISSIONER, IN LIEU OF PAYING ANY  
41 SUCH STATE ASSESSMENT FEE DIRECTLY TO THE COMMISSIONER. HOWEVER, THE  
42 COMMISSIONER SHALL DESIGNATE ONLY SUCH BANKS, BANKING HOUSES OR TRUST  
43 COMPANIES AS ARE ALREADY DESIGNATED BY THE COMPTROLLER AS DEPOSITORIES  
44 PURSUANT TO SECTION TWELVE HUNDRED EIGHTY-EIGHT OF THIS CHAPTER.

45 (C) NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION (A) OF THIS SECTION,  
46 THE COMMISSIONER MAY PERMIT THE SECRETARY OF THE TREASURY OF THE UNITED  
47 STATES OR SUCH SECRETARY'S DELEGATE, OR THE AUTHORIZED REPRESENTATIVE OF  
48 EITHER SUCH OFFICER, TO INSPECT ANY RETURN FILED UNDER THIS ARTICLE, OR  
49 MAY FURNISH TO SUCH OFFICER OR SUCH OFFICER'S AUTHORIZED REPRESENTATIVE  
50 AN ABSTRACT OF ANY SUCH RETURN OR SUPPLY SUCH PERSON WITH INFORMATION  
51 CONCERNING AN ITEM CONTAINED IN ANY SUCH RETURN, OR DISCLOSED BY ANY  
52 INVESTIGATION OF LIABILITY UNDER THIS ARTICLE, BUT SUCH PERMISSION SHALL  
53 BE GRANTED OR SUCH INFORMATION FURNISHED ONLY IF THE LAWS OF THE UNITED  
54 STATES GRANT SUBSTANTIALLY SIMILAR PRIVILEGES TO THE COMMISSIONER OR  
55 OFFICER OF THIS STATE CHARGED WITH THE ADMINISTRATION OF THE STATE  
56 ASSESSMENT FEE IMPOSED BY THIS ARTICLE, AND ONLY IF SUCH INFORMATION IS

1 TO BE USED FOR PURPOSES OF TAX ADMINISTRATION ONLY; AND PROVIDED FURTHER  
2 THE COMMISSIONER MAY FURNISH TO THE COMMISSIONER OF INTERNAL REVENUE OR  
3 SUCH COMMISSIONER'S AUTHORIZED REPRESENTATIVE SUCH RETURNS FILED UNDER  
4 THIS ARTICLE AND OTHER TAX INFORMATION, AS SUCH COMMISSIONER MAY CONSID-  
5 ER PROPER, FOR USE IN COURT ACTIONS OR PROCEEDINGS UNDER THE INTERNAL  
6 REVENUE CODE, WHETHER CIVIL OR CRIMINAL, WHERE A WRITTEN REQUEST THERE-  
7 FOR HAS BEEN MADE TO THE COMMISSIONER BY THE SECRETARY OF THE TREASURY  
8 OF THE UNITED STATES OR SUCH SECRETARY'S DELEGATE, PROVIDED THE LAWS OF  
9 THE UNITED STATES GRANT SUBSTANTIALLY SIMILAR POWERS TO THE SECRETARY OF  
10 THE TREASURY OF THE UNITED STATES OR HIS OR HER DELEGATE. WHERE THE  
11 COMMISSIONER HAS SO AUTHORIZED USE OF RETURNS AND OTHER INFORMATION IN  
12 SUCH ACTIONS OR PROCEEDINGS, OFFICERS AND EMPLOYEES OF THE DEPARTMENT  
13 MAY TESTIFY IN SUCH ACTIONS OR PROCEEDINGS IN RESPECT TO SUCH RETURNS OR  
14 OTHER INFORMATION.

15 (D) RETURNS AND REPORTS FILED UNDER THIS ARTICLE SHALL BE PRESERVED  
16 FOR THREE YEARS AND THEREAFTER UNTIL THE COMMISSIONER ORDERS THEM TO BE  
17 DESTROYED.

18 (E) (1) ANY OFFICER OR EMPLOYEE OF THE STATE WHO WILLFULLY VIOLATES  
19 THE PROVISIONS OF SUBDIVISION (A) OF THIS SECTION SHALL BE DISMISSED  
20 FROM OFFICE AND BE INCAPABLE OF HOLDING ANY PUBLIC OFFICE FOR A PERIOD  
21 OF FIVE YEARS THEREAFTER.

22 (2) CROSS-REFERENCE: FOR CRIMINAL PENALTIES, SEE ARTICLE THIRTY-SEVEN  
23 OF THIS CHAPTER.

24 S 1297. PRACTICE AND PROCEDURE. THE PROVISIONS OF ARTICLE TWENTY-SEVEN  
25 OF THIS CHAPTER SHALL APPLY WITH RESPECT TO THE ADMINISTRATION OF AND  
26 PROCEDURE WITH RESPECT TO THE STATE ASSESSMENT FEE IMPOSED BY THIS ARTI-  
27 CLE IN THE SAME MANNER AND WITH THE SAME FORCE AND EFFECT AS IF THE  
28 LANGUAGE OF SUCH ARTICLE TWENTY-SEVEN HAD BEEN INCORPORATED IN FULL INTO  
29 THIS ARTICLE AND HAD EXPRESSLY REFERRED TO THE STATE ASSESSMENT FEE  
30 UNDER THIS ARTICLE, EXCEPT TO THE EXTENT THAT ANY SUCH PROVISION IS  
31 EITHER INCONSISTENT WITH A PROVISION OF THIS ARTICLE OR IS NOT RELEVANT  
32 TO THIS ARTICLE.

33 S 1298. DEPOSIT AND DISPOSITION OF REVENUE. ALL TAXES, FEES, INTEREST  
34 AND PENALTIES COLLECTED OR RECEIVED BY THE COMMISSIONER UNDER THIS ARTI-  
35 CLE SHALL BE DEPOSITED AND DISPOSED OF PURSUANT TO THE PROVISIONS OF  
36 SECTION ONE HUNDRED SEVENTY-ONE-A OF THIS CHAPTER.

37 S 19. The tax law is amended by adding a new section 1822 to read as  
38 follows:

39 S 1822. VIOLATION OF THE STATE ASSESSMENT FEE ON TRANSPORTATION  
40 NETWORK COMPANY PREARRANGED TRIPS. ANY WILLFUL ACT OR OMISSION BY ANY  
41 PERSON THAT CONSTITUTES A VIOLATION OF ANY PROVISION OF ARTICLE TWENTY-  
42 NINE-B OF THIS CHAPTER SHALL CONSTITUTE A MISDEMEANOR.

43 S 20. Section 1825 of the tax law, as amended by section 89 of part A  
44 of chapter 59 of the laws of 2014, is amended to read as follows:

45 S 1825. Violation of secrecy provisions of the tax law.--Any person  
46 who violates the provisions of subdivision (b) of section twenty-one,  
47 subdivision one of section two hundred two, subdivision eight of section  
48 two hundred eleven, subdivision (a) of section three hundred fourteen,  
49 subdivision one or two of section four hundred thirty-seven, section  
50 four hundred eighty-seven, subdivision one or two of section five  
51 hundred fourteen, subsection (e) of section six hundred ninety-seven,  
52 subsection (a) of section nine hundred ninety-four, subdivision (a) of  
53 section eleven hundred forty-six, section twelve hundred eighty-seven,  
54 SECTION TWELVE HUNDRED NINETY-SIX, subdivision (a) of section fourteen  
55 hundred eighteen, subdivision (a) of section fifteen hundred eighteen,  
56 subdivision (a) of section fifteen hundred fifty-five of this chapter,

1 and subdivision (e) of section 11-1797 of the administrative code of the  
2 city of New York shall be guilty of a misdemeanor.

3 S 21. 1. For purposes of this section, transportation network company  
4 shall mean a transportation network company as defined by article  
5 forty-four-B of the vehicle and traffic law.

6 2. There is hereby established the New York State Transportation  
7 Network Company Accessibility Task Force to analyze and advise on how to  
8 maximize effective and integrated transportation services for persons  
9 with disabilities in the transportation network company market. The New  
10 York State Transportation Network Company Accessibility Task Force shall  
11 consist of eleven members. Two members of the New York State Transporta-  
12 tion Network Company Accessibility Task Force shall be appointed by the  
13 speaker of the assembly. Two members of the New York State Transporta-  
14 tion Network Company Accessibility Task Force shall be appointed by the  
15 temporary president of the senate. Seven members of the New York State  
16 Transportation Network Company Accessibility Task Force shall be  
17 appointed by the governor and shall include, but not be limited to, two  
18 representatives of groups who serve persons with disabilities and two  
19 representatives from a transportation network company. The governor  
20 shall designate two chairpersons to the New York State Transportation  
21 Network Company Accessibility Task Force.

22 3. The New York State Transportation Network Company Accessibility  
23 Task Force shall study the demand responsive transportation marketplace  
24 and shall, in addition to any responsibilities assigned by the governor:  
25 (a) conduct a needs assessment concerning the demand for demand respon-  
26 sive accessible transportation; (b) conduct a resource assessment  
27 concerning the availability of accessible demand responsive transporta-  
28 tion services for persons with disabilities; (c) identify opportunities  
29 for, and barriers to, increasing accessible demand responsive transpor-  
30 tation service for persons with mobility disabilities; (d) propose stra-  
31 tegies for increasing accessible demand responsive transportation  
32 service for persons with disabilities; and (e) any other issues deter-  
33 mined important to the task force in establishing a recommendation  
34 pursuant to subdivision five of this section.

35 4. The New York State Transportation Network Company Accessibility  
36 Task Force shall hold public hearings and provide an opportunity for  
37 public comment on the activities described in subdivision two of this  
38 section.

39 5. The New York State Transportation Network Company Accessibility  
40 Task Force shall complete a report addressing the activities described  
41 in subdivision two of this section and make a recommendation, supported  
42 by such activities, recommending the amount of accessibility necessary  
43 for adequate transportation for disabled passengers in order to utilize  
44 such services and present such findings at a public meeting where its  
45 members shall accept such report, pursuant to majority vote of the task  
46 force, and present such report to the governor, the speaker of the  
47 assembly and the temporary president of the senate, and make such report  
48 publicly available for review on or before January first, two thousand  
49 nineteen.

50 6. Upon making the report described in subdivision five of this  
51 section, the New York State Transportation Network Company Accessibility  
52 Task Force shall be deemed dissolved.

53 S 22. 1. For purposes of this section, transportation network company  
54 ("TNC") and TNC driver shall have the same meaning as such terms are  
55 defined by article 44-B of the vehicle and traffic law. Region shall

1 mean one or more of the following named areas comprised of the counties  
2 indicated:

3 (a) Western New York: Allegany, Cattaraugus, Chautauqua, Erie, and  
4 Niagara counties;

5 (b) Finger Lakes: Genesee, Livingston, Monroe, Ontario, Orleans, Sene-  
6 ca, Wayne, Wyoming, and Yates counties;

7 (c) Southern Tier: Broome, Chemung, Chenango, Delaware, Schuyler,  
8 Steuben, Tioga, and Tompkins counties;

9 (d) Central New York: Cayuga, Cortland, Madison, Onondaga, and Oswego  
10 counties;

11 (e) Mohawk Valley: Fulton, Herkimer, Montgomery, Oneida, Otsego, and  
12 Schoharie counties;

13 (f) North Country: Clinton, Essex, Franklin, Hamilton, Jefferson,  
14 Lewis, and St. Lawrence counties;

15 (g) Capital Region: Albany, Columbia, Greene, Rensselaer, Saratoga,  
16 Schenectady, Warren, and Washington counties;

17 (h) Mid-Hudson: Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster,  
18 and Westchester counties; and

19 (i) Long Island: Nassau, and Suffolk counties.

20 2. (a) There is hereby established the New York State Transportation  
21 Network Company Review Board. The board shall consist of 16 members who  
22 shall be selected as follows:

23 (i) one shall be the commissioner of the department of motor vehicles  
24 or his or her designee who shall serve as the chairperson;

25 (ii) one shall be the superintendent of the department of financial  
26 services or his or her designee;

27 (iii) one shall be the commissioner of the department of labor or his  
28 or her designee;

29 (iv) one shall be the superintendent of the New York state police or  
30 his or her designee;

31 (v) one shall be the commissioner of the New York state department of  
32 economic development or his or her designee;

33 (vi) eleven shall be appointed by the governor; provided, however,  
34 that one shall be a representative of the New York black car operators'  
35 injury compensation fund inc., one shall be a representative of a trans-  
36 portation network company, and all regions as provided for in subdivi-  
37 sion 1 of this section shall be represented;

38 (vii) three of such representatives of regions shall be appointed upon  
39 recommendation of the temporary president of the senate; and

40 (viii) three of such representatives of regions shall be appointed  
41 upon recommendation of the speaker of the assembly.

42 (b) The regional members appointed shall represent cities with a popu-  
43 lation over one hundred thousand and a county contained within a region  
44 as provided for in subdivision 1 of this section; provided, however,  
45 that no two members shall represent the same region. Such cities shall  
46 not include a city with a population of one million or more.

47 3. The New York state transportation network company review board  
48 shall review issues related to the general operation of TNCs within the  
49 state. Such issues shall include, but not be limited to: (a) TNC licens-  
50 ing; (b) TNC Driver permitting; (c) geographic operation; (d) consumer  
51 protection; (e) economic impact; (f) anti-discrimination; (g) workers'  
52 compensation; (h) local government related impact; (i) public safety;  
53 (j) surge pricing; and (k) any other issue deemed appropriate and proper  
54 by the board.

55 4. The New York state transportation network company review board  
56 shall hold no more than four public hearings and provide an opportunity

1 for the public, local government officials, and other interested parties  
2 to comment on areas pertinent to the activities of the board. The New  
3 York state transportation network company review board shall complete  
4 and submit a comprehensive report addressing the activities described in  
5 subdivision three of this section on or before January 1, 2019. Upon  
6 formal adoption by the review board, such report shall be presented to  
7 the governor, the speaker of the assembly and the temporary president of  
8 the senate. Upon the presentation of such report, the New York state  
9 transportation network review board shall be deemed dissolved.

10 S 23. Severability clause. If any provision of this act or the appli-  
11 cation thereof is held invalid, such invalidity shall not affect other  
12 provisions or applications of this act which can be given effect without  
13 the invalid provision or application, and to this end the provisions of  
14 this act are declared to be severable.

15 S 24. Each agency that is designated to perform any function or duty  
16 pursuant to this act shall be authorized to establish rules and regu-  
17 lations for the administration and execution of such authority in a  
18 manner consistent with the provisions of this act and for the protection  
19 of the public, health, safety and welfare of persons within this state.

20 S 25. The New York black car operators injury compensation fund, inc.  
21 shall complete a study on the impact of the inclusion of TNC drivers on  
22 such fund no later than ten months from the effective date of this act.

23 S 26. This act shall take effect on the ninetieth day after it shall  
24 have become a law; provided that the amendments to subdivision 1 of  
25 section 171-a of the tax law made by section fifteen of this act shall  
26 not affect the expiration of such subdivision and shall expire there-  
27 with, when upon such date the provisions of section sixteen of this act  
28 shall take effect; provided however that coverage provided pursuant to  
29 paragraph (b) of subdivision 1 of section 160-cc of the executive law as  
30 added by section eleven of this act shall be deemed repealed one year  
31 from the effective date of this act; provided, further, that any TNC  
32 driver permitted to operate prior to the repeal of such paragraph shall  
33 not see a reduction in coverage.

34 PART BBB

35 Section 1. County-wide shared services property tax savings plan. 1.  
36 Notwithstanding the provisions of the municipal home rule law, the  
37 alternative county government law, or any other general, special or  
38 local law to the contrary, the chief executive officer of each county  
39 outside of a city of one million or more shall prepare a property tax  
40 savings plan for shared, coordinated and efficient services among the  
41 county, cities, towns and villages within such county.

42 Such plan may include school districts, boards of cooperative educa-  
43 tional services, and special improvement districts within such county if  
44 the school district, board of cooperative educational services, or  
45 special improvement district has a representative on the shared services  
46 panel.

47 2. a. There shall be a shared services panel in each county consisting  
48 of the chief executive officer of the county, who shall serve as chair,  
49 and one representative from each city, town, and village in the county.

50 b. The chief executive officer of each town, city and village shall be  
51 the representative to the shared services panel and shall be the mayor,  
52 if a city or a village, or shall be the supervisor, if a town.

53 c. The chief executive officer of the county may invite any school  
54 district, any board of cooperative educational services, and/or any

1 special improvement district in the county to participate in the coun-  
2 ty-wide shared services property tax savings plan. Upon such invitation,  
3 the governing body of such school district, board of cooperative educa-  
4 tional services, and/or a special improvement district may accept such  
5 invitation by selecting a representative of such governing body, by  
6 majority vote, to serve as a member of the shared services panel.

7 d. In the development of the county-wide shared services property tax  
8 savings plan, the chief executive officer of the county shall regularly  
9 consult with, and take recommendations from, all the representatives of  
10 the shared services panel, as well as with and from the representative  
11 of each collective bargaining unit of the county and the cities, towns,  
12 and villages as well as from the representative of each collective  
13 bargaining unit of any participating school district, board of cooper-  
14 ative educational services and special improvement district.

15 3. Public input, as well as input from civic, business, labor, and  
16 community leaders, shall be accepted by the chief executive officer, the  
17 county legislative body and the shared services panel on the proposed  
18 county-wide shared services property tax savings plan. To facilitate  
19 such input, three or more public hearings shall be arranged to be held  
20 within the county. All such public hearings shall be conducted prior to  
21 the submission of the county-wide shared services property tax savings  
22 plan to a vote of the shared services panel, and public notice of all  
23 such hearings shall be provided at least one week prior in the manner  
24 prescribed in subdivision 1 of section 104 of the public officers law.  
25 Civic, business, labor, and community leaders, as well as members of the  
26 public, shall be permitted to provided public testimony at any such  
27 hearings.

28 4. a. Such property tax savings plan shall contain new recurring prop-  
29 erty tax savings through actions such as, but not limited to, the elimi-  
30 nation of duplicative services; shared services, such as joint purchas-  
31 ing, shared highway equipment, shared storage facilities, shared plowing  
32 services, and energy and insurance purchasing cooperatives; reduction in  
33 back office administrative overhead; and better coordination of  
34 services.

35 b. The chief executive officer of the county shall submit such proper-  
36 ty tax savings plan to the county legislative body no later than August  
37 first, two thousand seventeen. Such property tax savings plan shall be  
38 accompanied by a certification as to the accuracy of the savings  
39 contained therein.

40 c. The county legislative body shall review and consider the county-  
41 wide shared services plan submitted to it in accordance with paragraph b  
42 of this subdivision. A majority of the members of such body may issue an  
43 advisory report making recommendations as deemed necessary. The chief  
44 executive officer may make modifications to the plan based on such  
45 recommendations. If modifications are made by the chief executive offi-  
46 cer, he or she shall produce an updated certification as to the accuracy  
47 of the savings contained therein.

48 d. The county shared services panel shall consider the county-wide  
49 shared services tax savings plan. A majority vote of the panel shall be  
50 required for approval of such plan, provided however that each member of  
51 the panel may, prior to the panel-wide vote, cause to be removed from  
52 the plan any proposed action that affects the unit of local government  
53 represented by the respective member. Written notice of such removal  
54 shall be provided to the chief executive officer of the county prior to  
55 the panel-wide vote on the plan.

1 e. If a county does not achieve an approved county-wide shared  
 2 services property tax savings plan by the deadlines required for 2017,  
 3 then it shall release to the public a report on the proposal, the vote  
 4 of the panel which vote shall require each panel member, in writing to  
 5 state the reason for such vote. The county shall then follow the same  
 6 procedures defined in this section to attempt to produce an approved  
 7 county-wide shared services property tax savings plan by the deadlines  
 8 required for 2018.

9 5. a. Upon approval of the shared services panel, the chief executive  
 10 officer of the county shall finalize the county-wide shared services  
 11 property tax savings plan and shall transmit to the director of the  
 12 division of the budget a certification of the plan and its property tax  
 13 savings plan. The chief executive officer of the county shall finalize  
 14 any such approved county-wide shared services property tax savings plan  
 15 no later than September fifteenth, two thousand seventeen, and any such  
 16 plan shall be publicly disseminated to residents of the county in a  
 17 concise, clear, and coherent manner using words with common and everyday  
 18 meanings.

19 b. The beginning of the plan publicly disseminated shall contain the  
 20 information and shall be in the form set forth hereinbelow:

21 County-wide Shared Services Property Tax Savings Plan Summary

22	Row 1	Participating Cities	(insert number of cities in the
23			county as well as the number and list
24			of such cities with a representative
25			on the panel who voted on such plan)
26	Row 2	Participating Towns	(insert number of towns in the county
27			as well as the number and list of
28			such towns with a representative
29			on the panel who voted on such plan)
30	Row 3	Participating Villages	(insert number of villages in the
31			county as well as the number and list of
32			such villages with a representative
33			on the panel who voted on such plan)
34	Row 4	Participating school	(insert number of school districts,
35		districts, BOCES, and	BOCES, and special improvement
36		special improvement	districts in the county as
37		districts	well as the number and list of
38			such school districts, BOCES, and
39			special improvement districts
40			with a representative on the
41			panel who voted on such plan)
42	Row 5	2017 Local	(insert sum total of property
43		Government property	taxes levied in the year
44		taxes	2017 by the county, cities, towns,
45			villages, school districts,
46			BOCES, and special improvement
47			districts within such county)
48	Row 6	2017 Participating	(insert sum total of property
49		Entities property	taxes levied in the year 2017 by the
50		taxes	county, any cities, towns, villages,
51			school districts, BOCES, and
52			special improvements districts
53			identified as participating in
54			the panel in rows one through

1		four above)
2	Row 7	Total Anticipated
3		Savings
4		(insert sum total of net
5		savings in such plan certified
6		as being anticipated in calendar
7	Row 8	year 2018, calendar year 2019,
8		and annually thereafter)
9		(insert sum total of net
10		savings in such plan
11		certified as being anticipated
12		in calendar year 2018 as a
13		percentage of the sum total in
14		Row 6, calendar year 2019
15		as a percentage of the sum total
16		in Row 6, and annually
17	Row 9	thereafter as a percentage of the
18		sum total in Row 6)
19		(insert the amount of the
20		savings that the average
21		taxpayer in the county
22		will realize in calendar year
23		2018, calendar year 2019,
24		and annually thereafter if the
25	Row 10	net savings certified
26		in the plan are realized)
27		(insert the percentage amount a
28		homeowner can expect his or her
29		property taxes to increase or
30		decrease in calendar year 2018,
31		calendar year 2019, and
32		annually thereafter if
33	Row 11	the net savings certified in the
34		plan are realized)
35		(insert the percentage amount a
36		business can expect its property
37		taxes to increase or decrease in
38		calendar year 2018, calendar year
39		2019, and annually thereafter if
40		the net savings certified in the
41		plan are realized)

40 c. The chief executive officer of the county shall conduct a public  
41 presentation of the plan no later than October 15, 2017. Public notice  
42 of such public presentation shall be provided at least one week prior in  
43 the manner prescribed in subdivision 1 of section 104 of the public  
44 officers law.

45 d. Any such finalized property tax savings plan which would have the  
46 effect of transferring or abolishing a function or duty of the county or  
47 of the cities, towns, villages, districts or other units of government  
48 wholly contained in the county, shall not become operative unless and  
49 until it is approved in accordance with subdivision (h) of section one  
50 of article nine of the state constitution.

51 6. a. If the county-wide property tax savings plan shall fail to  
52 obtain the approval of the shared services panel, voting on the plan in  
53 accordance with this section, the chief executive officer of the county  
54 shall resubmit such plan to the shared services panel, in accordance  
55 with the procedures established for first consideration of the plan



1 outlined by this section, no later than August first, two thousand eigh-  
2 teen.

3 b. Any proposed county-wide shared services property tax savings plan  
4 prepared for reconsideration by the shared services panel, shall follow  
5 the same procedures prescribed in this section for original consider-  
6 ation in two thousand seventeen. No county-wide shared services property  
7 tax savings plan shall be deemed approved, or may be finalized, without  
8 approval of such plan by the shared services panel.

9 c. If the shared services panel approves the proposed county-wide  
10 shared services property tax savings plan for 2018, the chief executive  
11 officer of the county shall finalize any such approved county-wide  
12 shared services property tax savings plan no later than September  
13 fifteenth, two thousand eighteen, and any such plan shall be publicly  
14 disseminated to residents of the county in a concise, clear, and coher-  
15 ent manner using words with common and everyday meanings.

16 d. The beginning of the plan publicly disseminated shall contain the  
17 information and shall be in the form set forth hereinbelow:

18 County-wide Shared Services Property Tax Savings Plan Summary

19	Row 1	Participating Cities	(insert number of cities in the
20			county as well as the number
21			and list of such cities with
22			a representative on the
23			panel who voted on such plan)
24	Row 2	Participating Towns	(insert number of towns in the
25			county as well as the number
26			and list of such towns with
27			a representative on the
28			panel who voted on such plan)
29	Row 3	Participating Villages	(insert number of villages in the
30			county as well as the number
31			and list of such villages with
32			a representative on the
33			panel who voted on such plan)
34	Row 4	Participating school	(insert number of school
35		districts, BOCES, and	districts, BOCES, and special
36		special improvement	improvement
37		districts	districts in the county
38			as well as the number
39			and list of such school districts,
40			BOCES, and special improvement
41			districts with a representative
42			one the panel who voted on
43			such plan)
44	Row 5	2018 Local Government	(insert sum total of property taxes
45		property	levied in the year 2018 by the
46		taxes	county, cities, towns, villages,
47			school districts, BOCES, and
48			special improvement districts
49			within such county)
50	Row 6	2018 Participating	(insert sum total of property taxes
51		Entities property	levied in the year 2018 by the
52		taxes	county, any cities, towns, villages,
53			school districts, BOCES,
54			and special improvement districts
55			identified as participating

1		in the panel in
2		rows one through four above)
3	Row 7	Total Anticipated
4		Savings
5		(insert sum total of net savings in
6		such plan certified as being
7		anticipated in calendar year 2019,
8	Row 8	Anticipated Savings
9		as a Percentage
10		of Participating
11		Entities property
12		taxes
13		(insert sum total of net savings in
14		such plan certified as being
15		anticipated in calendar year 2019
16		as a percentage of the
17	Row 9	Anticipated Savings
18		to the Average
19		Taxpayer
20		(insert the amount of
21		the savings that the average
22		taxpayer in the county will
23		realize in calendar year 2019,
24		calendar year 2020, and
25	Row 10	Anticipated
26		Costs/Savings to
27		the Average
28		Homeowner
29		2019, calendar year
30		2020, and annually thereafter if
31		the net savings certified in the
32		plan are realized)
33	Row 11	Anticipated
34		Costs/Savings to
35		the Average
36		Business
37		(insert the percentage amount a
38		business can expect its property
39		taxes to increase or decrease in
40		calendar year 2019, calendar year
41		2020, and annually thereafter if
42		the net savings certified in the
43		plan are realized)

40 e. The chief executive officer of the county shall conduct a public  
41 presentation of the plan no later than October 15, 2018. Public notice  
42 of such public presentation shall be provided at least one week prior in  
43 the manner prescribed in subdivision 1 of section 104 of the public  
44 officers law.

45 f. Any such finalized property tax savings plan which would have the  
46 effect of transferring or abolishing a function or duty of the county or  
47 of the cities, towns, villages, districts or other units of government  
48 wholly contained in the county, shall not become operative unless and  
49 until it is approved in accordance with subdivision (h) of section one  
50 of article nine of the state constitution.

51 7. For the purposes of this part "chief executive officer" means the  
52 county executive, county manager or other chief executive of the county,  
53 or where none, the chair of the county legislative body.

54 8. Each county plan may be eligible for one-time funding to match  
55 savings in such plan, subject to available appropriation. The secretary  
56 of state shall develop an application, approved by the director of the

1 budget, with any necessary requirements to receive such matching fund-  
2 ing. Savings that are actually and demonstrably realized by the partic-  
3 ipating local governments are eligible for matching funding. For actions  
4 that are a part of an approved plan finalized in 2017, savings from new  
5 actions implemented on or after January 1, 2018 are eligible for match-  
6 ing funding. For actions that are a part of an approved plan finalized  
7 in 2017, savings achieved from January 1, 2018 through December 31, 2018  
8 are eligible for matching funding. For actions that are a part of an  
9 approved plan finalized in 2018, savings from new actions implemented on  
10 or after January 1, 2019 are eligible for matching funding. For actions  
11 that are a part of an approved plan finalized in 2018, savings achieved  
12 from January 1, 2019 through December 31, 2019 are eligible for matching  
13 funding. Only net savings between local governments for each action  
14 would be eligible for matching funding. Savings from internal efficien-  
15 cies or any other actions taken by a local government without the  
16 participation of another local government are not eligible for matching  
17 funding. Each county and all of the local governments within the county  
18 that are part of any action to be implemented as part of the approved  
19 plan must collectively apply for the matching funding and agree on the  
20 distribution and use of any matching funding in order to qualify for  
21 matching funding.

22 9. Where the implementation of any component of such finalized proper-  
23 ty tax savings plan is, by any other general or special law, subject to  
24 a public hearing, a mandatory or permissive referendum, consents of  
25 governmental agencies, or other requirements applicable to the making of  
26 contracts, then implementation of such component shall be conditioned on  
27 compliance with such requirements.

28 10. If any clause, sentence, paragraph, subdivision, section or part  
29 of this act shall be adjudged by any court or competent jurisdiction to  
30 be invalid, such judgment shall not affect, impair, or invalidate the  
31 remainder thereof, but shall be confined in its operation to the clause,  
32 sentence, paragraph, subdivision, section or part thereof directly  
33 involved in the controversy in which such judgment shall have been  
34 rendered. It is hereby declared to be the intent of the legislature that  
35 this act would have been enacted if such invalid provisions had not been  
36 included herein.

37 S 2. School district and board of cooperative educational services  
38 participation in county-wide shared services property tax savings plans.  
39 Notwithstanding any provision of the education law, or any other  
40 provision of law, rule or regulation, to the contrary, any school  
41 district or board of cooperative educational services may participate in  
42 a county-wide shared services property tax savings plan established  
43 pursuant to the provisions of this chapter, and may further participate  
44 in any of the activities listed in paragraph a of subdivision 4 of  
45 section one of this act with any participating county, town, city,  
46 village, special improvement district, school district and/or board of  
47 cooperative educational services participating in such county-wide  
48 shared services property tax saving plan.

49 S 3. This act shall take effect immediately.

50

PART CCC

51 Section 1. The opening paragraph of subdivision (h) of section 121 of  
52 chapter 261 of the laws of 1988, amending the state finance law and  
53 other laws relating to the New York state infrastructure trust fund, as

1 amended by section 2 of part Q of chapter 58 of the laws of 2015, is  
2 amended to read as follows:

3 The provisions of [section] SECTIONS sixty-two through sixty-six of  
4 this act shall expire APRIL FIFTEENTH, TWO THOUSAND EIGHTEEN, PROVIDED,  
5 HOWEVER, THAT IF THE STATEWIDE DISPARITY STUDY REGARDING THE PARTIC-  
6 IPATION OF MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES IN STATE  
7 CONTRACTS REQUIRED PURSUANT TO SUBDIVISION ONE OF SECTION THREE HUNDRED  
8 TWELVE-A OF THE EXECUTIVE LAW IS COMPLETED AND DELIVERED TO THE GOVERNOR  
9 AND THE LEGISLATURE ON OR BEFORE JUNE THIRTIETH, TWO THOUSAND SEVENTEEN,  
10 THEN THE PROVISIONS OF SECTIONS SIXTY-TWO THROUGH SIXTY-SIX OF THIS ACT  
11 SHALL EXPIRE on December thirty-first, two thousand [seventeen]  
12 EIGHTEEN, except that:

13 S 2. This act shall take effect immediately.

14

PART DDD

15 Section 1. Section 606 of the tax law is amended by adding a new  
16 subsection (n-2) to read as follows:

17 (N-2) CREDIT FOR FARM DONATIONS TO FOOD PANTRIES. (1) GENERAL. IN THE  
18 CASE OF A TAXPAYER WHO IS AN ELIGIBLE FARMER, THERE SHALL BE ALLOWED A  
19 CREDIT, TO BE COMPUTED AS HEREINAFTER PROVIDED, AGAINST THE TAX IMPOSED  
20 BY THIS ARTICLE FOR TAXABLE YEARS BEGINNING ON AND AFTER JANUARY FIRST,  
21 TWO THOUSAND EIGHTEEN. THE AMOUNT OF THE CREDIT SHALL BE TWENTY-FIVE  
22 PERCENT OF THE FAIR MARKET VALUE OF THE TAXPAYER'S QUALIFIED DONATIONS  
23 MADE TO ANY ELIGIBLE FOOD PANTRY DURING THE TAXABLE YEAR, NOT TO EXCEED  
24 FIVE THOUSAND DOLLARS PER TAXABLE YEAR. IF THE TAXPAYER IS A PARTNER IN  
25 A PARTNERSHIP OR A SHAREHOLDER OF A NEW YORK S CORPORATION, THEN THE CAP  
26 IMPOSED BY THE PRECEDING SENTENCE SHALL BE APPLIED AT THE ENTITY LEVEL,  
27 SO THAT THE AGGREGATE CREDIT ALLOWED TO ALL PARTNERS OR SHAREHOLDERS OF  
28 SUCH ENTITY IN THE TAXABLE YEAR DOES NOT EXCEED FIVE THOUSAND DOLLARS.

29 (2) ELIGIBLE FARMER. FOR PURPOSES OF THIS SUBSECTION, THE TERM "ELIGI-  
30 BLE FARMER" MEANS A TAXPAYER WHOSE FEDERAL GROSS INCOME FROM FARMING FOR  
31 THE TAXABLE YEAR IS AT LEAST TWO-THIRDS OF EXCESS FEDERAL GROSS INCOME.  
32 EXCESS FEDERAL GROSS INCOME MEANS THE AMOUNT OF FEDERAL GROSS INCOME  
33 FROM ALL SOURCES FOR THE TAXABLE YEAR REDUCED BY THE SUM (NOT TO EXCEED  
34 THIRTY THOUSAND DOLLARS) OF THOSE ITEMS INCLUDED IN FEDERAL GROSS INCOME  
35 THAT CONSIST OF: (I) EARNED INCOME, (II) PENSION PAYMENTS, INCLUDING  
36 SOCIAL SECURITY PAYMENTS, (III) INTEREST, AND (IV) DIVIDENDS. FOR  
37 PURPOSES OF THIS PARAGRAPH, THE TERM "EARNED INCOME" SHALL MEAN WAGES,  
38 SALARIES, TIPS AND OTHER EMPLOYEE COMPENSATION, AND THOSE ITEMS OF GROSS  
39 INCOME THAT ARE INCLUDIBLE IN THE COMPUTATION OF NET EARNINGS FROM  
40 SELF-EMPLOYMENT. FOR THE PURPOSES OF THIS PARAGRAPH, PAYMENTS FROM THE  
41 STATE'S FARMLAND PROTECTION PROGRAM, ADMINISTERED BY THE DEPARTMENT OF  
42 AGRICULTURE AND MARKETS, SHALL BE INCLUDED AS FEDERAL GROSS INCOME FROM  
43 FARMING FOR OTHERWISE ELIGIBLE FARMERS.

44 (3) QUALIFIED DONATION. FOR PURPOSES OF THIS SUBSECTION, THE TERM  
45 "QUALIFIED DONATION" MEANS A DONATION OF ANY APPARENTLY WHOLESOME FOOD,  
46 AS DEFINED IN SECTION 170(E)(3)(C)(VI) OF THE INTERNAL REVENUE CODE,  
47 GROWN OR PRODUCED WITHIN THIS STATE, BY AN ELIGIBLE FARMER TO AN ELIGI-  
48 BLE FOOD PANTRY.

49 (4) ELIGIBLE FOOD PANTRY. FOR PURPOSES OF THIS SUBSECTION, THE TERM  
50 "ELIGIBLE FOOD PANTRY" MEANS ANY FOOD PANTRY, FOOD BANK, OR OTHER EMER-  
51 GENCY FOOD PROGRAM OPERATING WITHIN THIS STATE THAT HAS QUALIFIED FOR  
52 TAX EXEMPTION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.

53 (5) DETERMINATION OF FAIR MARKET VALUE. FOR PURPOSES OF THIS  
54 SUBSECTION, TO DETERMINE THE FAIR MARKET VALUE OF APPARENTLY WHOLESOME

1 FOOD DONATED TO AN ELIGIBLE FOOD PANTRY, THE STANDARDS SET FORTH UNDER  
2 SECTION 170(E)(3)(C)(V) OF THE INTERNAL REVENUE CODE SHALL APPLY.

3 (6) RECORD OF DONATION. TO CLAIM A CREDIT UNDER THIS SUBSECTION, A  
4 TAXPAYER MUST GET AND KEEP A RECEIPT FROM THE ELIGIBLE FOOD PANTRY SHOW-  
5 ING: (I) THE NAME OF THE ELIGIBLE FOOD PANTRY; (II) THE DATE AND  
6 LOCATION OF THE QUALIFIED DONATION; AND (III) A REASONABLY DETAILED  
7 DESCRIPTION OF THE QUALIFIED DONATION. A LETTER OR OTHER WRITTEN COMMU-  
8 NICATION FROM THE ELIGIBLE FOOD PANTRY ACKNOWLEDGING RECEIPT OF THE  
9 CONTRIBUTION AND CONTAINING THE INFORMATION IN SUBPARAGRAPHS (I), (II),  
10 AND (III) OF THIS PARAGRAPH WILL SERVE AS A RECEIPT.

11 (7) APPLICATION OF CREDIT. A TAXPAYER SHALL BE ALLOWED A CREDIT UNDER  
12 THIS SUBSECTION AGAINST THE TAX IMPOSED BY THIS ARTICLE. HOWEVER, IF THE  
13 AMOUNT OF CREDIT ALLOWED UNDER THIS SUBSECTION FOR ANY TAXABLE YEAR  
14 EXCEEDS THE TAXPAYER'S TAX FOR SUCH YEAR, THE EXCESS WILL BE TREATED AS  
15 AN OVERPAYMENT OF TAX TO BE CREDITED OR REFUNDED IN ACCORDANCE WITH THE  
16 PROVISIONS OF SECTION SIX HUNDRED EIGHTY-SIX OF THIS ARTICLE. PROVIDED,  
17 HOWEVER, THE PROVISIONS OF SUBSECTION (C) OF SECTION SIX HUNDRED EIGHT-  
18 Y-EIGHT OF THIS ARTICLE NOTWITHSTANDING, NO INTEREST WILL BE PAID THERE-  
19 ON.

20 S 2. Subparagraph (B) of paragraph 1 of subsection (i) of section 606  
21 of the tax law is amended by adding a new clause (xlirii) to read as  
22 follows:

23 (XLIIII) FARM DONATIONS TO FOOD	AMOUNT OF CREDIT UNDER
24 PANTRIES CREDIT UNDER	SUBDIVISION FIFTY-TWO OF
25 SUBSECTION (N-2)	SECTION TWO HUNDRED TEN-B

26 S 3. Subsection (c) of section 615 of the tax law is amended by adding  
27 a new paragraph 9 to read as follows:

28 (9) WITH RESPECT TO A TAXPAYER WHO HAS CLAIMED THE FARM DONATIONS TO  
29 FOOD PANTRIES CREDIT PURSUANT TO SUBSECTION (N-2) OF SECTION SIX HUNDRED  
30 SIX OF THIS ARTICLE, THE TAXPAYER'S NEW YORK ITEMIZED DEDUCTIONS SHALL  
31 BE REDUCED BY ANY CHARITABLE CONTRIBUTION DEDUCTION ALLOWED UNDER  
32 SECTION ONE HUNDRED SEVENTY OF THE INTERNAL REVENUE CODE WITH RESPECT TO  
33 SUCH DONATIONS.

34 S 4. Section 210-B of the tax law is amended by adding a new subdivi-  
35 sion 52 to read as follows:

36 52. CREDIT FOR FARM DONATIONS TO FOOD PANTRIES. (A) GENERAL. IN THE  
37 CASE OF A TAXPAYER THAT IS AN ELIGIBLE FARMER, THERE SHALL BE ALLOWED A  
38 CREDIT, TO BE COMPUTED AS HEREINAFTER PROVIDED AGAINST THE TAX IMPOSED  
39 BY THIS ARTICLE FOR TAXABLE YEARS BEGINNING ON AND AFTER JANUARY FIRST,  
40 TWO THOUSAND EIGHTEEN. THE AMOUNT OF THE CREDIT SHALL BE TWENTY-FIVE  
41 PERCENT OF THE FAIR MARKET VALUE OF THE TAXPAYER'S QUALIFIED DONATIONS  
42 MADE TO ANY ELIGIBLE FOOD PANTRY DURING THE TAXABLE YEAR, NOT TO EXCEED  
43 FIVE THOUSAND DOLLARS PER TAXABLE YEAR. IF THE TAXPAYER IS A PARTNER IN  
44 A PARTNERSHIP, THEN THE CAP IMPOSED BY THE PRECEDING SENTENCE SHALL BE  
45 APPLIED AT THE ENTITY LEVEL, SO THAT THE AGGREGATE CREDIT ALLOWED TO ALL  
46 PARTNERS OF SUCH ENTITY IN THE TAXABLE YEAR DOES NOT EXCEED FIVE THOU-  
47 SAND DOLLARS.

48 (B) ELIGIBLE FARMER. FOR PURPOSES OF THIS SUBDIVISION, THE TERM  
49 "ELIGIBLE FARMER" MEANS A TAXPAYER WHOSE FEDERAL GROSS INCOME FROM FARM-  
50 ING FOR THE TAXABLE YEAR IS AT LEAST TWO-THIRDS OF EXCESS FEDERAL GROSS  
51 INCOME. EXCESS FEDERAL GROSS INCOME MEANS THE AMOUNT OF FEDERAL GROSS  
52 INCOME FROM ALL SOURCES FOR THE TAXABLE YEAR IN EXCESS OF THIRTY THOU-  
53 SAND DOLLARS. FOR PURPOSES OF THIS PARAGRAPH, PAYMENTS FROM THE STATE'S  
54 FARMLAND PROTECTION PROGRAM, ADMINISTERED BY THE DEPARTMENT OF AGRICUL-

1 TURE AND MARKETS, SHALL BE INCLUDED AS FEDERAL GROSS INCOME FROM FARMING  
2 FOR OTHERWISE ELIGIBLE FARMERS.

3 (C) QUALIFIED DONATION. FOR PURPOSES OF THIS SUBDIVISION, THE TERM  
4 "QUALIFIED DONATION" MEANS A DONATION OF APPARENTLY WHOLESOME FOOD, AS  
5 DEFINED IN SECTION 170(E)(3)(C)(VI) OF THE INTERNAL REVENUE CODE, GROWN  
6 OR PRODUCED WITHIN THIS STATE, BY AN ELIGIBLE FARMER TO AN ELIGIBLE FOOD  
7 PANTRY.

8 (D) ELIGIBLE FOOD PANTRY. FOR PURPOSES OF THIS SUBDIVISION, THE TERM  
9 "ELIGIBLE FOOD PANTRY" MEANS ANY FOOD PANTRY, FOOD BANK, OR OTHER EMER-  
10 GENCY FOOD PROGRAM OPERATING WITHIN THIS STATE THAT HAS QUALIFIED FOR  
11 TAX EXEMPTION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.

12 (E) DETERMINATION OF FAIR MARKET VALUE. FOR PURPOSES OF THIS SUBDIVI-  
13 SION, TO DETERMINE THE FAIR MARKET VALUE OF APPARENTLY WHOLESOME FOOD  
14 DONATED TO AN ELIGIBLE FOOD PANTRY, THE STANDARDS SET FORTH UNDER  
15 SECTION 170(E)(3)(C)(V) OF THE INTERNAL REVENUE CODE SHALL APPLY.

16 (F) RECORD OF DONATION. TO CLAIM A CREDIT UNDER THIS SUBDIVISION, A  
17 TAXPAYER MUST GET AND KEEP A RECEIPT FROM THE ELIGIBLE FOOD PANTRY SHOW-  
18 ING: (I) THE NAME OF THE ELIGIBLE FOOD PANTRY; (II) THE DATE AND  
19 LOCATION OF THE QUALIFIED DONATION; AND (III) A REASONABLY DETAILED  
20 DESCRIPTION OF THE QUALIFIED DONATION. A LETTER OR OTHER WRITTEN COMMU-  
21 NICATION FROM THE ELIGIBLE FOOD PANTRY ACKNOWLEDGING RECEIPT OF THE  
22 CONTRIBUTION AND CONTAINING THE INFORMATION IN SUBPARAGRAPHS (I), (II),  
23 AND (III) OF THIS PARAGRAPH WILL SERVE AS A RECEIPT.

24 (G) APPLICATION OF CREDIT. THE CREDIT ALLOWED UNDER THIS SUBDIVISION  
25 FOR ANY TAXABLE YEAR WILL NOT REDUCE THE TAX DUE FOR SUCH YEAR TO LESS  
26 THAN THE AMOUNT PRESCRIBED IN PARAGRAPH (D) OF SUBDIVISION ONE OF  
27 SECTION TWO HUNDRED TEN OF THIS ARTICLE. HOWEVER, IF THE AMOUNT OF CRED-  
28 IT ALLOWED UNDER THIS SUBDIVISION FOR ANY TAXABLE YEAR REDUCES THE TAX  
29 TO SUCH AMOUNT OR IF THE TAXPAYER OTHERWISE PAYS TAX BASED ON THE FIXED  
30 DOLLAR MINIMUM AMOUNT, ANY AMOUNT OF CREDIT THUS NOT DEDUCTIBLE IN SUCH  
31 TAXABLE YEAR WILL BE TREATED AS AN OVERPAYMENT OF TAX TO BE CREDITED OR  
32 REFUNDED IN ACCORDANCE WITH THE PROVISIONS OF SECTION ONE THOUSAND  
33 EIGHTY-SIX OF THIS CHAPTER. PROVIDED, HOWEVER, THE PROVISIONS OF  
34 SUBSECTION (C) OF SECTION ONE THOUSAND EIGHTY-EIGHT OF THIS CHAPTER  
35 NOTWITHSTANDING, NO INTEREST WILL BE PAID THEREON.

36 S 5. Paragraph (b) of subdivision 9 of section 208 of the tax law is  
37 amended by adding a new subparagraph 22 to read as follows:

38 (22) THE AMOUNT OF ANY DEDUCTION FOR CHARITABLE CONTRIBUTIONS ALLOWED  
39 UNDER SECTION ONE HUNDRED SEVENTY OF THE INTERNAL REVENUE CODE TO THE  
40 EXTENT SUCH CONTRIBUTIONS ARE USED AS THE BASIS OF THE CALCULATION OF  
41 THE FARM DONATIONS TO FOOD PANTRIES CREDIT UNDER SUBDIVISION FIFTY-TWO  
42 OF SECTION TWO HUNDRED TEN-B OF THIS ARTICLE.

43 S 6. This act shall take effect immediately.

44

#### PART EEE

45 Section 1. Subdivisions 1, 2, 3 and 4 of section 186-f of the tax law,  
46 as added by section 3 of part B of chapter 56 of the laws of 2009, are  
47 amended to read as follows:

48 1. Definitions. As used in this section, where not otherwise specif-  
49 ically defined and unless a different meaning is clearly required:

50 (a) "Place of primary use" has the same meaning as that term is  
51 defined in paragraph twenty-six of subdivision (b) of section eleven  
52 hundred one of this chapter.

53 (b) "Wireless communications customer" means mobile telecommunications  
54 customer as defined in subparagraph (i) of paragraph twenty-seven of

1 subdivision (b) of section eleven hundred one of this chapter, who  
2 contracts for or is the end user of wireless communications service.

3 (c) "Wireless communications device" means any equipment used to  
4 access a wireless communications service.

5 (d) "Wireless communications service" means all commercial mobile  
6 services, as that term is defined in section 332(d) of title 47 of the  
7 United States Code, as amended from time to time, including, but not  
8 limited to, all broadband personal communications services, wireless  
9 radio telephone services, geographic area specialized and enhanced  
10 specialized mobile radio services, and incumbent-wide area specialized  
11 mobile radio licensees, which offer real time, two-way voice or data  
12 service that is interconnected with the public switched telephone  
13 network or otherwise provides access to emergency communications  
14 services.

15 (e) "Wireless communications service supplier" means a home service  
16 provider as defined in subparagraph (ii) of paragraph twenty-seven of  
17 subdivision (b) of section eleven hundred one of this chapter, provided  
18 that the home service provider provides wireless communications service  
19 and has one or more wireless communications customers in New York state.

20 (F) "PREPAID WIRELESS COMMUNICATIONS SELLER" MEANS A PERSON MAKING A  
21 RETAIL SALE OF PREPAID WIRELESS COMMUNICATIONS SERVICE.

22 (G) "PREPAID WIRELESS COMMUNICATIONS SERVICE" MEANS A PREPAID MOBILE  
23 CALLING SERVICE AS DEFINED IN PARAGRAPH TWENTY-TWO OF SUBDIVISION (B) OF  
24 SECTION ELEVEN HUNDRED ONE OF THIS CHAPTER.

25 2. Public safety communications surcharge. (a) (1) A surcharge on  
26 wireless communications service provided to a wireless communications  
27 customer with a place of primary use in this state is imposed at the  
28 rate of one dollar and twenty cents per month on each wireless communi-  
29 cations device in service during any part of each month. The surcharge  
30 must be reflected and made payable on bills rendered to the wireless  
31 communications customer for wireless communication service.

32 (2) A SURCHARGE IS IMPOSED ON THE RETAIL SALE OF EACH PREPAID WIRELESS  
33 COMMUNICATIONS SERVICE, WHETHER OR NOT ANY TANGIBLE PERSONAL PROPERTY IS  
34 SOLD THEREWITH, AT THE RATE OF NINETY CENTS PER RETAIL SALE. A SALE OF A  
35 PREPAID WIRELESS COMMUNICATIONS SERVICE OCCURS IN THIS STATE IF THE SALE  
36 TAKES PLACE AT A SELLER'S BUSINESS LOCATION IN THE STATE. IF THE SALE  
37 DOES NOT TAKE PLACE AT THE SELLER'S PLACE OF BUSINESS, IT SHALL BE  
38 CONCLUSIVELY DETERMINED TO TAKE PLACE AT THE PURCHASER'S SHIPPING  
39 ADDRESS OR, IF THERE IS NO ITEM SHIPPED, AT THE PURCHASER'S BILLING  
40 ADDRESS, OR, IF THE SELLER DOES NOT HAVE THAT ADDRESS, AT SUCH ADDRESS  
41 AS APPROVED BY THE COMMISSIONER THAT REASONABLY REFLECTS THE CUSTOMER'S  
42 LOCATION AT THE TIME OF THE SALE OF THE PREPAID WIRELESS COMMUNICATIONS  
43 SERVICE.

44 (b) [Each wireless communications service supplier providing wireless  
45 communications service in New York state must act as a collection agent  
46 for the state for the collection of the surcharge. The wireless communi-  
47 cations service supplier has no legal obligation to enforce the  
48 collection of the surcharge from its customers. However, each wireless  
49 communications service supplier must collect and retain the name and  
50 address of any wireless communications customer with a place of primary  
51 use in this state that refuses or fails to pay the surcharge, as well as  
52 the cumulative amount of the surcharge remaining unpaid, and must  
53 provide this information to the commissioner at the time and according  
54 to the procedures the commissioner may provide.] The [surcharge]  
55 SURCHARGES must be reported and paid to the commissioner on a quarterly  
56 basis on or before the [fifteenth] TWENTIETH day of the month following

1 each quarterly period ending on the last day of February, May, August  
2 and November, respectively. The payments must be accompanied by a return  
3 in the form and containing the information the commissioner may  
4 prescribe.

5 (c) The [surcharge] SURCHARGES must be added as a separate line item  
6 to bills furnished by a wireless communications service supplier to its  
7 customers, OR MUST BE ADDED AS A SEPARATE LINE ITEM TO A SALES SLIP,  
8 INVOICE, RECEIPT, OR OTHER STATEMENT OF THE PRICE, IF ANY, THAT IS  
9 FURNISHED BY A PREPAID WIRELESS COMMUNICATIONS SELLER TO A PURCHASER,  
10 and must be identified as the "public safety communications surcharge".  
11 [Each wireless communications customer who is subject to the provisions  
12 of this section remains liable to the state for the surcharge due under  
13 this section until it has been paid to the state, except that payment to  
14 a wireless communications service supplier is sufficient to relieve the  
15 customer from further liability for the surcharge.]

16 (d) Each wireless communications service supplier AND PREPAID WIRELESS  
17 COMMUNICATIONS SELLER is entitled to retain, as an administrative fee,  
18 an amount equal to [two] THREE percent of fifty-eight and three-tenths  
19 percent of the total collections of the [surcharge] SURCHARGES imposed  
20 by this section, provided that the supplier OR SELLER files any required  
21 return and remits the surcharge due to the commissioner on or before its  
22 due date.

23 3. [Applicability of article twenty-seven. For purposes of article  
24 twenty-seven of this chapter as applied to this section by section two  
25 hundred seven-b of this article, the term "taxpayer" in article twenty-  
26 seven refers to a wireless communications service supplier subject to  
27 this section or a wireless communications customer subject to this  
28 section, as the case may be, and the term "tax" in article twenty-seven  
29 refers to the surcharge imposed by this section.

30 4. Exemptions. The state of New York and any of its agencies, instru-  
31 mentalities and political subdivisions are] EXEMPTION. LIFELINE CONSUM-  
32 ERS SHALL BE exempt from the [surcharge] SURCHARGES imposed by this  
33 section.

34 4. APPLICABLE PROVISIONS. (A) EXCEPT AS OTHERWISE PROVIDED IN THIS  
35 SECTION, THE SURCHARGES IMPOSED UNDER THIS SECTION SHALL BE ADMINISTERED  
36 AND COLLECTED BY THE COMMISSIONER IN A LIKE MANNER AS THE TAXES IMPOSED  
37 BY ARTICLE TWENTY-EIGHT OF THIS CHAPTER. ALL THE PROVISIONS OF ARTICLE  
38 TWENTY-EIGHT OF THIS CHAPTER, INCLUDING THE PROVISIONS RELATING TO DEFINI-  
39 TIONS, EXEMPTIONS, RETURNS, PERSONAL LIABILITY FOR THE TAX, COLLECTION  
40 OF TAX FROM THE CUSTOMER, PAYMENT OF TAX, AND THE ADMINISTRATION OF THE  
41 TAXES IMPOSED BY SUCH ARTICLE, SHALL APPLY TO THE SURCHARGES IMPOSED  
42 UNDER THE AUTHORITY OF THIS SECTION SO FAR AS THOSE PROVISIONS CAN BE  
43 MADE APPLICABLE TO THE SURCHARGES IMPOSED BY THIS SECTION, WITH SUCH  
44 MODIFICATIONS AS MAY BE NECESSARY IN ORDER TO ADAPT THE LANGUAGE OF  
45 THOSE PROVISIONS TO THE SURCHARGES IMPOSED BY THIS SECTION. THOSE  
46 PROVISIONS SHALL APPLY WITH THE SAME FORCE AND EFFECT AS IF THE LANGUAGE  
47 OF THOSE PROVISIONS HAD BEEN SET FORTH IN FULL IN THIS SECTION, EXCEPT  
48 TO THE EXTENT THAT ANY OF THOSE PROVISIONS IS EITHER INCONSISTENT WITH A  
49 PROVISION OF THIS SECTION OR IS NOT RELEVANT TO THE SURCHARGE IMPOSED BY  
50 THIS SECTION. FOR PURPOSES OF THIS SECTION, ANY REFERENCE IN THIS CHAP-  
51 TER TO A TAX OR THE TAXES IMPOSED BY ARTICLE TWENTY-EIGHT OF THIS CHAP-  
52 TER SHALL BE DEEMED ALSO TO REFER TO THE SURCHARGES IMPOSED BY THIS  
53 SECTION UNLESS A DIFFERENT MEANING IS CLEARLY REQUIRED.

54 (B) NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (A) OF THIS SUBDIVI-  
55 SION:



1 (1) THE EXEMPTIONS PROVIDED FOR IN SECTION ELEVEN HUNDRED SIXTEEN OF  
2 THIS CHAPTER, OTHER THAN THE EXEMPTIONS IN PARAGRAPHS ONE, TWO AND THREE  
3 OF SUBDIVISION (A) OF THAT SECTION, SHALL NOT APPLY TO THE SURCHARGES  
4 IMPOSED BY THIS SECTION.

5 (2) THE CREDIT PROVIDED IN SUBDIVISION (F) OF SECTION ELEVEN HUNDRED  
6 THIRTY-SEVEN OF THIS CHAPTER SHALL NOT APPLY TO THIS SECTION.

7 S 2. Sections 308-a, 308-b, 308-c, 309-d, 308-e, 308-f, 308-g, 308-h,  
8 308-k, 308-l, 308-m, 308-n, 308-p, 308-q, 308-r, 308-s, 308-t, 308-u,  
9 308-v, 308-w, 308-x and 308-y of the county law are REPEALED.

10 S 3. The tax law is amended by adding a new section 186-g to read as  
11 follows:

12 S 186-G. WIRELESS COMMUNICATIONS SURCHARGE AUTHORIZED. 1. DEFINITIONS.  
13 AS USED IN THIS SECTION, WHERE NOT OTHERWISE SPECIFICALLY DEFINED AND  
14 UNLESS A DIFFERENT MEANING IS CLEARLY REQUIRED, ALL OF THE DEFINITIONS  
15 OF SECTION ONE HUNDRED EIGHTY-SIX-F OF THIS ARTICLE SHALL APPLY TO THE  
16 SURCHARGES AUTHORIZED BY THIS SECTION.

17 2. IMPOSITION OF SURCHARGE. (A) NOTWITHSTANDING ANY OTHER PROVISION OF  
18 LAW TO THE CONTRARY, AND IN ADDITION TO ANY OTHER TAX OR FEE IMPOSED BY  
19 THIS CHAPTER OR ANY OTHER LAW, A CITY HAVING A POPULATION OF A MILLION  
20 OR MORE, AND A COUNTY, OTHER THAN A COUNTY WHOLLY WITHIN SUCH A CITY,  
21 ACTING THROUGH ITS LOCAL LEGISLATIVE BODY, IS HEREBY AUTHORIZED AND  
22 EMPOWERED TO ADOPT AND AMEND LOCAL LAWS, ORDINANCES OR RESOLUTIONS  
23 IMPOSING A SURCHARGE WITHIN THE TERRITORIAL LIMITS OF SUCH CITY OR COUN-  
24 TY TO TAKE EFFECT ON OR AFTER DECEMBER FIRST, TWO THOUSAND SEVENTEEN  
25 THAT SHALL INCLUDE BOTH (I) WIRELESS COMMUNICATIONS SERVICE, AS  
26 DESCRIBED IN PARAGRAPH (B) OF THIS SUBDIVISION; AND (II) PREPAID WIRE-  
27 LESS COMMUNICATIONS SERVICE, AS DESCRIBED IN PARAGRAPH (C) OF THIS  
28 SUBDIVISION.

29 (B) SUCH SURCHARGE ON WIRELESS COMMUNICATIONS SERVICE PROVIDED TO A  
30 WIRELESS COMMUNICATIONS CUSTOMER WITH A PLACE OF PRIMARY USE IN A CITY  
31 OR COUNTY AUTHORIZED TO IMPOSE THE SURCHARGE BY THIS SUBDIVISION SHALL  
32 BE IMPOSED AT THE RATE OF THIRTY CENTS PER MONTH ON EACH WIRELESS COMMU-  
33 NICATIONS DEVICE IN SERVICE DURING ANY PART OF THE MONTH. THE SURCHARGE  
34 MUST BE REFLECTED AND MADE PAYABLE ON BILLS RENDERED TO THE WIRELESS  
35 COMMUNICATIONS CUSTOMER FOR WIRELESS COMMUNICATIONS SERVICE.

36 (C) SUCH SURCHARGE ON THE RETAIL SALE OF EACH PREPAID WIRELESS COMMU-  
37 NICATIONS SERVICE, WHETHER OR NOT ANY TANGIBLE PERSONAL PROPERTY IS SOLD  
38 THEREWITH, SHALL BE IMPOSED AT THE RATE OF THIRTY CENTS PER RETAIL SALE  
39 WITHIN A CITY OR COUNTY AUTHORIZED TO IMPOSE THE SURCHARGE BY THIS  
40 SUBDIVISION. A SALE OF A PREPAID WIRELESS COMMUNICATIONS SERVICE OCCURS  
41 IN SUCH CITY OR COUNTY IF THE SALE TAKES PLACE AT A SELLER'S BUSINESS  
42 LOCATION IN SUCH CITY OR COUNTY. IF THE SALE DOES NOT TAKE PLACE AT THE  
43 SELLER'S PLACE OF BUSINESS, IT SHALL BE CONCLUSIVELY DETERMINED TO TAKE  
44 PLACE AT THE PURCHASER'S SHIPPING ADDRESS IN SUCH CITY OR COUNTY OR, IF  
45 THERE IS NO ITEM SHIPPED, AT THE PURCHASER'S BILLING ADDRESS IN SUCH  
46 CITY OR COUNTY, OR, IF THE SELLER DOES NOT HAVE THAT ADDRESS, AT SUCH  
47 ADDRESS THAT REASONABLY REFLECTS THE CUSTOMER'S LOCATION AT THE TIME OF  
48 THE SALE OF THE PREPAID WIRELESS COMMUNICATIONS SERVICE.

49 3. ANY SUCH LOCAL LAW, ORDINANCE OR RESOLUTION ADOPTED PURSUANT TO  
50 THIS SECTION SHALL STATE THE AMOUNT OF THE SURCHARGES AND THE DATE ON  
51 WHICH BOTH THE WIRELESS COMMUNICATIONS SERVICE SUPPLIER SHALL BEGIN TO  
52 ADD SUCH SURCHARGE TO THE BILLINGS OF ITS CUSTOMERS AND THE PREPAID  
53 WIRELESS COMMUNICATIONS SELLER SHALL BEGIN TO COLLECT SUCH SURCHARGE  
54 FROM ITS CUSTOMERS. NO SUCH LOCAL LAW, ORDINANCE OR RESOLUTION SHALL BE  
55 EFFECTIVE UNLESS A CERTIFIED COPY OF SUCH LAW, ORDINANCE OR RESOLUTION  
56 IS MAILED BY REGISTERED OR CERTIFIED MAIL TO THE COMMISSIONER IN ACCORD-

1 ANCE WITH THE PROVISIONS OF SUBDIVISIONS (D) AND (E) OF SECTION TWELVE  
2 HUNDRED TEN OF THIS CHAPTER.

3 4. EXEMPTION. LIFELINE CONSUMERS SHALL BE EXEMPT FROM THE SURCHARGES  
4 IMPOSED BY THIS SECTION.

5 5. THE SURCHARGES MUST BE REPORTED AND PAID TO THE COMMISSIONER ON A  
6 QUARTERLY BASIS ON OR BEFORE THE TWENTIETH DAY OF THE MONTH FOLLOWING  
7 EACH QUARTERLY PERIOD ENDING ON THE LAST DAY OF FEBRUARY, MAY, AUGUST  
8 AND NOVEMBER, RESPECTIVELY. THE PAYMENTS MUST BE ACCOMPANIED BY A RETURN  
9 IN THE FORM AND CONTAINING THE INFORMATION THE COMMISSIONER MAY  
10 PRESCRIBE.

11 6. THE SURCHARGES MUST BE ADDED AS A SEPARATE LINE ITEM TO BILLS  
12 FURNISHED BY A WIRELESS COMMUNICATIONS SERVICE SUPPLIER TO ITS CUSTOM-  
13 ERS, OR MUST BE ADDED AS A SEPARATE LINE ITEM TO A SALES SLIP, INVOICE,  
14 RECEIPT, OR OTHER STATEMENT OF THE PRICE, IF ANY, THAT IS FURNISHED BY A  
15 PREPAID WIRELESS COMMUNICATIONS SELLER TO A PURCHASER, AND MUST BE IDEN-  
16 TIFIED AS THE "PUBLIC SAFETY COMMUNICATIONS SURCHARGE".

17 7. EACH WIRELESS COMMUNICATIONS SERVICE SUPPLIER AND PREPAID WIRELESS  
18 COMMUNICATIONS SELLER IS ENTITLED TO RETAIN, AS AN ADMINISTRATIVE FEE,  
19 AN AMOUNT EQUAL TO THREE PERCENT OF ITS COLLECTIONS OF THE SURCHARGES  
20 IMPOSED UNDER THE AUTHORITY OF THIS SECTION, PROVIDED THAT THE SUPPLIER  
21 OR SELLER FILES ANY REQUIRED RETURN AND REMITS THE SURCHARGE DUE TO THE  
22 COMMISSIONER ON OR BEFORE ITS DUE DATE.

23 8. APPLICABLE PROVISIONS. (A) EXCEPT AS OTHERWISE PROVIDED IN THIS  
24 SECTION, ANY SURCHARGE IMPOSED UNDER THE AUTHORITY OF THIS SECTION SHALL  
25 BE ADMINISTERED AND COLLECTED BY THE COMMISSIONER IN A LIKE MANNER AS  
26 THE TAXES IMPOSED BY ARTICLES TWENTY-EIGHT AND TWENTY-NINE OF THIS CHAP-  
27 TER. ALL THE PROVISIONS OF ARTICLE TWENTY-EIGHT AND TWENTY-NINE OF THIS  
28 CHAPTER, INCLUDING THE PROVISIONS RELATING TO DEFINITIONS, EXEMPTIONS,  
29 RETURNS, PERSONAL LIABILITY FOR THE TAX, COLLECTION OF TAX FROM THE  
30 CUSTOMER, PAYMENT OF TAX, AND THE ADMINISTRATION OF THE TAXES IMPOSED BY  
31 SUCH ARTICLE, SHALL APPLY TO THE SURCHARGES IMPOSED UNDER THE AUTHORITY  
32 OF THIS SECTION SO FAR AS THOSE PROVISIONS CAN BE MADE APPLICABLE TO THE  
33 SURCHARGES IMPOSED UNDER THE AUTHORITY OF THIS SECTION, WITH SUCH  
34 MODIFICATIONS AS MAY BE NECESSARY IN ORDER TO ADAPT THE LANGUAGE OF  
35 THOSE PROVISIONS TO THE SURCHARGES IMPOSED UNDER THE AUTHORITY OF THIS  
36 SECTION. THOSE PROVISIONS SHALL APPLY WITH THE SAME FORCE AND EFFECT AS  
37 IF THE LANGUAGE OF THOSE PROVISIONS HAD BEEN SET FORTH IN FULL IN THIS  
38 SECTION, EXCEPT TO THE EXTENT THAT ANY OF THOSE PROVISIONS IS EITHER  
39 INCONSISTENT WITH A PROVISION OF THIS SECTION OR IS NOT RELEVANT TO THE  
40 SURCHARGE IMPOSED UNDER THE AUTHORITY OF THIS SECTION. FOR PURPOSES OF  
41 THIS SECTION, ANY REFERENCE IN THIS CHAPTER TO A TAX OR THE TAXES  
42 IMPOSED BY ARTICLES TWENTY-EIGHT AND TWENTY-NINE OF THIS CHAPTER SHALL  
43 BE DEEMED ALSO TO REFER TO THE SURCHARGES IMPOSED UNDER THE AUTHORITY OF  
44 THIS SECTION UNLESS A DIFFERENT MEANING IS CLEARLY REQUIRED.

45 (B) NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (A) OF THIS SUBDIVI-  
46 SION:

47 (1) THE EXEMPTIONS PROVIDED FOR IN SECTION ELEVEN HUNDRED SIXTEEN OF  
48 THIS CHAPTER, OTHER THAN THE EXEMPTIONS IN PARAGRAPHS ONE, TWO AND THREE  
49 OF SUBDIVISION (A) OF THAT SECTION, SHALL NOT APPLY TO THE SURCHARGES  
50 IMPOSED UNDER THE AUTHORITY OF THIS SECTION;

51 (2) THE CREDIT PROVIDED IN SUBDIVISION (F) OF SECTION ELEVEN HUNDRED  
52 THIRTY-SEVEN OF THIS CHAPTER SHALL NOT APPLY TO THIS SECTION.

53 9. ALL SURCHARGE MONIES REMITTED TO THE COMMISSIONER UNDER THIS  
54 SECTION SHALL BE EXPENDED ONLY UPON AUTHORIZATION OF THE LEGISLATIVE  
55 BODY OF A CITY OR COUNTY THAT IMPOSES THE SURCHARGES PURSUANT TO THE  
56 AUTHORITY OF SUBDIVISION TWO OF THIS SECTION, AND ONLY FOR PAYMENT OF

1 SYSTEM COSTS, ELIGIBLE WIRELESS 911 SERVICE COSTS, OR OTHER COSTS ASSO-  
2 CIATED WITH THE ADMINISTRATION, DESIGN, INSTALLATION, CONSTRUCTION,  
3 OPERATION, OR MAINTENANCE OF PUBLIC SAFETY COMMUNICATIONS NETWORKS OR A  
4 SYSTEM TO PROVIDE ENHANCED WIRELESS 911 SERVICE SERVING SUCH CITY OR  
5 COUNTY, INCLUDING, BUT NOT LIMITED TO, HARDWARE, SOFTWARE, CONSULTANTS,  
6 FINANCING AND OTHER ACQUISITION COSTS. SUCH CITY OR COUNTY SHALL SEPA-  
7 RATELY ACCOUNT FOR AND KEEP ADEQUATE BOOKS AND RECORDS OF THE AMOUNT AND  
8 OBJECT OR PURPOSE OF ALL EXPENDITURES OF ALL SUCH MONIES. IF, AT THE END  
9 OF ANY FISCAL YEAR, THE TOTAL AMOUNT OF ALL SUCH MONIES EXCEEDS THE  
10 AMOUNT NECESSARY FOR PAYMENT OF THE ABOVE MENTIONED COSTS IN SUCH FISCAL  
11 YEAR, SUCH EXCESS SHALL BE RESERVED AND CARRIED OVER FOR THE PAYMENT OF  
12 THOSE COSTS IN THE FOLLOWING FISCAL YEAR.

13 S 4. This act shall take effect immediately; provided, however,  
14 sections one and two of this act shall take effect December 1, 2017; and  
15 section one of this act shall apply to wireless communications service  
16 and prepaid wireless communications service provided on and after that  
17 date.

18

## PART FFF

19 Section 1. The public health law is amended by adding a new section  
20 2825-e to read as follows:

21 S 2825-E. HEALTH CARE FACILITY TRANSFORMATION PROGRAM: STATEWIDE II.  
22 1. A STATEWIDE HEALTH CARE FACILITY TRANSFORMATION PROGRAM IS HEREBY  
23 ESTABLISHED UNDER THE JOINT ADMINISTRATION OF THE COMMISSIONER AND THE  
24 PRESIDENT OF THE DORMITORY AUTHORITY OF THE STATE OF NEW YORK FOR THE  
25 PURPOSE OF STRENGTHENING AND PROTECTING CONTINUED ACCESS TO HEALTH CARE  
26 SERVICES IN COMMUNITIES. THE PROGRAM SHALL PROVIDE FUNDING IN SUPPORT OF  
27 CAPITAL PROJECTS, DEBT RETIREMENT, WORKING CAPITAL OR OTHER NON-CAPITAL  
28 PROJECTS THAT FACILITATE HEALTH CARE TRANSFORMATION ACTIVITIES INCLUD-  
29 ING, BUT NOT LIMITED TO, MERGER, CONSOLIDATION, ACQUISITION OR OTHER  
30 ACTIVITIES INTENDED TO CREATE FINANCIALLY SUSTAINABLE SYSTEMS OF CARE OR  
31 PRESERVE OR EXPAND ESSENTIAL HEALTH CARE SERVICES. GRANTS SHALL NOT BE  
32 AVAILABLE TO SUPPORT GENERAL OPERATING EXPENSES. THE ISSUANCE OF ANY  
33 BONDS OR NOTES HEREUNDER SHALL BE SUBJECT TO SECTION SIXTEEN HUNDRED  
34 EIGHTY-R OF THE PUBLIC AUTHORITIES LAW AND THE APPROVAL OF THE DIRECTOR  
35 OF THE DIVISION OF THE BUDGET, AND ANY PROJECTS FUNDED THROUGH THE ISSU-  
36 ANCE OF BONDS OR NOTES HEREUNDER SHALL BE APPROVED BY THE NEW YORK STATE  
37 PUBLIC AUTHORITIES CONTROL BOARD, AS REQUIRED UNDER SECTION FIFTY-ONE OF  
38 THE PUBLIC AUTHORITIES LAW.

39 2. THE COMMISSIONER AND THE PRESIDENT OF THE DORMITORY AUTHORITY SHALL  
40 ENTER INTO AN AGREEMENT, SUBJECT TO APPROVAL BY THE DIRECTOR OF THE  
41 BUDGET, AND SUBJECT TO SECTION SIXTEEN HUNDRED EIGHTY-R OF THE PUBLIC  
42 AUTHORITIES LAW, FOR THE PURPOSES OF AWARDING, DISTRIBUTING, AND ADMIN-  
43 ISTERING THE FUNDS MADE AVAILABLE PURSUANT TO THIS SECTION. SUCH FUNDS  
44 MAY BE DISTRIBUTED BY THE COMMISSIONER FOR CAPITAL GRANTS TO GENERAL  
45 HOSPITALS, RESIDENTIAL HEALTH CARE FACILITIES, DIAGNOSTIC AND TREATMENT  
46 CENTERS AND CLINICS LICENSED PURSUANT TO THIS CHAPTER OR THE MENTAL  
47 HYGIENE LAW, AND COMMUNITY-BASED HEALTH CARE PROVIDERS AS DEFINED IN  
48 SUBDIVISION THREE OF THIS SECTION FOR WORKS OR PURPOSES THAT SUPPORT THE  
49 PURPOSES SET FORTH IN THIS SECTION. A COPY OF SUCH AGREEMENT, AND ANY  
50 AMENDMENTS THERETO, SHALL BE PROVIDED TO THE CHAIR OF THE SENATE FINANCE  
51 COMMITTEE, THE CHAIR OF THE ASSEMBLY WAYS AND MEANS COMMITTEE, AND THE  
52 DIRECTOR OF THE DIVISION OF THE BUDGET NO LATER THAN THIRTY DAYS PRIOR  
53 TO THE RELEASE OF A REQUEST FOR APPLICATIONS FOR FUNDING UNDER THIS  
54 PROGRAM. PRIORITY SHALL BE GIVEN TO NEW APPLICATIONS FOR PROJECTS NOT

1 FUNDED UNDER SECTION TWENTY-EIGHT HUNDRED TWENTY-FIVE-D OF THIS ARTICLE.  
2 PROJECTS AWARDED, IN WHOLE OR PART, UNDER SECTIONS TWENTY-EIGHT HUNDRED  
3 TWENTY-FIVE-A AND TWENTY-EIGHT HUNDRED TWENTY-FIVE-B OF THIS ARTICLE  
4 SHALL NOT BE ELIGIBLE FOR GRANTS OR AWARDS MADE AVAILABLE UNDER THIS  
5 SECTION.

6 3. NOTWITHSTANDING SECTION ONE HUNDRED SIXTY-THREE OF THE STATE  
7 FINANCE LAW OR ANY INCONSISTENT PROVISION OF LAW TO THE CONTRARY, UP TO  
8 FIVE HUNDRED MILLION DOLLARS OF THE FUNDS APPROPRIATED FOR THIS PROGRAM  
9 SHALL BE AWARDED WITHOUT A COMPETITIVE BID OR REQUEST FOR PROPOSAL PROC-  
10 ESS FOR GRANTS TO HEALTH CARE PROVIDERS (HEREAFTER "APPLICANTS").  
11 PROVIDED, HOWEVER, THAT A MINIMUM OF SEVENTY-FIVE MILLION DOLLARS OF  
12 TOTAL AWARDED FUNDS SHALL BE MADE TO COMMUNITY-BASED HEALTH CARE PROVID-  
13 ERS, WHICH FOR PURPOSES OF THIS SECTION SHALL BE DEFINED AS A DIAGNOSTIC  
14 AND TREATMENT CENTER LICENSED OR GRANTED AN OPERATING CERTIFICATE UNDER  
15 THIS ARTICLE; A MENTAL HEALTH CLINIC LICENSED OR GRANTED AN OPERATING  
16 CERTIFICATE UNDER ARTICLE THIRTY-ONE OF THE MENTAL HYGIENE LAW; AN ALCO-  
17 HOL AND SUBSTANCE ABUSE TREATMENT CLINIC LICENSED OR GRANTED AN OPERAT-  
18 ING CERTIFICATE UNDER ARTICLE THIRTY-TWO OF THE MENTAL HYGIENE LAW; A  
19 PRIMARY CARE PROVIDER OR A HOME CARE PROVIDER CERTIFIED OR LICENSED  
20 PURSUANT TO ARTICLE THIRTY-SIX OF THIS CHAPTER; OR OTHER PURPOSES AND  
21 COMMUNITY-BASED PROVIDERS DESIGNATED BY THE COMMISSIONER PURSUANT TO  
22 INFORMATION OBTAINED PURSUANT TO SUBDIVISION FOUR-A OF THIS SECTION.  
23 ELIGIBLE APPLICANTS SHALL BE THOSE DEEMED BY THE COMMISSIONER TO BE A  
24 PROVIDER THAT FULFILLS OR WILL FULFILL A HEALTH CARE NEED FOR ACUTE  
25 INPATIENT, OUTPATIENT, PRIMARY, HOME CARE OR RESIDENTIAL HEALTH CARE  
26 SERVICES IN A COMMUNITY.

27 4. NOTWITHSTANDING SUBDIVISION TWO OF THIS SECTION OR ANY INCONSISTENT  
28 PROVISION OF LAW TO THE CONTRARY, AND UPON APPROVAL OF THE DIRECTOR OF  
29 THE BUDGET, THE COMMISSIONER MAY AWARD UP TO THREE HUNDRED MILLION  
30 DOLLARS OF THE FUNDS MADE AVAILABLE PURSUANT TO THIS SECTION FOR  
31 UNFUNDED PROJECT APPLICATIONS SUBMITTED IN RESPONSE TO THE REQUEST FOR  
32 APPLICATIONS NUMBER 1607010255 ISSUED BY THE DEPARTMENT ON JULY TWENTI-  
33 ETH, TWO THOUSAND SIXTEEN PURSUANT TO SECTION TWENTY-EIGHT HUNDRED TWEN-  
34 TY-FIVE-D OF THIS ARTICLE, PROVIDED HOWEVER THAT THE PROVISIONS OF  
35 SUBDIVISION THREE OF THIS SECTION SHALL APPLY.

36 4-A. AUTHORIZED AMOUNTS TO BE AWARDED PURSUANT TO APPLICATIONS SUBMIT-  
37 TED IN RESPONSE TO THE REQUEST FOR APPLICATION NUMBER 1607010255 SHALL  
38 BE AWARDED NO LATER THAN MAY FIRST, TWO THOUSAND SEVENTEEN. THE COMMIS-  
39 SIONER SHALL NOT ISSUE A REQUEST FOR APPLICATION FOR THE REMAINING  
40 APPROPRIATED AMOUNTS ON OR BEFORE JUNE FIRST, TWO THOUSAND SEVENTEEN TO  
41 ALLOW STAKEHOLDER, COMMUNITY, AND LEGISLATIVE INPUT REGARDING PROGRAM  
42 ELIGIBILITY, AWARD CRITERIA AND THE PROCESS BY WHICH THE REMAINING FUNDS  
43 WILL BE AWARDED.

44 5. IN DETERMINING AWARDS FOR ELIGIBLE APPLICANTS UNDER THIS SECTION,  
45 THE COMMISSIONER SHALL CONSIDER STAKEHOLDER, COMMUNITY, AND LEGISLATIVE  
46 INPUT PURSUANT TO SUBDIVISION FOUR-A OF THIS SECTION, AND OTHER CRITERIA  
47 INCLUDING, BUT NOT LIMITED TO:

48 (A) THE EXTENT TO WHICH THE PROPOSED PROJECT WILL CONTRIBUTE TO THE  
49 INTEGRATION OF HEALTH CARE SERVICES OR THE LONG TERM SUSTAINABILITY OF  
50 THE APPLICANT OR PRESERVATION OF ESSENTIAL HEALTH SERVICES IN THE COMMU-  
51 NITY OR COMMUNITIES SERVED BY THE APPLICANT;

52 (B) THE EXTENT TO WHICH THE PROPOSED PROJECT OR PURPOSE IS ALIGNED  
53 WITH DELIVERY SYSTEM REFORM INCENTIVE PAYMENT ("DSRIP") PROGRAM GOALS  
54 AND OBJECTIVES;

55 (C) CONSIDERATION OF GEOGRAPHIC DISTRIBUTION OF FUNDS;

1 (D) THE RELATIONSHIP BETWEEN THE PROPOSED PROJECT AND IDENTIFIED  
2 COMMUNITY NEED;

3 (E) THE EXTENT TO WHICH THE APPLICANT HAS ACCESS TO ALTERNATIVE  
4 FINANCING;

5 (F) THE EXTENT THAT THE PROPOSED PROJECT FURTHERS THE DEVELOPMENT OF  
6 PRIMARY CARE AND OTHER OUTPATIENT SERVICES;

7 (G) THE EXTENT TO WHICH THE PROPOSED PROJECT BENEFITS MEDICAID ENROL-  
8 LEES AND UNINSURED INDIVIDUALS;

9 (H) THE EXTENT TO WHICH THE APPLICANT HAS ENGAGED THE COMMUNITY  
10 AFFECTED BY THE PROPOSED PROJECT AND THE MANNER IN WHICH COMMUNITY  
11 ENGAGEMENT HAS SHAPED SUCH PROJECT; AND

12 (I) THE EXTENT TO WHICH THE PROPOSED PROJECT ADDRESSES POTENTIAL RISK  
13 TO PATIENT SAFETY AND WELFARE.

14 6. DISBURSEMENT OF AWARDS MADE PURSUANT TO THIS SECTION SHALL BE  
15 CONDITIONED ON THE AWARDEE ACHIEVING CERTAIN PROCESS AND PERFORMANCE  
16 METRICS AND MILESTONES AS DETERMINED IN THE SOLE DISCRETION OF THE  
17 COMMISSIONER. SUCH METRICS AND MILESTONES SHALL BE STRUCTURED TO ENSURE  
18 THAT THE GOALS OF THE PROJECT ARE ACHIEVED, AND SUCH METRICS AND MILE-  
19 STONES SHALL BE INCLUDED IN GRANT DISBURSEMENT AGREEMENTS OR OTHER  
20 CONTRACTUAL DOCUMENTS AS REQUIRED BY THE COMMISSIONER.

21 7. THE DEPARTMENT SHALL PROVIDE A REPORT ON A QUARTERLY BASIS TO THE  
22 CHAIRS OF THE SENATE FINANCE, ASSEMBLY WAYS AND MEANS, AND SENATE HEALTH  
23 AND ASSEMBLY HEALTH COMMITTEES. SUCH REPORTS SHALL BE SUBMITTED NO LATER  
24 THAN SIXTY DAYS AFTER THE CLOSE OF THE QUARTER, AND SHALL INCLUDE, FOR  
25 EACH AWARD, THE NAME OF THE APPLICANT, A DESCRIPTION OF THE PROJECT OR  
26 PURPOSE, THE AMOUNT OF THE AWARD, DISBURSEMENT DATE, AND STATUS OF  
27 ACHIEVEMENT OF PROCESS AND PERFORMANCE METRICS AND MILESTONES PURSUANT  
28 TO SUBDIVISION FIVE OF THIS SECTION.

29 S 2. This act shall take effect immediately and shall be deemed to  
30 have been in full force and effect on and after April 1, 2017.

31 PART GGG

32 Section 1. Subparagraph (i) of paragraph (g) of subdivision 7 of  
33 section 4403-f of the public health law, as amended by section 41-b of  
34 part H of chapter 59 of the laws of 2011, is amended to read as follows:

35 (i) Managed long term care plans and demonstrations may enroll eligi-  
36 ble persons in the plan or demonstration upon the completion of a  
37 comprehensive assessment that shall include, but not be limited to, an  
38 evaluation of the medical, social, COGNITIVE, and environmental needs of  
39 each prospective enrollee in such program. This assessment shall also  
40 serve as the basis for the development and provision of an appropriate  
41 plan of care for the enrollee. Upon approval of federal waivers pursuant  
42 to paragraph (b) of this subdivision which require medical assistance  
43 recipients who require community-based long term care services to enroll  
44 in a plan, and upon approval of the commissioner, a plan may enroll an  
45 applicant who is currently receiving home and community-based services  
46 and complete the comprehensive assessment within thirty days of enroll-  
47 ment provided that the plan continues to cover transitional care until  
48 such time as the assessment is completed.

49 S 2. This act shall take effect immediately; provided, however, that  
50 the amendments to subparagraph (i) of paragraph (g) of subdivision 7 of  
51 section 4403-f of the public health law made by section one of this act  
52 shall not affect the expiration and reversion of such subparagraph and  
53 shall be deemed to expire therewith; provided, further that the amend-  
54 ments to subparagraph (i) of paragraph (g) of subdivision 7 of section

1 4403-f of the public health law made by section one of this act shall  
2 not affect the repeal of such section and shall be deemed repealed ther-  
3ewith.

4 PART HHH

5 Section 1. The education law is amended by adding a new section 669-h  
6 to read as follows:

7 S 669-H. EXCELSIOR SCHOLARSHIP. 1. ELIGIBILITY. AN EXCELSIOR SCHOLAR-  
8 SHIP AWARD SHALL BE MADE TO AN APPLICANT WHO: (A) IS MATRICULATED IN AN  
9 APPROVED PROGRAM LEADING TO AN UNDERGRADUATE DEGREE AT A NEW YORK STATE  
10 PUBLIC INSTITUTION OF HIGHER EDUCATION; (B) IF ENROLLED IN (I) A PUBLIC  
11 INSTITUTION OF HIGHER EDUCATION PRIOR TO APPLICATION, HAS COMPLETED AT  
12 LEAST THIRTY COMBINED CREDITS PER YEAR FOLLOWING THE STUDENT'S START  
13 DATE, OR ITS EQUIVALENT, APPLICABLE TO HIS OR HER PROGRAM OR PROGRAMS OF  
14 STUDY OR (II) AN INSTITUTION OF HIGHER EDUCATION PRIOR TO APPLICATION,  
15 HAS COMPLETED AT LEAST THIRTY COMBINED CREDITS PER YEAR FOLLOWING THE  
16 STUDENT'S START DATE, OR ITS EQUIVALENT, APPLICABLE TO HIS OR HER  
17 PROGRAM OR PROGRAMS OF STUDY AND WHICH WERE ACCEPTED UPON TRANSFER TO A  
18 PUBLIC INSTITUTION OF HIGHER EDUCATION; (C) ENROLLS IN AT LEAST TWELVE  
19 CREDITS PER SEMESTER AND COMPLETES AT LEAST THIRTY COMBINED CREDITS PER  
20 YEAR FOLLOWING THE STUDENT'S START DATE, OR ITS EQUIVALENT, APPLICABLE  
21 TO HIS OR HER PROGRAM OR PROGRAMS OF STUDY EXCEPT IN LIMITED CIRCUM-  
22 STANCES AS PRESCRIBED BY THE CORPORATION IN REGULATION. NOTWITHSTANDING,  
23 IN THE STUDENT'S LAST SEMESTER, THE STUDENT MAY TAKE AT LEAST ONE COURSE  
24 NEEDED TO MEET HIS OR HER GRADUATION REQUIREMENTS AND ENROLL IN AND  
25 COMPLETE AT LEAST TWELVE CREDIT HOURS OR ITS EQUIVALENT. FOR STUDENTS  
26 WHO ARE DISABLED AS DEFINED BY THE AMERICANS WITH DISABILITIES ACT OF  
27 1990, 42 USC 12101, THE CORPORATION SHALL PRESCRIBE RULES AND REGU-  
28 LATIONS THAT ALLOW APPLICANTS WHO ARE DISABLED TO BE ELIGIBLE FOR AN  
29 AWARD PURSUANT TO THIS SECTION BASED ON MODIFIED CRITERIA; (D) HAS AN  
30 ADJUSTED GROSS INCOME, AS DEFINED IN THIS SUBDIVISION, EQUAL TO OR LESS  
31 THAN: (I) ONE HUNDRED THOUSAND DOLLARS FOR RECIPIENTS RECEIVING AN AWARD  
32 IN THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN ACADEMIC YEAR; (II)  
33 ONE HUNDRED TEN THOUSAND DOLLARS FOR RECIPIENTS RECEIVING AN AWARD IN  
34 THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN ACADEMIC YEAR; AND  
35 (III) ONE HUNDRED TWENTY-FIVE THOUSAND DOLLARS FOR RECIPIENTS RECEIVING  
36 AN AWARD IN THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY ACADEMIC YEAR  
37 AND THEREAFTER; AND (E) COMPLIES WITH THE APPLICABLE PROVISIONS OF THIS  
38 ARTICLE AND ALL REQUIREMENTS PROMULGATED BY THE CORPORATION FOR THE  
39 ADMINISTRATION OF THE PROGRAM. ADJUSTED GROSS INCOME SHALL BE THE TOTAL  
40 OF THE COMBINED ADJUSTED GROSS INCOME OF THE APPLICANT AND THE APPLI-  
41 CANT'S PARENTS OR THE APPLICANT AND THE APPLICANT'S SPOUSE, IF MARRIED,  
42 AS REPORTED ON THE FEDERAL INCOME TAX RETURN, OR AS OTHERWISE OBTAINED  
43 BY THE CORPORATION, FOR THE CALENDAR YEAR COINCIDING WITH THE TAX YEAR  
44 ESTABLISHED BY THE U.S. DEPARTMENT OF EDUCATION TO QUALIFY APPLICANTS  
45 FOR FEDERAL STUDENT FINANCIAL AID PROGRAMS AUTHORIZED BY TITLE IV OF THE  
46 HIGHER EDUCATION ACT OF NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED, FOR THE  
47 SCHOOL YEAR IN WHICH APPLICATION FOR ASSISTANCE IS MADE.

48 2. AMOUNT. WITHIN AMOUNTS APPROPRIATED THEREFOR AND BASED ON AVAIL-  
49 ABILITY OF FUNDS, AWARDS SHALL BE GRANTED BEGINNING WITH THE TWO THOU-  
50 SAND SEVENTEEN--TWO THOUSAND EIGHTEEN ACADEMIC YEAR AND THEREAFTER TO  
51 APPLICANTS THAT THE CORPORATION HAS DETERMINED ARE ELIGIBLE TO RECEIVE  
52 SUCH AWARDS. THE CORPORATION SHALL GRANT SUCH AWARDS IN AN AMOUNT UP TO  
53 FIVE THOUSAND FIVE HUNDRED DOLLARS OR ACTUAL TUITION, WHICHEVER IS LESS;  
54 PROVIDED, HOWEVER, (A) A STUDENT WHO RECEIVES EDUCATIONAL GRANTS AND/OR

1 SCHOLARSHIPS THAT COVER THE STUDENT'S FULL COST OF ATTENDANCE SHALL NOT  
2 BE ELIGIBLE FOR AN AWARD UNDER THIS PROGRAM; AND (B) AN AWARD UNDER THIS  
3 PROGRAM SHALL BE APPLIED TO TUITION AFTER THE APPLICATION OF PAYMENTS  
4 RECEIVED UNDER THE TUITION ASSISTANCE PROGRAM PURSUANT TO SECTION SIX  
5 HUNDRED SIXTY-SEVEN OF THIS SUBPART, TUITION CREDITS PURSUANT TO SECTION  
6 SIX HUNDRED EIGHTY-NINE-A OF THIS ARTICLE, FEDERAL PELL GRANT PURSUANT  
7 TO SECTION ONE THOUSAND SEVENTY OF TITLE TWENTY OF THE UNITED STATES  
8 CODE, ET. SEQ., AND ANY OTHER PROGRAM THAT COVERS THE COST OF ATTEND-  
9 ANCE, AND THE AWARD UNDER THIS PROGRAM SHALL BE REDUCED IN THE AMOUNT  
10 EQUAL TO SUCH PAYMENTS, PROVIDED THAT THE COMBINED BENEFITS DO NOT  
11 EXCEED FIVE THOUSAND FIVE HUNDRED DOLLARS. UPON NOTIFICATION OF AN AWARD  
12 UNDER THIS PROGRAM, THE INSTITUTION SHALL DEFER THE AMOUNT OF TUITION.  
13 NOTWITHSTANDING PARAGRAPH H OF SUBDIVISION TWO OF SECTION THREE HUNDRED  
14 FIFTY-FIVE AND PARAGRAPH (A) OF SUBDIVISION SEVEN OF SECTION SIX THOU-  
15 SAND TWO HUNDRED SIX OF THIS CHAPTER, AND ANY OTHER LAW, RULE OR REGU-  
16 LATION TO THE CONTRARY, THE UNDERGRADUATE TUITION CHARGED BY THE INSTI-  
17 TUTION TO RECIPIENTS OF AN AWARD SHALL NOT EXCEED THE TUITION RATE  
18 ESTABLISHED BY THE INSTITUTION FOR THE TWO THOUSAND SIXTEEN--TWO THOU-  
19 SAND SEVENTEEN ACADEMIC YEAR PROVIDED, HOWEVER, THAT IN THE TWO THOUSAND  
20 TWENTY-ONE--TWO THOUSAND TWENTY-TWO ACADEMIC YEAR AND EVERY FOUR YEARS  
21 THEREAFTER, THE UNDERGRADUATE TUITION CHARGED BY THE INSTITUTION TO  
22 RECIPIENTS OF AN AWARD SHALL BE RESET TO EQUAL THE TUITION RATE ESTAB-  
23 LISHED BY THE INSTITUTION FOR THE FORTHCOMING ACADEMIC YEAR, PROVIDED  
24 FURTHER THAT THE TUITION CREDIT CALCULATED PURSUANT TO SECTION SIX  
25 HUNDRED EIGHTY-NINE-A OF THIS ARTICLE SHALL BE APPLIED TOWARD THE  
26 TUITION RATE CHARGED FOR RECIPIENTS OF AN AWARD UNDER THIS PROGRAM.  
27 PROVIDED FURTHER THAT THE STATE UNIVERSITY OF NEW YORK AND THE CITY  
28 UNIVERSITY OF NEW YORK SHALL PROVIDE AN ADDITIONAL TUITION CREDIT TO  
29 STUDENTS RECEIVING AN AWARD TO COVER THE REMAINING COST OF TUITION.

30 3. DURATION. AN ELIGIBLE RECIPIENT SHALL NOT RECEIVE AN AWARD FOR MORE  
31 THAN FOUR ACADEMIC YEARS OF FULL-TIME UNDERGRADUATE STUDY OR FIVE  
32 ACADEMIC YEARS IF THE PROGRAM OF STUDY NORMALLY REQUIRES FIVE YEARS. AN  
33 ELIGIBLE RECIPIENT ENROLLED IN AN ELIGIBLE TWO YEAR PROGRAM OF STUDY  
34 SHALL NOT RECEIVE AN AWARD FOR MORE THAN TWO ACADEMIC YEARS. NOTWITH-  
35 STANDING, SUCH DURATION MAY BE EXTENDED FOR AN ALLOWABLE INTERRUPTION OF  
36 STUDY INCLUDING, BUT NOT LIMITED TO, DEATH OF A FAMILY MEMBER, MEDICAL  
37 LEAVE, MILITARY SERVICE, AND PARENTAL LEAVE, AS ESTABLISHED BY THE  
38 CORPORATION IN REGULATION.

39 4. CONDITIONS. (A) AN APPLICANT WHO WOULD BE ELIGIBLE FOR A NEW YORK  
40 STATE TUITION ASSISTANCE PROGRAM AWARD PURSUANT TO SECTION SIX HUNDRED  
41 SIXTY-SEVEN OF THIS SUBPART AND/OR A FEDERAL PELL GRANT PURSUANT TO  
42 SECTION ONE THOUSAND SEVENTY OF TITLE TWENTY OF THE UNITED STATES CODE,  
43 ET. SEQ., IS REQUIRED TO APPLY FOR EACH SUCH AWARD.

44 (B) AN APPLICANT WHO HAS EARNED A BACHELOR'S DEGREE IS INELIGIBLE TO  
45 RECEIVE AN AWARD PURSUANT TO THIS SECTION.

46 (C) AN APPLICANT WHO HAS EARNED AN ASSOCIATE'S DEGREE IS INELIGIBLE TO  
47 RECEIVE AN AWARD FOR A TWO YEAR PROGRAM OF STUDY PURSUANT TO THIS  
48 SECTION.

49 (D) NOTWITHSTANDING PARAGRAPH C OF SUBDIVISION FOUR OF SECTION SIX  
50 HUNDRED SIXTY-ONE OF THIS PART, A SCHOOL SHALL CERTIFY THAT A RECIPIENT  
51 HAS ACHIEVED A GRADE POINT AVERAGE NECESSARY FOR SUCCESSFUL COMPLETION  
52 OF HIS OR HER COURSEWORK TO RECEIVE PAYMENT UNDER THE AWARD.

53 (E) A RECIPIENT SHALL AGREE TO RESIDE EXCLUSIVELY IN NEW YORK STATE,  
54 AND SHALL NOT BE EMPLOYED IN ANY OTHER STATE, FOR A CONTINUOUS NUMBER OF  
55 YEARS EQUAL TO THE DURATION OF THE AWARD RECEIVED WITHIN SIX MONTHS OF  
56 RECEIPT OF HIS OR HER FINAL AWARD PAYMENT, AND SIGN A CONTRACT WITH THE

1 CORPORATION TO HAVE HIS OR HER FULL AWARD CONVERTED TO A STUDENT LOAN  
2 ACCORDING TO A SCHEDULE TO BE DETERMINED BY THE CORPORATION IF SUCH  
3 STUDENT FAILS TO FULFILL THIS REQUIREMENT. THE TERMS AND CONDITIONS OF  
4 THIS PARAGRAPH MAY, AS ESTABLISHED BY THE RULES AND REGULATIONS OF THE  
5 CORPORATION, BE DEFERRED: (I) TO COMPLETE UNDERGRADUATE STUDY; OR (II)  
6 TO ATTEND GRADUATE SCHOOL ON AT LEAST A HALF-TIME BASIS. ANY OBLIGATION  
7 TO COMPLY WITH SUCH PROVISIONS AS OUTLINED IN THIS PARAGRAPH MAY BE  
8 CANCELLED UPON THE DEATH OF THE RECIPIENT. NOTWITHSTANDING ANY  
9 PROVISIONS OF THIS PARAGRAPH TO THE CONTRARY, THE CORPORATION IS AUTHOR-  
10 IZED TO PROMULGATE RULES AND REGULATIONS TO PROVIDE FOR THE WAIVER OR  
11 SUSPENSION OF ANY FINANCIAL OBLIGATION WHICH WOULD INVOLVE EXTREME HARD-  
12 SHIP.

13 (F) NOTWITHSTANDING PARAGRAPH (C) OF SUBDIVISION ONE OF THIS SECTION,  
14 A STUDENT WHO OTHERWISE SATISFIES ALL OF THE REQUIREMENTS UNDER THIS  
15 SECTION BUT FAILS TO COMPLETE AT LEAST THIRTY COMBINED CREDITS, OR ITS  
16 EQUIVALENT, APPLICABLE TO HIS OR HER PROGRAM OR PROGRAMS OF STUDY IN ANY  
17 YEAR SHALL BE ELIGIBLE TO RECEIVE AN AWARD PAYMENT FOR THE FIRST SEMES-  
18 TER OF SUCH YEAR, PROVIDED HOWEVER, THE STUDENT SHALL BE INELIGIBLE FOR  
19 ANY FURTHER PAYMENTS UNDER THIS SECTION.

20 5. RECIPIENT SELECTION. THE PRESIDENT MAY ESTABLISH: (A) AN APPLICA-  
21 TION DEADLINE AND (B) A METHOD OF SELECTING RECIPIENTS IF IN ANY GIVEN  
22 YEAR THERE ARE INSUFFICIENT FUNDS TO COVER THE NEEDS OF ALL THE APPLI-  
23 CANTS PROVIDED THAT PRIORITY SHALL BE GIVEN TO ELIGIBLE APPLICANTS WHO  
24 ARE CURRENTLY IN ATTENDANCE AT A PUBLIC INSTITUTION OF HIGHER EDUCATION.

25 6. RULES AND REGULATIONS. THE CORPORATION IS AUTHORIZED TO PROMULGATE  
26 RULES AND REGULATIONS, AND MAY PROMULGATE EMERGENCY REGULATIONS, NECES-  
27 SARY FOR THE IMPLEMENTATION OF THE PROVISIONS OF THIS SECTION INCLUDING,  
28 BUT NOT LIMITED TO, THE CRITERIA FOR DISTRIBUTING THE AWARDS, WHICH MAY  
29 INCLUDE A LOTTERY OR OTHER FORM OF RANDOM SELECTION.

30 S 2. This act shall take effect immediately.

31 PART III

32 Section 1. The education law is amended by adding a new section 667-d  
33 to read as follows:

34 S 667-D. ENHANCED TUITION AWARDS. 1. RECIPIENT QUALIFICATIONS. A.  
35 ESTABLISHMENT. ENHANCED TUITION AWARDS ARE AVAILABLE FOR STUDENTS WHO  
36 ARE ENROLLED IN APPROVED PROGRAMS IN PRIVATE NOT-FOR-PROFIT DEGREE  
37 GRANTING INSTITUTIONS EXCEPT THOSE INSTITUTIONS SET FORTH IN PARAGRAPH B  
38 OF SUBDIVISION FOUR OF SECTION SIX HUNDRED SIXTY-ONE OF THIS PART AND  
39 WHO DEMONSTRATE THE ABILITY TO COMPLETE SUCH COURSES, IN ACCORDANCE WITH  
40 STANDARDS ESTABLISHED BY THE COMMISSIONER; PROVIDED, THAT, NO AWARD  
41 SHALL EXCEED ONE HUNDRED PERCENT OF THE AMOUNT OF TUITION CHARGED.

42 B. APPLICATION FOR OTHER AWARDS. A STUDENT WHO WOULD BE ELIGIBLE FOR A  
43 TUITION ASSISTANCE PROGRAM AWARD PURSUANT TO SECTION SIX HUNDRED SIXTY-  
44 SEVEN OF THIS SUBPART AND/OR A FEDERAL PELL GRANT PURSUANT TO SECTION  
45 ONE THOUSAND SEVENTY OF TITLE TWENTY OF THE UNITED STATES CODE, ET.  
46 SEQ., IS REQUIRED TO APPLY FOR EACH SUCH AWARD. ANY AWARD SHALL BE  
47 APPLIED TO TUITION AFTER THE APPLICATION OF PAYMENTS RECEIVED UNDER THE  
48 TUITION ASSISTANCE PROGRAM PURSUANT TO SECTION SIX HUNDRED SIXTY-SEVEN  
49 OF THIS SUBPART.

50 C. GPA REQUIREMENTS. NOTWITHSTANDING PARAGRAPH C OF SUBDIVISION FOUR  
51 OF SECTION SIX HUNDRED SIXTY-ONE OF THIS PART, A SCHOOL SHALL CERTIFY  
52 THAT A RECIPIENT HAS ACHIEVED A GRADE POINT AVERAGE NECESSARY FOR  
53 SUCCESSFUL COMPLETION OF HIS OR HER COURSEWORK TO RECEIVE PAYMENT UNDER  
54 THE AWARD.



1 D. CREDIT REQUIREMENTS. AN AWARD SHALL BE MADE TO AN APPLICANT WHO:  
2 (I) IF ENROLLED IN (A) A PRIVATE INSTITUTION OF HIGHER EDUCATION PRIOR  
3 TO APPLICATION, HAS COMPLETED AT LEAST THIRTY COMBINED CREDITS PER YEAR  
4 FOLLOWING THE STUDENT'S START DATE, OR ITS EQUIVALENT, APPLICABLE TO HIS  
5 OR HER PROGRAM OR PROGRAMS OF STUDY OR (B) A PUBLIC INSTITUTION OF HIGH-  
6 ER EDUCATION PRIOR TO APPLICATION, HAS COMPLETED AT LEAST THIRTY  
7 COMBINED CREDITS PER YEAR FOLLOWING THE STUDENT'S START DATE, OR ITS  
8 EQUIVALENT, APPLICABLE TO HIS OR HER PROGRAM OR PROGRAMS OF STUDY AND  
9 WHICH WERE ACCEPTED UPON TRANSFER TO A PRIVATE INSTITUTION OF HIGHER  
10 EDUCATION; (II) ENROLLS IN AT LEAST TWELVE CREDITS PER SEMESTER AND  
11 COMPLETES AT LEAST THIRTY COMBINED CREDITS PER YEAR FOLLOWING THE  
12 STUDENT'S START DATE, OR ITS EQUIVALENT, APPLICABLE TO HIS OR HER  
13 PROGRAM OR PROGRAMS OF STUDY EXCEPT IN LIMITED CIRCUMSTANCES AS  
14 PRESCRIBED BY THE CORPORATION IN REGULATION. NOTWITHSTANDING, IN THE  
15 STUDENT'S LAST SEMESTER, THE STUDENT MAY TAKE AT LEAST ONE COURSE NEEDED  
16 TO MEET HIS OR HER GRADUATION REQUIREMENTS AND ENROLL IN AND COMPLETE AT  
17 LEAST TWELVE CREDIT HOURS OR ITS EQUIVALENT. FOR STUDENTS WHO ARE DISA-  
18 BLED AS DEFINED BY THE AMERICANS WITH DISABILITIES ACT OF 1990, 42 USC  
19 12101, THE CORPORATION SHALL PRESCRIBE RULES AND REGULATIONS THAT ALLOW  
20 APPLICANTS WHO ARE DISABLED TO BE ELIGIBLE FOR AN AWARD PURSUANT TO THIS  
21 SECTION BASED ON MODIFIED CRITERIA.

22 E. NOTWITHSTANDING PARAGRAPH D OF THIS SUBDIVISION, A STUDENT WHO  
23 OTHERWISE SATISFIES ALL OF THE REQUIREMENTS UNDER THIS SECTION BUT FAILS  
24 TO COMPLETE AT LEAST THIRTY COMBINED CREDITS, OR ITS EQUIVALENT, APPLI-  
25 CABLE TO HIS OR HER PROGRAM OR PROGRAMS OF STUDY IN ANY YEAR SHALL BE  
26 ELIGIBLE TO RECEIVE AN AWARD PAYMENT FOR THE FIRST SEMESTER OF SUCH  
27 YEAR, PROVIDED HOWEVER, THE STUDENT SHALL BE INELIGIBLE FOR ANY FURTHER  
28 PAYMENTS UNDER THIS SECTION.

29 F. ADDITIONAL REQUIREMENTS. A RECIPIENT SHALL AGREE TO RESIDE EXCLU-  
30 SIVELY IN NEW YORK STATE, AND SHALL NOT BE EMPLOYED IN ANY OTHER STATE,  
31 FOR A CONTINUOUS NUMBER OF YEARS EQUAL TO THE DURATION OF THE AWARD  
32 RECEIVED WITHIN SIX MONTHS OF RECEIPT OF HIS OR HER FINAL AWARD PAYMENT,  
33 AND SIGN A CONTRACT WITH THE CORPORATION TO HAVE HIS OR HER FULL AWARD  
34 CONVERTED TO A STUDENT LOAN ACCORDING TO A SCHEDULE TO BE DETERMINED BY  
35 THE CORPORATION IF SUCH STUDENT FAILS TO FULFILL THIS REQUIREMENT. THE  
36 TERMS AND CONDITIONS OF THIS PARAGRAPH MAY, AS ESTABLISHED BY THE RULES  
37 AND REGULATIONS OF THE CORPORATION, BE DEFERRED: (I) TO COMPLETE UNDER-  
38 GRADUATE STUDY; OR (II) TO ATTEND GRADUATE SCHOOL ON AT LEAST A  
39 HALF-TIME BASIS. ANY OBLIGATION TO COMPLY WITH SUCH PROVISIONS AS  
40 OUTLINED IN THIS PARAGRAPH MAY BE CANCELLED UPON THE DEATH OF THE RECIP-  
41 IENT. NOTWITHSTANDING ANY PROVISIONS OF THIS PARAGRAPH TO THE CONTRARY,  
42 THE CORPORATION IS AUTHORIZED TO PROMULGATE RULES AND REGULATIONS TO  
43 PROVIDE FOR THE WAIVER OR SUSPENSION OF ANY FINANCIAL OBLIGATION WHICH  
44 WOULD INVOLVE EXTREME HARDSHIP.

45 G. FAILURE TO MEET THE CONDITIONS OF THE AWARD SHALL NOT OTHERWISE  
46 DISQUALIFY A STUDENT'S ELIGIBILITY TO RECEIVE AN AWARD UNDER SECTION SIX  
47 HUNDRED SIXTY-SEVEN OF THIS SUBPART.

48 2. DURATION. NO UNDERGRADUATE SHALL BE ELIGIBLE FOR MORE THAN FOUR  
49 ACADEMIC YEARS OF STUDY, OR FIVE ACADEMIC YEARS IF THE PROGRAM OF STUDY  
50 NORMALLY REQUIRES FIVE YEARS. AN UNDERGRADUATE STUDENT ENROLLED IN AN  
51 ELIGIBLE TWO-YEAR PROGRAM APPROVED BY THE COMMISSIONER SHALL BE ELIGIBLE  
52 FOR NO MORE THAN TWO ACADEMIC YEARS. UNDER NO CIRCUMSTANCES SHALL A  
53 STUDENT RECEIVE AN AWARD FOR A TWO-YEAR PROGRAM FOR MORE THAN TWO  
54 CONSECUTIVE YEARS OF ACADEMIC STUDY OR FOUR CONSECUTIVE SEMESTERS OF  
55 ACADEMIC STUDY; OR AT A FOUR OR FIVE-YEAR PROGRAM, FOR MORE THAN FOUR  
56 CONSECUTIVE YEARS OR EIGHT CONSECUTIVE SEMESTERS OF ACADEMIC STUDY OR

1 FIVE CONSECUTIVE YEARS, OR TEN CONSECUTIVE SEMESTERS OF STUDY IF THE  
2 PROGRAM NORMALLY REQUIRES FIVE YEARS. NOTWITHSTANDING, SUCH DURATION  
3 MAY BE EXTENDED FOR AN ALLOWABLE INTERRUPTION OF STUDY INCLUDING, BUT  
4 NOT LIMITED TO, DEATH OF A FAMILY MEMBER, MEDICAL LEAVE, MILITARY  
5 SERVICE, AND PARENTAL LEAVE, AS ESTABLISHED BY THE CORPORATION IN REGU-  
6 LATION.

7 3. INCOME. AN AWARD SHALL BE MADE TO AN APPLICANT WHO HAS AN ADJUSTED  
8 GROSS INCOME, AS DEFINED IN THIS SUBDIVISION, EQUAL TO OR LESS THAN: (I)  
9 ONE HUNDRED THOUSAND DOLLARS FOR RECIPIENTS RECEIVING AN AWARD IN THE  
10 TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN ACADEMIC YEAR; (II) ONE  
11 HUNDRED TEN THOUSAND DOLLARS FOR RECIPIENTS RECEIVING AN AWARD IN THE  
12 TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN ACADEMIC YEAR; AND (III)  
13 ONE HUNDRED TWENTY-FIVE THOUSAND DOLLARS FOR RECIPIENTS RECEIVING AN  
14 AWARD IN THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY ACADEMIC YEAR  
15 AND THEREAFTER. ADJUSTED GROSS INCOME SHALL BE THE TOTAL OF THE COMBINED  
16 ADJUSTED GROSS INCOME OF THE APPLICANT AND THE APPLICANT'S PARENTS OR  
17 THE APPLICANT AND THE APPLICANT'S SPOUSE, IF MARRIED, AS REPORTED ON THE  
18 FEDERAL INCOME TAX RETURN, OR AS OTHERWISE OBTAINED BY THE CORPORATION,  
19 FOR THE CALENDAR YEAR COINCIDING WITH THE TAX YEAR ESTABLISHED BY THE  
20 U.S. DEPARTMENT OF EDUCATION TO QUALIFY APPLICANTS FOR FEDERAL STUDENT  
21 FINANCIAL AID PROGRAMS AUTHORIZED BY TITLE IV OF THE HIGHER EDUCATION  
22 ACT OF NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED, FOR THE SCHOOL YEAR IN  
23 WHICH APPLICATION FOR ASSISTANCE IS MADE.

24 4. AMOUNT. WITHIN THE AMOUNTS APPROPRIATED THEREFOR AND BASED ON  
25 AVAILABILITY OF FUNDS, AWARDS SHALL BE GRANTED BEGINNING WITH THE TWO  
26 THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN ACADEMIC YEAR AND THEREAFTER  
27 TO APPLICANTS THAT THE CORPORATION HAS DETERMINED ARE ELIGIBLE TO  
28 RECEIVE SUCH AWARDS. THE AMOUNT OF THE AWARD UNDER THIS PROGRAM SHALL BE  
29 SUCH THAT THE SUM OF THE AWARD PLUS A STUDENT'S TUITION ASSISTANCE  
30 PROGRAM AWARD PURSUANT TO SECTION SIX HUNDRED SIXTY-SEVEN OF THIS  
31 SUBPART PLUS THE INSTITUTION'S MATCHING AWARD PURSUANT TO SUBDIVISION  
32 FIVE OF THIS SECTION SHALL EQUAL SIX THOUSAND DOLLARS.

33 5. MATCHING AWARDS. COMMENCING WITH THE TWO THOUSAND SEVENTEEN--TWO  
34 THOUSAND EIGHTEEN ACADEMIC YEAR AND THEREAFTER, PARTICIPATING INSTI-  
35 TUTIONS SHALL CREDIT EACH RECIPIENT'S REMAINING TUITION EXPENSES IN AN  
36 AMOUNT EQUAL TO THE RECIPIENT'S AWARD UNDER THIS SECTION. SUCH CREDIT  
37 SHALL BE APPLIED AFTER THE RECIPIENT HAS RECEIVED AN INSTITUTIONAL AID  
38 PACKAGE, IF ANY, TO ENSURE THAT THIS PROGRAM DOES NOT REDUCE INSTITU-  
39 TIONAL AID THAT WOULD OTHERWISE BE GRANTED.

40 6. TUITION. THE RATE OF TUITION CHARGED TO AN INDIVIDUAL RECEIVING AN  
41 AWARD SHALL NOT BE INCREASED FOR THE DURATION OF TIME THAT SUCH INDIVID-  
42 UAL RECEIVES AN AWARD.

43 7. COLLEGE OPTION. AN INSTITUTION MAY CHOOSE NOT TO PARTICIPATE IN THE  
44 PROGRAM AND STUDENTS ATTENDING ANY NON-PARTICIPATING COLLEGE MAY STILL  
45 BE ELIGIBLE TO RECEIVE AN AWARD PURSUANT TO SECTION SIX HUNDRED  
46 SIXTY-SEVEN OF THIS SUBPART.

47 8. RECIPIENT SELECTION. THE PRESIDENT MAY ESTABLISH: A. AN APPLICATION  
48 DEADLINE AND B. A METHOD OF SELECTING RECIPIENTS IF IN ANY GIVEN YEAR  
49 THERE ARE INSUFFICIENT FUNDS TO COVER THE NEEDS OF ALL THE APPLICANTS  
50 PROVIDED THAT PRIORITY SHALL BE GIVEN TO ELIGIBLE APPLICANTS WHO ARE  
51 CURRENTLY IN ATTENDANCE AT AN INSTITUTION OF HIGHER EDUCATION.

52 9. RULES AND REGULATIONS. THE CORPORATION IS AUTHORIZED TO PROMULGATE  
53 RULES AND REGULATIONS, AND MAY PROMULGATE EMERGENCY REGULATIONS, NECES-  
54 SARY FOR THE IMPLEMENTATION OF THE PROVISIONS OF THIS SECTION INCLUDING,  
55 BUT NOT LIMITED TO, THE CRITERIA FOR DISTRIBUTING THE AWARDS, WHICH MAY  
56 INCLUDE A LOTTERY OR OTHER FORM OF RANDOM SELECTION.

1 S 2. This act shall take effect immediately.

2 PART JJJ

3 Section 1. Subparagraph 4 of paragraph h of subdivision 2 of section  
4 355 of the education law, as amended by section 1 of part D of chapter  
5 54 of the laws of 2016, is amended to read as follows:

6 (4) The trustees shall not impose a differential tuition charge based  
7 upon need or income. Except as hereinafter provided, all students  
8 enrolled in programs leading to like degrees at state-operated insti-  
9 tutions of the state university shall be charged a uniform rate of  
10 tuition except for differential tuition rates based on state residency.  
11 Provided, however, that the trustees may authorize the presidents of the  
12 colleges of technology and the colleges of agriculture and technology to  
13 set differing rates of tuition for each of the colleges for students  
14 enrolled in degree-granting programs leading to an associate degree and  
15 non-degree granting programs so long as such tuition rate does not  
16 exceed the tuition rate charged to students who are enrolled in like  
17 degree programs or degree-granting undergraduate programs leading to a  
18 baccalaureate degree at other state-operated institutions of the state  
19 university of New York. Notwithstanding any other provision of this  
20 subparagraph, the trustees may authorize the setting of a separate cate-  
21 gory of tuition rate, that shall be greater than the tuition rate for  
22 resident students and less than the tuition rate for non-resident  
23 students, only for students enrolled in distance learning courses who  
24 are not residents of the state. Except as otherwise authorized in this  
25 subparagraph, the trustees shall not adopt changes affecting tuition  
26 charges prior to the enactment of the annual budget, provided however  
27 that:

28 (i) Commencing with the two thousand eleven--two thousand twelve  
29 academic year and ending in the two thousand fifteen--two thousand  
30 sixteen academic year the state university of New York board of trustees  
31 shall be empowered to increase the resident undergraduate rate of  
32 tuition by not more than three hundred dollars over the resident under-  
33 graduate rate of tuition adopted by the board of trustees in the prior  
34 academic year, provided however that commencing with the two thousand  
35 eleven--two thousand twelve academic year [and each year thereafter] AND  
36 ENDING IN THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN ACADEMIC YEAR  
37 if the annual resident undergraduate rate of tuition would exceed five  
38 thousand dollars, then a tuition credit for each eligible student, as  
39 determined and calculated by the New York state higher education  
40 services corporation pursuant to section six hundred eighty-nine-a of  
41 this title, shall be applied toward the tuition charged for each semes-  
42 ter, quarter or term of study. Tuition for each semester, quarter or  
43 term of study shall not be due for any student eligible to receive such  
44 tuition credit until the tuition credit is calculated and applied  
45 against the tuition charged for the corresponding semester, quarter or  
46 term.

47 (ii) COMMENCING WITH THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN  
48 ACADEMIC YEAR AND ENDING IN THE TWO THOUSAND TWENTY--TWO THOUSAND TWEN-  
49 TY-ONE ACADEMIC YEAR THE STATE UNIVERSITY OF NEW YORK BOARD OF TRUSTEES  
50 SHALL BE EMPOWERED TO INCREASE THE RESIDENT UNDERGRADUATE RATE OF  
51 TUITION BY NOT MORE THAN TWO HUNDRED DOLLARS OVER THE RESIDENT UNDER-  
52 GRADUATE RATE OF TUITION ADOPTED BY THE BOARD OF TRUSTEES IN THE PRIOR  
53 ACADEMIC YEAR, PROVIDED, HOWEVER THAT IF THE ANNUAL RESIDENT UNDERGRADU-  
54 ATE RATE OF TUITION WOULD EXCEED FIVE THOUSAND DOLLARS, THEN A TUITION

1 CREDIT FOR EACH ELIGIBLE STUDENT, AS DETERMINED AND CALCULATED BY THE  
2 NEW YORK STATE HIGHER EDUCATION SERVICES CORPORATION PURSUANT TO SECTION  
3 SIX HUNDRED EIGHTY-NINE-A OF THIS TITLE, SHALL BE APPLIED TOWARD THE  
4 TUITION CHARGED FOR EACH SEMESTER, QUARTER OR TERM OF STUDY. TUITION FOR  
5 EACH SEMESTER, QUARTER OR TERM OF STUDY SHALL NOT BE DUE FOR ANY STUDENT  
6 ELIGIBLE TO RECEIVE SUCH TUITION CREDIT UNTIL THE TUITION CREDIT IS  
7 CALCULATED AND APPLIED AGAINST THE TUITION CHARGED FOR THE CORRESPONDING  
8 SEMESTER, QUARTER OR TERM. PROVIDED, FURTHER THAT THE REVENUE RESULTING  
9 FROM AN INCREASE IN THE RATE OF TUITION SHALL BE ALLOCATED TO EACH  
10 CAMPUS PURSUANT TO A PLAN APPROVED BY THE BOARD OF TRUSTEES TO SUPPORT  
11 INVESTMENTS IN NEW CLASSROOM FACULTY, INSTRUCTION, INITIATIVES TO  
12 IMPROVE STUDENT SUCCESS AND ON-TIME COMPLETION AND A TUITION CREDIT FOR  
13 EACH ELIGIBLE STUDENT.

14 (III) On or before November thirtieth, two thousand [eleven]  
15 SEVENTEEN, the trustees shall approve and submit to the chairs of the  
16 assembly ways and means committee and the senate finance committee and  
17 to the director of the budget a master tuition plan setting forth the  
18 tuition rates that the trustees propose for resident undergraduate  
19 students for the [five] FOUR year period commencing with the two thou-  
20 sand [eleven] SEVENTEEN--two thousand [twelve] EIGHTEEN academic year  
21 and ending in the two thousand [fifteen] TWENTY--two thousand [sixteen]  
22 TWENTY-ONE academic year, and shall submit any proposed amendments to  
23 such plan by November thirtieth of each subsequent year thereafter  
24 through November thirtieth, two thousand [fifteen] TWENTY, and provided  
25 further, that with the approval of the board of trustees, each universi-  
26 ty center may increase non-resident undergraduate tuition rates each  
27 year by not more than ten percent over the tuition rates of the prior  
28 academic year for a six year period commencing with the two thousand  
29 eleven--two thousand twelve academic year and ending in the two thousand  
30 sixteen--two thousand seventeen academic year.

31 [(iii)] (IV) Beginning in state fiscal year two thousand twelve-two  
32 thousand thirteen and ending in state fiscal year two thousand fifteen-  
33 -two thousand sixteen, the state shall appropriate and make available  
34 general fund operating support, including fringe benefits, for the state  
35 university in an amount not less than the amount appropriated and made  
36 available in the prior state fiscal year; provided, however, that if the  
37 governor declares a fiscal emergency, and communicates such emergency to  
38 the temporary president of the senate and speaker of the assembly, state  
39 support for operating expenses at the state university and city univer-  
40 sity may be reduced in a manner proportionate to one another, and the  
41 aforementioned provisions shall not apply.

42 (V) BEGINNING IN STATE FISCAL YEAR TWO THOUSAND SEVENTEEN--TWO THOU-  
43 SAND EIGHTEEN AND ENDING IN STATE FISCAL YEAR TWO THOUSAND TWENTY--TWO  
44 THOUSAND TWENTY-ONE, THE STATE SHALL APPROPRIATE AND MAKE AVAILABLE  
45 GENERAL FUND OPERATING SUPPORT, INCLUDING FRINGE BENEFITS, FOR THE STATE  
46 UNIVERSITY IN AN AMOUNT NOT LESS THAN THE AMOUNT APPROPRIATED AND MADE  
47 AVAILABLE IN THE PRIOR STATE FISCAL YEAR; PROVIDED, HOWEVER, THAT IF THE  
48 GOVERNOR DECLARES A FISCAL EMERGENCY, AND COMMUNICATES SUCH EMERGENCY TO  
49 THE TEMPORARY PRESIDENT OF THE SENATE AND SPEAKER OF THE ASSEMBLY, STATE  
50 SUPPORT FOR OPERATING EXPENSES AT THE STATE UNIVERSITY AND CITY UNIVER-  
51 SITY MAY BE REDUCED IN A MANNER PROPORTIONATE TO ONE ANOTHER, AND THE  
52 AFOREMENTIONED PROVISIONS SHALL NOT APPLY; PROVIDED FURTHER, THE STATE  
53 SHALL APPROPRIATE AND MAKE AVAILABLE GENERAL FUND SUPPORT TO FULLY FUND  
54 THE TUITION CREDIT PURSUANT TO SUBDIVISION TWO OF SECTION SIX HUNDRED  
55 SIXTY-NINE-H OF THIS TITLE.

1 [(iv)] (VI) For the state university fiscal years commencing two thou-  
2 sand eleven--two thousand twelve and ending two thousand fifteen--two  
3 thousand sixteen, each university center may set aside a portion of its  
4 tuition revenues derived from tuition increases to provide increased  
5 financial aid for New York state resident undergraduate students whose  
6 net taxable income is eighty thousand dollars or more subject to the  
7 approval of a NY-SUNY 2020 proposal by the governor and the chancellor  
8 of the state university of New York. Nothing in this paragraph shall be  
9 construed as to authorize that students whose net taxable income is  
10 eighty thousand dollars or more are eligible for tuition assistance  
11 program awards pursuant to section six hundred sixty-seven of this chap-  
12 ter.

13 S 2. Paragraph (a) of subdivision 7 of section 6206 of the education  
14 law, as amended by section 2 of part D of chapter 54 of the laws of  
15 2016, is amended to read as follows:

16 (a) The board of trustees shall establish positions, departments,  
17 divisions and faculties; appoint and in accordance with the provisions  
18 of law fix salaries of instructional and non-instructional employees  
19 therein; establish and conduct courses and curricula; prescribe condi-  
20 tions of student admission, attendance and discharge; and shall have the  
21 power to determine in its discretion whether tuition shall be charged  
22 and to regulate tuition charges, and other instructional and non-in-  
23 structional fees and other fees and charges at the educational units of  
24 the city university. The trustees shall review any proposed community  
25 college tuition increase and the justification for such increase. The  
26 justification provided by the community college for such increase shall  
27 include a detailed analysis of ongoing operating costs, capital, debt  
28 service expenditures, and all revenues. The trustees shall not impose a  
29 differential tuition charge based upon need or income. All students  
30 enrolled in programs leading to like degrees at the senior colleges  
31 shall be charged a uniform rate of tuition, except for differential  
32 tuition rates based on state residency. Notwithstanding any other  
33 provision of this paragraph, the trustees may authorize the setting of a  
34 separate category of tuition rate, that shall be greater than the  
35 tuition rate for resident students and less than the tuition rate for  
36 non-resident students, only for students enrolled in distance learning  
37 courses who are not residents of the state; provided, however, that:

38 (i) Commencing with the two thousand eleven--two thousand twelve  
39 academic year and ending in the two thousand fifteen--two thousand  
40 sixteen academic year, the city university of New York board of trustees  
41 shall be empowered to increase the resident undergraduate rate of  
42 tuition by not more than three hundred dollars over the resident under-  
43 graduate rate of tuition adopted by the board of trustees in the prior  
44 academic year, provided however that commencing with the two thousand  
45 eleven--two thousand twelve academic year and [each year thereafter]  
46 ENDING WITH THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN ACADEMIC  
47 YEAR if the annual resident undergraduate rate of tuition would exceed  
48 five thousand dollars, then a tuition credit for each eligible student,  
49 as determined and calculated by the New York state higher education  
50 services corporation pursuant to section six hundred eighty-nine-a of  
51 this chapter, shall be applied toward the tuition charged for each  
52 semester, quarter or term of study. Tuition for each semester, quarter  
53 or term of study shall not be due for any student eligible to receive  
54 such tuition credit until the tuition credit is calculated and applied  
55 against the tuition charged for the corresponding semester, quarter or  
56 term.

1 (ii) COMMENCING WITH THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN  
2 ACADEMIC YEAR AND ENDING IN THE TWO THOUSAND TWENTY--TWO THOUSAND TWEN-  
3 TY-ONE ACADEMIC YEAR THE CITY UNIVERSITY OF NEW YORK BOARD OF TRUSTEES  
4 SHALL BE EMPOWERED TO INCREASE THE RESIDENT UNDERGRADUATE RATE OF  
5 TUITION BY NOT MORE THAN TWO HUNDRED DOLLARS OVER THE RESIDENT UNDER-  
6 GRADUATE RATE OF TUITION ADOPTED BY THE BOARD OF TRUSTEES IN THE PRIOR  
7 ACADEMIC YEAR, PROVIDED HOWEVER THAT IF THE ANNUAL RESIDENT UNDERGRADU-  
8 ATE RATE OF TUITION WOULD EXCEED FIVE THOUSAND DOLLARS, THEN A TUITION  
9 CREDIT FOR EACH ELIGIBLE STUDENT, AS DETERMINED AND CALCULATED BY THE  
10 NEW YORK STATE HIGHER EDUCATION SERVICES CORPORATION PURSUANT TO SECTION  
11 SIX HUNDRED EIGHTY-NINE-A OF THIS TITLE, SHALL BE APPLIED TOWARD THE  
12 TUITION CHARGED FOR EACH SEMESTER, QUARTER OR TERM OF STUDY. TUITION FOR  
13 EACH SEMESTER, QUARTER OR TERM OF STUDY SHALL NOT BE DUE FOR ANY STUDENT  
14 ELIGIBLE TO RECEIVE SUCH TUITION CREDIT UNTIL THE TUITION CREDIT IS  
15 CALCULATED AND APPLIED AGAINST THE TUITION CHARGED FOR THE CORRESPONDING  
16 SEMESTER, QUARTER OR TERM. PROVIDED, FURTHER THAT THE REVENUE RESULTING  
17 FROM AN INCREASE IN THE RATE OF TUITION SHALL BE ALLOCATED TO EACH  
18 CAMPUS PURSUANT TO A PLAN APPROVED BY THE BOARD OF TRUSTEES TO SUPPORT  
19 INVESTMENTS IN NEW CLASSROOM FACULTY, INSTRUCTION, INITIATIVES TO  
20 IMPROVE STUDENT SUCCESS AND ON-TIME COMPLETION AND A TUITION CREDIT FOR  
21 EACH ELIGIBLE STUDENT.

22 (III) On or before November thirtieth, two thousand [eleven]  
23 SEVENTEEN, the trustees shall approve and submit to the chairs of the  
24 assembly ways and means committee and the senate finance committee and  
25 to the director of the budget a master tuition plan setting forth the  
26 tuition rates that the trustees propose for resident undergraduate  
27 students for the [five] FOUR year period commencing with the two thou-  
28 sand [eleven] SEVENTEEN--two thousand [twelve] EIGHTEEN academic year  
29 and ending in the two thousand [fifteen] TWENTY--two thousand [sixteen]  
30 TWENTY-ONE academic year, and shall submit any proposed amendments to  
31 such plan by November thirtieth of each subsequent year thereafter  
32 through November thirtieth, two thousand [fifteen] TWENTY.

33 [(iii)] (IV) Beginning in state fiscal year two thousand twelve--two  
34 thousand thirteen and ending in state fiscal year two thousand fifteen-  
35 -two thousand sixteen, the state shall appropriate and make available  
36 state support for operating expenses, including fringe benefits, for the  
37 city university in an amount not less than the amount appropriated and  
38 made available in the prior state fiscal year; provided, however, that  
39 if the governor declares a fiscal emergency, and communicates such emer-  
40 gency to the temporary president of the senate and speaker of the assem-  
41 bly, state support for operating expenses of the state university and  
42 city university may be reduced in a manner proportionate to one another,  
43 and the aforementioned provisions shall not apply.

44 (V) BEGINNING IN STATE FISCAL YEAR TWO THOUSAND SEVENTEEN--TWO THOU-  
45 SAND EIGHTEEN AND ENDING IN STATE FISCAL YEAR TWO THOUSAND TWENTY--TWO  
46 THOUSAND TWENTY-ONE, THE STATE SHALL APPROPRIATE AND MAKE AVAILABLE  
47 GENERAL FUND OPERATING SUPPORT, INCLUDING FRINGE BENEFITS, FOR THE CITY  
48 UNIVERSITY IN AN AMOUNT NOT LESS THAN THE AMOUNT APPROPRIATED AND MADE  
49 AVAILABLE IN THE PRIOR STATE FISCAL YEAR; PROVIDED, HOWEVER, THAT IF THE  
50 GOVERNOR DECLARES A FISCAL EMERGENCY, AND COMMUNICATES SUCH EMERGENCY TO  
51 THE TEMPORARY PRESIDENT OF THE SENATE AND SPEAKER OF THE ASSEMBLY, STATE  
52 SUPPORT FOR OPERATING EXPENSES AT THE STATE UNIVERSITY AND CITY UNIVER-  
53 SITY MAY BE REDUCED IN A MANNER PROPORTIONATE TO ONE ANOTHER, AND THE  
54 AFOREMENTIONED PROVISIONS SHALL NOT APPLY; PROVIDED FURTHER, THE STATE  
55 SHALL APPROPRIATE AND MAKE AVAILABLE GENERAL FUND SUPPORT TO FULLY FUND

1 THE TUITION CREDIT PURSUANT TO SUBDIVISION TWO OF SECTION SIX HUNDRED  
2 SIXTY-NINE-H OF THIS CHAPTER.

3 S 3. Section 359 of the education law is amended by adding a new  
4 subdivision 6 to read as follows:

5 6. THE STATE UNIVERSITY TRUSTEES SHALL ANNUALLY REPORT ON HOW THE  
6 REVENUE GENERATED HAS BEEN INVESTED IN FACULTY, INSTRUCTION, INITIATIVES  
7 TO IMPROVE STUDENT SUCCESS AND ON-TIME COMPLETION AND STUDENT FINANCIAL  
8 ASSISTANCE FOR THE DURATION OF THE FOUR YEAR TUITION PLAN. THE TRUSTEES  
9 SHALL SUBMIT THE REPORT BY SEPTEMBER FIRST OF EACH SUBSEQUENT YEAR.

10 S 4. Section 6206 of the education law is amended by adding a new  
11 subdivision 19 to read as follows:

12 19. THE CITY UNIVERSITY TRUSTEES SHALL ANNUALLY REPORT ON HOW THE  
13 REVENUE GENERATED HAS BEEN INVESTED IN FACULTY, INSTRUCTION, INITIATIVES  
14 TO IMPROVE STUDENT SUCCESS AND ON-TIME COMPLETION AND STUDENT FINANCIAL  
15 ASSISTANCE FOR THE DURATION OF THE FOUR YEAR TUITION PLAN. THE TRUSTEES  
16 SHALL SUBMIT THE REPORT BY SEPTEMBER FIRST OF EACH SUBSEQUENT YEAR.

17 S 5. Section 16 of chapter 260 of the laws of 2011 amending the educa-  
18 tion law and the New York state urban development corporation act relat-  
19 ing to establishing components of the NY-SUNY 2020 challenge grant  
20 program, as amended by section 5 of part D of chapter 54 of the laws of  
21 2016, is amended to read as follows:

22 S 16. This act shall take effect July 1, 2011; provided that sections  
23 one, two, three, four, five, six, eight, nine, ten, eleven, twelve and  
24 thirteen of this act shall expire [6] 10 years after such effective date  
25 when upon such date the provisions of this act shall be deemed repealed;  
26 and provided further that sections fourteen and fifteen of this act  
27 shall expire 5 years after such effective date when upon such date the  
28 provisions of this act shall be deemed repealed.

29 S 6. This act shall take effect immediately; provided that the amend-  
30 ments to subparagraph 4 of paragraph h of subdivision 2 of section 355  
31 of the education law made by section one of this act and the amendments  
32 to paragraph (a) of subdivision 7 of section 6206 of the education law  
33 made by section two of this act shall not affect the expiration of such  
34 provisions and shall be deemed to expire therewith.

35

#### PART KKK

36 Section 1. The education law is amended by adding a new section  
37 667-c-1 to read as follows:

38 S 667-C-1. NEW YORK STATE PART-TIME SCHOLARSHIP (PTS) AWARD PROGRAM.  
39 1. THE NEW YORK STATE PART-TIME SCHOLARSHIP (PTS) AWARD PROGRAM IS HERE-  
40 BY ESTABLISHED FOR THE PURPOSE OF PROVIDING SCHOLARSHIP AWARDS TO  
41 STUDENTS WHO ATTEND A COMMUNITY COLLEGE AT THE STATE UNIVERSITY OF NEW  
42 YORK (SUNY) OR THE CITY UNIVERSITY OF NEW YORK (CUNY) ON A PART-TIME  
43 BASIS.

44 2. TO BE ELIGIBLE, AN APPLICANT MUST MEET THE QUALIFICATIONS OF SUBDI-  
45 VISIONS THREE AND FIVE OF SECTION SIX HUNDRED SIXTY-ONE OF THIS ARTICLE,  
46 ENROLL IN AT LEAST SIX BUT LESS THEN TWELVE CREDIT HOURS AT A SUNY OR  
47 CUNY COMMUNITY COLLEGE IN THE 2017-2018 ACADEMIC YEAR, OR THEREAFTER,  
48 AND MAINTAIN A GRADE POINT AVERAGE OF 2.0.

49 3. A. SUCH AWARDS SHALL BE MADE TO ELIGIBLE APPLICANTS IN THE FOLLOW-  
50 ING PRIORITY:

51 (I) FIRST, TO APPLICANTS WHO HAVE RECEIVED PAYMENT OF AN AWARD PURSU-  
52 ANT TO THIS SECTION IN A PRIOR YEAR AND REMAIN IN GOOD ACADEMIC STAND-  
53 ING; AND

1 (II) SECOND, TO APPLICANTS IN DESCENDING ORDER BASED ON FINANCIAL NEED  
2 AS DETERMINED BY THE CORPORATION AND; PROVIDED THAT AWARDS MADE SHALL BE  
3 PROPORTIONATE TO THE TOTAL APPLICATIONS RECEIVED FOR STUDENTS ACCEPTED  
4 FOR UNDERGRADUATE STUDY AT SUNY AND CUNY RESPECTIVELY. PROVIDED, HOWEV-  
5 ER, IN THE PROGRAM'S FIRST YEAR, FIRST PRIORITY SHALL BE IN ACCORDANCE  
6 WITH THIS SUBPARAGRAPH.

7 B. IN THE EVENT THAT THERE ARE MORE APPLICANTS WHO HAVE THE SAME  
8 PRIORITY THAN THERE ARE REMAINING SCHOLARSHIPS, THE PRESIDENT SHALL  
9 DISTRIBUTE THE REMAINING NUMBER OF SUCH SCHOLARSHIPS BY MEANS OF A  
10 LOTTERY OR OTHER FORM OF RANDOM SELECTION.

11 4. WITHIN AMOUNTS APPROPRIATED THEREFOR, THE PRESIDENT SHALL GRANT  
12 AWARDS TO ELIGIBLE APPLICANTS TO COVER THE COST OF SIX CREDIT HOURS PER  
13 SEMESTER AT A SUNY OR CUNY COMMUNITY COLLEGE, PROVIDED HOWEVER, THAT NO  
14 SUCH AWARD SHALL EXCEED FIFTEEN HUNDRED DOLLARS PER SEMESTER.

15 5. PTS AWARDS SHALL BE GRANTED PURSUANT TO THIS SECTION FOR NO MORE  
16 THAN FOUR CONSECUTIVE ACADEMIC SEMESTERS PURSUANT TO FUTURE APPROPRI-  
17 ATIONS FOR THE CONTINUATION OF THIS PROGRAM.

18 6. THE CORPORATION IS AUTHORIZED TO PROMULGATE RULES AND REGULATIONS,  
19 AND MAY PROMULGATE EMERGENCY REGULATIONS, NECESSARY FOR THE IMPLEMENTA-  
20 TION OF THE PROVISIONS OF THIS SECTION.

21 S 2. This act shall take effect immediately.

22 PART LLL

23 Section 1. On or before June 30, 2018, the president of the higher  
24 education services corporation shall report on options to make college  
25 more affordable for New York students and their families and shall issue  
26 such report to the governor, the temporary president of the senate, the  
27 speaker of the assembly, the senate finance committee, the assembly ways  
28 and means committee and the higher education committees of the legisla-  
29 ture.

30 S 2. The report shall, at a minimum: (1) explore options for a program  
31 to allow qualified residents to refinance student loan debt at favorable  
32 interest rates including options to refinance student loan debt for  
33 individuals who have been out of college for at least ten years; (2)  
34 analyze alternative methods to provide student loan debt relief which  
35 shall include a review of other states' policies on minimizing such  
36 debt; (3) review student housing at the state university of New York and  
37 city university of New York which shall include a comparison of student  
38 charges and facility operational costs, as well as occupancy policies  
39 and requirements; (4) review programs and options to enable families to  
40 prepare for college costs through various programs including pre-paid  
41 tuition programs and other college savings programs; (5) examine afford-  
42 ability initiatives at public and private colleges which may include but  
43 not be limited to textbook affordability, reducing the cost of student  
44 housing, student transportation, reduction of administrative costs, and  
45 the creation of on-campus or community job opportunity for students; (6)  
46 and in consultation with the chancellor of the state university of New  
47 York and the chancellor of the city university of New York examine the  
48 process by which students, who are receiving support through opportunity  
49 programs or other programs that provide additional academic support, are  
50 able to maintain such support when such students transfer to a different  
51 campus or transfer from a community college to a senior or state oper-  
52 ated college. Information presented in the report will allow colleges  
53 to explore opportunities to implement college affordability options.



1 S 3. This act shall take effect immediately and shall expire and be  
2 deemed repealed January 1, 2019.

3 PART MMM

4 Section 1. Legislative intent. The legislature hereby recognizes the  
5 need to invest in individuals committed to working in the field of child  
6 welfare by providing higher education incentives for current and  
7 prospective employees. This workforce is in charge of ensuring the  
8 health, safety, and well-being of our state's most vulnerable children  
9 and families. By providing current and prospective employees the oppor-  
10 tunity for affordable higher education, we are enhancing their ability  
11 to meet the needs of the children and youth in care, many of whom have  
12 experienced profound trauma, as well as providing the skills needed to  
13 operate in today's changing health landscape.

14 S 2. The education law is amended by adding a new section 679-h to  
15 read as follows:

16 S 679-H. NEW YORK STATE CHILD WELFARE WORKER INCENTIVE SCHOLARSHIP  
17 PROGRAM. 1. PURPOSE. THE PRESIDENT SHALL GRANT SCHOLARSHIP AWARDS FOR  
18 THE PURPOSE OF ENHANCING THE PROFICIENCY OF CURRENT CHILD WELFARE WORK-  
19 ERS IN NEW YORK STATE. SUCH AWARDS SHALL BE MADE ON A COMPETITIVE BASIS  
20 TO APPLICANTS WHO ARE CURRENTLY EMPLOYED AT A VOLUNTARY NOT-FOR-PROFIT  
21 CHILD WELFARE AGENCY IN NEW YORK STATE LICENSED BY THE OFFICE OF CHIL-  
22 DREN AND FAMILY SERVICES, OR EMPLOYED WITHIN SUCH STATE AGENCY AS A  
23 CHILD WELFARE WORKER, WITH AT LEAST TWO YEARS' EXPERIENCE AND ARE  
24 ENROLLING IN AN APPROVED PROGRAM TO OBTAIN A DEGREE THAT WILL ENHANCE  
25 THEIR ABILITY TO WORK IN SUCH AGENCY.

26 2. ELIGIBILITY. TO BE ELIGIBLE FOR AN AWARD PURSUANT TO THIS SECTION,  
27 APPLICANTS SHALL: A. BE CURRENTLY EMPLOYED AT A VOLUNTARY NOT-FOR-PRO-  
28 FIT CHILD WELFARE AGENCY IN NEW YORK STATE LICENSED BY THE OFFICE OF  
29 CHILDREN AND FAMILY SERVICES, WITH AT LEAST TWO YEARS OF QUALIFIED EXPE-  
30 RIENCE;

31 B. BE MATRICULATED IN AN UNDERGRADUATE OR GRADUATE DEGREE PROGRAM AT  
32 AN INSTITUTION OF HIGHER EDUCATION LOCATED WITHIN NEW YORK STATE IN A  
33 PROGRAM OF STUDY THAT WOULD ENHANCE THEIR ABILITY TO WORK IN SUCH AGENCY  
34 AS DETERMINED BY THE PRESIDENT;

35 C. AGREE TO WORK IN A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCY IN  
36 NEW YORK STATE LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES AS  
37 A CHILD WELFARE WORKER ON A FULL TIME BASIS FOR A PERIOD OF NO LESS THAN  
38 FIVE CONTINUOUS YEARS UPON COMPLETION OF SUCH DEGREE PROGRAM WITHIN A  
39 REASONABLE PERIOD OF TIME AND MAINTAIN RESIDENCY IN NEW YORK STATE FOR  
40 SUCH PERIOD OF EMPLOYMENT; AND

41 D. COMPLY WITH SUBDIVISIONS THREE AND FIVE OF SECTION SIX HUNDRED  
42 SIXTY-ONE OF THIS PART.

43 3. AWARD CONDITIONS AND REQUIREMENTS. A. WITHIN AMOUNTS APPROPRIATED  
44 THEREFORE AND BASED ON AVAILABILITY OF FUNDS, SCHOLARSHIPS SHALL BE  
45 GRANTED BEGINNING WITH THE TWO THOUSAND SEVENTEEN -- TWO THOUSAND EIGH-  
46 TEEN ACADEMIC YEAR AND THEREAFTER ON A COMPETITIVE BASIS TO APPLICANTS  
47 WHOM THE CORPORATION HAS CERTIFIED ARE ELIGIBLE TO RECEIVE SUCH AWARDS;  
48 AND WHO AGREE TO WORK IN A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCY  
49 IN NEW YORK STATE LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES  
50 AS A CHILD WELFARE WORKER ON A FULL TIME BASIS FOR A PERIOD OF NO LESS  
51 THAN FIVE CONTINUOUS YEARS UPON COMPLETION OF SUCH DEGREE WITHIN A  
52 REASONABLE PERIOD OF TIME AND MAINTAIN RESIDENCY IN NEW YORK STATE FOR  
53 SUCH PERIOD OF EMPLOYMENT.

1 B. AN APPLICANT MUST MAKE EVERY REASONABLE EFFORT TO OBTAIN EMPLOYMENT  
2 IN A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCY IN NEW YORK STATE  
3 LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES AS A CHILD  
4 WELFARE WORKER UPON GRADUATION.

5 4. AMOUNT. THE CORPORATION SHALL GRANT SUCH AWARDS WITHIN THE AMOUNTS  
6 APPROPRIATED FOR SUCH PURPOSE AND BASED ON AVAILABILITY OF FUNDS ACCORD-  
7 ING TO A SCHEDULE TO BE DETERMINED BY THE CORPORATION IN AN AMOUNT:

8 A. EQUAL TO THE TUITION CHARGED TO STATE RESIDENT STUDENTS ATTENDING  
9 AN UNDERGRADUATE OR GRADUATE DEGREE PROGRAM, AS APPLICABLE, AT THE STATE  
10 UNIVERSITY OF NEW YORK; THE AVERAGE MANDATORY FEES CHARGED AT THE STATE  
11 UNIVERSITY OF NEW YORK, OR THE ACTUAL TUITION AND FEES CHARGED TO THE  
12 RECIPIENT, WHICHEVER IS LESS; AND THE AVERAGE NON-TUITION COST OF  
13 ATTENDANCE, AS DETERMINED BY THE CORPORATION AND AS APPROVED BY THE  
14 DIRECTOR OF THE BUDGET, FOR A STUDENT AT THE STATE UNIVERSITY OF NEW  
15 YORK OR ACTUAL NON-TUITION COST OF ATTENDANCE AT SUCH INSTITUTION,  
16 WHICHEVER IS LESS PROVIDED THAT THE SCHOLARSHIP SHALL NOT EXCEED AN  
17 AMOUNT THAT IS EQUAL TO THE TOTAL COST OF ATTENDANCE DETERMINED FOR  
18 FEDERAL TITLE IV STUDENT FINANCIAL AID PURPOSES, LESS ALL OTHER SCHOLAR-  
19 SHIPS AND GRANTS PROVIDED BY NEW YORK STATE, OTHER STATES, THE FEDERAL  
20 GOVERNMENT, OR OTHER GOVERNMENTS, AND THE AMOUNT OF EDUCATIONAL BENEFITS  
21 PAID UNDER ANY PROGRAM THAT WOULD DUPLICATE THE PURPOSES OF THIS  
22 PROGRAM, PROVIDED THAT ANY SCHOLARSHIPS OR GRANTS PROVIDED TO A RECIPI-  
23 ENT BY THE INSTITUTION WHICH ARE INTENDED TO FUND ANY PORTION OF THE  
24 DIFFERENCE BETWEEN THE ANNUAL STATE AWARD AND THE ACTUAL COSTS OF  
25 ATTENDANCE AT ANY SUCH INSTITUTION SHALL NOT BE CONSIDERED TO DUPLICATE  
26 THE PURPOSES OF THIS PROGRAM.

27 B. NOT TO EXCEED TWENTY THOUSAND DOLLARS FOR A MASTER'S DEGREE PROGRAM  
28 AT A PRIVATE INSTITUTION; THE AVERAGE MANDATORY FEES CHARGED AT THE  
29 PRIVATE INSTITUTION, OR THE ACTUAL TUITION AND FEES CHARGED TO THE  
30 RECIPIENT, WHICHEVER IS LESS; AND THE AVERAGE NON-TUITION COST OF  
31 ATTENDANCE, AS DETERMINED BY THE CORPORATION AND AS APPROVED BY THE  
32 DIRECTOR OF THE BUDGET, FOR A STUDENT AT SUCH PRIVATE INSTITUTION OR  
33 ACTUAL NON-TUITION COST OF ATTENDANCE AT SUCH INSTITUTION, WHICHEVER IS  
34 LESS, PROVIDED THAT THE SCHOLARSHIP SHALL NOT EXCEED AN AMOUNT THAT IS  
35 EQUAL TO THE TOTAL COST OF ATTENDANCE DETERMINED FOR FEDERAL TITLE IV  
36 STUDENT FINANCIAL AID PURPOSES, LESS ALL OTHER SCHOLARSHIPS AND GRANTS  
37 PROVIDED BY NEW YORK STATE, OTHER STATES, THE FEDERAL GOVERNMENT, OR  
38 OTHER GOVERNMENTS, AND THE AMOUNT OF EDUCATIONAL BENEFITS PAID UNDER ANY  
39 PROGRAM THAT WOULD DUPLICATE THE PURPOSES OF THIS PROGRAM, PROVIDED THAT  
40 ANY SCHOLARSHIPS OR GRANTS PROVIDED TO A RECIPIENT BY THE INSTITUTION  
41 WHICH ARE INTENDED TO FUND ANY PORTION OF THE DIFFERENCE BETWEEN THE  
42 ANNUAL STATE AWARD AND THE ACTUAL COSTS OF ATTENDANCE AT ANY SUCH INSTI-  
43 TUTION SHALL NOT BE CONSIDERED TO DUPLICATE THE PURPOSES OF THIS  
44 PROGRAM.

45 5. OTHER AWARDS. AWARD RECIPIENTS SHALL BE ELIGIBLE TO APPLY FOR OTHER  
46 AWARDS.

47 6. DURATION. AN AWARD SHALL ENTITLE THE RECIPIENT TO ANNUAL PAYMENTS  
48 FOR EITHER AN ASSOCIATE'S DEGREE, BACHELOR'S DEGREE, OR GRADUATE DEGREE.  
49 AN ELIGIBLE RECIPIENT ENROLLED IN AN ELIGIBLE TWO YEAR PROGRAM OF STUDY  
50 SHALL NOT RECEIVE AN AWARD FOR MORE THAN TWO ACADEMIC YEARS. AN ELIGIBLE  
51 RECIPIENT ENROLLED IN AN ELIGIBLE UNDERGRADUATE PROGRAM OF STUDY SHALL  
52 NOT RECEIVE AN AWARD FOR MORE THAN FOUR ACADEMIC YEARS OF UNDERGRADUATE  
53 STUDY OR FIVE ACADEMIC YEARS IF THE PROGRAM OF STUDY NORMALLY REQUIRES  
54 FIVE YEARS. AN ELIGIBLE RECIPIENT ENROLLED IN A GRADUATE PROGRAM OF  
55 STUDY SHALL NOT RECEIVE AN AWARD FOR MORE THAN TWO ACADEMIC YEARS.

1 NOTWITHSTANDING, SUCH DURATION MAY BE EXTENDED FOR AN ALLOWABLE INTER-  
2 RUPTION OF STUDY AS DETERMINED BY THE CORPORATION.

3 7. PENALTIES FOR NONCOMPLIANCE. A. THE CORPORATION MAY COLLECT THE  
4 FULL AMOUNT OF THE AWARD GIVEN PURSUANT TO THIS SECTION, PLUS INTEREST,  
5 ACCORDING TO A SCHEDULE TO BE DETERMINED BY THE CORPORATION, IF: (I) THE  
6 RECIPIENT FAILS TO COMPLETE THEIR DEGREE PROGRAM WITHIN A REASONABLE  
7 TIME AS DETERMINED BY THE CORPORATION; OR (II) ONE YEAR AFTER THE  
8 COMPLETION OF THE DEGREE PROGRAM IT IS FOUND THAT A RECIPIENT DID NOT  
9 BEGIN FULL-TIME EMPLOYMENT AT A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE  
10 AGENCY IN NEW YORK STATE LICENSED BY THE OFFICE OF CHILDREN AND FAMILY  
11 SERVICES AS A CHILD WELFARE WORKER; OR (III) THE RECIPIENT FAILS TO  
12 COMPLETE FIVE CONTINUOUS YEARS OF FULL-TIME EMPLOYMENT AT A VOLUNTARY  
13 NOT-FOR-PROFIT CHILD WELFARE AGENCY IN THE STATE LICENSED BY THE OFFICE  
14 OF CHILDREN AND FAMILY SERVICES AS A CHILD WELFARE WORKER OR MAINTAIN  
15 RESIDENCY IN NEW YORK STATE FOR SUCH PERIOD OF EMPLOYMENT; OR (IV) THE  
16 RECIPIENT FAILS TO RESPOND TO REQUESTS BY THE CORPORATION FOR THE STATUS  
17 OF HIS OR HER ACADEMIC OR PROFESSIONAL PROGRESS. THE TERMS AND CONDI-  
18 TIONS OF THIS SUBDIVISION SHALL BE DEFERRED FOR ANY INTERRUPTION IN AN  
19 UNDERGRADUATE OR GRADUATE STUDY OR EMPLOYMENT AS ESTABLISHED BY THE  
20 RULES AND REGULATIONS OF THE CORPORATION. ANY OBLIGATION TO COMPLY WITH  
21 SUCH PROVISIONS AS OUTLINED IN THIS SECTION SHALL BE CANCELLED UPON THE  
22 DEATH OF THE RECIPIENT. NOTWITHSTANDING ANY PROVISIONS OF THIS SUBDIVI-  
23 SION TO THE CONTRARY, THE CORPORATION IS AUTHORIZED TO PROMULGATE RULES  
24 AND REGULATIONS NECESSARY FOR THE WAIVER OF SUSPENSION OF ANY FINANCIAL  
25 OBLIGATION WHICH WOULD INVOLVE EXTREME HARDSHIP.

26 8. RECIPIENT SELECTION. THE PRESIDENT MAY ESTABLISH:

27 A. AN APPLICATION DEADLINE; AND

28 B. A METHOD OF SELECTING RECIPIENTS IF IN ANY GIVEN YEAR THERE ARE  
29 INSUFFICIENT FUNDS TO COVER THE NEEDS OF ALL APPLICANTS AND RETURNING  
30 RECIPIENTS.

31 9. RULES AND REGULATIONS. THE CORPORATION IS AUTHORIZED TO PROMULGATE  
32 RULES AND REGULATIONS, AND MAY PROMULGATE EMERGENCY REGULATIONS, NECES-  
33 SARY FOR THE IMPLEMENTATION OF THE PROVISIONS OF THIS SECTION INCLUDING,  
34 BUT NOT LIMITED TO, THE CRITERIA TO DISTRIBUTING THE AWARDS, WHICH MAY  
35 INCLUDE A LOTTERY OR OTHER FORM OF RANDOM SELECTION, AND THE RATE OF  
36 INTEREST CHARGES FOR REPAYMENT OF THE STUDENT LOAN.

37 S 3. The education law is amended by adding a new section 679-i to  
38 read as follows:

39 S 679-I. NEW YORK STATE CHILD WELFARE WORKER LOAN FORGIVENESS INCEN-  
40 TIVE PROGRAM. 1. PURPOSE. THE PRESIDENT SHALL GRANT STUDENT LOAN  
41 FORGIVENESS AWARDS FOR THE PURPOSE OF ATTRACTING WORKERS TO BE EMPLOYED  
42 IN VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCIES IN NEW YORK STATE  
43 LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES AS A CHILD  
44 WELFARE WORKER. SUCH AWARDS SHALL BE MADE ON A COMPETITIVE BASIS, IN  
45 ACCORDANCE WITH RULES AND REGULATIONS PROMULGATED BY THE CORPORATION FOR  
46 SUCH PURPOSES, TO APPLICANTS WHO MEET THE ELIGIBILITY CRITERIA.

47 2. ELIGIBILITY. TO BE ELIGIBLE FOR AN AWARD PURSUANT TO THIS SECTION,  
48 APPLICANTS SHALL:

49 A. HAVE GRADUATED AND OBTAINED AN UNDERGRADUATE OR GRADUATE DEGREE  
50 FROM A COLLEGE OR UNIVERSITY LOCATED IN NEW YORK STATE;

51 B. HAVE OUTSTANDING STUDENT LOAN DEBT FROM OBTAINING SUCH DEGREE;

52 C. AGREE TO WORK IN A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCY IN  
53 NEW YORK STATE LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES AS  
54 A CHILD WELFARE WORKER, ON A FULL TIME BASIS FOR A PERIOD OF NO LESS  
55 THAN FIVE YEARS;

56 D. APPLY FOR THIS PROGRAM WITHIN TWO YEARS OF COLLEGE GRADUATION; AND

1 E. COMPLY WITH SUBDIVISIONS THREE AND FIVE OF SECTION SIX HUNDRED  
2 SIXTY-ONE OF THIS PART.

3 3. AWARDS. AWARDS SHALL BE GRANTED TO QUALIFIED APPLICANTS IN THE  
4 AMOUNT OF UP TO TEN THOUSAND DOLLARS PER YEAR, PER APPLICANT, NOT TO  
5 EXCEED A DURATION OF FIVE YEARS AND NOT TO EXCEED THE TOTAL AMOUNT OF  
6 SUCH APPLICANT'S STUDENT LOAN DEBT. THE CORPORATION SHALL GRANT SUCH  
7 AWARDS WITHIN AMOUNTS APPROPRIATED FOR SUCH PURPOSES AND BASED ON THE  
8 AVAILABILITY OF FUNDS. NO ONE APPLICANT SHALL RECEIVE MORE THAN A TOTAL  
9 OF FIFTY THOUSAND DOLLARS UPON THE END OF A FIVE-YEAR PERIOD.

10 4. PRIORITY. FIRST PRIORITY SHALL BE GIVEN TO APPLICANTS WHO HAVE  
11 RECEIVED PAYMENT OF AN AWARD PURSUANT TO THIS SECTION IN A PRIOR YEAR  
12 AND REMAIN ELIGIBLE. SECOND PRIORITY SHALL BE GIVEN TO APPLICANTS WHO  
13 ARE COMPLETING THE SECOND, THIRD, FOURTH OR FIFTH YEAR OF FULL-TIME  
14 EMPLOYMENT AT A VOLUNTARY NOT-FOR-PROFIT CHILD WELFARE AGENCY IN NEW  
15 YORK STATE LICENSED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES. THIRD  
16 PRIORITY SHALL BE GIVEN TO AN APPLICANT WHO CAN DEMONSTRATE ECONOMIC  
17 NEED BUT DID NOT RECEIVE AN AWARD DURING THE FIRST YEAR OF THIS  
18 PROGRAM'S OPERATION. IF LARGER NUMBERS OF APPLICANTS ARE ELIGIBLE PURSU-  
19 ANT TO THIS SUBDIVISION THAN FUNDS AVAILABLE, APPLICANTS SHALL BE CHOSEN  
20 PURSUANT TO RULES AND REGULATIONS PROMULGATED BY THE CORPORATION;  
21 PROVIDED, HOWEVER, THAT EACH APPLICANT CHOSEN SHALL RECEIVE AN AWARD OF  
22 UP TO TEN THOUSAND DOLLARS IN EACH YEAR SUCH APPLICANT IS ACCEPTED INTO  
23 THE PROGRAM.

24 5. RULES AND REGULATIONS. THE CORPORATION IS AUTHORIZED TO PROMULGATE  
25 RULES AND REGULATIONS, AND MAY PROMULGATE EMERGENCY REGULATIONS, NECES-  
26 SARY FOR THE IMPLEMENTATION OF THE PROVISIONS OF THIS SECTION.

27 S 4. This act shall take effect immediately.

28 PART NNN

29 Section 1. This act enacts into law components of legislation which  
30 are necessary to implement legislation relating to workers' compensation  
31 and insurance. Each component is wholly contained within a Subpart iden-  
32 tified as Subparts A through J. The effective date for each particular  
33 provision contained within such Subpart is set forth in the last section  
34 of such Subpart. Any provision in any section contained within a  
35 Subpart, including the effective date of the Subpart, which makes a  
36 reference to a section "of this act", when used in connection with that  
37 particular component, shall be deemed to mean and refer to the corre-  
38 sponding section of the Subpart in which it is found. Section three of  
39 this act sets forth the general effective date of this act.

40 SUBPART A

41 Section 1. Paragraph w of subdivision 3 of section 15 of the workers'  
42 compensation law, as amended by chapter 6 of the laws of 2007, is  
43 amended to read as follows:

44 w. Other cases. In all other cases of permanent partial disability,  
45 the compensation shall be sixty-six and two-thirds percent of the  
46 difference between the injured employee's average weekly wages and his  
47 or her wage-earning capacity thereafter in the same employment or other-  
48 wise. Compensation under this paragraph shall be payable during the  
49 continuance of such permanent partial disability, WITHOUT THE NECESSITY  
50 FOR THE CLAIMANT WHO IS ENTITLED TO BENEFITS AT THE TIME OF CLASSIFICA-  
51 TION TO DEMONSTRATE ONGOING ATTACHMENT TO THE LABOR MARKET, but subject  
52 to reconsideration of the degree of such impairment by the board on its

1 own motion or upon application of any party in interest however, all  
2 compensation payable under this paragraph shall not exceed (i) five  
3 hundred twenty-five weeks in cases in which the loss of wage-earning  
4 capacity is greater than ninety-five percent; (ii) five hundred weeks in  
5 cases in which the loss of wage-earning capacity is greater than ninety  
6 percent but not more than ninety-five percent; (iii) four hundred seven-  
7 ty-five weeks in cases in which the loss of wage-earning capacity is  
8 greater than eighty-five percent but not more than ninety percent; (iv)  
9 four hundred fifty weeks in cases in which the loss of wage-earning  
10 capacity is greater than eighty percent but not more than eighty-five  
11 percent; (v) four hundred twenty-five weeks in cases in which the loss  
12 of wage-earning capacity is greater than seventy-five percent but not  
13 more than eighty percent; (vi) four hundred weeks in cases in which the  
14 loss of wage-earning capacity is greater than seventy percent but not  
15 more than seventy-five percent; (vii) three hundred seventy-five weeks  
16 in cases in which the loss of wage-earning capacity is greater than  
17 sixty percent but not more than seventy percent; (viii) three hundred  
18 fifty weeks in cases in which the loss of wage-earning capacity is  
19 greater than fifty percent but not more than sixty percent; (ix) three  
20 hundred weeks in cases in which the loss of wage-earning capacity is  
21 greater than forty percent but not more than fifty percent; (x) two  
22 hundred seventy-five weeks in cases in which the loss of wage-earning  
23 capacity is greater than thirty percent but not more than forty percent;  
24 (xi) two hundred fifty weeks in cases in which the loss of wage-earning  
25 capacity is greater than fifteen percent but not more than thirty  
26 percent; and (xii) two hundred twenty-five weeks in cases in which the  
27 loss of wage-earning capacity is fifteen percent or less. FOR A CLAIM-  
28 ANT WITH A DATE OF ACCIDENT OR DISABLEMENT AFTER THE EFFECTIVE DATE OF  
29 THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT AMENDED THIS  
30 SUBDIVISION, WHERE THE CARRIER OR EMPLOYER HAS PROVIDED COMPENSATION  
31 PURSUANT TO SUBDIVISION FIVE OF THIS SECTION BEYOND ONE HUNDRED THIRTY  
32 WEEKS FROM THE DATE OF ACCIDENT OR DISABLEMENT, ALL SUBSEQUENT WEEKS IN  
33 WHICH COMPENSATION WAS PAID SHALL BE CONSIDERED TO BE BENEFIT WEEKS FOR  
34 PURPOSES OF THIS SECTION, WITH THE CARRIER OR EMPLOYER RECEIVING CREDIT  
35 FOR ALL SUCH SUBSEQUENT WEEKS AGAINST THE AMOUNT OF MAXIMUM BENEFIT  
36 WEEKS WHEN PERMANENT PARTIAL DISABILITY UNDER THIS SECTION IS DETER-  
37 MINED. IN THE EVENT OF PAYMENT FOR INTERMITTENT TEMPORARY PARTIAL DISA-  
38 BILITY PAID AFTER ONE HUNDRED THIRTY WEEKS FROM THE DATE OF ACCIDENT OR  
39 DISABLEMENT, SUCH TIME SHALL BE REDUCED TO A NUMBER OF WEEKS, FOR WHICH  
40 THE CARRIER WILL RECEIVE A CREDIT AGAINST THE MAXIMUM BENEFIT WEEKS.  
41 FOR A CLAIMANT WITH A DATE OF ACCIDENT OR DISABLEMENT AFTER THE EFFEC-  
42 TIVE DATE OF THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT  
43 AMENDED THIS SUBDIVISION, WHEN PERMANENCY IS AT ISSUE, AND A CLAIMANT  
44 HAS SUBMITTED MEDICAL EVIDENCE THAT HE OR SHE IS NOT AT MAXIMUM MEDICAL  
45 IMPROVEMENT, AND THE CARRIER HAS PRODUCED OR HAS HAD A REASONABLE OPPOR-  
46 TUNITY TO PRODUCE AN INDEPENDENT MEDICAL EXAMINATION CONCERNING MAXIMUM  
47 MEDICAL IMPROVEMENT, AND THE BOARD HAS DETERMINED THAT THE CLAIMANT IS  
48 NOT YET AT MAXIMUM MEDICAL IMPROVEMENT, THE CARRIER SHALL NOT RECEIVE A  
49 CREDIT FOR BENEFIT WEEKS PRIOR TO A FINDING THAT THE CLAIMANT HAS  
50 REACHED MAXIMUM MEDICAL IMPROVEMENT, AT WHICH TIME THE CARRIER SHALL  
51 RECEIVE CREDIT FOR ANY WEEKS OF TEMPORARY DISABILITY PAID TO CLAIMANT  
52 AFTER SUCH FINDING AGAINST THE MAXIMUM BENEFIT WEEKS AWARDED UNDER THIS  
53 SUBDIVISION. For those claimants classified as permanently partially  
54 disabled who no longer receive indemnity payments because they have  
55 surpassed their number of maximum benefit weeks, the following  
56 provisions will apply:

1 (1) There will be a presumption that medical services shall continue  
2 notwithstanding the completion of the time period for compensation set  
3 forth in this section and the burden of going forward and the burden of  
4 proof will lie with the carrier, self-insured employer or state insur-  
5 ance fund in any application before the board to discontinue or suspend  
6 such services. Medical services will continue during the pendency of any  
7 such application and any appeals thereto.

8 (2) The board is directed to promulgate regulations that establish an  
9 independent review and appeal by an outside agent or entity of the  
10 board's choosing of any administrative law judge's determination to  
11 discontinue or suspend medical services before a final determination of  
12 the board.

13 S 2. Subdivisions 3 and 4 of section 35 of the workers' compensation  
14 law, as added by chapter 6 of the laws of 2007, the opening paragraph of  
15 subdivision 4 as further amended by section 104 of part A of chapter 62  
16 of the laws of 2011, are amended to read as follows:

17 3. Extreme hardship redetermination. In cases where the loss of wage-  
18 earning capacity is greater than [eighty] SEVENTY-FIVE percent, a claim-  
19 ant may request, within the year prior to the scheduled exhaustion of  
20 indemnity benefits under paragraph w of subdivision three of section  
21 fifteen of this article, that the board reclassify the claimant to  
22 permanent total disability or total industrial disability due to factors  
23 reflecting extreme hardship.

24 4. Annual safety net reporting. The [commissioner of labor] BOARD, in  
25 conjunction with the [board] COMMISSIONER OF LABOR and the superinten-  
26 dent of financial services, shall track all claimants who have been  
27 awarded permanent partial disability status and report annually on  
28 December first, beginning in two thousand eight, to the governor, the  
29 speaker of the assembly, the majority leader of the senate, and the  
30 chairs of the labor, ways and means and finance committees of the assem-  
31 bly and senate:

32 (i) The number of said claimants who have:

33 (1) returned to gainful employment;

34 (2) been recategorized as being totally industrially disabled;

35 (3) remain subject to duration limitations set forth in paragraph w of  
36 subdivision three of section fifteen of this article; and

37 (4) not returned to work, and whose indemnity payments have expired.

38 (ii) The additional steps the commissioner contemplates are necessary  
39 to minimize the number of workers who have neither returned to work nor  
40 been recategorized from permanent partial disability.

41 S 3. Section 23 of the workers' compensation law, as amended by  
42 section 10 of part GG of chapter 57 of the laws of 2013, is amended to  
43 read as follows:

44 S 23. Appeals. An award or decision of the board shall be final and  
45 conclusive upon all questions within its jurisdiction, as against the  
46 state fund or between the parties, unless reversed or modified on appeal  
47 therefrom as hereinafter provided. Any party may within thirty days  
48 after notice of the filing of an award or decision of a referee, file  
49 with the board an application in writing for a modification or rescis-  
50 sion or review of such award or decision, as provided in this chapter.  
51 The board shall render its decision upon such application in writing and  
52 shall include in such decision a statement of the facts which formed the  
53 basis of its action on the issues raised before it on such application.  
54 Within thirty days after notice of the decision of the board upon such  
55 application has been served upon the parties, or within thirty days  
56 after notice of an administrative redetermination review decision by the

1 chair pursuant to subdivision five of section fifty-two, section one  
2 hundred thirty-one or section one hundred forty-one-a of this chapter  
3 has been served upon any party in interest, an appeal may be taken ther-  
4 efrom to the appellate division of the supreme court, third department,  
5 by any party in interest, including an employer insured in the state  
6 fund; provided, however, that any party in interest may within thirty  
7 days after notice of the filing of the board panel's decision with the  
8 secretary of the board, make application in writing for review thereof  
9 by the full board. If the decision or determination was that of a panel  
10 of the board and there was a dissent from such decision or determination  
11 other than a dissent the sole basis of which is to refer the case to an  
12 impartial specialist, OR IF THERE WAS A DECISION OR DETERMINATION BY THE  
13 PANEL WHICH REDUCED THE LOSS OF WAGE EARNING CAPACITY FINDING MADE BY A  
14 COMPENSATION CLAIMS REFEREE PURSUANT TO SUBPARAGRAPH W OF SUBDIVISION  
15 THREE OF SECTION FIFTEEN OF THIS ARTICLE FROM A PERCENTAGE AT OR ABOVE  
16 THE PERCENTAGE SET FORTH IN SUBDIVISION THREE OF SECTION THIRTY-FIVE OF  
17 THIS ARTICLE WHEREBY A CLAIMANT WOULD BE ELIGIBLE TO APPLY FOR AN  
18 EXTREME HARDSHIP REDETERMINATION TO A PERCENTAGE BELOW THE THRESHOLD,  
19 the full board shall review and affirm, modify or rescind such decision  
20 or determination in the same manner as herein above provided for an  
21 award or decision of a referee. If the decision or determination was  
22 that of a unanimous panel of the board, or there was a dissent from such  
23 decision or determination the sole basis of which is to refer the case  
24 to an impartial specialist, the board may in its sole discretion review  
25 and affirm, modify or rescind such decision or determination in the same  
26 manner as herein above provided for an award or decision of a referee.  
27 Failure to apply for review by the full board shall not bar any party in  
28 interest from taking an appeal directly to the court as above provided.  
29 The board may also, in its discretion certify to such appellate division  
30 of the supreme court, questions of law involved in its decision. Such  
31 appeals and the question so certified shall be heard in a summary manner  
32 and shall have precedence over all other civil cases in such court. The  
33 board shall be deemed a party to every such appeal from its decision  
34 upon such application, and the chair shall be deemed a party to every  
35 such appeal from an administrative redetermination review decision  
36 pursuant to subdivision five of section fifty-two of this chapter. The  
37 attorney general shall represent the board and the chair thereon. An  
38 appeal may also be taken to the court of appeals in the same manner and  
39 subject to the same limitations not inconsistent herewith as is now  
40 provided in the civil practice law and rules. It shall not be necessary  
41 to file exceptions to the rulings of the board. An appeal to the appel-  
42 late division of the supreme court, third department, or to the court of  
43 appeals, shall not operate as a stay of the payment of compensation  
44 required by the terms of the award or of the payment of the cost of such  
45 medical, dental, surgical, optometric or other attendance, treatment,  
46 devices, apparatus or other necessary items the employer is required to  
47 provide pursuant to section thirteen of this article which are found to  
48 be fair and reasonable. Where such award is modified or rescinded upon  
49 appeal, the appellant shall be entitled to reimbursement in a sum equal  
50 to the compensation in dispute paid to the respondent in addition to a  
51 sum equal to the cost of such medical, dental, surgical, optometric or  
52 other attendance, treatment, devices, apparatus or other necessary items  
53 the employer is required to provide pursuant to section thirteen of this  
54 article paid by the appellant pending adjudication of the appeal. Such  
55 reimbursement shall be paid from administration expenses as provided in  
56 section one hundred fifty-one of this chapter upon audit and warrant of

1 the comptroller upon vouchers approved by the chair. Where such award is  
2 subject to the provisions of section twenty-seven of this article, the  
3 appellant shall pay directly to the claimant all compensation as it  
4 becomes due during the pendency of the appeal, and upon affirmance shall  
5 be entitled to credit for such payments. Neither the chair, the board,  
6 the commissioners of the state insurance fund nor the claimant shall be  
7 required to file a bond upon an appeal to the court of appeals. Upon  
8 final determination of such an appeal, the board or chair, as the case  
9 may be, shall enter an order in accordance therewith. Whenever a notice  
10 of appeal is served or an application made to the board by the employer  
11 or insurance carrier for a modification or rescission or review of an  
12 award or decision, and the board shall find that such notice of appeal  
13 was served or such application was made for the purpose of delay or upon  
14 frivolous grounds, the board shall impose a penalty in the amount of  
15 five hundred dollars upon the employer or insurance carrier, which  
16 penalty shall be added to the compensation and paid to the claimant. The  
17 penalties provided herein shall be collected in like manner as compen-  
18 sation. A party against whom an award of compensation shall be made may  
19 appeal from a part of such award. In such a case the payment of such  
20 part of the award as is not appealed from shall not prejudice any rights  
21 of such party on appeal, nor be taken as an admission against such  
22 party. Any appeal by an employer from an administrative redetermination  
23 review decision pursuant to subdivision five of section fifty-two of  
24 this chapter shall in no way serve to relieve the employer from the  
25 obligation to timely pay compensation and benefits otherwise payable in  
26 accordance with the provisions of this chapter.

27 Nothing contained in this section shall be construed to inhibit the  
28 continuing jurisdiction of the board as provided in section one hundred  
29 twenty-three of this chapter.

30 S 4. This act shall take effect immediately.

31

#### SUBPART B

32 Section 1. Subdivision 3 of section 15 of the workers' compensation  
33 law is amended by adding a new paragraph x to read as follows:

34 X. IMPAIRMENT GUIDELINES. THE CHAIR SHALL CONSULT WITH REPRESENTATIVES  
35 OF LABOR, BUSINESS, MEDICAL PROVIDERS, INSURANCE CARRIERS, AND SELF-IN-  
36 SURED EMPLOYERS REGARDING REVISIONS TO PERMANENCY IMPAIRMENT GUIDELINES,  
37 INCLUDING PERMITTING REVIEW AND COMMENT BY SUCH REPRESENTATIVES' CHOSEN  
38 MEDICAL ADVISORS, AND AFTER CONSULTATION SHALL, IN ACCORDANCE WITH THE  
39 STATE ADMINISTRATIVE PROCEDURE ACT, PROPOSE FOR PUBLIC COMMENT REVISED  
40 PERMANENCY GUIDELINES CONCERNING MEDICAL EVALUATION OF IMPAIRMENT AND  
41 THE DETERMINATION OF PERMANENCY AS SET FORTH IN PARAGRAPHS A THROUGH V  
42 OF THIS SUBDIVISION BY SEPTEMBER FIRST, TWO THOUSAND SEVENTEEN, WITH  
43 SUCH GUIDELINES TO BE ADOPTED BY THE CHAIR BY JANUARY FIRST, TWO THOU-  
44 SAND EIGHTEEN. THE PERMANENCY IMPAIRMENT GUIDELINES SHALL BE REFLECTIVE  
45 OF ADVANCES IN MODERN MEDICINE THAT ENHANCE HEALING AND RESULT IN BETTER  
46 OUTCOMES. IN THE EVENT THE CHAIR FAILS TO ADOPT SUCH PERMANENCY GUIDE-  
47 LINES TO BE EFFECTIVE BY JANUARY FIRST, TWO THOUSAND EIGHTEEN, THE CHAIR  
48 SHALL ADOPT, BY EMERGENCY REGULATION, PERMANENCY IMPAIRMENT GUIDELINES.  
49 THE PERMANENCY IMPAIRMENT GUIDELINES ADOPTED BY EMERGENCY REGULATION  
50 SHALL BE EITHER THE IMPAIRMENT GUIDELINES PROPOSED BY THE CHAIR ON  
51 SEPTEMBER FIRST, TWO THOUSAND SEVENTEEN OR THE PERMANENCY IMPAIRMENT  
52 GUIDELINES CREATED BY THE CONSULTANT TO THE BOARD AND SUBMITTED TO  
53 REPRESENTATIVES OF LABOR, BUSINESS, MEDICAL PROVIDERS, INSURANCE CARRI-  
54 ERS, AND SELF-INSURED EMPLOYERS, AS VOTED ON IN AN EMERGENCY MEETING OF



1 THE BOARD TO BE HELD ON DECEMBER TWENTY-NINTH, TWO THOUSAND SEVENTEEN.  
2 IN THE EVENT THE BOARD IS UNABLE TO REACH A DECISION AT SUCH MEETING,  
3 THE CHAIR SHALL SELECT THE PERMANENCY GUIDELINES TO BE ADOPTED BY EMER-  
4 GENCY REGULATIONS. EMERGENCY REGULATIONS SHALL BE IN EFFECT FOR NINETY  
5 DAYS OR UNTIL SUCH TIME AS PERMANENT REGULATIONS ARE ADOPTED BY THE  
6 CHAIR. AS OF JANUARY FIRST, TWO THOUSAND EIGHTEEN THE 2012 PERMANENCY  
7 IMPAIRMENT GUIDELINES PERTAINING TO PARAGRAPHS A THROUGH V OF SUBDIVI-  
8 SION THREE OF SECTION FIFTEEN OF THIS ARTICLE ARE REPEALED, AND SHALL  
9 HAVE NO EFFECT. THE BOARD SHALL TRAIN ADJUDICATION AND OTHER STAFF TO  
10 ENSURE TIMELY AND EFFECTIVE IMPLEMENTATION.  
11 S 2. This act shall take effect immediately.

12 SUBPART C

13 Section 1. The workers' compensation law is amended by adding a new  
14 section 13-p to read as follows:  
15 S 13-P. COMPREHENSIVE PRESCRIPTION DRUG FORMULARY. THE CHAIR SHALL  
16 ESTABLISH A COMPREHENSIVE PRESCRIPTION DRUG FORMULARY ON OR BEFORE  
17 DECEMBER THIRTY-FIRST, TWO THOUSAND SEVENTEEN. THE PRESCRIPTION DRUG  
18 FORMULARY SHALL INCLUDE A TIERED LIST OF HIGH-QUALITY, COST-EFFECTIVE  
19 MEDICATIONS THAT ARE PRE-APPROVED TO BE PRESCRIBED AND DISPENSED, AS  
20 WELL AS ADDITIONAL NON-PREFERRED DRUGS THAT CAN BE PRESCRIBED WITH PRIOR  
21 APPROVAL. SUCH PRESCRIPTION DRUG FORMULARY, SHALL INCLUDE BUT NOT BE  
22 LIMITED TO IMPLEMENTATION OF A PHARMACY REIMBURSEMENT STRATEGY, ADMINIS-  
23 TRATION OF A PRESCRIPTION DRUG REBATE PROGRAM FOR FORMULARY DRUGS, A  
24 PRE-APPROVAL PROGRAM, DRUG UTILIZATION REVIEW, AND LIMITATIONS ON THE  
25 PRESCRIBING OF COMPOUNDED MEDICATIONS AND COMPOUNDED TOPICAL PREPARA-  
26 TIONS. THE BOARD SHALL PROMULGATE REGULATIONS TO PERMIT AN INTERESTED  
27 PARTY TO SUBMIT A REQUEST TO THE MEDICAL DIRECTOR OF THE BOARD TO ALTER  
28 OR AMEND THE FORMULARY TO CONSIDER CHANGING THE STATUS OF A DRUG FROM  
29 NON-PREFERRED TO PREFERRED. REGULATIONS MAY INCLUDE A PROVISION FOR  
30 REASONABLE COSTS AND FEES ASSOCIATED WITH THE REVIEW.  
31 S 2. This act shall take effect immediately.

32 SUBPART D

33 Section 1. Paragraph (a) of subdivision 2 of section 25 of the work-  
34 ers' compensation law, as amended by chapter 635 of the laws of 1996, is  
35 amended to read as follows:  
36 (a) In case the employer decides to controvert the right to compen-  
37 sation, it shall, either on or before the eighteenth day after disabili-  
38 ty or within ten days after it has knowledge of the alleged accident,  
39 whichever period is the greater, file a notice with the chair, on a form  
40 prescribed by the chair, that compensation is not being paid, giving the  
41 name of the claimant, name of the employer, date of the alleged accident  
42 and the reason why compensation is not being paid. WHEN A CLAIM FOR  
43 COMPENSATION IS FILED WITH MEDICAL EVIDENCE OF WORK RELATED INJURY OR  
44 ILLNESS, AND THE CLAIMANT IS DISABLED AND NOT WORKING, AND THE CLAIMANT  
45 IS OTHERWISE ENTITLED TO COMPENSATION, AND THE EMPLOYER IS NOT MAKING  
46 PAYMENT TO THE CLAIMANT AS REQUIRED HEREIN, AND THE EMPLOYER HAS NOT  
47 CONTROVERTED THE CLAIM, AND THE EFFORTS TO RESOLVE THE ISSUE WITH THE  
48 CARRIER HAVE NOT BEEN SUCCESSFUL, THE CLAIMANT MAY REQUEST, IN THE  
49 FORMAT PRESCRIBED BY THE CHAIR, A HEARING TO BE HELD WITHIN FORTY-FIVE  
50 DAYS OF THE BOARD'S RECEIPT OF SUCH REQUEST.  
51 If the insurance carrier shall fail either to file notice of contro-  
52 versy or begin payment of compensation within the prescribed period or

1 within ten days after receipt of a copy of the notice required in  
2 section one hundred ten of this chapter, whichever period is the great-  
3 er, the board may[, after a hearing,] impose a penalty in the amount of  
4 three hundred dollars, which shall be in addition to all other penalties  
5 provided for in this chapter and shall be paid to the claimant. Such  
6 penalty shall be collected in like manner as an award of compensation.

7 S 2. Subdivision 3 of section 25 of the workers' compensation law is  
8 amended by adding a new paragraph (g) to read as follows:

9 (G) NOTWITHSTANDING ANY OTHER PROVISION IN THIS CHAPTER, THE CHAIR MAY  
10 BY REGULATION ELECT TO ESTABLISH A PERFORMANCE STANDARD CONCERNING THE  
11 SUBJECT OF ANY PENALTY OR ASSESSMENT PROVISION APPLICABLE TO AN INSUR-  
12 ANCE CARRIER OR SELF-INSURED EMPLOYER, WHERE SUCH PENALTY OR ASSESSMENT  
13 IS REMITTABLE TO THE NEW YORK STATE TREASURY, OR CHAIR, BUT NOT TO  
14 CLAIMANTS OR ANY OTHER PAYEE OR FUND, AND IMPOSE A SINGLE PENALTY OR  
15 ASSESSMENT UPON THE FAILURE TO MEET THAT PROMULGATED STANDARD, WITH  
16 NOTICE TO THE CARRIER OR SELF-INSURED EMPLOYER. THE PENALTY OR ASSESS-  
17 MENT IMPOSED IN THE AGGREGATE SHALL BE PAYABLE TO THE CHAIR. SUCH AGGRE-  
18 GATE PENALTY OR ASSESSMENT SHALL BE BASED UPON THE NUMBER OF VIOLATIONS  
19 AS MULTIPLIED AGAINST THE APPLICABLE PENALTY OR ASSESSMENT, BUT MAY BE  
20 NEGOTIATED BY THE CHAIR'S DESIGNEE IN FULL SATISFACTION OF THE PENALTY  
21 OR ASSESSMENT. A FINAL AGREEMENT BETWEEN THE CHAIR'S DESIGNEE AND THE  
22 CARRIER OR SELF-INSURED EMPLOYER MAY BE SUBMITTED AND APPROVED SUBJECT  
23 TO SECTION THIRTY-TWO OF THIS ARTICLE, WITHOUT NOTICE TO ANY CLAIMANT.  
24 ANY AGGREGATE PENALTY OR ASSESSMENT ISSUED HEREIN SHALL BE ISSUED ADMIN-  
25 ISTRATIVELY, AND THE BOARD, AND THE CHAIR MAY, BY REGULATION, SPECIFY  
26 THE METHOD OF REVIEW OR REDETERMINATION, AND THE PRESENTMENT OF EVIDENCE  
27 AND OBJECTIONS SHALL OCCUR SOLELY UPON THE DOCUMENTATION. THE CARRIER OR  
28 SELF-INSURED EMPLOYER SHALL RECEIVE CREDIT FOR ANY INSTANCES IN WHICH  
29 THE AGGREGATE PENALTY OR ASSESSMENT IS INCLUSIVE OF A PENALTY OR ASSESS-  
30 MENT PREVIOUSLY ISSUED AND PAID IN AN INDIVIDUAL CLAIM OR PROCEEDING. A  
31 FINAL DETERMINATION IS SUBJECT TO REVIEW UNDER SECTION TWENTY-THREE OF  
32 THIS ARTICLE, EXCEPT THAT NO STAY IN PAYMENT OF THE PENALTY OR ASSESS-  
33 MENT SHALL APPLY PENDING THE OUTCOME OF THE APPLICATION FOR ADMINISTRA-  
34 TIVE REVIEW. FAILURE TO PAY THE FINALLY DETERMINED PENALTY OR ASSESS-  
35 MENT, OR THE PENALTY OR ASSESSMENT AGREED UPON PURSUANT TO SECTION  
36 THIRTY-TWO OF THIS ARTICLE, WITHIN TEN DAYS OF FILING, SHALL RESULT IN  
37 THE IMPOSITION OF A TWENTY-PERCENT PENALTY, PAYABLE TO THE CHAIR. IN THE  
38 EVENT OF THE CARRIER OR SELF-INSURED EMPLOYER INSTITUTING OR CONTINUING  
39 AN ISSUE WITHOUT REASONABLE GROUNDS, THE PROVISIONS OF SUBDIVISION THREE  
40 OF SECTION ONE HUNDRED FOURTEEN-A OF THIS CHAPTER SHALL BE APPLICABLE.  
41 AGGREGATE PENALTIES SHALL BE BORNE EXCLUSIVELY BY INSURANCE CARRIERS AND  
42 LICENSED REPRESENTATIVES PURSUANT TO SUBDIVISION THREE-B OF SECTION  
43 FIFTY OF THIS ARTICLE AND THE COSTS SHALL NOT BE PASSED TO INSURED  
44 EMPLOYERS.

45 S 3. This act shall take effect immediately.

#### 46 SUBPART E

47 Section 1. Subdivision 3 of section 50 of the workers' compensation  
48 law, as amended by section 3 of part G of chapter 57 of the laws of  
49 2011, the closing paragraph as further amended by section 104 of part A  
50 of chapter 62 of the laws of 2011, is amended to read as follows:

51 3. By furnishing satisfactory proof to the chair of his financial  
52 ability to pay such compensation for himself, or to pay such compen-  
53 sation on behalf of a group of employers in accordance with subdivision  
54 ten of this section, in which case the chair shall require the deposit

1 with the chair of such securities as the chair may deem necessary of the  
2 kind prescribed in subdivisions one, two, three, four and five, and  
3 subparagraph (a) of paragraph three of subdivision seven of section two  
4 hundred thirty-five of the banking law, or the deposit of cash, or the  
5 filing of irrevocable letters of credit issued by a qualified banking  
6 institution as defined by rules promulgated by the chair or the filing  
7 of a bond of a surety company authorized to transact business in this  
8 state, in an amount to be determined by the chair, or the posting and  
9 filing as aforesaid of a combination of such securities, cash, irrev-  
10 ocable letters of credit and surety bond in an amount to be determined  
11 by the chair, to secure his liability to pay the compensation provided  
12 in this chapter. Any such surety bond must be approved as to form by the  
13 chair. If an employer or group of employers posts and files a combina-  
14 tion of securities, cash, irrevocable letters of credit and surety bond  
15 as aforesaid, and if it becomes necessary to use the same to pay the  
16 compensation provided in this chapter, the chair shall first use such  
17 securities or cash or irrevocable letters of credit and, when the full  
18 amount thereof has been exhausted, he shall then require the surety to  
19 pay forthwith to the chair all or any part of the penal sum of the bond  
20 for that purpose. The chair may also require an agreement on the part of  
21 the employer or group of employers to pay any awards commuted under  
22 section twenty-seven of this chapter, into the special fund of the state  
23 fund, as a condition of his being allowed to remain uninsured pursuant  
24 to this section. The chair shall have the authority to deny the applica-  
25 tion of an employer or group of employers to pay such compensation for  
26 himself or to revoke his consent furnished, under this section at any  
27 time, for good cause shown. The employer or group of employers qualify-  
28 ing under this subdivision shall be known as a self-insurer.

29 If for any reason the status of an employer or group of employers  
30 under this subdivision is terminated, the securities or the surety bond,  
31 or the securities, cash, or irrevocable letters of credit and surety  
32 bond, on deposit referred to herein shall remain in the custody of the  
33 chair for such time as the chair may deem proper and warranted under the  
34 circumstances. In lieu thereof, and at the discretion of the chair, the  
35 employer, his or her heirs or assigns or others carrying on or liquidat-  
36 ing such business, may execute an assumption of workers' compensation  
37 liability insurance policy [securing] AS DESCRIBED HEREIN. SEPARATELY,  
38 THE CHAIR MAY EXECUTE AN ASSUMPTION OF WORKERS' COMPENSATION LIABILITY  
39 INSURANCE POLICY AS DESCRIBED HEREIN ON BEHALF OF THE SPECIAL FUNDS  
40 CREATED UNDER THE PROVISIONS OF SUBDIVISIONS EIGHT AND NINE OF SECTION  
41 FIFTEEN AND SECTION TWENTY-FIVE-A OF THIS CHAPTER, AND NOTWITHSTANDING  
42 ANY PROVISION TO THE CONTRARY THE CHAIR MAY EXECUTE AN ASSUMPTION OF  
43 WORKERS' COMPENSATION LIABILITY INSURANCE POLICY ON BEHALF OF THE UNIN-  
44 SURED EMPLOYERS' FUND. AN ASSUMPTION OF WORKERS' COMPENSATION LIABILITY  
45 POLICY REFERRED TO HEREIN SHALL SECURE such further and future contin-  
46 gent liability as may DIRECTLY OR INDIRECTLY arise from prior injuries  
47 to workers and be incurred by reason of any change in condition of such  
48 workers warranting the board making subsequent awards for payment of  
49 additional compensation. Such policy shall be in a form approved by the  
50 superintendent of financial services and issued by the state fund or any  
51 insurance company licensed to issue this class of insurance in this  
52 state OR, UPON APPLICATION BY THE CHAIR, ANY OTHER INSURANCE COMPANY  
53 DEEMED BY THE SUPERINTENDENT OF FINANCIAL SERVICES TO BE AN ACCEPTABLE  
54 ISSUER. In the event that such policy is issued by an insurance company  
55 other than the state fund, then said policy shall be deemed of the kind  
56 specified in paragraph fifteen of subsection (a) of section one thousand

1 one hundred thirteen of the insurance law and covered by the workers'  
2 compensation security fund as created and governed by article six-A of  
3 this chapter. It shall only be issued for a single complete premium  
4 payment in advance [by the employer or group of employers] and in an  
5 amount deemed acceptable by the chair and the superintendent of finan-  
6 cial services. In lieu of the applicable premium charge ordinarily  
7 required to be imposed by a carrier, said premium shall include a  
8 surcharge in an amount to be determined by the chair to: (i) satisfy all  
9 assessment liability due and owing to the board and/or the chair under  
10 this chapter; and (ii) satisfy all future assessment liability under  
11 this section, and which surcharge shall be adjusted from time to time to  
12 reflect any changes to the assessment of group self-insured employers,  
13 including any changes enacted by the chapter of the laws of two thousand  
14 eleven amending sections fifteen and one hundred fifty-one of this chap-  
15 ter. Said surcharge shall be payable to the board simultaneous to the  
16 execution of the assumption of workers' compensation liability insurance  
17 policy. However, the payment of said surcharge does not relieve the  
18 carrier from any other liability, including liability owed to the super-  
19 intendent of financial services pursuant to article six-A of this chap-  
20 ter. When issued such policy shall be non-cancellable without recourse  
21 for any cause during the continuance of the liability secured and so  
22 covered.

23 S 1-a. Subparagraph (b) of paragraph 2 of subdivision 3-a of section  
24 50 of the workers' compensation law, as amended by section 4 of part G  
25 of chapter 57 of the laws of 2011, is amended to read as follows:

26 (b) Where such plan is adopted the group self-insurer shall furnish  
27 satisfactory proof to the chair of its financial ability to pay such  
28 compensation for the members in the industry covered by it, its reven-  
29 ues, their source and assurance of continuance. The chair shall require  
30 the deposit with the chair of such securities as may be deemed necessary  
31 of the kind prescribed in subdivisions one, two, three, four and five,  
32 and subparagraph (a) of paragraph three of subdivision seven of section  
33 two hundred thirty-five of the banking law or the deposit of cash or the  
34 filing of irrevocable letters of credit issued by a qualified banking  
35 institution as defined by rules promulgated by the chair or the filing  
36 of a bond of a surety company authorized to transact business in this  
37 state, in an amount to be determined to secure its liability to pay the  
38 compensation of each employer as above provided. Such surety bond must  
39 be approved as to form by the chair. The chair shall require each group  
40 self-insurer to provide regular reports no less than annually, which  
41 shall include but not be limited to audited financial statements, actu-  
42 arial opinions and payroll information containing proof that it is fully  
43 funded. Such reports shall also include a contribution year analysis  
44 detailing contributions and expenses associated with each specific  
45 contribution year. For purposes of this paragraph, proof that a group  
46 self-insurer is fully funded shall at a minimum include proof of unre-  
47 stricted cash and investments permitted by regulation of the chair of at  
48 least one hundred percent of the total liabilities, including the esti-  
49 mate presented in the actuarial opinion submitted by the group self-in-  
50 surer in accordance with this chapter. The chair by regulation, may set  
51 further financial standards for group self-insurers. Any group self-in-  
52 surer that fails to show that it is fully funded shall be deemed under-  
53 funded, and must submit a plan for achieving fully funded status which  
54 may include a deficit assessment on members of such group self-insurer  
55 which shall be subject to approval or modification by the chair. THE  
56 AMOUNT OF SUCH UNDER-FUNDING, AS MEASURED BY THE ACTUARIAL OPINION OR

1 ASSUMPTION OF LOSS POLICY QUOTATION SUBMITTED BY THE GROUP, SHALL BE  
2 CONSIDERED UNFUNDED CLAIMS AS SET FORTH IN SUBDIVISION TWO OF SECTION  
3 SIXTEEN HUNDRED EIGHTY-Q OF THE PUBLIC AUTHORITIES LAW AS ADDED BY  
4 SECTION 35 OF PART GG OF CHAPTER 57 OF THE LAWS OF 2013.

5 S 2. Subdivision (i) of section 32 of the workers' compensation law,  
6 as added by chapter 6 of the laws of 2007, paragraph 5 as further  
7 amended by section 104 of part A of chapter 62 of the laws of 2011, is  
8 amended to read as follows:

9 (i) (1) The waiver agreement management office may contract with AN  
10 INSURANCE CARRIER, SELF-INSURED EMPLOYER, STATE INSURANCE FUND OR any  
11 third party to ASSUME LIABILITY FOR, manage, administer, or settle  
12 claims on its behalf, so long as (A) such contract is approved by the  
13 special disability fund advisory committee and (B) such [third] party  
14 shall agree to be subject to any guidelines or directives as the chair  
15 may issue.

16 (2) The chair MAY, with approval of the special disability fund advi-  
17 sory committee and on such terms as the committee deems appropriate,  
18 [shall have discretion to] procure one or more private entities to  
19 assume the liability for and [management, administration or settlement  
20 of] MANAGE, ADMINISTER, OR SETTLE all or a portion of the claims in the  
21 special disability fund INCLUDING, WITHOUT LIMITATION, BY OBTAINING "AN  
22 ASSUMPTION OF WORKERS' COMPENSATION LIABILITY INSURANCE POLICY" AS  
23 DEFINED IN SUBDIVISION THREE OF SECTION FIFTY OF THIS CHAPTER. ANY SUCH  
24 POLICY SHALL EXPRESSLY PROVIDE AND, NOTWITHSTANDING ANY OTHER PROVISION  
25 OF LAW, OPERATE TO RELEASE FROM ANY FURTHER LIABILITY (I) THE SPECIAL  
26 DISABILITY FUND AND (II) THE INSURANCE CARRIER, INCLUDING AS THE CASE  
27 MAY BE THE STATE INSURANCE FUND, ORIGINALLY LIABLE FOR ANY CLAIM COVERED  
28 BY THE ASSUMPTION OF WORKERS' COMPENSATION LIABILITY INSURANCE POLICY  
29 SECURING SUCH FURTHER AND FUTURE CONTINGENT LIABILITY AS MAY ARISE FROM  
30 ANY SUCH CLAIM, INCLUDING FROM PRIOR INJURIES TO EMPLOYEES AND BE  
31 INCURRED BY REASON OF ANY CHANGE IN THE CONDITION OF SUCH EMPLOYEES FOR  
32 PAYMENT OF ADDITIONAL COMPENSATION. NOTWITHSTANDING ANY OTHER PROVISIONS  
33 OF LAW, NO CONSULTATION OR APPROVAL OF ANY EMPLOYER, INSURANCE CARRIER,  
34 SELF-INSURER OR THE STATE INSURANCE FUND SHALL BE REQUIRED BEFORE SUCH  
35 OFFICE MAY ENTER INTO ANY SUCH POLICY OF WAIVER AGREEMENT, OR BEFORE THE  
36 BOARD MAY APPROVE SUCH WAIVER AGREEMENT. Any such procurement shall be  
37 conducted in accordance with state finance law, except as otherwise set  
38 forth below. The chair shall not award any contract that has not been  
39 approved by the special disability fund advisory committee. Notwith-  
40 standing the foregoing, the chair of the workers' compensation board  
41 may, if approved by the special disability fund advisory committee, and  
42 on such terms as the committee deems appropriate:

43 (A) waive any informality in a bid, and either reject all bids and  
44 again advertise for bids, or interview at least two responsible quali-  
45 fied bidders and negotiate and enter into a contract with one or more of  
46 such bidders; or

47 (B) group claims to be assigned, in whole or in part, based on the  
48 insurance carrier, self-insured employer or state insurance fund that is  
49 receiving or will receive reimbursement on those claims from the second  
50 disability fund. Such grouping shall be permissible notwithstanding that  
51 any insurance carrier may have greater access to information, or may be  
52 able to provide better terms, in regard to claims so grouped.

53 (3) [Any such contract shall expressly provide that the special disa-  
54 bility fund is no longer liable for the claims covered by the contract,  
55 and require security of either cash, an indemnity policy, or such secu-  
56 rity as is otherwise sufficient to cover any losses incurred as a result

1 of the failure or default of the entity or entities awarded any such  
2 contract, including as a result of the insolvency of any such entity.  
3 The chair may waive all or part of such security, and may impose other  
4 reasonable methods of insuring payment, upon approval of the special  
5 disability fund advisory committee.] ANY POLICY EXECUTED BY THE CHAIR  
6 PURSUANT TO THIS SECTION SHALL BE IN THE FORM OF AN ASSUMPTION OF WORK-  
7 ERS' COMPENSATION LIABILITY INSURANCE POLICY SECURING SUCH FURTHER AND  
8 FUTURE CONTINGENT LIABILITY AS MAY ARISE FROM ANY CLAIM COVERED BY SUCH  
9 POLICY, INCLUDING PRIOR INJURIES TO WORKERS AND BE INCURRED BY REASON OF  
10 ANY CHANGE IN THE CONDITION OF SUCH WORKERS WARRANTING THE BOARD MAKING  
11 SUBSEQUENT AWARDS FOR PAYMENT OF ADDITIONAL COMPENSATION. SUCH POLICY  
12 SHALL BE IN A FORM APPROVED BY THE SUPERINTENDENT OF FINANCIAL SERVICES  
13 AND ISSUED BY THE STATE INSURANCE FUND OR ANY INSURANCE COMPANY LICENSED  
14 TO ISSUE THIS CLASS OF INSURANCE IN THIS STATE, OR DEEMED ACCEPTABLE AS  
15 AN ISSUER UPON APPLICATION BY THE CHAIR TO THE SUPERINTENDENT AS SPECI-  
16 FIED IN SUBDIVISION THREE OF SECTION FIFTY OF THIS CHAPTER. IN THE EVENT  
17 THAT SUCH POLICY IS ISSUED BY AN INSURANCE COMPANY OTHER THAN THE STATE  
18 INSURANCE FUND, THEN SAID POLICY SHALL BE DEEMED OF THE KIND SPECIFIED  
19 IN PARAGRAPH FIFTEEN OF SUBSECTION (A) OF SECTION ONE THOUSAND ONE  
20 HUNDRED THIRTEEN OF THE INSURANCE LAW AND COVERED BY THE WORKERS'  
21 COMPENSATION SECURITY FUND AS CREATED AND GOVERNED BY ARTICLE SIX-A OF  
22 THIS CHAPTER. SUCH POLICY SHALL ONLY BE ISSUED FOR A SINGLE COMPLETE  
23 PREMIUM PAID IN ADVANCE AND IN AN AMOUNT DEEMED ACCEPTABLE BY THE CHAIR  
24 AND THE SUPERINTENDENT OF FINANCIAL SERVICES. WHEN ISSUED SUCH POLICY  
25 SHALL BE NONCANCELLABLE WITHOUT RECOURSE FOR ANY CAUSE DURING THE  
26 CONTINUANCE OF THE LIABILITY SECURED AND SO COVERED.

27 (4) Notwithstanding any other provision of this article, the waiver  
28 agreement management office may request in writing any information rele-  
29 vant to its entry into or management of waiver agreements from (A) any  
30 insurance carrier, employer, or the state insurance fund, if that entity  
31 has submitted a claim for reimbursement from the special disability fund  
32 as to the claimant to whom the information relates; or (B) the special  
33 funds conservation committee. The party to whom the request is made  
34 shall provide the requested information within fourteen days of the  
35 request, unless before that date it files an objection with the board to  
36 any information which is subject to a recognized privilege or whose  
37 production is otherwise barred by law. The objecting party shall provide  
38 the requested information within five business days of the board's  
39 rejection of its objection.

40 (5) No carrier, self-insured employer or the state insurance fund  
41 shall assume the liability for, or management, administration or settle-  
42 ment of any claims under this section on which it holds reserves, beyond  
43 such reserves as are permitted by regulation of the superintendent of  
44 financial services for purposes of this provision. No carrier may assume  
45 liability for any claims in the special disability fund under this para-  
46 graph unless the carrier maintains, on a stand alone basis, separate  
47 from its parent or any affiliated entities, an interactive financial  
48 strength rating from a nationally recognized statistical rating organ-  
49 ization that is considered secure or deemed acceptable by the special  
50 disability fund advisory committee.

51 (6) The director of the budget shall notify in writing the chairs of  
52 the senate finance committee and the assembly ways and means committee  
53 of any plans to transfer all or a portion of the portfolio of claims  
54 determined to be eligible for reimbursement from the special disability  
55 fund or to [contract with any party to take responsibility in whole or  
56 in part for the administration of a material portion of the claims,

1 including the procurement process to be used to select parties involved  
2 in such transfer or contract,] ENTER INTO AN ASSUMPTION OF WORKERS'  
3 COMPENSATION LIABILITY INSURANCE POLICY, not less than forty-five days  
4 prior to the commencement of such process. At any time borrowing is  
5 anticipated to settle claims, the chief executive officer of the dormi-  
6 tory authority of the state of New York and the director of the budget  
7 shall provide a report to the chairs of the senate finance committee and  
8 the assembly ways and means committee on a planned bond sale of the  
9 authority and such report shall include, but not be limited to: (A) the  
10 maximum amount of bonds expected to be sold by the authority in  
11 connection with a sale agreement; (B) the expected maximum interest rate  
12 and maturity date of such bonds; (C) the expected amount of the bonds  
13 that will be fixed and/or variable interest rate; (D) the estimated  
14 costs of issuance; (E) the estimated level or levels of reserve fund or  
15 funds, if any; (F) the estimated cost of bond issuance, if any; (G) the  
16 anticipated use or uses of the proceeds; (H) the maximum expected net  
17 proceeds that will be paid to the state as a result of the issuance of  
18 such bonds; and (I) the process to be used to select parties to the  
19 transaction. Any such expectations and estimates in the report shall not  
20 be deemed a substantive limitation on the authority of the dormitory  
21 authority of the state of New York.

22 S 3. This act shall take effect immediately.

23

#### SUBPART F

24 Section 1. Section 16 of chapter 11 of the laws of 2008 amending the  
25 workers' compensation law, the insurance law, the volunteer ambulance  
26 workers' benefit law and the volunteer firefighters' benefit law, relat-  
27 ing to rates for workers' compensation insurance and setting forth  
28 conditions for a workers' compensation rate service organization, as  
29 amended by chapter 237 of the laws of 2012, is amended to read as  
30 follows:

31 S 16. This act shall take effect February 1, 2008; provided that the  
32 amendments to paragraph 2 of subsection (a) of section 2316 of the  
33 insurance law made by section eleven of this act shall take effect on  
34 the same date that section 68 of chapter 6 of the laws of 2007 takes  
35 effect; provided further that the amendments to section 2316 of the  
36 insurance law made by section eleven of this act shall not affect the  
37 expiration of such section pursuant to section 2342 of the insurance law  
38 and shall be deemed expired therewith; and provided further that section  
39 ten of this act shall expire and be deemed repealed June 2, [2018] 2028.

40 S 2. Subsection (e) of section 2305 of the insurance law, as amended  
41 by chapter 237 of the laws of 2012, is amended to read as follows:

42 (e) The superintendent: (1) by regulation may, in lieu of the waiting  
43 period set forth in subsection (b) of this section, require workers'  
44 compensation insurance rate filings to be specifically approved before  
45 they become effective; and (2) shall hold a public hearing if a rate  
46 service organization makes a loss cost filing for workers' compensation  
47 that is an increase of [seven] FIVE percent or more over the approved  
48 loss costs from the prior year. Until June second, two thousand [eigh-  
49 teen] TWENTY-EIGHT, a rate service organization for workers' compen-  
50 sation shall make a loss cost filing every year on or before June first,  
51 or such earlier date as is set by the superintendent.

52 S 3. Paragraph 4 of subdivision (t) of section 2313 of the insurance  
53 law, as added by chapter 11 of the laws of 2008, is amended to read as  
54 follows:

1 (4) A workers' compensation rate service organization shall have an  
2 actuarial committee. It shall be the responsibility of the actuarial  
3 committee to review methodology and data collection processes used to  
4 develop loss costs. The American Federation of Labor - Congress of  
5 Industrial Organizations of New York State and the Business Council of  
6 New York State, Inc. shall together appoint one independent casualty  
7 actuary who is a fellow or associate of the casualty actuarial society  
8 to serve as a member of the actuarial committee. The appointment of such  
9 actuary, and his or her compensation and terms and conditions of  
10 retention, shall be subject to the approval of the superintendent as  
11 reasonable and customary for such professional. The actuary shall be  
12 paid by the workers' compensation rate service organization. Such actu-  
13 ary shall have the same access to the workers' compensation rate service  
14 organization data and documents as the other members of that committee.  
15 The governing body of a workers' compensation rate service organization  
16 shall select a chief actuary of the actuarial committee, who shall serve  
17 at the pleasure of the governing body and whose terms and conditions of  
18 employment shall be approved by the governing body. THE PUBLIC ACTUARY  
19 SHALL ISSUE A REPORT ON OR BEFORE JUNE FIRST, TWO THOUSAND EIGHTEEN AND  
20 EACH OF THE NEXT TEN YEARS, INDICATING THE OVERALL SAVINGS IN THE WORK-  
21 ERS' COMPENSATION SYSTEM AS A RESULT OF THE TWO THOUSAND SEVENTEEN  
22 REFORMS.

23 S 4. The New York compensation insurance rating board shall make a  
24 filing with the New York state department of financial services requir-  
25 ing that the final premiums charged (i) on workers' compensation poli-  
26 cies with an effective date between the effective date of this section  
27 and September 30, 2017, and (ii) on the unexpired portion of workers'  
28 compensation policies in force after the effective date of this section  
29 with an effective date on or after October 1, 2016, shall reflect such  
30 cost impact. Differences between premiums charged and the final premium  
31 on such policies shall be settled between the insurance carrier and the  
32 policyholder by or before December 31, 2018.

33 S 5. This act shall take effect immediately; provided, however that  
34 the amendments to subdivision (t) of section 2313 of the insurance law  
35 made by section three of this act shall not affect the repeal of such  
36 subdivision and shall be deemed repealed therewith.

37

## SUBPART G

38 Section 1. Section 137 of the workers' compensation law is amended by  
39 adding a new subdivision 12 to read as follows:

40 12. THE CHAIR SHALL CONDUCT A THOROUGH STUDY OF THE UTILIZATION OF  
41 INDEPENDENT MEDICAL EXAMINATIONS UNDER THIS CHAPTER, TO OCCUR WITHIN  
42 CALENDAR YEAR TWO THOUSAND EIGHTEEN, AND SHALL CONVENE AND PRESENT A  
43 PRELIMINARY REPORT BASED ON THE STUDY TO AN ADVISORY COMMITTEE SET TO  
44 COMMENCE ON OR ABOUT JANUARY FIRST, TWO THOUSAND NINETEEN. THE ADVISORY  
45 COMMITTEE IS TO CONSIST OF REPRESENTATIVES OF ORGANIZED LABOR, BUSINESS,  
46 CARRIERS, SELF-INSURED EMPLOYERS, MEDICAL PROVIDERS, AND OTHER STAKE-  
47 HOLDERS AND EXPERTS AS THE CHAIR MAY DEEM FIT TO INCLUDE. THE ADVISORY  
48 COMMITTEE SHALL MEET QUARTERLY, OR MORE FREQUENTLY IF DIRECTED BY THE  
49 CHAIR. BY DECEMBER THIRTY-FIRST, TWO THOUSAND NINETEEN, THE COMMITTEE  
50 SHALL PRESENT DETAILED RECOMMENDATIONS TO THE GOVERNOR, SPEAKER OF THE  
51 ASSEMBLY, AND MAJORITY LEADER OF THE SENATE, REGARDING ADMINISTRATIVE  
52 IMPROVEMENTS, AND REGULATORY AND STATUTORY PROPOSALS, THAT WILL ENSURE  
53 FAIRNESS, AND HIGHEST MEDICAL QUALITY, WHILE IMPROVING METHODS OF  
54 COMBATING FRAUD. THE COMMITTEE SHALL REVIEW AND ANALYZE LEADING



1 STUDIES, BOTH IN NEW YORK STATE AND NATIONALLY. THE COMPENSATION INSUR-  
2 ANCE RATING BOARD SHALL PROVIDE DATA, AND COOPERATE WITH THE CHAIR AND  
3 COMMITTEE IN IDENTIFYING POTENTIAL ABUSE AND FRAUD. THE REPORT SHALL  
4 CONSIDER, AMONG OTHER ITEMS, THE FEASIBILITY OF NEW METHODS OF ASSIGN-  
5 ING INDEPENDENT MEDICAL EXAMINATIONS, SUCH AS THROUGH ROTATING PROVIDERS  
6 OR PANELS, STATEWIDE NETWORKS, OR OTHER ARRANGEMENTS.

7 S 2. This act shall take effect immediately.

8

#### SUBPART H

9 Section 1. Subparagraph 1 of paragraph c of subdivision 5 of section  
10 50 of the workers' compensation law, as amended by chapter 139 of the  
11 laws of 2008, is amended to read as follows:

12 (1) The chair and the department of audit and control as soon as prac-  
13 ticable after May first, nineteen hundred sixty, and annually thereaft-  
14 er, as soon as practicable after April first in each succeeding year,  
15 shall ascertain the total amount of net expenses, including (a) adminis-  
16 trative expenses, which shall include the direct costs of personal  
17 services, the cost of maintenance and operation, the cost of retirement  
18 contributions made and workers' compensation premiums paid by the State  
19 for or on account of personnel, rentals for space occupied in state  
20 owned or state leased buildings, and (b) all direct or indirect costs  
21 incurred by the board during the preceding fiscal year in carrying out  
22 the provisions of subdivision three and three-a of this section. Such  
23 expenses shall be adjusted [quarterly] ANNUALLY to reflect any change in  
24 circumstances, and shall be assessed against all private self-insured  
25 employers, including for this purpose active and terminated group self-  
26 insurers, active individual self-insured employers, and individual self-  
27 insured employers who have ceased to exercise the privilege of self-in-  
28 surance.

29 S 2. This act shall take effect immediately.

30

#### SUBPART I

31 Section 1. Subdivision 3 of section 10 of the workers' compensation  
32 law, as amended by section 173 of subpart B of part C of chapter 62 of  
33 the laws of 2011, is amended to read as follows:

34 3. (A) Notwithstanding any other provisions of this chapter, where a  
35 public safety worker, including but not limited to a firefighter, emer-  
36 gency medical technician, police officer, correction officer, civilian  
37 employee of the department of corrections and community supervision or  
38 other person employed by the state to work within a correctional facili-  
39 ty maintained by the department of corrections and community super-  
40 vision, driver and medical observer, in the course of performing his or  
41 her duties, is exposed to the blood or other bodily fluids of another  
42 individual or individuals, the executive officer of the appropriate  
43 ambulance, fire or police district may authorize such public safety  
44 worker to obtain the care and treatment, including diagnosis, recom-  
45 mended medicine and other medical care needed to ascertain whether such  
46 individual was exposed to or contracted any communicable disease and  
47 such care and treatment shall be the responsibility of the insurance  
48 carrier of the appropriate ambulance, fire or police district or, if a  
49 public safety worker was not so exposed in the course of performing his  
50 or her duties for such a district, then such person shall be covered for  
51 the treatment provided for in this subdivision by the carrier of his or  
52 her employer when such person is acting in the scope of his or her

1 employment. For the purpose of this subdivision, the term "public safety  
2 worker" shall include persons who act for payment or who act as volun-  
3 teers in an organized group such as a rescue squad, police department,  
4 correctional facility, ambulance corps, fire department, or fire compa-  
5 ny.

6 (B) WHERE A POLICE OFFICER OR FIREFIGHTER SUBJECT TO SECTION THIRTY OF  
7 THIS ARTICLE, OR EMERGENCY MEDICAL TECHNICIAN, PARAMEDIC, OR OTHER  
8 PERSON CERTIFIED TO PROVIDE MEDICAL CARE IN EMERGENCIES, OR EMERGENCY  
9 DISPATCHER FILES A CLAIM FOR MENTAL INJURY PREMISED UPON EXTRAORDINARY  
10 WORK-RELATED STRESS INCURRED IN A WORK-RELATED EMERGENCY, THE BOARD MAY  
11 NOT DISALLOW THE CLAIM, UPON A FACTUAL FINDING THAT THE STRESS WAS NOT  
12 GREATER THAN THAT WHICH USUALLY OCCURS IN THE NORMAL WORK ENVIRONMENT.

13 S 2. This act shall take effect immediately.

14

#### SUBPART J

15 Section 1. Subdivision 3 of section 151 of the workers' compensation  
16 law, as added by section 22 of part GG of chapter 57 of the laws of  
17 2013, is amended to read as follows:

18 3. The chair and department of audit and control annually as soon as  
19 practicable after the first of April of each year shall ascertain the  
20 actual total amount of expenses, including in addition to the direct  
21 costs of personal service, the cost of maintenance and operation, the  
22 cost of retirement contributions made and workers' compensation premiums  
23 paid by the state for or on account of personnel, rentals for space  
24 occupied in state owned or state leased buildings, such additional sum  
25 as may be certified to the chair and the department of audit and control  
26 as a reasonable compensation for services rendered by the department of  
27 law and expenses incurred by such department, for transfer into the  
28 training and educational program on occupational safety and health fund  
29 created pursuant to chapter eight hundred eighty-six of the laws of  
30 nineteen hundred eighty-five and section ninety-seven-c of the state  
31 finance law, for the New York state occupational health clinics network,  
32 for the department of labor occupational safety and health program and  
33 for transfer into the uninsured employers' fund pursuant to subdivision  
34 two of section twenty-six-a of this chapter, and all other direct or  
35 indirect costs, incurred by the board in connection with the adminis-  
36 tration of this chapter, except those expenses for which an assessment  
37 is authorized for self-insurance pursuant to subdivision five of section  
38 fifty of this chapter. Assessments pursuant to subparagraph four of  
39 paragraph (h) of subdivision eight of section fifteen of this chapter  
40 for the special disability fund, pursuant to section fifty-c of this  
41 chapter for the self insurer offset fund, pursuant to subdivision three  
42 of section twenty-five-a of this chapter for the fund for reopened  
43 cases, and pursuant to section two hundred fourteen of this chapter for  
44 the special fund for disability benefits shall be included in the total  
45 amount of expenses for the purposes of this subdivision. Any overpayment  
46 of annual assessments resulting from the requirements of this subdivi-  
47 sion shall be applied as a credit against the future assessment rate  
48 provided the fund balance shall not be reduced below [ten] FIVE percent  
49 of the total amount assessed.

50 S 2. There is hereby created a fiduciary fund, known as the workers'  
51 compensation rate stabilization fund, which shall be established in the  
52 joint custody of the comptroller and the chair of the workers' compen-  
53 sation board. Such stabilization fund shall serve as the repository for  
54 the funds released due to the reduction in the maximum fund balance,

1 provided pursuant to subdivision 3 of section 151 of the workers'  
2 compensation law, from ten percent to five percent. Such funds shall be  
3 used by the chair of the workers' compensation board over the next five  
4 years to ensure assessment rate stability. The board shall ensure that  
5 all funds in the stabilization fund are utilized no later than the 2022  
6 assessment rate year. By April 1, 2018 and by April first in each of the  
7 next four years, the chair shall be required to report to the governor,  
8 the speaker of the assembly, the majority leader of the senate, the  
9 senate coalition leader, the committee chairs of the assembly ways and  
10 means committee, the assembly labor committee, the senate labor commit-  
11 tee, and the committee chair and vice chair of the senate finance  
12 committee on the opening fund balance, amount used to subsidize the  
13 current rate year, remaining fund balance, and the impact the subsidy  
14 had on the overall assessment rate.

15 S 3. This act shall take effect immediately; provided, however, that  
16 section two of this act shall expire and be deemed repealed March 31,  
17 2024.

18 S 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
19 sion, section or part of this act shall be adjudged by a court of compe-  
20 tent jurisdiction to be invalid, such judgment shall not affect, impair,  
21 or invalidate the remainder thereof, but shall be confined in its opera-  
22 tion to the clause, sentence, paragraph, subdivision, section or part  
23 thereof directly involved in the controversy in which such judgment  
24 shall have been rendered. It is hereby declared to be the intent of the  
25 legislature that this act would have been enacted even if such invalid  
26 provisions had not been included herein.

27 S 3. This act shall take effect immediately provided, however, that  
28 the applicable effective date of Subparts A through J of this act shall  
29 be as specifically set forth in the last section of such Subparts.

30

## PART 000

31 Section 1. Subsection (d) of section 615 of the tax law is amended by  
32 adding a new paragraph 5 to read as follows:

33 (5) THE FULL AMOUNT OF UNION DUES PAID DURING THE TAXABLE YEAR IF THE  
34 TAXPAYER WAS NOT ALLOWED FEDERAL MISCELLANEOUS ITEMIZED DEDUCTIONS BY  
35 OPERATION OF SECTION 67 OF THE INTERNAL REVENUE CODE. IF ANY AMOUNT OF  
36 UNION DUES REPRESENTING FEDERAL MISCELLANEOUS ITEMIZED DEDUCTIONS WAS  
37 ALLOWED, THEN THE AMOUNT ALLOWED AS A NEW YORK ITEMIZED DEDUCTION FOR  
38 UNION DUES PAID SHALL BE A PERCENTAGE OF THE UNION DUES DISALLOWED BY  
39 THE OPERATION OF SECTION 67 OF THE INTERNAL REVENUE CODE COMPUTED AS  
40 FOLLOWS. THE AMOUNT ALLOWED AS A NEW YORK ITEMIZED DEDUCTION SHALL BE  
41 COMPUTED BY MULTIPLYING THE TOTAL UNION DUES PAID BY THE TAXPAYER DURING  
42 THE TAXABLE YEAR BY A PERCENTAGE DETERMINED BY SUBTRACTING FROM ONE, A  
43 FRACTION WHERE THE NUMERATOR IS THE AMOUNT OF FEDERAL MISCELLANEOUS  
44 DEDUCTIONS ALLOWED AND THE DENOMINATOR IS THE AGGREGATE FEDERAL MISCEL-  
45 LANEOUS ITEMIZED DEDUCTIONS BEFORE APPLICATION OF THE TWO-PERCENT FLOOR  
46 UNDER SECTION 67 OF THE INTERNAL REVENUE CODE. FOR THE PURPOSES OF THIS  
47 PARAGRAPH, UNION DUES ARE THOSE AMOUNTS THAT ARE DEDUCTIBLE AS UNION  
48 DUES AND AGENCY SHOP FEES UNDER SECTION 162 OF THE INTERNAL REVENUE  
49 CODE.

50 S 2. This act shall take effect immediately and shall apply to taxable  
51 years beginning on or after January 1, 2018.

52

## PART PPP

1 Section 1. The executive law is amended by adding a new article 4-B to  
2 read as follows:

3 ARTICLE 4-B

4 OFFICE OF THE INSPECTOR GENERAL OF NEW YORK FOR TRANSPORTATION  
5 SECTION 56. JURISDICTION.

6 57. ESTABLISHMENT AND ORGANIZATION.

7 58. FUNCTIONS AND DUTIES.

8 S 56. JURISDICTION. 1. THIS ARTICLE SHALL, SUBJECT TO THE LIMITATIONS  
9 CONTAINED HEREIN, CONFER UPON THE OFFICE OF THE INSPECTOR GENERAL OF NEW  
10 YORK FOR TRANSPORTATION INVESTIGATIVE AND PROSECUTORIAL POWER OVER CRIM-  
11 INAL AND UNETHICAL CONDUCT INVOLVING INDIVIDUALS SERVING AT A SENIOR  
12 LEVEL IN OPERATIONS, FINANCING OR MANAGEMENT OF A TRANSPORTATION ENTITY  
13 LOCATED IN A CITY OF A POPULATION OF ONE MILLION OR MORE WHERE SUCH  
14 ACTION OR ACTIONS OCCURRED WITHIN THE STATE; AND INVESTIGATIVE AND  
15 PROSECUTORIAL POWER OF CRIMINAL AND UNETHICAL CONDUCT INVOLVING MANAGE-  
16 RIAL APPOINTEES OR MANAGERIAL EMPLOYEES OF ANY TRANSPORTATION ENTITY  
17 WHERE SUCH ACTION OR ACTIONS OCCURRED WITHIN THE STATE.

18 2. FOR THE PURPOSES OF THIS ARTICLE: (A) "TRANSPORTATION ENTITY" SHALL  
19 MEAN ANY PUBLIC ENTITY LOCATED WITHIN A CITY OF ONE MILLION OR MORE  
20 INVOLVED IN THE TRANSPORTATION OF PERSONS, GOODS OR OTHER ITEMS WITHIN  
21 OR TO AND FROM THE STATE OF NEW YORK WHERE AT LEAST ONE INDIVIDUAL  
22 INVOLVED AT A SENIOR LEVEL IN OPERATIONS, FINANCING OR MANAGEMENT OF  
23 SUCH ENTITY IS APPOINTED BY THE GOVERNOR;

24 (B) "INDIVIDUALS INVOLVED AT A SENIOR LEVEL IN OPERATIONS, FINANCING  
25 OR MANAGEMENT" SHALL MEAN INDIVIDUALS THAT EXERT FULL OR PARTIAL CONTROL  
26 OVER FORMAL ACTIONS TAKEN BY A TRANSPORTATION ENTITY OR ON BEHALF OF  
27 SUCH ENTITY, OR EXERT INDEPENDENT JUDGMENT IN THE FULFILLMENT OF THEIR  
28 DUTIES AND OBLIGATIONS, BUT SHALL NOT INCLUDE INDIVIDUALS WHOSE ACTIONS  
29 ARE OF A ROUTINE OR CLERICAL NATURE; AND

30 (C) "MANAGERIAL APPOINTEE" OR "MANAGERIAL EMPLOYEE" SHALL MEAN ANY  
31 INDIVIDUAL WHO (I) PARTICIPATES DIRECTLY OR AS PART OF A TEAM IN FORMU-  
32 LATING POLICY; (II) MAY REASONABLY BE REQUIRED TO ASSIST DIRECTLY IN THE  
33 PREPARATION FOR AND CONDUCT OF NEGOTIATIONS CONCERNING MAJOR FISCAL  
34 MATTERS, PROCUREMENTS OR EXPENDITURES IN EXCESS OF ONE HUNDRED THOUSAND  
35 DOLLARS PROVIDED THAT SUCH ROLE IS NOT OF A ROUTINE OR CLERICAL NATURE  
36 AND REQUIRES THE EXERCISE OF INDEPENDENT JUDGMENT; OR (III) HAS A MAJOR  
37 ROLE IN THE ADMINISTRATION OF PERSONNEL AGREEMENTS OR IN PERSONNEL  
38 ADMINISTRATION, PROVIDED THAT SUCH ROLE IS NOT OF A ROUTINE OR CLERICAL  
39 NATURE AND REQUIRES THE EXERCISE OF INDEPENDENT JUDGMENT.

40 3. NOTHING CONTAINED IN THIS SECTION SHALL REPLACE OR DIMINISH THE  
41 JURISDICTION OF THE ATTORNEY GENERAL OR ANY DISTRICT ATTORNEY, OR THE  
42 INSPECTOR GENERAL OF ANY TRANSPORTATION ENTITY.

43 4. THE INSPECTOR GENERAL SHALL BE AUTHORIZED TO:

44 (A) RECEIVE, INVESTIGATE AND PROSECUTE COMPLAINTS REGARDING ANY INDI-  
45 VIDUALS INVOLVED AT A SENIOR LEVEL IN OPERATIONS, FINANCING OR MANAGE-  
46 MENT OR MANAGERIAL APPOINTEE OR MANAGERIAL EMPLOYEE OF ANY TRANSPORTA-  
47 TION ENTITY CONCERNING CORRUPTION, CONFLICTS OF INTEREST, FRAUD, WASTE  
48 AND ABUSE, RECUSALS OR FAILURE TO RECUSE, OR CRIMINAL ACTIVITY IN ANY  
49 CASE WHERE SUCH CONDUCT, ACTION OR FAILURE OCCURRED BEFORE OR AFTER THE  
50 EFFECTIVE DATE OF THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT  
51 ADDED THIS ARTICLE AND WHERE SUCH CONDUCT, ACTION OR FAILURE OCCURRED IN  
52 NEW YORK;

53 (B) REPRESENT THE STATE IN ANY ADMINISTRATIVE HEARING OR ADMINISTRA-  
54 TIVE PROCEEDING INVOLVING ANY CRIMINAL OR UNETHICAL CONDUCT OF INDIVID-  
55 UALS INVOLVED AT A SENIOR LEVEL IN OPERATIONS, FINANCING OR MANAGEMENT

1 OR A MANAGERIAL APPOINTEE OR MANAGERIAL EMPLOYEE OF A TRANSPORTATION  
2 ENTITY WHERE SUCH CONDUCT OCCURRED IN NEW YORK; AND

3 (C) REPRESENT THE STATE IN CIVIL ACTIONS INVOLVING ANY CRIMINAL OR  
4 UNETHICAL CONDUCT OF INDIVIDUALS INVOLVED AT A SENIOR LEVEL IN OPER-  
5 ATIONS, FINANCING OR MANAGEMENT OR A MANAGERIAL APPOINTEE OR MANAGERIAL  
6 EMPLOYEE OF A TRANSPORTATION ENTITY WHERE SUCH CONDUCT OCCURRED IN NEW  
7 YORK.

8 S 57. ESTABLISHMENT AND ORGANIZATION. 1. THERE SHALL BE AN OFFICE OF  
9 THE INSPECTOR GENERAL OF NEW YORK FOR TRANSPORTATION IN THE EXECUTIVE  
10 DEPARTMENT. THE HEAD OF THE OFFICE SHALL BE THE INSPECTOR GENERAL OF NEW  
11 YORK FOR TRANSPORTATION.

12 2. THE INSPECTOR GENERAL SHALL BE APPOINTED BY THE GOVERNOR AND SHALL  
13 HOLD OFFICE UNTIL THE END OF THE TERM OF THE GOVERNOR BY WHOM HE OR SHE  
14 IS APPOINTED AND UNTIL HIS OR HER SUCCESSOR IS APPOINTED.

15 3. THE INSPECTOR GENERAL MAY APPOINT A DEPUTY INSPECTOR GENERAL TO  
16 SERVE AT HIS OR HER PLEASURE, WHO SHALL BE RESPONSIBLE FOR CONDUCTING  
17 INVESTIGATIONS AND PROSECUTING VIOLATIONS OF LAW. THE INSPECTOR GENERAL  
18 SHALL IDENTIFY A PROCESS FOR A COORDINATED APPROACH WITH PROSECUTORS TO  
19 AVOID DUPLICATION AND PROVIDE FOR A TIMELY RESPONSE TO ALLEGED  
20 VIOLATIONS.

21 4. THE SALARY OF THE INSPECTOR GENERAL SHALL BE ESTABLISHED BY THE  
22 GOVERNOR WITHIN THE LIMIT OF FUNDS AVAILABLE THEREFORE.

23 S 58. FUNCTIONS AND DUTIES. THE INSPECTOR GENERAL OF NEW YORK FOR  
24 TRANSPORTATION SHALL HAVE THE FOLLOWING DUTIES AND RESPONSIBILITIES:

25 1. RECEIVE, INVESTIGATE, AND PROSECUTE COMPLAINTS FROM ANY SOURCE, OR  
26 UPON HIS OR HER OWN INITIATIVE, CONCERNING ALLEGATIONS OF CORRUPTION,  
27 CONFLICTS OF INTEREST, FRAUD, WASTE AND ABUSE, RECUSALS OR FAILURE TO  
28 RECUSE, OR CRIMINAL ACTIVITY REGARDING ANY TRANSPORTATION ENTITY,  
29 CONDUCT OR ACTIVITY OR FAILURE TO ACT BY ANY INDIVIDUALS INVOLVED AT A  
30 SENIOR LEVEL IN OPERATIONS, FINANCING OR MANAGEMENT OR MANAGERIAL  
31 APPOINTEE OR MANAGERIAL EMPLOYEE OF A TRANSPORTATION ENTITY OCCURRING  
32 BEFORE OR AFTER THE EFFECTIVE DATE OF THE CHAPTER OF THE LAWS OF TWO  
33 THOUSAND SEVENTEEN THAT ADDED THIS ARTICLE, IN VIOLATION OF NEW YORK LAW  
34 AND OCCURRING IN NEW YORK;

35 2. INFORM THE TRANSPORTATION ENTITY OF SUCH ALLEGATIONS AND THE  
36 PROGRESS OF INVESTIGATIONS RELATED THERETO, UNLESS SPECIAL CIRCUMSTANCES  
37 REQUIRE CONFIDENTIALITY, PROVIDED THAT THE INSPECTOR GENERAL SHALL MAIN-  
38 TAIN A WRITTEN RECORD THAT SPECIFIES THE REASON CONFIDENTIALITY IS  
39 NECESSARY UNDER THIS PARAGRAPH;

40 3. ISSUE A SUBPOENA OR SUBPOENAS REQUIRING A PERSON OR PERSONS TO  
41 APPEAR BEFORE THE GRAND JURY, TRIAL COURT, PRODUCE DOCUMENTS, PROVIDE A  
42 SWORN STATEMENT UNDER OATH AND BE EXAMINED IN REFERENCE TO ANY MATTER  
43 WITHIN THE JURISDICTION OF THE INSPECTOR GENERAL. A SUBPOENA ISSUED  
44 UNDER THIS SECTION SHALL BE GOVERNED BY ARTICLE TWENTY-THREE OF THE  
45 CIVIL PRACTICE LAW AND RULES OR ARTICLES ONE HUNDRED NINETY OR SIX  
46 HUNDRED TEN OF THE CRIMINAL PROCEDURE LAW. THE INSPECTOR GENERAL OR HIS  
47 OR HER DEPUTY OR ANY PERSON DESIGNATED IN WRITING BY THEM MAY ADMINISTER  
48 AN OATH TO A WITNESS IN ANY SUCH INVESTIGATION OR PROSECUTION AND MAY  
49 SEEK TO CONFER IMMUNITY FOR COMPELLED TESTIMONY PURSUANT TO ARTICLE  
50 FIFTY OF THE CRIMINAL PROCEDURE LAW;

51 4. DETERMINE WITH RESPECT TO SUCH ALLEGATIONS WHETHER TO INITIATE  
52 CIVIL OR CRIMINAL PROSECUTION, OR MAKE A REFERRAL FOR FURTHER INVESTI-  
53 GATION BY AN APPROPRIATE FEDERAL, STATE OR LOCAL AGENCY OR ANY OTHER  
54 OFFICE OF INSPECTOR GENERAL AS IS WARRANTED, AND TO ASSIST IN SUCH  
55 INVESTIGATIONS; AND

1 5. PREPARE AND RELEASE TO THE PUBLIC WRITTEN REPORTS OF SUCH INVESTI-  
2 GATIONS, AS APPROPRIATE AND TO THE EXTENT PERMITTED BY LAW, SUBJECT TO  
3 REDACTION TO PROTECT THE CONFIDENTIALITY OF WITNESSES. THE RELEASE OF  
4 ALL OR PORTIONS OF SUCH REPORTS MAY BE DEFERRED TO PROTECT THE CONFIDEN-  
5 TIALITY OF ONGOING INVESTIGATIONS, PROVIDED THAT THE INSPECTOR GENERAL  
6 SHALL MAINTAIN A WRITTEN RECORD THAT SPECIFIES THE REASON CONFIDENTIALI-  
7 TY IS NECESSARY UNDER THIS SUBDIVISION.

8 S 2. Subdivision 32 of section 1.20 of the criminal procedure law, as  
9 amended by section 4 of part A of chapter 501 of the laws of 2012, is  
10 amended to read as follows:

11 32. "District attorney" means a district attorney, an assistant  
12 district attorney or a special district attorney, and, where appropri-  
13 ate, the attorney general, an assistant attorney general, a deputy  
14 attorney general, a special deputy attorney general, or the special  
15 prosecutor and inspector general for the protection of people with  
16 special needs or his or her assistants when acting pursuant to their  
17 duties in matters arising under article twenty of the executive law, OR  
18 THE INSPECTOR GENERAL OF NEW YORK FOR TRANSPORTATION OR HIS OR HER DEPU-  
19 TIES WHEN ACTING PURSUANT TO ARTICLE FOUR-B OF THE EXECUTIVE LAW.

20 S 3. Severability. The provisions of this act shall be severable, and  
21 if the application of any clause, sentence, paragraph, subdivision,  
22 section or part of this act to any person or circumstance shall be  
23 adjudged by any court of competent jurisdiction to be invalid, such  
24 judgment shall not necessarily affect, impair or invalidate the applica-  
25 tion of any such clause, sentence, paragraph, subdivision, section, or  
26 part of this act or remainder thereof, as the case may be, to any other  
27 person or circumstance, but shall be confined in its operation to the  
28 clause, sentence, paragraph, subdivision, section or part thereof  
29 directly involved in the controversy in which such judgment shall have  
30 been rendered.

31 S 4. This act shall take effect immediately; provided, however, that  
32 sections one and two of this act shall take effect on the thirtieth day  
33 after it shall have become a law.

34 PART QQQ

35 Section 1. All expenditures and disbursements made against the appro-  
36 priations contained in the chapter of the laws of 2017 making appropri-  
37 ations for the support of government as proposed in legislative bills  
38 numbers S.5492 and A.7068 shall, upon final action by the legislature on  
39 appropriation bills submitted by the governor pursuant to article VII of  
40 the state constitution for the support of government for the state  
41 fiscal year beginning April 1, 2017, as contained in legislative bills  
42 numbers S.2000-D/A.3000-D, S.2001/A.3001, S.2003-D/A.3003-D, and  
43 S.2004-D/A.3004-D, be transferred by the comptroller as expenditures and  
44 disbursements to such appropriations for all state departments, agen-  
45 cies, the legislature and the judiciary, as applicable, in amounts equal  
46 to the amounts charged against those appropriations in the chapter of  
47 the laws of 2017 making appropriations for the support of government as  
48 proposed in legislative bills numbers S.5492 and A.7068 for each such  
49 department, agency, the legislature and the judiciary.

50 S 2. A chapter of the laws of 2017 making appropriations for the  
51 support of government, as proposed in legislative bills numbers S.5492  
52 and A.7068, is REPEALED upon the passage of legislative bills numbers  
53 S.2000-D and A.3000-D.

1 S 3. This act shall take effect immediately and shall be deemed to  
2 have been in full force and effect on and after April 1, 2017.

3 PART RRR

4 Section 1. Subdivision (a) of section 2 of part F of chapter 60 of the  
5 laws of 2015 constituting the infrastructure investment act, is amended  
6 to read as follows:

7 (a) (I) "authorized state entity" shall mean the New York state thru-  
8 way authority, the department of transportation, the office of parks,  
9 recreation and historic preservation, the department of environmental  
10 conservation and the New York state bridge authority.

11 (II) NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION 26 OF SECTION 1678  
12 OF THE PUBLIC AUTHORITIES LAW, SECTION 8 OF THE PUBLIC BUILDINGS LAW,  
13 SECTIONS 8 AND 9 OF SECTION 1 OF CHAPTER 359 OF THE LAWS OF 1968 AS  
14 AMENDED, SECTION 103 OF THE GENERAL MUNICIPAL LAW, AND THE PROVISIONS OF  
15 ANY OTHER LAW TO THE CONTRARY, THE TERM "AUTHORIZED STATE ENTITY" SHALL  
16 ALSO REFER TO ONLY THOSE AGENCIES OR AUTHORITIES IDENTIFIED BELOW SOLELY  
17 IN CONNECTION WITH THE FOLLOWING AUTHORIZED PROJECTS, PROVIDED THAT SUCH  
18 AN AUTHORIZED STATE ENTITY MAY UTILIZE THE ALTERNATIVE DELIVERY METHOD  
19 REFERRED TO AS DESIGN-BUILD CONTRACTS SOLELY IN CONNECTION WITH THE  
20 FOLLOWING AUTHORIZED PROJECTS SHOULD THE TOTAL COST OF EACH SUCH PROJECT  
21 NOT BE LESS THAN FIVE MILLION DOLLARS (\$5,000,000):

AUTHORIZED PROJECTS	AUTHORIZED STATE ENTITY
1. FRONTIER TOWN	URBAN DEVELOPMENT CORPORATION
2. LIFE SCIENCES LABORATORY	DORMITORY AUTHORITY & URBAN DEVELOPMENT CORPORATION
3. WHITEFACE TRANSFORMATIVE PROJECTS	NEW YORK STATE OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
4. GORE TRANSFORMATIVE PROJECTS	NEW YORK STATE OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
5. BELLEAYRE TRANSFORMATIVE PROJECTS	NEW YORK STATE OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
6. MT. VAN HOEVENBERG TRANSFORMATIVE PROJECTS	NEW YORK STATE OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
7. STATE FAIR REVITALIZATION PROJECTS	OFFICE OF GENERAL SERVICES
8. STATE POLICE FORENSIC LABORATORY	OFFICE OF GENERAL SERVICES

36 NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, ALL RIGHTS OR  
37 BENEFITS, INCLUDING TERMS AND CONDITIONS OF EMPLOYMENT, AND PROTECTION  
38 OF CIVIL SERVICE AND COLLECTIVE BARGAINING STATUS OF ALL EXISTING  
39 EMPLOYEES OF AUTHORIZED STATE ENTITIES SOLELY IN CONNECTION WITH THE  
40 AUTHORIZED PROJECTS LISTED ABOVE, SHALL BE PRESERVED AND PROTECTED.  
41 NOTHING IN THIS SECTION SHALL RESULT IN THE: (1) DISPLACEMENT OF ANY  
42 CURRENTLY EMPLOYED WORKER OR LOSS OF POSITION (INCLUDING PARTIAL  
43 DISPLACEMENT SUCH AS A REDUCTION IN THE HOURS OF NON-OVERTIME WORK,  
44 WAGES, OR EMPLOYMENT BENEFITS) OR RESULT IN THE IMPAIRMENT OF EXISTING  
45 COLLECTIVE BARGAINING AGREEMENTS; AND (2) TRANSFER OF EXISTING DUTIES  
46 AND FUNCTIONS RELATED TO MAINTENANCE AND OPERATIONS CURRENTLY PERFORMED

1 BY EXISTING EMPLOYEES OF AUTHORIZED STATE ENTITIES TO A CONTRACTING  
2 ENTITY. NOTHING CONTAINED HEREIN SHALL BE CONSTRUED TO AFFECT (A) THE  
3 EXISTING RIGHTS OF EMPLOYEES PURSUANT TO AN EXISTING COLLECTIVE BARGAIN-  
4 ING AGREEMENT, AND (B) THE EXISTING REPRESENTATIONAL RELATIONSHIPS AMONG  
5 EMPLOYEE ORGANIZATIONS OR THE BARGAINING RELATIONSHIPS BETWEEN THE  
6 EMPLOYER AND AN EMPLOYEE ORGANIZATION.

7 IF OTHERWISE APPLICABLE, AUTHORIZED PROJECTS UNDERTAKEN BY THE AUTHOR-  
8 IZED STATE ENTITIES LISTED ABOVE SOLELY IN CONNECTION WITH THE  
9 PROVISIONS OF THIS ACT SHALL BE SUBJECT TO SECTION 135 OF THE STATE  
10 FINANCE LAW, SECTION 101 OF THE GENERAL MUNICIPAL LAW, AND SECTION 222  
11 OF THE LABOR LAW; PROVIDED, HOWEVER, THAT AN AUTHORIZED STATE ENTITY MAY  
12 FULFILL ITS OBLIGATIONS UNDER SECTION 135 OF THE STATE FINANCE LAW OR  
13 SECTION 101 OF THE GENERAL MUNICIPAL LAW BY REQUIRING THE CONTRACTOR TO  
14 PREPARE SEPARATE SPECIFICATIONS IN ACCORDANCE WITH SECTION 135 OF THE  
15 STATE FINANCE LAW OR SECTION 101 OF THE GENERAL MUNICIPAL LAW, AS THE  
16 CASE MAY BE.

17 S 2. Intentionally omitted.

18 S 3. Section 3 of part F of chapter 60 of the laws of 2015 constitut-  
19 ing the infrastructure investment act, is amended to read as follows:

20 S 3. Notwithstanding the provisions of section 38 of the highway law,  
21 section 136-a of the state finance law, section 359 of the public  
22 authorities law, section 7210 of the education law, and the provisions  
23 of any other law to the contrary, and in conformity with the require-  
24 ments of this act, an authorized state entity may utilize the alterna-  
25 tive delivery method referred to as design-build contracts, in consulta-  
26 tion with relevant local labor organizations and construction industry,  
27 for capital projects related to the state's physical infrastructure,  
28 including, but not limited to, the state's highways, bridges, dams,  
29 flood control projects, canals, and parks, including, but not limited  
30 to, to repair damage caused by natural disaster, to correct health and  
31 safety defects, to comply with federal and state laws, standards, and  
32 regulations, to extend the useful life of or replace the state's high-  
33 ways, bridges, dams, flood control projects, canals, and parks or to  
34 improve or add to the state's highways, bridges, dams, flood control  
35 projects, canals, and parks; provided that for the contracts executed by  
36 the department of transportation, the office of parks, recreation and  
37 historic preservation, or the department of environmental conservation,  
38 the total cost of each such project shall not be less than [one] TEN  
39 million [two hundred thousand] dollars [(\$1,200,000)] (\$10,000,000).

40 S 4. Section 4 of part F of chapter 60 of the laws of 2015 constitut-  
41 ing the infrastructure investment act, is amended to read as follows:

42 S 4. An entity selected by an authorized state entity to enter into a  
43 design-build contract shall be selected through a two-step method, as  
44 follows:

45 (a) Step one. Generation of a list of entities that have demonstrated  
46 the general capability to perform the design-build contract. Such list  
47 shall consist of a specified number of entities, as determined by an  
48 authorized state entity, and shall be generated based upon the author-  
49 ized state entity's review of responses to a publicly advertised request  
50 for qualifications. The authorized state entity's request for qualifica-  
51 tions shall include a general description of the project, the maximum  
52 number of entities to be included on the list, [and] the selection  
53 criteria to be used AND THE RELATIVE WEIGHT OF EACH CRITERIA in generat-  
54 ing the list. Such selection criteria shall include the qualifications  
55 and experience of the design and construction team, organization, demon-  
56 strated responsibility, ability of the team or of a member or members of



1 the team to comply with applicable requirements, including the  
2 provisions of articles 145, 147 and 148 of the education law, past  
3 record of compliance with the labor law, and such other qualifications  
4 the authorized state entity deems appropriate which may include but are  
5 not limited to project understanding, financial capability and record of  
6 past performance. The authorized state entity shall evaluate and rate  
7 all entities responding to the request for qualifications. Based upon  
8 such ratings, the authorized state entity shall list the entities that  
9 shall receive a request for proposals in accordance with subdivision (b)  
10 of this section. To the extent consistent with applicable federal law,  
11 the authorized state entity shall consider, when awarding any contract  
12 pursuant to this section, the participation of: (i) firms certified  
13 pursuant to article 15-A of the executive law as minority or women-owned  
14 businesses and the ability of other businesses under consideration to  
15 work with minority and women-owned businesses so as to promote and  
16 assist participation by such businesses; and (ii) small business  
17 concerns identified pursuant to subdivision (b) of section 139-g of the  
18 state finance law.

19 (b) Step two. Selection of the proposal which is the best value to the  
20 [state] AUTHORIZED STATE ENTITY. The authorized state entity shall  
21 issue a request for proposals to the entities listed pursuant to subdivi-  
22 sion (a) of this section. If such an entity consists of a team of  
23 separate entities, the entities that comprise such a team must remain  
24 unchanged from the entity as listed pursuant to subdivision (a) of this  
25 section unless otherwise approved by the authorized state entity. The  
26 request for proposals shall set forth the project's scope of work, and  
27 other requirements, as determined by the authorized state entity. The  
28 request for proposals shall specify the criteria to be used to evaluate  
29 the responses and the relative weight of each such criteria. Such  
30 criteria shall include the proposal's cost, the quality of the  
31 proposal's solution, the qualifications and experience of the design-  
32 build entity, and other factors deemed pertinent by the authorized state  
33 entity, which may include, but shall not be limited to, the proposal's  
34 project implementation, ability to complete the work in a timely and  
35 satisfactory manner, maintenance costs of the completed project, mainte-  
36 nance of traffic approach, and community impact. Any contract awarded  
37 pursuant to this act shall be awarded to a responsive and responsible  
38 entity that submits the proposal, which, in consideration of these and  
39 other specified criteria deemed pertinent to the project, offers the  
40 best value to the [state] AUTHORIZED STATE ENTITY, as determined by the  
41 authorized state entity. THE REQUEST FOR PROPOSALS SHALL INCLUDE A  
42 STATEMENT THAT ENTITIES SHALL DESIGNATE IN WRITING THOSE PORTIONS OF THE  
43 PROPOSAL THAT CONTAIN TRADE SECRETS OR OTHER PROPRIETARY INFORMATION  
44 THAT ARE TO REMAIN CONFIDENTIAL; THAT THE MATERIAL DESIGNATED AS CONFIDENTIAL  
45 SHALL BE READILY SEPARABLE FROM THE ENTITY'S PROPOSAL. Nothing  
46 herein shall be construed to prohibit the authorized entity from negoti-  
47 ating final contract terms and conditions including cost. ALL PROPOSALS  
48 SUBMITTED SHALL BE SCORED ACCORDING TO THE CRITERIA LISTED IN THE  
49 REQUEST FOR PROPOSALS AND SUCH FINAL SCORES SHALL BE PUBLISHED ON THE  
50 AUTHORIZED STATE ENTITY'S WEBSITE.

51 S 5. Intentionally omitted.  
52 S 6. Intentionally omitted.  
53 S 7. Intentionally omitted.  
54 S 8. Intentionally omitted.  
55 S 9. Intentionally omitted.  
56 S 10. Intentionally omitted.

1 S 11. Section 13 of part F of chapter 60 of the laws of 2015 consti-  
2 tuting the infrastructure investment act, is amended to read as follows:

3 S 13. Alternative construction awarding processes. (a) Notwithstand-  
4 ing the provisions of any other law to the contrary, the authorized  
5 state entity may award a construction contract:

6 1. To the contractor offering the best value; or

7 2. Utilizing a cost-plus not to exceed guaranteed maximum price form  
8 of contract in which the authorized state entity shall be entitled to  
9 monitor and audit all project costs. In establishing the schedule and  
10 process for determining a guaranteed maximum price, the contract between  
11 the authorized state entity and the contractor shall:

12 (i) describe the scope of the work and the cost of performing such  
13 work;

14 (ii) include a detailed line item cost breakdown;

15 (iii) include a list of all drawings, specifications and other infor-  
16 mation on which the guaranteed maximum price is based;

17 (iv) include the dates for substantial and final completion on which  
18 the guaranteed maximum price is based; and

19 (v) include a schedule of unit prices; or

20 3. Utilizing a lump sum contract in which the contractor agrees to  
21 accept a set dollar amount for a contract which comprises a single bid  
22 without providing a cost breakdown for all costs such as for equipment,  
23 labor, materials, as well as such contractor's profit for completing all  
24 items of work comprising the project.

25 (b) Capital projects undertaken by an authorized state entity may  
26 include an incentive clause in the contract for various performance  
27 objectives, but the incentive clause shall not include an incentive that  
28 exceeds the quantifiable value of the benefit received by the [state]  
29 AUTHORIZED STATE ENTITY. The authorized state entity shall establish  
30 such performance and payment bonds as it deems necessary.

31 S 12. Intentionally omitted.

32 S 13. Intentionally omitted.

33 S 14. Section 17 of part F of chapter 60 of the laws of 2015 consti-  
34 tuting the infrastructure investment act, is amended to read as follows:

35 S 17. This act shall take effect immediately and shall expire and be  
36 deemed repealed [2] 4 years after such date, provided that, projects  
37 with requests for qualifications issued prior to such repeal shall be  
38 permitted to continue under this act notwithstanding such repeal.

39 S 15. This act shall take effect immediately; provided, however that  
40 the amendments to the infrastructure investment act made by sections one  
41 through thirteen of this act shall not affect the repeal of such act and  
42 shall be deemed repealed therewith.

43 PART SSS

44 Section 1. Subdivision 28 of section 501 of the retirement and social  
45 security law, as added by chapter 298 of the laws of 2016, is amended to  
46 read as follows:

47 28. "New York city enhanced plan member" shall mean (a) a New York  
48 city police/fire revised plan member who becomes subject to the  
49 provisions of this article on or after June fifteenth, two thousand  
50 sixteen and who is a member of the New York city fire department pension  
51 fund, (b) a police/fire member who is a member of the New York city fire  
52 department pension fund and who makes an election, which shall be irrev-  
53 ocable and shall be duly executed and filed with the administrative head  
54 of such pension fund no later than one hundred twenty days after the

1 effective date of this subdivision, to be subject to the provisions of  
2 this article related to New York city enhanced plan members, [or] (c) a  
3 New York city police/fire revised plan member who became subject to the  
4 provisions of this article before June fifteenth, two thousand sixteen,  
5 who is a member of the New York city fire department pension fund, and  
6 who makes an election, which shall be irrevocable and shall be duly  
7 executed and filed with the administrative head of such pension fund no  
8 later than one hundred twenty days after the effective date of this  
9 subdivision, to be subject to the provisions of this article related to  
10 New York city enhanced plan members, (D) A NEW YORK CITY POLICE/FIRE  
11 REVISED PLAN MEMBER WHO BECOMES SUBJECT TO THE PROVISIONS OF THIS ARTI-  
12 CLE ON OR AFTER APRIL FIRST, TWO THOUSAND SEVENTEEN AND WHO IS A MEMBER  
13 OF THE NEW YORK CITY POLICE PENSION FUND, (E) A POLICE/FIRE MEMBER WHO  
14 IS A MEMBER OF THE NEW YORK CITY POLICE PENSION FUND AND WHO MAKES AN  
15 ELECTION, WHICH SHALL BE IRREVOCABLE AND SHALL BE DULY EXECUTED AND  
16 FILED WITH THE ADMINISTRATIVE HEAD OF SUCH PENSION FUND NO LATER THAN  
17 ONE HUNDRED TWENTY DAYS AFTER THE EFFECTIVE DATE OF THE CHAPTER OF THE  
18 LAWS OF TWO THOUSAND SEVENTEEN WHICH AMENDED THIS SUBDIVISION, TO BE  
19 SUBJECT TO THE PROVISIONS OF THIS ARTICLE RELATED TO NEW YORK CITY  
20 ENHANCED PLAN MEMBERS, OR (F) A NEW YORK CITY POLICE/FIRE REVISED PLAN  
21 MEMBER WHO BECAME SUBJECT TO THE PROVISIONS OF THIS ARTICLE BEFORE APRIL  
22 FIRST, TWO THOUSAND SEVENTEEN, WHO IS A MEMBER OF THE NEW YORK CITY  
23 POLICE PENSION FUND, AND WHO MAKES AN ELECTION, WHICH SHALL BE IRREV-  
24 OCABLE AND SHALL BE DULY EXECUTED AND FILED WITH THE ADMINISTRATIVE HEAD  
25 OF SUCH PENSION FUND NO LATER THAN ONE HUNDRED TWENTY DAYS AFTER THE  
26 EFFECTIVE DATE OF THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN  
27 WHICH AMENDED THIS SUBDIVISION, TO BE SUBJECT TO THE PROVISIONS OF THIS  
28 ARTICLE RELATED TO NEW YORK CITY ENHANCED PLAN MEMBERS.

29 S 2. Subdivision h of section 517 of the retirement and social securi-  
30 ty law, as added by chapter 298 of the laws of 2016, is amended to read  
31 as follows:

32 h. Notwithstanding any inconsistent provision of subdivision a of this  
33 section, New York city enhanced plan members WHO ARE MEMBERS OF THE NEW  
34 YORK CITY FIRE DEPARTMENT PENSION FUND shall, as of the effective date  
35 of this subdivision PURSUANT TO CHAPTER TWO HUNDRED NINETY-EIGHT OF THE  
36 LAWS OF TWO THOUSAND SIXTEEN, contribute three percent of annual wages  
37 to the pension fund in which they have membership, plus an additional  
38 percentage of annual wages as set forth in the chapter of the laws of  
39 two thousand sixteen which added this subdivision.

40 S 3. Section 517 of the retirement and social security law is amended  
41 by adding a new subdivision i to read as follows:

42 I. NOTWITHSTANDING ANY INCONSISTENT PROVISION OF SUBDIVISION A OF THIS  
43 SECTION, NEW YORK CITY ENHANCED PLAN MEMBERS WHO ARE MEMBERS OF THE NEW  
44 YORK CITY POLICE PENSION FUND SHALL, AS OF THE EFFECTIVE DATE OF THIS  
45 SUBDIVISION, CONTRIBUTE THREE PERCENT OF ANNUAL WAGES TO THE PENSION  
46 FUND IN WHICH THEY HAVE MEMBERSHIP, PLUS AN ADDITIONAL PERCENTAGE OF  
47 ANNUAL WAGES AS SET FORTH IN THE CHAPTER OF THE LAWS OF TWO THOUSAND  
48 SEVENTEEN WHICH ADDED THIS SUBDIVISION.

49 S 4. New York city enhanced plan members, as defined in section 501 of  
50 the retirement and social security law as amended by section one of this  
51 act, shall contribute, pursuant to subdivision i of section 517 of the  
52 retirement and social security law as added by section three of this  
53 act, an additional one percent of annual wages to the pension fund in  
54 which they have membership. Every three years from the effective date of  
55 this act, the actuary of such pension fund shall prepare an analysis,  
56 using current actuarial methods and assumptions in effect as of the date

1 of such analysis, assessing the total cost of providing the benefits  
2 established by this act expressed as an employee contribution of a  
3 percentage of annual wages of New York City enhanced plan members which  
4 would require no additional employer contribution. On the basis of such  
5 analysis, the additional percentage of annual wages provided for herein  
6 shall be adjusted to equal one percent of annual wages plus any amount  
7 by which the employee contribution calculated in such analysis exceeds  
8 2.2 percent of annual wages, provided, however, that in no event shall  
9 the additional percentage of annual wages exceed 3 percent.

10 S 5. Section 81 of chapter 18 of the laws of 2012 shall not apply to  
11 this act.

12 S 6. This act shall take effect immediately.

FISCAL NOTE.--Pursuant to Legislative Law, Section 50:

PROVISIONS OF PROPOSED LEGISLATION: The proposed legislation would amend provisions of the Retirement and Social Security Law (RSSL) to permit existing New York City Police Pension Fund (POLICE) Tier 3 and Revised Tier 3 POLICE Members to elect to join the RSSL Article 14 Enhanced Plan, and require participation for those POLICE Members who become subject to Article 14 on or after April 1, 2017. The Enhanced Plan provides changes to Accidental Disability Retirement (ADR) and Ordinary Disability Retirement (ODR) benefits, and includes a formula for adjusting increased contribution rates within defined parameters. The proposed legislation would also allow eligible POLICE Members to utilize applicable statutory presumptions for purposes of ADR.

The Effective Date of the proposed legislation would be the date of enactment.

For purposes of this Fiscal Note, all POLICE members subject to Article 14 of the RSSL will be referred to as "Tier 3 POLICE Members." Tier 3 POLICE Members who have a date of membership prior to April 1, 2012 will be referred to as "Original Tier 3 POLICE Members." Tier 3 POLICE Members who have a date of membership on or after April 1, 2012 will be referred to as "Revised Tier 3 POLICE Members."

Tier 3 POLICE Members who are Members prior to April 1, 2017 would have the option of remaining under the current benefit structure or irrevocably electing, within 120 days of the effective date of the proposed legislation, to be covered under the benefit structure contained in the proposed legislation. Tier 3 POLICE Members who become Members on and after April 1, 2017 would be subject to the benefit structure contained in the proposed legislation. Tier 3 POLICE Members who elect the benefits of this proposed legislation, and Tier 3 POLICE Members who are subject to mandatory participation, are referred to as "POLICE Enhanced Plan Members."

POLICE Enhanced Plan Members would, in addition to paying the current contribution rate of 3% of annual wages, be required to contribute additional contributions initially at 1% of annual wages and, in the future, ranging from 1% to 3% of annual wage depending on specified future cost calculations of providing POLICE Enhanced Plan benefits.

CURRENT ODR BENEFITS PAYABLE: The current ODR benefits for Tier 3 POLICE Members are equal to the greater of:

\* 33 1/3% of Final Average Salary (FAS), or

\* 2% of FAS multiplied by years of credited service (not in excess of 22 years),

Reduced by:

\* 50% of the Primary Social Security Disability benefits (determined under RSSL Section 511), and

\* 100% of Workers' Compensation benefits (if any).

FAS is a Three-Year Average (FAS3) for Original Tier 3 POLICE Members and a Five-Year Average (FAS5) for Revised Tier 3 POLICE Members.

It is the understanding of the Actuary that POLICE Members are not covered by Workers' Compensation.

IMPACT ON ODR BENEFITS PAYABLE: Under the proposed legislation, the ODR benefits for POLICE Enhanced Plan Members would be revised to be equal to the greater of:

- \* 33 1/3% of FAS5, or

- \* 2% of FAS5 multiplied by years of credited service (not in excess of 22 years).

Reduced by:

- \* 100% of Workers' Compensation benefits (if any).

It is the understanding of the Actuary that POLICE Members are not covered by Workers' Compensation.

Eligibility for ODR benefits for Enhanced Plan Members would remain the same.

In addition, the proposed legislation would NOT apply the Escalation available under RSSL Section 510 to ODR benefits for POLICE Enhanced Plan Members. However, such ODR benefits would still be eligible for Cost-of-Living Adjustments (COLA) under Chapter 125 of the Laws of 2000.

CURRENT ADR BENEFITS PAYABLE: The current ADR benefits for Tier 3 POLICE Members is equal to:

- \* 50% multiplied by FAS,

Reduced by:

- \* 50% of Primary Social Security disability benefit or Primary Social Security benefits, whichever begins first (determined under RSSL Section 511), and

- \* 100% of Workers' Compensation benefits (if any).

FAS is a FAS3 for Original Tier 3 POLICE Members and a FAS5 for Revised Tier 3 POLICE Members.

It is the understanding of the Actuary that POLICE Members are not covered by Workers' Compensation.

IMPACT ON ADR BENEFITS PAYABLE: Under the proposed legislation, the eligibility requirements for ADR benefits for POLICE Enhanced Plan Members would be the same as current Tier 3 POLICE Members. However, these Members would also become eligible to utilize applicable statutory presumptions (e.g., certain heart conditions) for purposes of ADR.

Under the proposed legislation, the ADR benefits for Enhanced Plan Members would be revised to equal a retirement allowance of:

- \* 75% multiplied by FAS5,

Reduced by:

- \* 100% of Workers' Compensation benefits (if any).

It is the understanding of the Actuary that POLICE Members are not covered by Workers' Compensation.

In addition, the proposed legislation would NOT apply the Escalation available under RSSL Section 510 to ADR benefits for Enhanced Plan Members. However, such ADR benefits would still be eligible for COLA under Chapter 125 of the Laws of 2000.

FINANCIAL IMPACT - CHANGES IN PROJECTED ACTUARIAL PRESENT VALUE OF FUTURE EMPLOYER CONTRIBUTIONS AND PROJECTED EMPLOYER CONTRIBUTIONS: For purposes of this Fiscal Note, it is assumed that the changes in the Actuarial Present Value (APV) of benefits (APVB), APV of member contributions, the Unfunded Actuarial Accrued Liability (UAAL) and APV of future employer contributions would be reflected for the first time in the June 30, 2016 actuarial valuation of POLICE. Under the One-Year Lag Methodology (OYLM), the first year in which changes in benefits for

Enhanced Plan Members could impact employer contributions to POLICE would be Fiscal Year 2018.

The estimated increases in employer contributions shown in Table 1 are based upon the following projection assumptions:

- \* Level workforce (i.e., new employees are hired to replace those who leave active status).

- \* Salary increases consistent with those used in projections to be presented to the New York City Office of Management and Budget in April, 2017 (Preliminary Projections).

- \* New entrant salaries consistent with those used in the Preliminary Projections.

OTHER COSTS: Not measured in this Fiscal Note are the following:

- \* The initial, additional administrative costs of POLICE to implement the proposed legislation.

- \* The impact of this proposed legislation on Other Postemployment Benefit (OPEB) costs.

CENSUS DATA: The starting census data used for the calculations presented herein is the census data used in the Preliminary June 30, 2016 (Lag) actuarial valuation of POLICE to determine the Preliminary Fiscal Year 2018 employer contributions.

The 3,211 Original Tier 3 POLICE members who have a date of membership prior to April 1, 2012 had an average age of approximately 31.3, average service of approximately 5.2 years and an average salary of approximately \$87,300 as of June 30, 2016. The 7,998 Revised Tier 3 POLICE Members who have a date of membership on or after April 1, 2012 had an average age of approximately 28.4, average service of approximately 1.8 years and an average salary of approximately \$58,400 as of June 30, 2016.

ACTUARIAL ASSUMPTIONS AND METHODS: The additional employer contributions presented herein have been calculated based on the actuarial assumptions and methods in effect for the Preliminary June 30, 2016 (Lag) actuarial valuations used to determine the Preliminary Fiscal Year 2018 employer contributions of POLICE.

In determining the change in employer contributions, the probabilities of accidental disability used for Tier 3 POLICE members equal those currently used for Tier 2 POLICE members who are not eligible for World Trade Center benefits.

It has been further assumed that all Tier 3 POLICE members who became members prior to April 1, 2017 will choose the new disability provisions.

The actuarial valuation methodology does not include a calculation of the value of an offset for Workers' Compensation benefits for Tier 3 POLICE members as it is the understanding of the Actuary that these members are not covered by such benefits.

Employer contributions under current methodology have been estimated assuming the additional APVB would be financed through future normal contributions including an amortization of the new UAAL attributable to this proposed legislation over a 15-year period (14 payments under the OYLM Methodology).

New entrants were projected to replace the members expected to leave the active population to maintain a steady-state population.

For purposes of estimating the financial impact of the changes described above, an assumed Escalation rate of 2.5% was used for current Tier 3 Police Member benefits, which is consistent with the underlying Consumer Price Inflation (CPI) assumption of 2.5% per year. Consistent with Chapter 125 of the Laws of 2000, a COLA rate of 1.5% per year (i.e., 50% of CPI adjusted to recognize a 1.0% minimum and 3.0% maximum)

on the first \$18,000 of benefit was assumed for purposes of valuing proposed Enhanced Plan benefits.

In accordance with ACNY Section 13.638.2(k-2), new UAAL attributable to benefit changes are to be amortized as determined by the Actuary but generally over the remaining working lifetime of those impacted by the benefit changes. As of June 30, 2016, the remaining working lifetime of the Tier 3 POLICE members is approximately 18 years. Recognizing that this period will decrease over time as the group of Enhanced Plan Members matures, the Actuary would likely choose to amortize the new UAAL attributable to this proposed legislation over a 15-year to 20-year period (between 14 and 19 payments under the OYLM Methodology). For purposes of this Fiscal Note, the Actuary has elected to amortize the change in UAAL over a 15-year period (14 payments).

Table 1 presents an estimate of the increases in the APV of future employer contributions and in employer contributions to POLICE for Fiscal Years 2018 through 2022 due to the changes in ODR and ARD provisions for Enhanced Plan Members based on the applicable actuarial assumptions and methods noted herein:

Table 1

Estimated Financial Impact on POLICE  
If certain Revisions are Made to  
Provisions for ODR and ADR Benefits for Tier 3 POLICE Members

(\$ Millions)

Fiscal Year	Increase in APV of Future Employer Contributions	Increase In Employer Contributions
2018	\$69.4	\$13.1
2019	74.2	14.1
2020	77.7	15.1
2021	79.7	15.9
2022	80.7	16.3

Table 2 presents the total number of active employees of POLICE used in the projections, assuming a level work force, and the cumulative number (i.e., net of withdrawals) of Tier 3 Members as of each June 30 from 2016 thorough 2020.

Table 2

Surviving Actives from Census Data on June 30, 2016  
and  
Cumulative Tier 3 POLICE Members from 2016  
Used in the Projections\*

June 30	Tier 1 & 2	Tier 3	Total
2016	24,752	11,209	35,961
2017	22,798	13,163	35,961
2018	20,785	15,176	35,961
2019	18,976	16,985	35,961
2020	17,532	18,429	35,961

\* Total active members included in the projections assume a level work force based on the June 30, 2016 (Lag) actuarial valuation census data.

STATEMENT OF ACTUARIAL OPINION: I, Sherry S. Chan, am the Chief Actuary for, and independent of, the New York City Pension Funds and Retirement Systems. I am a Fellow of the Society of Actuaries, a Fellow of the Conference of Consulting Actuaries and a Member of the American Academy of Actuaries. I meet the Qualifications Standards of the American Academy of Actuaries to render the actuarial opinion contained herein.

FISCAL NOTE IDENTIFICATION: This Fiscal Note 2017-04 dated April 3, 2017 was prepared by the Chief Actuary for the New York City POLICE Pension Fund. This estimate is intended for use only during the 2017 Legislative Session.

1

## PART TTT

2 Section 1. The section heading of section 421-a of the real property  
3 tax law, as amended by chapter 857 of the laws of 1975 and such section  
4 as renumbered by chapter 110 of the laws of 1977, is amended to read as  
5 follows:

6 [Exemption of new multiple dwellings from local taxation.] AFFORDABLE  
7 NEW YORK HOUSING PROGRAM.

8 S 2. Subparagraphs (i) and (iii) of paragraph (a) of subdivision 10 of  
9 section 421-a of the real property tax law, as amended by chapter 15 of  
10 the laws of 2008, are amended to read as follows:

11 (i) all rent stabilization registrations required to be filed on or  
12 after January first, two thousand eight shall contain a designation  
13 which identifies all units that are subject to the provisions of this  
14 section as "[421-a] AFFORDABLE NEW YORK HOUSING PROGRAM units" and  
15 specifically identifies affordable units created pursuant to this  
16 section and units which are required to be occupied by persons or fami-  
17 lies who meet specified income limits pursuant to the provisions of a  
18 local law enacted pursuant to this section as "[421-a] AFFORDABLE NEW  
19 YORK HOUSING PROGRAM affordable units" and shall contain an explanation  
20 of the requirements that apply to all such units. The owner of a unit  
21 that is subject to the provisions of this section shall, in addition to  
22 complying with the requirements of section 26-517 of the rent stabiliza-  
23 tion law, file a copy of the rent registration for each such unit with  
24 the local housing agency;

25 (iii) the local housing agency shall create a report which, at a mini-  
26 mum, contains the following information for every building which  
27 receives benefits pursuant to this section: address, commencement and  
28 termination date of the benefits, total number of residential units,  
29 number of "[421-a] AFFORDABLE NEW YORK HOUSING PROGRAM units" and number  
30 of "[421-a] AFFORDABLE NEW YORK HOUSING PROGRAM affordable units",  
31 apartment number or other designation of such units and the rent for  
32 each of such units. The local housing agency with the cooperation of the  
33 division of housing and community renewal shall maintain, and update  
34 such report no less than annually, with information secured from annual  
35 registrations. Such reports shall be available for public inspection in  
36 a form that assigns a unique designation to each unit other than its  
37 actual apartment number to maintain the privacy of such information; and

38 S 3. Subdivision 16 of section 421-a of the real property tax law, as  
39 added by section 63-c of part A of chapter 20 of the laws of 2015, is  
40 amended to read as follows:

41 16. (a) Definitions. For the purposes of this subdivision:



- 1 (i) "[421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits" shall mean  
2 exemption from real property taxation pursuant to this subdivision.
- 3 (ii) "Affordability option A" shall mean that, within any eligible  
4 site: (A) not less than ten percent of the dwelling units are affor-  
5 dable housing forty percent units; (B) not less than an additional ten  
6 percent of the dwelling units are affordable housing sixty percent  
7 units; (C) not less than an additional five percent of the dwelling  
8 units are affordable housing one hundred thirty percent units; and (D)  
9 such eligible site is developed without the substantial assistance of  
10 grants, loans or subsidies provided by a federal, state or local govern-  
11 mental agency or instrumentality pursuant to a program for the develop-  
12 ment of affordable housing, except that such eligible site may receive  
13 tax exempt bond proceeds and four percent tax credits.
- 14 (iii) "Affordability option B" shall mean that, within any eligible  
15 site, (A) not less than ten percent of the dwelling units are affordable  
16 housing seventy percent units, and (B) not less than an additional twen-  
17 ty percent of the dwelling units are affordable housing one hundred  
18 thirty percent units.
- 19 (iv) "Affordability option C" shall mean that, within any eligible  
20 site excluding the geographic area south of ninety-sixth street in the  
21 borough of Manhattan, and all other geographic areas in the city of New  
22 York excluded pursuant to local law, (A) not less than thirty percent of  
23 the dwelling units are affordable housing one hundred thirty percent  
24 units, and (B) such eligible site is developed without the substantial  
25 assistance of grants, loans or subsidies provided by a federal, state or  
26 local governmental agency or instrumentality pursuant to a program for  
27 the development of affordable housing.
- 28 (v) "Affordability option D" shall only apply to a homeownership  
29 project, of which one hundred percent of the units shall have an average  
30 assessed value not to exceed sixty-five thousand dollars upon the first  
31 assessment following the completion date and where each owner of any  
32 such unit shall agree, in writing, to maintain such unit as their prima-  
33 ry residence for no less than five years from the acquisition of such  
34 unit.
- 35 (VI) "AFFORDABILITY OPTION E" SHALL MEAN THAT, WITHIN ANY ELIGIBLE  
36 SITE WITHIN THE ENHANCED AFFORDABILITY AREA, SUCH SITE MUST CONSIST OF  
37 NO LESS THAN THREE HUNDRED RENTAL DWELLING UNITS OF WHICH (A) NOT LESS  
38 THAN TEN PERCENT OF THE RENTAL DWELLING UNITS ARE AFFORDABLE HOUSING  
39 FORTY PERCENT UNITS; (B) NOT LESS THAN AN ADDITIONAL TEN PERCENT OF THE  
40 RENTAL DWELLING UNITS ARE AFFORDABLE HOUSING SIXTY PERCENT UNITS; (C)  
41 NOT LESS THAN AN ADDITIONAL FIVE PERCENT OF THE RENTAL DWELLING UNITS  
42 ARE AFFORDABLE HOUSING ONE HUNDRED TWENTY PERCENT UNITS; AND (D) SUCH  
43 ELIGIBLE SITE IS DEVELOPED WITHOUT THE SUBSTANTIAL ASSISTANCE OF GRANTS,  
44 LOANS OR SUBSIDIES PROVIDED BY A FEDERAL, STATE OR LOCAL GOVERNMENTAL  
45 AGENCY OR INSTRUMENTALITY PURSUANT TO A PROGRAM FOR THE DEVELOPMENT OF  
46 AFFORDABLE HOUSING, EXCEPT THAT SUCH ELIGIBLE SITE MAY RECEIVE TAX  
47 EXEMPT BOND PROCEEDS AND FOUR PERCENT TAX CREDITS.
- 48 (VII) "AFFORDABILITY OPTION F" SHALL MEAN THAT, WITHIN ANY ELIGIBLE  
49 SITE WITHIN THE ENHANCED AFFORDABILITY AREA, SUCH SITE MUST CONSIST OF  
50 NO LESS THAN THREE HUNDRED RENTAL DWELLING UNITS OF WHICH (A) NOT LESS  
51 THAN TEN PERCENT OF THE RENTAL DWELLING UNITS ARE AFFORDABLE HOUSING  
52 SEVENTY PERCENT UNITS; AND (B) NOT LESS THAN AN ADDITIONAL TWENTY  
53 PERCENT OF THE RENTAL DWELLING UNITS ARE AFFORDABLE HOUSING ONE HUNDRED  
54 THIRTY PERCENT UNITS.
- 55 (VIII) "AFFORDABILITY OPTION G" SHALL MEAN THAT, WITHIN ANY ELIGIBLE  
56 SITE LOCATED WITHIN THE BROOKLYN ENHANCED AFFORDABILITY AREA OR THE

1 QUEENS ENHANCED AFFORDABILITY AREA, SUCH SITE MUST CONSIST OF NO LESS  
2 THAN THREE HUNDRED RENTAL DWELLING UNITS OF WHICH (A) NOT LESS THAN  
3 THIRTY PERCENT OF THE RENTAL DWELLING UNITS ARE AFFORDABLE HOUSING ONE-  
4 HUNDRED THIRTY PERCENT UNITS; AND (B) SUCH ELIGIBLE SITE IS DEVELOPED  
5 WITHOUT THE SUBSTANTIAL ASSISTANCE OF GRANTS, LOANS OR SUBSIDIES  
6 PROVIDED BY A FEDERAL, STATE OR LOCAL GOVERNMENTAL AGENCY OR INSTRUMEN-  
7 TALIY PURSUANT TO A PROGRAM FOR THE DEVELOPMENT OF AFFORDABLE HOUSING.

8 [(vi)] (IX) "Affordability percentage" shall mean a fraction, the  
9 numerator of which is the number of affordable housing units in an  
10 eligible site and the denominator of which is the total number of dwell-  
11 ing units in such eligible site.

12 [(vii)] (X) "Affordable housing forty percent unit" shall mean a  
13 dwelling unit that: (A) is situated within the eligible site for which  
14 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits are granted; and  
15 (B) upon initial rental and upon each subsequent rental following a  
16 vacancy during the restriction period OR EXTENDED RESTRICTION PERIOD, AS  
17 APPLICABLE, is affordable to and restricted to occupancy by individuals  
18 or families whose household income does not exceed forty percent of the  
19 area median income, adjusted for family size, at the time that such  
20 household initially occupies such dwelling unit.

21 [(viii)] (XI) "Affordable housing sixty percent unit" shall mean a  
22 dwelling unit that: (A) is situated within the eligible site for which  
23 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits are granted; and  
24 (B) upon initial rental and upon each subsequent rental following a  
25 vacancy during the restriction period OR EXTENDED RESTRICTION PERIOD, AS  
26 APPLICABLE, is affordable to and restricted to occupancy by individuals  
27 or families whose household income does not exceed sixty percent of the  
28 area median income, adjusted for family size, at the time that such  
29 household initially occupies such dwelling unit.

30 [(ix)] (XII) "Affordable housing seventy percent unit" shall mean a  
31 dwelling unit that: (A) is situated within the eligible site for which  
32 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits are granted; and  
33 (B) upon initial rental and upon each subsequent rental following a  
34 vacancy during the restriction period OR EXTENDED RESTRICTION PERIOD, AS  
35 APPLICABLE, is affordable to and restricted to occupancy by individuals  
36 or families whose household income does not exceed seventy percent of  
37 the area median income, adjusted for family size, at the time that such  
38 household initially occupies such dwelling unit.

39 (XIII) "AFFORDABLE HOUSING ONE HUNDRED TWENTY PERCENT UNIT" SHALL MEAN  
40 A DWELLING UNIT THAT: (A) IS SITUATED WITHIN THE ELIGIBLE SITE FOR WHICH  
41 AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS ARE GRANTED; AND (B) UPON  
42 INITIAL RENTAL AND UPON EACH SUBSEQUENT RENTAL FOLLOWING A VACANCY  
43 DURING THE EXTENDED RESTRICTION PERIOD, IS AFFORDABLE TO AND RESTRICTED  
44 TO OCCUPANCY BY INDIVIDUALS OR FAMILIES WHOSE HOUSEHOLD INCOME DOES NOT  
45 EXCEED ONE HUNDRED TWENTY PERCENT OF THE AREA MEDIAN INCOME, ADJUSTED  
46 FOR FAMILY SIZE, AT THE TIME THAT SUCH HOUSEHOLD INITIALLY OCCUPIES SUCH  
47 DWELLING UNIT.

48 [(x)] (XIV) "Affordable housing one hundred thirty percent unit" shall  
49 mean a dwelling unit that: (A) is situated within the eligible site for  
50 which [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits are granted;  
51 and (B) upon initial rental and upon each subsequent rental following a  
52 vacancy during the restriction period OR EXTENDED RESTRICTION PERIOD, AS  
53 APPLICABLE, is affordable to and restricted to occupancy by individuals  
54 or families whose household income does not exceed one hundred thirty  
55 percent of the area median income, adjusted for family size, at the time  
56 that such household initially occupies such dwelling unit.

1 [(xi)] (XV) "Affordable housing unit" shall mean, collectively and  
2 individually, affordable housing forty percent units, affordable housing  
3 sixty percent units, affordable housing seventy percent units, AFFORDA-  
4 BLE HOUSING ONE HUNDRED TWENTY PERCENT UNITS and affordable housing one  
5 hundred thirty percent units.

6 [(xii)] (XVI) "Agency" shall mean the department of housing preserva-  
7 tion and development.

8 [(xiii)] (XVII) "Application" shall mean an application for [421-a]  
9 AFFORDABLE NEW YORK HOUSING PROGRAM benefits.

10 [(xiv)] (XVIII) "AVERAGE HOURLY WAGE" SHALL MEAN THE AMOUNT EQUAL TO  
11 THE AGGREGATE AMOUNT OF ALL WAGES AND ALL EMPLOYEE BENEFITS PAID TO, OR  
12 ON BEHALF OF, CONSTRUCTION WORKERS FOR CONSTRUCTION WORK DIVIDED BY THE  
13 AGGREGATE NUMBER OF HOURS OF CONSTRUCTION WORK.

14 (XIX) "BROOKLYN ENHANCED AFFORDABILITY AREA" SHALL MEAN ANY TAX LOTS  
15 NOW EXISTING OR HEREAFTER CREATED WHICH ARE LOCATED ENTIRELY WITHIN  
16 COMMUNITY BOARDS ONE OR TWO OF THE BOROUGH OF BROOKLYN BOUNDED AND  
17 DESCRIBED AS FOLLOWS: ALL THAT PIECE OR PARCEL OF LAND SITUATE AND BEING  
18 IN THE BOROUGHS OF QUEENS AND BROOKLYN, NEW YORK. BEGINNING AT THE POINT  
19 OF INTERSECTION OF THE CENTERLINE OF NEWTOWN CREEK AND THE WESTERLY  
20 BOUNDS OF THE EAST RIVER; THENCE SOUTHEASTERLY ALONG THE CENTERLINE OF  
21 NEWTOWN CREEK, SAID CENTERLINE ALSO BEING THE BOUNDARY BETWEEN QUEENS  
22 COUNTY TO THE NORTHEAST AND KINGS COUNTY TO THE SOUTHWEST, TO THE POINT  
23 OF INTERSECTION WITH GREENPOINT AVENUE; THENCE SOUTHWESTERLY ALONG  
24 GREENPOINT AVENUE, TO THE INTERSECTION WITH KINGS LAND AVENUE; THENCE  
25 SOUTHERLY ALONG KINGSLAND AVENUE TO THE INTERSECTION WITH MEEKER AVENUE;  
26 THENCE SOUTHWESTERLY ALONG MEEKER AVENUE TO THE INTERSECTION WITH  
27 LEONARD STREET; THENCE SOUTHERLY ALONG LEONARD STREET TO THE INTER-  
28 SECTION WITH METROPOLITAN AVENUE; THENCE WESTERLY ALONG METROPOLITAN  
29 AVENUE TO THE INTERSECTION WITH LORIMER STREET; THENCE SOUTHERLY ALONG  
30 LORIMER STREET TO THE INTERSECTION WITH MONTROSE AVENUE; THENCE WESTERLY  
31 ALONG MONTROSE AVENUE TO THE INTERSECTION WITH UNION AVENUE; THENCE  
32 SOUTHERLY ALONG UNION AVENUE TO THE INTERSECTION WITH JOHNSON AVENUE;  
33 THENCE WESTERLY ALONG JOHNSON AVENUE TO THE INTERSECTION WITH BROADWAY;  
34 THENCE NORTHWESTERLY ALONG BROADWAY TO THE INTERSECTION WITH RUTLEDGE  
35 STREET; THENCE SOUTHWESTERLY ALONG RUTLEDGE STREET TO THE INTERSECTION  
36 WITH KENT AVENUE AND CLASSON AVENUE; THENCE SOUTHWESTERLY AND SOUTHERLY  
37 ALONG CLASSON AVENUE TO THE INTERSECTION WITH DEKALB AVENUE; THENCE  
38 WESTERLY ALONG DEKALB AVENUE TO THE INTERSECTION WITH BOND STREET;  
39 THENCE SOUTHWESTERLY ALONG BOND STREET TO THE INTERSECTION WITH WYCKOFF  
40 STREET; THENCE NORTHWESTERLY ALONG WYCKOFF STREET TO THE INTERSECTION  
41 WITH HOYT STREET; THENCE SOUTHWESTERLY ALONG HOYT STREET TO THE INTER-  
42 SECTION WITH WARREN STREET; THENCE NORTHWESTERLY ALONG WARREN STREET TO  
43 THE INTERSECTION WITH COURT STREET; THENCE NORTHEASTERLY ALONG COURT  
44 STREET TO THE INTERSECTION WITH ATLANTIC AVENUE; THENCE NORTHWESTERLY  
45 ALONG ATLANTIC AVENUE, CROSSING UNDER THE BROOKLYN QUEENS EXPRESSWAY  
46 (AKA INTERSTATE 278), TO THE TERMINUS OF ATLANTIC AVENUE AT THE BROOKLYN  
47 BRIDGE PARK/PIER 6; THENCE NORTHWESTERLY PASSING THROUGH THE BROOKLYN  
48 BRIDGE PARK TO THE BULKHEAD OF THE EAST RIVER AT PIER 6; THENCE IN A  
49 GENERAL NORTHEASTERLY DIRECTION ALONG THE EASTERLY BULKHEAD OR SHORELINE  
50 OF THE EAST RIVER TO THE INTERSECTION WITH THE CENTERLINE OF NEWTOWN  
51 CREEK, AND THE POINT OR PLACE OF BEGINNING.

52 (XX) "Building service employee" shall mean any person who is regular-  
53 ly employed at, and performs work in connection with the care or mainte-  
54 nance of, an eligible site, including, but not limited to, a watchman,  
55 guard, doorman, building cleaner, porter, handyman, janitor, gardener,  
56 groundskeeper, elevator operator and starter, and window cleaner, but

1 not including persons regularly scheduled to work fewer than eight hours  
2 per week at the eligible site.

3 [(xv)] (XXI) "Commencement date" shall mean, with respect to any  
4 eligible multiple dwelling, the date upon which excavation and  
5 construction of initial footings and foundations lawfully begins in good  
6 faith or, for an eligible conversion, the date upon which the actual  
7 construction of the conversion, alteration or improvement of the pre-ex-  
8 isting building or structure lawfully begins in good faith.

9 [(xvi)] (XXII) "Completion date" shall mean, WITH RESPECT TO ANY  
10 ELIGIBLE MULTIPLE DWELLING, the date upon which the local department of  
11 buildings issues the first temporary or permanent certificate of occu-  
12 pancy covering all residential areas of an eligible multiple dwelling.

13 [(xvii)] (XXIII) "Construction period" shall mean, with respect to any  
14 eligible multiple dwelling, a period: (A) beginning on the later of the  
15 commencement date of such eligible multiple dwelling or three years  
16 before the completion date of such eligible multiple dwelling; and (B)  
17 ending on the day preceding the completion date of such eligible multi-  
18 ple dwelling.

19 (XXIV) "CONSTRUCTION WORK" SHALL MEAN THE PROVISION OF LABOR PERFORMED  
20 ON AN ELIGIBLE SITE BETWEEN THE COMMENCEMENT DATE AND THE COMPLETION  
21 DATE, WHEREBY MATERIALS AND CONSTITUENT PARTS ARE COMBINED TO INITIALLY  
22 FORM, MAKE OR BUILD AN ELIGIBLE MULTIPLE DWELLING, INCLUDING WITHOUT  
23 LIMITATION, PAINTING, OR PROVIDING OF MATERIAL, ARTICLES, SUPPLIES OR  
24 EQUIPMENT IN THE ELIGIBLE MULTIPLE DWELLING, BUT EXCLUDING SECURITY  
25 PERSONNEL AND WORK RELATED TO THE FIT-OUT OF COMMERCIAL SPACES.

26 (XXV) "CONSTRUCTION WORKERS" SHALL MEAN ALL PERSONS PERFORMING  
27 CONSTRUCTION WORK WHO (A) ARE PAID ON AN HOURLY BASIS AND (B) ARE NOT IN  
28 A MANAGEMENT OR EXECUTIVE ROLE OR POSITION.

29 (XXVI) "CONTRACTOR CERTIFIED PAYROLL REPORT" SHALL MEAN AN ORIGINAL  
30 PAYROLL REPORT SUBMITTED BY A CONTRACTOR OR SUB-CONTRACTOR TO THE INDE-  
31 PENDENT MONITOR SETTING FORTH TO THE BEST OF THE CONTRACTOR'S OR  
32 SUB-CONTRACTOR'S KNOWLEDGE, THE TOTAL NUMBER OF HOURS OF CONSTRUCTION  
33 WORK PERFORMED BY CONSTRUCTION WORKERS, THE AMOUNT OF WAGES AND EMPLOYEE  
34 BENEFITS PAID TO CONSTRUCTION WORKERS FOR CONSTRUCTION WORK.

35 [(xviii)] (XXVII) "Eligible conversion" shall mean the conversion,  
36 alteration or improvement of a pre-existing building or structure  
37 resulting in a multiple dwelling in which no more than forty-nine  
38 percent of the floor area consists of such pre-existing building or  
39 structure.

40 [(xix)] (XXVIII) "Eligible multiple dwelling" shall mean a multiple  
41 dwelling or homeownership project containing six or more dwelling units  
42 created through new construction or eligible conversion for which the  
43 commencement date is after December thirty-first, two thousand fifteen  
44 and on or before June fifteenth, two thousand [nineteen] TWENTY-TWO, and  
45 for which the completion date is on or before June fifteenth, two thou-  
46 sand [twenty-three] TWENTY-SIX.

47 [(xx)] (XXIX) "Eligible site" shall mean either: (A) a tax lot  
48 containing an eligible multiple dwelling; or (B) a zoning lot containing  
49 two or more eligible multiple dwellings that are part of a single appli-  
50 cation.

51 (XXX) "EMPLOYEE BENEFITS" SHALL MEAN ALL SUPPLEMENTAL COMPENSATION  
52 PAID BY THE EMPLOYER, ON BEHALF OF CONSTRUCTION WORKERS, OTHER THAN  
53 WAGES, INCLUDING, WITHOUT LIMITATION, ANY PREMIUMS OR CONTRIBUTIONS MADE  
54 INTO PLANS OR FUNDS THAT PROVIDE HEALTH, WELFARE, NON-OCCUPATIONAL DISA-  
55 BILITY COVERAGE, RETIREMENT, VACATION BENEFITS, HOLIDAY PAY, LIFE INSUR-  
56 ANCE AND APPRENTICESHIP TRAINING. THE VALUE OF ANY EMPLOYEE BENEFITS

1 RECEIVED SHALL BE DETERMINED BASED ON THE PRORATED HOURLY COST TO THE  
2 EMPLOYER OF THE EMPLOYEE BENEFITS RECEIVED BY CONSTRUCTION WORKERS.

3 (XXXI) "ENHANCED AFFORDABILITY AREA" SHALL MEAN THE MANHATTAN ENHANCED  
4 AFFORDABILITY AREA, THE BROOKLYN ENHANCED AFFORDABILITY AREA AND THE  
5 QUEENS ENHANCED AFFORDABILITY AREA.

6 (XXXII) "ENHANCED THIRTY-FIVE YEAR BENEFIT" SHALL MEAN: (A) FOR THE  
7 CONSTRUCTION PERIOD, A ONE HUNDRED PERCENT EXEMPTION FROM REAL PROPERTY  
8 TAXATION, OTHER THAN ASSESSMENTS FOR LOCAL IMPROVEMENTS; AND (B) FOR THE  
9 NEXT THIRTY-FIVE YEARS OF THE EXTENDED RESTRICTION PERIOD, A ONE HUNDRED  
10 PERCENT EXEMPTION FROM REAL PROPERTY TAXATION, OTHER THAN ASSESSMENTS  
11 FOR LOCAL IMPROVEMENTS.

12 (XXXIII) "EXTENDED RESTRICTION PERIOD" SHALL MEAN A PERIOD COMMENCING  
13 ON THE COMPLETION DATE AND EXPIRING ON THE FORTIETH ANNIVERSARY OF THE  
14 COMPLETION DATE, NOTWITHSTANDING ANY EARLIER TERMINATION OR REVOCATION  
15 OF AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS.

16 [(xxi)] (XXXIV) "Fiscal officer" shall mean the comptroller or other  
17 analogous officer in a city having a population of one million or more.

18 [(xxii)] (XXXV) "Floor area" shall mean the horizontal areas of the  
19 several floors, or any portion thereof, of a dwelling or dwellings, and  
20 accessory structures on a lot measured from the exterior faces of exte-  
21 rior walls, or from the center line of party walls.

22 [(xxiii)] (XXXVI) "Four percent tax credits" shall mean federal low  
23 income housing tax credits computed in accordance with clause (ii) of  
24 subparagraph (B) of paragraph (1) of subsection (b) of section forty-two  
25 of the internal revenue code of nineteen hundred eighty-six, as amended.

26 [(xxiv)] (XXXVII) "Homeownership project" shall mean a multiple dwell-  
27 ing or portion thereof operated as condominium or cooperative housing,  
28 however, it shall not include a multiple dwelling or portion thereof  
29 operated as cooperative or condominium housing located within the  
30 borough of Manhattan, and shall not include a multiple dwelling that  
31 contains more than thirty-five units.

32 [(xxv)] (XXXVIII) "INDEPENDENT MONITOR" SHALL MEAN AN ACCOUNTANT  
33 LICENSED AND IN GOOD STANDING PURSUANT TO ARTICLE ONE HUNDRED FORTY-NINE  
34 OF THE EDUCATION LAW.

35 (XXXIX) "JOB ACTION" SHALL MEAN ANY DELAY, INTERRUPTION OR INTERFER-  
36 ENCE WITH THE CONSTRUCTION WORK CAUSED BY THE ACTIONS OF ANY LABOR  
37 ORGANIZATION OR CONCERTED ACTION OF ANY EMPLOYEES AT THE ELIGIBLE SITE,  
38 INCLUDING WITHOUT LIMITATION, STRIKES, SYMPATHY STRIKES, WORK STOPPAGES,  
39 WALK OUTS, SLOWDOWNS, PICKETING, BANNERING, HAND BILLING, DEMON-  
40 STRATIONS, SICKOUTS, REFUSALS TO CROSS A PICKET LINE, REFUSALS TO HANDLE  
41 STRUCK BUSINESS, AND USE OF THE RAT OR OTHER INFLATABLE BALLOONS OR  
42 SIMILAR DISPLAYS.

43 (XL) "Market unit" shall mean a dwelling unit in an eligible multiple  
44 dwelling other than an affordable housing unit.

45 [(xxvi)] (XLI) "Multiple dwelling" shall have the meaning set forth in  
46 the multiple dwelling law.

47 [(xxvii)] (XLII) "Non-residential tax lot" shall mean a tax lot that  
48 does not contain any dwelling units.

49 [(xxviii)] (XLIII) "MANHATTAN ENHANCED AFFORDABILITY AREA" SHALL MEAN  
50 ANY TAX LOTS NOW EXISTING OR HEREAFTER CREATED LOCATED ENTIRELY SOUTH OF  
51 96TH STREET IN THE BOROUGH OF MANHATTAN.

52 (XLIV) "PROJECT LABOR AGREEMENT" SHALL MEAN A PRE-HIRE COLLECTIVE  
53 BARGAINING AGREEMENT SETTING FORTH THE TERMS AND CONDITIONS OF EMPLOY-  
54 MENT FOR THE CONSTRUCTION WORKERS ON AN ELIGIBLE SITE.

55 (XLV) "PROJECT-WIDE CERTIFIED PAYROLL REPORT" SHALL MEAN A CERTIFIED  
56 PAYROLL REPORT SUBMITTED BY THE INDEPENDENT MONITOR TO THE FISCAL OFFI-

1 CER BASED ON EACH CONTRACTOR CERTIFIED PAYROLL REPORT WHICH SETS FORTH  
2 THE TOTAL NUMBER OF HOURS OF CONSTRUCTION WORK PERFORMED BY CONSTRUCTION  
3 WORKERS, THE AGGREGATE AMOUNT OF WAGES AND EMPLOYEE BENEFITS PAID TO  
4 CONSTRUCTION WORKERS FOR CONSTRUCTION WORK AND THE AVERAGE HOURLY WAGE.

5 (XLVI) "QUEENS ENHANCED AFFORDABILITY AREA" SHALL MEAN ANY TAX LOTS  
6 NOW EXISTING OR HEREAFTER CREATED WHICH ARE LOCATED ENTIRELY WITHIN  
7 COMMUNITY BOARDS ONE OR TWO OF THE BOROUGH OF QUEENS BOUNDED AND  
8 DESCRIBED AS FOLLOWS: ALL THAT PIECE OR PARCEL OF LAND SITUATE AND BEING  
9 IN THE BOROUGHS OF QUEENS AND BROOKLYN, NEW YORK. BEGINNING AT THE POINT  
10 BEING THE INTERSECTION OF THE EASTERLY SHORE OF THE EAST RIVER WITH A  
11 LINE OF PROLONGATION OF 20TH AVENUE PROJECTED NORTHWESTERLY; THENCE  
12 SOUTHEASTERLY ON THE LINE OF PROLONGATION OF 20TH AVENUE AND ALONG 20TH  
13 AVENUE TO THE INTERSECTION WITH 31ST STREET; THENCE SOUTHWESTERLY ALONG  
14 31ST STREET TO THE INTERSECTION WITH NORTHERN BOULEVARD; THENCE SOUTH-  
15 WESTERLY ALONG NORTHERN BOULEVARD TO THE INTERSECTION WITH QUEENS BOULE-  
16 VARD (AKA ROUTE 25); THENCE SOUTHEASTERLY ALONG QUEENS BOULEVARD TO THE  
17 INTERSECTION WITH VAN DAM STREET; THENCE SOUTHERLY ALONG VAN DAM STREET  
18 TO THE INTERSECTION WITH BORDEN AVENUE; THENCE SOUTHWESTERLY ALONG VAN  
19 DAM STREET TO THE INTERSECTION WITH GREENPOINT AVENUE AND REVIEW AVENUE;  
20 THENCE SOUTHWESTERLY ALONG GREENPOINT AVENUE TO THE POINT OF INTER-  
21 SECTION WITH THE CENTERLINE OF NEWTOWN CREEK, SAID CENTERLINE OF NEWTOWN  
22 CREEK ALSO BEING THE BOUNDARY BETWEEN QUEENS COUNTY TO THE NORTH AND  
23 KINGS COUNTY TO THE SOUTH; THENCE NORTHWESTERLY ALONG THE CENTERLINE OF  
24 NEWTOWN CREEK, ALSO BEING THE BOUNDARY BETWEEN QUEENS COUNTY AND KINGS  
25 COUNTY TO ITS INTERSECTION WITH THE EASTERLY BOUNDS OF THE EAST RIVER;  
26 THENCE IN A GENERAL NORTHEASTERLY DIRECTION ALONG THE EASTERLY BULKHEAD  
27 OR SHORELINE OF THE EAST RIVER TO THE POINT OR PLACE OF BEGINNING.

28 (XLVII) "Rent stabilization" shall mean, collectively, the rent  
29 stabilization law of nineteen hundred sixty-nine, the rent stabilization  
30 code, and the emergency tenant protection act of nineteen seventy-four,  
31 all as in effect as of the effective date of the chapter of the laws of  
32 two thousand fifteen that added this subdivision or as amended thereaft-  
33 er, together with any successor statutes or regulations addressing  
34 substantially the same subject matter.

35 [(xxix)] (XLVIII) "Rental project" shall mean an eligible site in  
36 which all dwelling units included in any application are operated as  
37 rental housing.

38 [(xxx)] (XLIX) "Residential tax lot" shall mean a tax lot that  
39 contains dwelling units.

40 [(xxxii)] (L) "Restriction period" shall mean a period commencing on  
41 the completion date and expiring on the thirty-fifth anniversary of the  
42 completion date, notwithstanding any earlier termination or revocation  
43 of [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits.

44 [(xxxiii)] (LI) "Tax exempt bond proceeds" shall mean the proceeds of  
45 an exempt facility bond, as defined in paragraph (7) of subsection (a)  
46 of section one hundred forty-two of the internal revenue code of nine-  
47 teen hundred eighty-six, as amended, the interest upon which is exempt  
48 from taxation under section one hundred three of the internal revenue  
49 code of nineteen hundred eighty-six, as amended.

50 (LII) "THIRD PARTY FUND ADMINISTRATOR" SHALL BE A PERSON OR ENTITY  
51 THAT RECEIVES FUNDS PURSUANT TO PARAGRAPH (C) OF THIS SUBDIVISION AND  
52 OVERSEES AND MANAGES THE DISBURSAL OF SUCH FUNDS TO CONSTRUCTION WORK-  
53 ERS. THE THIRD PARTY FUND ADMINISTRATOR SHALL BE A PERSON OR ENTITY  
54 APPROVED BY THE FISCAL OFFICER AND RECOMMENDED BY ONE, OR MORE, REPRE-  
55 SENTATIVE OR REPRESENTATIVES OF THE LARGEST TRADE ASSOCIATION OF RESI-  
56 DENTIAL REAL ESTATE DEVELOPERS, EITHER FOR PROFIT OR NOT-FOR-PROFIT, IN

1 NEW YORK CITY AND ONE, OR MORE, REPRESENTATIVE OR REPRESENTATIVES OF THE  
2 LARGEST TRADE LABOR ASSOCIATION REPRESENTING BUILDING AND CONSTRUCTION  
3 WORKERS, WITH MEMBERSHIP IN NEW YORK CITY. THE THIRD PARTY FUND ADMIN-  
4 ISTRATOR SHALL BE APPOINTED FOR A TERM OF THREE YEARS, PROVIDED, HOWEV-  
5 ER, THAT THE ADMINISTRATOR IN PLACE AT THE END OF A THREE YEAR TERM  
6 SHALL CONTINUE TO SERVE BEYOND THE END OF THE TERM UNTIL A REPLACEMENT  
7 ADMINISTRATOR IS APPOINTED. THE FISCAL OFFICER AFTER PROVIDING NOTICE  
8 AND AFTER MEETING WITH THE THIRD PARTY FUND ADMINISTRATOR, MAY REMOVE  
9 SUCH ADMINISTRATOR FOR CAUSE UPON A FISCAL OFFICER DETERMINATION THAT  
10 THE ADMINISTRATOR HAS BEEN INEFFECTIVE AT OVERSEEING OR MANAGING THE  
11 DISBURSAL OF FUNDS TO THE CONSTRUCTION WORKERS. THE THIRD PARTY FUND  
12 ADMINISTRATOR SHALL, AT THE REQUEST OF THE FISCAL OFFICER, SUBMIT  
13 REPORTS TO THE FISCAL OFFICER.

14 [(xxxiii)] (LIII) "Thirty-five year benefit" shall mean: (A) for the  
15 construction period, a one hundred percent exemption from real property  
16 taxation, other than assessments for local improvements; (B) for the  
17 first twenty-five years of the restriction period, a one hundred percent  
18 exemption from real property taxation, other than assessments for local  
19 improvements; and (C) for the final ten years of the restriction period,  
20 an exemption from real property taxation, other than assessments for  
21 local improvements, equal to the affordability percentage.

22 [(xxxiv)] (LIV) "Twenty year benefit" shall mean: (A) for the  
23 construction period, a one hundred percent exemption from real property  
24 taxation, other than assessments for local improvements; (B) for the  
25 first fourteen years of the restriction period, a one hundred percent  
26 exemption from real property taxation, other than assessments for local  
27 improvements, provided, however, that no exemption shall be given for  
28 any portion of a unit's assessed value that exceeds \$65,000; and (C) for  
29 the [final] NEXT six years of the restriction period, a twenty-five  
30 percent exemption from real property taxation, other than assessments  
31 for local improvements, provided, however, that no exemption shall be  
32 given for any portion of a unit's assessed value that exceeds \$65,000.

33 (LV) "WAGES" SHALL MEAN ALL COMPENSATION, REMUNERATION OR PAYMENTS OF  
34 ANY KIND PAID TO, OR ON BEHALF OF, CONSTRUCTION WORKERS, INCLUDING,  
35 WITHOUT LIMITATION, ANY HOURLY COMPENSATION PAID DIRECTLY TO THE  
36 CONSTRUCTION WORKER, TOGETHER WITH EMPLOYEE BENEFITS, SUCH AS HEALTH,  
37 WELFARE, NON-OCCUPATIONAL DISABILITY COVERAGE, RETIREMENT, VACATION  
38 BENEFITS, HOLIDAY PAY, LIFE INSURANCE AND APPRENTICESHIP TRAINING, AND  
39 PAYROLL TAXES, INCLUDING, TO THE EXTENT PERMISSIBLE BY LAW, ALL AMOUNTS  
40 PAID FOR NEW YORK STATE UNEMPLOYMENT INSURANCE, NEW YORK STATE DISABILI-  
41 TY INSURANCE, METROPOLITAN COMMUTER TRANSPORTATION MOBILITY TAX, FEDERAL  
42 UNEMPLOYMENT INSURANCE AND PURSUANT TO THE FEDERAL INSURANCE CONTRIB-  
43 UTIONS ACT OR ANY OTHER PAYROLL TAX THAT IS PAID BY THE EMPLOYER.

44 (b) Benefit. In cities having a population of one million or more,  
45 notwithstanding the provisions of any other subdivision of this section  
46 or of any general, special or local law to the contrary, new eligible  
47 sites, except hotels, that comply with the provisions of this subdivi-  
48 sion shall be exempt from real property taxation, other than assessments  
49 for local improvements, in the amounts and for the periods specified in  
50 this paragraph. A rental project that meets all of the requirements of  
51 this subdivision shall receive a thirty-five year benefit and a homeown-  
52 ership project that meets all of the requirements of this subdivision  
53 shall receive a twenty year benefit. A RENTAL PROJECT THAT ALSO MEETS  
54 ALL OF THE REQUIREMENTS OF PARAGRAPH (C) OF THIS SUBDIVISION SHALL  
55 RECEIVE AN ENHANCED THIRTY-FIVE YEAR BENEFIT.

1 (C) IN ADDITION TO ALL OTHER REQUIREMENTS SET FORTH IN THIS SUBDIVI-  
2 SION, RENTAL PROJECTS CONTAINING THREE HUNDRED OR MORE RENTAL DWELLING  
3 UNITS LOCATED WITHIN THE ENHANCED AFFORDABILITY AREA SHALL COMPLY WITH  
4 THE REQUIREMENTS SET FORTH IN THIS PARAGRAPH. FOR PURPOSES OF THIS PARA-  
5 GRAPH, "CONTRACTOR" SHALL MEAN ANY ENTITY WHICH BY AGREEMENT WITH ANOTH-  
6 ER PARTY (INCLUDING SUBCONTRACTORS) UNDERTAKES TO PERFORM CONSTRUCTION  
7 WORK AT AN ELIGIBLE SITE AND "APPLICANT" SHALL MEAN AN APPLICANT FOR  
8 AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS AND ANY SUCCESSOR THERETO.

9 (I) SUCH RENTAL PROJECT SHALL COMPLY WITH EITHER AFFORDABILITY OPTION  
10 E, AFFORDABILITY OPTION F OR AFFORDABILITY OPTION G.

11 (II) THE MINIMUM AVERAGE HOURLY WAGE PAID TO CONSTRUCTION WORKERS ON  
12 AN ELIGIBLE SITE WITHIN THE MANHATTAN ENHANCED AFFORDABILITY AREA SHALL  
13 BE NO LESS THAN SIXTY DOLLARS PER HOUR. THREE YEARS FROM THE EFFECTIVE  
14 DATE OF THE CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT ADDED  
15 THIS PARAGRAPH AND EVERY THREE YEARS THEREAFTER, THE MINIMUM AVERAGE  
16 HOURLY WAGE SHALL BE INCREASED BY FIVE PERCENT; PROVIDED, HOWEVER, THAT  
17 ANY BUILDING WITH A COMMENCEMENT DATE PRIOR TO THE DATE OF SUCH INCREASE  
18 SHALL BE REQUIRED TO PAY THE MINIMUM AVERAGE HOURLY WAGE AS REQUIRED ON  
19 ITS COMMENCEMENT DATE.

20 (III) THE MINIMUM AVERAGE HOURLY WAGE PAID TO CONSTRUCTION WORKERS ON  
21 AN ELIGIBLE SITE WITHIN THE BROOKLYN ENHANCED AFFORDABILITY AREA OR THE  
22 QUEENS ENHANCED AFFORDABILITY AREA SHALL BE NO LESS THAN FORTY-FIVE  
23 DOLLARS PER HOUR. THREE YEARS FROM THE EFFECTIVE DATE OF THE CHAPTER OF  
24 THE LAWS OF TWO THOUSAND SEVENTEEN THAT ADDED THIS PARAGRAPH AND EVERY  
25 THREE YEARS THEREAFTER, THE MINIMUM AVERAGE HOURLY WAGE SHALL BE  
26 INCREASED BY FIVE PERCENT; PROVIDED, HOWEVER, THAT ANY BUILDING WITH A  
27 COMMENCEMENT DATE PRIOR TO THE DATE OF SUCH INCREASE SHALL BE REQUIRED  
28 TO PAY THE MINIMUM AVERAGE HOURLY WAGE AS REQUIRED ON ITS COMMENCEMENT  
29 DATE.

30 (IV) THE REQUIREMENTS OF SUBPARAGRAPHS (II) AND (III) OF THIS PARA-  
31 GRAPH SHALL NOT BE APPLICABLE TO:

32 (A) AN ELIGIBLE MULTIPLE DWELLING IN WHICH AT LEAST FIFTY PERCENT OF  
33 THE DWELLING UNITS UPON INITIAL RENTAL AND UPON EACH SUBSEQUENT RENTAL  
34 FOLLOWING A VACANCY DURING THE EXTENDED RESTRICTION PERIOD, ARE AFFORDA-  
35 BLE TO AND RESTRICTED TO OCCUPANCY BY INDIVIDUALS OR FAMILIES WHOSE  
36 HOUSEHOLD INCOME DOES NOT EXCEED ONE HUNDRED TWENTY-FIVE PERCENT OF THE  
37 AREA MEDIAN INCOME, ADJUSTED FOR FAMILY SIZE, AT THE TIME THAT SUCH  
38 HOUSEHOLD INITIALLY OCCUPIES SUCH DWELLING UNIT;

39 (B) ANY PORTION OF AN ELIGIBLE MULTIPLE DWELLING WHICH IS OWNED AND  
40 OPERATED AS A CONDOMINIUM OR COOPERATIVE; OR

41 (C) AT THE OPTION OF THE APPLICANT, TO AN ELIGIBLE SITE SUBJECT TO A  
42 PROJECT LABOR AGREEMENT.

43 (V) THE APPLICANT SHALL CONTRACT WITH AN INDEPENDENT MONITOR. SUCH  
44 INDEPENDENT MONITOR SHALL SUBMIT TO THE FISCAL OFFICER WITHIN ONE YEAR  
45 OF THE COMPLETION DATE A PROJECT-WIDE CERTIFIED PAYROLL REPORT. IN THE  
46 EVENT SUCH PROJECT-WIDE CERTIFIED PAYROLL REPORT IS NOT SUBMITTED TO THE  
47 FISCAL OFFICER WITHIN THE REQUISITE TIME, THE APPLICANT SHALL BE SUBJECT  
48 TO A FINE OF ONE THOUSAND DOLLARS PER WEEK, OR ANY PORTION THEREOF;  
49 PROVIDED THAT THE MAXIMUM FINE SHALL BE SEVENTY-FIVE THOUSAND DOLLARS.  
50 IN THE EVENT THAT THE AVERAGE HOURLY WAGE IS LESS THAN THE MINIMUM AVER-  
51 AGE HOURLY WAGE SET FORTH IN SUBPARAGRAPH (II) OR (III) OF THIS PARA-  
52 GRAPH AS APPLICABLE, THE PROJECT-WIDE CERTIFIED PAYROLL REPORT SHALL  
53 ALSO SET FORTH THE AGGREGATE AMOUNT OF SUCH DEFICIENCY.

54 (VI) THE CONTRACTOR CERTIFIED PAYROLL REPORT SHALL BE SUBMITTED BY  
55 EACH CONTRACTOR AND SUB-CONTRACTOR NO LATER THAN NINETY DAYS AFTER THE  
56 COMPLETION OF CONSTRUCTION WORK BY SUCH CONTRACTOR OR SUB-CONTRACTOR. IN



1 THE EVENT THAT A CONTRACTOR OR SUB-CONTRACTOR FAILS OR REFUSES TO SUBMIT  
2 THE CONTRACTOR CERTIFIED PAYROLL REPORT WITHIN THE TIME PRESCRIBED IN  
3 THIS SUBPARAGRAPH, THE INDEPENDENT MONITOR SHALL NOTIFY THE FISCAL OFFI-  
4 CER AND THE FISCAL OFFICER SHALL BE AUTHORIZED TO FINE SUCH CONTRACTOR  
5 OR SUB-CONTRACTOR IN THE AMOUNT OF ONE THOUSAND DOLLARS PER WEEK, OR ANY  
6 PORTION THEREOF, PROVIDED THAT THE MAXIMUM FINE SHALL BE SEVENTY-FIVE  
7 THOUSAND DOLLARS.

8 (VII) IN THE EVENT THAT THE PROJECT-WIDE CERTIFIED PAYROLL REPORT  
9 SHOWS THAT THE AVERAGE HOURLY WAGE AS REQUIRED BY SUBPARAGRAPH (II) OR  
10 (III) OF THIS PARAGRAPH, AS APPLICABLE, WAS NOT PAID, (A) IF THE AVERAGE  
11 HOURLY WAGE IS WITHIN FIFTEEN PERCENT OF THE AVERAGE HOURLY WAGE  
12 REQUIRED BY SUBPARAGRAPH (I) OR (II) OF THIS PARAGRAPH, AS APPLICABLE,  
13 THEN NO LATER THAN ONE HUNDRED TWENTY DAYS FROM THE DATE OF SUBMISSION  
14 OF SUCH PROJECT-WIDE CERTIFIED PAYROLL REPORT, THE APPLICANT SHALL PAY  
15 TO THE THIRD PARTY FUND ADMINISTRATOR AN AMOUNT EQUAL TO THE AMOUNT OF  
16 THE DEFICIENCY SET FORTH IN THE PROJECT-WIDE CERTIFIED PAYROLL REPORT.  
17 THE THIRD PARTY FUND ADMINISTRATOR SHALL DISTRIBUTE SUCH PAYMENT TO THE  
18 CONSTRUCTION WORKERS WHO PERFORMED CONSTRUCTION WORK ON SUCH ELIGIBLE  
19 SITE. PRIOR TO MAKING SUCH REPAYMENT, THE THIRD PARTY FUND ADMINISTRATOR  
20 SHALL SUBMIT TO THE FISCAL OFFICER A PLAN SUBJECT TO THE FISCAL OFFI-  
21 CER'S APPROVAL SETTING FORTH THE MANNER IN WHICH THE THIRD PARTY FUND  
22 ADMINISTRATOR WILL REACH THE REQUIRED AVERAGE WAGE WITHIN ONE HUNDRED  
23 FIFTY DAYS OF RECEIVING THE PAYMENT FROM THE APPLICANT AND HOW ANY  
24 REMAINING FUNDS WILL BE DISBURSED IN THE EVENT THAT THE THIRD PARTY FUND  
25 ADMINISTRATOR CANNOT DISTRIBUTE THE FUNDS TO THE CONSTRUCTION WORKERS  
26 WITHIN ONE YEAR OF RECEIVING FISCAL OFFICER APPROVAL. IN THE EVENT THAT  
27 THE APPLICANT FAILS TO MAKE SUCH PAYMENT WITHIN THE TIME PERIOD  
28 PRESCRIBED IN THIS SUBPARAGRAPH, THE APPLICANT SHALL BE SUBJECT TO A  
29 FINE OF ONE THOUSAND DOLLARS PER WEEK PROVIDED THAT THE MAXIMUM FINE  
30 SHALL BE SEVENTY-FIVE THOUSAND DOLLARS; OR (B) IF THE AVERAGE HOURLY  
31 WAGE IS MORE THAN FIFTEEN PERCENT BELOW THE MINIMUM AVERAGE HOURLY WAGE  
32 REQUIRED BY SUBPARAGRAPH (I) OR (II) OF THIS PARAGRAPH, AS APPLICABLE,  
33 THEN NO LATER THAN ONE HUNDRED TWENTY DAYS FROM THE DATE OF SUBMISSION  
34 OF SUCH PROJECT-WIDE CERTIFIED PAYROLL REPORT, THE APPLICANT SHALL PAY  
35 TO THE THIRD PARTY FUND ADMINISTRATOR AN AMOUNT EQUAL TO THE AMOUNT OF  
36 THE DEFICIENCY SET FORTH IN THE PROJECT-WIDE PAYROLL REPORT. THE THIRD  
37 PARTY FUND ADMINISTRATOR SHALL DISTRIBUTE SUCH PAYMENT TO THE  
38 CONSTRUCTION WORKERS WHO PERFORMED CONSTRUCTION WORK ON SUCH ELIGIBLE  
39 SITE. PRIOR TO MAKING SUCH REPAYMENT, THE THIRD PARTY FUND ADMINISTRATOR  
40 SHALL SUBMIT TO THE FISCAL OFFICER A PLAN SUBJECT TO THE FISCAL OFFI-  
41 CER'S APPROVAL SETTING FORTH THE MANNER IN WHICH THE THIRD PARTY FUND  
42 ADMINISTRATOR WILL REACH THE REQUIRED AVERAGE WAGE WITHIN ONE HUNDRED  
43 FIFTY DAYS OF RECEIVING THE PAYMENT FROM THE APPLICANT AND HOW ANY  
44 REMAINING FUNDS WILL BE DISBURSED IN THE EVENT THAT THE THIRD PARTY FUND  
45 ADMINISTRATOR CANNOT DISTRIBUTE THE FUNDS TO THE CONSTRUCTION WORKERS  
46 WITHIN ONE YEAR OF RECEIVING FISCAL OFFICER APPROVAL. IN ADDITION, THE  
47 FISCAL OFFICER SHALL IMPOSE A PENALTY ON THE APPLICANT IN AN AMOUNT  
48 EQUAL TO TWENTY-FIVE PERCENT OF THE AMOUNT OF THE DEFICIENCY, PROVIDED,  
49 HOWEVER, THAT THE FISCAL OFFICER SHALL NOT IMPOSE SUCH PENALTY WHERE THE  
50 ELIGIBLE MULTIPLE DWELLING HAS BEEN THE SUBJECT OF A JOB ACTION WHICH  
51 RESULTS IN A WORK DELAY. IN THE EVENT THAT THE APPLICANT FAILS TO MAKE  
52 SUCH PAYMENT WITHIN THE TIME PERIOD PRESCRIBED IN THIS SUBPARAGRAPH, THE  
53 APPLICANT SHALL BE SUBJECT TO A FINE OF ONE THOUSAND DOLLARS PER WEEK,  
54 PROVIDED THAT THE MAXIMUM FINE SHALL BE SEVENTY-FIVE THOUSAND DOLLARS.  
55 NOTWITHSTANDING ANY PROVISION OF THIS PARAGRAPH, THE APPLICANT SHALL NOT  
56 BE LIABLE IN ANY RESPECT WHATSOEVER FOR ANY PAYMENTS, FINES OR PENALTIES

1 RELATED TO OR RESULTING FROM CONTRACTOR FRAUD, MISTAKE, OR NEGLIGENCE OR  
2 FOR FRAUDULENT OR INACCURATE CONTRACTOR CERTIFIED PAYROLL REPORTS OR FOR  
3 FRAUDULENT OR INACCURATE PROJECT-WIDE CERTIFIED PAYROLL REPORTS,  
4 PROVIDED, HOWEVER, THAT PAYMENT TO THE THIRD PARTY FUND ADMINISTRATOR IN  
5 THE AMOUNT SET FORTH IN THE PROJECT-WIDE CERTIFIED PAYROLL REPORT AS  
6 DESCRIBED IN THIS SUBPARAGRAPH SHALL STILL BE MADE BY THE CONTRACTOR OR  
7 SUB-CONTRACTOR IN THE EVENT OF UNDERPAYMENT RESULTING FROM OR CAUSED BY  
8 THE CONTRACTOR OR SUB-CONTRACTOR, AND THAT THE APPLICANT WILL BE LIABLE  
9 FOR UNDERPAYMENT TO THE THIRD PARTY FUND ADMINISTRATOR UNLESS THE FISCAL  
10 OFFICER DETERMINES, IN ITS SOLE DISCRETION, THAT THE UNDERPAYMENT WAS  
11 THE RESULT OF, OR CAUSED BY, CONTRACTOR FRAUD, MISTAKE OR NEGLIGENCE  
12 AND/OR FOR FRAUDULENT OR INACCURATE CONTRACTOR CERTIFIED PAYROLL REPORTS  
13 AND/OR PROJECT-WIDE CERTIFIED PAYROLL REPORTS. THE APPLICANT SHALL  
14 OTHERWISE NOT BE LIABLE IN ANY WAY WHATSOEVER ONCE THE PAYMENT TO THE  
15 THIRD PARTY FUND ADMINISTRATOR HAS BEEN MADE IN THE AMOUNT SET FORTH IN  
16 THE PROJECT-WIDE CERTIFIED PAYROLL REPORT. OTHER THAN THE UNDERPAYMENT,  
17 WHICH MUST BE PAID TO THE THIRD PARTY FUND ADMINISTRATOR, ALL FINES AND  
18 PENALTIES SET FORTH IN THIS PARAGRAPH IMPOSED BY THE FISCAL OFFICER  
19 SHALL BE PAID TO THE AGENCY AND USED BY THE AGENCY TO PROVIDE AFFORDABLE  
20 HOUSING.

21 (VIII) NOTHING IN THIS PARAGRAPH SHALL BE CONSTRUED TO CONFER A  
22 PRIVATE RIGHT OF ACTION TO ENFORCE THE PROVISIONS OF THIS PARAGRAPH,  
23 PROVIDED, HOWEVER, THAT THIS SENTENCE SHALL NOT BE CONSTRUED AS A WAIVER  
24 OF ANY EXISTING RIGHTS OF CONSTRUCTION WORKERS OR THEIR REPRESENTATIVES  
25 RELATED TO WAGE AND BENEFIT COLLECTION, WAGE THEFT OR OTHER LABOR  
26 PROTECTIONS OR RIGHTS AND PROVIDED, FURTHER, THAT NOTHING IN THIS PARA-  
27 GRAPH RELIEVES ANY OBLIGATIONS PURSUANT TO A COLLECTIVE BARGAINING  
28 AGREEMENT.

29 (IX) A RENTAL PROJECT CONTAINING THREE HUNDRED OR MORE RESIDENTIAL  
30 DWELLING UNITS NOT LOCATED WITHIN THE ENHANCED AFFORDABILITY AREA MAY  
31 ELECT TO COMPLY WITH THE REQUIREMENTS OF THIS PARAGRAPH AND BE ELIGIBLE  
32 TO RECEIVE AN ENHANCED THIRTY-FIVE YEAR BENEFIT. SUCH ELECTION SHALL BE  
33 MADE IN THE APPLICATION AND SHALL NOT THEREAFTER BE CHANGED. SUCH RENTAL  
34 PROJECT SHALL COMPLY WITH ALL OF THE REQUIREMENTS OF THIS PARAGRAPH AND  
35 SHALL BE DEEMED TO BE LOCATED WITHIN THE BROOKLYN ENHANCED AFFORDABILITY  
36 AREA OR THE QUEENS ENHANCED AFFORDABILITY AREA FOR THE PURPOSES OF THIS  
37 PARAGRAPH.

38 (X) THE FISCAL OFFICER SHALL HAVE THE SOLE AUTHORITY TO DETERMINE AND  
39 ENFORCE ANY LIABILITY FOR UNDERPAYMENT OWING TO THE THIRD PARTY FUND  
40 ADMINISTRATOR FROM THE APPLICANT AND/OR THE CONTRACTOR (AS A RESULT OF  
41 CONTRACTOR FRAUD, MISTAKE OR NEGLIGENCE AND/OR FOR FRAUDULENT OR INACCU-  
42 RATE CONTRACTOR CERTIFIED PAYROLL REPORTS AND/OR PROJECT-WIDE CERTIFIED  
43 PAYROLL REPORTS), AS SET FORTH IN SUBPARAGRAPH (VII) OF THIS PARAGRAPH.  
44 THE FISCAL OFFICER SHALL EXPEDITIOUSLY CONDUCT AN INVESTIGATION AND  
45 HEARING AT THE NEW YORK CITY OFFICE OF ADMINISTRATIVE TRIALS AND HEAR-  
46 INGS, SHALL DETERMINE THE ISSUES RAISED THEREON AND SHALL MAKE AND FILE  
47 AN ORDER IN HIS OR HER OFFICE STATING SUCH DETERMINATION AND FORTHWITH  
48 SERVE A COPY OF SUCH ORDER, EITHER PERSONALLY OR BY MAIL, TOGETHER WITH  
49 NOTICE OF FILING, UPON THE PARTIES TO SUCH PROCEEDINGS. THE FISCAL  
50 OFFICER IN SUCH AN INVESTIGATION SHALL BE DEEMED TO BE ACTING IN A JUDI-  
51 CIAL CAPACITY AND SHALL HAVE THE RIGHTS TO ISSUE SUBPOENAS, ADMINISTER  
52 OATHS AND EXAMINE WITNESSES. THE ENFORCEMENT OF A SUBPOENA ISSUED UNDER  
53 THIS SUBPARAGRAPH SHALL BE REGULATED BY THE CIVIL PRACTICE LAW AND  
54 RULES. THE FILING OF SUCH ORDER SHALL HAVE THE FULL FORCE AND EFFECT OF  
55 A JUDGMENT DULY DOCKETED IN THE OFFICE OF THE COUNTY CLERK. THE ORDER  
56 MAY BE ENFORCED BY AND IN THE NAME OF THE FISCAL OFFICER IN THE SAME

1 MANNER, AND WITH LIKE EFFECT, AS THAT PRESCRIBED BY THE CIVIL PRACTICE  
2 LAW AND RULES FOR THE ENFORCEMENT OF A MONEY JUDGMENT.

3 [(c)] (D) Tax payments. In addition to any other amounts payable  
4 pursuant to this subdivision, the owner of any eligible site receiving  
5 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits shall pay, in each  
6 tax year in which such [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM bene-  
7 fits are in effect, real property taxes and assessments as follows:

8 (i) with respect to each eligible multiple dwelling constructed on  
9 such eligible site, real property taxes on the assessed valuation of  
10 such land and any improvements thereon in effect during the tax year  
11 prior to the commencement date of such eligible multiple dwelling, with-  
12 out regard to any exemption from or abatement of real property taxation  
13 in effect during such tax year, which real property taxes shall be  
14 calculated using the tax rate in effect at the time such taxes are due;  
15 and

16 (ii) all assessments for local improvements.

17 [(d)] (E) Limitation on benefits for non-residential space. If the  
18 aggregate floor area of commercial, community facility and accessory use  
19 space in an eligible site, other than parking which is located not more  
20 than twenty-three feet above the curb level, exceeds twelve percent of  
21 the aggregate floor area in such eligible site, any [421-a] AFFORDABLE  
22 NEW YORK HOUSING PROGRAM benefits shall be reduced by a percentage equal  
23 to such excess. If an eligible site contains multiple tax lots, the tax  
24 arising out of such reduction in [421-a] AFFORDABLE NEW YORK HOUSING  
25 PROGRAM benefits shall first be apportioned pro rata among any non-resi-  
26 dential tax lots. After any such non-residential tax lots are fully  
27 taxable, the remainder of the tax arising out of such reduction in  
28 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits, if any, shall be  
29 apportioned pro rata among the remaining residential tax lots.

30 [(e)] (F) Calculation of benefit. Based on the certification of the  
31 agency certifying the applicant's eligibility for [421-a] AFFORDABLE NEW  
32 YORK HOUSING PROGRAM benefits, the assessors shall certify to the  
33 collecting officer the amount of taxes to be exempted.

34 [(f)] (G) Affordability requirements. During the restriction period, a  
35 rental project shall comply with either affordability option A, affor-  
36 dability option B, or affordability option C or for purposes of a homeown-  
37 ership project, such project shall comply with affordability option D.  
38 Such election shall be made in the application and shall not thereafter  
39 be changed. The rental project shall also comply with all provisions of  
40 this paragraph during the restriction period and with subparagraph (iii)  
41 of this paragraph both during and after the restriction period to the  
42 extent provided in such subparagraph. A RENTAL PROJECT CONTAINING THREE  
43 HUNDRED OR MORE RENTAL DWELLING UNITS LOCATED IN THE ENHANCED AFFORDA-  
44 BILITY AREA OR A RENTAL PROJECT CONTAINING THREE HUNDRED OR MORE RENTAL  
45 DWELLING UNITS NOT LOCATED WITHIN THE ENHANCED AFFORDABILITY AREA WHICH  
46 ELECTS TO COMPLY WITH THE REQUIREMENTS OF PARAGRAPH (C) OF THIS SUBDIVI-  
47 SION SHALL COMPLY WITH EITHER AFFORDABILITY OPTION E, AFFORDABILITY  
48 OPTION F, OR AFFORDABILITY OPTION G. SUCH ELECTION SHALL BE MADE IN THE  
49 APPLICATION AND SHALL NOT THEREAFTER BE CHANGED. SUCH RENTAL PROJECT  
50 SHALL ALSO COMPLY WITH ALL PROVISIONS OF THIS PARAGRAPH DURING THE  
51 EXTENDED RESTRICTION PERIOD AND WITH SUBPARAGRAPH (III) OF THIS PARA-  
52 GRAPH BOTH DURING AND AFTER THE EXTENDED RESTRICTION PERIOD TO THE  
53 EXTENT PROVIDED IN SUCH PARAGRAPH.

54 (i) [Affordable units] ALL RENTAL DWELLING UNITS IN AN ELIGIBLE MULTI-  
55 PLE DWELLING shall share the same common entrances and common areas as  
56 market rate units IN SUCH ELIGIBLE MULTIPLE DWELLING, and shall not be

1 isolated to a specific floor or area of [a building] AN ELIGIBLE MULTI-  
2 PLE DWELLING. Common entrances shall mean any area regularly used by  
3 any resident OF A RENTAL DWELLING UNIT IN THE ELIGIBLE MULTIPLE DWELLING  
4 for ingress and egress from [a] SUCH ELIGIBLE multiple dwelling; and

5 (ii) Unless preempted by the requirements of a federal, state or local  
6 housing program, either (A) the affordable housing units in an eligible  
7 site shall have a unit mix proportional to the market units, or (B) at  
8 least fifty percent of the affordable housing units in an eligible site  
9 shall have two or more bedrooms and no more than twenty-five percent of  
10 the affordable housing units shall have less than one bedroom.

11 (iii) Notwithstanding any provision of rent stabilization to the  
12 contrary, all affordable housing units shall be fully subject to rent  
13 stabilization during the restriction period OR EXTENDED RESTRICTION  
14 PERIOD, AS APPLICABLE, provided that tenants holding a lease and in  
15 occupancy of such affordable housing units at the expiration of the  
16 restriction period OR EXTENDED RESTRICTION PERIOD, AS APPLICABLE, shall  
17 have the right to remain as rent stabilized tenants for the duration of  
18 their occupancy.

19 (iv) All rent stabilization registrations required to be filed pursu-  
20 ant to subparagraph (iii) of this paragraph shall contain a designation  
21 that specifically identifies affordable housing units created pursuant  
22 to this subdivision as "[421-a] AFFORDABLE NEW YORK HOUSING PROGRAM  
23 affordable housing units" and shall contain an explanation of the  
24 requirements that apply to all such affordable housing units.

25 (v) Failure to comply with the provisions of this paragraph that  
26 require the creation, maintenance, rent stabilization compliance and  
27 occupancy of affordable housing units or for purposes of a homeownership  
28 project the failure to comply with affordability option D shall result  
29 in revocation of any [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM bene-  
30 fits for the period of such non-compliance.

31 (vi) Nothing in this subdivision shall (A) prohibit the occupancy of  
32 an affordable housing unit by individuals or families whose income at  
33 any time is less than the maximum percentage of the area median income,  
34 adjusted for family size, specified for such affordable housing unit  
35 pursuant to this subdivision, or (B) prohibit the owner of an eligible  
36 site from requiring, upon initial rental or upon any rental following a  
37 vacancy, the occupancy of any affordable housing unit by such lower  
38 income individuals or families.

39 (vii) Following issuance of a temporary certificate of occupancy and  
40 upon each vacancy thereafter, an affordable housing unit shall promptly  
41 be offered for rental by individuals or families whose income does not  
42 exceed the maximum percentage of the area median income, adjusted for  
43 family size, specified for such affordable housing unit pursuant to this  
44 subdivision and who intend to occupy such affordable housing unit as  
45 their primary residence. An affordable housing unit shall not be (A)  
46 rented to a corporation, partnership or other entity, or (B) held off  
47 the market for a period longer than is reasonably necessary to perform  
48 repairs needed to make such affordable housing unit available for occu-  
49 pancy.

50 (viii) An affordable housing unit shall not be rented on a temporary,  
51 transient or short-term basis. Every lease and renewal thereof for an  
52 affordable housing unit shall be for a term of one or two years, at the  
53 option of the tenant.

54 (ix) An affordable housing unit shall not be converted to cooperative  
55 or condominium ownership.

1 (x) The agency may establish by rule such requirements as the agency  
2 deems necessary or appropriate for (A) the marketing of affordable hous-  
3 ing units, both upon initial occupancy and upon any vacancy, (B) moni-  
4 toring compliance with the provisions of this paragraph and (C) the  
5 marketing and monitoring of any homeownership project that is granted an  
6 exemption pursuant to this subdivision. Such requirements may include,  
7 but need not be limited to, retaining a monitor approved by the agency  
8 and paid for by the owner.

9 (xi) Notwithstanding any provision of this subdivision to the contra-  
10 ry, a market unit shall be subject to rent stabilization unless, in the  
11 absence of [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits, the  
12 owner would be entitled to remove such market unit from rent stabiliza-  
13 tion upon vacancy by reason of the monthly rent exceeding any limit  
14 established thereunder.

15 [(g)] (H) Building service employees. (i) For the purposes of this  
16 paragraph, "applicant" shall mean an applicant for [421-a] AFFORDABLE  
17 NEW YORK HOUSING PROGRAM benefits, any successor to such applicant, or  
18 any employer of building service employees for such applicant, includ-  
19 ing, but not limited to, a property management company or contractor.

20 (ii) All building service employees employed by the applicant at the  
21 eligible site shall receive the applicable prevailing wage for the  
22 entire restriction period OR EXTENDED RESTRICTION PERIOD, AS APPLICABLE.

23 (iii) The fiscal officer shall have the power to enforce the  
24 provisions of this paragraph. In enforcing such provisions, the fiscal  
25 officer shall have the power:

26 (A) to investigate or cause an investigation to be made to determine  
27 the prevailing wages for building service employees; in making such  
28 investigation, the fiscal officer may utilize wage and fringe benefit  
29 data from various sources, including, but not limited to, data and  
30 determinations of federal, state or other governmental agencies;

31 (B) to institute and conduct inspections at the site of the work or  
32 elsewhere;

33 (C) to examine the books, documents and records pertaining to the  
34 wages paid to, and the hours of work performed by, building service  
35 employees;

36 (D) to hold hearings and, in connection therewith, to issue subpoenas,  
37 administer oaths and examine witnesses; the enforcement of a subpoena  
38 issued under this paragraph shall be regulated by the civil practice law  
39 and rules;

40 (E) to make a classification by craft, trade or other generally recog-  
41 nized occupational category of the building service employees and to  
42 determine whether such work has been performed by the building service  
43 employees in such classification;

44 (F) to require the applicant to file with the fiscal officer a record  
45 of the wages actually paid by such applicant to the building service  
46 employees and of their hours of work;

47 (G) to delegate any of the foregoing powers to his or her deputy or  
48 other authorized representative; and

49 (H) to promulgate rules as he or she shall consider necessary for the  
50 proper execution of the duties, responsibilities and powers conferred  
51 upon him or her by the provisions of this subparagraph.

52 (iv) If the fiscal officer finds that the applicant has failed to  
53 comply with the provisions of this paragraph, he or she shall present  
54 evidence of such noncompliance to the agency.

55 (v) Subparagraph (ii) of this paragraph shall not be applicable to:

1 (A) an eligible multiple dwelling containing less than thirty dwelling  
2 units; or  
3 (B) an eligible multiple dwelling in which all of the dwelling units  
4 are affordable housing units and not less than fifty percent of such  
5 affordable housing units, upon initial rental and upon each subsequent  
6 rental following a vacancy during the restriction period OR EXTENDED  
7 RESTRICTION PERIOD, AS APPLICABLE, are affordable to and restricted to  
8 occupancy by individuals or families whose household income does not  
9 exceed one hundred twenty-five percent of the area median income,  
10 adjusted for family size, at the time that such household initially  
11 occupies such dwelling unit.

12 [(h)] (I) Replacement ratio. If the land on which an eligible site is  
13 located contained any dwelling units three years prior to the commence-  
14 ment date of the first eligible multiple dwelling thereon, then such  
15 eligible site shall contain at least one affordable housing unit for  
16 each dwelling unit that existed on such date and was thereafter demol-  
17 ished, removed or reconfigured.

18 [(i)] (J) Concurrent exemptions or abatements. An eligible multiple  
19 dwelling receiving [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits  
20 shall not receive any exemption from or abatement of real property taxa-  
21 tion under any other law.

22 [(j)] (K) Voluntary renunciation or termination. Notwithstanding the  
23 provisions of any general, special or local law to the contrary, an  
24 owner shall not be entitled to voluntarily renounce or terminate any  
25 [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits unless the agency  
26 authorizes such renunciation or termination in connection with the  
27 commencement of a new tax exemption pursuant to either the private hous-  
28 ing finance law or section four hundred twenty-c of this title.

29 [(k)] (L) Termination or revocation. The agency may terminate or  
30 revoke [421-a] AFFORDABLE NEW YORK HOUSING PROGRAM benefits for noncom-  
31 pliance with this subdivision, PROVIDED, HOWEVER, THAT THE AGENCY SHALL  
32 NOT TERMINATE OR REVOKE AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS FOR  
33 A FAILURE TO COMPLY WITH PARAGRAPH (C) OF THIS SUBDIVISION. If [421-a]  
34 AFFORDABLE NEW YORK HOUSING PROGRAM benefits are terminated or revoked  
35 for noncompliance with this subdivision, [all of the affordable housing  
36 units shall remain subject to rent stabilization or for a homeownership  
37 project such project shall continue to comply with affordability option  
38 D of this subdivision and all other requirements of this subdivision for  
39 the restriction period and any additional period expressly provided in  
40 this subdivision, as if the 421-a benefits had not been terminated or  
41 revoked] (I) ALL OF THE AFFORDABLE HOUSING UNITS SHALL REMAIN SUBJECT TO  
42 RENT STABILIZATION AND ALL OTHER REQUIREMENTS OF THIS SUBDIVISION FOR  
43 THE RESTRICTION PERIOD OR EXTENDED RESTRICTION PERIOD, AS APPLICABLE,  
44 AND ANY ADDITIONAL PERIOD EXPRESSLY PROVIDED IN THIS SUBDIVISION, AS IF  
45 THE AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS HAD NOT BEEN TERMINATED  
46 OR REVOKED; (II) ALL OF THE MARKET RATE HOUSING UNITS SHALL REMAIN  
47 SUBJECT TO RENT STABILIZATION AND ALL OTHER REQUIREMENTS OF THIS SUBDI-  
48 VISION FOR THE RESTRICTION PERIOD OR EXTENDED RESTRICTION PERIOD, AS  
49 APPLICABLE, AND ANY ADDITIONAL PERIOD EXPRESSLY PROVIDED IN THIS SUBDI-  
50 VISION, AS IF THE AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS HAD NOT  
51 BEEN TERMINATED OR REVOKED, PROVIDED, HOWEVER, THAT THE OWNER SHALL  
52 STILL BE ENTITLED TO REMOVE SUCH MARKET UNIT FROM RENT STABILIZATION  
53 UPON VACANCY BY REASON OF THE MONTHLY RENT EXCEEDING ANY LIMIT ESTAB-  
54 LISHED THEREUNDER; (III) OR FOR A HOMEOWNERSHIP PROJECT SUCH PROJECT  
55 SHALL CONTINUE TO COMPLY WITH AFFORDABILITY OPTION D OF THIS SUBDIVISION  
56 AND ALL OTHER REQUIREMENTS OF THIS SUBDIVISION FOR THE RESTRICTION PERI-

1 OD AND ANY ADDITIONAL PERIOD EXPRESSLY PROVIDED IN THIS SUBDIVISION, AS  
2 IF THE AFFORDABLE NEW YORK HOUSING PROGRAM BENEFITS HAD NOT BEEN TERMI-  
3 NATED OR REVOKED.

4 [(l)] (M) Powers cumulative. The enforcement provisions of this subdi-  
5 vision shall not be exclusive, and are in addition to any other rights,  
6 remedies, or enforcement powers set forth in any other law or available  
7 at law or in equity.

8 [(m)] (N) Multiple tax lots. If an eligible site contains multiple tax  
9 lots, an application may be submitted with respect to one or more of  
10 such tax lots. The agency shall determine eligibility for [421-a]  
11 AFFORDABLE NEW YORK HOUSING PROGRAM benefits based upon the tax lots  
12 included in such application AND BENEFITS FOR EACH MULTIPLE DWELLING  
13 SHALL BE BASED UPON THE COMPLETION DATE OF SUCH MULTIPLE DWELLING.

14 [(n)] (O) Applications. (i) The application with respect to any eligi-  
15 ble multiple dwelling shall be filed with the agency not later than one  
16 year after the completion date of such eligible multiple dwelling.

17 (ii) Notwithstanding the provisions of any general, special or local  
18 law to the contrary, the agency may require by rule that applications be  
19 filed electronically.

20 (iii) The agency may rely on certification by an architect or engineer  
21 submitted by an applicant in connection with the filing of an applica-  
22 tion. A false certification by such architect or engineer shall be  
23 deemed to be professional misconduct pursuant to section sixty-five  
24 hundred nine of the education law. Any licensee found guilty of such  
25 misconduct under the procedures prescribed in section sixty-five hundred  
26 ten of the education law shall be subject to the penalties prescribed in  
27 section sixty-five hundred eleven of the education law, and shall there-  
28 after be ineligible to submit a certification pursuant to this subdivi-  
29 sion.

30 (IV) THE AGENCY SHALL NOT REQUIRE THAT THE APPLICANT DEMONSTRATE  
31 COMPLIANCE WITH THE REQUIREMENTS OF PARAGRAPH (C) OF THIS SUBDIVISION AS  
32 A CONDITION TO APPROVAL OF THE APPLICATION.

33 [(o)] (P) Filing fee. The agency may require a filing fee of three  
34 thousand dollars per dwelling unit in connection with any application.  
35 However, the agency may promulgate rules imposing a lesser fee for  
36 eligible sites containing eligible multiple dwellings constructed with  
37 the substantial assistance of grants, loans or subsidies provided by a  
38 federal, state or local governmental agency or instrumentality pursuant  
39 to a program for the development of affordable housing.

40 [(p)] (Q) Rules.

41 [The agency] EXCEPT AS PROVIDED IN PARAGRAPHS (C) AND (H) OF THIS  
42 SUBDIVISION, THE AGENCY SHALL HAVE THE SOLE AUTHORITY TO ENFORCE THE  
43 PROVISIONS OF THIS SUBDIVISION AND may promulgate rules to carry out the  
44 provisions of this subdivision.

45 [(q) Authority of city to enact local law. Except as otherwise speci-  
46 fied in this subdivision, a city to which this subdivision is applicable  
47 may enact a local law to restrict, limit or condition the eligibility  
48 for or the scope or amount of 421-a benefits in any manner, provided  
49 that such local law may not grant 421-a benefits beyond those provided  
50 in this subdivision and provided further that such local law shall not  
51 take effect sooner than one year after it is enacted. The provisions of  
52 sections 11-245 and 11-245.1 of the administrative code of the city of  
53 New York or of any other local law of the city of New York that were  
54 enacted on or before the effective date of the chapter of the laws of  
55 two thousand fifteen which added this paragraph shall not restrict,

1 limit or condition the eligibility for or the scope or amount of 421-a  
2 benefits pursuant to this subdivision.]

3 (r) Election. Notwithstanding anything in this subdivision to the  
4 contrary, [if a memorandum of understanding pursuant to subdivision  
5 sixteen-a of this section has been executed and noticed,] a rental  
6 project or homeownership project with a commencement date on or before  
7 December thirty-first, two thousand fifteen that has not received bene-  
8 fits pursuant to this section prior to the effective date of the chapter  
9 of the laws of two thousand fifteen that added this subdivision may  
10 elect to comply with this subdivision and receive [421-a] AFFORDABLE NEW  
11 YORK HOUSING PROGRAM benefits pursuant to this subdivision.

12 S 4. Subdivision 16-a of section 421-a of the real property tax law is  
13 REPEALED.

14 S 5. On or before May 31, 2021 the commissioner of the division of  
15 housing and community renewal shall issue a report to the governor, the  
16 temporary president of the senate and the speaker of the assembly exam-  
17 ining the economic impact of the Affordable New York Housing Program on  
18 the development of affordable dwelling units, jobs and social opportu-  
19 nities created by the Affordable New York Housing Program, the cost of  
20 the Affordable New York Housing Program, the impact on communities with  
21 Affordable New York Housing Program developments, and other such factors  
22 as the commissioner of the division of housing and community renewal  
23 deems appropriate. The division of housing and community renewal may  
24 exercise all authority granted to it by this or any other statute. The  
25 division of housing and community renewal may request and shall receive  
26 cooperation and assistance from all departments, divisions, boards,  
27 bureaus, commissions, public benefit corporations or agencies of the  
28 state of New York, the city of New York or any other political subdivi-  
29 sions thereof, or any entity receiving benefits pursuant to section  
30 421-a of the real property tax law.

31 S 6. Severability clause. If any clause, sentence, paragraph, subdivi-  
32 sion, section or part of this act shall be adjudged by any court of  
33 competent jurisdiction to be invalid, such judgment shall not affect,  
34 impair, or invalidate the remainder thereof, but shall be confined in  
35 its operation to the clause, sentence, paragraph, subdivision, section  
36 or part thereof directly involved in the controversy in which such judg-  
37 ment shall have been rendered. It is hereby declared to be the intent of  
38 the legislature that this act would have been enacted even if such  
39 invalid provisions had not been included herein.

40 S 7. This act shall take effect immediately; and provided, however,  
41 that sections one, two, and three of this act shall be deemed to have  
42 been in full force and effect on and after January 1, 2016.

43

## PART UUU

44 Section 1. The economic development law is amended by adding a new  
45 section 100-a to read as follows:

46 S 100-A. COMPREHENSIVE ECONOMIC DEVELOPMENT REPORTING. THE DEPARTMENT  
47 SHALL PREPARE AN ANNUAL COMPREHENSIVE ECONOMIC DEVELOPMENT REPORT, NO  
48 LATER THAN DECEMBER THIRTY-FIRST OF EACH YEAR, LISTING ECONOMIC DEVELOP-  
49 MENT ASSISTANCE PROVIDED BY THE NEW YORK STATE URBAN DEVELOPMENT CORPO-  
50 RATION AND THE DEPARTMENT, INCLUDING BUT NOT LIMITED TO TAX EXPENDI-  
51 TURES, MARKETING AND ADVERTISING, GRANTS, AWARDS AND LOANS. SUCH  
52 COMPREHENSIVE REPORT SHALL INCLUDE AGGREGATE TOTALS FOR EACH ECONOMIC  
53 DEVELOPMENT PROGRAM ADMINISTERED BY THE NEW YORK STATE URBAN DEVELOPMENT  
54 CORPORATION AND THE DEPARTMENT, INCLUDING BUT NOT LIMITED TO PROGRAM



1 PROGRESS, PROGRAM PARTICIPATION RATES, ECONOMIC IMPACT, REGIONAL  
2 DISTRIBUTION, INDUSTRY TRENDS, AND ANY OTHER INFORMATION DEEMED NECES-  
3 SARY BY THE COMMISSIONER. THE DEPARTMENT SHALL PROMINENTLY POST THE  
4 COMPREHENSIVE ECONOMIC DEVELOPMENT REPORT ON ITS WEBSITE NO LATER THAN  
5 JANUARY FIRST OF EACH YEAR.

6 S 2. Section 438 of the economic development law is REPEALED.

7 S 3. Subdivision 1 of section 433 of the economic development law, as  
8 added by section 1 of part A of chapter 68 of the laws of 2013, is  
9 amended to read as follows:

10 1. In order to participate in the START-UP NY program, a business must  
11 satisfy all of the following criteria.

12 (a) The mission and activities of the business must align with or  
13 further the academic mission of the campus, college or university spon-  
14 soring the tax-free NY area in which it seeks to locate, and the busi-  
15 ness's participation in the START-UP NY program must have positive  
16 community and economic benefits.

17 (b) The business must demonstrate that it will, in its first year of  
18 operation, create net new jobs. After its first year of operation, the  
19 business must maintain net new jobs. In addition, the average number of  
20 employees of the business and its related persons in the state during  
21 the year must equal or exceed the sum of: (i) the average number of  
22 employees of the business and its related persons in the state during  
23 the year immediately preceding the year in which the business submits  
24 its application to locate in a tax-free NY area; and (ii) net new jobs  
25 of the business in the tax-free NY area during the year. The average  
26 number of employees of the business and its related persons in the state  
27 shall be determined by adding together the total number of employees of  
28 the business and its related persons in the state on March thirty-first,  
29 June thirtieth, September thirtieth and December thirty-first and divid-  
30 ing the total by the number of such dates occurring within such year.

31 (c) Except as provided in paragraphs [(g)] (F) and [(h)] (G) of this  
32 subdivision, at the time it submits its application for the START-UP NY  
33 program, the business must be a new business to the state.

34 (d) The business may be organized as a corporation, a partnership,  
35 limited liability company or a sole proprietorship.

36 [(e) Upon completion of its first year in the START-UP NY program and  
37 thereafter, the business must complete and timely file the annual report  
38 required under section four hundred thirty-eight of this article.

39 [(f)] (E) Except as provided in paragraphs [(g)] (F) and [(h)] (G) of  
40 this subdivision, the business must not be engaged in a line of business  
41 that is currently or was previously conducted by the business or a  
42 related person in the last five years in New York state.

43 [(g)] (F) If a business does not satisfy the eligibility standard set  
44 forth in paragraph (c) or [(f)] (E) of this subdivision, because at one  
45 point in time it operated in New York state but moved its operations out  
46 of New York state on or before June first, two thousand thirteen, the  
47 commissioner shall grant that business permission to apply to partic-  
48 ipate in the START-UP NY program if the commissioner determines that the  
49 business has demonstrated that it will substantially restore the jobs in  
50 New York state that it previously had moved out of state.

51 [(h)] (G) If a business seeks to expand its current operations in New  
52 York state into a tax-free NY area but the business does not qualify as  
53 a new business because it does not satisfy the criteria in paragraph (c)  
54 of subdivision six of section four hundred thirty-one of this article or  
55 the business does not satisfy the eligibility standard set forth in  
56 paragraph [(f)] (E) of this subdivision, the commissioner shall grant

1 the business permission to apply to participate in the START-UP NY  
2 program if the commissioner determines that the business has demon-  
3 strated that it will create net new jobs in the tax-free NY area and  
4 that it or any related person has not eliminated any jobs in the state  
5 in connection with this expansion.

6 S 4. This act shall take effect immediately.

7

PART VVV

8 Section 1. Section 60.45 of the criminal procedure law is amended by  
9 adding a new subdivision 3 to read as follows:

10 3. (A) WHERE A PERSON IS SUBJECT TO CUSTODIAL INTERROGATION BY A  
11 PUBLIC SERVANT AT A DETENTION FACILITY, THE ENTIRE CUSTODIAL INTERRO-  
12 GATION, INCLUDING THE GIVING OF ANY REQUIRED ADVICE OF THE RIGHTS OF THE  
13 INDIVIDUAL BEING QUESTIONED, AND THE WAIVER OF ANY RIGHTS BY THE INDI-  
14 VIDUAL, SHALL BE RECORDED BY AN APPROPRIATE VIDEO RECORDING DEVICE IF  
15 THE INTERROGATION INVOLVES A CLASS A-1 FELONY, EXCEPT ONE DEFINED IN  
16 ARTICLE TWO HUNDRED TWENTY OF THE PENAL LAW; FELONY OFFENSES DEFINED IN  
17 SECTION 130.95 AND 130.96 OF THE PENAL LAW; OR A FELONY OFFENSE DEFINED  
18 IN ARTICLE ONE HUNDRED TWENTY-FIVE OR ONE HUNDRED THIRTY OF SUCH LAW  
19 THAT IS DEFINED AS A CLASS B VIOLENT FELONY OFFENSE IN SECTION 70.02 OF  
20 THE PENAL LAW. FOR PURPOSES OF THIS PARAGRAPH, THE TERM "DETENTION  
21 FACILITY" SHALL MEAN A POLICE STATION, CORRECTIONAL FACILITY, HOLDING  
22 FACILITY FOR PRISONERS, PROSECUTOR'S OFFICE OR OTHER FACILITY WHERE  
23 PERSONS ARE HELD IN DETENTION IN CONNECTION WITH CRIMINAL CHARGES THAT  
24 HAVE BEEN OR MAY BE FILED AGAINST THEM.

25 (B) NO CONFESSION, ADMISSION OR OTHER STATEMENT SHALL BE SUBJECT TO A  
26 MOTION TO SUPPRESS PURSUANT TO SUBDIVISION THREE OF SECTION 710.20 OF  
27 THIS CHAPTER BASED SOLELY UPON THE FAILURE TO VIDEO RECORD SUCH INTERRO-  
28 GATION IN A DETENTION FACILITY AS DEFINED IN PARAGRAPH (A) OF THIS  
29 SUBDIVISION. HOWEVER, WHERE THE PEOPLE OFFER INTO EVIDENCE A CONFESSION,  
30 ADMISSION OR OTHER STATEMENT MADE BY A PERSON IN CUSTODY WITH RESPECT TO  
31 HIS OR HER PARTICIPATION OR LACK OF PARTICIPATION IN AN OFFENSE SPECI-  
32 FIED IN PARAGRAPH (A) OF THIS SUBDIVISION, THAT HAS NOT BEEN VIDEO  
33 RECORDED, THE COURT SHALL CONSIDER THE FAILURE TO RECORD AS A FACTOR,  
34 BUT NOT AS THE SOLE FACTOR, IN ACCORDANCE WITH PARAGRAPH (C) OF THIS  
35 SUBDIVISION IN DETERMINING WHETHER SUCH CONFESSION, ADMISSION OR OTHER  
36 STATEMENT SHALL BE ADMISSIBLE.

37 (C) NOTWITHSTANDING THE REQUIREMENT OF PARAGRAPH (A) OF THIS SUBDIVI-  
38 SION, UPON A SHOWING OF GOOD CAUSE BY THE PROSECUTOR, THE CUSTODIAL  
39 INTERROGATION NEED NOT BE RECORDED. GOOD CAUSE SHALL INCLUDE, BUT NOT BE  
40 LIMITED TO:

41 (I) IF ELECTRONIC RECORDING EQUIPMENT MALFUNCTIONS.

42 (II) IF ELECTRONIC RECORDING EQUIPMENT IS NOT AVAILABLE BECAUSE IT WAS  
43 OTHERWISE BEING USED.

44 (III) IF STATEMENTS ARE MADE IN RESPONSE TO QUESTIONS THAT ARE  
45 ROUTINELY ASKED DURING ARREST PROCESSING.

46 (IV) IF THE STATEMENT IS SPONTANEOUSLY MADE BY THE SUSPECT AND NOT IN  
47 RESPONSE TO POLICE QUESTIONING.

48 (V) IF THE STATEMENT IS MADE DURING AN INTERROGATION THAT IS CONDUCTED  
49 WHEN THE INTERVIEWER IS UNAWARE THAT A QUALIFYING OFFENSE HAS OCCURRED.

50 (VI) IF THE STATEMENT IS MADE AT A LOCATION OTHER THAN THE "INTERVIEW  
51 ROOM" BECAUSE THE SUSPECT CANNOT BE BROUGHT TO SUCH ROOM, E.G., THE  
52 SUSPECT IS IN A HOSPITAL OR THE SUSPECT IS OUT OF STATE AND THAT STATE  
53 IS NOT GOVERNED BY A LAW REQUIRING THE RECORDATION OF AN INTERROGATION.

1 (VII) IF THE STATEMENT IS MADE AFTER A SUSPECT HAS REFUSED TO PARTIC-  
2 IPATE IN THE INTERROGATION IF IT IS RECORDED, AND APPROPRIATE EFFORT TO  
3 DOCUMENT SUCH REFUSAL IS MADE.

4 (VIII) IF SUCH STATEMENT IS NOT RECORDED AS A RESULT OF AN INADVERTENT  
5 ERROR OR OVERSIGHT, NOT THE RESULT OF ANY INTENTIONAL CONDUCT BY LAW  
6 ENFORCEMENT PERSONNEL.

7 (IX) IF IT IS LAW ENFORCEMENT'S REASONABLE BELIEF THAT SUCH RECORDING  
8 WOULD JEOPARDIZE THE SAFETY OF ANY PERSON OR REVEAL THE IDENTITY OF A  
9 CONFIDENTIAL INFORMANT.

10 (X) IF SUCH STATEMENT IS MADE AT A LOCATION NOT EQUIPPED WITH A VIDEO  
11 RECORDING DEVICE AND THE REASON FOR USING THAT LOCATION IS NOT TO  
12 SUBVERT THE INTENT OF THE LAW. FOR PURPOSES OF THIS SECTION, THE TERM  
13 "LOCATION" SHALL INCLUDE THOSE LOCATIONS SPECIFIED IN PARAGRAPH (B) OF  
14 SUBDIVISION FOUR OF SECTION 305.2 OF THE FAMILY COURT ACT.

15 (D) IN THE EVENT THE COURT FINDS THAT THE PEOPLE HAVE NOT SHOWN GOOD  
16 CAUSE FOR THE NON-RECORDING OF THE CONFESSION, ADMISSION, OR OTHER  
17 STATEMENT, BUT DETERMINES THAT A NON-RECORDED CONFESSION, ADMISSION OR  
18 OTHER STATEMENT IS NEVERTHELESS ADMISSIBLE BECAUSE IT WAS VOLUNTARILY  
19 MADE THEN, UPON REQUEST OF THE DEFENDANT, THE COURT MUST INSTRUCT THE  
20 JURY THAT THE PEOPLE'S FAILURE TO RECORD THE DEFENDANT'S CONFESSION,  
21 ADMISSION OR OTHER STATEMENT AS REQUIRED BY THIS SECTION MAY BE WEIGHED  
22 AS A FACTOR, BUT NOT AS THE SOLE FACTOR, IN DETERMINING WHETHER SUCH  
23 CONFESSION, ADMISSION OR OTHER STATEMENT WAS VOLUNTARILY MADE, OR WAS  
24 MADE AT ALL.

25 (E) VIDEO RECORDING AS REQUIRED BY THIS SECTION SHALL BE CONDUCTED IN  
26 ACCORDANCE WITH STANDARDS ESTABLISHED BY RULE OF THE DIVISION OF CRIMI-  
27 NAL JUSTICE SERVICES.

28 S 2. Subdivision 3 of section 344.2 of the family court act is renum-  
29 bered subdivision 4 and a new subdivision 3 is added to read as follows:

30 3. WHERE A RESPONDENT IS SUBJECT TO CUSTODIAL INTERROGATION BY A  
31 PUBLIC SERVANT AT A FACILITY SPECIFIED IN SUBDIVISION FOUR OF SECTION  
32 305.2 OF THIS ARTICLE, THE ENTIRE CUSTODIAL INTERROGATION, INCLUDING THE  
33 GIVING OF ANY REQUIRED ADVICE OF THE RIGHTS OF THE INDIVIDUAL BEING  
34 QUESTIONED, AND THE WAIVER OF ANY RIGHTS BY THE INDIVIDUAL, SHALL BE  
35 RECORDED AND GOVERNED IN ACCORDANCE WITH THE PROVISIONS OF PARAGRAPHS  
36 (A), (B), (C), (D) AND (E) OF SUBDIVISION THREE OF SECTION 60.45 OF THE  
37 CRIMINAL PROCEDURE LAW.

38 S 3. Section 60.25 of the criminal procedure law, subparagraph (ii) of  
39 paragraph (a) of subdivision 1 as amended by chapter 479 of the laws of  
40 1977, is amended to read as follows:

41 S 60.25 Rules of evidence; identification by means of previous recogni-  
42 tion, in absence of present identification.

43 1. In any criminal proceeding in which the defendant's commission of  
44 an offense is in issue, testimony as provided in subdivision two may be  
45 given by a witness when:

46 (a) Such witness testifies that:

47 (i) He OR SHE observed the person claimed by the people to be the  
48 defendant either at the time and place of the commission of the offense  
49 or upon some other occasion relevant to the case; and

50 (ii) On a subsequent occasion he OR SHE observed, under circumstances  
51 consistent with such rights as an accused person may derive under the  
52 constitution of this state or of the United States, a person OR, WHERE  
53 THE OBSERVATION IS MADE PURSUANT TO A BLIND OR BLINDED PROCEDURE AS  
54 DEFINED IN PARAGRAPH (C) OF THIS SUBDIVISION, A PICTORIAL, PHOTOGRAPHIC,  
55 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF A PERSON whom he OR

1 SHE recognized as the same person whom he OR SHE had observed on the  
2 first or incriminating occasion; and

3 (iii) He OR SHE is unable at the proceeding to state, on the basis of  
4 present recollection, whether or not the defendant is the person in  
5 question; and

6 (b) It is established that the defendant is in fact the person whom  
7 the witness observed and recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC,  
8 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION THE WITNESS OBSERVED  
9 AND RECOGNIZED on the second occasion. Such fact may be established by  
10 testimony of another person or persons to whom the witness promptly  
11 declared his OR HER recognition on such occasion AND BY SUCH PICTORIAL,  
12 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION.

13 (C) FOR PURPOSES OF THIS SECTION, A "BLIND OR BLINDED PROCEDURE" IS  
14 ONE IN WHICH THE WITNESS IDENTIFIES A PERSON IN AN ARRAY OF PICTORIAL,  
15 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTIONS UNDER  
16 CIRCUMSTANCES WHERE, AT THE TIME THE IDENTIFICATION IS MADE, THE PUBLIC  
17 SERVANT ADMINISTERING SUCH PROCEDURE: (I) DOES NOT KNOW WHICH PERSON IN  
18 THE ARRAY IS THE SUSPECT, OR (II) DOES NOT KNOW WHERE THE SUSPECT IS IN  
19 THE ARRAY VIEWED BY THE WITNESS. THE FAILURE OF A PUBLIC SERVANT TO  
20 FOLLOW SUCH A PROCEDURE SHALL BE ASSESSED SOLELY FOR PURPOSES OF THIS  
21 ARTICLE AND SHALL RESULT IN THE PRECLUSION OF TESTIMONY REGARDING THE  
22 IDENTIFICATION PROCEDURE AS EVIDENCE IN CHIEF, BUT SHALL NOT CONSTITUTE  
23 A LEGAL BASIS TO SUPPRESS EVIDENCE MADE PURSUANT TO SUBDIVISION SIX OF  
24 SECTION 710.20 OF THIS CHAPTER. THIS ARTICLE NEITHER LIMITS NOR EXPANDS  
25 SUBDIVISION SIX OF SECTION 710.20 OF THIS CHAPTER.

26 2. Under circumstances prescribed in subdivision one OF THIS SECTION,  
27 such witness may testify at the criminal proceeding that the person whom  
28 he OR SHE observed and recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC,  
29 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION HE OR SHE OBSERVED AND  
30 RECOGNIZED on the second occasion is the same person whom he OR SHE  
31 observed on the first or incriminating occasion. Such testimony,  
32 together with the evidence that the defendant is in fact the person whom  
33 the witness observed and recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC,  
34 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION HE OR SHE OBSERVED AND  
35 RECOGNIZED on the second occasion, constitutes evidence in chief.

36 S 4. Section 60.30 of the criminal procedure law, as amended by chap-  
37 ter 479 of the laws of 1977, is amended to read as follows:

38 S 60.30 Rules of evidence; identification by means of previous recogni-  
39 tion, in addition to present identification.

40 In any criminal proceeding in which the defendant's commission of an  
41 offense is in issue, a witness who testifies that (a) he OR SHE observed  
42 the person claimed by the people to be the defendant either at the time  
43 and place of the commission of the offense or upon some other occasion  
44 relevant to the case, and (b) on the basis of present recollection, the  
45 defendant is the person in question and (c) on a subsequent occasion he  
46 OR SHE observed the defendant, OR WHERE THE OBSERVATION IS MADE PURSUANT  
47 TO A BLIND OR BLINDED PROCEDURE, AS DEFINED IN PARAGRAPH (C) OF SUBDIVI-  
48 SION ONE OF SECTION 60.25 OF THIS ARTICLE, A PICTORIAL, PHOTOGRAPHIC,  
49 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF THE DEFENDANT,  
50 under circumstances consistent with such rights as an accused person may  
51 derive under the constitution of this state or of the United States, and  
52 then also recognized him OR HER OR THE PICTORIAL, PHOTOGRAPHIC, ELEC-  
53 TRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF HIM OR HER as the same  
54 person whom he OR SHE had observed on the first or incriminating occa-  
55 sion, may, in addition to making an identification of the defendant at  
56 the criminal proceeding on the basis of present recollection as the

1 person whom he OR SHE observed on the first or incriminating occasion,  
2 also describe his OR HER previous recognition of the defendant and  
3 testify that the person whom he OR SHE observed OR WHOSE PICTORIAL,  
4 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION HE OR  
5 SHE OBSERVED on such second occasion is the same person whom he OR SHE  
6 had observed on the first or incriminating occasion. Such testimony AND  
7 SUCH PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED  
8 REPRODUCTION constitutes evidence in chief.

9 S 5. Subdivision 6 of section 710.20 of the criminal procedure law, as  
10 amended by chapter 8 of the laws of 1976 and as renumbered by chapter  
11 481 of the laws of 1983, is amended to read as follows:

12 6. Consists of potential testimony regarding an observation of the  
13 defendant either at the time or place of the commission of the offense  
14 or upon some other occasion relevant to the case, which potential testi-  
15 mony would not be admissible upon the prospective trial of such charge  
16 owing to an improperly made previous identification of the defendant OR  
17 OF A PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED  
18 REPRODUCTION OF THE DEFENDANT by the prospective witness. A CLAIM THAT  
19 THE PREVIOUS IDENTIFICATION OF THE DEFENDANT OR OF A PICTORIAL, PHOTO-  
20 GRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF THE  
21 DEFENDANT BY A PROSPECTIVE WITNESS DID NOT COMPLY WITH PARAGRAPH (C) OF  
22 SUBDIVISION ONE OF SECTION 60.25 OF THIS CHAPTER OR WITH THE PROTOCOL  
23 PROMULGATED IN ACCORDANCE WITH SUBDIVISION TWENTY-ONE OF SECTION EIGHT  
24 HUNDRED THIRTY-SEVEN OF THE EXECUTIVE LAW SHALL NOT CONSTITUTE A LEGAL  
25 BASIS TO SUPPRESS EVIDENCE PURSUANT TO THIS SUBDIVISION. A CLAIM THAT A  
26 PUBLIC SERVANT FAILED TO COMPLY WITH PARAGRAPH (C) OF SUBDIVISION ONE OF  
27 SECTION 60.25 OF THIS CHAPTER OR OF SUBDIVISION TWENTY-ONE OF SECTION  
28 EIGHT HUNDRED THIRTY-SEVEN OF THE EXECUTIVE LAW SHALL NEITHER EXPAND NOR  
29 LIMIT THE RIGHTS AN ACCUSED PERSON MAY DERIVE UNDER THE CONSTITUTION OF  
30 THIS STATE OR OF THE UNITED STATES.

31 S 6. Subdivision 1 of section 710.30 of the criminal procedure law, as  
32 separately amended by chapters 8 and 194 of the laws of 1976, is amended  
33 to read as follows:

34 1. Whenever the people intend to offer at a trial (a) evidence of a  
35 statement made by a defendant to a public servant, which statement if  
36 involuntarily made would render the evidence thereof suppressible upon  
37 motion pursuant to subdivision three of section 710.20, or (b) testimony  
38 regarding an observation of the defendant either at the time or place of  
39 the commission of the offense or upon some other occasion relevant to  
40 the case, to be given by a witness who has previously identified him OR  
41 HER OR A PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED  
42 REPRODUCTION OF HIM OR HER as such, they must serve upon the defendant a  
43 notice of such intention, specifying the evidence intended to be  
44 offered.

45 S 7. Section 343.3 of the family court act, as added by chapter 920 of  
46 the laws of 1982, is amended to read as follows:

47 S 343.3. Rules of evidence; identification by means of previous recog-  
48 nition in absence of present identification. 1. In any juvenile delin-  
49 quency proceeding in which the respondent's commission of a crime is in  
50 issue, testimony as provided in subdivision two may be given by a  
51 witness when:

52 (a) such witness testifies that:

53 (i) he OR SHE observed the person claimed by the presentment agency to  
54 be the respondent either at the time and place of the commission of the  
55 crime or upon some other occasion relevant to the case; and

1 (ii) on a subsequent occasion he OR SHE observed, under circumstances  
2 consistent with such rights as an accused person may derive under the  
3 constitution of this state or of the United States, a person, OR, WHERE  
4 THE OBSERVATION IS MADE PURSUANT TO A BLIND OR BLINDED PROCEDURE AS  
5 DEFINED HEREIN, A PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO  
6 RECORDED REPRODUCTION OF A PERSON whom he OR SHE recognized as the same  
7 person whom he OR SHE had observed on the first incriminating occasion;  
8 and

9 (iii) he OR SHE is unable at the proceeding to state, on the basis of  
10 present recollection, whether or not the respondent is the person in  
11 question; and

12 (b) it is established that the respondent is in fact the person whom  
13 the witness observed and recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC,  
14 ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION THE WITNESS OBSERVED  
15 AND RECOGNIZED on the second occasion. Such fact may be established by  
16 testimony of another person or persons to whom the witness promptly  
17 declared his OR HER recognition on such occasion AND BY SUCH PICTORIAL,  
18 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION.

19 (C) FOR PURPOSES OF THIS SECTION, A "BLIND OR BLINDED PROCEDURE" IS  
20 ONE IN WHICH THE WITNESS IDENTIFIES A PERSON IN AN ARRAY OF PICTORIAL,  
21 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTIONS UNDER  
22 CIRCUMSTANCES WHERE, AT THE TIME THE IDENTIFICATION IS MADE, THE PUBLIC  
23 SERVANT ADMINISTERING SUCH PROCEDURE: (I) DOES NOT KNOW WHICH PERSON IN  
24 THE ARRAY IS THE SUSPECT, OR (II) DOES NOT KNOW WHERE THE SUSPECT IS IN  
25 THE ARRAY VIEWED BY THE WITNESS. THE FAILURE OF A PUBLIC SERVANT TO  
26 FOLLOW SUCH A PROCEDURE SHALL BE ASSESSED SOLELY FOR PURPOSES OF THIS  
27 ARTICLE AND SHALL RESULT IN THE PRECLUSION OF TESTIMONY REGARDING THE  
28 IDENTIFICATION PROCEDURE AS EVIDENCE IN CHIEF, BUT SHALL NOT CONSTITUTE  
29 A LEGAL BASIS TO SUPPRESS EVIDENCE MADE PURSUANT TO SUBDIVISION SIX OF  
30 SECTION 710.20 OF THE CRIMINAL PROCEDURE LAW. THIS ARTICLE NEITHER  
31 LIMITS NOT EXPANDS SUBDIVISION SIX OF SECTION 710.20 OF THE CRIMINAL  
32 PROCEDURE LAW.

33 2. Under circumstances prescribed in subdivision one, such witness may  
34 testify at the proceeding that the person whom he OR SHE observed and  
35 recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO  
36 RECORDED REPRODUCTION HE OR SHE OBSERVED AND RECOGNIZED on the second  
37 occasion is the same person whom he OR SHE observed on the first or  
38 incriminating occasion. Such testimony, together with the evidence that  
39 the respondent is in fact the person whom the witness observed and  
40 recognized OR WHOSE PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO  
41 RECORDED REPRODUCTION HE OR SHE OBSERVED AND RECOGNIZED on the second  
42 occasion, constitutes evidence in chief.

43 S 8. Section 343.4 of the family court act, as added by chapter 920 of  
44 the laws of 1982, is amended to read as follows:

45 S 343.4. Rules of evidence; identification by means of previous recog-  
46 nition, in addition to present identification. In any juvenile delin-  
47 quency proceeding in which the respondent's commission of a crime is in  
48 issue, a witness who testifies that: (a) he OR SHE observed the person  
49 claimed by the presentment agency to be the respondent either at the  
50 time and place of the commission of the crime or upon some other occa-  
51 sion relevant to the case, and (b) on the basis of present recollection,  
52 the respondent is the person in question, and (c) on a subsequent occa-  
53 sion he OR SHE observed the respondent, OR, WHERE THE OBSERVATION IS  
54 MADE PURSUANT TO A BLIND OR BLINDED PROCEDURE, A PICTORIAL, PHOTOGRAPH-  
55 IC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF THE RESPONDENT  
56 under circumstances consistent with such rights as an accused person may

1 derive under the constitution of this state or of the United States, and  
2 then also recognized him OR HER OR THE PICTORIAL, PHOTOGRAPHIC, ELEC-  
3 TRONIC, FILMED OR VIDEO RECORDED REPRODUCTION OF HIM OR HER as the same  
4 person whom he OR SHE had observed on the first or incriminating occa-  
5 sion, may, in addition to making an identification of the respondent at  
6 the delinquency proceeding on the basis of present recollection as the  
7 person whom he OR SHE observed on the first or incriminating occasion,  
8 also describe his OR HER previous recognition of the respondent and  
9 testify that the person whom he OR SHE observed OR WHOSE PICTORIAL,  
10 PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED REPRODUCTION HE OR  
11 SHE OBSERVED on such second occasion is the same person whom he OR SHE  
12 had observed on the first or incriminating occasion. Such testimony AND  
13 SUCH PICTORIAL, PHOTOGRAPHIC, ELECTRONIC, FILMED OR VIDEO RECORDED  
14 REPRODUCTION constitutes evidence in chief. FOR PURPOSES OF THIS  
15 SECTION, A "BLIND OR BLINDED PROCEDURE" SHALL BE AS DEFINED IN PARAGRAPH  
16 (C) OF SUBDIVISION ONE OF SECTION 343.3 OF THIS PART.

17 S 9. Section 837 of the executive law is amended by adding a new  
18 subdivision 21 to read as follows:

19 21. PROMULGATE A STANDARDIZED AND DETAILED WRITTEN PROTOCOL THAT IS  
20 GROUNDED IN EVIDENCE-BASED PRINCIPLES FOR THE ADMINISTRATION OF PHOTO-  
21 GRAPHIC ARRAY AND LIVE LINEUP IDENTIFICATION PROCEDURES FOR POLICE AGEN-  
22 CIES AND STANDARDIZED FORMS FOR USE BY SUCH AGENCIES IN THE REPORTING  
23 AND RECORDING OF SUCH IDENTIFICATION PROCEDURE. THE PROTOCOL SHALL  
24 ADDRESS THE FOLLOWING TOPICS:

25 (A) THE SELECTION OF PHOTOGRAPHIC ARRAY AND LIVE LINEUP FILLER PHOTO-  
26 GRAPHS OR PARTICIPANTS;

27 (B) INSTRUCTIONS GIVEN TO A WITNESS BEFORE CONDUCTING A PHOTOGRAPHIC  
28 ARRAY OR LIVE LINEUP IDENTIFICATION PROCEDURE;

29 (C) THE DOCUMENTATION AND PRESERVATION OF RESULTS OF A PHOTOGRAPHIC  
30 ARRAY OR LIVE LINEUP IDENTIFICATION PROCEDURE;

31 (D) PROCEDURES FOR ELICITING AND DOCUMENTING THE WITNESS'S CONFIDENCE  
32 IN HIS OR HER IDENTIFICATION FOLLOWING A PHOTOGRAPHIC ARRAY OR LIVE  
33 LINEUP IDENTIFICATION PROCEDURE, IN THE EVENT THAT AN IDENTIFICATION IS  
34 MADE; AND

35 (E) PROCEDURES FOR ADMINISTERING A PHOTOGRAPHIC ARRAY OR LIVE LINEUP  
36 IDENTIFICATION PROCEDURE IN A MANNER DESIGNED TO PREVENT OPPORTUNITIES  
37 TO INFLUENCE THE WITNESS.

38 S 10. Subdivision 4 of section 840 of the executive law is amended by  
39 adding a new paragraph (c) to read as follows:

40 (C) DISSEMINATE THE WRITTEN POLICIES AND PROCEDURES PROMULGATED IN  
41 ACCORDANCE WITH SUBDIVISION TWENTY-ONE OF SECTION EIGHT HUNDRED THIRTY-  
42 SEVEN OF THIS ARTICLE TO ALL POLICE DEPARTMENTS IN THIS STATE AND IMPLE-  
43 MENT A TRAINING PROGRAM FOR ALL CURRENT AND NEW POLICE OFFICERS REGARD-  
44 ING THE POLICIES AND PROCEDURES ESTABLISHED PURSUANT TO SUCH  
45 SUBDIVISION.

46 S 11. Section 722-e of the county law, as added by chapter 878 of the  
47 laws of 1965, is amended to read as follows:

48 S 722-e. Expenses. All expenses for providing counsel and services  
49 other than counsel hereunder shall be a county charge or in the case of  
50 a county wholly located within a city a city charge to be paid out of an  
51 appropriation for such purposes. PROVIDED, HOWEVER, THAT ANY SUCH ADDI-  
52 TIONAL EXPENSES INCURRED FOR THE PROVISION OF COUNSEL AND SERVICES AS A  
53 RESULT OF THE IMPLEMENTATION OF A PLAN ESTABLISHED PURSUANT TO SUBDIVI-  
54 SION FOUR OF SECTION EIGHT HUNDRED THIRTY-TWO OF THE EXECUTIVE LAW,  
55 INCLUDING ANY INTERIM STEPS TAKEN TO IMPLEMENT SUCH PLAN, SHALL BE REIM-  
56 BURED BY THE STATE TO THE COUNTY OR CITY PROVIDING SUCH SERVICES. SUCH

1 PLANS SHALL BE SUBMITTED BY THE OFFICE OF INDIGENT LEGAL SERVICES TO THE  
2 DIRECTOR OF THE DIVISION OF BUDGET FOR REVIEW AND APPROVAL. HOWEVER, THE  
3 DIRECTOR'S APPROVAL SHALL BE LIMITED SOLELY TO THE PLAN'S PROJECTED  
4 FISCAL IMPACT OF THE REQUIRED APPROPRIATION FOR THE IMPLEMENTATION OF  
5 SUCH PLAN, AND HIS OR HER APPROVAL SHALL NOT BE UNREASONABLY WITHHELD.  
6 THE STATE SHALL APPROPRIATE FUNDS SUFFICIENT TO PROVIDE FOR THE  
7 REIMBURSEMENT REQUIRED BY THIS SECTION.

8 S 12. Section 832 of the executive law is amended by adding a new  
9 subdivision 4 to read as follows:

10 4. ADDITIONAL DUTIES AND RESPONSIBILITIES. THE OFFICE SHALL, IN  
11 CONSULTATION WITH THE INDIGENT LEGAL SERVICES BOARD ESTABLISHED PURSUANT  
12 TO SECTION EIGHT HUNDRED THIRTY-THREE OF THIS ARTICLE, HAVE THE FOLLOW-  
13 ING DUTIES AND RESPONSIBILITIES, AND ANY PLAN DEVELOPED PURSUANT TO THIS  
14 SUBDIVISION SHALL BE SUBMITTED BY THE OFFICE TO THE DIRECTOR OF THE  
15 DIVISION OF BUDGET FOR REVIEW AND APPROVAL, PROVIDED, HOWEVER THAT THE  
16 DIRECTOR'S APPROVAL SHALL BE LIMITED SOLELY TO THE PLAN'S PROJECTED  
17 FISCAL IMPACT OF THE REQUIRED APPROPRIATION FOR THE IMPLEMENTATION OF  
18 SUCH PLAN AND HIS OR HER APPROVAL SHALL NOT BE UNREASONABLY WITHHELD:

19 (A) COUNSEL AT ARRAIGNMENT. DEVELOP AND IMPLEMENT A WRITTEN PLAN TO  
20 ENSURE THAT EACH CRIMINAL DEFENDANT WHO IS ELIGIBLE FOR PUBLICLY FUNDED  
21 LEGAL REPRESENTATION IS REPRESENTED BY COUNSEL IN PERSON AT HIS OR HER  
22 ARRAIGNMENT; PROVIDED, HOWEVER, THAT A TIMELY ARRAIGNMENT WITH COUNSEL  
23 SHALL NOT BE DELAYED PENDING A DETERMINATION OF A DEFENDANT'S ELIGIBIL-  
24 ITY.

25 (I) FOR THE PURPOSES OF THE PLAN DEVELOPED PURSUANT TO THIS SUBDIVI-  
26 SION, THE TERM "ARRAIGNMENT" SHALL MEAN THE FIRST APPEARANCE BY A PERSON  
27 CHARGED WITH A CRIME BEFORE A JUDGE OR MAGISTRATE, WITH THE EXCEPTION OF  
28 AN APPEARANCE WHERE NO PROSECUTOR APPEARS AND NO ACTION OCCURS OTHER  
29 THAN THE ADJOURNMENT OF THE CRIMINAL PROCESS AND THE UNCONDITIONAL  
30 RELEASE OF THE PERSON CHARGED (IN WHICH EVENT "ARRAIGNMENT" SHALL MEAN  
31 THE PERSON'S NEXT APPEARANCE BEFORE A JUDGE OR MAGISTRATE).

32 (II) THE WRITTEN PLAN DEVELOPED PURSUANT TO THIS SUBDIVISION SHALL BE  
33 COMPLETED BY DECEMBER FIRST, TWO THOUSAND SEVENTEEN AND SHALL INCLUDE  
34 INTERIM STEPS FOR EACH COUNTY AND THE CITY OF NEW YORK FOR ACHIEVING  
35 COMPLIANCE WITH THE PLAN.

36 (III) EACH COUNTY AND THE CITY OF NEW YORK SHALL, IN CONSULTATION WITH  
37 THE OFFICE, UNDERTAKE GOOD FAITH EFFORTS TO IMPLEMENT THE PLAN AND SUCH  
38 PLAN SHALL BE FULLY IMPLEMENTED AND ADHERED TO IN EACH COUNTY AND THE  
39 CITY OF NEW YORK BY APRIL FIRST, TWO THOUSAND TWENTY-THREE. PURSUANT TO  
40 SECTION SEVEN HUNDRED TWENTY-TWO-E OF THE COUNTY LAW, THE STATE SHALL  
41 REIMBURSE EACH COUNTY AND THE CITY OF NEW YORK FOR ANY COSTS INCURRED AS  
42 A RESULT OF IMPLEMENTING SUCH PLAN.

43 (IV) THE OFFICE SHALL, ON AN ONGOING BASIS, MONITOR AND PERIODICALLY  
44 REPORT ON THE IMPLEMENTATION OF, AND COMPLIANCE WITH, THE PLAN IN EACH  
45 COUNTY AND THE CITY OF NEW YORK.

46 (B) CASELOAD RELIEF. DEVELOP AND IMPLEMENT A WRITTEN PLAN THAT ESTAB-  
47 LISHES NUMERICAL CASELOAD/WORKLOAD STANDARDS FOR EACH PROVIDER OF  
48 CONSTITUTIONALLY MANDATED PUBLICLY FUNDED REPRESENTATION IN CRIMINAL  
49 CASES FOR PEOPLE WHO ARE UNABLE TO AFFORD COUNSEL.

50 (I) SUCH STANDARDS SHALL APPLY TO ALL PROVIDERS WHETHER PUBLIC DEFEN-  
51 DER, LEGAL AID SOCIETY, ASSIGNED COUNSEL PROGRAM OR CONFLICT DEFENDER IN  
52 EACH COUNTY AND THE CITY OF NEW YORK.

53 (II) THE WRITTEN PLAN DEVELOPED PURSUANT TO THIS SUBDIVISION SHALL BE  
54 COMPLETED BY DECEMBER FIRST, TWO THOUSAND SEVENTEEN AND SHALL INCLUDE  
55 INTERIM STEPS FOR EACH COUNTY AND THE CITY OF NEW YORK FOR ACHIEVING  
56 COMPLIANCE WITH THE PLAN. SUCH PLAN SHALL INCLUDE THE NUMBER OF ATTOR-



1 NEYS, INVESTIGATORS AND OTHER NON-ATTORNEY STAFF AND THE AMOUNT OF  
2 IN-KIND RESOURCES NECESSARY FOR EACH PROVIDER OF MANDATED REPRESENTATION  
3 TO IMPLEMENT SUCH PLAN.

4 (III) EACH COUNTY AND THE CITY OF NEW YORK SHALL, IN CONSULTATION  
5 WITH THE OFFICE, UNDERTAKE GOOD FAITH EFFORTS TO IMPLEMENT THE  
6 CASELOAD/WORKLOAD STANDARDS AND SUCH STANDARDS SHALL BE FULLY IMPL-  
7 MENTED AND ADHERED TO IN EACH COUNTY AND THE CITY OF NEW YORK BY APRIL  
8 FIRST, TWO THOUSAND TWENTY-THREE. PURSUANT TO SECTION SEVEN HUNDRED  
9 TWENTY-TWO-E OF THE COUNTY LAW, THE STATE SHALL REIMBURSE EACH COUNTY  
10 AND THE CITY OF NEW YORK FOR ANY COSTS INCURRED AS A RESULT OF IMPL-  
11 MENTING SUCH PLAN.

12 (IV) THE OFFICE SHALL, ON AN ONGOING BASIS, MONITOR AND PERIODICALLY  
13 REPORT ON THE IMPLEMENTATION OF, AND COMPLIANCE WITH, THE PLAN IN EACH  
14 COUNTY AND THE CITY OF NEW YORK.

15 (C) INITIATIVES TO IMPROVE THE QUALITY OF INDIGENT DEFENSE. (I) DEVEL-  
16 OP AND IMPLEMENT A WRITTEN PLAN TO IMPROVE THE QUALITY OF CONSTITU-  
17 TIONALLY MANDATED PUBLICLY FUNDED REPRESENTATION IN CRIMINAL CASES FOR  
18 PEOPLE WHO ARE UNABLE TO AFFORD COUNSEL AND ENSURE THAT ATTORNEYS  
19 PROVIDING SUCH REPRESENTATION: (A) RECEIVE EFFECTIVE SUPERVISION AND  
20 TRAINING; (B) HAVE ACCESS TO AND APPROPRIATELY UTILIZE INVESTIGATORS,  
21 INTERPRETERS AND EXPERT WITNESSES ON BEHALF OF CLIENTS; (C) COMMUNICATE  
22 EFFECTIVELY WITH THEIR CLIENTS; (D) HAVE THE NECESSARY QUALIFICATIONS  
23 AND EXPERIENCE; AND (E) IN THE CASE OF ASSIGNED COUNSEL ATTORNEYS, ARE  
24 ASSIGNED TO CASES IN ACCORDANCE WITH ARTICLE EIGHTEEN-B OF THE COUNTY  
25 LAW AND IN A MANNER THAT ACCOUNTS FOR THE ATTORNEY'S LEVEL OF EXPERIENCE  
26 AND CASELOAD/WORKLOAD.

27 (II) THE OFFICE SHALL, ON AN ONGOING BASIS, MONITOR AND PERIODICALLY  
28 REPORT ON THE IMPLEMENTATION OF, AND COMPLIANCE WITH, THE PLAN IN EACH  
29 COUNTY AND THE CITY OF NEW YORK.

30 (III) THE WRITTEN PLAN DEVELOPED PURSUANT TO THIS SUBDIVISION SHALL BE  
31 COMPLETED BY DECEMBER FIRST, TWO THOUSAND SEVENTEEN AND SHALL INCLUDE  
32 INTERIM STEPS FOR EACH COUNTY AND THE CITY OF NEW YORK FOR ACHIEVING  
33 COMPLIANCE WITH THE PLAN.

34 (IV) EACH COUNTY AND THE CITY OF NEW YORK SHALL, IN CONSULTATION WITH  
35 THE OFFICE, UNDERTAKE GOOD FAITH EFFORTS TO IMPLEMENT THE INITIATIVES TO  
36 IMPROVE THE QUALITY OF INDIGENT DEFENSE AND SUCH INITIATIVES SHALL BE  
37 FULLY IMPLEMENTED AND ADHERED TO IN EACH COUNTY AND THE CITY OF NEW YORK  
38 BY APRIL FIRST, TWO THOUSAND TWENTY-THREE. PURSUANT TO SECTION SEVEN  
39 HUNDRED TWENTY-TWO-E OF THE COUNTY LAW, THE STATE SHALL REIMBURSE EACH  
40 COUNTY AND THE CITY OF NEW YORK FOR ANY COSTS INCURRED AS A RESULT OF  
41 IMPLEMENTING SUCH PLAN.

42 (D) APPROPRIATION OF FUNDS. IN NO EVENT SHALL A COUNTY AND A CITY OF  
43 NEW YORK BE OBLIGATED TO UNDERTAKE ANY STEPS TO IMPLEMENT THE WRITTEN  
44 PLANS UNDER PARAGRAPHS (A), (B) AND (C) OF THIS SUBDIVISION UNTIL FUNDS  
45 HAVE BEEN APPROPRIATED BY THE STATE FOR SUCH PURPOSE.

46 S 13. This act shall take effect immediately; provided, however, that  
47 sections one and two of this act shall take effect April 1, 2018 and  
48 shall apply to confessions, admissions or statements made on or after  
49 such effective date; provided, further sections three through ten of  
50 this act shall take effect July 1, 2017.

51 PART WWW

52 Section 1. Section 1.20 of the criminal procedure law is amended by  
53 adding a new subdivision 44 to read as follows:

1 44. "ADOLESCENT OFFENDER" MEANS A PERSON CHARGED WITH A FELONY COMMIT-  
 2 TED ON OR AFTER OCTOBER FIRST, TWO THOUSAND EIGHTEEN WHEN HE OR SHE WAS  
 3 SIXTEEN YEARS OF AGE OR ON OR AFTER OCTOBER FIRST, TWO THOUSAND NINE-  
 4 TEEN, WHEN HE OR SHE WAS SEVENTEEN YEARS OF AGE.

5 S 1-a. The criminal procedure law is amended by adding a new article  
 6 722 to read as follows:

7 ARTICLE 722  
 8 PROCEEDINGS AGAINST JUVENILE OFFENDERS AND ADOLESCENT  
 9 OFFENDERS; ESTABLISHMENT OF YOUTH  
 10 PART AND RELATED PROCEDURES

11 SECTION 722.00 PROBATION CASE PLANS.

- 12 722.10 YOUTH PART OF THE SUPERIOR COURT ESTABLISHED.
- 13 722.20 PROCEEDINGS UPON FELONY COMPLAINT; JUVENILE OFFENDER.
- 14 722.21 PROCEEDINGS UPON FELONY COMPLAINT; ADOLESCENT OFFENDER.
- 15 722.22 MOTION TO REMOVE JUVENILE OFFENDER TO FAMILY COURT.
- 16 722.23 REMOVAL OF ADOLESCENT OFFENDERS TO FAMILY COURT.
- 17 722.24 APPLICABILITY OF CHAPTER TO ACTIONS AND MATTERS INVOLVING  
 18 JUVENILE OFFENDERS OR ADOLESCENT OFFENDERS.

19 S 722.00 PROBATION CASE PLANS.

20 1. ALL JUVENILE OFFENDERS AND ADOLESCENT OFFENDERS SHALL BE NOTIFIED  
 21 OF THE AVAILABILITY OF SERVICES THROUGH THE LOCAL PROBATION DEPARTMENT.  
 22 SUCH SERVICES SHALL INCLUDE THE ABILITY OF THE PROBATION DEPARTMENT TO  
 23 CONDUCT A RISK AND NEEDS ASSESSMENT, UTILIZING A VALIDATED RISK ASSESS-  
 24 MENT TOOL, IN ORDER TO HELP DETERMINE SUITABLE AND INDIVIDUALIZED  
 25 PROGRAMMING AND REFERRALS. PARTICIPATION IN SUCH RISK AND NEEDS ASSESS-  
 26 MENT SHALL BE VOLUNTARY AND THE ADOLESCENT OFFENDER OR JUVENILE OFFENDER  
 27 MAY BE ACCOMPANIED BY COUNSEL DURING ANY SUCH ASSESSMENT. BASED UPON  
 28 THE ASSESSMENT FINDINGS, THE PROBATION DEPARTMENT SHALL REFER THE  
 29 ADOLESCENT OFFENDER OR JUVENILE OFFENDER TO AVAILABLE AND APPROPRIATE  
 30 SERVICES.

31 2. NOTHING SHALL PRECLUDE THE PROBATION DEPARTMENT AND THE ADOLESCENT  
 32 OFFENDER OR JUVENILE OFFENDER FROM ENTERING INTO A VOLUNTARY SERVICE  
 33 PLAN WHICH MAY INCLUDE ALCOHOL, SUBSTANCE USE AND MENTAL HEALTH TREAT-  
 34 MENT AND SERVICES. TO THE EXTENT PRACTICABLE, SUCH SERVICES SHALL  
 35 CONTINUE THROUGH THE PENDENCY OF THE ACTION AND SHALL FURTHER CONTINUE  
 36 WHERE SUCH ACTION IS REMOVED IN ACCORDANCE WITH THIS ARTICLE.

37 3. WHEN PREPARING A PRE-SENTENCE INVESTIGATION REPORT OF ANY SUCH  
 38 ADOLESCENT OFFENDER OR JUVENILE OFFENDER, THE PROBATION DEPARTMENT SHALL  
 39 INCORPORATE A SUMMARY OF ANY ASSESSMENT FINDINGS, REFERRALS AND PROGRESS  
 40 WITH RESPECT TO MITIGATING RISK AND ADDRESSING ANY IDENTIFIED NEEDS.

41 4. THE PROBATION SERVICE SHALL NOT TRANSMIT OR OTHERWISE COMMUNICATE  
 42 TO THE DISTRICT ATTORNEY OR THE YOUTH PART ANY STATEMENT MADE BY THE  
 43 JUVENILE OR ADOLESCENT OFFENDER TO A PROBATION OFFICER. HOWEVER, THE  
 44 PROBATION SERVICE MAY MAKE A RECOMMENDATION REGARDING THE COMPLETION OF  
 45 HIS OR HER CASE PLAN TO THE YOUTH PART AND PROVIDE SUCH INFORMATION AS  
 46 IT SHALL DEEM RELEVANT.

47 5. NO STATEMENT MADE TO THE PROBATION SERVICE MAY BE ADMITTED INTO  
 48 EVIDENCE AT A FACT-FINDING HEARING AT ANY TIME PRIOR TO A CONVICTION.  
 49 S 722.10 YOUTH PART OF THE SUPERIOR COURT ESTABLISHED.

50 1. THE CHIEF ADMINISTRATOR OF THE COURTS IS HEREBY DIRECTED TO ESTAB-  
 51 LISH, IN A SUPERIOR COURT IN EACH COUNTY OF THE STATE, A PART OF THE  
 52 COURT TO BE KNOWN AS THE YOUTH PART OF THE SUPERIOR COURT FOR THE COUNTY  
 53 IN WHICH SUCH COURT PRESIDES. JUDGES PRESIDING IN THE YOUTH PART SHALL  
 54 BE FAMILY COURT JUDGES, AS DESCRIBED IN ARTICLE SIX, SECTION ONE OF THE  
 55 CONSTITUTION. TO AID IN THEIR WORK, SUCH JUDGES SHALL RECEIVE TRAINING  
 56 IN SPECIALIZED AREAS, INCLUDING, BUT NOT LIMITED TO, JUVENILE JUSTICE,

1 ADOLESCENT DEVELOPMENT, CUSTODY AND CARE OF YOUTHS AND EFFECTIVE TREAT-  
2 MENT METHODS FOR REDUCING UNLAWFUL CONDUCT BY YOUTHS, AND SHALL BE  
3 AUTHORIZED TO MAKE APPROPRIATE DETERMINATIONS WITHIN THE POWER OF SUCH  
4 SUPERIOR COURT WITH RESPECT TO THE CASES OF YOUTHS ASSIGNED TO SUCH  
5 PART. THE YOUTH PART SHALL HAVE EXCLUSIVE JURISDICTION IN ALL  
6 PROCEEDINGS IN RELATION TO JUVENILE OFFENDERS AND ADOLESCENT OFFENDERS,  
7 EXCEPT AS PROVIDED IN THIS ARTICLE OR ARTICLE SEVEN HUNDRED TWENTY-FIVE  
8 OF THIS CHAPTER.

9 2. THE CHIEF ADMINISTRATOR OF THE COURTS SHALL ALSO DIRECT THE PRESID-  
10 ING JUSTICE OF THE APPELLATE DIVISION, IN EACH JUDICIAL DEPARTMENT OF  
11 THE STATE, TO DESIGNATE JUDGES AUTHORIZED BY LAW TO EXERCISE CRIMINAL  
12 JURISDICTION TO SERVE AS ACCESSIBLE MAGISTRATES, FOR THE PURPOSE OF  
13 ACTING IN PLACE OF THE YOUTH PART FOR CERTAIN FIRST APPEARANCE  
14 PROCEEDINGS INVOLVING YOUTHS, AS PROVIDED BY LAW. WHEN DESIGNATING SUCH  
15 MAGISTRATES, THE PRESIDING JUSTICE SHALL ENSURE THAT ALL AREAS OF A  
16 COUNTY ARE WITHIN A REASONABLE DISTANCE OF A DESIGNATED MAGISTRATE. A  
17 JUDGE AUTHORIZED TO PRESIDE AS SUCH A MAGISTRATE SHALL HAVE RECEIVED  
18 TRAINING IN SPECIALIZED AREAS, INCLUDING, BUT NOT LIMITED TO, JUVENILE  
19 JUSTICE, ADOLESCENT DEVELOPMENT, CUSTODY AND CARE OF YOUTHS AND EFFEC-  
20 TIVE TREATMENT METHODS FOR REDUCING UNLAWFUL CONDUCT BY YOUTHS.  
21 S 722.20 PROCEEDINGS UPON FELONY COMPLAINT; JUVENILE OFFENDER.

22 1. WHEN A JUVENILE OFFENDER IS ARRAIGNED BEFORE A YOUTH PART, THE  
23 PROVISIONS OF THIS SECTION SHALL APPLY. IF THE YOUTH PART IS NOT IN  
24 SESSION, THE DEFENDANT SHALL BE BROUGHT BEFORE THE MOST ACCESSIBLE  
25 MAGISTRATE DESIGNATED BY THE APPELLATE DIVISION OF THE SUPREME COURT TO  
26 ACT AS A YOUTH PART FOR THE PURPOSE OF MAKING A DETERMINATION WHETHER  
27 SUCH JUVENILE SHALL BE DETAINED. IF THE DEFENDANT IS ORDERED TO BE  
28 DETAINED, HE OR SHE SHALL BE BROUGHT BEFORE THE NEXT SESSION OF THE  
29 YOUTH PART. IF THE DEFENDANT IS NOT DETAINED, HE OR SHE SHALL BE ORDERED  
30 TO APPEAR AT THE NEXT SESSION OF THE YOUTH PART.

31 2. IF THE DEFENDANT WAIVES A HEARING UPON THE FELONY COMPLAINT, THE  
32 COURT MUST ORDER THAT THE DEFENDANT BE HELD FOR THE ACTION OF THE GRAND  
33 JURY WITH RESPECT TO THE CHARGE OR CHARGES CONTAINED IN THE FELONY  
34 COMPLAINT.

35 3. IF THERE BE A HEARING, THEN AT THE CONCLUSION OF THE HEARING, THE  
36 YOUTH PART COURT MUST DISPOSE OF THE FELONY COMPLAINT AS FOLLOWS:

37 (A) IF THERE IS REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT COMMIT-  
38 TED A CRIME FOR WHICH A PERSON UNDER THE AGE OF SIXTEEN IS CRIMINALLY  
39 RESPONSIBLE, THE COURT MUST ORDER THAT THE DEFENDANT BE HELD FOR THE  
40 ACTION OF A GRAND JURY; OR

41 (B) IF THERE IS NOT REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT  
42 COMMITTED A CRIME FOR WHICH A PERSON UNDER THE AGE OF SIXTEEN IS CRIMI-  
43 NALLY RESPONSIBLE BUT THERE IS REASONABLE CAUSE TO BELIEVE THAT THE  
44 DEFENDANT IS A "JUVENILE DELINQUENT" AS DEFINED IN SUBDIVISION ONE OF  
45 SECTION 301.2 OF THE FAMILY COURT ACT, THE COURT MUST SPECIFY THE ACT OR  
46 ACTS IT FOUND REASONABLE CAUSE TO BELIEVE THE DEFENDANT DID AND DIRECT  
47 THAT THE ACTION BE REMOVED TO THE FAMILY COURT IN ACCORDANCE WITH THE  
48 PROVISIONS OF ARTICLE SEVEN HUNDRED TWENTY-FIVE OF THIS TITLE; OR

49 (C) IF THERE IS NOT REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT  
50 COMMITTED ANY CRIMINAL ACT, THE COURT MUST DISMISS THE FELONY COMPLAINT  
51 AND DISCHARGE THE DEFENDANT FROM CUSTODY IF HE IS IN CUSTODY, OR IF HE  
52 IS AT LIBERTY ON BAIL, IT MUST EXONERATE THE BAIL.

53 4. NOTWITHSTANDING THE PROVISIONS OF SUBDIVISIONS TWO AND THREE OF  
54 THIS SECTION, THE COURT SHALL, AT THE REQUEST OF THE DISTRICT ATTORNEY,  
55 ORDER REMOVAL OF AN ACTION AGAINST A JUVENILE OFFENDER TO THE FAMILY  
56 COURT PURSUANT TO THE PROVISIONS OF ARTICLE SEVEN HUNDRED TWENTY-FIVE OF

1 THIS TITLE IF, UPON CONSIDERATION OF THE CRITERIA SPECIFIED IN SUBDIVI-  
2 SION TWO OF SECTION 722.22 OF THIS ARTICLE, IT IS DETERMINED THAT TO DO  
3 SO WOULD BE IN THE INTERESTS OF JUSTICE. WHERE, HOWEVER, THE FELONY  
4 COMPLAINT CHARGES THE JUVENILE OFFENDER WITH MURDER IN THE SECOND DEGREE  
5 AS DEFINED IN SECTION 125.25 OF THE PENAL LAW, RAPE IN THE FIRST DEGREE  
6 AS DEFINED IN SUBDIVISION ONE OF SECTION 130.35 OF THE PENAL LAW, CRIMI-  
7 NAL SEXUAL ACT IN THE FIRST DEGREE AS DEFINED IN SUBDIVISION ONE OF  
8 SECTION 130.50 OF THE PENAL LAW, OR AN ARMED FELONY AS DEFINED IN PARA-  
9 GRAPH (A) OF SUBDIVISION FORTY-ONE OF SECTION 1.20 OF THIS CHAPTER, A  
10 DETERMINATION THAT SUCH ACTION BE REMOVED TO THE FAMILY COURT SHALL, IN  
11 ADDITION, BE BASED UPON A FINDING OF ONE OR MORE OF THE FOLLOWING  
12 FACTORS: (I) MITIGATING CIRCUMSTANCES THAT BEAR DIRECTLY UPON THE MANNER  
13 IN WHICH THE CRIME WAS COMMITTED; OR (II) WHERE THE DEFENDANT WAS NOT  
14 THE SOLE PARTICIPANT IN THE CRIME, THE DEFENDANT'S PARTICIPATION WAS  
15 RELATIVELY MINOR ALTHOUGH NOT SO MINOR AS TO CONSTITUTE A DEFENSE TO THE  
16 PROSECUTION; OR (III) POSSIBLE DEFICIENCIES IN PROOF OF THE CRIME.

17 5. NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION TWO, THREE, OR FOUR  
18 OF THIS SECTION, IF A CURRENTLY UNDETERMINED FELONY COMPLAINT AGAINST A  
19 JUVENILE OFFENDER IS PENDING, AND THE DEFENDANT HAS NOT WAIVED A HEARING  
20 PURSUANT TO SUBDIVISION TWO OF THIS SECTION AND A HEARING PURSUANT TO  
21 SUBDIVISION THREE OF THIS SECTION HAS NOT COMMENCED, THE DEFENDANT MAY  
22 MOVE TO REMOVE THE ACTION TO FAMILY COURT PURSUANT TO 722.22 OF THIS  
23 ARTICLE. THE PROCEDURAL RULES OF SUBDIVISIONS ONE AND TWO OF SECTION  
24 210.45 OF THIS CHAPTER ARE APPLICABLE TO A MOTION PURSUANT TO THIS  
25 SUBDIVISION. UPON SUCH MOTION, THE COURT SHALL PROCEED AND DETERMINE THE  
26 MOTION AS PROVIDED IN SECTION 722.22 OF THIS ARTICLE; PROVIDED, HOWEVER,  
27 THAT THE EXCEPTION PROVISIONS OF PARAGRAPH (B) OF SUBDIVISION ONE OF  
28 SECTION 722.22 OF THIS ARTICLE SHALL NOT APPLY WHEN THERE IS NOT REASON-  
29 ABLE CAUSE TO BELIEVE THAT THE JUVENILE OFFENDER COMMITTED ONE OR MORE  
30 OF THE CRIMES ENUMERATED THEREIN, AND IN SUCH EVENT THE PROVISIONS OF  
31 PARAGRAPH (A) THEREOF SHALL APPLY.

32 6. (A) IF THE COURT ORDERS REMOVAL OF THE ACTION TO FAMILY COURT, IT  
33 SHALL STATE ON THE RECORD THE FACTOR OR FACTORS UPON WHICH ITS DETERMI-  
34 NATION IS BASED, AND THE COURT SHALL GIVE ITS REASONS FOR REMOVAL IN  
35 DETAIL AND NOT IN CONCLUSORY TERMS.

36 (B) THE DISTRICT ATTORNEY SHALL STATE UPON THE RECORD THE REASONS FOR  
37 HIS CONSENT TO REMOVAL OF THE ACTION TO THE FAMILY COURT WHERE SUCH  
38 CONSENT IS REQUIRED. THE REASONS SHALL BE STATED IN DETAIL AND NOT IN  
39 CONCLUSORY TERMS.

40 (C) FOR THE PURPOSE OF MAKING A DETERMINATION PURSUANT TO SUBDIVISION  
41 FOUR OR FIVE OF THIS SECTION, THE COURT MAY MAKE SUCH INQUIRY AS IT  
42 DEEMS NECESSARY. ANY EVIDENCE WHICH IS NOT LEGALLY PRIVILEGED MAY BE  
43 INTRODUCED. IF THE DEFENDANT TESTIFIES, HIS TESTIMONY MAY NOT BE INTRO-  
44 DUCED AGAINST HIM IN ANY FUTURE PROCEEDING, EXCEPT TO IMPEACH HIS TESTI-  
45 MONY AT SUCH FUTURE PROCEEDING AS INCONSISTENT PRIOR TESTIMONY.

46 (D) WHERE A MOTION FOR REMOVAL BY THE DEFENDANT PURSUANT TO SUBDIVI-  
47 SION FIVE OF THIS SECTION HAS BEEN DENIED, NO FURTHER MOTION PURSUANT TO  
48 THIS SECTION OR SECTION 722.22 OF THIS ARTICLE MAY BE MADE BY THE JUVE-  
49 NILE OFFENDER WITH RESPECT TO THE SAME OFFENSE OR OFFENSES.

50 (E) EXCEPT AS PROVIDED BY PARAGRAPH (F) OF THIS SUBDIVISION, THIS  
51 SECTION SHALL NOT BE CONSTRUED TO LIMIT THE POWERS OF THE GRAND JURY.

52 (F) WHERE A MOTION BY THE DEFENDANT PURSUANT TO SUBDIVISION FIVE OF  
53 THIS SECTION HAS BEEN GRANTED, THERE SHALL BE NO FURTHER PROCEEDINGS  
54 AGAINST THE JUVENILE OFFENDER IN ANY LOCAL OR SUPERIOR CRIMINAL COURT  
55 INCLUDING THE YOUTH PART OF THE SUPERIOR COURT FOR THE OFFENSE OR  
56 OFFENSES WHICH WERE THE SUBJECT OF THE REMOVAL ORDER.

1 S 722.21 PROCEEDINGS UPON FELONY COMPLAINT; ADOLESCENT OFFENDER.  
2 1. WHEN AN ADOLESCENT OFFENDER IS ARRAIGNED BEFORE A YOUTH PART, THE  
3 PROVISIONS OF THIS SECTION SHALL APPLY. IF THE YOUTH PART IS NOT IN  
4 SESSION, THE DEFENDANT SHALL BE BROUGHT BEFORE THE MOST ACCESSIBLE  
5 MAGISTRATE DESIGNATED BY THE APPELLATE DIVISION OF THE SUPREME COURT TO  
6 ACT AS A YOUTH PART FOR THE PURPOSE OF MAKING A DETERMINATION WHETHER  
7 SUCH ADOLESCENT OFFENDER SHALL BE DETAINED. IF THE DEFENDANT IS ORDERED  
8 TO BE DETAINED, HE OR SHE SHALL BE BROUGHT BEFORE THE NEXT SESSION OF  
9 THE YOUTH PART. IF THE DEFENDANT IS NOT DETAINED, HE OR SHE SHALL BE  
10 ORDERED TO APPEAR AT THE NEXT SESSION OF THE YOUTH PART.  
11 2. IF THE DEFENDANT WAIVES A HEARING UPON THE FELONY COMPLAINT, THE  
12 COURT MUST ORDER THAT THE DEFENDANT BE HELD FOR THE ACTION OF THE GRAND  
13 JURY WITH RESPECT TO THE CHARGE OR CHARGES CONTAINED IN THE FELONY  
14 COMPLAINT.  
15 3. IF THERE BE A HEARING, THEN AT THE CONCLUSION OF THE HEARING, THE  
16 YOUTH PART COURT MUST DISPOSE OF THE FELONY COMPLAINT AS FOLLOWS:  
17 (A) IF THERE IS REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT COMMIT-  
18 TED A FELONY, THE COURT MUST ORDER THAT THE DEFENDANT BE HELD FOR THE  
19 ACTION OF A GRAND JURY; OR  
20 (B) IF THERE IS NOT REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT  
21 COMMITTED A FELONY BUT THERE IS REASONABLE CAUSE TO BELIEVE THAT THE  
22 DEFENDANT IS A "JUVENILE DELINQUENT" AS DEFINED IN SUBDIVISION ONE OF  
23 SECTION 301.2 OF THE FAMILY COURT ACT, THE COURT MUST SPECIFY THE ACT OR  
24 ACTS IT FOUND REASONABLE CAUSE TO BELIEVE THE DEFENDANT DID AND DIRECT  
25 THAT THE ACTION BE TRANSFERRED TO THE FAMILY COURT IN ACCORDANCE WITH  
26 THE PROVISIONS OF ARTICLE SEVEN HUNDRED TWENTY-FIVE OF THIS TITLE,  
27 PROVIDED, HOWEVER, NOTWITHSTANDING ANY OTHER PROVISION OF LAW, SECTION  
28 308.1 OF THE FAMILY COURT ACT SHALL APPLY TO ACTIONS TRANSFERRED PURSU-  
29 ANT TO THIS SUBDIVISION AND SUCH ACTIONS SHALL NOT BE CONSIDERED  
30 REMOVALS SUBJECT TO SUBDIVISION THIRTEEN OF SUCH SECTION 308.1; OR  
31 (C) IF THERE IS NOT REASONABLE CAUSE TO BELIEVE THAT THE DEFENDANT  
32 COMMITTED ANY CRIMINAL ACT, THE COURT MUST DISMISS THE FELONY COMPLAINT  
33 AND DISCHARGE THE DEFENDANT FROM CUSTODY IF HE IS IN CUSTODY, OR IF HE  
34 IS AT LIBERTY ON BAIL, IT MUST EXONERATE THE BAIL.  
35 4. NOTWITHSTANDING THE PROVISIONS OF SUBDIVISIONS TWO AND THREE OF  
36 THIS SECTION, WHERE THE DEFENDANT IS CHARGED WITH A FELONY, OTHER THAN A  
37 CLASS A FELONY DEFINED OUTSIDE ARTICLE TWO HUNDRED TWENTY OF THE PENAL  
38 LAW, A VIOLENT FELONY DEFINED IN SECTION 70.02 OF THE PENAL LAW OR A  
39 FELONY LISTED IN PARAGRAPH ONE OR TWO OF SUBDIVISION FORTY-TWO OF  
40 SECTION 1.20 OF THIS CHAPTER, EXCEPT AS PROVIDED IN PARAGRAPH (C) OF  
41 SUBDIVISION TWO OF SECTION 722.23 OF THIS ARTICLE, THE COURT SHALL, UPON  
42 NOTICE FROM THE DISTRICT ATTORNEY THAT HE OR SHE WILL NOT FILE A MOTION  
43 TO PREVENT REMOVAL PURSUANT TO SECTION 722.23 OF THIS ARTICLE, ORDER  
44 TRANSFER OF AN ACTION AGAINST AN ADOLESCENT OFFENDER TO THE FAMILY COURT  
45 PURSUANT TO THE PROVISIONS OF ARTICLE SEVEN HUNDRED TWENTY-FIVE OF THIS  
46 TITLE, PROVIDED, HOWEVER, NOTWITHSTANDING ANY OTHER PROVISION OF LAW,  
47 SECTION 308.1 OF THE FAMILY COURT ACT SHALL APPLY TO ACTIONS TRANSFERRED  
48 PURSUANT TO THIS SUBDIVISION AND SUCH ACTIONS SHALL NOT BE CONSIDERED  
49 REMOVALS SUBJECT TO SUBDIVISION THIRTEEN OF SUCH SECTION 308.1.  
50 5. NOTWITHSTANDING SUBDIVISIONS TWO AND THREE OF THIS SECTION, AT THE  
51 REQUEST OF THE DISTRICT ATTORNEY, THE COURT SHALL ORDER REMOVAL OF AN  
52 ACTION AGAINST AN ADOLESCENT OFFENDER CHARGED WITH AN OFFENSE LISTED IN  
53 PARAGRAPH (A) OF SUBDIVISION TWO OF SECTION 722.23 OF THIS ARTICLE, TO  
54 THE FAMILY COURT PURSUANT TO THE PROVISIONS OF ARTICLE SEVEN HUNDRED  
55 TWENTY-FIVE OF THIS TITLE AND UPON CONSIDERATION OF THE CRITERIA SPECI-  
56 FIED IN SUBDIVISION TWO OF SECTION 722.22 OF THIS ARTICLE, IT IS DETER-

1 MINED THAT TO DO SO WOULD BE IN THE INTERESTS OF JUSTICE. WHERE, HOWEV-  
2 ER, THE FELONY COMPLAINT CHARGES THE ADOLESCENT OFFENDER WITH MURDER IN  
3 THE SECOND DEGREE AS DEFINED IN SECTION 125.25 OF THE PENAL LAW, RAPE IN  
4 THE FIRST DEGREE AS DEFINED IN SUBDIVISION ONE OF SECTION 130.35 OF THE  
5 PENAL LAW, CRIMINAL SEXUAL ACT IN THE FIRST DEGREE AS DEFINED IN SUBDI-  
6 VISION ONE OF SECTION 130.50 OF THE PENAL LAW, OR AN ARMED FELONY AS  
7 DEFINED IN PARAGRAPH (A) OF SUBDIVISION FORTY-ONE OF SECTION 1.20 OF  
8 THIS CHAPTER, A DETERMINATION THAT SUCH ACTION BE REMOVED TO THE FAMILY  
9 COURT SHALL, IN ADDITION, BE BASED UPON A FINDING OF ONE OR MORE OF THE  
10 FOLLOWING FACTORS: (I) MITIGATING CIRCUMSTANCES THAT BEAR DIRECTLY UPON  
11 THE MANNER IN WHICH THE CRIME WAS COMMITTED; OR (II) WHERE THE DEFENDANT  
12 WAS NOT THE SOLE PARTICIPANT IN THE CRIME, THE DEFENDANT'S PARTICIPATION  
13 WAS RELATIVELY MINOR ALTHOUGH NOT SO MINOR AS TO CONSTITUTE A DEFENSE TO  
14 THE PROSECUTION; OR (III) POSSIBLE DEFICIENCIES IN PROOF OF THE CRIME.

15 6. (A) IF THE COURT ORDERS REMOVAL OF THE ACTION TO FAMILY COURT  
16 PURSUANT TO SUBDIVISION FIVE OF THIS SECTION, IT SHALL STATE ON THE  
17 RECORD THE FACTOR OR FACTORS UPON WHICH ITS DETERMINATION IS BASED, AND  
18 THE COURT SHALL GIVE ITS REASONS FOR REMOVAL IN DETAIL AND NOT IN  
19 CONCLUSORY TERMS.

20 (B) THE DISTRICT ATTORNEY SHALL STATE UPON THE RECORD THE REASONS FOR  
21 HIS CONSENT TO REMOVAL OF THE ACTION TO THE FAMILY COURT WHERE SUCH  
22 CONSENT IS REQUIRED. THE REASONS SHALL BE STATED IN DETAIL AND NOT IN  
23 CONCLUSORY TERMS.

24 (C) FOR THE PURPOSE OF MAKING A DETERMINATION PURSUANT TO SUBDIVISION  
25 FIVE THE COURT MAY MAKE SUCH INQUIRY AS IT DEEMS NECESSARY. ANY EVIDENCE  
26 WHICH IS NOT LEGALLY PRIVILEGED MAY BE INTRODUCED. IF THE DEFENDANT  
27 TESTIFIES, HIS TESTIMONY MAY NOT BE INTRODUCED AGAINST HIM IN ANY FUTURE  
28 PROCEEDING, EXCEPT TO IMPEACH HIS TESTIMONY AT SUCH FUTURE PROCEEDING AS  
29 INCONSISTENT PRIOR TESTIMONY.

30 (D) EXCEPT AS PROVIDED BY PARAGRAPH (E), THIS SECTION SHALL NOT BE  
31 CONSTRUED TO LIMIT THE POWERS OF THE GRAND JURY.

32 (E) WHERE AN ACTION AGAINST A DEFENDANT HAS BEEN REMOVED TO THE FAMILY  
33 COURT PURSUANT TO THIS SECTION, THERE SHALL BE NO FURTHER PROCEEDINGS  
34 AGAINST THE ADOLESCENT OFFENDER IN ANY LOCAL OR SUPERIOR CRIMINAL COURT  
35 INCLUDING THE YOUTH PART OF THE SUPERIOR COURT FOR THE OFFENSE OR  
36 OFFENSES WHICH WERE THE SUBJECT OF THE REMOVAL ORDER.

37 S 722.22 MOTION TO REMOVE JUVENILE OFFENDER TO FAMILY COURT.

38 1. AFTER A MOTION BY A JUVENILE OFFENDER, PURSUANT TO SUBDIVISION FIVE  
39 OF SECTION 722.20 OF THIS ARTICLE, OR AFTER ARRAIGNMENT OF A JUVENILE  
40 OFFENDER UPON AN INDICTMENT, THE COURT MAY, ON MOTION OF ANY PARTY OR ON  
41 ITS OWN MOTION:

42 (A) EXCEPT AS OTHERWISE PROVIDED BY PARAGRAPH (B) OF THIS SUBDIVISION,  
43 ORDER REMOVAL OF THE ACTION TO THE FAMILY COURT PURSUANT TO THE  
44 PROVISIONS OF ARTICLE SEVEN HUNDRED TWENTY-FIVE OF THIS TITLE, IF, AFTER  
45 CONSIDERATION OF THE FACTORS SET FORTH IN SUBDIVISION TWO OF THIS  
46 SECTION, THE COURT DETERMINES THAT TO DO SO WOULD BE IN THE INTERESTS OF  
47 JUSTICE; OR

48 (B) WITH THE CONSENT OF THE DISTRICT ATTORNEY, ORDER REMOVAL OF AN  
49 ACTION INVOLVING AN INDICTMENT CHARGING A JUVENILE OFFENDER WITH MURDER  
50 IN THE SECOND DEGREE AS DEFINED IN SECTION 125.25 OF THE PENAL LAW; RAPE  
51 IN THE FIRST DEGREE, AS DEFINED IN SUBDIVISION ONE OF SECTION 130.35 OF  
52 THE PENAL LAW; CRIMINAL SEXUAL ACT IN THE FIRST DEGREE, AS DEFINED IN  
53 SUBDIVISION ONE OF SECTION 130.50 OF THE PENAL LAW; OR AN ARMED FELONY  
54 AS DEFINED IN PARAGRAPH (A) OF SUBDIVISION FORTY-ONE OF SECTION 1.20 OF  
55 THIS CHAPTER, TO THE FAMILY COURT PURSUANT TO THE PROVISIONS OF ARTICLE  
56 SEVEN HUNDRED TWENTY-FIVE OF THIS TITLE IF THE COURT FINDS ONE OR MORE

1 OF THE FOLLOWING FACTORS: (I) MITIGATING CIRCUMSTANCES THAT BEAR DIRECT-  
2 LY UPON THE MANNER IN WHICH THE CRIME WAS COMMITTED; (II) WHERE THE  
3 DEFENDANT WAS NOT THE SOLE PARTICIPANT IN THE CRIME, THE DEFENDANT'S  
4 PARTICIPATION WAS RELATIVELY MINOR ALTHOUGH NOT SO MINOR AS TO CONSTI-  
5 TUTE A DEFENSE TO THE PROSECUTION; OR (III) POSSIBLE DEFICIENCIES IN THE  
6 PROOF OF THE CRIME, AND, AFTER CONSIDERATION OF THE FACTORS SET FORTH IN  
7 SUBDIVISION TWO OF THIS SECTION, THE COURT DETERMINED THAT REMOVAL OF  
8 THE ACTION TO THE FAMILY COURT WOULD BE IN THE INTERESTS OF JUSTICE.

9 2. IN MAKING ITS DETERMINATION PURSUANT TO SUBDIVISION ONE OF THIS  
10 SECTION THE COURT SHALL, TO THE EXTENT APPLICABLE, EXAMINE INDIVIDUALLY  
11 AND COLLECTIVELY, THE FOLLOWING:

12 (A) THE SERIOUSNESS AND CIRCUMSTANCES OF THE OFFENSE;

13 (B) THE EXTENT OF HARM CAUSED BY THE OFFENSE;

14 (C) THE EVIDENCE OF GUILT, WHETHER ADMISSIBLE OR INADMISSIBLE AT  
15 TRIAL;

16 (D) THE HISTORY, CHARACTER AND CONDITION OF THE DEFENDANT;

17 (E) THE PURPOSE AND EFFECT OF IMPOSING UPON THE DEFENDANT A SENTENCE  
18 AUTHORIZED FOR THE OFFENSE;

19 (F) THE IMPACT OF A REMOVAL OF THE CASE TO THE FAMILY COURT ON THE  
20 SAFETY OR WELFARE OF THE COMMUNITY;

21 (G) THE IMPACT OF A REMOVAL OF THE CASE TO THE FAMILY COURT UPON THE  
22 CONFIDENCE OF THE PUBLIC IN THE CRIMINAL JUSTICE SYSTEM;

23 (H) WHERE THE COURT DEEMS IT APPROPRIATE, THE ATTITUDE OF THE  
24 COMPLAINANT OR VICTIM WITH RESPECT TO THE MOTION; AND

25 (I) ANY OTHER RELEVANT FACT INDICATING THAT A JUDGMENT OF CONVICTION  
26 IN THE CRIMINAL COURT WOULD SERVE NO USEFUL PURPOSE.

27 3. THE PROCEDURE FOR BRINGING ON A MOTION PURSUANT TO SUBDIVISION ONE  
28 OF THIS SECTION, SHALL ACCORD WITH THE PROCEDURE PRESCRIBED IN SUBDIVI-  
29 SIONS ONE AND TWO OF SECTION 210.45 OF THIS CHAPTER. AFTER ALL PAPERS OF  
30 BOTH PARTIES HAVE BEEN FILED AND AFTER ALL DOCUMENTARY EVIDENCE, IF ANY,  
31 HAS BEEN SUBMITTED, THE COURT MUST CONSIDER THE SAME FOR THE PURPOSE OF  
32 DETERMINING WHETHER THE MOTION IS DETERMINABLE ON THE MOTION PAPERS  
33 SUBMITTED AND, IF NOT, MAY MAKE SUCH INQUIRY AS IT DEEMS NECESSARY FOR  
34 THE PURPOSE OF MAKING A DETERMINATION.

35 4. FOR THE PURPOSE OF MAKING A DETERMINATION PURSUANT TO THIS SECTION,  
36 ANY EVIDENCE WHICH IS NOT LEGALLY PRIVILEGED MAY BE INTRODUCED. IF THE  
37 DEFENDANT TESTIFIES, HIS TESTIMONY MAY NOT BE INTRODUCED AGAINST HIM IN  
38 ANY FUTURE PROCEEDING, EXCEPT TO IMPEACH HIS TESTIMONY AT SUCH FUTURE  
39 PROCEEDING AS INCONSISTENT PRIOR TESTIMONY.

40 5. A. IF THE COURT ORDERS REMOVAL OF THE ACTION TO FAMILY COURT, IT  
41 SHALL STATE ON THE RECORD THE FACTOR OR FACTORS UPON WHICH ITS DETERMI-  
42 NATION IS BASED, AND, THE COURT SHALL GIVE ITS REASONS FOR REMOVAL IN  
43 DETAIL AND NOT IN CONCLUSORY TERMS.

44 B. THE DISTRICT ATTORNEY SHALL STATE UPON THE RECORD THE REASONS FOR  
45 HIS CONSENT TO REMOVAL OF THE ACTION TO THE FAMILY COURT. THE REASONS  
46 SHALL BE STATED IN DETAIL AND NOT IN CONCLUSORY TERMS.

47 S 722.23 REMOVAL OF ADOLESCENT OFFENDERS TO FAMILY COURT.

48 1. (A) FOLLOWING THE ARRAIGNMENT OF A DEFENDANT CHARGED WITH A CRIME  
49 COMMITTED WHEN HE OR SHE WAS SIXTEEN, OR COMMENCING OCTOBER FIRST, TWO  
50 THOUSAND NINETEEN, SEVENTEEN YEARS OF AGE, OTHER THAN ANY CLASS A FELONY  
51 EXCEPT FOR THOSE DEFINED IN ARTICLE TWO HUNDRED TWENTY OF THE PENAL LAW,  
52 A VIOLENT FELONY DEFINED IN SECTION 70.02 OF THE PENAL LAW OR A FELONY  
53 LISTED IN PARAGRAPH ONE OR TWO OF SUBDIVISION FORTY-TWO OF SECTION 1.20  
54 OF THIS CHAPTER, OR AN OFFENSE SET FORTH IN THE VEHICLE AND TRAFFIC LAW,  
55 THE COURT SHALL ORDER THE REMOVAL OF THE ACTION TO THE FAMILY COURT IN  
56 ACCORDANCE WITH THE APPLICABLE PROVISIONS OF ARTICLE SEVEN HUNDRED TWEN-

1 TY-FIVE OF THIS TITLE UNLESS, WITHIN THIRTY CALENDAR DAYS OF SUCH  
2 ARRAIGNMENT, THE DISTRICT ATTORNEY MAKES A MOTION TO PREVENT REMOVAL OF  
3 THE ACTION PURSUANT TO THIS SUBDIVISION. IF THE DEFENDANT FAILS TO  
4 REPORT TO THE PROBATION DEPARTMENT AS DIRECTED, THE THIRTY DAY TIME  
5 PERIOD SHALL BE TOLLED UNTIL SUCH TIME AS HE OR SHE REPORTS TO THE  
6 PROBATION DEPARTMENT.

7 (B) A MOTION TO PREVENT REMOVAL OF AN ACTION IN YOUTH PART SHALL BE  
8 MADE IN WRITING AND UPON PROMPT NOTICE TO THE DEFENDANT. THE MOTION  
9 SHALL CONTAIN ALLEGATIONS OF SWORN FACT BASED UPON PERSONAL KNOWLEDGE OF  
10 THE AFFIANT, AND SHALL INDICATE IF THE DISTRICT ATTORNEY IS REQUESTING A  
11 HEARING. THE MOTION SHALL BE NOTICED TO BE HEARD PROMPTLY.

12 (C) THE DEFENDANT SHALL BE GIVEN AN OPPORTUNITY TO REPLY. THE DEFEND-  
13 ANT SHALL BE GRANTED ANY REASONABLE REQUEST FOR A DELAY. EITHER PARTY  
14 MAY REQUEST A HEARING ON THE FACTS ALLEGED IN THE MOTION TO PREVENT  
15 REMOVAL OF THE ACTION. THE HEARING SHALL BE HELD EXPEDITIOUSLY.

16 (D) THE COURT SHALL DENY THE MOTION TO PREVENT REMOVAL OF THE ACTION  
17 IN YOUTH PART UNLESS THE COURT MAKES A DETERMINATION UPON SUCH MOTION BY  
18 THE DISTRICT ATTORNEY THAT EXTRAORDINARY CIRCUMSTANCES EXIST THAT SHOULD  
19 PREVENT THE TRANSFER OF THE ACTION TO FAMILY COURT.

20 (E) THE COURT SHALL MAKE A DETERMINATION IN WRITING OR ON THE RECORD  
21 WITHIN FIVE DAYS OF THE CONCLUSION OF THE HEARING OR SUBMISSION BY THE  
22 DEFENSE, WHICHEVER IS LATER. SUCH DETERMINATION SHALL INCLUDE FINDINGS  
23 OF FACT AND TO THE EXTENT PRACTICABLE CONCLUSIONS OF LAW.

24 (F) FOR THE PURPOSES OF THIS SECTION, THERE SHALL BE A PRESUMPTION  
25 AGAINST CUSTODY AND CASE PLANNING SERVICES SHALL BE MADE AVAILABLE TO  
26 THE DEFENDANT.

27 (G) NOTWITHSTANDING ANY OTHER PROVISION OF LAW, SECTION 308.1 OF THE  
28 FAMILY COURT ACT SHALL APPLY TO ALL ACTIONS TRANSFERRED PURSUANT TO THIS  
29 SECTION PROVIDED, HOWEVER, SUCH CASES SHALL NOT BE CONSIDERED REMOVALS  
30 SUBJECT TO SUBDIVISION THIRTEEN OF SUCH SECTION 308.1.

31 (H) NOTHING IN THIS SUBDIVISION SHALL PRECLUDE, AND A COURT MAY ORDER,  
32 THE REMOVAL OF AN ACTION TO FAMILY COURT WHERE ALL PARTIES AGREE OR  
33 PURSUANT TO THIS CHAPTER.

34 2. (A) UPON THE ARRAIGNMENT OF A DEFENDANT CHARGED WITH A CRIME  
35 COMMITTED WHEN HE OR SHE WAS SIXTEEN OR, COMMENCING OCTOBER FIRST, TWO  
36 THOUSAND NINETEEN, SEVENTEEN YEARS OF AGE ON A CLASS A FELONY, OTHER  
37 THAN THOSE DEFINED IN ARTICLE 220 OF THE PENAL LAW, OR A VIOLENT FELONY  
38 DEFINED IN SECTION 70.02 OF THE PENAL LAW, THE COURT SHALL SCHEDULE AN  
39 APPEARANCE NO LATER THAN SIX CALENDAR DAYS FROM SUCH ARRAIGNMENT FOR THE  
40 PURPOSE OF REVIEWING THE ACCUSATORY INSTRUMENT PURSUANT TO THIS SUBDIVI-  
41 SION. THE COURT SHALL NOTIFY THE DISTRICT ATTORNEY AND DEFENDANT  
42 REGARDING THE PURPOSE OF SUCH APPEARANCE.

43 (B) UPON SUCH APPEARANCE, THE COURT SHALL REVIEW THE ACCUSATORY  
44 INSTRUMENT AND ANY OTHER RELEVANT FACTS FOR THE PURPOSE OF MAKING A  
45 DETERMINATION PURSUANT TO PARAGRAPH (C) OF THIS SUBDIVISION. BOTH  
46 PARTIES MAY BE HEARD AND SUBMIT INFORMATION RELEVANT TO THE DETERMI-  
47 NATION.

48 (C) THE COURT SHALL ORDER THE ACTION TO PROCEED IN ACCORDANCE WITH  
49 SUBDIVISION ONE OF THIS SECTION UNLESS, AFTER REVIEWING THE PAPERS AND  
50 HEARING FROM THE PARTIES, THE COURT DETERMINES IN WRITING THAT THE  
51 DISTRICT ATTORNEY PROVED BY A PREPONDERANCE OF THE EVIDENCE ONE OR MORE  
52 OF THE FOLLOWING AS SET FORTH IN THE ACCUSATORY INSTRUMENT:

53 (I) THE DEFENDANT CAUSED SIGNIFICANT PHYSICAL INJURY TO A PERSON OTHER  
54 THAN A PARTICIPANT IN THE OFFENSE; OR

55 (II) THE DEFENDANT DISPLAYED A FIREARM, SHOTGUN, RIFLE OR DEADLY WEAP-  
56 ON AS DEFINED IN THE PENAL LAW IN FURTHERANCE OF SUCH OFFENSE; OR



1 (III) THE DEFENDANT UNLAWFULLY ENGAGED IN SEXUAL INTERCOURSE, ORAL  
2 SEXUAL CONDUCT, ANAL SEXUAL CONDUCT OR SEXUAL CONTACT AS DEFINED IN  
3 SECTION 130.00 OF THE PENAL LAW.

4 (D) WHERE THE COURT MAKES A DETERMINATION THAT THE ACTION SHALL NOT  
5 PROCEED IN ACCORDANCE WITH SUBDIVISION ONE OF THIS SECTION, SUCH DETER-  
6 MINATION SHALL BE MADE IN WRITING OR ON THE RECORD AND SHALL INCLUDE  
7 FINDINGS OF FACT AND TO THE EXTENT PRACTICABLE CONCLUSIONS OF LAW.

8 (E) NOTHING IN THIS SUBDIVISION SHALL PRECLUDE, AND THE COURT MAY  
9 ORDER, THE REMOVAL OF AN ACTION TO FAMILY COURT WHERE ALL PARTIES AGREE  
10 OR PURSUANT TO THIS CHAPTER.

11 3. NOTWITHSTANDING THE PROVISIONS OF ANY OTHER LAW, IF AT ANY TIME ONE  
12 OR MORE CHARGES IN THE ACCUSATORY INSTRUMENT ARE REDUCED, SUCH THAT THE  
13 ELEMENTS OF THE HIGHEST REMAINING CHARGE WOULD BE REMOVABLE PURSUANT TO  
14 SUBDIVISIONS ONE OR TWO OF THIS SECTION, THEN THE COURT, SUA SPONTE OR  
15 IN RESPONSE TO A MOTION PURSUANT TO SUBDIVISIONS ONE OR TWO OF THIS  
16 SECTION BY THE DEFENDANT, SHALL PROMPTLY NOTIFY THE PARTIES AND DIRECT  
17 THAT THE MATTER PROCEED IN ACCORDANCE WITH SUBDIVISION ONE OF THIS  
18 SECTION, PROVIDED, HOWEVER, THAT IN SUCH INSTANCE, THE DISTRICT ATTORNEY  
19 MUST FILE ANY MOTION TO PREVENT REMOVAL WITHIN THIRTY DAYS OF EFFECTING  
20 OR RECEIVING NOTICE OF SUCH REDUCTION.

21 4. A DEFENDANT MAY WAIVE REVIEW OF THE ACCUSATORY INSTRUMENT BY THE  
22 COURT AND THE OPPORTUNITY FOR REMOVAL IN ACCORDANCE WITH THIS SECTION,  
23 PROVIDED THAT SUCH WAIVER IS MADE BY THE DEFENDANT KNOWINGLY, VOLUNTAR-  
24 ILY AND IN OPEN COURT, IN THE PRESENCE OF AND WITH THE APPROVAL OF HIS  
25 OR HER COUNSEL AND THE COURT. AN EARLIER WAIVER SHALL NOT CONSTITUTE A  
26 WAIVER OF REVIEW AND THE OPPORTUNITY FOR REMOVAL UNDER THIS SECTION.

27 S 722.24 APPLICABILITY OF CHAPTER TO ACTIONS AND MATTERS INVOLVING JUVE-  
28 NILE OFFENDERS OR ADOLESCENT OFFENDERS.

29 EXCEPT WHERE INCONSISTENT WITH THIS ARTICLE, ALL PROVISIONS OF THIS  
30 CHAPTER SHALL APPLY TO ALL CRIMINAL ACTIONS AND PROCEEDINGS, AND ALL  
31 APPEALS AND POST-JUDGMENT MOTIONS RELATING OR ATTACHED THERETO, INVOLV-  
32 ING A JUVENILE OFFENDER OR ADOLESCENT OFFENDER.

33 S 2. The opening paragraph and subdivisions 2 and 3 of section 725.05  
34 of the criminal procedure law, as added by chapter 481 of the laws of  
35 1978, are amended to read as follows:

36 When a [court] YOUTH PART directs that an action or charge is to be  
37 removed to the family court the [court] YOUTH PART must issue an order  
38 of removal in accordance with this section. Such order must be as  
39 follows:

40 2. Where the direction is authorized pursuant to paragraph (b) of  
41 subdivision three of [section 180.75] SECTIONS 722.20 OR 722.21 of this  
42 [chapter] TITLE, it must specify the act or acts it found reasonable  
43 cause to believe the defendant did.

44 3. Where the direction is authorized pursuant to subdivision four of  
45 [section 180.75] SECTION 722.20 OR SECTION 722.21 of this [chapter]  
46 TITLE, it must specify the act or acts it found reasonable cause to  
47 allege.

48 S 3. Section 725.20 of the criminal procedure law, as added by chapter  
49 481 of the laws of 1978, subdivisions 1 and 2 as amended by chapter 411  
50 of the laws of 1979, is amended to read as follows:

51 S 725.20 Record of certain actions removed.

52 1. The provisions of this section shall apply in any case where an  
53 order of removal to the family court is entered pursuant to a direction  
54 authorized by [subdivision four of section 180.75 or section 210.43,]  
55 ARTICLE 722 OF THIS TITLE, or subparagraph (iii) of paragraph [(h)] (G)

1 of subdivision five of section 220.10 of this chapter, or section 330.25  
2 of this chapter.

3 2. When such an action is removed the court that directed the removal  
4 must cause the following additional records to be filed with the clerk  
5 of the county court or in the city of New York with the clerk of the  
6 supreme court of the county wherein the action was pending and with the  
7 division of criminal justice services:

8 (a) A certified copy of the order of removal;

9 (b) [Where the direction is one authorized by subdivision four of  
10 section 180.75 of this chapter, a copy of the statement of the district  
11 attorney made pursuant to paragraph (b) of subdivision six of section  
12 180.75 of this chapter;

13 (c) Where the direction is authorized by section 180.75, a copy of  
14 the portion of the minutes containing the statement by the court pursu-  
15 ant to paragraph (a) of subdivision six of such section 180.75;

16 (d) Where the direction is one authorized by subparagraph (iii) of  
17 paragraph [(h)] (G) of subdivision five of section 220.10 or section  
18 330.25 of this chapter, a copy of the minutes of the plea of guilty,  
19 including the minutes of the memorandum submitted by the district attor-  
20 ney and the court;

21 [(e) Where the direction is one authorized by subdivision one of  
22 section 210.43 of this chapter, a copy of that portion of the minutes  
23 containing the statement by the court pursuant to paragraph (a) of  
24 subdivision five of section 210.43;

25 (f) Where the direction is one authorized by paragraph (b) of subdi-  
26 vision one of section 210.43 of this chapter, a copy of that portion of  
27 the minutes containing the statement of the district attorney made  
28 pursuant to paragraph (b) of subdivision five of section 210.43;] and

29 [(g)] (C) In addition to the records specified in this subdivision,  
30 such further statement or submission of additional information pertain-  
31 ing to the proceeding in criminal court in accordance with standards  
32 established by the commissioner of the division of criminal justice  
33 services, subject to the provisions of subdivision three of this  
34 section.

35 3. It shall be the duty of said clerk to maintain a separate file for  
36 copies of orders and minutes filed pursuant to this section. Upon  
37 receipt of such orders and minutes the clerk must promptly delete such  
38 portions as would identify the defendant, but the clerk shall neverthe-  
39 less maintain a separate confidential system to enable correlation of  
40 the documents so filed with identification of the defendant. After  
41 making such deletions the orders and minutes shall be placed within the  
42 file and must be available for public inspection. Information permit-  
43 ting correlation of any such record with the identity of any defendant  
44 shall not be divulged to any person except upon order of a justice of  
45 the supreme court based upon a finding that the public interest or the  
46 interests of justice warrant disclosure in a particular cause for a  
47 particular case or for a particular purpose or use.

48 S 4. The article heading of article 100 of the criminal procedure law  
49 is amended to read as follows:

50 COMMENCEMENT OF ACTION IN LOCAL  
51 CRIMINAL COURT OR YOUTH PART OF A SUPERIOR COURT--[LOCAL  
52 CRIMINAL COURT] ACCUSATORY INSTRUMENTS

53 S 5. The first undesignated paragraph of section 100.05 of the crimi-  
54 nal procedure law is amended to read as follows:

55 A criminal action is commenced by the filing of an accusatory instru-  
56 ment with a criminal court, OR, IN THE CASE OF A JUVENILE OFFENDER OR

1 ADOLESCENT OFFENDER, OTHER THAN AN ADOLESCENT OFFENDER CHARGED WITH ONLY  
2 A VIOLATION OR TRAFFIC INFRACTION, THE YOUTH PART OF THE SUPERIOR COURT,  
3 and if more than one such instrument is filed in the course of the same  
4 criminal action, such action commences when the first of such instru-  
5 ments is filed. The only way in which a criminal action can be  
6 commenced in a superior court, OTHER THAN A CRIMINAL ACTION AGAINST A  
7 JUVENILE OFFENDER OR ADOLESCENT OFFENDER is by the filing therewith by a  
8 grand jury of an indictment against a defendant who has never been held  
9 by a local criminal court for the action of such grand jury with respect  
10 to any charge contained in such indictment. Otherwise, a criminal  
11 action can be commenced only in a local criminal court, by the filing  
12 therewith of a local criminal court accusatory instrument, namely:

13 S 6. The section heading and subdivision 5 of section 100.10 of the  
14 criminal procedure law are amended to read as follows:

15 Local criminal court AND YOUTH PART OF THE SUPERIOR COURT accusatory  
16 instruments; definitions thereof.

17 5. A "felony complaint" is a verified written accusation by a person,  
18 filed with a local criminal court, OR YOUTH PART OF THE SUPERIOR COURT,  
19 charging one or more other persons with the commission of one or more  
20 felonies. It serves as a basis for the commencement of a criminal  
21 action, but not as a basis for prosecution thereof.

22 S 7. The section heading of section 100.40 of the criminal procedure  
23 law is amended to read as follows:

24 Local criminal court AND YOUTH PART OF THE SUPERIOR COURT accusatory  
25 instruments; sufficiency on face.

26 S 8. The criminal procedure law is amended by adding a new section  
27 100.60 to read as follows:

28 S 100.60 YOUTH PART OF THE SUPERIOR COURT ACCUSATORY INSTRUMENTS; IN  
29 WHAT COURTS FILED.

30 ANY YOUTH PART OF THE SUPERIOR COURT ACCUSATORY INSTRUMENT MAY BE  
31 FILED WITH THE YOUTH PART OF THE SUPERIOR COURT OF A PARTICULAR COUNTY  
32 WHEN AN OFFENSE CHARGED THEREIN WAS ALLEGEDLY COMMITTED IN SUCH COUNTY  
33 OR THAT PART THEREOF OVER WHICH SUCH COURT HAS JURISDICTION.

34 S 9. The article heading of article 110 of the criminal procedure law  
35 is amended to read as follows:

36 REQUIRING DEFENDANT'S APPEARANCE  
37 IN LOCAL CRIMINAL COURT OR YOUTH PART OF SUPERIOR COURT  
38 FOR ARRAIGNMENT

39 S 10. Section 110.10 of the criminal procedure law is amended to read  
40 as follows:

41 S 110.10 Methods of requiring defendant's appearance in local criminal  
42 court OR YOUTH PART OF THE SUPERIOR COURT for arraignment;  
43 in general.

44 1. After a criminal action has been commenced in a local criminal  
45 court OR YOUTH PART OF THE SUPERIOR COURT by the filing of an accusatory  
46 instrument therewith, a defendant who has not been arraigned in the  
47 action and has not come under the control of the court may under certain  
48 circumstances be compelled or required to appear for arraignment upon  
49 such accusatory instrument by:

50 (a) The issuance and execution of a warrant of arrest, as provided in  
51 article one hundred twenty; or

52 (b) The issuance and service upon him of a summons, as provided in  
53 article one hundred thirty; or

54 (c) Procedures provided in articles five hundred sixty, five hundred  
55 seventy, five hundred eighty, five hundred ninety and six hundred for

1 securing attendance of defendants in criminal actions who are not at  
2 liberty within the state.

3 2. Although no criminal action against a person has been commenced in  
4 any court, he may under certain circumstances be compelled or required  
5 to appear in a local criminal court OR YOUTH PART OF A SUPERIOR COURT  
6 for arraignment upon an accusatory instrument to be filed therewith at  
7 or before the time of his appearance by:

8 (a) An arrest made without a warrant, as provided in article one  
9 hundred forty; or

10 (b) The issuance and service upon him of an appearance ticket, as  
11 provided in article one hundred fifty.

12 S 11. Section 110.20 of the criminal procedure law, as amended by  
13 chapter 843 of the laws of 1980, is amended to read as follows:

14 S 110.20 Local criminal court OR YOUTH PART OF THE SUPERIOR COURT accu-  
15 satory instruments; notice thereof to district attorney.

16 When a criminal action in which a crime is charged is commenced in a  
17 local criminal court, OR YOUTH PART OF THE SUPERIOR COURT other than the  
18 criminal court of the city of New York, a copy of the accusatory instru-  
19 ment shall be promptly transmitted to the appropriate district attorney  
20 upon or prior to the arraignment of the defendant on the accusatory  
21 instrument. If a police officer or a peace officer is the complainant  
22 or the filer of a simplified information, or has arrested the defendant  
23 or brought him before the local criminal court OR YOUTH PART OF THE  
24 SUPERIOR COURT on behalf of an arresting person pursuant to subdivision  
25 one of section 140.20, such officer or his agency shall transmit the  
26 copy of the accusatory instrument to the appropriate district attorney.  
27 In all other cases, the clerk of the court in which the defendant is  
28 arraigned shall so transmit it.

29 S 12. The opening paragraph of subdivision 1 of section 120.20 of the  
30 criminal procedure law, as amended by chapter 506 of the laws of 2000,  
31 is amended to read as follows:

32 When a criminal action has been commenced in a local criminal court OR  
33 YOUTH PART OF THE SUPERIOR COURT by the filing therewith of an accusato-  
34 ry instrument, other than a simplified traffic information, against a  
35 defendant who has not been arraigned upon such accusatory instrument and  
36 has not come under the control of the court with respect thereto:

37 S 13. Section 120.30 of the criminal procedure law is amended to read  
38 as follows:

39 S 120.30 Warrant of arrest; by what courts issuable and in what courts  
40 returnable.

41 1. A warrant of arrest may be issued only by the local criminal court  
42 OR YOUTH PART OF THE SUPERIOR COURT with which the underlying accusatory  
43 instrument has been filed, and it may be made returnable in such issuing  
44 court only.

45 2. The particular local criminal court or courts OR YOUTH PART OF THE  
46 SUPERIOR COURT with which any particular local criminal court OR YOUTH  
47 PART OF THE SUPERIOR COURT accusatory instrument may be filed for the  
48 purpose of obtaining a warrant of arrest are determined, generally, by  
49 the provisions of section 100.55 OR 100.60 OF THIS TITLE. If, however, a  
50 particular accusatory instrument may pursuant to said section 100.55 be  
51 filed with a particular town court and such town court is not available  
52 at the time such instrument is sought to be filed and a warrant  
53 obtained, such accusatory instrument may be filed with the town court of  
54 any adjoining town of the same county. If such instrument may be filed  
55 pursuant to said section 100.55 with a particular village court and such  
56 village court is not available at the time, it may be filed with the

1 town court of the town embracing such village, or if such town court is  
2 not available either, with the town court of any adjoining town of the  
3 same county.

4 S 14. Section 120.55 of the criminal procedure law, as amended by  
5 section 71 of subpart B of part C of chapter 62 of the laws of 2011, is  
6 amended to read as follows:

7 S 120.55 Warrant of arrest; defendant under parole or probation super-  
8 vision.

9 If the defendant named within a warrant of arrest issued by a local  
10 criminal court OR YOUTH PART OF THE SUPERIOR COURT pursuant to the  
11 provisions of this article, or by a superior court issued pursuant to  
12 subdivision three of section 210.10 of this chapter, is under the super-  
13 vision of the state department of corrections and community supervision  
14 or a local or state probation department, then a warrant for his or her  
15 arrest may be executed by a parole officer or probation officer, when  
16 authorized by his or her probation director, within his or her geograph-  
17 ical area of employment. The execution of the warrant by a parole offi-  
18 cer or probation officer shall be upon the same conditions and conducted  
19 in the same manner as provided for execution of a warrant by a police  
20 officer.

21 S 15. Subdivision 1 of section 120.70 of the criminal procedure law is  
22 amended to read as follows:

23 1. A warrant of arrest issued by a district court, by the New York  
24 City criminal court, THE YOUTH PART OF A SUPERIOR COURT or by a superior  
25 court judge sitting as a local criminal court may be executed anywhere  
26 in the state.

27 S 16. Subdivisions 1, 6 and 7 of section 120.90 of the criminal proce-  
28 dure law, subdivision 1 as amended by chapter 492 of the laws of 2016,  
29 subdivisions 6 and 7 as amended by chapter 424 of the laws of 1998, are  
30 amended and a new subdivision 5-a is added to read as follows:

31 1. Upon arresting a defendant for any offense pursuant to a warrant of  
32 arrest in the county in which the warrant is returnable or in any  
33 adjoining county, or upon so arresting him or her for a felony in any  
34 other county, a police officer, if he or she be one to whom the warrant  
35 is addressed, must without unnecessary delay bring the defendant before  
36 the local criminal court OR YOUTH PART OF THE SUPERIOR COURT in which  
37 such warrant is returnable, provided that, where a local criminal court  
38 OR YOUTH PART OF THE SUPERIOR COURT in the county in which the warrant  
39 is returnable hereunder is operating an off-hours arraignment part  
40 designated in accordance with paragraph (w) of subdivision one of  
41 section two hundred twelve of the judiciary law at the time of defend-  
42 ant's return, such police officer may bring the defendant before such  
43 local criminal court OR YOUTH PART OF THE SUPERIOR COURT.

44 5-A. WHENEVER A POLICE OFFICER IS REQUIRED, PURSUANT TO THIS SECTION,  
45 TO BRING AN ARRESTED DEFENDANT BEFORE A YOUTH PART OF A SUPERIOR COURT  
46 IN WHICH A WARRANT OF ARREST IS RETURNABLE, AND IF SUCH COURT IS NOT IN  
47 SESSION, SUCH OFFICER MUST BRING SUCH DEFENDANT BEFORE THE MOST ACCESSI-  
48 BLE MAGISTRATE DESIGNATED BY THE APPELLATE DIVISION OF THE SUPREME COURT  
49 IN THE APPLICABLE DEPARTMENT TO ACT AS A YOUTH PART.

50 6. Before bringing a defendant arrested pursuant to a warrant before  
51 the local criminal court OR YOUTH PART OF A SUPERIOR COURT in which such  
52 warrant is returnable, a police officer must without unnecessary delay  
53 perform all fingerprinting and other preliminary police duties required  
54 in the particular case. In any case in which the defendant is not  
55 brought by a police officer before such court but, following his arrest  
56 in another county for an offense specified in subdivision one of section

1 160.10, is released by a local criminal court of such other county on  
2 his own recognizance or on bail for his appearance on a specified date  
3 before the local criminal court before which the warrant is returnable,  
4 the latter court must, upon arraignment of the defendant before it,  
5 direct that he be fingerprinted by the appropriate officer or agency,  
6 and that he appear at an appropriate designated time and place for such  
7 purpose.

8 7. Upon arresting a juvenile offender OR ADOLESCENT OFFENDER, the  
9 police officer shall immediately notify the parent or other person  
10 legally responsible for his care or the person with whom he is domi-  
11 ciled, that the juvenile offender OR ADOLESCENT OFFENDER has been  
12 arrested, and the location of the facility where he is being detained.

13 S 17. Subdivision 1 of section 130.10 of the criminal procedure law,  
14 as amended by chapter 446 of the laws of 1993, is amended to read as  
15 follows:

16 1. A summons is a process issued by a local criminal court directing a  
17 defendant designated in an information, a prosecutor's information, a  
18 felony complaint or a misdemeanor complaint filed with such court, OR A  
19 YOUTH PART OF A SUPERIOR COURT DIRECTING A DEFENDANT DESIGNATED IN A  
20 FELONY COMPLAINT, or by a superior court directing a defendant desig-  
21 nated in an indictment filed with such court, to appear before it at a  
22 designated future time in connection with such accusatory instrument.  
23 The sole function of a summons is to achieve a defendant's court appear-  
24 ance in a criminal action for the purpose of arraignment upon the accu-  
25 satory instrument by which such action was commenced.

26 S 18. Section 130.30 of the criminal procedure law, as amended by  
27 chapter 506 of the laws of 2000, is amended to read as follows:  
28 S 130.30 Summons; when issuable.

29 A local criminal court OR YOUTH PART OF THE SUPERIOR COURT may issue a  
30 summons in any case in which, pursuant to section 120.20, it is author-  
31 ized to issue a warrant of arrest based upon an information, a  
32 prosecutor's information, a felony complaint or a misdemeanor complaint.  
33 If such information, prosecutor's information, felony complaint or  
34 misdemeanor complaint is not sufficient on its face as prescribed in  
35 section 100.40, and if the court is satisfied that on the basis of the  
36 available facts or evidence it would be impossible to draw and file an  
37 authorized accusatory instrument that is sufficient on its face, the  
38 court must dismiss the accusatory instrument. A superior court may issue  
39 a summons in any case in which, pursuant to section 210.10, it is  
40 authorized to issue a warrant of arrest based upon an indictment.

41 S 19. Section 140.20 of the criminal procedure law is amended by  
42 adding a new subdivision 8 to read as follows:

43 8. IF THE ARREST IS FOR A JUVENILE OFFENDER OR ADOLESCENT OFFENDER  
44 OTHER THAN AN ARREST FOR A VIOLATION OR A TRAFFIC INFRACTION, SUCH  
45 OFFENDER SHALL BE BROUGHT BEFORE THE YOUTH PART OF THE SUPERIOR COURT.  
46 IF THE YOUTH PART IS NOT IN SESSION, SUCH OFFENDER SHALL BE BROUGHT  
47 BEFORE THE MOST ACCESSIBLE MAGISTRATE DESIGNATED BY THE APPELLATE DIVI-  
48 SION OF THE SUPREME COURT IN THE APPLICABLE DEPARTMENT TO ACT AS A YOUTH  
49 PART.

50 S 20. Subdivision 6 of section 140.20 of the criminal procedure law,  
51 as added by chapter 411 of the laws of 1979, is amended to read as  
52 follows:

53 6. Upon arresting a juvenile offender OR A PERSON SIXTEEN OR COMMENC-  
54 ING OCTOBER FIRST, TWO THOUSAND NINETEEN, SEVENTEEN YEARS OF AGE without  
55 a warrant, the police officer shall immediately notify the parent or  
56 other person legally responsible for his OR HER care or the person with

1 whom he OR SHE is domiciled, that [the juvenile] SUCH offender OR PERSON  
2 has been arrested, and the location of the facility where he OR SHE is  
3 being detained. IF THE OFFICER DETERMINES THAT IT IS NECESSARY TO QUES-  
4 TION A JUVENILE OFFENDER OR SUCH PERSON, THE OFFICER MUST TAKE HIM OR  
5 HER TO A FACILITY DESIGNATED BY THE CHIEF ADMINISTRATOR OF THE COURTS AS  
6 A SUITABLE PLACE FOR THE QUESTIONING OF CHILDREN OR, UPON THE CONSENT OF  
7 A PARENT OR OTHER PERSON LEGALLY RESPONSIBLE FOR THE CARE OF THE JUVE-  
8 NILE OR SUCH PERSON, TO HIS OR HER RESIDENCE AND THERE QUESTION HIM OR  
9 HER FOR A REASONABLE PERIOD OF TIME. A JUVENILE OR SUCH PERSON SHALL NOT  
10 BE QUESTIONED PURSUANT TO THIS SECTION UNLESS HE OR SHE AND A PERSON  
11 REQUIRED TO BE NOTIFIED PURSUANT TO THIS SUBDIVISION, IF PRESENT, HAVE  
12 BEEN ADVISED:

13 (A) OF THE JUVENILE OFFENDER'S OR SUCH PERSON'S RIGHT TO REMAIN  
14 SILENT;

15 (B) THAT THE STATEMENTS MADE BY HIM OR HER MAY BE USED IN A COURT OF  
16 LAW;

17 (C) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PRESENT AT SUCH QUESTION-  
18 ING; AND

19 (D) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PROVIDED FOR HIM OR HER  
20 WITHOUT CHARGE IF HE OR SHE IS UNABLE TO AFFORD COUNSEL.

21 IN DETERMINING THE SUITABILITY OF QUESTIONING AND DETERMINING THE  
22 REASONABLE PERIOD OF TIME FOR QUESTIONING SUCH A JUVENILE OFFENDER OR  
23 PERSON, HIS OR HER AGE, THE PRESENCE OR ABSENCE OF HIS OR HER PARENTS OR  
24 OTHER PERSONS LEGALLY RESPONSIBLE FOR HIS OR HER CARE AND NOTIFICATION  
25 PURSUANT TO THIS SUBDIVISION SHALL BE INCLUDED AMONG RELEVANT CONSIDER-  
26 ATIONS.

27 S 21. Subdivision 2 of section 140.27 of the criminal procedure law,  
28 as amended by chapter 843 of the laws of 1980, is amended to read as  
29 follows:

30 2. Upon arresting a person without a warrant, a peace officer, except  
31 as otherwise provided in subdivision three OR THREE-A, must without  
32 unnecessary delay bring him or cause him to be brought before a local  
33 criminal court, as provided in section 100.55 and subdivision one of  
34 section 140.20, and must without unnecessary delay file or cause to be  
35 filed therewith an appropriate accusatory instrument. If the offense  
36 which is the subject of the arrest is one of those specified in subdivi-  
37 sion one of section 160.10, the arrested person must be fingerprinted  
38 and photographed as therein provided. In order to execute the required  
39 post-arrest functions, such arresting peace officer may perform such  
40 functions himself or he may enlist the aid of a police officer for the  
41 performance thereof in the manner provided in subdivision one of section  
42 140.20.

43 S 22. Section 140.27 of the criminal procedure law is amended by  
44 adding a new subdivision 3-a to read as follows:

45 3-A. IF THE ARREST IS FOR A JUVENILE OFFENDER OR ADOLESCENT OFFENDER  
46 OTHER THAN AN ARREST FOR VIOLATIONS OR TRAFFIC INFRACTIONS, SUCH OFFEN-  
47 DER SHALL BE BROUGHT BEFORE THE YOUTH PART OF THE SUPERIOR COURT. IF THE  
48 YOUTH PART IS NOT IN SESSION, SUCH OFFENDER SHALL BE BROUGHT BEFORE THE  
49 MOST ACCESSIBLE MAGISTRATE DESIGNATED BY THE APPELLATE DIVISION OF THE  
50 SUPREME COURT IN THE APPLICABLE DEPARTMENT TO ACT AS A YOUTH PART.

51 S 23. Subdivision 5 of section 140.27 of the criminal procedure law,  
52 as added by chapter 411 of the laws of 1979, is amended to read as  
53 follows:

54 5. Upon arresting a juvenile offender OR A PERSON SIXTEEN OR COMMENC-  
55 ING OCTOBER FIRST, TWO THOUSAND NINETEEN, SEVENTEEN YEARS OF AGE without  
56 a warrant, the peace officer shall immediately notify the parent or

1 other person legally responsible for his OR HER care or the person with  
2 whom he OR SHE is domiciled, that [the juvenile] SUCH offender OR PERSON  
3 has been arrested, and the location of the facility where he OR SHE is  
4 being detained. IF THE OFFICER DETERMINES THAT IT IS NECESSARY TO QUES-  
5 TION A JUVENILE OFFENDER OR SUCH PERSON, THE OFFICER MUST TAKE HIM OR  
6 HER TO A FACILITY DESIGNATED BY THE CHIEF ADMINISTRATOR OF THE COURTS AS  
7 A SUITABLE PLACE FOR THE QUESTIONING OF CHILDREN OR, UPON THE CONSENT OF  
8 A PARENT OR OTHER PERSON LEGALLY RESPONSIBLE FOR THE CARE OF A JUVENILE  
9 OFFENDER OR SUCH PERSON, TO HIS OR HER RESIDENCE AND THERE QUESTION HIM  
10 OR HER FOR A REASONABLE PERIOD OF TIME. A JUVENILE OFFENDER OR SUCH  
11 PERSON SHALL NOT BE QUESTIONED PURSUANT TO THIS SECTION UNLESS THE JUVE-  
12 NILE OFFENDER OR SUCH PERSON AND A PERSON REQUIRED TO BE NOTIFIED PURSU-  
13 ANT TO THIS SUBDIVISION, IF PRESENT, HAVE BEEN ADVISED:

14 (A) OF HIS OR HER RIGHT TO REMAIN SILENT;

15 (B) THAT THE STATEMENTS MADE BY THE JUVENILE OFFENDER OR SUCH PERSON  
16 MAY BE USED IN A COURT OF LAW;

17 (C) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PRESENT AT SUCH QUESTION-  
18 ING; AND

19 (D) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PROVIDED FOR HIM OR HER  
20 WITHOUT CHARGE IF HE OR SHE IS UNABLE TO AFFORD COUNSEL.

21 IN DETERMINING THE SUITABILITY OF QUESTIONING AND DETERMINING THE  
22 REASONABLE PERIOD OF TIME FOR QUESTIONING SUCH A JUVENILE OFFENDER OR  
23 SUCH PERSON, HIS OR HER AGE, THE PRESENCE OR ABSENCE OF HIS OR HER  
24 PARENTS OR OTHER PERSONS LEGALLY RESPONSIBLE FOR HIS OR HER CARE AND  
25 NOTIFICATION PURSUANT TO THIS SUBDIVISION SHALL BE INCLUDED AMONG RELE-  
26 VANT CONSIDERATIONS.

27 S 24. Subdivision 5 of section 140.40 of the criminal procedure law,  
28 as added by chapter 411 of the laws of 1979, is amended to read as  
29 follows:

30 5. If a police officer takes an arrested juvenile offender OR A  
31 PERSON SIXTEEN OR COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN,  
32 SEVENTEEN YEARS OF AGE into custody, the police officer shall immedi-  
33 ately notify the parent or other person legally responsible for his OR HER  
34 care or the person with whom he OR SHE is domiciled, that [the juvenile]  
35 SUCH offender OR PERSON has been arrested, and the location of the  
36 facility where he OR SHE is being detained. IF THE OFFICER DETERMINES  
37 THAT IT IS NECESSARY TO QUESTION A JUVENILE OFFENDER OR SUCH PERSON THE  
38 OFFICER MUST TAKE HIM OR HER TO A FACILITY DESIGNATED BY THE CHIEF  
39 ADMINISTRATOR OF THE COURTS AS A SUITABLE PLACE FOR THE QUESTIONING OF  
40 CHILDREN OR, UPON THE CONSENT OF A PARENT OR OTHER PERSON LEGALLY  
41 RESPONSIBLE FOR THE CARE OF THE JUVENILE OFFENDER OR SUCH PERSON, TO HIS  
42 OR HER RESIDENCE AND THERE QUESTION HIM OR HER FOR A REASONABLE PERIOD  
43 OF TIME. A JUVENILE OFFENDER OR SUCH PERSON SHALL NOT BE QUESTIONED  
44 PURSUANT TO THIS SECTION UNLESS HE OR SHE AND A PERSON REQUIRED TO BE  
45 NOTIFIED PURSUANT TO THIS SUBDIVISION, IF PRESENT, HAVE BEEN ADVISED:

46 (A) OF HIS OR HER RIGHT TO REMAIN SILENT;

47 (B) THAT THE STATEMENTS MADE BY THE JUVENILE OFFENDER OR SUCH PERSON  
48 MAY BE USED IN A COURT OF LAW;

49 (C) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PRESENT AT SUCH QUESTION-  
50 ING; AND

51 (D) OF HIS OR HER RIGHT TO HAVE AN ATTORNEY PROVIDED FOR HIM OR HER  
52 WITHOUT CHARGE IF HE OR SHE IS UNABLE TO AFFORD COUNSEL.

53 IN DETERMINING THE SUITABILITY OF QUESTIONING AND DETERMINING THE  
54 REASONABLE PERIOD OF TIME FOR QUESTIONING SUCH A JUVENILE OFFENDER OR  
55 SUCH PERSON, HIS OR HER AGE, THE PRESENCE OR ABSENCE OF HIS OR HER  
56 PARENTS OR OTHER PERSONS LEGALLY RESPONSIBLE FOR HIS OR HER CARE AND



1 NOTIFICATION PURSUANT TO THIS SUBDIVISION SHALL BE INCLUDED AMONG RELE-  
2 VANT CONSIDERATIONS.

3 S 25. Subdivisions 2, 3, 4, 5 and 6 of section 180.75 of the criminal  
4 procedure law are REPEALED.

5 S 26. Subdivision 1 of section 180.75 of the criminal procedure law,  
6 as added by chapter 481 of the laws of 1978, is amended to read as  
7 follows:

8 1. When a juvenile offender OR ADOLESCENT OFFENDER is arraigned before  
9 [a local criminal court] THE YOUTH PART OF A SUPERIOR COURT OR THE MOST  
10 ACCESSIBLE MAGISTRATE DESIGNATED BY THE APPELLATE DIVISION OF THE  
11 SUPREME COURT IN THE APPLICABLE DEPARTMENT TO ACT AS A YOUTH PART, the  
12 provisions of [this section] ARTICLE SEVEN HUNDRED TWENTY-TWO OF THIS  
13 CHAPTER shall apply in lieu of the provisions of sections 180.30, 180.50  
14 and 180.70 of this article.

15 S 27. The opening paragraph of section 180.80 of the criminal proce-  
16 dure law, as amended by chapter 556 of the laws of 1982, is amended to  
17 read as follows:

18 Upon application of a defendant against whom a felony complaint has  
19 been filed with a local criminal court OR THE YOUTH PART OF A SUPERIOR  
20 COURT, and who, since the time of his arrest or subsequent thereto, has  
21 been held in custody pending disposition of such felony complaint, and  
22 who has been confined in such custody for a period of more than one  
23 hundred twenty hours or, in the event that a Saturday, Sunday or legal  
24 holiday occurs during such custody, one hundred forty-four hours, with-  
25 out either a disposition of the felony complaint or commencement of a  
26 hearing thereon, the [local criminal] court must release him on his own  
27 recognizance unless:

28 S 27-a. Section 190.80 of the criminal procedure law, the opening  
29 paragraph as amended by chapter 411 of the laws of 1979, is amended to  
30 read as follows:

31 S 190.80 Grand jury; release of defendant upon failure of timely grand  
32 jury action.

33 Upon application of a defendant who on the basis of a felony complaint  
34 has been held by a local criminal court for the action of a grand jury,  
35 and who, at the time of such order or subsequent thereto, has been  
36 committed to the custody of the sheriff pending such grand jury action,  
37 and who has been confined in such custody for a period of more than  
38 forty-five days, or, in the case of a juvenile offender OR ADOLESCENT  
39 OFFENDER, thirty days, without the occurrence of any grand jury action  
40 or disposition pursuant to subdivision one, two or three of section  
41 190.60, the superior court by which such grand jury was or is to be  
42 impaneled must release him on his own recognizance unless:

43 (a) The lack of a grand jury disposition during such period of  
44 confinement was due to the defendant's request, action or condition, or  
45 occurred with his consent; or

46 (b) The people have shown good cause why such order of release should  
47 not be issued. Such good cause must consist of some compelling fact or  
48 circumstance which precluded grand jury action within the prescribed  
49 period or rendered the same against the interest of justice.

50 S 28. Subdivision (b) of section 190.71 of the criminal procedure law,  
51 as added by chapter 481 of the laws of 1978, is amended to read as  
52 follows:

53 (b) A grand jury may vote to file a request to remove a charge to the  
54 family court if it finds that a person [thirteen, fourteen or fifteen]  
55 SIXTEEN, OR COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, SEVENTEEN  
56 years of age OR YOUNGER did an act which, if done by a person over the

1 age of sixteen, OR COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN,  
2 SEVENTEEN, would constitute a crime provided (1) such act is one for  
3 which it may not indict; (2) it does not indict such person for a crime;  
4 and (3) the evidence before it is legally sufficient to establish that  
5 such person did such act and competent and admissible evidence before it  
6 provides reasonable cause to believe that such person did such act.

7 S 29. Subdivision 6 of section 200.20 of the criminal procedure law,  
8 as added by chapter 136 of the laws of 1980, is amended to read as  
9 follows:

10 6. Where an indictment charges at least one offense against a defend-  
11 ant who was under the age of [sixteen] SEVENTEEN, OR COMMENCING OCTOBER  
12 FIRST, TWO THOUSAND NINETEEN, EIGHTEEN at the time of the commission of  
13 the crime and who did not lack criminal responsibility for such crime by  
14 reason of infancy, the indictment may, in addition, charge in separate  
15 counts one or more other offenses for which such person would not have  
16 been criminally responsible by reason of infancy, if:

17 (a) the offense for which the defendant is criminally responsible and  
18 the one or more other offenses for which he OR SHE would not have been  
19 criminally responsible by reason of infancy are based upon the same act  
20 or upon the same criminal transaction, as that term is defined in subdi-  
21 vision two of section 40.10 of this chapter; or

22 (b) the offenses are of such nature that either proof of the first  
23 offense would be material and admissible as evidence in chief upon a  
24 trial of the second, or proof of the second would be material and admis-  
25 sible as evidence in chief upon a trial of the first.

26 S 29-a. Subdivision 7 of section 210.30 of the criminal procedure law,  
27 as added by chapter 136 of the laws of 1980, is amended to read as  
28 follows:

29 7. Notwithstanding any other provision of law, where the indictment is  
30 filed against a juvenile offender OR ADOLESCENT OFFENDER, the court  
31 shall dismiss the indictment or count thereof where the evidence before  
32 the grand jury was not legally sufficient to establish the offense  
33 charged or any lesser included offense for which the defendant is crimi-  
34 nally responsible. Upon such dismissal, unless the court shall authorize  
35 the people to resubmit the charge to a subsequent grand jury, and upon a  
36 finding that there was sufficient evidence to believe defendant is a  
37 juvenile delinquent as defined in subdivision (a) of section seven  
38 hundred twelve of the family court act and upon specifying the act or  
39 acts it found sufficient evidence to believe defendant committed, the  
40 court may direct that such matter be removed to family court in accord-  
41 ance with the provisions of article seven hundred twenty-five of this  
42 chapter.

43 S 30. Section 210.43 of the criminal procedure law is REPEALED.

44 S 31. Intentionally omitted.

45 S 31-a. Paragraph (a) of subdivision 1 of section 255.10 of the crimi-  
46 nal procedure law, as amended by chapter 209 of the laws of 1990, is  
47 amended to read as follows:

48 (a) dismissing or reducing an indictment pursuant to article 210 or  
49 removing an action to the family court pursuant to [section 210.43]  
50 ARTICLE 722; or

51 S 31-b. Subdivisions 1 and 2 of section 330.25 of the criminal proce-  
52 dure law, subdivision 1 as added by chapter 481 of the laws of 1978 and  
53 subdivision 2 as amended by chapter 920 of the laws of 1982, are amended  
54 to read as follows:

55 1. Where a defendant is a juvenile offender OR AN ADOLESCENT OFFENDER  
56 who does not stand convicted of murder in the second degree, upon motion

1 and with the consent of the district attorney, the action may be removed  
2 to the family court in the interests of justice pursuant to article  
3 seven hundred twenty-five of this chapter notwithstanding the verdict.

4 2. If the district attorney consents to the motion for removal pursu-  
5 ant to this section, he shall file a subscribed memorandum with the  
6 court setting forth (1) a recommendation that the interests of justice  
7 would best be served by removal of the action to the family court; and  
8 (2) if the conviction is of an offense set forth in paragraph (b) of  
9 subdivision one of section [210.43] 722.22 of this chapter, specific  
10 factors, one or more of which reasonably support the recommendation,  
11 showing, (i) mitigating circumstances that bear directly upon the manner  
12 in which the crime was committed, or (ii) where the defendant was not  
13 the sole participant in the crime, that the defendant's participation  
14 was relatively minor although not so minor as to constitute a defense to  
15 prosecution, or (iii) where the juvenile offender has no previous adju-  
16 dications of having committed a designated felony act, as defined in  
17 subdivision eight of section 301.2 of the family court act, regardless  
18 of the age of the offender at the time of commission of the act, that  
19 the criminal act was not part of a pattern of criminal behavior and, in  
20 view of the history of the offender, is not likely to be repeated.

21 S 32. Subdivision 2 of section 410.40 of the criminal procedure law,  
22 as amended by chapter 652 of the laws of 2008, is amended to read as  
23 follows:

24 2. Warrant. (A) Where the probation officer has requested that a  
25 probation warrant be issued, the court shall, within seventy-two hours  
26 of its receipt of the request, issue or deny the warrant or take any  
27 other lawful action including issuance of a notice to appear pursuant to  
28 subdivision one of this section. If at any time during the period of a  
29 sentence of probation or of conditional discharge the court has reason-  
30 able grounds to believe that the defendant has violated a condition of  
31 the sentence, the court may issue a warrant to a police officer or to an  
32 appropriate peace officer directing him or her to take the defendant  
33 into custody and bring the defendant before the court without unneces-  
34 sary delay; provided, however, if the court in which the warrant is  
35 returnable is a superior court, and such court is not available, and the  
36 warrant is addressed to a police officer or appropriate probation offi-  
37 cer certified as a peace officer, such executing officer may UNLESS  
38 OTHERWISE SPECIFIED UNDER PARAGRAPH (B) OF THIS SUBDIVISION, bring the  
39 defendant to the local correctional facility of the county in which such  
40 court sits, to be detained there until not later than the commencement  
41 of the next session of such court occurring on the next business day; or  
42 if the court in which the warrant is returnable is a local criminal  
43 court, and such court is not available, and the warrant is addressed to  
44 a police officer or appropriate probation officer certified as a peace  
45 officer, such executing officer must without unnecessary delay bring the  
46 defendant before an alternate local criminal court, as provided in  
47 subdivision five of section 120.90 of this chapter. A court which issues  
48 such a warrant may attach thereto a summary of the basis for the  
49 warrant. In any case where a defendant arrested upon the warrant is  
50 brought before a local criminal court other than the court in which the  
51 warrant is returnable, such local criminal court shall consider such  
52 summary before issuing a securing order with respect to the defendant.

53 (B) IF THE COURT IN WHICH THE WARRANT IS RETURNABLE IS A SUPERIOR  
54 COURT, AND SUCH COURT IS NOT AVAILABLE, AND THE WARRANT IS ADDRESSED TO  
55 A POLICE OFFICER OR APPROPRIATE PROBATION OFFICER CERTIFIED AS A PEACE  
56 OFFICER, SUCH EXECUTING OFFICER SHALL, WHERE A DEFENDANT IS SIXTEEN

1 YEARS OF AGE OR YOUNGER WHO ALLEGEDLY COMMITS AN OFFENSE OR A VIOLATION  
2 OF HIS OR HER PROBATION OR CONDITIONAL DISCHARGE IMPOSED FOR AN OFFENSE  
3 ON OR AFTER OCTOBER FIRST, TWO THOUSAND EIGHTEEN, OR WHERE A DEFENDANT  
4 IS SEVENTEEN YEARS OF AGE OR YOUNGER WHO ALLEGEDLY COMMITS AN OFFENSE OR  
5 A VIOLATION OF HIS OR HER PROBATION OR CONDITIONAL DISCHARGE IMPOSED FOR  
6 AN OFFENSE ON OR AFTER OCTOBER FIRST, TWO THOUSAND NINETEEN, BRING THE  
7 DEFENDANT WITHOUT UNNECESSARY DELAY BEFORE THE YOUTH PART, PROVIDED,  
8 HOWEVER THAT IF THE YOUTH PART IS NOT IN SESSION, THE DEFENDANT SHALL BE  
9 BROUGHT BEFORE THE MOST ACCESSIBLE MAGISTRATE DESIGNATED BY THE APPEL-  
10 LATE DIVISION.

11 S 33. Intentionally omitted.

12 S 34. Intentionally omitted.

13 S 35. The criminal procedure law is amended by adding a new section  
14 410.90-a to read as follows:

15 S 410.90-A SUPERIOR COURT; YOUTH PART.

16 NOTWITHSTANDING ANY OTHER PROVISIONS OF THIS ARTICLE, ALL PROCEEDINGS  
17 RELATING TO A JUVENILE OFFENDER OR ADOLESCENT OFFENDER SHALL BE HEARD IN  
18 THE YOUTH PART OF THE SUPERIOR COURT HAVING JURISDICTION AND ANY INTRA-  
19 STATE TRANSFERS UNDER THIS ARTICLE SHALL BE BETWEEN COURTS DESIGNATED AS  
20 A YOUTH PART PURSUANT TO ARTICLE SEVEN HUNDRED TWENTY-TWO OF THIS CHAP-  
21 TER.

22 S 36. Section 510.15 of the criminal procedure law, as amended by  
23 chapter 411 of the laws of 1979, subdivision 1 as designated and subdi-  
24 vision 2 as added by chapter 359 of the laws of 1980, is amended to read  
25 as follows:

26 S 510.15 Commitment of principal under [sixteen] SEVENTEEN OR EIGHTEEN.

27 1. When a principal who is under the age of sixteen is committed to  
28 the custody of the sheriff the court must direct that the principal be  
29 taken to and lodged in a place certified by the [state division for  
30 youth] OFFICE OF CHILDREN AND FAMILY SERVICES as a juvenile detention  
31 facility for the reception of children. WHEN A PRINCIPAL WHO (A)  
32 COMMENCING OCTOBER FIRST, TWO THOUSAND EIGHTEEN, IS SIXTEEN YEARS OF  
33 AGE; OR (B) COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, IS SIXTEEN  
34 OR SEVENTEEN YEARS OF AGE, IS COMMITTED TO THE CUSTODY OF THE SHERIFF,  
35 THE COURT MUST DIRECT THAT THE PRINCIPAL BE TAKEN TO AND LODGED IN A  
36 PLACE CERTIFIED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES IN  
37 CONJUNCTION WITH THE STATE COMMISSION OF CORRECTION AS A SPECIALIZED  
38 SECURE JUVENILE DETENTION FACILITY FOR OLDER YOUTH. Where such a direc-  
39 tion is made the sheriff shall deliver the principal in accordance ther-  
40 ewith and such person shall although lodged and cared for in a juvenile  
41 detention facility continue to be deemed to be in the custody of the  
42 sheriff. No principal under the age [of sixteen] SPECIFIED to whom the  
43 provisions of this section may apply shall be detained in any prison,  
44 jail, lockup, or other place used for adults convicted of a crime or  
45 under arrest and charged with the commission of a crime without the  
46 approval of the [state division for youth] OFFICE OF CHILDREN AND FAMILY  
47 SERVICES WHICH SHALL CONSULT WITH THE COMMISSION OF CORRECTION IF THE  
48 PRINCIPAL IS SIXTEEN YEARS OF AGE OR OLDER in the case of each principal  
49 and the statement of its reasons therefor. The sheriff shall not be  
50 liable for any acts done to or by such principal resulting from negli-  
51 gence in the detention of and care for such principal, when the princi-  
52 pal is not in the actual custody of the sheriff.

53 2. Except upon consent of the defendant or for good cause shown, in  
54 any case in which a new securing order is issued for a principal previ-  
55 ously committed to the custody of the sheriff pursuant to this section,  
56 such order shall further direct the sheriff to deliver the principal

1 from a juvenile detention facility to the person or place specified in  
2 the order.

3 S 36-a. The correction law is amended by adding a new section 500-p to  
4 read as follows:

5 S 500-P. PROHIBITION ON THE CUSTODY OF YOUTH IN RIKERS ISLAND FACILI-  
6 TIES. NOTWITHSTANDING ANY OTHER PROVISION OF LAW, NO YOUTH UNDER THE  
7 AGE OF EIGHTEEN SHALL BE PLACED OR HELD IN RIKERS ISLAND CORRECTIONAL  
8 FACILITY OR ANY FACILITY LOCATED ON RIKERS ISLAND LOCATED IN THE CITY OF  
9 NEW YORK ON OR AFTER APRIL FIRST, TWO THOUSAND EIGHTEEN, TO THE EXTENT  
10 PRACTICABLE, BUT IN NO EVENT AFTER OCTOBER FIRST, TWO THOUSAND EIGHTEEN  
11 AND SUCH YOUTH SHALL BE TAKEN TO AND LODGED IN PLACES CERTIFIED BY THE  
12 OFFICE OF CHILDREN AND FAMILY SERVICES IN CONJUNCTION WITH THE COMMIS-  
13 SION OF CORRECTION AND OPERATED BY THE NEW YORK CITY ADMINISTRATION FOR  
14 CHILDREN'S SERVICES IN CONJUNCTION WITH THE NEW YORK CITY DEPARTMENT OF  
15 CORRECTIONS AS A SPECIALIZED JUVENILE DETENTION FACILITY FOR THAT  
16 PURPOSE.

17 S 37. Intentionally omitted.

18 S 38. Section 30.00 of the penal law, as amended by chapter 481 of the  
19 laws of 1978, subdivision 2 as amended by chapter 7 of the laws of 2007,  
20 is amended to read as follows:

21 S 30.00 Infancy.

22 1. Except as provided in [subdivision] SUBDIVISIONS two AND THREE of  
23 this section, a person less than [sixteen] SEVENTEEN, OR COMMENCING  
24 OCTOBER FIRST, TWO THOUSAND NINETEEN, A PERSON LESS THAN EIGHTEEN years  
25 old is not criminally responsible for conduct.

26 2. A person thirteen, fourteen or, fifteen years of age is criminally  
27 responsible for acts constituting murder in the second degree as defined  
28 in subdivisions one and two of section 125.25 and in subdivision three  
29 of such section provided that the underlying crime for the murder charge  
30 is one for which such person is criminally responsible or for such  
31 conduct as a sexually motivated felony, where authorized pursuant to  
32 section 130.91 of [the penal law] THIS CHAPTER; and a person fourteen  
33 or, fifteen years of age is criminally responsible for acts constituting  
34 the crimes defined in section 135.25 (kidnapping in the first degree);  
35 150.20 (arson in the first degree); subdivisions one and two of section  
36 120.10 (assault in the first degree); 125.20 (manslaughter in the first  
37 degree); subdivisions one and two of section 130.35 (rape in the first  
38 degree); subdivisions one and two of section 130.50 (criminal sexual act  
39 in the first degree); 130.70 (aggravated sexual abuse in the first  
40 degree); 140.30 (burglary in the first degree); subdivision one of  
41 section 140.25 (burglary in the second degree); 150.15 (arson in the  
42 second degree); 160.15 (robbery in the first degree); subdivision two of  
43 section 160.10 (robbery in the second degree) of this chapter; or  
44 section 265.03 of this chapter, where such machine gun or such firearm  
45 is possessed on school grounds, as that phrase is defined in subdivision  
46 fourteen of section 220.00 of this chapter; or defined in this chapter  
47 as an attempt to commit murder in the second degree or kidnapping in the  
48 first degree, or for such conduct as a sexually motivated felony, where  
49 authorized pursuant to section 130.91 of [the penal law] THIS CHAPTER.

50 3. A PERSON SIXTEEN OR COMMENCING OCTOBER FIRST, TWO THOUSAND NINE-  
51 TEEN, SEVENTEEN YEARS OF AGE IS CRIMINALLY RESPONSIBLE FOR ACTS CONSTI-  
52 TUTING:

53 (A) A FELONY, AS DEFINED IN SUBDIVISION FIVE OF SECTION 10.00 OF THIS  
54 CHAPTER;

55 (B) A TRAFFIC INFRACTION, AS DEFINED IN SUBDIVISION TWO OF SECTION  
56 10.00 OF THIS CHAPTER;

1 (C) A VIOLATION, AS DEFINED IN SUBDIVISION THREE OF SECTION 10.00 OF  
2 THIS CHAPTER;

3 (D) A MISDEMEANOR AS DEFINED IN SUBDIVISION FOUR OF SECTION 10.00 OF  
4 THIS CHAPTER, BUT ONLY WHEN THE CHARGE FOR SUCH MISDEMEANOR IS:

5 (I) ACCOMPANIED BY A FELONY CHARGE THAT IS SHOWN TO HAVE BEEN COMMIT-  
6 TED AS A PART OF THE SAME CRIMINAL TRANSACTION, AS DEFINED IN SUBDIVI-  
7 SION TWO OF SECTION 40.10 OF THE CRIMINAL PROCEDURE LAW;

8 (II) RESULTS FROM REDUCTION OR DISMISSAL IN SATISFACTION OF A CHARGE  
9 FOR A FELONY OFFENSE, IN ACCORDANCE WITH A PLEA OF GUILTY PURSUANT TO  
10 SUBDIVISION FOUR OF SECTION 220.10 OF THE CRIMINAL PROCEDURE LAW; OR

11 (III) A MISDEMEANOR DEFINED IN THE VEHICLE AND TRAFFIC LAW.

12 4. In any prosecution for an offense, lack of criminal responsibility  
13 by reason of infancy, as defined in this section, is a defense.

14 S 39. Intentionally omitted.

15 S 40. Intentionally omitted.

16 S 40-a. Subdivision 5 of section 70.00 of the penal law, as amended by  
17 chapter 482 of the laws of 2009, is amended to read as follows:

18 5. Life imprisonment without parole. Notwithstanding any other  
19 provision of law, a defendant sentenced to life imprisonment without  
20 parole shall not be or become eligible for parole or conditional  
21 release. For purposes of commitment and custody, other than parole and  
22 conditional release, such sentence shall be deemed to be an indetermi-  
23 nate sentence. A defendant may be sentenced to life imprisonment without  
24 parole upon conviction for the crime of murder in the first degree as  
25 defined in section 125.27 of this chapter and in accordance with the  
26 procedures provided by law for imposing a sentence for such crime. A  
27 defendant WHO WAS EIGHTEEN YEARS OF AGE OR OLDER AT THE TIME OF THE  
28 COMMISSION OF THE CRIME must be sentenced to life imprisonment without  
29 parole upon conviction for the crime of terrorism as defined in section  
30 490.25 of this chapter, where the specified offense the defendant  
31 committed is a class A-I felony; the crime of criminal possession of a  
32 chemical weapon or biological weapon in the first degree as defined in  
33 section 490.45 of this chapter; or the crime of criminal use of a chemi-  
34 cal weapon or biological weapon in the first degree as defined in  
35 section 490.55 of this chapter; provided, however, that nothing in this  
36 subdivision shall preclude or prevent a sentence of death when the  
37 defendant is also convicted of the crime of murder in the first degree  
38 as defined in section 125.27 of this chapter. A DEFENDANT WHO WAS  
39 SEVENTEEN YEARS OF AGE OR YOUNGER AT THE TIME OF THE COMMISSION OF THE  
40 CRIME MAY BE SENTENCED, IN ACCORDANCE WITH LAW, TO THE APPLICABLE INDE-  
41 TERMINATE SENTENCE WITH A MAXIMUM TERM OF LIFE IMPRISONMENT. A defendant  
42 must be sentenced to life imprisonment without parole upon conviction  
43 for the crime of murder in the second degree as defined in subdivision  
44 five of section 125.25 of this chapter or for the crime of aggravated  
45 murder as defined in subdivision one of section 125.26 of this chapter.  
46 A defendant may be sentenced to life imprisonment without parole upon  
47 conviction for the crime of aggravated murder as defined in subdivision  
48 two of section 125.26 of this chapter.

49 S 41. The penal law is amended by adding a new section 60.10-a to read  
50 as follows:

51 S 60.10-A AUTHORIZED DISPOSITION; ADOLESCENT OFFENDER.

52 WHEN AN ADOLESCENT OFFENDER IS CONVICTED OF AN OFFENSE, THE COURT  
53 SHALL SENTENCE THE DEFENDANT TO ANY SENTENCE AUTHORIZED TO BE IMPOSED ON  
54 A PERSON WHO COMMITTED SUCH OFFENSE AT AGE EIGHTEEN OR OLDER. WHEN A  
55 SENTENCE IS IMPOSED, THE COURT SHALL CONSIDER THE AGE OF THE DEFENDANT  
56 IN EXERCISING ITS DISCRETION AT SENTENCING.

1 S 42. Intentionally omitted.

2 S 43. Subdivision 2 of section 70.20 of the penal law, as amended by  
3 chapter 437 of the laws of 2013, is amended to read as follows:

4 2. [(a)] Definite sentence. Except as provided in subdivision four of  
5 this section, when a definite sentence of imprisonment is imposed, the  
6 court shall commit the defendant to the county or regional correctional  
7 institution for the term of his sentence and until released in accord-  
8 ance with the law.

9 [(b) The court in committing a defendant who is not yet eighteen years  
10 of age to the local correctional facility shall inquire as to whether  
11 the parents or legal guardian of the defendant, if present, will grant  
12 to the minor the capacity to consent to routine medical, dental and  
13 mental health services and treatment.

14 (c) Nothing in this subdivision shall preclude a parent or legal guar-  
15 dian of an inmate who is not yet eighteen years of age from making a  
16 motion on notice to the local correction facility pursuant to article  
17 twenty-two of the civil practice law and rules and section one hundred  
18 forty of the correction law, objecting to routine medical, dental or  
19 mental health services and treatment being provided to such inmate under  
20 the provisions of paragraph (b) of this subdivision.]

21 S 44. Paragraph (a) of subdivision 4 of section 70.20 of the penal  
22 law, as amended by section 124 of subpart B of part C of chapter 62 of  
23 the laws of 2011, is amended and two new paragraphs (a-1) and (a-2) are  
24 added to read as follows:

25 (a) Notwithstanding any other provision of law to the contrary, a  
26 juvenile offender, ADOLESCENT OFFENDER, or a juvenile offender OR  
27 ADOLESCENT OFFENDER who is adjudicated a youthful offender [and], WHO IS  
28 given an indeterminate, DETERMINATE or a definite sentence, AND WHO IS  
29 UNDER THE AGE OF TWENTY-ONE AT THE TIME OF SENTENCING, shall be commit-  
30 ted to the custody of the commissioner of the office of children and  
31 family services who shall arrange for the confinement of such offender  
32 in secure facilities of the office; PROVIDED, HOWEVER IF AN ADOLESCENT  
33 OFFENDER WHO COMMITTED A CRIME ON OR AFTER THE YOUTH'S SIXTEENTH BIRTH-  
34 DAY RECEIVES A DEFINITE SENTENCE NOT EXCEEDING ONE YEAR, THE JUDGE MAY  
35 ORDER THAT THE ADOLESCENT OFFENDER SERVE SUCH SENTENCE IN A SPECIALIZED  
36 SECURE JUVENILE DETENTION FACILITY FOR OLDER YOUTH CERTIFIED BY THE  
37 OFFICE OF CHILDREN AND FAMILY SERVICES IN CONJUNCTION WITH THE STATE  
38 COMMISSION OF CORRECTION AND OPERATED PURSUANT TO SECTION TWO HUNDRED  
39 EIGHTEEN-A OF THE COUNTY LAW. The release or transfer of such JUVENILE  
40 offenders OR ADOLESCENT OFFENDERS from the office of children and family  
41 services shall be governed by section five hundred eight of the execu-  
42 tive law.

43 (A-1) NOTWITHSTANDING PARAGRAPH (A) OF THIS SUBDIVISION, AN ADOLESCENT  
44 OFFENDER, OR AN ADOLESCENT OFFENDER WHO IS ADJUDICATED A YOUTHFUL OFFEN-  
45 DER, WHO IS GIVEN AN INDETERMINATE OR DETERMINATE SENTENCE SHALL BE  
46 COMMITTED TO THE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION AND  
47 IF SUCH PERSON IS UNDER EIGHTEEN YEARS OF AGE AT SENTENCING, HE OR SHE  
48 SHALL BE PLACED IN AN ADOLESCENT OFFENDER FACILITY PURSUANT TO SECTION  
49 SEVENTY-SEVEN OF THE CORRECTION LAW, OPERATED BY SUCH DEPARTMENT.

50 (A-2) NOTWITHSTANDING ANY OTHER PROVISION OF LAW TO THE CONTRARY, A  
51 PERSON SIXTEEN YEARS OF AGE WHO COMMITS A VEHICLE AND TRAFFIC LAW  
52 OFFENSE THAT DOES NOT CONSTITUTE AN ADOLESCENT OFFENDER OFFENSE ON OR  
53 AFTER OCTOBER FIRST, TWO THOUSAND EIGHTEEN AND A PERSON SEVENTEEN YEARS  
54 OF AGE WHO COMMITS SUCH AN OFFENSE ON OR AFTER OCTOBER FIRST, TWO THOU-  
55 SAND NINETEEN WHO IS SENTENCED TO A TERM OF IMPRISONMENT WHO IS UNDER  
56 THE AGE OF TWENTY-ONE AT THE TIME HE OR SHE IS SENTENCED SHALL BE

1 COMMITTED TO A SPECIALIZED SECURE DETENTION FACILITY FOR OLDER YOUTH  
2 CERTIFIED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES IN CONJUNCTION  
3 WITH THE STATE COMMISSION OF CORRECTION.

4 S 44-a. Intentionally omitted.

5 S 44-b. Intentionally omitted.

6 S 45. Intentionally omitted.

7 S 46. Intentionally omitted.

8 S 47. Intentionally omitted.

9 S 48. The criminal procedure law is amended by adding a new section  
10 160.59 to read as follows:

11 S 160.59 SEALING OF CERTAIN CONVICTIONS.

12 1. DEFINITIONS: AS USED IN THIS SECTION, THE FOLLOWING TERMS SHALL  
13 HAVE THE FOLLOWING MEANINGS:

14 (A) "ELIGIBLE OFFENSE" SHALL MEAN ANY CRIME DEFINED IN THE LAWS OF  
15 THIS STATE OTHER THAN A SEX OFFENSE DEFINED IN ARTICLE ONE HUNDRED THIR-  
16 TY OF THE PENAL LAW, AN OFFENSE DEFINED IN ARTICLE TWO HUNDRED  
17 SIXTY-THREE OF THE PENAL LAW, A FELONY OFFENSE DEFINED IN ARTICLE ONE  
18 HUNDRED TWENTY-FIVE OF THE PENAL LAW, A VIOLENT FELONY OFFENSE DEFINED  
19 IN SECTION 70.02 OF THE PENAL LAW, A CLASS A FELONY OFFENSE DEFINED IN  
20 THE PENAL LAW, A FELONY OFFENSE DEFINED IN ARTICLE ONE HUNDRED FIVE OF  
21 THE PENAL LAW WHERE THE UNDERLYING OFFENSE IS NOT AN ELIGIBLE OFFENSE,  
22 AN ATTEMPT TO COMMIT AN OFFENSE THAT IS NOT AN ELIGIBLE OFFENSE IF THE  
23 ATTEMPT IS A FELONY, OR AN OFFENSE FOR WHICH REGISTRATION AS A SEX  
24 OFFENDER IS REQUIRED PURSUANT TO ARTICLE SIX-C OF THE CORRECTION LAW.  
25 FOR THE PURPOSES OF THIS SECTION, WHERE THE DEFENDANT IS CONVICTED OF  
26 MORE THAN ONE ELIGIBLE OFFENSE, COMMITTED AS PART OF THE SAME CRIMINAL  
27 TRANSACTION AS DEFINED IN SUBDIVISION TWO OF SECTION 40.10 OF THIS CHAP-  
28 TER, THOSE OFFENSES SHALL BE CONSIDERED ONE ELIGIBLE OFFENSE.

29 (B) "SENTENCING JUDGE" SHALL MEAN THE JUDGE WHO PRONOUNCED SENTENCE  
30 UPON THE CONVICTION UNDER CONSIDERATION, OR IF THAT JUDGE IS NO LONGER  
31 SITTING IN A COURT IN THE JURISDICTION IN WHICH THE CONVICTION WAS  
32 OBTAINED, ANY OTHER JUDGE WHO IS SITTING IN THE CRIMINAL COURT WHERE THE  
33 JUDGMENT OF CONVICTION WAS ENTERED.

34 1-A. THE CHIEF ADMINISTRATOR OF THE COURTS SHALL, PURSUANT TO SECTION  
35 10.40 OF THIS CHAPTER, PRESCRIBE A FORM APPLICATION WHICH MAY BE USED BY  
36 A DEFENDANT TO APPLY FOR SEALING PURSUANT TO THIS SECTION. SUCH FORM  
37 APPLICATION SHALL INCLUDE ALL THE ESSENTIAL ELEMENTS REQUIRED BY THIS  
38 SECTION TO BE INCLUDED IN AN APPLICATION FOR SEALING. NOTHING IN THIS  
39 SUBDIVISION SHALL BE READ TO REQUIRE A DEFENDANT TO USE SUCH FORM APPLI-  
40 CATION TO APPLY FOR SEALING.

41 2. (A) A DEFENDANT WHO HAS BEEN CONVICTED OF UP TO TWO ELIGIBLE  
42 OFFENSES BUT NOT MORE THAN ONE FELONY OFFENSE MAY APPLY TO THE COURT IN  
43 WHICH HE OR SHE WAS CONVICTED OF THE MOST SERIOUS OFFENSE TO HAVE SUCH  
44 CONVICTION SEALED. IF ALL OFFENSES ARE OFFENSES WITH THE SAME CLASSI-  
45 FICATION, THE APPLICATION SHALL BE MADE TO THE COURT IN WHICH THE  
46 DEFENDANT WAS LAST CONVICTED.

47 (B) AN APPLICATION SHALL CONTAIN (I) A COPY OF A CERTIFICATE OF DISPO-  
48 SITION OR OTHER SIMILAR DOCUMENTATION FOR ANY OFFENSE FOR WHICH THE  
49 DEFENDANT HAS BEEN CONVICTED, OR AN EXPLANATION OF WHY SUCH CERTIFICATE  
50 OR OTHER DOCUMENTATION IS NOT AVAILABLE; (II) A SWORN STATEMENT OF THE  
51 DEFENDANT AS TO WHETHER HE OR SHE HAS FILED, OR THEN INTENDS TO FILE,  
52 ANY APPLICATION FOR SEALING OF ANY OTHER ELIGIBLE OFFENSE; (III) A COPY  
53 OF ANY OTHER SUCH APPLICATION THAT HAS BEEN FILED; (IV) A SWORN STATE-  
54 MENT AS TO THE CONVICTION OR CONVICTIONS FOR WHICH RELIEF IS BEING  
55 SOUGHT; AND (V) A SWORN STATEMENT OF THE REASON OR REASONS WHY THE COURT



1 SHOULD, IN ITS DISCRETION, GRANT SUCH SEALING, ALONG WITH ANY SUPPORTING  
2 DOCUMENTATION.

3 (C) A COPY OF ANY APPLICATION FOR SUCH SEALING SHALL BE SERVED UPON  
4 THE DISTRICT ATTORNEY OF THE COUNTY IN WHICH THE CONVICTION, OR, IF MORE  
5 THAN ONE, THE CONVICTIONS, WAS OR WERE OBTAINED. THE DISTRICT ATTORNEY  
6 SHALL NOTIFY THE COURT WITHIN FORTY-FIVE DAYS IF HE OR SHE OBJECTS TO  
7 THE APPLICATION FOR SEALING.

8 (D) WHEN SUCH APPLICATION IS FILED WITH THE COURT, IT SHALL BE  
9 ASSIGNED TO THE SENTENCING JUDGE UNLESS MORE THAN ONE APPLICATION IS  
10 FILED IN WHICH CASE THE APPLICATION SHALL BE ASSIGNED TO THE COUNTY  
11 COURT OR THE SUPREME COURT OF THE COUNTY IN WHICH THE CRIMINAL COURT IS  
12 LOCATED, WHO SHALL REQUEST AND RECEIVE FROM THE DIVISION OF CRIMINAL  
13 JUSTICE SERVICES A FINGERPRINT BASED CRIMINAL HISTORY RECORD OF THE  
14 DEFENDANT, INCLUDING ANY SEALED OR SUPPRESSED RECORDS. THE DIVISION OF  
15 CRIMINAL JUSTICE SERVICES ALSO SHALL INCLUDE A CRIMINAL HISTORY REPORT,  
16 IF ANY, FROM THE FEDERAL BUREAU OF INVESTIGATION REGARDING ANY CRIMINAL  
17 HISTORY INFORMATION THAT OCCURRED IN OTHER JURISDICTIONS. THE DIVISION  
18 IS HEREBY AUTHORIZED TO RECEIVE SUCH INFORMATION FROM THE FEDERAL BUREAU  
19 OF INVESTIGATION FOR THIS PURPOSE, AND TO MAKE SUCH INFORMATION AVAIL-  
20 ABLE TO THE COURT, WHICH MAY MAKE THIS INFORMATION AVAILABLE TO THE  
21 DISTRICT ATTORNEY AND THE DEFENDANT.

22 3. THE SENTENCING JUDGE, OR COUNTY OR SUPREME COURT SHALL SUMMARILY  
23 DENY THE DEFENDANT'S APPLICATION WHEN:

24 (A) THE DEFENDANT IS REQUIRED TO REGISTER AS A SEX OFFENDER PURSUANT  
25 TO ARTICLE SIX-C OF THE CORRECTION LAW; OR

26 (B) THE DEFENDANT HAS PREVIOUSLY OBTAINED SEALING OF THE MAXIMUM  
27 NUMBER OF CONVICTIONS ALLOWABLE UNDER SECTION 160.58 OF THE CRIMINAL  
28 PROCEDURE LAW; OR

29 (C) THE DEFENDANT HAS PREVIOUSLY OBTAINED SEALING OF THE MAXIMUM  
30 NUMBER OF CONVICTIONS ALLOWABLE UNDER SUBDIVISION FOUR OF THIS SECTION;  
31 OR

32 (D) THE TIME PERIOD SPECIFIED IN SUBDIVISION FIVE OF THIS SECTION HAS  
33 NOT YET BEEN SATISFIED; OR

34 (E) THE DEFENDANT HAS AN UNDISPOSED ARREST OR CHARGE PENDING; OR

35 (F) THE DEFENDANT WAS CONVICTED OF ANY CRIME AFTER THE DATE OF THE  
36 ENTRY OF JUDGEMENT OF THE LAST CONVICTION FOR WHICH SEALING IS SOUGHT;  
37 OR

38 (G) THE DEFENDANT HAS FAILED TO PROVIDE THE COURT WITH THE REQUIRED  
39 SWORN STATEMENT OF THE REASONS WHY THE COURT SHOULD GRANT THE RELIEF  
40 REQUESTED; OR

41 (H) THE DEFENDANT HAS BEEN CONVICTED OF TWO OR MORE FELONIES OR MORE  
42 THAN TWO CRIMES.

43 4. PROVIDED THAT THE APPLICATION IS NOT SUMMARILY DENIED FOR THE  
44 REASONS SET FORTH IN SUBDIVISION THREE OF THIS SECTION, A DEFENDANT WHO  
45 STANDS CONVICTED OF UP TO TWO ELIGIBLE OFFENSES, MAY OBTAIN SEALING OF  
46 NO MORE THAN TWO ELIGIBLE OFFENSES BUT NOT MORE THAN ONE FELONY OFFENSE.

47 5. ANY ELIGIBLE OFFENSE MAY BE SEALED ONLY AFTER AT LEAST TEN YEARS  
48 HAVE PASSED SINCE THE IMPOSITION OF THE SENTENCE ON THE DEFENDANT'S  
49 LATEST CONVICTION OR, IF THE DEFENDANT WAS SENTENCED TO A PERIOD OF  
50 INCARCERATION, INCLUDING A PERIOD OF INCARCERATION IMPOSED IN CONJUNC-  
51 TION WITH A SENTENCE OF PROBATION, THE DEFENDANT'S LATEST RELEASE FROM  
52 INCARCERATION. IN CALCULATING THE TEN YEAR PERIOD UNDER THIS SUBDIVI-  
53 SION, ANY PERIOD OF TIME THE DEFENDANT SPENT INCARCERATED AFTER THE  
54 CONVICTION FOR WHICH THE APPLICATION FOR SEALING IS SOUGHT, SHALL BE  
55 EXCLUDED AND SUCH TEN YEAR PERIOD SHALL BE EXTENDED BY A PERIOD OR PERI-  
56 ODS EQUAL TO THE TIME SERVED UNDER SUCH INCARCERATION.

1 6. UPON DETERMINING THAT THE APPLICATION IS NOT SUBJECT TO MANDATORY  
2 DENIAL PURSUANT TO SUBDIVISION THREE OF THIS SECTION AND THAT THE APPLI-  
3 CATION IS OPPOSED BY THE DISTRICT ATTORNEY, THE SENTENCING JUDGE OR  
4 COUNTY OR SUPREME COURT SHALL CONDUCT A HEARING ON THE APPLICATION IN  
5 ORDER TO CONSIDER ANY EVIDENCE OFFERED BY EITHER PARTY THAT WOULD AID  
6 THE SENTENCING JUDGE IN HIS OR HER DECISION WHETHER TO SEAL THE RECORDS  
7 OF THE DEFENDANT'S CONVICTIONS. NO HEARING IS REQUIRED IF THE DISTRICT  
8 ATTORNEY DOES NOT OPPOSE THE APPLICATION.

9 7. IN CONSIDERING ANY SUCH APPLICATION, THE SENTENCING JUDGE OR COUNTY  
10 OR SUPREME COURT SHALL CONSIDER ANY RELEVANT FACTORS, INCLUDING BUT NOT  
11 LIMITED TO:

12 (A) THE AMOUNT OF TIME THAT HAS ELAPSED SINCE THE DEFENDANT'S LAST  
13 CONVICTION;

14 (B) THE CIRCUMSTANCES AND SERIOUSNESS OF THE OFFENSE FOR WHICH THE  
15 DEFENDANT IS SEEKING RELIEF, INCLUDING WHETHER THE ARREST CHARGE WAS NOT  
16 AN ELIGIBLE OFFENSE;

17 (C) THE CIRCUMSTANCES AND SERIOUSNESS OF ANY OTHER OFFENSES FOR WHICH  
18 THE DEFENDANT STANDS CONVICTED;

19 (D) THE CHARACTER OF THE DEFENDANT, INCLUDING ANY MEASURES THAT THE  
20 DEFENDANT HAS TAKEN TOWARD REHABILITATION, SUCH AS PARTICIPATING IN  
21 TREATMENT PROGRAMS, WORK, OR SCHOOLING, AND PARTICIPATING IN COMMUNITY  
22 SERVICE OR OTHER VOLUNTEER PROGRAMS;

23 (E) ANY STATEMENTS MADE BY THE VICTIM OF THE OFFENSE FOR WHICH THE  
24 DEFENDANT IS SEEKING RELIEF;

25 (F) THE IMPACT OF SEALING THE DEFENDANT'S RECORD UPON HIS OR HER REHA-  
26 BILITATION AND UPON HIS OR HER SUCCESSFUL AND PRODUCTIVE REENTRY AND  
27 REINTEGRATION INTO SOCIETY; AND

28 (G) THE IMPACT OF SEALING THE DEFENDANT'S RECORD ON PUBLIC SAFETY AND  
29 UPON THE PUBLIC'S CONFIDENCE IN AND RESPECT FOR THE LAW.

30 8. WHEN A SENTENCING JUDGE OR COUNTY OR SUPREME COURT ORDERS SEALING  
31 PURSUANT TO THIS SECTION, ALL OFFICIAL RECORDS AND PAPERS RELATING TO  
32 THE ARRESTS, PROSECUTIONS, AND CONVICTIONS, INCLUDING ALL DUPLICATES AND  
33 COPIES THEREOF, ON FILE WITH THE DIVISION OF CRIMINAL JUSTICE SERVICES  
34 OR ANY COURT SHALL BE SEALED AND NOT MADE AVAILABLE TO ANY PERSON OR  
35 PUBLIC OR PRIVATE AGENCY EXCEPT AS PROVIDED FOR IN SUBDIVISION NINE OF  
36 THIS SECTION; PROVIDED, HOWEVER, THE DIVISION SHALL RETAIN ANY FINGER-  
37 PRINTS, PALMPRINTS AND PHOTOGRAPHS, OR DIGITAL IMAGES OF THE SAME. THE  
38 CLERK OF SUCH COURT SHALL IMMEDIATELY NOTIFY THE COMMISSIONER OF THE  
39 DIVISION OF CRIMINAL JUSTICE SERVICES REGARDING THE RECORDS THAT SHALL  
40 BE SEALED PURSUANT TO THIS SECTION. THE CLERK ALSO SHALL NOTIFY ANY  
41 COURT IN WHICH THE DEFENDANT HAS STATED, PURSUANT TO PARAGRAPH (B) OF  
42 SUBDIVISION TWO OF THIS SECTION, THAT HE OR SHE HAS FILED OR INTENDS TO  
43 FILE AN APPLICATION FOR SEALING OF ANY OTHER ELIGIBLE OFFENSE.

44 9. RECORDS SEALED PURSUANT TO THIS SECTION SHALL BE MADE AVAILABLE TO:

45 (A) THE DEFENDANT OR THE DEFENDANT'S DESIGNATED AGENT;

46 (B) QUALIFIED AGENCIES, AS DEFINED IN SUBDIVISION NINE OF SECTION  
47 EIGHT HUNDRED THIRTY-FIVE OF THE EXECUTIVE LAW, AND FEDERAL AND STATE  
48 LAW ENFORCEMENT AGENCIES, WHEN ACTING WITHIN THE SCOPE OF THEIR LAW  
49 ENFORCEMENT DUTIES; OR

50 (C) ANY STATE OR LOCAL OFFICER OR AGENCY WITH RESPONSIBILITY FOR THE  
51 ISSUANCE OF LICENSES TO POSSESS GUNS, WHEN THE PERSON HAS MADE APPLICA-  
52 TION FOR SUCH A LICENSE; OR

53 (D) ANY PROSPECTIVE EMPLOYER OF A POLICE OFFICER OR PEACE OFFICER AS  
54 THOSE TERMS ARE DEFINED IN SUBDIVISIONS THIRTY-THREE AND THIRTY-FOUR OF  
55 SECTION 1.20 OF THIS CHAPTER, IN RELATION TO AN APPLICATION FOR EMPLOY-  
56 MENT AS A POLICE OFFICER OR PEACE OFFICER; PROVIDED, HOWEVER, THAT EVERY

1 PERSON WHO IS AN APPLICANT FOR THE POSITION OF POLICE OFFICER OR PEACE  
2 OFFICER SHALL BE FURNISHED WITH A COPY OF ALL RECORDS OBTAINED UNDER  
3 THIS PARAGRAPH AND AFFORDED AN OPPORTUNITY TO MAKE AN EXPLANATION THERE-  
4 TO; OR

5 (E) THE CRIMINAL JUSTICE INFORMATION SERVICES DIVISION OF THE FEDERAL  
6 BUREAU OF INVESTIGATION, FOR THE PURPOSES OF RESPONDING TO QUERIES TO  
7 THE NATIONAL INSTANT CRIMINAL BACKGROUND CHECK SYSTEM REGARDING ATTEMPTS  
8 TO PURCHASE OR OTHERWISE TAKE POSSESSION OF FIREARMS, AS DEFINED IN 18  
9 USC 921 (A) (3).

10 10. A CONVICTION WHICH IS SEALED PURSUANT TO THIS SECTION IS INCLUDED  
11 WITHIN THE DEFINITION OF A CONVICTION FOR THE PURPOSES OF ANY CRIMINAL  
12 PROCEEDING IN WHICH THE FACT OF A PRIOR CONVICTION WOULD ENHANCE A  
13 PENALTY OR IS AN ELEMENT OF THE OFFENSE CHARGED.

14 11. NO DEFENDANT SHALL BE REQUIRED OR PERMITTED TO WAIVE ELIGIBILITY  
15 FOR SEALING PURSUANT TO THIS SECTION AS PART OF A PLEA OF GUILTY,  
16 SENTENCE OR ANY AGREEMENT RELATED TO A CONVICTION FOR AN ELIGIBLE  
17 OFFENSE AND ANY SUCH WAIVER SHALL BE DEEMED VOID AND WHOLLY ENFORCEABLE.

18 S 48-a. Subdivision 16 of section 296 of the executive law, as sepa-  
19 rately amended by section 3 of part N and section 14 of part AAA of  
20 chapter 56 of the laws of 2009, is amended to read as follows:

21 16. It shall be an unlawful discriminatory practice, unless specif-  
22 ically required or permitted by statute, for any person, agency, bureau,  
23 corporation or association, including the state and any political subdivi-  
24 sion thereof, to make any inquiry about, whether in any form of appli-  
25 cation or otherwise, or to act upon adversely to the individual  
26 involved, any arrest or criminal accusation of such individual not then  
27 pending against that individual which was followed by a termination of  
28 that criminal action or proceeding in favor of such individual, as  
29 defined in subdivision two of section 160.50 of the criminal procedure  
30 law, or by a youthful offender adjudication, as defined in subdivision  
31 one of section 720.35 of the criminal procedure law, or by a conviction  
32 for a violation sealed pursuant to section 160.55 of the criminal proce-  
33 dure law or by a conviction which is sealed pursuant to section 160.59  
34 OR 160.58 of the criminal procedure law, in connection with the licens-  
35 ing, employment or providing of credit or insurance to such individual;  
36 provided, further, that no person shall be required to divulge informa-  
37 tion pertaining to any arrest or criminal accusation of such individual  
38 not then pending against that individual which was followed by a termi-  
39 nation of that criminal action or proceeding in favor of such individ-  
40 ual, as defined in subdivision two of section 160.50 of the criminal  
41 procedure law, or by a youthful offender adjudication, as defined in  
42 subdivision one of section 720.35 of the criminal procedure law, or by a  
43 conviction for a violation sealed pursuant to section 160.55 of the  
44 criminal procedure law, or by a conviction which is sealed pursuant to  
45 section 160.58 OR 160.59 of the criminal procedure law. The provisions  
46 of this subdivision shall not apply to the licensing activities of  
47 governmental bodies in relation to the regulation of guns, firearms and  
48 other deadly weapons or in relation to an application for employment as  
49 a police officer or peace officer as those terms are defined in subdivi-  
50 sions thirty-three and thirty-four of section 1.20 of the criminal  
51 procedure law; provided further that the provisions of this subdivision  
52 shall not apply to an application for employment or membership in any  
53 law enforcement agency with respect to any arrest or criminal accusation  
54 which was followed by a youthful offender adjudication, as defined in  
55 subdivision one of section 720.35 of the criminal procedure law, or by a  
56 conviction for a violation sealed pursuant to section 160.55 of the

1 criminal procedure law, or by a conviction which is sealed pursuant to  
2 section 160.58 OR 160.59 of the criminal procedure law.

3 S 49. Intentionally omitted.

4 S 50. Intentionally omitted.

5 S 51. Intentionally omitted.

6 S 52. Intentionally omitted.

7 S 53. Intentionally omitted.

8 S 54. Intentionally omitted.

9 S 55. Intentionally omitted.

10 S 56. Subdivision 1 of section 301.2 of the family court act, as added  
11 by chapter 920 of the laws of 1982, is amended to read as follows:

12 1. "Juvenile delinquent" means a person over seven and less than  
13 sixteen years of age, OR COMMENCING ON OCTOBER FIRST, TWO THOUSAND EIGH-  
14 TEEN A PERSON OVER SEVEN AND LESS THAN SEVENTEEN YEARS OF AGE, AND  
15 COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN A PERSON OVER SEVEN AND  
16 LESS THAN EIGHTEEN YEARS OF AGE, who, having committed an act that would  
17 constitute a crime, OR A VIOLATION, WHERE SUCH VIOLATION IS ALLEGED TO  
18 HAVE OCCURRED IN THE SAME TRANSACTION OR OCCURRENCE OF THE ALLEGED CRIM-  
19 INAL ACT, if committed by an adult, (a) is not criminally responsible  
20 for such conduct by reason of infancy, or (b) is the defendant in an  
21 action ordered removed from a criminal court to the family court pursu-  
22 ant to article seven hundred twenty-five of the criminal procedure law.

23 S 56-a. Section 302.1 of the family court act is amended by adding a  
24 new subdivision 3 to read as follows:

25 3. WHENEVER A CRIME AND A VIOLATION ARISE OUT OF THE SAME TRANSACTION  
26 OR OCCURRENCE, A CHARGE ALLEGING BOTH OFFENSES SHALL BE MADE RETURNABLE  
27 BEFORE THE COURT HAVING JURISDICTION OVER THE CRIME. NOTHING HEREIN  
28 PROVIDED SHALL BE CONSTRUED TO PREVENT A COURT, HAVING JURISDICTION OVER  
29 A VIOLATION RELATING TO A CRIMINAL ACT FROM LAWFULLY ENTERING AN ORDER  
30 IN ACCORDANCE WITH 345.1 OF THIS ARTICLE WHERE SUCH ORDER IS NOT BASED  
31 UPON THE COUNT OR COUNTS OF THE PETITION ALLEGING SUCH CRIMINAL ACT.

32 S 56-b. Section 352.2 of the family court act is amended by adding a  
33 new subdivision 4 to read as follows:

34 4. WHERE A YOUTH RECEIVES A JUVENILE DELINQUENCY ADJUDICATION FOR  
35 CONDUCT COMMITTED WHEN THE YOUTH WAS AGE SIXTEEN OR OLDER THAT WOULD  
36 CONSTITUTE A VIOLATION, THE COURT SHALL HAVE THE POWER TO ENTER AN ORDER  
37 OF DISPOSITION IN ACCORDANCE WITH PARAGRAPHS (A) AND (B) OF SUBDIVISION  
38 ONE OF THIS SECTION.

39 S 57. Subdivisions 8 and 9 of section 301.2 of the family court act,  
40 subdivision 8 as amended by chapter 7 of the laws of 2007 and subdivi-  
41 sion 9 as added by chapter 920 of the laws of 1982, are amended to read  
42 as follows:

43 8. "Designated felony act" means an act which, if done by an adult,  
44 would be a crime: (i) defined in sections 125.27 (murder in the first  
45 degree); 125.25 (murder in the second degree); 135.25 (kidnapping in the  
46 first degree); or 150.20 (arson in the first degree) of the penal law  
47 committed by a person thirteen, fourteen [or], fifteen, OR SIXTEEN, OR  
48 COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, SEVENTEEN years of age;  
49 or such conduct committed as a sexually motivated felony, where author-  
50 ized pursuant to section 130.91 of the penal law; (ii) defined in  
51 sections 120.10 (assault in the first degree); 125.20 (manslaughter in  
52 the first degree); 130.35 (rape in the first degree); 130.50 (criminal  
53 sexual act in the first degree); 130.70 (aggravated sexual abuse in the  
54 first degree); 135.20 (kidnapping in the second degree) but only where  
55 the abduction involved the use or threat of use of deadly physical  
56 force; 150.15 (arson in the second degree) or 160.15 (robbery in the

1 first degree) of the penal law committed by a person thirteen, fourteen  
2 [or], fifteen, OR SIXTEEN, OR, COMMENCING OCTOBER FIRST, TWO THOUSAND  
3 NINETEEN, SEVENTEEN years of age; or such conduct committed as a sexual-  
4 ly motivated felony, where authorized pursuant to section 130.91 of the  
5 penal law; (iii) defined in the penal law as an attempt to commit murder  
6 in the first or second degree or kidnapping in the first degree commit-  
7 ted by a person thirteen, fourteen [or], fifteen, OR SIXTEEN, OR  
8 COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, SEVENTEEN years of age;  
9 or such conduct committed as a sexually motivated felony, where author-  
10 ized pursuant to section 130.91 of the penal law; (iv) defined in  
11 section 140.30 (burglary in the first degree); subdivision one of  
12 section 140.25 (burglary in the second degree); subdivision two of  
13 section 160.10 (robbery in the second degree) of the penal law; or  
14 section 265.03 of the penal law, where such machine gun or such firearm  
15 is possessed on school grounds, as that phrase is defined in subdivision  
16 fourteen of section 220.00 of the penal law committed by a person four-  
17 teen or fifteen years of age; or such conduct committed as a sexually  
18 motivated felony, where authorized pursuant to section 130.91 of the  
19 penal law; (v) defined in section 120.05 (assault in the second degree)  
20 or 160.10 (robbery in the second degree) of the penal law committed by a  
21 person fourteen [or], fifteen, OR SIXTEEN OR, COMMENCING OCTOBER FIRST,  
22 TWO THOUSAND NINETEEN, SEVENTEEN years of age but only where there has  
23 been a prior finding by a court that such person has previously commit-  
24 ted an act which, if committed by an adult, would be the crime of  
25 assault in the second degree, robbery in the second degree or any desig-  
26 nated felony act specified in paragraph (i), (ii), or (iii) of this  
27 subdivision regardless of the age of such person at the time of the  
28 commission of the prior act; [or] (vi) other than a misdemeanor commit-  
29 ted by a person at least seven but less than [sixteen] SEVENTEEN years  
30 of age, AND COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, A PERSON AT  
31 LEAST SEVEN BUT LESS THAN EIGHTEEN YEARS OF AGE, but only where there  
32 has been two prior findings by the court that such person has committed  
33 a prior felony.

34 9. "Designated class A felony act" means a designated felony act  
35 [defined in paragraph (i) of subdivision eight] THAT WOULD CONSTITUTE A  
36 CLASS A FELONY IF COMMITTED BY AN ADULT.

37 S 58. Intentionally omitted.

38 S 59. Section 304.1 of the family court act, as added by chapter 920  
39 of the laws of 1982, subdivision 2 as amended by chapter 419 of the laws  
40 of 1987, is amended to read as follows:

41 S 304.1. Detention. 1. A facility certified by the [state division for  
42 youth] OFFICE OF CHILDREN AND FAMILY SERVICES as a juvenile DETENTION  
43 facility must be operated in conformity with the regulations of the  
44 [state division for youth and shall be subject to the visitation and  
45 inspection of the state board of social welfare] OFFICE OF CHILDREN AND  
46 FAMILY SERVICES.

47 2. No child to whom the provisions of this article may apply shall be  
48 detained in any prison, jail, lockup, or other place used for adults  
49 convicted of crime or under arrest and charged with crime without the  
50 approval of the [state division for youth] OFFICE OF CHILDREN AND FAMILY  
51 SERVICES in the case of each child and the statement of its reasons  
52 therefor. The [state division for youth] OFFICE OF CHILDREN AND FAMILY  
53 SERVICES shall promulgate and publish the rules which it shall apply in  
54 determining whether approval should be granted pursuant to this subdivi-  
55 sion.

1 3. The detention of a child under ten years of age in a secure  
2 detention facility shall not be directed under any of the provisions of  
3 this article.

4 4. A detention facility which receives a child under subdivision four  
5 of section 305.2 OF THIS PART shall immediately notify the child's  
6 parent or other person legally responsible for his OR HER care or, if  
7 such legally responsible person is unavailable the person with whom the  
8 child resides, that he OR SHE has been placed in detention.

9 S 60. Intentionally omitted.

10 S 61. Subdivision 1 of section 305.1 of the family court act, as added  
11 by chapter 920 of the laws of 1982, is amended to read as follows:

12 1. A private person may take a child [under the age of sixteen] WHO  
13 MAY BE SUBJECT TO THE PROVISIONS OF THIS ARTICLE FOR COMMITTING AN ACT  
14 THAT WOULD BE A CRIME IF COMMITTED BY AN ADULT into custody in cases in  
15 which [he] SUCH PRIVATE PERSON may arrest an adult for a crime under  
16 section 140.30 of the criminal procedure law.

17 S 62. Subdivision 2 of section 305.2 of the family court act, as added  
18 by chapter 920 of the laws of 1982, is amended to read as follows:

19 2. An officer may take a child [under the age of sixteen] WHO MAY BE  
20 SUBJECT TO THE PROVISIONS OF THIS ARTICLE FOR COMMITTING AN ACT THAT  
21 WOULD BE A CRIME IF COMMITTED BY AN ADULT into custody without a warrant  
22 in cases in which [he] THE OFFICER may arrest a person for a crime under  
23 article one hundred forty of the criminal procedure law.

24 S 63. Paragraph (b) of subdivision 4 of section 305.2 of the family  
25 court act, as amended by chapter 492 of the laws of 1987, is amended to  
26 read as follows:

27 (b) forthwith and with all reasonable speed take the child directly,  
28 and without his first being taken to the police station house, to the  
29 family court located in the county in which the act occasioning the  
30 taking into custody allegedly was committed, OR, WHEN THE FAMILY COURT  
31 IS NOT IN SESSION, TO THE MOST ACCESSIBLE MAGISTRATE, IF ANY, DESIGNATED  
32 BY THE APPELLATE DIVISION OF THE SUPREME COURT IN THE APPLICABLE DEPART-  
33 MENT TO CONDUCT A HEARING UNDER SECTION 307.4 OF THIS PART, unless the  
34 officer determines that it is necessary to question the child, in which  
35 case he OR SHE may take the child to a facility designated by the chief  
36 administrator of the courts as a suitable place for the questioning of  
37 children or, upon the consent of a parent or other person legally  
38 responsible for the care of the child, to the child's residence and  
39 there question him OR HER for a reasonable period of time; or

40 S 64. Intentionally omitted.

41 S 65. Subdivision 4 of section 307.3 of the family court act, as added  
42 by chapter 920 of the laws of 1982, is amended to read as follows:

43 4. If the agency for any reason does not release a child under this  
44 section, such child shall be brought before the appropriate family  
45 court, OR WHEN SUCH FAMILY COURT IS NOT IN SESSION, TO THE MOST ACCESSI-  
46 BLE MAGISTRATE, IF ANY, DESIGNATED BY THE APPELLATE DIVISION OF THE  
47 SUPREME COURT IN THE APPLICABLE DEPARTMENT; PROVIDED, HOWEVER, THAT IF  
48 SUCH FAMILY COURT IS NOT IN SESSION AND IF A MAGISTRATE IS NOT AVAIL-  
49 ABLE, SUCH YOUTH SHALL BE BROUGHT BEFORE SUCH FAMILY COURT within seven-  
50 ty-two hours or the next day the court is in session, whichever is soon-  
51 er. Such agency shall thereupon file an application for an order  
52 pursuant to section 307.4 OF THIS PART and shall forthwith serve a copy  
53 of the application upon the appropriate presentment agency. Nothing in  
54 this subdivision shall preclude the adjustment of suitable cases pursu-  
55 ant to section 308.1.

56 S 66. Intentionally omitted.

1 S 67. Paragraph (c) of subdivision 3 of section 311.1 of the family  
2 court act, as added by chapter 920 of the laws of 1982, is amended to  
3 read as follows:

4 (c) the fact that the respondent is a person [under sixteen years of]  
5 OF THE NECESSARY age TO BE A JUVENILE DELINQUENT at the time of the  
6 alleged act or acts;

7 S 68. Intentionally omitted.

8 S 69. Paragraphs (a) and (b) of subdivision 5 of section 322.2 of the  
9 family court act, paragraph (a) as amended by chapter 37 of the laws of  
10 2016 and paragraph (b) as added by chapter 920 of the laws of 1982, are  
11 amended to read as follows:

12 (a) If the court finds that there is probable cause to believe that  
13 the respondent committed a felony, it shall order the respondent commit-  
14 ted to the custody of the commissioner of mental health or the commis-  
15 sioner of THE OFFICE FOR PEOPLE WITH developmental disabilities for an  
16 initial period not to exceed one year from the date of such order. Such  
17 period may be extended annually upon further application to the court by  
18 the commissioner having custody or his or her designee. Such application  
19 must be made not more than sixty days prior to the expiration of such  
20 period on forms that have been prescribed by the chief administrator of  
21 the courts. At that time, the commissioner must give written notice of  
22 the application to the respondent, the counsel representing the respond-  
23 ent and the mental hygiene legal service if the respondent is at a resi-  
24 dential facility. Upon receipt of such application, the court must  
25 conduct a hearing to determine the issue of capacity. If, at the conclu-  
26 sion of a hearing conducted pursuant to this subdivision, the court  
27 finds that the respondent is no longer incapacitated, he or she shall be  
28 returned to the family court for further proceedings pursuant to this  
29 article. If the court is satisfied that the respondent continues to be  
30 incapacitated, the court shall authorize continued custody of the  
31 respondent by the commissioner for a period not to exceed one year. Such  
32 extensions shall not continue beyond a reasonable period of time neces-  
33 sary to determine whether the respondent will attain the capacity to  
34 proceed to a fact finding hearing in the foreseeable future but in no  
35 event shall continue beyond the respondent's eighteenth birthday OR, IF  
36 THE RESPONDENT WAS AT LEAST SIXTEEN YEARS OF AGE WHEN THE ACT WAS  
37 COMMITTED, BEYOND THE RESPONDENT'S TWENTY-FIRST BIRTHDAY.

38 (b) If a respondent is in the custody of the commissioner upon the  
39 respondent's eighteenth birthday, OR IF THE RESPONDENT WAS AT LEAST  
40 SIXTEEN YEARS OF AGE WHEN THE ACT RESULTING IN THE RESPONDENT'S PLACE-  
41 MENT WAS COMMITTED, BEYOND THE RESPONDENT'S TWENTY-FIRST BIRTHDAY, the  
42 commissioner shall notify the clerk of the court that the respondent was  
43 in his custody on such date and the court shall dismiss the petition.

44 S 70. Subdivisions 1 and 5 of section 325.1 of the family court act,  
45 subdivision 1 as amended by chapter 398 of the laws of 1983, subdivision  
46 5 as added by chapter 920 of the laws of 1982, are amended to read as  
47 follows:

48 1. At the initial appearance, if the respondent denies a charge  
49 contained in the petition and the court determines that [he] THE  
50 RESPONDENT shall be detained for more than three days pending a fact-  
51 finding hearing, the court shall schedule a probable-cause hearing to  
52 determine the issues specified in section 325.3 OF THIS PART.

53 5. Where the petition consists of an order of removal pursuant to  
54 article seven hundred twenty-five of the criminal procedure law, unless  
55 the removal was pursuant to subdivision three of section 725.05 of such  
56 law and the respondent was not afforded a probable cause hearing pursu-

1 ant to subdivision three of section [180.75] 722.20 of such law [for a  
2 reason other than his waiver thereof pursuant to subdivision two of  
3 section 180.75 of such law], the petition shall be deemed to be based  
4 upon a determination that probable cause exists to believe the respond-  
5 ent is a juvenile delinquent and the respondent shall not be entitled to  
6 any further inquiry on the subject of whether probable cause exists.  
7 After the filing of any such petition the court must, however, exercise  
8 independent, de novo discretion with respect to release or detention as  
9 set forth in section 320.5 OF THIS PART.

10 S 70-a. Section 350.3 of the family court act is amended by adding a  
11 new subdivision 4 to read as follows:

12 4. THE VICTIM HAS THE RIGHT TO MAKE A STATEMENT WITH REGARD TO ANY  
13 MATTER RELEVANT TO THE QUESTION OF DISPOSITION. IF THE VICTIM CHOOSES TO  
14 MAKE A STATEMENT, SUCH INDIVIDUAL SHALL NOTIFY THE COURT AT LEAST TEN  
15 DAYS PRIOR TO THE DATE OF THE DISPOSITIONAL HEARING. THE COURT SHALL  
16 NOTIFY THE RESPONDENT NO LESS THAN SEVEN DAYS PRIOR TO THE DISPOSITIONAL  
17 HEARING OF THE VICTIM'S INTENT TO MAKE A STATEMENT. THE VICTIM SHALL NOT  
18 BE MADE AWARE OF THE FINAL DISPOSITION OF THE CASE.

19 S 70-b. Section 350.4 of the family court act is amended by adding a  
20 new subdivision 5-a to read as follows:

21 5-A. THE VICTIM SHALL BE ALLOWED TO MAKE AN ORAL OR WRITTEN STATEMENT.

22 S 70-c. Subdivision 4 of section 351.1 of the family court act, as  
23 amended by chapter 317 of the laws of 2004, is amended to read as  
24 follows:

25 4. [When it appears that such information would be relevant to the  
26 findings of the court or the order of disposition, each] EACH investi-  
27 gation report prepared pursuant to this section shall [contain a] AFFORD  
28 THE VICTIM THE RIGHT TO MAKE A STATEMENT. SUCH victim impact statement  
29 [which] shall include an analysis of the victim's version of the  
30 offense, the extent of injury or economic loss AND THE ACTUAL  
31 OUT-OF-POCKET LOSS or damage to the victim, including the amount of  
32 unreimbursed medical expenses, if any, and the views of the victim  
33 relating to disposition including the amount of restitution sought by  
34 the victim, subject to availability of such information. In the case [of  
35 a homicide or] where the victim is unable to assist in the preparation  
36 of the victim impact statement, the information may be acquired from the  
37 victim's family. Nothing contained in this section shall be interpreted  
38 to require that a victim or his or her family supply information for the  
39 preparation of an investigation report or that the dispositional hearing  
40 should be delayed in order to obtain such information.

41 S 71. Intentionally omitted.

42 S 72. The opening paragraph of subparagraph (iii) of paragraph (a) and  
43 paragraph (d) of subdivision 4 of section 353.5 of the family court act,  
44 as amended by section 6 of subpart A of part G of chapter 57 of the laws  
45 of 2012, are amended to read as follows:

46 after the period set under subparagraph (ii) of this paragraph, the  
47 respondent shall be placed in a residential facility for a period of  
48 twelve months; provided, however, that if the respondent has been placed  
49 from a family court in a social services district operating an approved  
50 juvenile justice services close to home initiative pursuant to section  
51 four hundred four of the social services law FOR AN ACT COMMITTED WHEN  
52 THE RESPONDENT WAS UNDER SIXTEEN YEARS OF AGE, once the time frames in  
53 subparagraph (ii) of this paragraph are met:

54 (d) Upon the expiration of the initial period of placement, or any  
55 extension thereof, the placement may be extended in accordance with  
56 section 355.3 on a petition of any party or the office of children and



1 family services, or, if applicable, a social services district operating  
2 an approved juvenile justice services close to home initiative pursuant  
3 to section four hundred four of the social services law, after a dispo-  
4 sitional hearing, for an additional period not to exceed twelve months,  
5 but no initial placement or extension of placement under this section  
6 may continue beyond the respondent's twenty-first birthday, OR, FOR AN  
7 ACT THAT WAS COMMITTED WHEN THE RESPONDENT WAS SIXTEEN YEARS OF AGE OR  
8 OLDER, THE RESPONDENT'S TWENTY-THIRD BIRTHDAY.

9 S 73. Paragraph (d) of subdivision 4 of section 353.5 of the family  
10 court act, as amended by chapter 398 of the laws of 1983, is amended to  
11 read as follows:

12 (d) Upon the expiration of the initial period of placement, or any  
13 extension thereof, the placement may be extended in accordance with  
14 section 355.3 on a petition of any party or the [division for youth]  
15 OFFICE OF CHILDREN AND FAMILY SERVICES after a dispositional hearing,  
16 for an additional period not to exceed twelve months, but no initial  
17 placement or extension of placement under this section may continue  
18 beyond the respondent's twenty-first birthday, OR, FOR AN ACT THAT WAS  
19 COMMITTED WHEN THE RESPONDENT WAS SIXTEEN YEARS OF AGE OR OLDER, THE  
20 RESPONDENT'S TWENTY-THIRD BIRTHDAY.

21 S 74. Intentionally omitted.

22 S 75. Subdivision 6 of section 355.3 of the family court act, as  
23 amended by chapter 663 of the laws of 1985, is amended to read as  
24 follows:

25 6. Successive extensions of placement under this section may be grant-  
26 ed, but no placement may be made or continued beyond the respondent's  
27 eighteenth birthday without the child's consent FOR ACTS COMMITTED  
28 BEFORE THE RESPONDENT'S SIXTEENTH BIRTHDAY and in no event past the  
29 child's twenty-first birthday EXCEPT AS PROVIDED FOR IN SUBDIVISION FOUR  
30 OF SECTION 353.5 OF THIS PART.

31 S 76. Paragraph (b) of subdivision 3 of section 355.5 of the family  
32 court act, as amended by chapter 145 of the laws of 2000, is amended to  
33 read as follows:

34 (b) subsequent permanency hearings shall be held no later than every  
35 twelve months following the respondent's initial twelve months in place-  
36 ment BUT IN NO EVENT PAST THE RESPONDENT'S TWENTY-FIRST BIRTHDAY;  
37 provided, however, that they shall be held in conjunction with an exten-  
38 sion of placement hearing held pursuant to section 355.3 of this [arti-  
39 cle] PART.

40 S 77. Subdivision 6 of section 375.2 of the family court act, as added  
41 by chapter 926 of the laws of 1982 and as renumbered by chapter 398 of  
42 the laws of 1983, is amended to read as follows:

43 6. Such a motion cannot be filed until the respondent's sixteenth  
44 birthday, OR, COMMENCING OCTOBER FIRST, TWO THOUSAND EIGHTEEN, THE  
45 RESPONDENT'S SEVENTEENTH BIRTHDAY, OR COMMENCING OCTOBER FIRST, TWO  
46 THOUSAND NINETEEN, THE RESPONDENT'S EIGHTEENTH BIRTHDAY.

47 S 78. Subdivisions 5 and 6 of section 371 of the social services law,  
48 subdivision 5 as added by chapter 690 of the laws of 1962, and subdivi-  
49 sion 6 as amended by chapter 596 of the laws of 2000, are amended to  
50 read as follows:

51 5. "Juvenile delinquent" means a person [over seven and less than  
52 sixteen years of age who does any act which, if done by an adult, would  
53 constitute a crime] AS DEFINED IN SECTION 301.2 OF THE FAMILY COURT ACT.

54 6. "Person in need of supervision" means a person [less than eighteen  
55 years of age who is habitually truant or who is incorrigible, ungoverna-  
56 ble or habitually disobedient and beyond the lawful control of a parent

1 or other person legally responsible for such child's care, or other  
2 lawful authority] AS DEFINED IN SECTION SEVEN HUNDRED TWELVE OF THE  
3 FAMILY COURT ACT.

4 S 78-a. Subdivision 2 of section 40 of the correction law, as added by  
5 chapter 865 of the laws of 1975, is amended to read as follows:

6 2. "Local correctional facility" means any county jail, county peni-  
7 tentiary, county lockup, city jail, police station jail, town or village  
8 jail or lockup, court detention pen [or], hospital prison ward OR  
9 SPECIALIZED SECURE JUVENILE DETENTION FACILITY FOR OLDER YOUTH.

10 S 79. Subdivisions 3 and 4 of section 502 of the executive law, subdi-  
11 vision 3 as amended by section 1 of subpart B of part Q of chapter 58 of  
12 the laws of 2011 and subdivision 4 as added by chapter 465 of the laws  
13 of 1992, are amended to read as follows:

14 3. "Detention" means the temporary care and maintenance of youth held  
15 away from their homes pursuant to article three or seven of the family  
16 court act, or held pending a hearing for alleged violation of the condi-  
17 tions of release from an office of children and family services facility  
18 or authorized agency, or held pending a hearing for alleged violation of  
19 the condition of parole as a juvenile offender, YOUTHFUL OFFENDER OR  
20 ADOLESCENT OFFENDER or held pending return to a jurisdiction other than  
21 the one in which the youth is held, or held pursuant to a securing order  
22 of a criminal court if the youth named therein as principal is charged  
23 as a juvenile offender, YOUTHFUL OFFENDER OR ADOLESCENT OFFENDER or held  
24 pending a hearing on an extension of placement or held pending transfer  
25 to a facility upon commitment or placement by a court. Only alleged or  
26 convicted juvenile offenders, YOUTHFUL OFFENDERS OR ADOLESCENT OFFENDERS  
27 who have not attained their eighteenth OR, COMMENCING OCTOBER FIRST, TWO  
28 THOUSAND EIGHTEEN, THEIR TWENTY-FIRST birthday shall be subject to  
29 detention in a detention facility. COMMENCING OCTOBER FIRST, TWO THOU-  
30 SAND EIGHTEEN, A YOUTH WHO ON OR AFTER SUCH DATE COMMITTED AN OFFENSE  
31 WHEN THE YOUTH WAS SIXTEEN YEARS OF AGE; OR COMMENCING OCTOBER FIRST,  
32 TWO THOUSAND NINETEEN, A YOUTH WHO COMMITTED AN OFFENSE ON OR AFTER SUCH  
33 DATE WHEN THE YOUTH WAS SEVENTEEN YEARS OF AGE HELD PURSUANT TO A SECUR-  
34 ING ORDER OF A CRIMINAL COURT IF THE YOUTH IS CHARGED AS AN ADOLESCENT  
35 OFFENDER OR HELD PENDING A HEARING FOR ALLEGED VIOLATION OF THE CONDI-  
36 TION OF PAROLE AS AN ADOLESCENT OFFENDER, MUST BE HELD IN A SPECIALIZED  
37 SECURE JUVENILE DETENTION FACILITY FOR OLDER YOUTH CERTIFIED BY THE  
38 STATE OFFICE OF CHILDREN AND FAMILY SERVICES IN CONJUNCTION WITH THE  
39 STATE COMMISSION OF CORRECTION.

40 4. For purposes of this article, the term "youth" shall [be synonymous  
41 with the term "child" and means] MEAN a person not less than seven years  
42 of age and not more than twenty OR COMMENCING OCTOBER FIRST, TWO THOU-  
43 SAND NINETEEN, NOT MORE THAN TWENTY-TWO years of age.

44 S 79-a. Section 503 of the executive law is amended by adding a new  
45 subdivision 9 to read as follows:

46 9. NOTWITHSTANDING ANY OTHER PROVISION OF LAW, THE OFFICE OF CHILDREN  
47 AND FAMILY SERVICES IN CONSULTATION WITH THE STATE COMMISSION OF  
48 CORRECTION SHALL JOINTLY REGULATE, CERTIFY, INSPECT AND SUPERVISE  
49 SPECIALIZED SECURE DETENTION FACILITIES FOR ADOLESCENT OFFENDERS.

50 S 79-b. Paragraph (b) of subdivision 4 of section 507-a of the execu-  
51 tive law, as amended by chapter 465 of the laws of 1992, is amended to  
52 read as follows:

53 (b) The [division] OFFICE OF CHILDREN AND FAMILY SERVICES shall admit  
54 a child placed with the [division] OFFICE to a facility of the [divi-  
55 sion] OFFICE within fifteen days of the date of the order of placement  
56 with the [division] OFFICE and shall admit a juvenile offender, YOUTHFUL

1 OFFENDER OR ADOLESCENT OFFENDER committed to the [division] OFFICE to a  
2 facility of the [division] OFFICE within ten days of the date of the  
3 order of commitment to the [division] OFFICE, except as provided in  
4 section five hundred seven-b of this article.

5 S 80. Paragraph (a) of subdivision 2 and subdivision 5 of section  
6 507-a of the executive law, as amended by chapter 465 of the laws of  
7 1992, are amended to read as follows:

8 (a) Consistent with other provisions of law, only those youth who have  
9 reached the age of seven but who have not reached the age of twenty-one  
10 may be placed in[, committed to or remain in] the [division's] custody  
11 OF THE OFFICE OF CHILDREN AND FAMILY SERVICES. EXCEPT AS PROVIDED FOR IN  
12 PARAGRAPH (A-1) OF THIS SUBDIVISION, NO YOUTH WHO HAS REACHED THE AGE OF  
13 TWENTY-ONE MAY REMAIN IN CUSTODY OF THE OFFICE OF CHILDREN AND FAMILY  
14 SERVICES.

15 (A-1) (I) A YOUTH WHO IS COMMITTED TO THE OFFICE OF CHILDREN AND FAMI-  
16 LY SERVICES AS A JUVENILE OFFENDER OR A JUVENILE OFFENDER ADJUDICATED AS  
17 A YOUTHFUL OFFENDER MAY REMAIN IN THE CUSTODY OF THE OFFICE DURING THE  
18 PERIOD OF HIS OR HER SENTENCE BEYOND THE AGE OF TWENTY-ONE IN ACCORDANCE  
19 WITH THE PROVISIONS OF SUBDIVISION FIVE OF SECTION FIVE HUNDRED EIGHT OF  
20 THIS TITLE BUT IN NO EVENT MAY SUCH A YOUTH REMAIN IN THE CUSTODY OF THE  
21 OFFICE BEYOND HIS OR HER TWENTY-THIRD BIRTHDAY; AND (II) A YOUTH FOUND  
22 TO HAVE COMMITTED A DESIGNATED CLASS A FELONY ACT WHO IS RESTRICTIVELY  
23 PLACED WITH THE OFFICE UNDER SUBDIVISION FOUR OF SECTION 353.5 OF THE  
24 FAMILY COURT ACT FOR COMMITTING AN ACT ON OR AFTER THE YOUTH'S SIXTEENTH  
25 BIRTHDAY MAY REMAIN IN THE CUSTODY OF THE OFFICE OF CHILDREN AND FAMILY  
26 SERVICES UP TO THE AGE OF TWENTY-THREE IN ACCORDANCE WITH HIS OR HER  
27 PLACEMENT ORDER.

28 (A-2) Whenever it shall appear to the satisfaction of the [division]  
29 OFFICE OF CHILDREN AND FAMILY SERVICES that any youth placed therewith  
30 is not of proper age to be so placed or is not properly placed, or is  
31 mentally or physically incapable of being materially benefited by the  
32 program of the [division] OFFICE, the [division] OFFICE shall cause the  
33 return of such youth to the county from which placement was made.

34 5. Consistent with other provisions of law, in the discretion of the  
35 [director, youth] COMMISSIONER OF THE OFFICE OF CHILDREN AND FAMILY  
36 SERVICES, YOUTH PLACED WITHIN THE OFFICE UNDER THE FAMILY COURT ACT who  
37 attain the age of eighteen while in [division] custody OF THE OFFICE AND  
38 WHO ARE NOT REQUIRED TO REMAIN IN THE PLACEMENT WITH THE OFFICE AS A  
39 RESULT OF A DISPOSITIONAL ORDER OF THE FAMILY COURT may reside in a  
40 non-secure facility until the age of twenty-one, provided that such  
41 youth attend a full-time vocational or educational program and are like-  
42 ly to benefit from such program.

43 S 81. Intentionally omitted.

44 S 81-a. The correction law is amended by adding a new section 77 to  
45 read as follows:

46 S 77. ADOLESCENT OFFENDER FACILITIES. 1. (A) THE STATE SHALL ESTAB-  
47 LISH ONE OR MORE FACILITIES WITH ENHANCED SECURITY FEATURES AND SPECIAL-  
48 LY TRAINED STAFF TO SERVE THE ADOLESCENT OFFENDERS SENTENCED TO A DETER-  
49 MINATE OR INDETERMINATE SENTENCE FOR COMMITTING OFFENSES ON OR AFTER  
50 THEIR SIXTEENTH BIRTHDAY WHO ARE DETERMINED TO NEED AN ENHANCED LEVEL OF  
51 SECURE CARE WHICH SHALL BE MANAGED BY THE DEPARTMENT WITH THE OFFICE OF  
52 CHILDREN AND FAMILY SERVICES ASSISTANCE, AND SERVICES OR PROGRAMS.

53 (B) A COUNCIL COMPRISED OF THE COMMISSIONER, AND THE OFFICE OF CHIL-  
54 DREN AND FAMILY SERVICES, THE COMMISSIONER OF THE STATE COMMISSION OF  
55 CORRECTION, AND THE COMMISSIONER OF THE DIVISION OF CRIMINAL JUSTICE  
56 SERVICES SHALL BE ESTABLISHED TO ASSESS THE OPERATION OF THE FACILITY.

1 THE GOVERNOR SHALL DESIGNATE THE CHAIR OF THE COUNCIL. THE COUNCIL SHALL  
2 HAVE THE POWER TO PERFORM ALL ACTS NECESSARY TO CARRY OUT ITS DUTIES  
3 INCLUDING MAKING UNANNOUNCED VISITS AND INSPECTIONS OF THE FACILITY AT  
4 ANY TIME. NOTWITHSTANDING ANY OTHER PROVISION OF STATE LAW TO THE  
5 CONTRARY, THE COUNCIL MAY REQUEST AND THE DEPARTMENT SHALL SUBMIT TO THE  
6 COUNCIL, TO THE EXTENT PERMITTED BY FEDERAL LAW, ALL INFORMATION IN THE  
7 FORM AND MANNER AND AT SUCH TIMES AS THE COUNCIL MAY REQUIRE THAT IS  
8 APPROPRIATE TO THE PURPOSES AND OPERATION OF THE COUNCIL. THE COUNCIL  
9 SHALL BE SUBJECT TO THE SAME LAWS AS APPLY TO THE DEPARTMENT REGARDING  
10 THE PROTECTION AND CONFIDENTIALITY OF THE INFORMATION MADE AVAILABLE TO  
11 THE COUNCIL AND SHALL PREVENT ACCESS THERETO BY, OR THE DISTRIBUTION  
12 THEREOF TO, PERSONS NOT AUTHORIZED BY LAW.

13 (C) APPROPRIATE STAFF WORKING IN SUCH FACILITIES SHALL RECEIVE  
14 SPECIALIZED TRAINING TO ADDRESS WORKING WITH THE TYPES OF YOUTH PLACED  
15 IN THE FACILITY, WHICH SHALL INCLUDE BUT NOT BE LIMITED TO, TRAINING ON  
16 TACTICAL RESPONSES AND DE-ESCALATION TECHNIQUES. ALL STAFF OF THE FACIL-  
17 ITY SHALL BE SUBJECT TO RANDOM DRUG TESTS.

18 2. THE OFFICE OF CHILDREN AND FAMILY SERVICES SHALL ASSIGN AN ASSIST-  
19 ANT COMMISSIONER TO ASSIST THE DEPARTMENT, ON A PERMANENT BASIS, WITH  
20 PROGRAMS OR SERVICES PROVIDED WITHIN SUCH FACILITIES.

21 3. THE DEPARTMENT, THE STATE COMMISSION OF CORRECTION AND THE OFFICE  
22 OF CHILDREN AND FAMILY SERVICES SHALL JOINTLY ESTABLISH A PLACEMENT  
23 CLASSIFICATION PROTOCOL TO BE USED TO DETERMINE THE APPROPRIATE LEVEL OF  
24 CARE FOR EACH ADOLESCENT OFFENDER IN SUCH FACILITY. THE PROTOCOL SHALL  
25 INCLUDE, BUT NOT NECESSARILY BE LIMITED TO, CONSIDERATION OF THE NATURE  
26 OF THE YOUTH'S OFFENSE AND THE YOUTH'S HISTORY AND SERVICE NEEDS.

27 4. ANY NEW FACILITIES DEVELOPED BY THE DEPARTMENT IN CONSULTATION  
28 WITH THE OFFICE OF CHILDREN AND FAMILY SERVICES TO SERVE THE YOUTH  
29 COMMITTED AS ADOLESCENT OFFENDERS AS A RESULT OF RAISING THE AGE OF  
30 JUVENILE JURISDICTION SHALL, TO THE EXTENT PRACTICABLE, CONSIST OF SMALL-  
31 ER, MORE HOME-LIKE FACILITIES LOCATED NEAR THE YOUTHS' HOMES AND FAMI-  
32 LIES THAT PROVIDE GENDER-RESPONSIVE PROGRAMMING, SERVICES AND TREATMENT  
33 IN SMALL, CLOSELY SUPERVISED GROUPS THAT OFFER EXTENSIVE AND ON-GOING  
34 INDIVIDUAL ATTENTION AND ENCOURAGE SUPPORTIVE PEER RELATIONSHIPS.

35 5. ADOLESCENT OFFENDERS COMMITTED OR TRANSFERRED TO THE FACILITY, AS  
36 DEFINED IN THIS SECTION FOR COMMITTING A CRIME ON OR AFTER THEIR  
37 SIXTEENTH BIRTHDAY WHO STILL HAVE TIME LEFT ON THEIR SENTENCES OF IMPRI-  
38 SONMENT SHALL BE TRANSFERRED TO A NON-ADOLESCENT OFFENDER FACILITY IN  
39 THE DEPARTMENT FOR CONFINEMENT PURSUANT TO THIS CHAPTER AFTER COMPLETING  
40 TWO YEARS IN AN ADOLESCENT OFFENDER FACILITY UNLESS THEY ARE WITHIN FOUR  
41 MONTHS OF COMPLETING THE IMPRISONMENT PORTION OF THEIR SENTENCE AND THE  
42 DEPARTMENT DETERMINES, IN ITS DISCRETION, ON A CASE-BY-CASE BASIS THAT  
43 THE YOUTH SHOULD BE PERMITTED TO REMAIN IN SUCH FACILITY FOR THE ADDI-  
44 TIONAL SHORT PERIOD OF TIME NECESSARY TO ENABLE THEM TO COMPLETE THEIR  
45 SENTENCE. IN MAKING SUCH A DETERMINATION, THE FACTORS THE DEPARTMENT MAY  
46 CONSIDER INCLUDE, BUT ARE NOT LIMITED TO, THE AGE OF THE YOUTH, THE  
47 AMOUNT OF TIME REMAINING ON THE YOUTH'S SENTENCE OF IMPRISONMENT, THE  
48 LEVEL OF THE YOUTH'S PARTICIPATION IN THE PROGRAM, THE YOUTH'S EDUCA-  
49 TIONAL AND VOCATIONAL PROGRESS, THE OPPORTUNITIES AVAILABLE TO THE YOUTH  
50 THROUGH THE DEPARTMENT, AND THE LENGTH OF ANY APPLICABLE POST-RELEASE  
51 SUPERVISION SENTENCE. NOTHING IN THIS SUBDIVISION SHALL AUTHORIZE A  
52 YOUTH TO REMAIN IN SUCH FACILITY BEYOND HIS OR HER TWENTY-THIRD BIRTH-  
53 DAY.

54 S 81-b. The correction law is amended by adding a new section 78 to  
55 read as follows:

1 S 78. DISCHARGE PLANS. THE DEPARTMENT, IN CONSULTATION WITH THE OFFICE  
2 OF CHILDREN AND FAMILY SERVICES, SHALL PROVIDE DISCHARGE PLANS FOR JUVE-  
3 NILE OFFENDERS AND ADOLESCENT OFFENDERS WHO ARE RELEASED TO PAROLE OR  
4 POST-RELEASE SUPERVISION, WHICH ARE TAILORED TO ADDRESS THEIR INDIVIDUAL  
5 NEEDS. SUCH PLANS SHALL INCLUDE SERVICES DESIGNED TO PROMOTE PUBLIC  
6 SAFETY AND THE SUCCESSFUL AND PRODUCTIVE REENTRY OF SUCH ADOLESCENTS  
7 INTO SOCIETY.

8 S 82. Subdivisions 2, 3, 7, 8 and 9 of section 508 of the executive  
9 law, subdivision 2 as amended by chapter 572 of the laws of 1985, subdi-  
10 vision 3 as added by chapter 481 of the laws of 1978 and renumbered by  
11 chapter 465 of the laws of 1992, subdivision 7 as amended by section 97  
12 of subpart B of part C of chapter 62 of the laws of 2011, subdivision 8  
13 as added by chapter 560 of the laws of 1984 and subdivision 9 as amended  
14 by chapter 37 of the laws of 2016, are amended to read as follows:

15 2. Juvenile offenders shall be confined in such facilities until the  
16 age of twenty-one IN ACCORDANCE WITH THEIR SENTENCES, and shall not be  
17 released, discharged or permitted home visits except pursuant to the  
18 provisions of this section.

19 3. The [division] OFFICE OF CHILDREN AND FAMILY SERVICES shall report  
20 in writing to the sentencing court and district attorney, not less than  
21 once every six months during the period of confinement, on the status,  
22 adjustment, programs and progress of the offender.

23 The office of children and family services may transfer an offender  
24 not less than eighteen [nor more than twenty-one] years of age to the  
25 department of corrections and community supervision if the commissioner  
26 of the office certifies to the commissioner of corrections and community  
27 supervision that there is no substantial likelihood that the youth will  
28 benefit from the programs offered by office facilities.

29 7. While in the custody of the office of children and family services,  
30 an offender shall be subject to the rules and regulations of the office,  
31 except that his OR HER parole, temporary release and discharge shall be  
32 governed by the laws applicable to inmates of state correctional facili-  
33 ties and his OR HER transfer to state hospitals in the office of mental  
34 health shall be governed by section five hundred nine of this chapter;  
35 PROVIDED, HOWEVER, THAT AN OTHERWISE ELIGIBLE OFFENDER MAY RECEIVE THE  
36 SIX-MONTH LIMITED CREDIT TIME ALLOWANCE FOR SUCCESSFUL PARTICIPATION IN  
37 ONE OR MORE PROGRAMS DEVELOPED BY THE OFFICE OF CHILDREN AND FAMILY  
38 SERVICES THAT ARE COMPARABLE TO THE PROGRAMS SET FORTH IN SECTION EIGHT  
39 HUNDRED THREE-B OF THE CORRECTION LAW, TAKING INTO CONSIDERATION THE AGE  
40 OF OFFENDERS. The commissioner of the office of children and family  
41 services shall, however, establish and operate temporary release  
42 programs at office of children and family services facilities for eligi-  
43 ble juvenile offenders and contract with the department of corrections  
44 and community supervision for the provision of parole supervision  
45 services for temporary releasees. The rules and regulations for these  
46 programs shall not be inconsistent with the laws for temporary release  
47 applicable to inmates of state correctional facilities. For the purposes  
48 of temporary release programs for juvenile offenders only, when referred  
49 to or defined in article twenty-six of the correction law, "institution"  
50 shall mean any facility designated by the commissioner of the office of  
51 children and family services, "department" shall mean the office of  
52 children and family services, "inmate" shall mean a juvenile offender  
53 residing in an office of children and family services facility, and  
54 "commissioner" shall mean the [director] COMMISSIONER of the office of  
55 children and family services. Time spent in office of children and fami-  
56 ly services facilities and in juvenile detention facilities shall be

1 credited towards the sentence imposed in the same manner and to the same  
2 extent applicable to inmates of state correctional facilities.

3 8. Whenever a juvenile offender or a juvenile offender adjudicated a  
4 youthful offender shall be delivered to the director of [a division for  
5 youth] AN OFFICE OF CHILDREN AND FAMILY SERVICES facility pursuant to a  
6 commitment to the [director of the division for youth] OFFICE OF CHIL-  
7 DREN AND FAMILY SERVICES, the officer so delivering such person shall  
8 deliver to such facility director a certified copy of the sentence  
9 received by such officer from the clerk of the court by which such  
10 person shall have been sentenced, a copy of the report of the probation  
11 officer's investigation and report, any other pre-sentence memoranda  
12 filed with the court, a copy of the person's fingerprint records, a  
13 detailed summary of available medical records, psychiatric records and  
14 reports relating to assaults, or other violent acts, attempts at suicide  
15 or escape by the person while in the custody of a local detention facil-  
16 ity.

17 9. Notwithstanding any provision of law, including section five  
18 hundred one-c of this article, the office of children and family  
19 services shall make records pertaining to a person convicted of a sex  
20 offense as defined in subdivision (p) of section 10.03 of the mental  
21 hygiene law available upon request to the commissioner of mental health  
22 or the commissioner of THE OFFICE FOR PEOPLE WITH developmental disabil-  
23 ities, as appropriate; a case review panel; and the attorney general; in  
24 accordance with the provisions of article ten of the mental hygiene law.

25 S 82-a. Subdivision 2 of section 529 of the executive law, as amended  
26 by chapter 430 of the laws of 1991, is amended to read as follows:

27 2. Expenditures made by the [division for youth] OFFICE OF CHILDREN  
28 AND FAMILY SERVICES for care, maintenance and supervision furnished  
29 youth, including alleged and adjudicated juvenile delinquents and  
30 persons in need of supervision, placed or referred, pursuant to titles  
31 two or three of this article, and juvenile offenders, YOUTHFUL OFFENDERS  
32 AND ADOLESCENT OFFENDERS committed pursuant to [section 70.05 of] the  
33 penal law, in the [division's] OFFICE'S programs and facilities, shall  
34 be subject to reimbursement to the state by the social services district  
35 from which the youth was placed or by the social services district in  
36 which the juvenile offender resided at the time of commitment, in  
37 accordance with this section and the regulations of the [division]  
38 OFFICE, as follows: fifty percent of the amount expended for care, main-  
39 tenance and supervision of local charges including juvenile offenders.

40 S 82-b. Subdivision A of section 218-a of the county law is amended by  
41 adding a new paragraph 6 to read as follows:

42 6. NOTWITHSTANDING ANY OTHER PROVISION OF LAW, COMMENCING OCTOBER  
43 FIRST, TWO THOUSAND EIGHTEEN, A COUNTY MUST PROVIDE FOR ADEQUATE  
44 DETENTION OF ALLEGED OR CONVICTED ADOLESCENT OFFENDERS IN A SPECIALIZED  
45 SECURE DETENTION FACILITY FOR OLDER YOUTH WHO ARE ALLEGED OR CONVICTED  
46 OF COMMITTING AN OFFENSE WHEN THEY WERE SIXTEEN YEARS OF AGE AND  
47 COMMENCING OCTOBER FIRST, TWO THOUSAND NINETEEN, A COUNTY MUST PROVIDE  
48 FOR ADEQUATE DETENTION OF ALLEGED OR CONVICTED ADOLESCENT OFFENDERS IN A  
49 SPECIALIZED SECURE DETENTION FACILITY FOR OLDER YOUTH WHO ARE ALLEGED OR  
50 CONVICTED OF COMMITTING AN OFFENSE WHEN THEY WERE SIXTEEN OR SEVENTEEN  
51 YEARS OF AGE. SUCH FACILITY SHALL BE CERTIFIED AND REGULATED BY THE  
52 OFFICE OF CHILDREN AND FAMILY SERVICES IN CONJUNCTION WITH THE STATE  
53 COMMISSION OF CORRECTION. SUCH FACILITY SHALL: (I) HAVE ENHANCED SECURI-  
54 TY FEATURES AND SPECIALLY TRAINED STAFF; AND (II) BE JOINTLY ADMINIS-  
55 TERED BY THE AGENCY OF COUNTY GOVERNMENT DESIGNATED IN ACCORDANCE WITH  
56 SUBDIVISION A OF THIS SECTION AND THE APPLICABLE COUNTY SHERIFF, WHICH

1 BOTH SHALL HAVE THE POWER TO PERFORM ALL ACTS NECESSARY TO CARRY OUT  
 2 THEIR DUTIES. THE COUNTY SHERIFF SHALL BE SUBJECT TO THE SAME LAWS THAT  
 3 APPLY TO THE DESIGNATED COUNTY AGENCY REGARDING THE PROTECTION AND  
 4 CONFIDENTIALITY OF THE INFORMATION ABOUT THE YOUTH IN SUCH FACILITY AND  
 5 SHALL PREVENT ACCESS THERETO BY, OR THE DISTRIBUTION THEREOF TO, PERSONS  
 6 NOT AUTHORIZED BY LAW.

7 S 83. Intentionally omitted.

8 S 84. Intentionally omitted.

9 S 85. Intentionally omitted.

10 S 86. Intentionally omitted.

11 S 87. Intentionally omitted.

12 S 88. Intentionally omitted.

13 S 89. Intentionally omitted.

14 S 90. Intentionally omitted.

15 S 91. Intentionally omitted.

16 S 92. Intentionally omitted.

17 S 93. Intentionally omitted.

18 S 94. Intentionally omitted.

19 S 95. Intentionally omitted.

20 S 96. Intentionally omitted.

21 S 97. Intentionally omitted.

22 S 98. Intentionally omitted.

23 S 98-a. Intentionally omitted.

24 S 98-b. Intentionally omitted.

25 S 98-c. Intentionally omitted.

26 S 99. Subdivision 1, the opening paragraph of subdivision 2 and  
 27 subparagraphs (i) and (iii) of paragraph (a) of subdivision 3 of section  
 28 529-b of the executive law, as added by section 3 of subpart B of part Q  
 29 of chapter 58 of the laws of 2011, are amended to read as follows:

30 1. (a) Notwithstanding any provision of law to the contrary, eligible  
 31 expenditures by an eligible municipality for services to divert youth at  
 32 risk of, alleged to be, or adjudicated as juvenile delinquents or  
 33 persons alleged or adjudicated to be in need of supervision, or youth  
 34 alleged to be or convicted as juvenile offenders, YOUTHFUL OFFENDERS OR  
 35 ADOLESCENT OFFENDERS from placement in detention or in residential care  
 36 shall be subject to state reimbursement under the supervision and treat-  
 37 ment services for juveniles program for up to sixty-two percent of the  
 38 municipality's expenditures, subject to available appropriations and  
 39 exclusive of any federal funds made available for such purposes, not to  
 40 exceed the municipality's distribution under the supervision and treat-  
 41 ment services for juveniles program.

42 (b) The state funds appropriated for the supervision and treatment  
 43 services for juveniles program shall be distributed to eligible municipi-  
 44 palities by the office of children and family services based on a plan  
 45 developed by the office which may consider historical information  
 46 regarding the number of youth seen at probation intake for an alleged  
 47 act of delinquency, the number of youth remanded to detention, the  
 48 number of juvenile delinquents placed with the office, the number of  
 49 juvenile delinquents and persons in need of supervision placed in resi-  
 50 dential care with the municipality, the municipality's reduction in the  
 51 use of detention and residential placements, and other factors as deter-  
 52 mined by the office. Such plan developed by the office shall be subject  
 53 to the approval of the director of the budget. The office is authorized,  
 54 in its discretion, to make advance distributions to a municipality in  
 55 anticipation of state reimbursement.

1 As used in this section, the term "municipality" shall mean a county,  
2 or a city having a population of one million or more, and "supervision  
3 and treatment services for juveniles" shall mean community-based  
4 services or programs designed to safely maintain youth in the community  
5 pending a family court disposition or conviction in criminal court and  
6 services or programs provided to youth adjudicated as juvenile delin-  
7 quents or persons in need of supervision, or youth alleged to be juve-  
8 nile offenders, YOUTHFUL OFFENDERS OR ADOLESCENT OFFENDERS to prevent  
9 residential placement of such youth or a return to placement where such  
10 youth have been released to the community from residential placement.  
11 Supervision and treatment services for juveniles may include but are not  
12 limited to services or programs that:

13 (i) an analysis that identifies the neighborhoods or communities from  
14 which the greatest number of juvenile delinquents and persons in need of  
15 supervision are remanded to detention or residentially placed;

16 (iii) a description of how the services and programs proposed for  
17 funding will reduce the number of youth from the municipality who are  
18 detained and residentially OR OTHERWISE placed; how such services and  
19 programs are family-focused; and whether such services and programs are  
20 capable of being replicated across multiple sites;

21 S 100. The opening paragraph and paragraph (a) of subdivision 2 and  
22 subdivisions 5 and 6 of section 530 of the executive law, the opening  
23 paragraph of subdivision 2 as amended by section 4 of subpart B of part  
24 Q of chapter 58 of the laws of 2011, paragraph (a) of subdivision 2 as  
25 amended by section 1 of part M of chapter 57 of the laws of 2012, subdi-  
26 vision 5 as amended by chapter 920 of the laws of 1982, subparagraphs 1,  
27 2 and 4 of paragraph (a) and paragraph (b) of subdivision 5 as amended  
28 by section 5 of subpart B of part Q of chapter 58 of the laws of 2011,  
29 and subdivision 6 as amended by chapter 880 of the laws of 1976, are  
30 amended and a new subdivision 8 is added to read as follows:

31 Expenditures made by municipalities in providing care, maintenance and  
32 supervision to youth in detention facilities designated pursuant to  
33 sections seven hundred twenty and SECTION 305.2 of the family court act  
34 and certified by [the division for youth] OFFICE OF CHILDREN AND FAMILY  
35 SERVICES, shall be subject to reimbursement by the state, as follows:

36 (a) Notwithstanding any provision of law to the contrary, eligible  
37 expenditures by a municipality during a particular program year for the  
38 care, maintenance and supervision in foster care programs certified by  
39 the office of children and family services, certified or approved family  
40 boarding homes, and non-secure detention facilities certified by the  
41 office for those youth alleged to be persons in need of supervision or  
42 adjudicated persons in need of supervision held pending transfer to a  
43 facility upon placement; and in secure and non-secure detention facili-  
44 ties certified by the office in accordance with section five hundred  
45 three of this article for those youth alleged to be juvenile delin-  
46 quents; adjudicated juvenile delinquents held pending transfer to a  
47 facility upon placement, and juvenile delinquents held at the request of  
48 the office of children and family services pending extension of place-  
49 ment hearings or release revocation hearings or while awaiting disposi-  
50 tion of such hearings; and youth alleged to be or convicted as juvenile  
51 offenders, YOUTHFUL OFFENDERS AND ADOLESCENT OFFENDERS shall be subject  
52 to state reimbursement for up to fifty percent of the municipality's  
53 expenditures, exclusive of any federal funds made available for such  
54 purposes, not to exceed the municipality's distribution from funds that  
55 have been appropriated specifically therefor for that program year.  
56 Municipalities shall implement the use of detention risk assessment



1 instruments in a manner prescribed by the office so as to inform  
2 detention decisions. Notwithstanding any other provision of state law  
3 to the contrary, data necessary for completion of a detention risk  
4 assessment instrument may be shared among law enforcement, probation,  
5 courts, detention administrators, detention providers, and the attorney  
6 for the child upon retention or appointment; solely for the purpose of  
7 accurate completion of such risk assessment instrument, and a copy of  
8 the completed detention risk assessment instrument shall be made avail-  
9 able to the applicable detention provider, the attorney for the child  
10 and the court.

11 5. (a) Except as provided in paragraph (b) of this subdivision, care,  
12 maintenance and supervision for the purpose of this section shall mean  
13 and include only:

14 (1) temporary care, maintenance and supervision provided TO alleged  
15 juvenile delinquents and persons in need of supervision in detention  
16 facilities certified pursuant to sections seven hundred twenty and 305.2  
17 of the family court act by the office of children and family services,  
18 pending adjudication of alleged delinquency or alleged need of super-  
19 vision by the family court, or pending transfer to institutions to which  
20 committed or placed by such court or while awaiting disposition by such  
21 court after adjudication or held pursuant to a securing order of a crim-  
22 inal court if the person named therein as principal is under [sixteen]  
23 SEVENTEEN YEARS OF AGE; or[,]

24 (1-A) COMMENCING ON OCTOBER FIRST, TWO THOUSAND NINETEEN, TEMPORARY  
25 CARE, MAINTENANCE, AND SUPERVISION PROVIDED TO ALLEGED JUVENILE DELIN-  
26 QUENTS IN DETENTION FACILITIES CERTIFIED BY THE OFFICE OF CHILDREN AND  
27 FAMILY SERVICES, PENDING ADJUDICATION OF ALLEGED DELINQUENCY BY THE  
28 FAMILY COURT, OR PENDING TRANSFER TO INSTITUTIONS TO WHICH COMMITTED OR  
29 PLACED BY SUCH COURT OR WHILE AWAITING DISPOSITION BY SUCH COURT AFTER  
30 ADJUDICATION OR HELD PURSUANT TO A SECURING ORDER OF A CRIMINAL COURT IF  
31 THE PERSON NAMED THEREIN AS PRINCIPAL IS UNDER TWENTY-ONE; OR

32 (2) temporary care, maintenance and supervision provided juvenile  
33 delinquents in approved detention facilities at the request of the  
34 office of children and family services pending release revocation hear-  
35 ings or while awaiting disposition after such hearings; or

36 (3) temporary care, maintenance and supervision in approved detention  
37 facilities for youth held pursuant to the family court act or the inter-  
38 state compact on juveniles, pending return to their place of residence  
39 or domicile[.]; OR

40 (4) temporary care, maintenance and supervision provided youth  
41 detained in foster care facilities or certified or approved family  
42 boarding homes pursuant to article seven of the family court act.

43 (b) Payments made for reserved accommodations, whether or not in full  
44 time use, approved AND CERTIFIED by the office of children and family  
45 services and certified pursuant to sections seven hundred twenty and  
46 305.2 of the family court act, in order to assure that adequate accommo-  
47 dations will be available for the immediate reception and proper care  
48 therein of youth for which detention costs are reimbursable pursuant to  
49 paragraph (a) of this subdivision, shall be reimbursed as expenditures  
50 for care, maintenance and supervision under the provisions of this  
51 section, provided the office shall have given its prior approval for  
52 reserving such accommodations.

53 6. The [director of the division for youth] OFFICE OF CHILDREN AND  
54 FAMILY SERVICES may adopt, amend, or rescind all rules and regulations,  
55 subject to the approval of the director of the budget and certification

1 to the chairmen of the senate finance and assembly ways and means  
2 committees, necessary to carry out the provisions of this section.

3 8. NOTWITHSTANDING ANY LAW TO THE CONTRARY, ON OR AFTER JANUARY FIRST,  
4 TWO THOUSAND TWENTY, THE STATE SHALL NOT REIMBURSE FOR THE COST OF THE  
5 DETENTION OF ANY PERSON IN NEED OF SUPERVISION UNDER ARTICLE SEVEN OF  
6 THE FAMILY COURT ACT.

7 S 100-a. Section 153-k of the social services law is amended by adding  
8 a new subdivision 12 to read as follows:

9 12. NOTWITHSTANDING ANY LAW TO THE CONTRARY, ON OR AFTER JANUARY  
10 FIRST, TWO THOUSAND TWENTY, THE STATE SHALL NOT REIMBURSE FOR THE COST  
11 OF ANY PLACEMENT OF PERSONS IN NEED OF SUPERVISION UNDER ARTICLE SEVEN  
12 OF THE FAMILY COURT ACT.

13 S 100-b. Intentionally omitted.

14 S 101. The executive law is amended by adding a new section 259-p to  
15 read as follows:

16 S 259-p. INTERSTATE DETENTION. (1) NOTWITHSTANDING ANY OTHER PROVISION  
17 OF LAW, A DEFENDANT SUBJECT TO SECTION TWO HUNDRED FIFTY-NINE-MM OF THIS  
18 ARTICLE, MAY BE DETAINED AS AUTHORIZED BY THE INTERSTATE COMPACT FOR  
19 ADULT OFFENDER SUPERVISION.

20 (2) A DEFENDANT SHALL BE DETAINED AT A LOCAL CORRECTIONAL FACILITY,  
21 EXCEPT AS OTHERWISE PROVIDED IN SUBDIVISION THREE OF THIS SECTION.

22 (3) (A) A DEFENDANT SIXTEEN YEARS OF AGE OR YOUNGER, WHO ALLEGEDLY  
23 COMMITS A CRIMINAL ACT OR VIOLATION OF HIS OR HER SUPERVISION ON OR  
24 AFTER OCTOBER FIRST, TWO THOUSAND EIGHTEEN OR (B) A DEFENDANT SEVENTEEN  
25 YEARS OF AGE OR YOUNGER WHO ALLEGEDLY COMMITS A CRIMINAL ACT OR  
26 VIOLATION OF HIS OR HER SUPERVISION ON OR AFTER OCTOBER FIRST, TWO THOU-  
27 SAND NINETEEN, SHALL BE DETAINED IN A JUVENILE DETENTION FACILITY.

28 S 102. Subdivision 4 of section 246 of the executive law, as amended  
29 by section 10 of part D of chapter 56 of the laws of 2010, is amended to  
30 read as follows:

31 4. An approved plan and compliance with standards relating to the  
32 administration of probation services promulgated by the commissioner of  
33 the division of criminal justice services shall be a prerequisite to  
34 eligibility for state aid.

35 The commissioner of the division of criminal justice services may take  
36 into consideration granting additional state aid from an appropriation  
37 made for state aid for county probation services for counties or the  
38 city of New York when a county or the city of New York demonstrates that  
39 additional probation services were dedicated to intensive supervision  
40 programs[,] AND intensive programs for sex offenders [or programs  
41 defined as juvenile risk intervention services]. THE COMMISSIONER SHALL  
42 GRANT ADDITIONAL STATE AID FROM AN APPROPRIATION DEDICATED TO JUVENILE  
43 RISK INTERVENTION SERVICES COORDINATION BY PROBATION DEPARTMENTS WHICH  
44 SHALL INCLUDE, BUT NOT BE LIMITED TO, PROBATION SERVICES PERFORMED UNDER  
45 ARTICLE THREE OF THE FAMILY COURT ACT. The administration of such addi-  
46 tional grants shall be made according to rules and regulations promul-  
47 gated by the commissioner of the division of criminal justice services.  
48 Each county and the city of New York shall certify the total amount  
49 collected pursuant to section two hundred fifty-seven-c of this chapter.  
50 The commissioner of the division of criminal justice services shall  
51 thereupon certify to the comptroller for payment by the state out of  
52 funds appropriated for that purpose, the amount to which the county or  
53 the city of New York shall be entitled under this section. THE COMMIS-  
54 SIONER SHALL, SUBJECT TO AN APPROPRIATION MADE AVAILABLE FOR SUCH  
55 PURPOSE, ESTABLISH AND PROVIDE FUNDING TO PROBATION DEPARTMENTS FOR A  
56 CONTINUUM OF EVIDENCE-BASED INTERVENTION SERVICES FOR YOUTH ALLEGED OR

1 ADJUDICATED JUVENILE DELINQUENTS PURSUANT TO ARTICLE THREE OF THE FAMILY  
2 COURT ACT OR FOR ELIGIBLE YOUTH BEFORE OR SENTENCED UNDER THE YOUTH PART  
3 IN ACCORDANCE WITH THE CRIMINAL PROCEDURE LAW. SUCH ADDITIONAL STATE  
4 AID SHALL BE MADE IN AN AMOUNT NECESSARY TO PAY ONE HUNDRED PERCENT OF  
5 THE EXPENDITURES FOR EVIDENCE-BASED PRACTICES AND JUVENILE RISK AND  
6 EVIDENCE-BASED INTERVENTION SERVICES PROVIDED TO YOUTH SIXTEEN YEARS OF  
7 AGE OR OLDER WHEN SUCH SERVICES WOULD NOT OTHERWISE HAVE BEEN PROVIDED  
8 ABSENT THE PROVISIONS OF A CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN  
9 THAT INCREASED THE AGE OF JUVENILE JURISDICTION.

10 S 103. The second undesignated paragraph of subdivision 4 of section  
11 246 of the executive law, as added by chapter 479 of the laws of 1970,  
12 is amended to read as follows:

13 [The director shall thereupon certify to the comptroller for payment  
14 by the state out of funds appropriated for that purpose, the amount to  
15 which the county or the city of New York shall be entitled under this  
16 section.]

17 THE COMMISSIONER OF THE DIVISION OF CRIMINAL JUSTICE SERVICES MAY TAKE  
18 INTO CONSIDERATION GRANTING ADDITIONAL STATE AID FROM AN APPROPRIATION  
19 MADE FOR STATE AID FOR COUNTY PROBATION SERVICES FOR COUNTIES OR THE  
20 CITY OF NEW YORK WHEN A COUNTY OR THE CITY OF NEW YORK DEMONSTRATES THAT  
21 ADDITIONAL PROBATION SERVICES WERE DEDICATED TO INTENSIVE SUPERVISION  
22 PROGRAMS AND INTENSIVE PROGRAMS FOR SEX OFFENDERS. THE COMMISSIONER  
23 SHALL GRANT ADDITIONAL STATE AID FROM AN APPROPRIATION DEDICATED TO  
24 JUVENILE RISK INTERVENTION SERVICES COORDINATION BY PROBATION DEPART-  
25 MENTS WHICH SHALL INCLUDE, BUT NOT BE LIMITED TO, PROBATION SERVICES  
26 PERFORMED UNDER ARTICLE THREE OF THE FAMILY COURT ACT. THE ADMINIS-  
27 TRATION OF SUCH ADDITIONAL GRANTS SHALL BE MADE ACCORDING TO RULES AND  
28 REGULATIONS PROMULGATED BY THE COMMISSIONER OF THE DIVISION OF CRIMINAL  
29 JUSTICE SERVICES. EACH COUNTY AND THE CITY OF NEW YORK SHALL CERTIFY THE  
30 TOTAL AMOUNT COLLECTED PURSUANT TO SECTION TWO HUNDRED FIFTY-SEVEN-C OF  
31 THIS CHAPTER. THE COMMISSIONER OF THE DIVISION OF CRIMINAL JUSTICE  
32 SERVICES SHALL THEREUPON CERTIFY TO THE COMPTROLLER FOR PAYMENT BY THE  
33 STATE OUT OF FUNDS APPROPRIATED FOR THAT PURPOSE, THE AMOUNT TO WHICH  
34 THE COUNTY OR THE CITY OF NEW YORK SHALL BE ENTITLED UNDER THIS SECTION.  
35 THE COMMISSIONER SHALL, SUBJECT TO AN APPROPRIATION MADE AVAILABLE FOR  
36 SUCH PURPOSE, ESTABLISH AND PROVIDE FUNDING TO PROBATION DEPARTMENTS FOR  
37 A CONTINUUM OF EVIDENCE-BASED INTERVENTION SERVICES FOR YOUTH ALLEGED OR  
38 ADJUDICATED JUVENILE DELINQUENTS PURSUANT TO ARTICLE THREE OF THE FAMILY  
39 COURT ACT OR FOR ELIGIBLE YOUTH BEFORE OR SENTENCED UNDER THE YOUTH PART  
40 IN ACCORDANCE WITH THE CRIMINAL PROCEDURE LAW.

41 S 104. The state finance law is amended by adding a new section 54-m  
42 to read as follows:

43 S 54-M. LOCAL SHARE REQUIREMENTS ASSOCIATED WITH INCREASING THE AGE OF  
44 JUVENILE JURISDICTION ABOVE FIFTEEN YEARS OF AGE. NOTWITHSTANDING ANY  
45 OTHER PROVISION OF LAW TO THE CONTRARY, COUNTIES AND THE CITY OF NEW  
46 YORK SHALL NOT BE REQUIRED TO CONTRIBUTE A LOCAL SHARE OF ELIGIBLE  
47 EXPENDITURES THAT WOULD NOT HAVE BEEN INCURRED ABSENT THE PROVISIONS OF  
48 A CHAPTER OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT ADDED THIS SECTION  
49 UNLESS THE MOST RECENT BUDGET ADOPTED BY A COUNTY THAT IS SUBJECT TO THE  
50 PROVISIONS OF SECTION THREE-C OF THE GENERAL MUNICIPAL LAW EXCEEDED THE  
51 TAX LEVY LIMIT PRESCRIBED IN SUCH SECTION OR THE LOCAL GOVERNMENT IS NOT  
52 SUBJECT TO THE PROVISIONS OF SECTION THREE-C OF THE GENERAL MUNICIPAL  
53 LAW; PROVIDED, HOWEVER, THAT THE STATE BUDGET DIRECTOR SHALL BE AUTHOR-  
54 IZED TO WAIVE ANY LOCAL SHARE OF EXPENDITURES ASSOCIATED WITH A CHAPTER  
55 OF THE LAWS OF TWO THOUSAND SEVENTEEN THAT INCREASED THE AGE OF JUVENILE  
56 JURISDICTION ABOVE FIFTEEN YEARS OF AGE, UPON A SHOWING OF FINANCIAL

1 HARDSHIP BY A COUNTY OR THE CITY OF NEW YORK UPON APPLICATION IN THE  
2 FORM AND MANNER PRESCRIBED BY THE DIVISION OF THE BUDGET. IN EVALUATING  
3 AN APPLICATION FOR A FINANCIAL HARDSHIP WAIVER, THE BUDGET DIRECTOR  
4 SHALL CONSIDER THE INCREMENTAL COST TO THE LOCALITY RELATED TO INCREAS-  
5 ING THE AGE OF JUVENILE JURISDICTION, CHANGES IN STATE OR FEDERAL AID  
6 PAYMENTS, AND OTHER EXTRAORDINARY COSTS, INCLUDING THE OCCURRENCE OF A  
7 DISASTER AS DEFINED IN PARAGRAPH A OF SUBDIVISION TWO OF SECTION TWENTY  
8 OF THE EXECUTIVE LAW, REPAIR AND MAINTENANCE OF INFRASTRUCTURE, ANNUAL  
9 GROWTH IN TAX RECEIPTS, INCLUDING PERSONAL INCOME, BUSINESS AND OTHER  
10 TAXES, PREPAYMENT OF DEBT SERVICE AND OTHER EXPENSES, OR SUCH OTHER  
11 FACTORS THAT THE DIRECTOR MAY DETERMINE.

12 S 104-a. Notwithstanding any other provision of law to the contrary,  
13 in accordance with the waiver provisions set forth in section 54-m of  
14 the state finance law, state funding shall be available for one hundred  
15 percent of a county's costs associated with transport of youth by the  
16 applicable county sheriff that would not otherwise have occurred absent  
17 the provisions of the chapter of the laws of two thousand seventeen that  
18 added this section.

19 S 104-b. Notwithstanding any other provision of law; state reimburse-  
20 ment relating to the detention and placement of persons in need of  
21 supervision shall not be available for costs on or after January 1,  
22 2020.

23 S 104-c. 1. There shall be a "raise the age implementation task  
24 force," members of which will be assigned by the governor. Such task  
25 force will be responsible for reporting to the governor, the speaker of  
26 the assembly and the temporary president of the senate one year after  
27 the effective date of the chapter of the laws of 2017 that added this  
28 section. The task force shall have the following duties:

29 (A) monitoring the overall effectiveness of the law by reviewing the  
30 state's progress in implementing the major components;

31 (B) evaluating the effectiveness of the local adoption and adherence  
32 to the provisions of the law; and

33 (C) reviewing the sealing provisions including but not limited to an  
34 analysis of the number of applicants, the number of individuals granted  
35 sealing, and the overall effectiveness of the law's sealing require-  
36 ments.

37 2. The task force members shall receive no remuneration for their  
38 services as members.

39 3. The task force may create such committees as it deems necessary.

40 4. The task force shall provide an initial report on their findings on  
41 or before August 1, 2019 with respect to the first phase of implementa-  
42 tion and an additional report one year after with respect to the second  
43 phase of implementation.

44 S 105. Severability. If any clause, sentence, paragraph, subdivision,  
45 section or part contained in any part of this act shall be adjudged by  
46 any court of competent jurisdiction to be invalid, such judgment shall  
47 not affect, impair, or invalidate the remainder thereof, but shall be  
48 confined in its operation to the clause, sentence, paragraph, subdivi-  
49 sion, section or part contained in any part thereof directly involved in  
50 the controversy in which such judgment shall have been rendered. It is  
51 hereby declared to be the intent of the legislature that this act would  
52 have been enacted even if such invalid provisions had not been included  
53 herein.

54 S 106. This act shall take effect immediately; provided that:

55 a. sections forty-eight and forty-eight-a of this act shall take  
56 effect on the one hundred eightieth day after this act shall have become

1 a law and shall be deemed to apply to offenses committed prior to, on,  
2 or after such effective date;

3 b. sections one through thirty, thirty-one-a, thirty-one-b, thirty-  
4 two, thirty-five, thirty-six, thirty-eight, forty-a, forty-one, forty-  
5 three, forty-four, fifty-six, fifty-six-a, fifty-six-b, fifty-seven,  
6 fifty-nine, sixty-one through sixty-three, sixty-five, sixty-seven,  
7 sixty-nine, seventy, seventy-two, seventy-five through seventy-eight,  
8 seventy-nine, seventy-nine-b, eighty, eighty-one-b, eighty-two-a, nine-  
9 ty-nine, one hundred, one hundred-a and one hundred one of this act  
10 shall take effect October 1, 2018; provided however, that when the  
11 applicability of such provisions are based on the conviction of a crime  
12 or an act committed by a person who was seventeen years of age at the  
13 time of such offense such provisions shall take effect October 1, 2019;

14 c. sections one hundred two and one hundred four shall take effect  
15 April 1, 2018;

16 d. the amendments to subdivision 4 of section 353.5 of the family  
17 court act made by section seventy-two of this act shall be subject to  
18 the expiration and reversion of such subdivision pursuant to section 11  
19 of subpart A of part G of chapter 57 of the laws of 2012, as amended,  
20 when upon such date the provisions of section seventy-three of this act  
21 shall take effect;

22 e. the amendments to the second undesignated paragraph of subdivision  
23 4 of section 246 of the executive law made by section one hundred two of  
24 this act shall be subject to the expiration and reversion of such undes-  
25 ignated paragraph as provided in subdivision (aa) of section 427 of  
26 chapter 55 of the laws of 1992, as amended, when upon such date section  
27 one hundred three of this act shall take effect; provided, however if  
28 such date of reversion is prior to April 1, 2018, section one hundred  
29 three of this act shall take effect on April 1, 2018; and

30 f. the amendments to section 153-k of the social services law made by  
31 section one hundred-a of this act shall not effect the repeal of such  
32 section and shall be deemed to repeal therewith.

33

## PART XXX

34 Section 1. The state comptroller is hereby authorized and directed to  
35 loan money in accordance with the provisions set forth in subdivision 5  
36 of section 4 of the state finance law to the following funds and/or  
37 accounts:

- 38 1. Proprietary vocational school supervision account (20452).
- 39 2. Local government records management account (20501).
- 40 3. Child health plus program account (20810).
- 41 4. EPIC premium account (20818).
- 42 5. Education - New (20901).
- 43 6. VLT - Sound basic education fund (20904).
- 44 7. Sewage treatment program management and administration fund  
45 (21000).
- 46 8. Hazardous bulk storage account (21061).
- 47 9. Federal grants indirect cost recovery account (21065).
- 48 10. Low level radioactive waste account (21066).
- 49 11. Recreation account (21067).
- 50 12. Public safety recovery account (21077).
- 51 13. Environmental regulatory account (21081).
- 52 14. Natural resource account (21082).
- 53 15. Mined land reclamation program account (21084).
- 54 16. Great lakes restoration initiative account (21087).

- 1 17. Environmental protection and oil spill compensation fund (21200).
- 2 18. Public transportation systems account (21401).
- 3 19. Metropolitan mass transportation (21402).
- 4 20. Operating permit program account (21451).
- 5 21. Mobile source account (21452).
- 6 22. Statewide planning and research cooperative system account
- 7 (21902).
- 8 23. New York state thruway authority account (21905).
- 9 24. Mental hygiene program fund account (21907).
- 10 25. Mental hygiene patient income account (21909).
- 11 26. Financial control board account (21911).
- 12 27. Regulation of racing account (21912).
- 13 28. New York Metropolitan Transportation Council account (21913).
- 14 29. State university dormitory income reimbursable account (21937).
- 15 30. Criminal justice improvement account (21945).
- 16 31. Environmental laboratory reference fee account (21959).
- 17 32. Clinical laboratory reference system assessment account (21962).
- 18 33. Indirect cost recovery account (21978).
- 19 34. High school equivalency program account (21979).
- 20 35. Multi-agency training account (21989).
- 21 36. Interstate reciprocity for post-secondary distance education
- 22 account (23800).
- 23 37. Bell jar collection account (22003).
- 24 38. Industry and utility service account (22004).
- 25 39. Real property disposition account (22006).
- 26 40. Parking account (22007).
- 27 41. Asbestos safety training program account (22009).
- 28 42. Batavia school for the blind account (22032).
- 29 43. Investment services account (22034).
- 30 44. Surplus property account (22036).
- 31 45. Financial oversight account (22039).
- 32 46. Regulation of Indian gaming account (22046).
- 33 47. Rome school for the deaf account (22053).
- 34 48. Seized assets account (22054).
- 35 49. Administrative adjudication account (22055).
- 36 50. Federal salary sharing account (22056).
- 37 51. New York City assessment account (22062).
- 38 52. Cultural education account (22063).
- 39 53. Local services account (22078).
- 40 54. DHCR mortgage servicing account (22085).
- 41 55. Department of motor vehicles compulsory insurance account (22087).
- 42 56. Housing indirect cost recovery account (22090).
- 43 57. DHCR-HCA application fee account (22100).
- 44 58. Low income housing monitoring account (22130).
- 45 59. Corporation administration account (22135).
- 46 60. Montrose veteran's home account (22144).
- 47 61. Deferred compensation administration account (22151).
- 48 62. Rent revenue other New York City account (22156).
- 49 63. Rent revenue account (22158).
- 50 64. Tax revenue arrearage account (22168).
- 51 65. State university general income offset account (22654).
- 52 66. Lake George park trust fund account (22751).
- 53 67. State police motor vehicle law enforcement account (22802).
- 54 68. Highway safety program account (23001).
- 55 69. DOH drinking water program account (23102).
- 56 70. NYCCC operating offset account (23151).

- 1 71. Commercial gaming revenue account (23701).
- 2 72. Commercial gaming regulation account (23702).
- 3 73. Highway use tax administration account (23801).
- 4 74. Highway and bridge capital account (30051).
- 5 75. Aviation purpose account (30053).
- 6 76. State university residence hall rehabilitation fund (30100).
- 7 77. State parks infrastructure account (30351).
- 8 78. Clean water/clean air implementation fund (30500).
- 9 79. Hazardous waste remedial cleanup account (31506).
- 10 80. Youth facilities improvement account (31701).
- 11 81. Housing assistance fund (31800).
- 12 82. Housing program fund (31850).
- 13 83. Highway facility purpose account (31951).
- 14 84. Information technology capital financing account (32215).
- 15 85. New York racing account (32213).
- 16 86. Capital miscellaneous gifts account (32214).
- 17 87. New York environmental protection and spill remediation account.
- 18 88. Mental hygiene facilities capital improvement fund (32300).
- 19 89. Correctional facilities capital improvement fund (32350).
- 20 90. New York State Storm Recovery Capital Fund (33000).
- 21 91. OGS convention center account (50318).
- 22 92. Empire Plaza Gift Shop (50327).
- 23 93. Centralized services fund (55000).
- 24 94. Archives records management account (55052).
- 25 95. Federal single audit account (55053).
- 26 96. Civil service EHS occupational health program account (55056).
- 27 97. Banking services account (55057).
- 28 98. Cultural resources survey account (55058).
- 29 99. Neighborhood work project account (55059).
- 30 100. Automation & printing chargeback account (55060).
- 31 101. OFT NYT account (55061).
- 32 102. Data center account (55062).
- 33 103. Intrusion detection account (55066).
- 34 104. Domestic violence grant account (55067).
- 35 105. Centralized technology services account (55069).
- 36 106. Labor contact center account (55071).
- 37 107. Human services contact center account (55072).
- 38 108. Tax contact center account (55073).
- 39 109. Executive direction internal audit account (55251).
- 40 110. CIO Information technology centralized services account (55252).
- 41 111. Health insurance internal service account (55300).
- 42 112. Civil service employee benefits division administrative account
- 43 (55301).
- 44 113. Correctional industries revolving fund (55350).
- 45 114. Employees health insurance account (60201).
- 46 115. Medicaid management information system escrow fund (60900).
- 47 116. Department of law civil recoveries account.
- 48 S 1-a. The state comptroller is hereby authorized and directed to loan
- 49 money in accordance with the provisions set forth in subdivision 5 of
- 50 section 4 of the state finance law to any account within the following
- 51 federal funds, provided the comptroller has made a determination that
- 52 sufficient federal grant award authority is available to reimburse such
- 53 loans:
- 54 1. Federal USDA-food and nutrition services fund (25000).
- 55 2. Federal health and human services fund (25100).
- 56 3. Federal education fund (25200).

1 4. Federal block grant fund (25250).  
2 5. Federal miscellaneous operating grants fund (25300).  
3 6. Federal unemployment insurance administration fund (25900).  
4 7. Federal unemployment insurance occupational training fund (25950).  
5 8. Federal emergency employment act fund (26000).  
6 9. Federal capital projects fund (31350).  
7 S 2. Notwithstanding any law to the contrary, and in accordance with  
8 section 4 of the state finance law, the comptroller is hereby authorized  
9 and directed to transfer, upon request of the director of the budget, on  
10 or before March 31, 2018, up to the unencumbered balance or the follow-  
11 ing amounts:  
12 Economic Development and Public Authorities:  
13 1. \$175,000 from the miscellaneous special revenue fund, underground  
14 facilities safety training account (22172), to the general fund.  
15 2. An amount up to the unencumbered balance from the miscellaneous  
16 special revenue fund, business and licensing services account (21977),  
17 to the general fund.  
18 3. \$14,810,000 from the miscellaneous special revenue fund, code  
19 enforcement account (21904), to the general fund.  
20 4. \$3,000,000 from the general fund to the miscellaneous special  
21 revenue fund, tax revenue arrearage account (22168).  
22 Education:  
23 1. \$2,394,714,000 from the general fund to the state lottery fund,  
24 education account (20901), as reimbursement for disbursements made from  
25 such fund for supplemental aid to education pursuant to section 92-c of  
26 the state finance law that are in excess of the amounts deposited in  
27 such fund for such purposes pursuant to section 1612 of the tax law.  
28 2. \$966,634,000 from the general fund to the state lottery fund, VLT  
29 education account (20904), as reimbursement for disbursements made from  
30 such fund for supplemental aid to education pursuant to section 92-c of  
31 the state finance law that are in excess of the amounts deposited in  
32 such fund for such purposes pursuant to section 1612 of the tax law.  
33 3. Moneys from the state lottery fund (20900) up to an amount deposit-  
34 ed in such fund pursuant to section 1612 of the tax law in excess of the  
35 current year appropriation for supplemental aid to education pursuant to  
36 section 92-c of the state finance law.  
37 4. \$300,000 from the New York state local government records manage-  
38 ment improvement fund, local government records management account  
39 (20501), to the New York state archives partnership trust fund, archives  
40 partnership trust maintenance account (20351).  
41 5. \$900,000 from the general fund to the miscellaneous special revenue  
42 fund, Batavia school for the blind account (22032).  
43 6. \$900,000 from the general fund to the miscellaneous special revenue  
44 fund, Rome school for the deaf account (22053).  
45 7. \$343,400,000 from the state university dormitory income fund  
46 (40350) to the miscellaneous special revenue fund, state university  
47 dormitory income reimbursable account (21937).  
48 8. \$20,000,000 from any of the state education department special  
49 revenue and internal service funds to the miscellaneous special revenue  
50 fund, indirect cost recovery account (21978).  
51 9. \$8,318,000 from the general fund to the state university income  
52 fund, state university income offset account (22654), for the state's  
53 share of repayment of the STIP loan.  
54 10. \$40,000,000 from the state university income fund, state universi-  
55 ty hospitals income reimbursable account (22656) to the general fund for



1 hospital debt service for the period April 1, 2017 through March 31,  
2 2018.

3 11. An amount up to \$13,540,000 from the general fund to the state  
4 university income fund, state university general revenue account  
5 (22653).

6 Environmental Affairs:

7 1. \$16,000,000 from any of the department of environmental conserva-  
8 tion's special revenue federal funds to the environmental conservation  
9 special revenue fund, federal indirect recovery account (21065).

10 2. \$5,000,000 from any of the department of environmental conserva-  
11 tion's special revenue federal funds to the conservation fund (21150) as  
12 necessary to avoid diversion of conservation funds.

13 3. \$3,000,000 from any of the office of parks, recreation and historic  
14 preservation capital projects federal funds and special revenue federal  
15 funds to the miscellaneous special revenue fund, federal grant indirect  
16 cost recovery account (22188).

17 4. \$1,000,000 from any of the office of parks, recreation and historic  
18 preservation special revenue federal funds to the miscellaneous special  
19 revenue fund, I love NY water account (21930).

20 5. \$28,000,000 from the general fund to the environmental protection  
21 fund, environmental protection fund transfer account (30451).

22 6. \$1,800,000 from the general fund to the hazardous waste remedial  
23 fund, hazardous waste oversight and assistance account (31505).

24 Family Assistance:

25 1. \$7,000,000 from any of the office of children and family services,  
26 office of temporary and disability assistance, or department of health  
27 special revenue federal funds and the general fund, in accordance with  
28 agreements with social services districts, to the miscellaneous special  
29 revenue fund, office of human resources development state match account  
30 (21967).

31 2. \$4,000,000 from any of the office of children and family services  
32 or office of temporary and disability assistance special revenue federal  
33 funds to the miscellaneous special revenue fund, family preservation and  
34 support services and family violence services account (22082).

35 3. \$18,670,000 from any of the office of children and family services,  
36 office of temporary and disability assistance, or department of health  
37 special revenue federal funds and any other miscellaneous revenues  
38 generated from the operation of office of children and family services  
39 programs to the general fund.

40 4. \$140,000,000 from any of the office of temporary and disability  
41 assistance or department of health special revenue funds to the general  
42 fund.

43 5. \$2,500,000 from any of the office of temporary and disability  
44 assistance special revenue funds to the miscellaneous special revenue  
45 fund, office of temporary and disability assistance program account  
46 (21980).

47 6. \$7,400,000 from any of the office of children and family services,  
48 office of temporary and disability assistance, department of labor, and  
49 department of health special revenue federal funds to the office of  
50 children and family services miscellaneous special revenue fund, multi-  
51 agency training contract account (21989).

52 7. \$65,000,000 from the miscellaneous special revenue fund, youth  
53 facility per diem account (22186), to the general fund.

54 8. \$621,850 from the general fund to the combined gifts, grants, and  
55 bequests fund, WB Hoyt Memorial account (20128).

1 9. \$3,100,000 from the miscellaneous special revenue fund, state  
2 central registry (22028), to the general fund.

3 General Government:

4 1. \$1,566,000 from the miscellaneous special revenue fund, examination  
5 and miscellaneous revenue account (22065) to the general fund.

6 2. \$8,083,000 from the general fund to the health insurance revolving  
7 fund (55300).

8 3. \$192,400,000 from the health insurance reserve receipts fund  
9 (60550) to the general fund.

10 4. \$150,000 from the general fund to the not-for-profit revolving loan  
11 fund (20650).

12 5. \$150,000 from the not-for-profit revolving loan fund (20650) to the  
13 general fund.

14 6. \$3,000,000 from the miscellaneous special revenue fund, surplus  
15 property account (22036), to the general fund.

16 7. \$19,000,000 from the miscellaneous special revenue fund, revenue  
17 arrearage account (22024), to the general fund.

18 8. \$1,826,000 from the miscellaneous special revenue fund, revenue  
19 arrearage account (22024), to the miscellaneous special revenue fund,  
20 authority budget office account (22138).

21 9. \$1,000,000 from the miscellaneous special revenue fund, parking  
22 services account (22007), to the general fund, for the purpose of reim-  
23 bursing the costs of debt service related to state parking facilities.

24 10. \$21,783,000 from the general fund to the centralized services  
25 fund, COPS account (55013).

26 11. \$8,960,000 from the general fund to the agencies internal service  
27 fund, central technology services account (55069), for the purpose of  
28 enterprise technology projects.

29 12. \$15,000,000 from the miscellaneous special revenue fund, workers'  
30 compensation account (21995), to the miscellaneous capital projects  
31 fund, workers' compensation board IT business process design fund,  
32 (32218).

33 Health:

34 1. A transfer from the general fund to the combined gifts, grants and  
35 bequests fund, breast cancer research and education account (20155), up  
36 to an amount equal to the monies collected and deposited into that  
37 account in the previous fiscal year.

38 2. A transfer from the general fund to the combined gifts, grants and  
39 bequests fund, prostate cancer research, detection, and education  
40 account (20183), up to an amount equal to the moneys collected and  
41 deposited into that account in the previous fiscal year.

42 3. A transfer from the general fund to the combined gifts, grants and  
43 bequests fund, Alzheimer's disease research and assistance account  
44 (20143), up to an amount equal to the moneys collected and deposited  
45 into that account in the previous fiscal year.

46 4. \$30,555,000 from the HCRA resources fund (20800) to the miscella-  
47 neous special revenue fund, empire state stem cell trust fund account  
48 (22161).

49 5. \$6,000,000 from the miscellaneous special revenue fund, certificate  
50 of need account (21920), to the miscellaneous capital projects fund,  
51 healthcare IT capital subfund (32216).

52 6. \$2,000,000 from the miscellaneous special revenue fund, vital  
53 health records account (22103), to the miscellaneous capital projects  
54 fund, healthcare IT capital subfund (32216)

- 1 7. \$2,000,000 from the miscellaneous special revenue fund, profes-  
2 sional medical conduct account (22088), to the miscellaneous capital  
3 projects fund, healthcare IT capital subfund (32216).
- 4 8. \$76,021,000 from the HCRA resources fund (20800) to the capital  
5 projects fund (30000).
- 6 9. \$4,540,000 from the general fund to the medical marihuana trust  
7 fund, health operation and oversight account (23755).
- 8 10. \$1,086,000 from the miscellaneous special revenue fund, certifi-  
9 cate of need account (21920), to the general fund.
- 10 Labor:
- 11 1. \$400,000 from the miscellaneous special revenue fund, DOL fee and  
12 penalty account (21923), to the child performer's protection fund, child  
13 performer protection account (20401).
- 14 2. \$8,400,000 from the miscellaneous special revenue fund, DOL fee and  
15 penalty account (21923), to the general fund.
- 16 3. \$3,300,000 from the unemployment insurance interest and penalty  
17 fund, unemployment insurance special interest and penalty account  
18 (23601), to the general fund.
- 19 4. \$5,000,000 from the miscellaneous special revenue fund, workers'  
20 compensation account (21995), to the training and education program  
21 occupation safety and health fund, occupational health inspection  
22 account (21252).
- 23 Mental Hygiene:
- 24 1. \$10,000,000 from the miscellaneous special revenue fund, mental  
25 hygiene patient income account (21909), to the miscellaneous special  
26 revenue fund, federal salary sharing account (22056).
- 27 2. \$1,800,000,000 from the general fund to the miscellaneous special  
28 revenue fund, mental hygiene patient income account (21909).
- 29 3. \$1,700,000,000 from the general fund to the miscellaneous special  
30 revenue fund, mental hygiene program fund account (21907).
- 31 4. \$100,000,000 from the miscellaneous special revenue fund, mental  
32 hygiene program fund account (21907), to the general fund.
- 33 5. \$100,000,000 from the miscellaneous special revenue fund, mental  
34 hygiene patient income account (21909), to the general fund.
- 35 6. \$3,800,000 from the miscellaneous special revenue fund, mental  
36 hygiene patient income account (21909), to the agencies internal service  
37 fund, civil service EHS occupational health program account (55056).
- 38 7. \$11,500,000 from the chemical dependence service fund, substance  
39 abuse services fund account (22700), to the capital projects fund  
40 (30000).
- 41 8. \$3,500,000 from the chemical dependence service fund, substance  
42 abuse services fund account (22700), to the mental hygiene capital  
43 improvement fund (32305).
- 44 9. \$15,000,000 from the chemical dependence service fund, substance  
45 abuse services fund account (22700), to the miscellaneous special reven-  
46 ue fund, mental hygiene program fund account (21907).
- 47 Public Protection:
- 48 1. \$1,350,000 from the miscellaneous special revenue fund, emergency  
49 management account (21944), to the general fund.
- 50 2. \$2,087,000 from the general fund to the miscellaneous special  
51 revenue fund, recruitment incentive account (22171).
- 52 3. \$12,000,000 from the general fund to the correctional industries  
53 revolving fund, correctional industries internal service account  
54 (55350).
- 55 4. \$3,000,000 from the federal miscellaneous operating grants fund,  
56 DMNA damage account (25324), to the general fund.

- 1 5. \$8,600,000 from the miscellaneous special revenue fund, criminal  
2 justice improvement account (21945), to the general fund.
- 3 6. \$112,420,000 from the state police motor vehicle law enforcement  
4 and motor vehicle theft and insurance fraud prevention fund, state  
5 police motor vehicle enforcement account (22802), to the general fund  
6 for state operation expenses of the division of state police.
- 7 7. A transfer of the unencumbered balance from the miscellaneous  
8 special revenue fund, seized assets account (22061), to the miscella-  
9 neous special revenue fund, seized assets account (22054).
- 10 8. \$117,500,000 from the general fund to the correctional facilities  
11 capital improvement fund (32350).
- 12 9. \$5,000,000 from the general fund to the dedicated highway and  
13 bridge trust fund (30050) for the purpose of work zone safety activities  
14 provided by the division of state police for the department of transpor-  
15 tation.
- 16 10. \$5,238,000 from the miscellaneous special revenue fund, statewide  
17 public safety communications account (22123), to the capital projects  
18 fund (30000).
- 19 11. \$9,545,000 from the miscellaneous special revenue fund, legal  
20 services assistance account (22096), to the general fund.
- 21 12. \$1,000,000 from the general fund to the agencies internal service  
22 fund, neighborhood work project account (55059).
- 23 13. \$5,940,556 from the miscellaneous special revenue fund, finger-  
24 print identification & technology account (21950), to the general fund.
- 25 14. \$4,300,000 from the state police motor vehicle law enforcement and  
26 motor vehicle theft and insurance fraud prevention fund, motor vehicle  
27 theft and insurance fraud account (22801), to the general fund.
- 28 15. \$50,000,000 from the miscellaneous special revenue fund, public  
29 safety communications account (22123), to the general fund.
- 30 16. \$2,000,000 from the general fund to the miscellaneous special  
31 revenue fund, crimes against revenue program account (22015).
- 32 Transportation:
- 33 1. \$17,672,000 from the federal miscellaneous operating grants fund to  
34 the miscellaneous special revenue fund, New York Metropolitan Transpor-  
35 tation Council account (21913).
- 36 2. \$20,147,000 from the federal capital projects fund to the miscella-  
37 neous special revenue fund, New York Metropolitan Transportation Council  
38 account (21913).
- 39 3. \$15,058,017 from the general fund to the mass transportation oper-  
40 ating assistance fund, public transportation systems operating assist-  
41 ance account (21401), of which \$12,000,000 constitutes the base need for  
42 operations.
- 43 4. \$720,000,000 from the general fund to the dedicated highway and  
44 bridge trust fund (30050).
- 45 5. \$3,662,000 from the miscellaneous special revenue fund, accident  
46 prevention course program account (22094), to the dedicated highway and  
47 bridge trust fund (30050).
- 48 6. \$3,065,000 from the miscellaneous special revenue fund, motorcycle  
49 safety account (21976), to the dedicated highway and bridge trust fund  
50 (30050).
- 51 7. \$244,250,000 from the general fund to the MTA financial assistance  
52 fund, mobility tax trust account (23651).
- 53 8. \$5,000,000 from the miscellaneous special revenue fund, transporta-  
54 tion regulation account (22067) to the dedicated highway and bridge  
55 trust fund (30050), for disbursements made from such fund for motor  
56 carrier safety that are in excess of the amounts deposited in the dedi-

1 cated highway and bridge trust fund (30050) for such purpose pursuant to  
2 section 94 of the transportation law.

3 9. \$114,000 from the miscellaneous special revenue fund, seized assets  
4 account (21906), to the dedicated highway and bridge trust fund (30050).

5 10. \$500,000 from the clean air fund, mobile source account (21452),  
6 to the general fund.

7 11. \$3,000,000 from the miscellaneous special revenue fund, traffic  
8 adjudication account (22055), to the general fund.

9 12. \$121,548,000 from the mass transportation operating assistance  
10 fund, metropolitan mass transportation operating assistance account  
11 (21402), to the capital projects fund (30000).

12 Miscellaneous:

13 1. \$250,000,000 from the general fund to any funds or accounts for the  
14 purpose of reimbursing certain outstanding accounts receivable balances.

15 2. \$500,000,000 from the general fund to the debt reduction reserve  
16 fund (40000).

17 3. \$450,000,000 from the New York state storm recovery capital fund  
18 (33000) to the revenue bond tax fund (40152).

19 4. \$15,500,000 from the general fund, community projects account GG  
20 (10256), to the general fund, state purposes account (10050).

21 S 3. Notwithstanding any law to the contrary, and in accordance with  
22 section 4 of the state finance law, the comptroller is hereby authorized  
23 and directed to transfer, on or before March 31, 2018:

24 1. Upon request of the commissioner of environmental conservation, up  
25 to \$12,234,600 from revenues credited to any of the department of envi-  
26 ronmental conservation special revenue funds, including \$4,000,000 from  
27 the environmental protection and oil spill compensation fund (21200),  
28 and \$1,793,600 from the conservation fund (21150), to the environmental  
29 conservation special revenue fund, indirect charges account (21060).

30 2. Upon request of the commissioner of agriculture and markets, up to  
31 \$3,000,000 from any special revenue fund or enterprise fund within the  
32 department of agriculture and markets to the general fund, to pay appro-  
33 priate administrative expenses.

34 3. Upon request of the commissioner of agriculture and markets, up to  
35 \$2,000,000 from the state exposition special fund, state fair receipts  
36 account (50051) to the miscellaneous capital projects fund, state fair  
37 capital improvement account (32208).

38 4. Upon request of the commissioner of the division of housing and  
39 community renewal, up to \$6,221,000 from revenues credited to any divi-  
40 sion of housing and community renewal federal or miscellaneous special  
41 revenue fund to the miscellaneous special revenue fund, housing indirect  
42 cost recovery account (22090).

43 5. Upon request of the commissioner of the division of housing and  
44 community renewal, up to \$5,500,000 may be transferred from any miscel-  
45 laneous special revenue fund account, to any miscellaneous special  
46 revenue fund.

47 6. Upon request of the commissioner of health up to \$8,500,000 from  
48 revenues credited to any of the department of health's special revenue  
49 funds, to the miscellaneous special revenue fund, administration account  
50 (21982).

51 S 4. On or before March 31, 2018, the comptroller is hereby authorized  
52 and directed to deposit earnings that would otherwise accrue to the  
53 general fund that are attributable to the operation of section 98-a of  
54 the state finance law, to the agencies internal service fund, banking  
55 services account (55057), for the purpose of meeting direct payments  
56 from such account.

1 S 5. Notwithstanding any law to the contrary, upon the direction of  
2 the director of the budget and upon requisition by the state university  
3 of New York, the dormitory authority of the state of New York is  
4 directed to transfer, up to \$22,000,000 in revenues generated from the  
5 sale of notes or bonds, the state university income fund general revenue  
6 account (22653) for reimbursement of bondable equipment for further  
7 transfer to the state's general fund.

8 S 6. Notwithstanding any law to the contrary, and in accordance with  
9 section 4 of the state finance law, the comptroller is hereby authorized  
10 and directed to transfer, upon request of the director of the budget and  
11 upon consultation with the state university chancellor or his or her  
12 designee, on or before March 31, 2018, up to \$16,000,000 from the state  
13 university income fund general revenue account (22653) to the state  
14 general fund for debt service costs related to campus supported capital  
15 project costs for the NY-SUNY 2020 challenge grant program at the  
16 University at Buffalo.

17 S 7. Notwithstanding any law to the contrary, and in accordance with  
18 section 4 of the state finance law, the comptroller is hereby authorized  
19 and directed to transfer, upon request of the director of the budget and  
20 upon consultation with the state university chancellor or his or her  
21 designee, on or before March 31, 2018, up to \$6,500,000 from the state  
22 university income fund general revenue account (22653) to the state  
23 general fund for debt service costs related to campus supported capital  
24 project costs for the NY-SUNY 2020 challenge grant program at the  
25 University at Albany.

26 S 8. Notwithstanding any law to the contrary, the state university  
27 chancellor or his or her designee is authorized and directed to transfer  
28 estimated tuition revenue balances from the state university collection  
29 fund (61000) to the state university income fund, state university  
30 general revenue offset account (22655) on or before March 31, 2018.

31 S 9. Notwithstanding any law to the contrary, and in accordance with  
32 section 4 of the state finance law, the comptroller is hereby authorized  
33 and directed to transfer, upon request of the director of the budget, up  
34 to \$78,564,000 from the general fund to the state university income  
35 fund, state university hospitals income reimbursable account (22656)  
36 during the period July 1, 2017 through June 30, 2018 to reflect ongoing  
37 state subsidy of SUNY hospitals and to pay costs attributable to the  
38 SUNY hospitals' state agency status.

39 S 10. Notwithstanding any law to the contrary, and in accordance with  
40 section 4 of the state finance law, the comptroller is hereby authorized  
41 and directed to transfer, upon request of the director of the budget, up  
42 to \$1,015,990,300 from the general fund to the state university income  
43 fund, state university general revenue offset account (22655) during the  
44 period of July 1, 2017 through June 30, 2018 to support operations at  
45 the state university.

46 S 11. Notwithstanding any law to the contrary, and in accordance with  
47 section 4 of the state financial law, the comptroller is hereby author-  
48 ized and directed to transfer, upon request of the director of the budg-  
49 et, up to \$100,000 from the general fund to the state university income  
50 fund, state university general revenue offset account (22655) during the  
51 period of April 1, 2017 through June 30, 2017 to support operations at  
52 the state university.

53 S 11-a. Notwithstanding any law to the contrary, and in accordance  
54 with section 4 of the state financial law, the comptroller is hereby  
55 authorized and directed to transfer, upon request of the director of the  
56 budget, up to \$20,000,000 from the general fund to the state university

1 income fund, state university general revenue offset account (22655)  
2 during the period of July 1, 2017 to June 30, 2018 to support operations  
3 at the state university in accordance with the maintenance of effort  
4 pursuant to clause (v) of subparagraph (4) of paragraph h of subdivision  
5 2 of section 355 of the education law.

6 S 12. Notwithstanding any law to the contrary, and in accordance with  
7 section 4 of the state finance law, the comptroller is hereby authorized  
8 and directed to transfer, upon request of the state university chancel-  
9 lor or his or her designee, up to \$55,000,000 from the state university  
10 income fund, state university hospitals income reimbursable account  
11 (22656), for services and expenses of hospital operations and capital  
12 expenditures at the state university hospitals; and the state university  
13 income fund, Long Island veterans' home account (22652) to the state  
14 university capital projects fund (32400) on or before June 30, 2018.

15 S 13. Notwithstanding any law to the contrary, and in accordance with  
16 section 4 of the state finance law, the comptroller, after consultation  
17 with the state university chancellor or his or her designee, is hereby  
18 authorized and directed to transfer moneys, in the first instance, from  
19 the state university collection fund, Stony Brook hospital collection  
20 account (61006), Brooklyn hospital collection account (61007), and Syra-  
21 cuse hospital collection account (61008) to the state university income  
22 fund, state university hospitals income reimbursable account (22656) in  
23 the event insufficient funds are available in the state university  
24 income fund, state university hospitals income reimbursable account  
25 (22656) to permit the full transfer of moneys authorized for transfer,  
26 to the general fund for payment of debt service related to the SUNY  
27 hospitals. Notwithstanding any law to the contrary, the comptroller is  
28 also hereby authorized and directed, after consultation with the state  
29 university chancellor or his or her designee, to transfer moneys from  
30 the state university income fund to the state university income fund,  
31 state university hospitals income reimbursable account (22656) in the  
32 event insufficient funds are available in the state university income  
33 fund, state university hospitals income reimbursable account (22656) to  
34 pay hospital operating costs or to permit the full transfer of moneys  
35 authorized for transfer, to the general fund for payment of debt service  
36 related to the SUNY hospitals on or before March 31, 2018.

37 S 14. Notwithstanding any law to the contrary, upon the direction of  
38 the director of the budget and the chancellor of the state university of  
39 New York or his or her designee, and in accordance with section 4 of the  
40 state finance law, the comptroller is hereby authorized and directed to  
41 transfer monies from the state university dormitory income fund (40350)  
42 to the state university residence hall rehabilitation fund (30100), and  
43 from the state university residence hall rehabilitation fund (30100) to  
44 the state university dormitory income fund (40350), in an amount not to  
45 exceed \$80 million from each fund.

46 S 15. Notwithstanding any law to the contrary, and in accordance with  
47 section 4 of the state finance law, the comptroller is hereby authorized  
48 and directed to transfer monies, upon request of the director of the  
49 budget, on or before March 31, 2018, from and to any of the following  
50 accounts: the miscellaneous special revenue fund, patient income account  
51 (21909), the miscellaneous special revenue fund, mental hygiene program  
52 fund account (21907), the miscellaneous special revenue fund, federal  
53 salary sharing account (22056), or the general fund in any combination,  
54 the aggregate of which shall not exceed \$350 million.

55 S 16. Notwithstanding any law to the contrary, and in accordance with  
56 section 4 of the state finance law, the comptroller is hereby authorized

1 and directed to transfer, at the request of the director of the budget,  
2 up to \$500 million from the unencumbered balance of any special revenue  
3 fund or account, agency fund or account, internal service fund or  
4 account, enterprise fund or account, or any combination of such funds  
5 and accounts, to the general fund. The amounts transferred pursuant to  
6 this authorization shall be in addition to any other transfers expressly  
7 authorized in the 2017-18 budget. Transfers from federal funds, debt  
8 service funds, capital projects funds, the community projects fund, or  
9 funds that would result in the loss of eligibility for federal benefits  
10 or federal funds pursuant to federal law, rule, or regulation as assent-  
11 ed to in chapter 683 of the laws of 1938 and chapter 700 of the laws of  
12 1951 are not permitted pursuant to this authorization.

13 S 16-a. Notwithstanding any law to the contrary, and in accordance  
14 with section 4 of the state finance law, the comptroller is hereby  
15 authorized and directed to transfer, at the request of the director of  
16 the budget, up to twenty million dollars (\$20,000,000) from the unencum-  
17 bered balance of any special revenue fund or account, or combination of  
18 funds and accounts, to the community projects fund. The amounts trans-  
19 ferred pursuant to this authorization shall be in addition to any other  
20 transfers expressly authorized in the 2017-18 budget. Transfers from  
21 federal funds, debt services funds, capital project funds, or funds that  
22 would result in the loss of eligibility for federal benefits or federal  
23 funds pursuant to federal law, rule, or regulation as assented to in  
24 chapter 683 of the laws of 1938 and chapter 700 of the laws of 1951 are  
25 not permitted pursuant to this authorization. The director of the budget  
26 shall (a) have received a request in writing from one or both houses of  
27 the legislature, and (b) notify both houses of the legislature in writ-  
28 ing prior to initiating transfers pursuant to this authorization. The  
29 comptroller shall provide the director of the budget, the chair of the  
30 senate finance committee, and the chair of the assembly ways and means  
31 committee with an accurate accounting and report of any transfers that  
32 occur pursuant to this section on or before the fifteenth day of the  
33 following month in which such transfers occur.

34 S 17. Notwithstanding any law to the contrary, and in accordance with  
35 section 4 of the state finance law, the comptroller is hereby authorized  
36 and directed to transfer, at the request of the director of the budget,  
37 up to \$100 million from any non-general fund or account, or combination  
38 of funds and accounts, to the miscellaneous special revenue fund, tech-  
39 nology financing account (22207), the miscellaneous capital projects  
40 fund, information technology capital financing account (32215), or the  
41 centralized technology services account (55069), for the purpose of  
42 consolidating technology procurement and services. The amounts trans-  
43 ferred to the miscellaneous special revenue fund, technology financing  
44 account (22207) pursuant to this authorization shall be equal to or less  
45 than the amount of such monies intended to support information technolo-  
46 gy costs which are attributable, according to a plan, to such account  
47 made in pursuance to an appropriation by law. Transfers to the technolo-  
48 gy financing account shall be completed from amounts collected by non-  
49 general funds or accounts pursuant to a fund deposit schedule or perma-  
50 nent statute, and shall be transferred to the technology financing  
51 account pursuant to a schedule agreed upon by the affected agency  
52 commissioner. Transfers from funds that would result in the loss of  
53 eligibility for federal benefits or federal funds pursuant to federal  
54 law, rule, or regulation as assented to in chapter 683 of the laws of  
55 1938 and chapter 700 of the laws of 1951 are not permitted pursuant to  
56 this authorization.



1 S 18. Notwithstanding any other law to the contrary, up to \$245  
2 million of the assessment reserves remitted to the chair of the workers'  
3 compensation board pursuant to subdivision 6 of section 151 of the work-  
4 ers' compensation law shall, at the request of the director of the budg-  
5 et, be transferred to the state insurance fund, for partial payment and  
6 partial satisfaction of the state's obligations to the state insurance  
7 fund under section 88-c of the workers' compensation law.

8 S 19. Notwithstanding any law to the contrary, and in accordance with  
9 section 4 of the state finance law, the comptroller is hereby authorized  
10 and directed to transfer, at the request of the director of the budget,  
11 up to \$400 million from any non-general fund or account, or combination  
12 of funds and accounts, to the general fund for the purpose of consol-  
13 idating technology procurement and services. The amounts transferred  
14 pursuant to this authorization shall be equal to or less than the amount  
15 of such monies intended to support information technology costs which  
16 are attributable, according to a plan, to such account made in pursuance  
17 to an appropriation by law. Transfers to the general fund shall be  
18 completed from amounts collected by non-general funds or accounts pursu-  
19 ant to a fund deposit schedule. Transfers from funds that would result  
20 in the loss of eligibility for federal benefits or federal funds pursu-  
21 ant to federal law, rule, or regulation as assented to in chapter 683 of  
22 the laws of 1938 and chapter 700 of the laws of 1951 are not permitted  
23 pursuant to this authorization.

24 S 20. Notwithstanding any provision of law, rule or regulation to the  
25 contrary, the New York state energy research and development authority  
26 is authorized and directed to make the following contributions to the  
27 state treasury to the credit of the general fund on or before March 31,  
28 2018: (a) \$913,000; and (b) \$23,000,000 from proceeds collected by the  
29 authority from the auction or sale of carbon dioxide emission allowances  
30 allocated by the department of environmental conservation.

31 S 21. Subdivision 5 of section 97-rrr of the state finance law, as  
32 amended by section 21 of part UU of chapter 54 of the laws of 2016, is  
33 amended to read as follows:

34 5. Notwithstanding the provisions of section one hundred seventy-one-a  
35 of the tax law, as separately amended by chapters four hundred eighty-  
36 one and four hundred eighty-four of the laws of nineteen hundred eight-  
37 y-one, and notwithstanding the provisions of chapter ninety-four of the  
38 laws of two thousand eleven, or any other provisions of law to the  
39 contrary, during the fiscal year beginning April first, two thousand  
40 [sixteen] SEVENTEEN, the state comptroller is hereby authorized and  
41 directed to deposit to the fund created pursuant to this section from  
42 amounts collected pursuant to article twenty-two of the tax law and  
43 pursuant to a schedule submitted by the director of the budget, up to  
44 [\$3,283,844,000] \$2,679,997,000, as may be certified in such schedule as  
45 necessary to meet the purposes of such fund for the fiscal year begin-  
46 ning April first, two thousand [sixteen] SEVENTEEN.

47 S 22. Notwithstanding any law to the contrary, the comptroller is  
48 hereby authorized and directed to transfer, upon request of the director  
49 of the budget, on or before March 31, 2018, the following amounts from  
50 the following special revenue accounts to the capital projects fund  
51 (30000), for the purposes of reimbursement to such fund for expenses  
52 related to the maintenance and preservation of state assets:

53 1. \$43,000 from the miscellaneous special revenue fund, administrative  
54 program account (21982).

55 2. \$1,478,000 from the miscellaneous special revenue fund, helen hayes  
56 hospital account (22140).

1 3. \$366,000 from the miscellaneous special revenue fund, New York city  
2 veterans' home account (22141).

3 4. \$513,000 from the miscellaneous special revenue fund, New York  
4 state home for veterans' and their dependents at oxford account (22142).

5 5. \$159,000 from the miscellaneous special revenue fund, western New  
6 York veterans' home account (22143).

7 6. \$323,000 from the miscellaneous special revenue fund, New York  
8 state for veterans in the lower-hudson valley account (22144).

9 7. \$2,550,000 from the miscellaneous special revenue fund, patron  
10 services account (22163).

11 8. \$41,930,000 from the miscellaneous special revenue fund, state  
12 university dormitory income reimbursable account (21937).

13 9. \$830,000 from the miscellaneous special revenue fund, long island  
14 veterans' home account (22652).

15 10. \$5,379,000 from the miscellaneous special revenue fund, state  
16 university general income reimbursable account (22653).

17 11. \$112,556,000 from the miscellaneous special revenue fund, state  
18 university revenue offset account (22655).

19 12. \$557,000 from the miscellaneous special revenue fund, state  
20 university of New York tuition reimbursement account (22659).

21 S 22-a. Intentionally omitted.

22 S 22-b. Section 97-rrr of the state finance law, as amended by section  
23 45 of part H of chapter 56 of the laws of 2000, is amended by adding a  
24 new subdivision 4 to read as follows:

25 4. ANY AMOUNTS DISBURSED FROM SUCH FUND SHALL BE EXCLUDED FROM THE  
26 CALCULATION OF ANNUAL SPENDING GROWTH IN STATE OPERATING FUNDS UNTIL  
27 JUNE 30, 2019.

28 S 22-c. Subdivision 1 of section 4 of section 1 of part D3 of chapter  
29 62 of the laws of 2003 amending the general business law and other laws  
30 relating to implementing the state fiscal plan for the 2003-2004 state  
31 fiscal year, is amended to read as follows:

32 1. The state representative, upon the execution of a sale agreement on  
33 behalf of the state may sell to the corporation, and the corporation may  
34 purchase, for cash or other consideration and in one or more install-  
35 ments, all or a portion of the state's share. Any such agreement shall  
36 provide, among other matters, that the purchase price payable by the  
37 corporation to the state for such state's share or portion thereof shall  
38 consist of the net proceeds of the bonds issued to finance such purchase  
39 price and the residual interests, if any. [The] NOTWITHSTANDING SECTION  
40 121 OF THE STATE FINANCE LAW OR ANY OTHER LAW TO THE CONTRARY, THE resi-  
41 dual interests shall be deposited into [the tobacco settlement fund  
42 pursuant to section 92-x of the state finance law, unless otherwise  
43 directed by statute] THE MEDICAID MANAGEMENT INFORMATION SYSTEM (MMIS)  
44 STATEWIDE ESCROW FUND WITHIN THIRTY DAYS UPON THE AVAILABILITY OF SUCH  
45 RESIDUAL INTERESTS TO FUND A PORTION OF THE CUMULATIVE NON-FEDERAL SHARE  
46 OF EXPENSES RELATED TO THE STATE TAKEOVER OF THE LOCAL SHARE OF MEDICAID  
47 GROWTH PURSUANT TO PART F OF CHAPTER 56 OF THE LAWS OF 2012. SUCH  
48 DEPOSIT SHALL BE IN AN AMOUNT EQUAL TO (A) THE AMOUNT OF RESIDUAL INTER-  
49 ESTS SCHEDULED FOR DEPOSIT INTO THE MMIS STATEWIDE ESCROW FUND IN THE  
50 APPLICABLE YEAR'S ENACTED BUDGET FINANCIAL PLAN AS UPDATED OR (B) THE  
51 TOTAL AMOUNT OF RESIDUAL INTERESTS AVAILABLE IF THE TOTAL AMOUNT OF SUCH  
52 RESIDUAL INTERESTS IS LESS THAN THE TOTAL AMOUNT OF RESIDUAL INTERESTS  
53 SCHEDULED FOR DEPOSIT INTO THE MMIS STATEWIDE ESCROW FUND IN THE APPLI-  
54 CABLE YEAR'S ENACTED BUDGET FINANCIAL PLAN AS UPDATED. AT THE DISCRETION  
55 OF THE STATE REPRESENTATIVE, ANY RESIDUAL INTERESTS WHICH EXCEED THE  
56 AMOUNT SCHEDULED FOR DEPOSIT INTO THE MMIS STATEWIDE ESCROW FUND IN THE

1 APPLICABLE YEAR'S ENACTED BUDGET FINANCIAL PLAN AS UPDATED MAY EITHER BE  
2 DEPOSITED INTO THE (I) MMIS STATEWIDE ESCROW FUND TO FUND A PORTION, AS  
3 DETERMINED BY THE STATE REPRESENTATIVE, OF THE CUMULATIVE NON-FEDERAL  
4 SHARE OF EXPENSES RELATED TO THE STATE TAKEOVER OF THE LOCAL SHARE OF  
5 MEDICAID GROWTH, PURSUANT TO PART F OF CHAPTER 56 OF THE LAWS OF 2012,  
6 OR (II) THE STATE GENERAL FUND; provided, however that any residual  
7 interest derived from other assets shall be applied as directed by stat-  
8 ute. NOTWITHSTANDING ANY OTHER LAW TO THE CONTRARY, THE AMOUNT USED FROM  
9 SUCH DEPOSIT TO FUND A PORTION OF THE CUMULATIVE NON-FEDERAL SHARE OF  
10 EXPENSES RELATED TO THE STATE TAKEOVER OF THE LOCAL SHARE OF MEDICAID  
11 GROWTH SHALL BE PAID WITHOUT APPROPRIATION. Any such sale shall be  
12 pursuant to one or more sale agreements which may contain such terms and  
13 conditions deemed necessary by the state representative to carry out and  
14 effectuate the purposes of this section, including covenants binding the  
15 state in favor of the corporation and its assignees, including the  
16 owners of its bonds such as covenants with respect to the enforcement at  
17 the expense of the state of the payment provisions of the master settle-  
18 ment agreement, the diligent enforcement at the expense of the state of  
19 the qualifying statute, the application and use of the proceeds of the  
20 sale of the state's share to preserve the tax-exemption on the bonds,  
21 the interest on which is intended to be exempt from federal income tax,  
22 issued to finance the purchase thereof and otherwise as provided in this  
23 act. Notwithstanding the foregoing, neither the state representative nor  
24 the corporation shall be authorized to make any covenant, pledge, prom-  
25 ise or agreement purporting to bind the state with respect to pledged  
26 tobacco revenues, except as otherwise specifically authorized by this  
27 act.

28 S 22-d. The state finance law is amended by adding a new section 99-aa  
29 to read as follows:

30 S 99-AA. RETIREE HEALTH BENEFIT TRUST FUND. 1. THERE IS HEREBY ESTAB-  
31 LISHED IN THE JOINT CUSTODY OF THE COMMISSIONER OF THE DEPARTMENT OF  
32 CIVIL SERVICE AND THE STATE COMPTROLLER A SPECIAL INVESTMENT TRUST FUND  
33 TO BE KNOWN AS THE RETIREE HEALTH BENEFIT TRUST FUND, WHICH SHALL BE  
34 CLASSIFIED AS A FIDUCIARY FUND TYPE.

35 2. FOR PURPOSES OF THIS SECTION: (A) "COMMISSIONER" SHALL MEAN THE  
36 COMMISSIONER OF THE DEPARTMENT OF CIVIL SERVICE;

37 (B) "STATE" SHALL MEAN THE STATE OF NEW YORK;

38 (C) "FUND", OR "TRUST", OR "TRUST FUND" SHALL MEAN THE RETIREE HEALTH  
39 BENEFIT TRUST FUND CREATED BY THIS SECTION; AND

40 (D) "RETIREE HEALTH BENEFITS" SHALL MEAN BENEFITS, EXCEPT PENSIONS OR  
41 OTHER BENEFITS FUNDED THROUGH A PUBLIC RETIREMENT SYSTEM, PROVIDED OR TO  
42 BE PROVIDED BY THE STATE AS COMPENSATION, WHETHER PURSUANT TO STATUTE,  
43 CONTRACT OR OTHER LAWFUL AUTHORITY, TO ITS CURRENT OR FORMER OFFICERS OR  
44 EMPLOYEES, OR THEIR FAMILIES OR BENEFICIARIES, AFTER SERVICE TO THE  
45 STATE HAS ENDED, INCLUDING, BUT NOT LIMITED TO, HEALTH CARE BENEFITS.

46 3. (A) NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, THE RETI-  
47 REE HEALTH BENEFIT TRUST FUND IS ESTABLISHED FOR THE EXCLUSIVE BENEFIT  
48 OF RETIRED STATE EMPLOYEES AND THEIR DEPENDENTS.

49 (B) THE SOLE PURPOSE OF THE TRUST FUND ESTABLISHED PURSUANT TO SUBDI-  
50 VISION ONE OF THIS SECTION SHALL BE TO FUND THE RETIREE HEALTH BENEFITS  
51 OF RETIRED STATE EMPLOYEES AND THEIR DEPENDENTS.

52 4. (A) PAYMENTS INTO AND FROM THE TRUST FUND ESTABLISHED PURSUANT TO  
53 SUBDIVISION ONE OF THIS SECTION SHALL BE MADE IN ACCORDANCE WITH THIS  
54 SECTION.

55 (B) CONTRIBUTIONS TO THE TRUST, AND ANY INTEREST OR OTHER INCOME OR  
56 EARNINGS ON CONTRIBUTIONS, SHALL BE IRREVOCABLE BEFORE ALL LIABILITIES

1 OF THE STATE GOVERNMENT FOR RETIREE HEALTH BENEFITS HAVE BEEN SATISFIED  
2 AND SHALL BE SOLELY DEDICATED TO, AND USED SOLELY FOR, PROVIDING RETIREE  
3 HEALTH BENEFITS AND PAYING APPROPRIATE AND REASONABLE EXPENSES OF ADMIN-  
4 ISTERING THE TRUST. NO ASSETS, INCOME, EARNINGS OR DISTRIBUTIONS OF THE  
5 TRUST SHALL BE SUBJECT TO ANY CLAIM OF CREDITORS OF THE STATE, OR TO  
6 ASSIGNMENT OR EXECUTION, ATTACHMENT OR ANY OTHER CLAIM ENFORCEMENT PROC-  
7 ESS INITIATED BY OR ON BEHALF OF SUCH CREDITORS. EXCEPT AS OTHERWISE  
8 PROVIDED IN SUBDIVISION EIGHT OF THIS SECTION, THE COMMISSIONER SHALL  
9 NOT BE RESPONSIBLE FOR THE ADEQUACY OF THE ASSETS OF THE TRUST TO MEET  
10 ANY OTHER POST-EMPLOYMENT BENEFIT. THE TRUST MAY BE TERMINATED ONLY  
11 WHEN ALL LIABILITIES OF THE STATE FOR RETIREE HEALTH BENEFITS HAVE BEEN  
12 SATISFIED AND THERE IS NO PRESENT OR FUTURE OBLIGATION, CONTINGENT OR  
13 OTHERWISE, OF THE STATE TO PROVIDE SUCH RETIREE HEALTH BENEFITS. UPON  
14 SUCH TERMINATION, ANY REMAINING TRUST ASSETS, AFTER ANY PROPER EXPENSES  
15 OF THE TRUST HAVE BEEN PAID, SHALL REVERT TO THE STATE.

16 (C) AT THE REQUEST OF THE DIRECTOR OF THE BUDGET, THE STATE COMP-  
17 TROLLER SHALL TRANSFER MONIES FROM THE GENERAL FUND TO THE TRUST FUND UP  
18 TO AND INCLUDING AN AMOUNT EQUIVALENT TO FIFTY ONE-HUNDREDTHS OF ONE PER  
19 CENTUM OF THE TOTAL ACTUARIAL ACCRUED LIABILITY INCLUDED IN THE STATE OF  
20 NEW YORK COMPREHENSIVE ANNUAL FINANCIAL REPORT.

21 (D) ANY USE OF FUNDS FOR RETIREE HEALTH BENEFITS FROM SUCH TRUST FUND  
22 SHALL NOT BE SUBJECT TO AN APPROPRIATION AND SHALL BE TRANSFERRED BY THE  
23 STATE COMPTROLLER, AT THE REQUEST OF THE DIRECTOR OF THE BUDGET, TO THE  
24 EXTENT FUNDS ARE AVAILABLE IN SUCH TRUST FUND, TO THE HEALTH INSURANCE  
25 FUND FOR THE SOLE AND EXCLUSIVE PURPOSE OF FUNDING RETIREE HEALTH BENE-  
26 FITS. THE DIRECTOR OF THE BUDGET SHALL NOTIFY BOTH HOUSES OF THE LEGIS-  
27 LATURE IN WRITING THIRTY DAYS PRIOR TO INITIATING TRANSFERS PURSUANT TO  
28 THIS AUTHORIZATION.

29 5. INVESTMENTS. (A) THE COMMISSIONER MAY ESTABLISH A TRUST IN JOINT  
30 CUSTODY WITH THE STATE COMPTROLLER FOR THE PURPOSE OF ACCUMULATING  
31 ASSETS TO FUND THE COST OF PROVIDING RETIREE HEALTH BENEFITS.

32 (B) THE COMMISSIONER IS HEREBY DECLARED TO BE THE TRUSTEE OF THE TRUST  
33 ESTABLISHED PURSUANT TO SUBDIVISION ONE OF THIS SECTION, AND THE COMMIS-  
34 SIONER SHALL DELEGATE RESPONSIBILITY FOR MANAGING THE INVESTMENTS OF THE  
35 TRUST FUND ESTABLISHED PURSUANT TO SUBDIVISION ONE OF THIS SECTION TO  
36 THE STATE COMPTROLLER. THE STATE COMPTROLLER SHALL MANAGE THE INVEST-  
37 MENTS OF THE TRUST FUND ESTABLISHED PURSUANT TO SUBDIVISION ONE OF THIS  
38 SECTION IN A CAREFUL AND PRUDENT MANNER CONSISTENT WITH THE GUIDELINES  
39 AND PROVISIONS OF SECTION NINETY-EIGHT THIS ARTICLE.

40 (C) ANY INTEREST OR OTHER INCOME OR EARNINGS RESULTING FROM THE  
41 INVESTMENT OF ASSETS OF THE TRUST SHALL ACCRUE TO AND BECOME PART OF THE  
42 ASSETS OF THE TRUST.

43 6. IN ACCORDANCE WITH PARAGRAPH (B) OF SUBDIVISION FIVE OF THIS  
44 SECTION, THE STATE COMPTROLLER SHALL DEVELOP, IN CONSULTATION WITH THE  
45 STATE HEALTH INSURANCE COUNCIL, A WRITTEN INVESTMENT POLICY FOR SELECT-  
46 ING INVESTMENT OPTIONS IN A MANNER CONSISTENT WITH THE INVESTMENT  
47 OPTIONS PRESCRIBED IN SECTION NINETY-EIGHT OF THIS ARTICLE SO THAT THE  
48 STATE COMPTROLLER MAY BE ABLE TO INVEST FUND MONIES IN ACCORDANCE WITH  
49 SUCH POLICY. SUCH POLICY SHALL INCLUDE A STATEMENT OF INVESTMENT OBJEC-  
50 TIVES ADDRESSING, IN THE FOLLOWING ORDER OF PRIORITY, THE ABILITY TO  
51 TIMELY MEET DISBURSEMENT REQUESTS WITHOUT FORCED SALE OF ASSETS, SAFETY  
52 OF PRINCIPAL AND ATTAINMENT OF MARKET RATES OF RETURN.

53 7. NEITHER THE STATE NOR THE COMMISSIONER SHALL BE LIABLE FOR ANY LOSS  
54 OR EXPENSE SUFFERED BY THE TRUST IN THE ABSENCE OF BAD FAITH, WILLFUL  
55 MISCONDUCT OR INTENTIONAL WRONGDOING. THE COMMISSIONER SHALL BE CONSID-  
56 ERED TO BE ACTING AS AN OFFICER OF THE STATE FOR PURPOSES OF SECTION

1 SEVENTEEN OF THE PUBLIC OFFICERS LAW, PROVIDED, HOWEVER, THAT THE COSTS  
2 OF ANY DEFENSE OR INDEMNIFICATION OF THE COMMISSIONER ARISING FROM THE  
3 EXERCISE OF THE FUNCTIONS OF TRUSTEE SHALL BE PAYABLE FROM THE ASSETS OF  
4 THE TRUST.

5 8. NOTHING CONTAINED IN THIS SECTION SHALL BE INTERPRETED OR CONSTRUED  
6 TO: (A) CREATE ANY OBLIGATION IN, IMPOSE ANY OBLIGATION ON, OR ALTER ANY  
7 OBLIGATION OF THE STATE TO PROVIDE RETIREE HEALTH BENEFITS;

8 (B) LIMIT OR RESTRICT THE AUTHORITY OF THE STATE TO MODIFY OR ELIMI-  
9 NATE RETIREE HEALTH BENEFITS;

10 (C) ASSURE OR DENY RETIREE HEALTH BENEFITS; OR

11 (D) REQUIRE THE STATE TO FUND ITS LIABILITY FOR RETIREE HEALTH BENE-  
12 FITS.

13 S 22-e. In the event that the federal budget or continuing resolutions  
14 in force for federal fiscal years 2017 or 2018, or both, or federal  
15 statutory or regulatory changes, reduce federal financial participation  
16 in Medicaid funding to New York state or its subdivisions by \$850  
17 million or more, the director of the division of the budget shall notify  
18 the temporary president of the senate and the speaker of the assembly in  
19 writing that the federal actions will reduce expected funding to New  
20 York state. The director of the division of the budget shall prepare a  
21 plan that shall be submitted to the legislature, which shall (a) specify  
22 the total amount of the reduction in federal financial participation in  
23 Medicaid, (b) itemize the specific programs and activities that will be  
24 affected by the reduction in federal financial participation in Medi-  
25 caid, and (c) identify the general fund and state special revenue fund  
26 appropriations and related disbursements that shall be reduced, and in  
27 what program areas, provided, however, that such reductions to appropri-  
28 ations and disbursements shall be applied equally and proportionally to  
29 the programs affected by the reduction. Upon such submission, the legis-  
30 lature shall have 90 days after such submission to either prepare its  
31 own plan, which may be adopted by concurrent resolution passed by both  
32 houses, or if after 90 days the legislature fails to adopt their own  
33 plan, the reductions to the general fund and state special revenue fund  
34 appropriations and related disbursements identified in the division of  
35 the budget plan will go into effect automatically.

36 S 22-f. In the event that the federal budget or continuing resolutions  
37 in force for federal fiscal years 2017 or 2018, or both, or federal  
38 statutory or regulatory changes, reduce federal financial participation  
39 or other federal aid in funding to New York state that affects the state  
40 operating funds financial plan by \$850 million or more, exclusive of any  
41 cuts to Medicaid, the director of the division of the budget shall noti-  
42 fy the temporary president of the senate and the speaker of the assembly  
43 in writing that the federal actions will reduce expected funding to New  
44 York state. The director of the division of the budget shall prepare a  
45 plan that shall be submitted to the legislature, which shall (a) specify  
46 the total amount of the reduction in federal aid, (b) itemize the  
47 specific programs and activities that will be affected by the federal  
48 reductions, exclusive of Medicaid, and (c) identify the general fund and  
49 state special revenue fund appropriations and related disbursements that  
50 shall be reduced, and in what program areas, provided, however, that  
51 such reductions to appropriations and disbursements shall be applied  
52 equally and proportionally. Upon such submission, the legislature shall  
53 have 90 days after such submission to either prepare its own plan, which  
54 may be adopted by concurrent resolution passed by both houses, or if  
55 after 90 days the legislature fails to adopt their own plan, the  
56 reductions to the general fund and state special revenue fund appropri-

1 ations and related disbursements identified in the division of the budg-  
2 et plan will go into effect automatically.

3 S 23. Notwithstanding any other law, rule, or regulation to the  
4 contrary, the state comptroller is hereby authorized and directed to use  
5 any balance remaining in the mental health services fund debt service  
6 appropriation, after payment by the state comptroller of all obligations  
7 required pursuant to any lease, sublease, or other financing arrangement  
8 between the dormitory authority of the state of New York as successor to  
9 the New York state medical care facilities finance agency, and the  
10 facilities development corporation pursuant to chapter 83 of the laws of  
11 1995 and the department of mental hygiene for the purpose of making  
12 payments to the dormitory authority of the state of New York for the  
13 amount of the earnings for the investment of monies deposited in the  
14 mental health services fund that such agency determines will or may have  
15 to be rebated to the federal government pursuant to the provisions of  
16 the internal revenue code of 1986, as amended, in order to enable such  
17 agency to maintain the exemption from federal income taxation on the  
18 interest paid to the holders of such agency's mental services facilities  
19 improvement revenue bonds. Annually on or before each June 30th, such  
20 agency shall certify to the state comptroller its determination of the  
21 amounts received in the mental health services fund as a result of the  
22 investment of monies deposited therein that will or may have to be  
23 rebated to the federal government pursuant to the provisions of the  
24 internal revenue code of 1986, as amended.

25 S 24. Subdivision 1 of section 47 of section 1 of chapter 174 of the  
26 laws of 1968, constituting the New York state urban development corpo-  
27 ration act, as amended by section 29 of part UU of chapter 54 of the  
28 laws of 2016, is amended to read as follows:

29 1. Notwithstanding the provisions of any other law to the contrary,  
30 the dormitory authority and the corporation are hereby authorized to  
31 issue bonds or notes in one or more series for the purpose of funding  
32 project costs for the office of information technology services, depart-  
33 ment of law, and other state costs associated with such capital  
34 projects. The aggregate principal amount of bonds authorized to be  
35 issued pursuant to this section shall not exceed [three] FOUR hundred  
36 [sixty-four] FIFTY million [eight] FIVE hundred forty thousand dollars,  
37 excluding bonds issued to fund one or more debt service reserve funds,  
38 to pay costs of issuance of such bonds, and bonds or notes issued to  
39 refund or otherwise repay such bonds or notes previously issued. Such  
40 bonds and notes of the dormitory authority and the corporation shall not  
41 be a debt of the state, and the state shall not be liable thereon, nor  
42 shall they be payable out of any funds other than those appropriated by  
43 the state to the dormitory authority and the corporation for principal,  
44 interest, and related expenses pursuant to a service contract and such  
45 bonds and notes shall contain on the face thereof a statement to such  
46 effect. Except for purposes of complying with the internal revenue code,  
47 any interest income earned on bond proceeds shall only be used to pay  
48 debt service on such bonds.

49 S 25. Subdivision 1 of section 16 of part D of chapter 389 of the laws  
50 of 1997, relating to the financing of the correctional facilities  
51 improvement fund and the youth facility improvement fund, as amended by  
52 section 30 of part UU of chapter 54 of the laws of 2016, is amended to  
53 read as follows:

54 1. Subject to the provisions of chapter 59 of the laws of 2000, but  
55 notwithstanding the provisions of section 18 of section 1 of chapter 174  
56 of the laws of 1968, the New York state urban development corporation is

1 hereby authorized to issue bonds, notes and other obligations in an  
2 aggregate principal amount not to exceed seven billion [four] SEVEN  
3 hundred [twenty-four] FORTY-ONE million [nine] ONE hundred ninety-nine  
4 thousand dollars [\$7,424,999,000] \$7,741,199,000, and shall include all  
5 bonds, notes and other obligations issued pursuant to chapter 56 of the  
6 laws of 1983, as amended or supplemented. The proceeds of such bonds,  
7 notes or other obligations shall be paid to the state, for deposit in  
8 the correctional facilities capital improvement fund to pay for all or  
9 any portion of the amount or amounts paid by the state from appropri-  
10 ations or reappropriations made to the department of corrections and  
11 community supervision from the correctional facilities capital improve-  
12 ment fund for capital projects. The aggregate amount of bonds, notes or  
13 other obligations authorized to be issued pursuant to this section shall  
14 exclude bonds, notes or other obligations issued to refund or otherwise  
15 repay bonds, notes or other obligations theretofore issued, the proceeds  
16 of which were paid to the state for all or a portion of the amounts  
17 expended by the state from appropriations or reappropriations made to  
18 the department of corrections and community supervision; provided,  
19 however, that upon any such refunding or repayment the total aggregate  
20 principal amount of outstanding bonds, notes or other obligations may be  
21 greater than seven billion [four] SEVEN hundred [twenty-four] FORTY-ONE  
22 million [nine] ONE hundred ninety-nine thousand dollars [\$7,424,999,000]  
23 \$7,741,199,000, only if the present value of the aggregate debt service  
24 of the refunding or repayment bonds, notes or other obligations to be  
25 issued shall not exceed the present value of the aggregate debt service  
26 of the bonds, notes or other obligations so to be refunded or repaid.  
27 For the purposes hereof, the present value of the aggregate debt service  
28 of the refunding or repayment bonds, notes or other obligations and of  
29 the aggregate debt service of the bonds, notes or other obligations so  
30 refunded or repaid, shall be calculated by utilizing the effective  
31 interest rate of the refunding or repayment bonds, notes or other obli-  
32 gations, which shall be that rate arrived at by doubling the semi-annual  
33 interest rate (compounded semi-annually) necessary to discount the debt  
34 service payments on the refunding or repayment bonds, notes or other  
35 obligations from the payment dates thereof to the date of issue of the  
36 refunding or repayment bonds, notes or other obligations and to the  
37 price bid including estimated accrued interest or proceeds received by  
38 the corporation including estimated accrued interest from the sale ther-  
39 eof.

40 S 26. Paragraph (a) of subdivision 2 of section 47-e of the private  
41 housing finance law, as amended by section 31 of part UU of chapter 54  
42 of the laws of 2016, is amended to read as follows:

43 (a) Subject to the provisions of chapter fifty-nine of the laws of two  
44 thousand, in order to enhance and encourage the promotion of housing  
45 programs and thereby achieve the stated purposes and objectives of such  
46 housing programs, the agency shall have the power and is hereby author-  
47 ized from time to time to issue negotiable housing program bonds and  
48 notes in such principal amount as shall be necessary to provide suffi-  
49 cient funds for the repayment of amounts disbursed (and not previously  
50 reimbursed) pursuant to law or any prior year making capital appropri-  
51 ations or reappropriations for the purposes of the housing program;  
52 provided, however, that the agency may issue such bonds and notes in an  
53 aggregate principal amount not exceeding [four] FIVE billion [six] THREE  
54 hundred [ninety-seven] EIGHTY-FOUR million [four] ONE hundred [seventy-  
55 four] NINETY-NINE thousand dollars, plus a principal amount of bonds  
56 issued to fund the debt service reserve fund in accordance with the debt

1 service reserve fund requirement established by the agency and to fund  
2 any other reserves that the agency reasonably deems necessary for the  
3 security or marketability of such bonds and to provide for the payment  
4 of fees and other charges and expenses, including underwriters'  
5 discount, trustee and rating agency fees, bond insurance, credit  
6 enhancement and liquidity enhancement related to the issuance of such  
7 bonds and notes. No reserve fund securing the housing program bonds  
8 shall be entitled or eligible to receive state funds apportioned or  
9 appropriated to maintain or restore such reserve fund at or to a partic-  
10 ular level, except to the extent of any deficiency resulting directly or  
11 indirectly from a failure of the state to appropriate or pay the agreed  
12 amount under any of the contracts provided for in subdivision four of  
13 this section.

14 S 27. Subdivision (b) of section 11 of chapter 329 of the laws of  
15 1991, amending the state finance law and other laws relating to the  
16 establishment of the dedicated highway and bridge trust fund, as amended  
17 by section 32 of part UU of chapter 54 of the laws of 2016, is amended  
18 to read as follows:

19 (b) Any service contract or contracts for projects authorized pursuant  
20 to sections 10-c, 10-f, 10-g and 80-b of the highway law and section  
21 14-k of the transportation law, and entered into pursuant to subdivision  
22 (a) of this section, shall provide for state commitments to provide  
23 annually to the thruway authority a sum or sums, upon such terms and  
24 conditions as shall be deemed appropriate by the director of the budget,  
25 to fund, or fund the debt service requirements of any bonds or any obli-  
26 gations of the thruway authority issued to fund or to reimburse the  
27 state for funding such projects having a cost not in excess of  
28 [\$9,147,234,000] \$9,699,586,000 cumulatively by the end of fiscal year  
29 [2016-17] 2017-18.

30 S 28. Subdivision 1 of section 1689-i of the public authorities law,  
31 as amended by section 33 of part UU of chapter 54 of the laws of 2016,  
32 is amended to read as follows:

33 1. The dormitory authority is authorized to issue bonds, at the  
34 request of the commissioner of education, to finance eligible library  
35 construction projects pursuant to section two hundred seventy-three-a of  
36 the education law, in amounts certified by such commissioner not to  
37 exceed a total principal amount of one hundred [fifty-nine] EIGHTY-THREE  
38 million dollars.

39 S 29. Subdivision (a) of section 27 of part Y of chapter 61 of the  
40 laws of 2005, relating to providing for the administration of certain  
41 funds and accounts related to the 2005-2006 budget, as amended by  
42 section 34 of part UU of chapter 54 of the laws of 2016, is amended to  
43 read as follows:

44 (a) Subject to the provisions of chapter 59 of the laws of 2000, but  
45 notwithstanding any provisions of law to the contrary, the urban devel-  
46 opment corporation is hereby authorized to issue bonds or notes in one  
47 or more series in an aggregate principal amount not to exceed  
48 [\$167,600,000] \$173,600,000, excluding bonds issued to finance one or  
49 more debt service reserve funds, to pay costs of issuance of such bonds,  
50 and bonds or notes issued to refund or otherwise repay such bonds or  
51 notes previously issued, for the purpose of financing capital projects  
52 including IT initiatives for the division of state police, debt service  
53 and leases; and to reimburse the state general fund for disbursements  
54 made therefor. Such bonds and notes of such authorized issuer shall not  
55 be a debt of the state, and the state shall not be liable thereon, nor  
56 shall they be payable out of any funds other than those appropriated by



1 the state to such authorized issuer for debt service and related  
2 expenses pursuant to any service contract executed pursuant to subdivi-  
3 sion (b) of this section and such bonds and notes shall contain on the  
4 face thereof a statement to such effect. Except for purposes of comply-  
5 ing with the internal revenue code, any interest income earned on bond  
6 proceeds shall only be used to pay debt service on such bonds.

7 S 30. Section 44 of section 1 of chapter 174 of the laws of 1968,  
8 constituting the New York state urban development corporation act, as  
9 amended by section 35 of part UU of chapter 54 of the laws of 2016, is  
10 amended to read as follows:

11 S 44. Issuance of certain bonds or notes. 1. Notwithstanding the  
12 provisions of any other law to the contrary, the dormitory authority and  
13 the corporation are hereby authorized to issue bonds or notes in one or  
14 more series for the purpose of funding project costs for the regional  
15 economic development council initiative, the economic transformation  
16 program, state university of New York college for nanoscale and science  
17 engineering, projects within the city of Buffalo or surrounding envi-  
18 rons, the New York works economic development fund, projects for the  
19 retention of professional football in western New York, the empire state  
20 economic development fund, the clarkson-trudeau partnership, the New  
21 York genome center, the cornell university college of veterinary medi-  
22 cine, the olympic regional development authority, projects at nano  
23 Utica, onondaga county revitalization projects, Binghamton university  
24 school of pharmacy, New York power electronics manufacturing consortium,  
25 regional infrastructure projects, high technology manufacturing projects  
26 in Chautauqua and Erie county, an industrial scale research and develop-  
27 ment facility in Clinton county, upstate revitalization initiative  
28 projects, market New York projects, fairground buildings, EQUIPMENT or  
29 facilities used to house and promote agriculture, THE STATE FAIR, THE  
30 EMPIRE STATE TRAIL, THE MOYNIHAN STATION DEVELOPMENT PROJECT, THE KINGS-  
31 BRIDGE ARMORY PROJECT, STRATEGIC ECONOMIC DEVELOPMENT PROJECTS, THE  
32 CULTURAL, ARTS AND PUBLIC SPACES FUND, WATER INFRASTRUCTURE IN THE CITY  
33 OF AUBURN AND TOWN OF OWASCO, A LIFE SCIENCES LABORATORY PUBLIC HEALTH  
34 INITIATIVE, NOT-FOR-PROFIT POUNDS, SHELTERS AND HUMANE SOCIETIES, ARTS  
35 AND CULTURAL FACILITIES IMPROVEMENT PROGRAM, RESTORE NEW YORK'S COMMUNI-  
36 TIES INITIATIVE, HEAVY EQUIPMENT, ECONOMIC DEVELOPMENT AND INFRASTRUC-  
37 TURE PROJECTS, and other state costs associated with such projects. The  
38 aggregate principal amount of bonds authorized to be issued pursuant to  
39 this section shall not exceed [four] SIX billion [six] SEVEN hundred  
40 [seventy-one] EIGHT million [seven] TWO hundred fifty-seven thousand  
41 dollars, excluding bonds issued to fund one or more debt service reserve  
42 funds, to pay costs of issuance of such bonds, and bonds or notes issued  
43 to refund or otherwise repay such bonds or notes previously issued. Such  
44 bonds and notes of the dormitory authority and the corporation shall not  
45 be a debt of the state, and the state shall not be liable thereon, nor  
46 shall they be payable out of any funds other than those appropriated by  
47 the state to the dormitory authority and the corporation for principal,  
48 interest, and related expenses pursuant to a service contract and such  
49 bonds and notes shall contain on the face thereof a statement to such  
50 effect. Except for purposes of complying with the internal revenue code,  
51 any interest income earned on bond proceeds shall only be used to pay  
52 debt service on such bonds.

53 2. Notwithstanding any other provision of law to the contrary, in  
54 order to assist the dormitory authority and the corporation in undertak-  
55 ing the financing for project costs for the regional economic develop-  
56 ment council initiative, the economic transformation program, state

1 university of New York college for nanoscale and science engineering,  
2 projects within the city of Buffalo or surrounding environs, the New  
3 York works economic development fund, projects for the retention of  
4 professional football in western New York, the empire state economic  
5 development fund, the clarkson-trudeau partnership, the New York genome  
6 center, the cornell university college of veterinary medicine, the olym-  
7 pic regional development authority, projects at nano Utica, onondaga  
8 county revitalization projects, Binghamton university school of pharma-  
9 cy, New York power electronics manufacturing consortium, regional  
10 infrastructure projects, high technology manufacturing projects in Chau-  
11 tauqua and Erie county, an industrial scale research and development  
12 facility in Clinton county, upstate revitalization initiative projects,  
13 market New York projects, fairground buildings, EQUIPMENT or facilities  
14 used to house and promote agriculture, THE STATE FAIR, THE EMPIRE STATE  
15 TRAIL, THE MOYNIHAN STATION DEVELOPMENT PROJECT, THE KINGSBRIDGE ARMORY  
16 PROJECT, STRATEGIC ECONOMIC DEVELOPMENT PROJECTS, THE CULTURAL, ARTS AND  
17 PUBLIC SPACES FUND, WATER INFRASTRUCTURE IN THE CITY OF AUBURN AND TOWN  
18 OF OWASCO, A LIFE SCIENCES LABORATORY PUBLIC HEALTH INITIATIVE,  
19 NOT-FOR-PROFIT POUNDS, SHELTERS AND HUMANE SOCIETIES, ARTS AND CULTURAL  
20 FACILITIES IMPROVEMENT PROGRAM, RESTORE NEW YORK'S COMMUNITIES INITI-  
21 ATIVE, HEAVY EQUIPMENT, ECONOMIC DEVELOPMENT AND INFRASTRUCTURE  
22 PROJECTS, and other state costs associated with such projects, the  
23 director of the budget is hereby authorized to enter into one or more  
24 service contracts with the dormitory authority and the corporation, none  
25 of which shall exceed thirty years in duration, upon such terms and  
26 conditions as the director of the budget and the dormitory authority and  
27 the corporation agree, so as to annually provide to the dormitory  
28 authority and the corporation, in the aggregate, a sum not to exceed the  
29 principal, interest, and related expenses required for such bonds and  
30 notes. Any service contract entered into pursuant to this section shall  
31 provide that the obligation of the state to pay the amount therein  
32 provided shall not constitute a debt of the state within the meaning of  
33 any constitutional or statutory provision and shall be deemed executory  
34 only to the extent of monies available and that no liability shall be  
35 incurred by the state beyond the monies available for such purpose,  
36 subject to annual appropriation by the legislature. Any such contract or  
37 any payments made or to be made thereunder may be assigned and pledged  
38 by the dormitory authority and the corporation as security for its bonds  
39 and notes, as authorized by this section.

40 S 31. Subdivisions 1 and 3 of section 1285-p of the public authorities  
41 law, subdivision 1 as amended by section 33 of part I of chapter 60 of  
42 the laws of 2015 and subdivision 3 as amended by section 36 of part UU  
43 of chapter 54 of the laws of 2016, are amended to read as follows:

44 1. Subject to chapter fifty-nine of the laws of two thousand, but  
45 notwithstanding any other provisions of law to the contrary, in order to  
46 assist the corporation in undertaking the administration and the financ-  
47 ing of the design, acquisition, construction, improvement, installation,  
48 and related work for all or any portion of any of the following environ-  
49 mental infrastructure projects and for the provision of funds to the  
50 state for any amounts disbursed therefor: (a) projects authorized under  
51 the environmental protection fund, or for which appropriations are made  
52 to the environmental protection fund including, but not limited to  
53 municipal parks and historic preservation, stewardship, farmland  
54 protection, non-point source, pollution control, Hudson River Park, land  
55 acquisition, and waterfront revitalization; (b) department of environ-  
56 mental conservation capital appropriations for Onondaga Lake for certain

1 water quality improvement projects in the same manner as set forth in  
2 paragraph (d) of subdivision one of section 56-0303 of the environmental  
3 conservation law; (c) for the purpose of the administration, management,  
4 maintenance, and use of the real property at the western New York nucle-  
5 ar service center; (d) department of environmental conservation capital  
6 appropriations for the administration, design, acquisition,  
7 construction, improvement, installation, and related work on department  
8 of environmental conservation environmental infrastructure projects; (e)  
9 office of parks, recreation and historic preservation appropriations or  
10 reappropriations from the state parks infrastructure fund; (f) capital  
11 grants for the cleaner, greener communities program [and]; (g) capital  
12 costs of water quality infrastructure projects AND (H) CAPITAL COSTS OF  
13 CLEAN WATER INFRASTRUCTURE PROJECTS the director of the division of  
14 budget and the corporation are each authorized to enter into one or more  
15 service contracts, none of which shall exceed twenty years in duration,  
16 upon such terms and conditions as the director and the corporation may  
17 agree, so as to annually provide to the corporation in the aggregate, a  
18 sum not to exceed the annual debt service payments and related expenses  
19 required for any bonds and notes authorized pursuant to section twelve  
20 hundred ninety of this title. Any service contract entered into pursuant  
21 to this section shall provide that the obligation of the state to fund  
22 or to pay the amounts therein provided for shall not constitute a debt  
23 of the state within the meaning of any constitutional or statutory  
24 provision and shall be deemed executory only to the extent of moneys  
25 available for such purposes, subject to annual appropriation by the  
26 legislature. Any such service contract or any payments made or to be  
27 made thereunder may be assigned and pledged by the corporation as secu-  
28 rity for its bonds and notes, as authorized pursuant to section twelve  
29 hundred ninety of this title.

30 3. The maximum amount of bonds that may be issued for the purpose of  
31 financing environmental infrastructure projects authorized by this  
32 section shall be [two] FOUR billion [one] NINE hundred [eight] FIFTY-ONE  
33 million [two] SEVEN hundred sixty thousand dollars, exclusive of bonds  
34 issued to fund any debt service reserve funds, pay costs of issuance of  
35 such bonds, and bonds or notes issued to refund or otherwise repay bonds  
36 or notes previously issued. Such bonds and notes of the corporation  
37 shall not be a debt of the state, and the state shall not be liable  
38 thereon, nor shall they be payable out of any funds other than those  
39 appropriated by the state to the corporation for debt service and  
40 related expenses pursuant to any service contracts executed pursuant to  
41 subdivision one of this section, and such bonds and notes shall contain  
42 on the face thereof a statement to such effect.

43 S 32. Subdivision 1 of section 45 of section 1 of chapter 174 of the  
44 laws of 1968, constituting the New York state urban development corpo-  
45 ration act, as amended by section 37 of part UU of chapter 54 of the  
46 laws of 2016, is amended to read as follows:

47 1. Notwithstanding the provisions of any other law to the contrary,  
48 the urban development corporation of the state of New York is hereby  
49 authorized to issue bonds or notes in one or more series for the purpose  
50 of funding project costs for the implementation of a NY-SUNY and NY-CUNY  
51 2020 challenge grant program subject to the approval of a NY-SUNY and  
52 NY-CUNY 2020 plan or plans by the governor and either the chancellor of  
53 the state university of New York or the chancellor of the city universi-  
54 ty of New York, as applicable. The aggregate principal amount of bonds  
55 authorized to be issued pursuant to this section shall not exceed  
56 [\$550,000,000] \$660,000,000, excluding bonds issued to fund one or more

1 debt service reserve funds, to pay costs of issuance of such bonds, and  
2 bonds or notes issued to refund or otherwise repay such bonds or notes  
3 previously issued. Such bonds and notes of the corporation shall not be  
4 a debt of the state, and the state shall not be liable thereon, nor  
5 shall they be payable out of any funds other than those appropriated by  
6 the state to the corporation for principal, interest, and related  
7 expenses pursuant to a service contract and such bonds and notes shall  
8 contain on the face thereof a statement to such effect. Except for  
9 purposes of complying with the internal revenue code, any interest  
10 income earned on bond proceeds shall only be used to pay debt service on  
11 such bonds.

12 S 33. Subdivision (a) of section 48 of part K of chapter 81 of the  
13 laws of 2002, providing for the administration of certain funds and  
14 accounts related to the 2002-2003 budget, as amended by section 38 of  
15 part UU of chapter 54 of the laws of 2016, is amended to read as  
16 follows:

17 (a) Subject to the provisions of chapter 59 of the laws of 2000 but  
18 notwithstanding the provisions of section 18 of the urban development  
19 corporation act, the corporation is hereby authorized to issue bonds or  
20 notes in one or more series in an aggregate principal amount not to  
21 exceed [\$197,000,000] \$250,000,000 excluding bonds issued to fund one or  
22 more debt service reserve funds, to pay costs of issuance of such bonds,  
23 and bonds or notes issued to refund or otherwise repay such bonds or  
24 notes previously issued, for the purpose of financing capital costs  
25 related to homeland security and training facilities for the division of  
26 state police, the division of military and naval affairs, and any other  
27 state agency, including the reimbursement of any disbursements made from  
28 the state capital projects fund, and is hereby authorized to issue bonds  
29 or notes in one or more series in an aggregate principal amount not to  
30 exceed [\$509,600,000] \$654,800,000, excluding bonds issued to fund one  
31 or more debt service reserve funds, to pay costs of issuance of such  
32 bonds, and bonds or notes issued to refund or otherwise repay such bonds  
33 or notes previously issued, for the purpose of financing improvements to  
34 State office buildings and other facilities located statewide, including  
35 the reimbursement of any disbursements made from the state capital  
36 projects fund. Such bonds and notes of the corporation shall not be a  
37 debt of the state, and the state shall not be liable thereon, nor shall  
38 they be payable out of any funds other than those appropriated by the  
39 state to the corporation for debt service and related expenses pursuant  
40 to any service contracts executed pursuant to subdivision (b) of this  
41 section, and such bonds and notes shall contain on the face thereof a  
42 statement to such effect.

43 S 34. Subdivision 1 of section 386-b of the public authorities law, as  
44 amended by section 39 of part UU of chapter 54 of the laws of 2016, is  
45 amended to read as follows:

46 1. Notwithstanding any other provision of law to the contrary, the  
47 authority, the dormitory authority and the urban development corporation  
48 are hereby authorized to issue bonds or notes in one or more series for  
49 the purpose of financing peace bridge projects and capital costs of  
50 state and local highways, parkways, bridges, the New York state thruway,  
51 Indian reservation roads, and facilities, and transportation infrastruc-  
52 ture projects including aviation projects, non-MTA mass transit  
53 projects, and rail service preservation projects, including work appur-  
54 tenant and ancillary thereto. The aggregate principal amount of bonds  
55 authorized to be issued pursuant to this section shall not exceed  
56 [three] FOUR billion [sixty-five million dollars \$3,065,000,000] THREE

1 HUNDRED SIXTY-FOUR MILLION DOLLARS \$4,364,000,000, excluding bonds  
2 issued to fund one or more debt service reserve funds, to pay costs of  
3 issuance of such bonds, and to refund or otherwise repay such bonds or  
4 notes previously issued. Such bonds and notes of the authority, the  
5 dormitory authority and the urban development corporation shall not be a  
6 debt of the state, and the state shall not be liable thereon, nor shall  
7 they be payable out of any funds other than those appropriated by the  
8 state to the authority, the dormitory authority and the urban develop-  
9 ment corporation for principal, interest, and related expenses pursuant  
10 to a service contract and such bonds and notes shall contain on the face  
11 thereof a statement to such effect. Except for purposes of complying  
12 with the internal revenue code, any interest income earned on bond  
13 proceeds shall only be used to pay debt service on such bonds.

14 S 35. Paragraph (c) of subdivision 19 of section 1680 of the public  
15 authorities law, as amended by section 40 of part UU of chapter 54 of  
16 the laws of 2016, is amended to read as follows:

17 (c) Subject to the provisions of chapter fifty-nine of the laws of two  
18 thousand, the dormitory authority shall not issue any bonds for state  
19 university educational facilities purposes if the principal amount of  
20 bonds to be issued when added to the aggregate principal amount of bonds  
21 issued by the dormitory authority on and after July first, nineteen  
22 hundred eighty-eight for state university educational facilities will  
23 exceed [eleven] TWELVE billion [six] THREE hundred [sixty-three] FORTY-  
24 THREE million dollars; provided, however, that bonds issued or to be  
25 issued shall be excluded from such limitation if: (1) such bonds are  
26 issued to refund state university construction bonds and state universi-  
27 ty construction notes previously issued by the housing finance agency;  
28 or (2) such bonds are issued to refund bonds of the authority or other  
29 obligations issued for state university educational facilities purposes  
30 and the present value of the aggregate debt service on the refunding  
31 bonds does not exceed the present value of the aggregate debt service on  
32 the bonds refunded thereby; provided, further that upon certification by  
33 the director of the budget that the issuance of refunding bonds or other  
34 obligations issued between April first, nineteen hundred ninety-two and  
35 March thirty-first, nineteen hundred ninety-three will generate long  
36 term economic benefits to the state, as assessed on a present value  
37 basis, such issuance will be deemed to have met the present value test  
38 noted above. For purposes of this subdivision, the present value of the  
39 aggregate debt service of the refunding bonds and the aggregate debt  
40 service of the bonds refunded, shall be calculated by utilizing the true  
41 interest cost of the refunding bonds, which shall be that rate arrived  
42 at by doubling the semi-annual interest rate (compounded semi-annually)  
43 necessary to discount the debt service payments on the refunding bonds  
44 from the payment dates thereof to the date of issue of the refunding  
45 bonds to the purchase price of the refunding bonds, including interest  
46 accrued thereon prior to the issuance thereof. The maturity of such  
47 bonds, other than bonds issued to refund outstanding bonds, shall not  
48 exceed the weighted average economic life, as certified by the state  
49 university construction fund, of the facilities in connection with which  
50 the bonds are issued, and in any case not later than the earlier of  
51 thirty years or the expiration of the term of any lease, sublease or  
52 other agreement relating thereto; provided that no note, including  
53 renewals thereof, shall mature later than five years after the date of  
54 issuance of such note. The legislature reserves the right to amend or  
55 repeal such limit, and the state of New York, the dormitory authority,  
56 the state university of New York, and the state university construction

1 fund are prohibited from covenanting or making any other agreements with  
2 or for the benefit of bondholders which might in any way affect such  
3 right.

4 S 36. Paragraph (c) of subdivision 14 of section 1680 of the public  
5 authorities law, as amended by section 41 of part UU of chapter 54 of  
6 the laws of 2016, is amended to read as follows:

7 (c) Subject to the provisions of chapter fifty-nine of the laws of two  
8 thousand, (i) the dormitory authority shall not deliver a series of  
9 bonds for city university community college facilities, except to refund  
10 or to be substituted for or in lieu of other bonds in relation to city  
11 university community college facilities pursuant to a resolution of the  
12 dormitory authority adopted before July first, nineteen hundred eighty-  
13 five or any resolution supplemental thereto, if the principal amount of  
14 bonds so to be issued when added to all principal amounts of bonds  
15 previously issued by the dormitory authority for city university commu-  
16 nity college facilities, except to refund or to be substituted in lieu  
17 of other bonds in relation to city university community college facili-  
18 ties will exceed the sum of four hundred twenty-five million dollars and  
19 (ii) the dormitory authority shall not deliver a series of bonds issued  
20 for city university facilities, including community college facilities,  
21 pursuant to a resolution of the dormitory authority adopted on or after  
22 July first, nineteen hundred eighty-five, except to refund or to be  
23 substituted for or in lieu of other bonds in relation to city university  
24 facilities and except for bonds issued pursuant to a resolution supple-  
25 mental to a resolution of the dormitory authority adopted prior to July  
26 first, nineteen hundred eighty-five, if the principal amount of bonds so  
27 to be issued when added to the principal amount of bonds previously  
28 issued pursuant to any such resolution, except bonds issued to refund or  
29 to be substituted for or in lieu of other bonds in relation to city  
30 university facilities, will exceed seven billion [five] NINE hundred  
31 [eighty-eight] EIGHTY-ONE million [four] NINE hundred [eleven]  
32 SIXTY-EIGHT thousand dollars. The legislature reserves the right to  
33 amend or repeal such limit, and the state of New York, the dormitory  
34 authority, the city university, and the fund are prohibited from coven-  
35 anting or making any other agreements with or for the benefit of bond-  
36 holders which might in any way affect such right.

37 S 37. Subdivision 10-a of section 1680 of the public authorities law,  
38 as amended by section 42 of part UU of chapter 54 of the laws of 2016,  
39 is amended to read as follows:

40 10-a. Subject to the provisions of chapter fifty-nine of the laws of  
41 two thousand, but notwithstanding any other provision of the law to the  
42 contrary, the maximum amount of bonds and notes to be issued after March  
43 thirty-first, two thousand two, on behalf of the state, in relation to  
44 any locally sponsored community college, shall be [eight] NINE hundred  
45 [sixty-one] FOURTEEN million [four] FIVE hundred [fifty-four] NINETY  
46 thousand dollars. Such amount shall be exclusive of bonds and notes  
47 issued to fund any reserve fund or funds, costs of issuance and to  
48 refund any outstanding bonds and notes, issued on behalf of the state,  
49 relating to a locally sponsored community college.

50 S 38. Subdivision 1 of section 17 of part D of chapter 389 of the laws  
51 of 1997, relating to the financing of the correctional facilities  
52 improvement fund and the youth facility improvement fund, as amended by  
53 section 43 of part UU of chapter 54 of the laws of 2016, is amended to  
54 read as follows:

55 1. Subject to the provisions of chapter 59 of the laws of 2000, but  
56 notwithstanding the provisions of section 18 of section 1 of chapter 174

1 of the laws of 1968, the New York state urban development corporation is  
2 hereby authorized to issue bonds, notes and other obligations in an  
3 aggregate principal amount not to exceed six hundred [forty-seven]  
4 EIGHTY-TWO million [sixty-five] NINE HUNDRED FIFTEEN thousand dollars  
5 [(\$647,065,000)] (\$682,915,000), which authorization increases the  
6 aggregate principal amount of bonds, notes and other obligations author-  
7 ized by section 40 of chapter 309 of the laws of 1996, and shall include  
8 all bonds, notes and other obligations issued pursuant to chapter 211 of  
9 the laws of 1990, as amended or supplemented. The proceeds of such  
10 bonds, notes or other obligations shall be paid to the state, for depos-  
11 it in the youth facilities improvement fund, to pay for all or any  
12 portion of the amount or amounts paid by the state from appropriations  
13 or reappropriations made to the office of children and family services  
14 from the youth facilities improvement fund for capital projects. The  
15 aggregate amount of bonds, notes and other obligations authorized to be  
16 issued pursuant to this section shall exclude bonds, notes or other  
17 obligations issued to refund or otherwise repay bonds, notes or other  
18 obligations theretofore issued, the proceeds of which were paid to the  
19 state for all or a portion of the amounts expended by the state from  
20 appropriations or reappropriations made to the office of children and  
21 family services; provided, however, that upon any such refunding or  
22 repayment the total aggregate principal amount of outstanding bonds,  
23 notes or other obligations may be greater than six hundred [forty-seven]  
24 EIGHTY-TWO million [sixty-five] NINE HUNDRED FIFTEEN thousand dollars  
25 [(\$647,065,000)] (\$682,915,000), only if the present value of the aggre-  
26 gate debt service of the refunding or repayment bonds, notes or other  
27 obligations to be issued shall not exceed the present value of the  
28 aggregate debt service of the bonds, notes or other obligations so to be  
29 refunded or repaid. For the purposes hereof, the present value of the  
30 aggregate debt service of the refunding or repayment bonds, notes or  
31 other obligations and of the aggregate debt service of the bonds, notes  
32 or other obligations so refunded or repaid, shall be calculated by  
33 utilizing the effective interest rate of the refunding or repayment  
34 bonds, notes or other obligations, which shall be that rate arrived at  
35 by doubling the semi-annual interest rate (compounded semi-annually)  
36 necessary to discount the debt service payments on the refunding or  
37 repayment bonds, notes or other obligations from the payment dates ther-  
38 eof to the date of issue of the refunding or repayment bonds, notes or  
39 other obligations and to the price bid including estimated accrued  
40 interest or proceeds received by the corporation including estimated  
41 accrued interest from the sale thereof.

42 S 39. Paragraph b of subdivision 2 of section 9-a of section 1 of  
43 chapter 392 of the laws of 1973, constituting the New York state medical  
44 care facilities finance agency act, as amended by section 44 of part UU  
45 of chapter 54 of the laws of 2016, is amended to read as follows:

46 b. The agency shall have power and is hereby authorized from time to  
47 time to issue negotiable bonds and notes in conformity with applicable  
48 provisions of the uniform commercial code in such principal amount as,  
49 in the opinion of the agency, shall be necessary, after taking into  
50 account other moneys which may be available for the purpose, to provide  
51 sufficient funds to the facilities development corporation, or any  
52 successor agency, for the financing or refinancing of or for the design,  
53 construction, acquisition, reconstruction, rehabilitation or improvement  
54 of mental health services facilities pursuant to paragraph a of this  
55 subdivision, the payment of interest on mental health services improve-  
56 ment bonds and mental health services improvement notes issued for such

1 purposes, the establishment of reserves to secure such bonds and notes,  
2 the cost or premium of bond insurance or the costs of any financial  
3 mechanisms which may be used to reduce the debt service that would be  
4 payable by the agency on its mental health services facilities improve-  
5 ment bonds and notes and all other expenditures of the agency incident  
6 to and necessary or convenient to providing the facilities development  
7 corporation, or any successor agency, with funds for the financing or  
8 refinancing of or for any such design, construction, acquisition, recon-  
9 struction, rehabilitation or improvement and for the refunding of mental  
10 hygiene improvement bonds issued pursuant to section 47-b of the private  
11 housing finance law; provided, however, that the agency shall not issue  
12 mental health services facilities improvement bonds and mental health  
13 services facilities improvement notes in an aggregate principal amount  
14 exceeding eight billion [twenty-one] THREE HUNDRED NINETY-TWO million  
15 eight hundred fifteen thousand dollars, excluding mental health services  
16 facilities improvement bonds and mental health services facilities  
17 improvement notes issued to refund outstanding mental health services  
18 facilities improvement bonds and mental health services facilities  
19 improvement notes; provided, however, that upon any such refunding or  
20 repayment of mental health services facilities improvement bonds and/or  
21 mental health services facilities improvement notes the total aggregate  
22 principal amount of outstanding mental health services facilities  
23 improvement bonds and mental health facilities improvement notes may be  
24 greater than eight billion [twenty-one] THREE HUNDRED NINETY-TWO million  
25 eight hundred fifteen thousand dollars only if, except as hereinafter  
26 provided with respect to mental health services facilities bonds and  
27 mental health services facilities notes issued to refund mental hygiene  
28 improvement bonds authorized to be issued pursuant to the provisions of  
29 section 47-b of the private housing finance law, the present value of  
30 the aggregate debt service of the refunding or repayment bonds to be  
31 issued shall not exceed the present value of the aggregate debt service  
32 of the bonds to be refunded or repaid. For purposes hereof, the present  
33 values of the aggregate debt service of the refunding or repayment  
34 bonds, notes or other obligations and of the aggregate debt service of  
35 the bonds, notes or other obligations so refunded or repaid, shall be  
36 calculated by utilizing the effective interest rate of the refunding or  
37 repayment bonds, notes or other obligations, which shall be that rate  
38 arrived at by doubling the semi-annual interest rate (compounded semi-  
39 annually) necessary to discount the debt service payments on the refund-  
40 ing or repayment bonds, notes or other obligations from the payment  
41 dates thereof to the date of issue of the refunding or repayment bonds,  
42 notes or other obligations and to the price bid including estimated  
43 accrued interest or proceeds received by the authority including esti-  
44 mated accrued interest from the sale thereof. Such bonds, other than  
45 bonds issued to refund outstanding bonds, shall be scheduled to mature  
46 over a term not to exceed the average useful life, as certified by the  
47 facilities development corporation, of the projects for which the bonds  
48 are issued, and in any case shall not exceed thirty years and the maxi-  
49 mum maturity of notes or any renewals thereof shall not exceed five  
50 years from the date of the original issue of such notes. Notwithstanding  
51 the provisions of this section, the agency shall have the power and is  
52 hereby authorized to issue mental health services facilities improvement  
53 bonds and/or mental health services facilities improvement notes to  
54 refund outstanding mental hygiene improvement bonds authorized to be  
55 issued pursuant to the provisions of section 47-b of the private housing  
56 finance law and the amount of bonds issued or outstanding for such



1 purposes shall not be included for purposes of determining the amount of  
2 bonds issued pursuant to this section. The director of the budget shall  
3 allocate the aggregate principal authorized to be issued by the agency  
4 among the office of mental health, office for people with developmental  
5 disabilities, and the office of alcoholism and substance abuse services,  
6 in consultation with their respective commissioners to finance bondable  
7 appropriations previously approved by the legislature.

8 S 40. Intentionally omitted.

9 S 41. Section 1680-r of the public authorities law, as amended by  
10 section 40 of part I of chapter 60 of the laws of 2015, subdivision 1 as  
11 amended by section 48 of part UU of chapter 54 of the laws of 2016, is  
12 amended to read as follows:

13 S 1680-r. Authorization for the issuance of bonds for the capital  
14 restructuring financing program [and], the health care facility trans-  
15 formation [program] PROGRAMS, AND THE ESSENTIAL HEALTH CARE PROVIDER  
16 PROGRAM. 1. Notwithstanding the provisions of any other law to the  
17 contrary, the dormitory authority and the urban development corporation  
18 are hereby authorized to issue bonds or notes in one or more series for  
19 the purpose of funding project costs for the capital restructuring  
20 financing program for health care and related facilities licensed pursu-  
21 ant to the public health law or the mental hygiene law and other state  
22 costs associated with such capital projects [and], the health care  
23 facility transformation [program] PROGRAMS, AND THE ESSENTIAL HEALTH  
24 CARE PROVIDER PROGRAM. The aggregate principal amount of bonds author-  
25 ized to be issued pursuant to this section shall not exceed two billion  
26 [four] SEVEN hundred million dollars, excluding bonds issued to fund one  
27 or more debt service reserve funds, to pay costs of issuance of such  
28 bonds, and bonds or notes issued to refund or otherwise repay such bonds  
29 or notes previously issued. Such bonds and notes of the dormitory  
30 authority and the urban development corporation shall not be a debt of  
31 the state, and the state shall not be liable thereon, nor shall they be  
32 payable out of any funds other than those appropriated by the state to  
33 the dormitory authority and the urban development corporation for prin-  
34 cipal, interest, and related expenses pursuant to a service contract and  
35 such bonds and notes shall contain on the face thereof a statement to  
36 such effect. Except for purposes of complying with the internal revenue  
37 code, any interest income earned on bond proceeds shall only be used to  
38 pay debt service on such bonds.

39 2. Notwithstanding any other provision of law to the contrary, in  
40 order to assist the dormitory authority and the urban development corpo-  
41 ration in undertaking the financing for project costs for the capital  
42 restructuring financing program for health care and related facilities  
43 licensed pursuant to the public health law or the mental hygiene law and  
44 other state costs associated with such capital projects [and], the  
45 health care facility transformation [program] PROGRAMS, AND THE ESSEN-  
46 TIAL HEALTH CARE PROVIDER PROGRAM, the director of the budget is hereby  
47 authorized to enter into one or more service contracts with the dormito-  
48 ry authority and the urban development corporation, none of which shall  
49 exceed thirty years in duration, upon such terms and conditions as the  
50 director of the budget and the dormitory authority and the urban devel-  
51 opment corporation agree, so as to annually provide to the dormitory  
52 authority and the urban development corporation, in the aggregate, a sum  
53 not to exceed the principal, interest, and related expenses required for  
54 such bonds and notes. Any service contract entered into pursuant to this  
55 section shall provide that the obligation of the state to pay the amount  
56 therein provided shall not constitute a debt of the state within the

1 meaning of any constitutional or statutory provision and shall be deemed  
2 executory only to the extent of monies available and that no liability  
3 shall be incurred by the state beyond the monies available for such  
4 purpose, subject to annual appropriation by the legislature. Any such  
5 contract or any payments made or to be made thereunder may be assigned  
6 and pledged by the dormitory authority and the urban development corpo-  
7 ration as security for its bonds and notes, as authorized by this  
8 section.

9 S 42. Section 50 of section 1 of chapter 174 of the laws of 1968  
10 constituting the New York state urban development corporation act, as  
11 added by section 46-b of part I of chapter 55 of the laws of 2014, is  
12 amended to read as follows:

13 S 50. 1. Notwithstanding the provisions of any other law to the  
14 contrary, the dormitory authority and the urban development corporation  
15 are hereby authorized to issue bonds or notes in one or more series for  
16 the purpose of funding project costs undertaken by or on behalf of  
17 special act school districts, state-supported schools for the blind and  
18 deaf [and], approved private special education schools, NON-PUBLIC  
19 SCHOOLS, COMMUNITY CENTERS, DAY CARE FACILITIES, and other state costs  
20 associated with such capital projects. The aggregate principal amount of  
21 bonds authorized to be issued pursuant to this section shall not exceed  
22 [five] FIFTY-FIVE million dollars, excluding bonds issued to fund one  
23 or more debt service reserve funds, to pay costs of issuance of such  
24 bonds, and bonds or notes issued to refund or otherwise repay such bonds  
25 or notes previously issued. Such bonds and notes of the dormitory  
26 authority and the urban development corporation shall not be a debt of  
27 the state, and the state shall not be liable thereon, nor shall they be  
28 payable out of any funds other than those appropriated by the state to  
29 the dormitory authority and the urban development corporation for prin-  
30 cipal, interest, and related expenses pursuant to a service contract and  
31 such bonds and notes shall contain on the face thereof a statement to  
32 such effect. Except for purposes of complying with the internal revenue  
33 code, any interest income earned on bond proceeds shall only be used to  
34 pay debt service on such bonds.

35 2. Notwithstanding any other provision of law to the contrary, in  
36 order to assist the dormitory authority and the urban development corpo-  
37 ration in undertaking the financing for project costs undertaken by or  
38 on behalf of special act school districts, state-supported schools for  
39 the blind and deaf and approved private special education schools, NON-  
40 PUBLIC SCHOOLS, COMMUNITY CENTERS, DAY CARE FACILITIES, and other state  
41 costs associated with such capital projects, the director of the budget  
42 is hereby authorized to enter into one or more service contracts with  
43 the dormitory authority and the urban development corporation, none of  
44 which shall exceed thirty years in duration, upon such terms and condi-  
45 tions as the director of the budget and the dormitory authority and the  
46 urban development corporation agree, so as to annually provide to the  
47 dormitory authority and the urban development corporation, in the aggre-  
48 gate, a sum not to exceed the principal, interest, and related expenses  
49 required for such bonds and notes. Any service contract entered into  
50 pursuant to this section shall provide that the obligation of the state  
51 to pay the amount therein provided shall not constitute a debt of the  
52 state within the meaning of any constitutional or statutory provision  
53 and shall be deemed executory only to the extent of monies available and  
54 that no liability shall be incurred by the state beyond the monies  
55 available for such purpose, subject to annual appropriation by the  
56 legislature. Any such contract or any payments made or to be made there-

1 under may be assigned and pledged by the dormitory authority and the  
2 urban development corporation as security for its bonds and notes, as  
3 authorized by this section.

4 [3. Subdivisions 1 and 2 of this section shall take effect only in the  
5 event that a chapter of the laws of 2014, enacting the "smart schools  
6 bond act of 2014", is submitted to the people at the general election to  
7 be held in November 2014 and is approved by a majority of all votes cast  
8 for and against it at such election. Upon such approval, subdivisions 1  
9 and 2 of this section shall take effect immediately. If such approval is  
10 not obtained, subdivisions 1 and 2 of this section shall expire and be  
11 deemed repealed.]

12 S 42-a. Subdivision (a) of section 28 of part Y of chapter 61 of the  
13 laws of 2005, relating to providing for the administration of certain  
14 funds and accounts related to the 2005-2006 budget, as amended by  
15 section 48 of part HH of chapter 57 of the laws of 2013, is amended to  
16 read as follows:

17 (a) Subject to the provisions of chapter 59 of the laws of 2000, but  
18 notwithstanding any provisions of law to the contrary, one or more  
19 authorized issuers as defined by section 68-a of the state finance law  
20 are hereby authorized to issue bonds or notes in one or more series in  
21 an aggregate principal amount not to exceed [\$27,000,000] \$47,000,000,  
22 excluding bonds issued to finance one or more debt service reserve  
23 funds, to pay costs of issuance of such bonds, and bonds or notes issued  
24 to refund or otherwise repay such bonds or notes previously issued, for  
25 the purpose of financing capital projects for public protection facili-  
26 ties in the Division of Military and Naval Affairs, debt service and  
27 leases; and to reimburse the state general fund for disbursements made  
28 therefor. Such bonds and notes of such authorized issuer shall not be a  
29 debt of the state, and the state shall not be liable thereon, nor shall  
30 they be payable out of any funds other than those appropriated by the  
31 state to such authorized issuer for debt service and related expenses  
32 pursuant to any service contract executed pursuant to subdivision (b) of  
33 this section and such bonds and notes shall contain on the face thereof  
34 a statement to such effect. Except for purposes of complying with the  
35 internal revenue code, any interest income earned on bond proceeds shall  
36 only be used to pay debt service on such bonds.

37 S 42-b. Subdivision 1 of section 49 of section 1 of chapter 174 of the  
38 laws of 1968, constituting the New York state urban development corpo-  
39 ration act, as amended by section 49 of part UU of chapter 54 of the  
40 laws of 2016, is amended to read as follows:

41 1. Notwithstanding the provisions of any other law to the contrary,  
42 the dormitory authority and the corporation are hereby authorized to  
43 issue bonds or notes in one or more series for the purpose of funding  
44 project costs for the state and municipal facilities program and other  
45 state costs associated with such capital projects. The aggregate princi-  
46 pal amount of bonds authorized to be issued pursuant to this section  
47 shall not exceed one billion [five] NINE hundred [forty] TWENTY-FIVE  
48 million dollars, excluding bonds issued to fund one or more debt service  
49 reserve funds, to pay costs of issuance of such bonds, and bonds or  
50 notes issued to refund or otherwise repay such bonds or notes previously  
51 issued. Such bonds and notes of the dormitory authority and the corpo-  
52 ration shall not be a debt of the state, and the state shall not be  
53 liable thereon, nor shall they be payable out of any funds other than  
54 those appropriated by the state to the dormitory authority and the  
55 corporation for principal, interest, and related expenses pursuant to a  
56 service contract and such bonds and notes shall contain on the face

1 thereof a statement to such effect. Except for purposes of complying  
2 with the internal revenue code, any interest income earned on bond  
3 proceeds shall only be used to pay debt service on such bonds.

4 S 42-c. Subdivision 1 of section 51 of section 1 of chapter 174 of the  
5 laws of 1968, constituting the New York state urban development corpo-  
6 ration act, as amended by section 50 of part UU of chapter 54 of the  
7 laws of 2016, is amended to read as follows:

8 1. Notwithstanding the provisions of any other law to the contrary,  
9 the dormitory authority and the urban development corporation are hereby  
10 authorized to issue bonds or notes in one or more series for the purpose  
11 of funding project costs for the nonprofit infrastructure capital  
12 investment program and other state costs associated with such capital  
13 projects. The aggregate principal amount of bonds authorized to be  
14 issued pursuant to this section shall not exceed one hundred TWENTY  
15 million dollars, excluding bonds issued to fund one or more debt service  
16 reserve funds, to pay costs of issuance of such bonds, and bonds or  
17 notes issued to refund or otherwise repay such bonds or notes previously  
18 issued. Such bonds and notes of the dormitory authority and the urban  
19 development corporation shall not be a debt of the state, and the state  
20 shall not be liable thereon, nor shall they be payable out of any funds  
21 other than those appropriated by the state to the dormitory authority  
22 and the urban development corporation for principal, interest, and  
23 related expenses pursuant to a service contract and such bonds and notes  
24 shall contain on the face thereof a statement to such effect. Except for  
25 purposes of complying with the internal revenue code, any interest  
26 income earned on bond proceeds shall only be used to pay debt service on  
27 such bonds.

28 S 43. Paragraph (b) of subdivision 4 of section 72 of the state  
29 finance law, as amended by section 27 of part I of chapter 55 of the  
30 laws of 2014, is amended to read as follows:

31 (b) On or before the beginning of each quarter, the director of the  
32 budget may certify to the state comptroller the estimated amount of  
33 monies that shall be reserved in the general debt service fund for the  
34 payment of debt service and related expenses payable by such fund during  
35 each month of the state fiscal year, excluding payments due from the  
36 revenue bond tax fund. Such certificate may be periodically updated, as  
37 necessary. Notwithstanding any provision of law to the contrary, the  
38 state comptroller shall reserve in the general debt service fund the  
39 amount of monies identified on such certificate as necessary for the  
40 payment of debt service and related expenses during the current or next  
41 succeeding quarter of the state fiscal year. Such monies reserved shall  
42 not be available for any other purpose. Such certificate shall be  
43 reported to the chairpersons of the Senate Finance Committee and the  
44 Assembly Ways and Means Committee. The provisions of this paragraph  
45 shall expire June thirtieth, two thousand [seventeen] TWENTY.

46 S 44. Intentionally omitted.

47 S 45. Intentionally omitted.

48 S 46. Intentionally omitted.

49 S 47. Intentionally omitted.

50 S 48. Paragraphs (a) and (g) of subdivision 2 of section 56 of the  
51 state finance law, as amended by chapter 11 of the laws of 1994, are  
52 amended to read as follows:

53 (a) Refunding bonds shall be issued only when the comptroller shall  
54 have certified that, as a result of the refunding, there will be a debt  
55 service savings to the state on a present value basis as a result of the  
56 refunding transaction and that either (i) the refunding will benefit

1 state taxpayers over the life of the refunding bonds by achieving an  
2 actual debt service savings each year OR STATE FISCAL YEAR during the  
3 term to maturity of the refunding bonds when debt service on the refund-  
4 ing bonds is expected to be paid from legislative appropriations or (ii)  
5 debt service on the refunding bonds shall be payable in annual install-  
6 ments of principal and interest which result in substantially level or  
7 declining debt service payments pursuant to paragraph (b) of subdivision  
8 two of section fifty-seven of this [chapter] ARTICLE. Such certif-  
9 ication by the comptroller shall be conclusive as to matters contained  
10 therein after the refunding bonds have been issued.

11 (g) Any refunding bonds issued pursuant to this section shall be paid  
12 in annual installments which shall, so long as any refunding bonds are  
13 outstanding, be made in each year OR STATE FISCAL YEAR in which install-  
14 ments were due on the bonds to be refunded and shall be in an amount  
15 which shall result in annual debt service payments which shall be less  
16 in each year OR STATE FISCAL YEAR than the annual debt service payments  
17 on the bonds to be refunded unless debt service on the refunding bonds  
18 is payable in annual installments of principal and interest which will  
19 result in substantially level or declining debt service payments pursu-  
20 ant to paragraph (b) of subdivision two of section fifty-seven of this  
21 [chapter] ARTICLE.

22 S 49. Subdivisions 1, 2 and 6 of section 57 of the state finance law,  
23 as amended by chapter 11 of the laws of 1994, are amended to read as  
24 follows:

25 1. Whenever the legislature, after authorization of a bond issue by  
26 the people at a general election, as provided by section eleven of arti-  
27 cle seven of the STATE constitution, OR AS PROVIDED BY SECTION THREE OF  
28 ARTICLE EIGHTEEN OF THE STATE CONSTITUTION, shall have authorized, by  
29 one or more laws, the creation of a state debt or debts, bonds of the  
30 state, to the amount of the debt or debts so authorized, shall be issued  
31 and sold by the state comptroller. Any appropriation from the proceeds  
32 of the sale of bonds, pursuant to this section, shall be deemed to be an  
33 authorization for the creation of a state debt or debts to the extent of  
34 such appropriation. The state comptroller may issue and sell a single  
35 series of bonds pursuant to one or more such authorizations and for one  
36 or more duly authorized works or purposes. As part of the proceedings  
37 for each such issuance and sale of bonds, the state comptroller shall  
38 designate the works or purposes for which they are issued. It shall not  
39 be necessary for him to designate the works or purposes for which the  
40 bonds are issued on the face of the bonds. The proceeds from the sale of  
41 bonds for more than one work or purpose shall be separately accounted  
42 for according to the works or purposes designated for such sale by the  
43 comptroller and the proceeds received for each work or purpose shall be  
44 expended only for such work or purpose. The bonds shall bear interest at  
45 such rate or rates as in the judgment of the state comptroller may be  
46 sufficient or necessary to effect a sale of the bonds, and such interest  
47 shall be payable at least semi-annually, in the case of bonds with a  
48 fixed interest rate, and at least annually, in the case of bonds with an  
49 interest rate that varies periodically, in the city of New York unless  
50 annual payments of principal and interest result in substantially level  
51 or declining debt service payments over the life of an issue of bonds  
52 pursuant to paragraph (b) of subdivision two of this section or unless  
53 accrued interest is contributed to a sinking fund in accordance with  
54 subdivision three of section twelve of article seven of the state  
55 constitution, in which case interest shall be paid at such times and at

1 such places as shall be determined by the state comptroller prior to  
2 issuance of the bonds.

3 2. Such bonds, or the portion thereof at any time issued, shall be  
4 made payable (a) in equal annual principal installments or (b) in annual  
5 installments of principal and interest which result in substantially  
6 level or declining debt service payments, over the life of the bonds,  
7 the first of which annual installments shall be payable not more than  
8 one year from the date of issue and the last of which shall be payable  
9 at such time as the comptroller may determine but not more than forty  
10 years OR STATE FISCAL YEARS after the date of issue, NOT MORE THAN FIFTY  
11 YEARS AFTER THE DATE OF ISSUE IN THE CASE OF HOUSING BONDS, AND NOT MORE  
12 THAN TWENTY-FIVE YEARS IN THE CASE OF URBAN RENEWAL BONDS. Where bonds  
13 are payable pursuant to paragraph (b) of this subdivision, except for  
14 the year OR STATE FISCAL YEAR of initial issuance if less than a full  
15 year of debt service is to become due in that year OR STATE FISCAL YEAR,  
16 either (i) the greatest aggregate amount of debt service payable in any  
17 YEAR OR STATE fiscal year shall not differ from the lowest aggregate  
18 amount of debt service payable in any other YEAR OR STATE fiscal year by  
19 more than five percent or (ii) the aggregate amount of debt service in  
20 each YEAR OR STATE fiscal year shall be less than the aggregate amount  
21 of debt service in the immediately preceding YEAR OR STATE fiscal year.  
22 For purposes of this subdivision, debt service shall include all princi-  
23 pal, redemption price, sinking fund installments or contributions, and  
24 interest scheduled to become due. For purposes of determining whether  
25 debt service is level or declining on bonds issued with a variable rate  
26 of interest pursuant to paragraph b of subdivision four of this section,  
27 the comptroller shall assume a market rate of interest as of the date of  
28 issuance. Where the comptroller determines that interest on any bonds  
29 shall be compounded and payable at maturity, such bonds shall be payable  
30 only in accordance with paragraph (b) of this subdivision unless accrued  
31 interest is contributed to a sinking fund in accordance with subdivision  
32 three of section twelve of article seven of the state constitution. In  
33 no case shall any bonds or portion thereof be issued for a period longer  
34 than the probable life of the work or purpose, or part thereof, to which  
35 the proceeds of the bonds are to be applied, or in the alternative, the  
36 weighted average period of the probable life of the works or purposes to  
37 which the proceeds of the bonds are to be applied taking into consider-  
38 ation the respective amounts of bonds issued for each work or purpose,  
39 as may be determined under section sixty-one of this [chapter] ARTICLE  
40 and in accordance with the certificate of the commissioner of general  
41 services, and/or the commissioner of transportation, state architect,  
42 STATE COMMISSIONER OF HOUSING AND URBAN RENEWAL, or other authority, as  
43 the case may be, having charge by law of the acquisition, construction,  
44 work or improvement for which the debt was authorized. Such certificate  
45 shall be filed in the office of the state comptroller and shall state  
46 the group, or, where the probable lives of two or more separable parts  
47 of the work or purposes are different, the groups, specified in such  
48 section, for which the amount or amounts, shall be provided by the issu-  
49 ance and sale of bonds. Weighted average period of probable life shall  
50 be determined by computing the sum of the products derived from multi-  
51 plying the dollar value of the portion of the debt contracted for each  
52 work or purpose (or class of works or purposes) by the probable life of  
53 such work or purpose (or class of works or purposes) and dividing the  
54 resulting sum by the dollar value of the entire debt after taking into  
55 consideration any original issue discount. Any costs of issuance  
56 financed with bond proceeds shall be prorated among the various works or

1 purposes. Such bonds, or the portion thereof at any time sold, shall be  
2 of such denominations, subject to the foregoing provisions, as the state  
3 comptroller may determine. Notwithstanding the foregoing provisions of  
4 this subdivision, the comptroller may issue all or a portion of such  
5 bonds as serial debt, term debt or a combination thereof, maturing as  
6 required by this subdivision, provided that the comptroller shall have  
7 provided for the retirement each year OR STATE FISCAL YEAR, or otherwise  
8 have provided for the payment of, through sinking fund installment  
9 payments or otherwise, a portion of such term bonds in an amount meeting  
10 the requirements of paragraph (a) or (b) of this subdivision or shall  
11 have established a sinking fund and provided for contributions thereto  
12 as provided in subdivision eight of this section and section twelve of  
13 article seven of the state constitution.

14 6. Except with respect to bonds issued in the manner provided in para-  
15 graph (c) of subdivision seven of this section, all bonds of the state  
16 of New York which the comptroller of the state of New York is authorized  
17 to issue and sell, shall be executed in the name of the state of New  
18 York by the manual or facsimile signature of the state comptroller and  
19 his seal (or a facsimile thereof) shall be thereunto affixed, imprinted,  
20 engraved or otherwise reproduced. In case the state comptroller who  
21 shall have signed and sealed any of the bonds shall cease to hold the  
22 office of state comptroller before the bonds so signed and sealed shall  
23 have been actually countersigned and delivered by the fiscal agent OR  
24 TRUSTEE, such bonds may, nevertheless, be countersigned and delivered as  
25 herein provided, and may be issued as if the state comptroller who  
26 signed and sealed such bonds had not ceased to hold such office. Any  
27 bond of a series may be signed and sealed on behalf of the state of New  
28 York by such person as at the actual time of the execution of such bond  
29 shall hold the office of comptroller of the state of New York, although  
30 at the date of the bonds of such series such person may not have held  
31 such office. The coupons to be attached to the coupon bonds of each  
32 series shall be signed by the facsimile signature of the state comp-  
33 troller of the state of New York or by any person who shall have held  
34 the office of state comptroller of the state of New York on or after the  
35 date of the bonds of such series, notwithstanding that such person may  
36 not have been such state comptroller at the date of any such bond or may  
37 have ceased to be such state comptroller at the date when any such bond  
38 shall be actually countersigned and delivered. The bonds of each series  
39 shall be countersigned with the manual signature of an authorized  
40 employee of the fiscal agent OR TRUSTEE of the state of New York. No  
41 bond and no coupon thereunto appertaining shall be valid or obligatory  
42 for any purpose until such manual countersignature of an authorized  
43 employee of the fiscal agent OR TRUSTEE of the state of New York shall  
44 have been duly affixed to such bond.

45 S 50. Sections 58, 59 and 60 of the state finance law are REPEALED.

46 S 51. Section 62 of the state finance law, as amended by chapter 219  
47 of the laws of 1999, is amended to read as follows:

48 S 62. Replacement of lost certificates. The comptroller, who may act  
49 through his duly authorized fiscal agent OR TRUSTEE appointed pursuant  
50 to section sixty-five of this article, may issue to the lawful owner of  
51 any certificate or bond issued by him in behalf of this state, which he  
52 or such duly authorized fiscal agent OR TRUSTEE is satisfied, by due  
53 proof filed in his office or with such duly authorized fiscal agent OR  
54 TRUSTEE, has been lost or casually destroyed, a new certificate or bond,  
55 corresponding in date, number and amount with the certificate or bond so  
56 lost or destroyed, and expressing on its face that it is a renewed

1 certificate or bond. No such renewed certificate or bond shall be issued  
2 unless sufficient security is given to satisfy the lawful claim of any  
3 person to the original certificate or bond, or to any interest therein.  
4 The comptroller shall report annually to the legislature the number and  
5 amount of all renewed certificates or bonds so issued. If the renewed  
6 certificate is issued by the state's duly authorized fiscal agent OR  
7 TRUSTEE and such agent OR TRUSTEE agrees to be responsible for any loss  
8 suffered as a result of unauthorized payment, the security shall be  
9 provided to and approved by the fiscal agent OR TRUSTEE and no addi-  
10 tional approval by the comptroller or the attorney general shall be  
11 required.

12 S 52. Section 65 of the state finance law, as amended by chapter 459  
13 of the laws of 1948, subdivision 1 as amended by chapter 219 of the laws  
14 of 1999, is amended to read as follows:

15 S 65. Appointment of fiscal agent OR TRUSTEE; powers and duties. 1.  
16 Notwithstanding any other provisions of this chapter, the comptroller,  
17 on behalf of the state, may contract from time to time for a period or  
18 periods not exceeding ten years each, except in the case of a bank or  
19 trust company agreeing to act as issuing, paying and/or tender agent  
20 with respect to a particular issue of variable interest rate bonds in  
21 which case the comptroller, on behalf of the state, may contract for a  
22 period not to exceed the term of such particular issue of bonds, with  
23 one or more banks or trust companies located in the city of New York, to  
24 act as fiscal agent, TRUSTEE, or agents of the state, and for the main-  
25 tenance of an office for the registration, conversion, reconversion and  
26 transfer of the bonds and notes of the state, including the preparation  
27 and substitution of new bonds and notes, for the payment of the princi-  
28 pal thereof and interest thereon, [and] for related services, AND TO  
29 OTHERWISE EFFECTUATE THE POWERS AND DUTIES OF A FISCAL AGENT OR TRUSTEE  
30 ON BEHALF OF THE STATE IN ALL SUCH RESPECTS AS MAY BE DETERMINED BY THE  
31 COMPTROLLER FOR SUCH BONDS AND NOTES, and for the payment by the state  
32 of such compensation therefor as the comptroller may determine. Any such  
33 fiscal agent OR TRUSTEE may, where authorized pursuant to the terms of  
34 its contract, accept delivery of obligations purchased by the state and  
35 of securities deposited with the state pursuant to sections one hundred  
36 five and one hundred six of this chapter and hold the same in safekeep-  
37 ing, make delivery to purchasers of obligations sold by the state, and  
38 accept deposit of such proceeds of sale without securing the same. Any  
39 such contract may also provide that such fiscal agent OR TRUSTEE may,  
40 upon the written instruction of the comptroller, deposit any obligations  
41 or securities which it receives pursuant to such contract, in an account  
42 with a federal reserve bank, to be held in such account in the form of  
43 entries on the books of the federal reserve bank, and to be transferred  
44 in the event of any assignment, sale, redemption, maturity or other  
45 disposition of such obligations or securities, by entries on the books  
46 of the federal reserve bank. Any such bank or trust company shall be  
47 responsible to the people of this state for the faithful and safe  
48 conduct of the business of said office, for the fidelity and integrity  
49 of its officers and agents employed in such office, and for all loss or  
50 damage which may result from any failure to discharge their duties, and  
51 for any improper and incorrect discharge of those duties, and shall save  
52 the state free and harmless from any and all loss or damage occasioned  
53 by or incurred in the performance of such services. Any such contract  
54 may be terminated by the comptroller at any time. In the event of any  
55 change in any office maintained pursuant to any such contract, the comp-



1 troller shall give public notice thereof in such form as he may deter-  
2 mine appropriate.

3 2. The comptroller shall prescribe rules and regulations for the  
4 registration, conversion, reconversion and transfer of the bonds and  
5 notes of the state, including the preparation and substitution of new  
6 bonds, for the payment of the principal thereof and interest thereon,  
7 and for other authorized services to be performed by such fiscal agent  
8 OR TRUSTEE. Such rules and regulations, and all amendments thereof,  
9 shall be prepared in duplicate, one copy of which shall be filed in the  
10 office of the department of audit and control and the other in the  
11 office of the department of state. A copy thereof may be filed as a  
12 public record in such other offices as the comptroller may determine.  
13 Such rules and regulations shall be obligatory on all persons having any  
14 interests in bonds and notes of the state heretofore or hereafter  
15 issued.

16 S 53. Intentionally omitted.

17 S 54. Subdivision 2 of section 365 of the public authorities law, as  
18 separately amended by sections 349 and 381 of chapter 190 of the laws of  
19 1990, is amended to read as follows:

20 2. The notes and bonds shall be authorized by resolution of the board,  
21 shall bear such date or dates and mature at such time or times, in the  
22 case of notes and any renewals thereof within five years after their  
23 respective dates and in the case of bonds not exceeding forty years from  
24 their respective dates, as such resolution or resolutions may provide.  
25 The notes and bonds shall bear interest at such rate or rates, be in  
26 such denominations, be in such form, either coupon or registered, carry  
27 such registration privileges, be executed in such manner, be payable in  
28 such medium of payment, at such place or places, and be subject to such  
29 terms of redemption as such resolution or resolutions may provide. Bonds  
30 and notes shall be sold by the authority, at public or private sale, at  
31 such price or prices as the authority may determine. Bonds and notes of  
32 the authority shall not be sold by the authority at private sale unless  
33 such sale and the terms thereof have been approved in writing by the  
34 comptroller, where such sale is not to the comptroller, or by the direc-  
35 tor of the budget, where such sale is to the comptroller. [Bonds and  
36 notes sold at public sale shall be sold by the comptroller, as agent of  
37 the authority, in such manner as the authority, with the approval of the  
38 comptroller, shall determine.]

39 S 55. This act shall take effect immediately and shall be deemed to  
40 have been in full force and effect on and after April 1, 2017; provided,  
41 however, that the provisions of sections one, two, three, four, five,  
42 six, seven, eight, thirteen, fourteen, fifteen, sixteen, seventeen,  
43 eighteen, nineteen, twenty, twenty-one, twenty-two, twenty-two-e and  
44 twenty-two-f of this act shall expire March 31, 2018 when upon such date  
45 the provisions of such sections shall be deemed repealed; and provided,  
46 further, that section twenty-two-c of this act shall expire March 31,  
47 2021.

48

#### PART YYY

49 Section 1. Paragraph e of subdivision 1 of section 211-d of the educa-  
50 tion law, as amended by section 1 of part A of chapter 54 of the laws of  
51 2016, is amended to read as follows:

52 e. Notwithstanding paragraphs a and b of this subdivision, a school  
53 district that submitted a contract for excellence for the two thousand  
54 eight--two thousand nine school year shall submit a contract for excel-

1 lence for the two thousand nine--two thousand ten school year in  
2 conformity with the requirements of subparagraph (vi) of paragraph a of  
3 subdivision two of this section unless all schools in the district are  
4 identified as in good standing and provided further that, a school  
5 district that submitted a contract for excellence for the two thousand  
6 nine--two thousand ten school year, unless all schools in the district  
7 are identified as in good standing, shall submit a contract for excel-  
8 lence for the two thousand eleven--two thousand twelve school year which  
9 shall, notwithstanding the requirements of subparagraph (vi) of para-  
10 graph a of subdivision two of this section, provide for the expenditure  
11 of an amount which shall be not less than the product of the amount  
12 approved by the commissioner in the contract for excellence for the two  
13 thousand nine--two thousand ten school year, multiplied by the  
14 district's gap elimination adjustment percentage and provided further  
15 that, a school district that submitted a contract for excellence for the  
16 two thousand eleven--two thousand twelve school year, unless all schools  
17 in the district are identified as in good standing, shall submit a  
18 contract for excellence for the two thousand twelve--two thousand thir-  
19 teen school year which shall, notwithstanding the requirements of  
20 subparagraph (vi) of paragraph a of subdivision two of this section,  
21 provide for the expenditure of an amount which shall be not less than  
22 the amount approved by the commissioner in the contract for excellence  
23 for the two thousand eleven--two thousand twelve school year and  
24 provided further that, a school district that submitted a contract for  
25 excellence for the two thousand twelve--two thousand thirteen school  
26 year, unless all schools in the district are identified as in good  
27 standing, shall submit a contract for excellence for the two thousand  
28 thirteen--two thousand fourteen school year which shall, notwithstanding  
29 the requirements of subparagraph (vi) of paragraph a of subdivision two  
30 of this section, provide for the expenditure of an amount which shall be  
31 not less than the amount approved by the commissioner in the contract  
32 for excellence for the two thousand twelve--two thousand thirteen school  
33 year and provided further that, a school district that submitted a  
34 contract for excellence for the two thousand thirteen--two thousand  
35 fourteen school year, unless all schools in the district are identified  
36 as in good standing, shall submit a contract for excellence for the two  
37 thousand fourteen--two thousand fifteen school year which shall,  
38 notwithstanding the requirements of subparagraph (vi) of paragraph a of  
39 subdivision two of this section, provide for the expenditure of an  
40 amount which shall be not less than the amount approved by the commis-  
41 sioner in the contract for excellence for the two thousand thirteen--two  
42 thousand fourteen school year; and provided further that, a school  
43 district that submitted a contract for excellence for the two thousand  
44 fourteen--two thousand fifteen school year, unless all schools in the  
45 district are identified as in good standing, shall submit a contract for  
46 excellence for the two thousand fifteen--two thousand sixteen school  
47 year which shall, notwithstanding the requirements of subparagraph (vi)  
48 of paragraph a of subdivision two of this section, provide for the  
49 expenditure of an amount which shall be not less than the amount  
50 approved by the commissioner in the contract for excellence for the two  
51 thousand fourteen--two thousand fifteen school year; and provided  
52 further that a school district that submitted a contract for excellence  
53 for the two thousand fifteen--two thousand sixteen school year, unless  
54 all schools in the district are identified as in good standing, shall  
55 submit a contract for excellence for the two thousand sixteen--two thou-  
56 sand seventeen school year which shall, notwithstanding the requirements

1 of subparagraph (vi) of paragraph a of subdivision two of this section,  
2 provide for the expenditure of an amount which shall be not less than  
3 the amount approved by the commissioner in the contract for excellence  
4 for the two thousand fifteen--two thousand sixteen school year; AND  
5 PROVIDED FURTHER THAT, A SCHOOL DISTRICT THAT SUBMITTED A CONTRACT FOR  
6 EXCELLENCE FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL  
7 YEAR, UNLESS ALL SCHOOLS IN THE DISTRICT ARE IDENTIFIED AS IN GOOD  
8 STANDING, SHALL SUBMIT A CONTRACT FOR EXCELLENCE FOR THE TWO THOUSAND  
9 SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR WHICH SHALL, NOTWITHSTAND-  
10 ING THE REQUIREMENTS OF SUBPARAGRAPH (VI) OF PARAGRAPH A OF SUBDIVISION  
11 TWO OF THIS SECTION, PROVIDE FOR THE EXPENDITURE OF AN AMOUNT WHICH  
12 SHALL BE NOT LESS THAN THE AMOUNT APPROVED BY THE COMMISSIONER IN THE  
13 CONTRACT FOR EXCELLENCE FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND  
14 SEVENTEEN SCHOOL YEAR. For purposes of this paragraph, the "gap elimi-  
15 nation adjustment percentage" shall be calculated as the sum of one  
16 minus the quotient of the sum of the school district's net gap elimi-  
17 nation adjustment for two thousand ten--two thousand eleven computed  
18 pursuant to chapter fifty-three of the laws of two thousand ten, making  
19 appropriations for the support of government, plus the school district's  
20 gap elimination adjustment for two thousand eleven--two thousand twelve  
21 as computed pursuant to chapter fifty-three of the laws of two thousand  
22 eleven, making appropriations for the support of the local assistance  
23 budget, including support for general support for public schools,  
24 divided by the total aid for adjustment computed pursuant to chapter  
25 fifty-three of the laws of two thousand eleven, making appropriations  
26 for the local assistance budget, including support for general support  
27 for public schools. Provided, further, that such amount shall be  
28 expended to support and maintain allowable programs and activities  
29 approved in the two thousand nine--two thousand ten school year or to  
30 support new or expanded allowable programs and activities in the current  
31 year.

32 S 2. The education law is amended by adding a new section 2590-v to  
33 read as follows:

34 S 2590-V. NOTICE TO STUDENTS REGARDING CERTAIN TEST SCORES. THE OFFICE  
35 OF THE CHANCELLOR SHALL INCLUDE A NOTICE IN THE OFFICIAL SCORE REPORT OF  
36 ALL STUDENTS WHO RECEIVED A SCORE OF "ADVANCED" ON THE SEVENTH GRADE  
37 STATE ASSESSMENT IN EITHER ENGLISH LANGUAGE ARTS OR MATHEMATICS, INFORM-  
38 ING THE STUDENT OF OPPORTUNITIES TO APPLY FOR ADMISSION TO THE SPECIAL-  
39 IZED HIGH SCHOOLS AUTHORIZED IN PARAGRAPH (B) OF SUBDIVISION ONE OF  
40 SECTION TWENTY-FIVE HUNDRED NINETY-H OF THIS ARTICLE; PROVIDED THAT THE  
41 CHANCELLOR SHALL ANNUALLY NOTIFY ALL SEVENTH GRADE STUDENTS OF OPPORTU-  
42 NITIES TO APPLY FOR ADMISSION TO SUCH SCHOOLS.

43 S 3. Subparagraph 3 of paragraph b of subdivision 16 of section 3641  
44 of the education law, as added by section 2 of part C of chapter 56 of  
45 the laws of 2014, is amended to read as follows:

46 (3) The smart schools review board shall review all smart schools  
47 investment plans for compliance with all eligibility criteria and other  
48 requirements set forth in the guidelines. The smart schools review board  
49 may approve or reject such plans, or may return such plans to the school  
50 district for modifications; PROVIDED THAT NOTWITHSTANDING ANY INCONSIST-  
51 ENT PROVISION OF LAW, THE SMART SCHOOLS REVIEW BOARD SHALL APPROVE NO  
52 SUCH PLAN FIRST SUBMITTED TO THE DEPARTMENT ON OR AFTER APRIL FIFTEENTH,  
53 TWO THOUSAND SEVENTEEN, UNLESS SUCH PLAN CALCULATES THE AMOUNT OF CLASS-  
54 ROOM TECHNOLOGY TO BE LOANED TO STUDENTS ATTENDING NONPUBLIC SCHOOLS  
55 PURSUANT TO SECTION SEVEN HUNDRED FIFTY-FIVE OF THIS CHAPTER IN A MANNER  
56 THAT INCLUDES THE AMOUNT BUDGETED BY THE SCHOOL DISTRICT FOR SERVERS,

1 WIRELESS ACCESS POINTS AND OTHER PORTABLE CONNECTIVITY DEVICES TO BE  
2 ACQUIRED AS PART OF A SCHOOL CONNECTIVITY PROJECT. Upon approval, the  
3 smart schools project or projects described in the investment plan shall  
4 be eligible for smart schools grants. A smart schools project included  
5 in a school district's smart schools investment plan shall not require  
6 separate approval of the commissioner unless it is part of a school  
7 construction project required to be submitted for approval of the  
8 commissioner pursuant to section four hundred eight of this chapter  
9 and/or subdivision six of section thirty-six hundred two of this arti-  
10 cle. Any department, agency or public authority shall provide the smart  
11 schools review board with any information it requires to fulfill its  
12 duties pursuant to this subdivision.

13 S 4. Subdivision 1 of section 2856 of the education law, as amended by  
14 chapter 378 of the laws of 2007, paragraph (a) as amended and paragraph  
15 (d) as added by section 3 of part BB of chapter 56 of the laws of 2014,  
16 is amended to read as follows:

17 1. (a) The enrollment of students attending charter schools shall be  
18 included in the enrollment, attendance, membership and, if applicable,  
19 count of students with disabilities of the school district in which the  
20 pupil resides. The charter school shall report all such data to the  
21 school districts of residence in a timely manner. Each school district  
22 shall report such enrollment, attendance and count of students with  
23 disabilities to the department. The school district of residence shall  
24 pay directly to the charter school for each student enrolled in the  
25 charter school who resides in the school district the charter school  
26 basic tuition, which shall be:

27 (i) for school years prior to the two thousand nine--two thousand ten  
28 school year [and for school years following the two thousand sixteen--  
29 two thousand seventeen school year], an amount equal to one hundred  
30 percent of the amount calculated pursuant to paragraph f of subdivision  
31 one of section thirty-six hundred two of this chapter for the school  
32 district for the year prior to the base year increased by the percentage  
33 change in the state total approved operating expense calculated pursuant  
34 to paragraph t of subdivision one of section thirty-six hundred two of  
35 this chapter from two years prior to the base year to the base year;

36 (ii) for the two thousand nine--two thousand ten school year, the  
37 charter school basic tuition shall be the amount payable by such  
38 district as charter school basic tuition for the two thousand eight--two  
39 thousand nine school year;

40 (iii) for the two thousand ten--two thousand eleven through two thou-  
41 sand thirteen--two thousand fourteen school years, the charter school  
42 basic tuition shall be the basic tuition computed for the two thousand  
43 ten--two thousand eleven school year pursuant to the provisions of  
44 subparagraph (i) of this paragraph;

45 (iv) for the two thousand fourteen--two thousand fifteen, two thousand  
46 fifteen--two thousand sixteen and two thousand sixteen--two thousand  
47 seventeen school years, the charter school basic tuition shall be the  
48 sum of the lesser of the charter school basic tuition computed for the  
49 two thousand ten--two thousand eleven school year pursuant to the  
50 provisions of subparagraph (i) of this paragraph or the charter school  
51 basic tuition computed for the current year pursuant to the provisions  
52 of subparagraph (i) of this paragraph plus the supplemental basic  
53 tuition[.];

54 (V) FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR,  
55 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE SUM OF (A) THE CHARTER

1 SCHOOL BASIC TUITION FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVEN-  
2 TEEN SCHOOL YEAR PLUS (B) FIVE HUNDRED DOLLARS;

3 (VI) FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR,  
4 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESSER OF (A) THE PRODUCT  
5 OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED FOR THE BASE YEAR  
6 MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR EACH SCHOOL YEAR IN  
7 THE PERIOD COMMENCING WITH THE YEAR FIVE YEARS PRIOR TO THE BASE YEAR  
8 AND FINISHING WITH THE YEAR PRIOR TO THE BASE YEAR OF THE TOTAL APPROVED  
9 OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT CALCULATED PURSUANT TO PARA-  
10 GRAPH T OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THIS  
11 CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE TOTAL APPROVED OPERATING  
12 EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY PRECEDING YEAR, PROVIDED  
13 THAT THE HIGHEST AND LOWEST ANNUAL QUOTIENTS SHALL BE EXCLUDED FROM THE  
14 CALCULATION OF SUCH AVERAGE OR (B) THE QUOTIENT OF THE TOTAL GENERAL  
15 FUND EXPENDITURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO AN  
16 ELECTRONIC DATA FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARA-  
17 GRAPH B OF SUBDIVISION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS  
18 CHAPTER PUBLISHED ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE  
19 BASE YEAR DIVIDED BY THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE  
20 SCHOOL DISTRICT PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION  
21 THIRTY-SIX HUNDRED TWO OF THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE  
22 YEAR.

23 (VII) FOR THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR  
24 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESSER OF (A) THE PRODUCT  
25 OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED FOR THE BASE YEAR  
26 MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR EACH SCHOOL YEAR IN  
27 THE PERIOD COMMENCING WITH THE YEAR THREE YEARS PRIOR TO THE BASE YEAR  
28 AND FINISHING WITH THE YEAR PRIOR TO THE BASE YEAR OF THE TOTAL APPROVED  
29 OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT CALCULATED PURSUANT TO PARA-  
30 GRAPH T OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THIS  
31 CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE TOTAL APPROVED OPERATING  
32 EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY PRECEDING YEAR PROVIDED  
33 THAT THE HIGHEST ANNUAL QUOTIENT CALCULATED PURSUANT TO THIS SUBPARA-  
34 GRAPH SHALL BE REPLACED BY THE AVERAGE QUOTIENT CALCULATED PURSUANT TO  
35 SUBPARAGRAPH (VI) OF THIS PARAGRAPH OR (B) THE QUOTIENT OF THE TOTAL  
36 GENERAL FUND EXPENDITURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO  
37 AN ELECTRONIC DATA FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARA-  
38 GRAPH B OF SUBDIVISION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS  
39 CHAPTER PUBLISHED ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE  
40 BASE YEAR DIVIDED BY THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE  
41 SCHOOL DISTRICT PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION  
42 THIRTY-SIX HUNDRED TWO OF THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE  
43 YEAR.

44 (VIII) FOR THE TWO THOUSAND TWENTY--TWO THOUSAND TWENTY-ONE SCHOOL  
45 YEAR AND THEREAFTER, THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESS-  
46 ER OF (A) THE PRODUCT OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED  
47 FOR THE BASE YEAR MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR  
48 EACH SCHOOL YEAR IN THE PERIOD COMMENCING WITH THE YEAR THREE YEARS  
49 PRIOR TO THE BASE YEAR AND FINISHING WITH THE YEAR PRIOR TO THE BASE  
50 YEAR OF THE TOTAL APPROVED OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT  
51 CALCULATED PURSUANT TO PARAGRAPH T OF SUBDIVISION ONE OF SECTION THIR-  
52 TY-SIX HUNDRED TWO OF THIS CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE  
53 TOTAL APPROVED OPERATING EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY  
54 PRECEDING YEAR OR (B) THE QUOTIENT OF THE TOTAL GENERAL FUND EXPENDI-  
55 TURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO AN ELECTRONIC DATA  
56 FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARAGRAPH B OF SUBDIVI-

1 SION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS CHAPTER PUBLISHED  
2 ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE BASE YEAR DIVIDED BY  
3 THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE SCHOOL DISTRICT PURSUANT  
4 TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF  
5 THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE YEAR.

6 For the purposes of this subdivision, the "supplemental basic tuition"  
7 shall be (A) for a school district for which the charter school basic  
8 tuition computed for the current year is greater than or equal to the  
9 charter school basic tuition for the two thousand ten--two thousand  
10 eleven school year pursuant to the provisions of subparagraph (i) of  
11 this paragraph, (1) for the two thousand fourteen--two thousand fifteen  
12 school year two hundred and fifty dollars, and (2) for the two thousand  
13 fifteen--two thousand sixteen school year three hundred and fifty  
14 dollars, and (3) for the two thousand sixteen--two thousand seventeen  
15 school year five hundred dollars, and (4) FOR THE TWO THOUSAND SEVEN-  
16 TEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR AND THEREAFTER, THE SUM OF (I)  
17 THE SUPPLEMENTAL BASIC TUITION CALCULATED FOR THE TWO THOUSAND SIXTEEN-  
18 -TWO THOUSAND SEVENTEEN SCHOOL YEAR PLUS (II) FIVE HUNDRED DOLLARS, AND  
19 (B) FOR SCHOOL YEARS PRIOR TO THE TWO THOUSAND SEVENTEEN--TWO THOUSAND  
20 EIGHTEEN SCHOOL YEAR, for a school district for which the charter school  
21 basic tuition for the two thousand ten--two thousand eleven school year  
22 is greater than the charter school basic tuition for the current year  
23 pursuant to the provisions of subparagraph (i) of this paragraph, the  
24 positive difference of the charter school basic tuition for the two  
25 thousand ten--two thousand eleven school year minus the charter school  
26 basic tuition for the current year pursuant to the provisions of subpar-  
27 agraph (i) of this paragraph AND (C) FOR SCHOOL YEARS FOLLOWING THE TWO  
28 THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEARS, FOR A SCHOOL  
29 DISTRICT FOR WHICH THE CHARTER SCHOOL BASIC TUITION FOR THE TWO THOUSAND  
30 TEN--TWO THOUSAND ELEVEN SCHOOL YEAR IS GREATER THAN THE CHARTER SCHOOL  
31 BASIC TUITION FOR THE CURRENT YEAR PURSUANT TO THE PROVISIONS OF SUBPAR-  
32 AGRAPH (I) OF THIS PARAGRAPH, THE SUM OF (I) THE SUPPLEMENTAL BASIC  
33 TUITION CALCULATED FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN  
34 SCHOOL YEAR PLUS (II) FIVE HUNDRED DOLLARS.

35 (b) The school district shall also pay directly to the charter school  
36 any federal or state aid attributable to a student with a disability  
37 attending charter school in proportion to the level of services for such  
38 student with a disability that the charter school provides directly or  
39 indirectly. Notwithstanding anything in this section to the contrary,  
40 amounts payable pursuant to this subdivision from state or local funds  
41 may be reduced pursuant to an agreement between the school and the char-  
42 ter entity set forth in the charter. Payments made pursuant to this  
43 subdivision shall be made by the school district in six substantially  
44 equal installments each year beginning on the first business day of July  
45 and every two months thereafter. Amounts payable under this subdivision  
46 shall be determined by the commissioner. Amounts payable to a charter  
47 school in its first year of operation shall be based on the projections  
48 of initial-year enrollment set forth in the charter until actual enroll-  
49 ment data is reported to the school district by the charter school. Such  
50 projections shall be reconciled with the actual enrollment as actual  
51 enrollment data is so reported and at the end of the school's first year  
52 of operation and each subsequent year based on a final report of actual  
53 enrollment by the charter school, and any necessary adjustments result-  
54 ing from such final report shall be made to payments during the school's  
55 following year of operation.

1 (c) Notwithstanding any other provision of this subdivision to the  
2 contrary, payment of the federal aid attributable to a student with a  
3 disability attending a charter school shall be made in accordance with  
4 the requirements of section 8065-a of title twenty of the United States  
5 code and sections 76.785-76.799 and 300.209 of title thirty-four of the  
6 code of federal regulations.

7 (d) School districts shall be eligible for an annual apportionment  
8 equal to the amount of the supplemental basic tuition [paid to] FOR the  
9 charter school in the base year for the expenses incurred in the two  
10 thousand fourteen--two thousand fifteen, two thousand fifteen--two thou-  
11 sand sixteen, [and] two thousand sixteen--two thousand seventeen school  
12 years AND THEREAFTER.

13 S 4-a. Subdivision 1 of section 2856 of the education law, as amended  
14 by section 22 of part A of chapter 58 of the laws of 2011, paragraph (a)  
15 as amended and paragraph (c) as added by section 4 of part BB of chapter  
16 56 of the laws of 2014, is amended to read as follows:

17 1. (a) The enrollment of students attending charter schools shall be  
18 included in the enrollment, attendance and, if applicable, count of  
19 students with disabilities of the school district in which the pupil  
20 resides. The charter school shall report all such data to the school  
21 districts of residence in a timely manner. Each school district shall  
22 report such enrollment, attendance and count of students with disabili-  
23 ties to the department. The school district of residence shall pay  
24 directly to the charter school for each student enrolled in the charter  
25 school who resides in the school district the charter school basic  
26 tuition which shall be:

27 (i) for school years prior to the two thousand nine--two thousand ten  
28 school year [and for school years following the two thousand sixteen--  
29 two thousand seventeen school year], an amount equal to one hundred  
30 percent of the amount calculated pursuant to paragraph f of subdivision  
31 one of section thirty-six hundred two of this chapter for the school  
32 district for the year prior to the base year increased by the percentage  
33 change in the state total approved operating expense calculated pursuant  
34 to paragraph t of subdivision one of section thirty-six hundred two of  
35 this chapter from two years prior to the base year to the base year;

36 (ii) for the two thousand nine--two thousand ten school year, the  
37 charter school basic tuition shall be the amount payable by such  
38 district as charter school basic tuition for the two thousand eight--two  
39 thousand nine school year;

40 (iii) for the two thousand ten--two thousand eleven through two thou-  
41 sand thirteen--two thousand fourteen school years, the charter school  
42 basic tuition shall be the basic tuition computed for the two thousand  
43 ten--two thousand eleven school year pursuant to the provisions of  
44 subparagraph (i) of this paragraph;

45 (iv) for the two thousand fourteen--two thousand fifteen, two thousand  
46 fifteen--two thousand sixteen and two thousand sixteen--two thousand  
47 seventeen school years, the charter school basic tuition shall be the  
48 sum of the lesser of the charter school basic tuition computed for the  
49 two thousand ten--two thousand eleven school year pursuant to the  
50 provisions of subparagraph (i) of this paragraph or the charter school  
51 basic tuition computed for the current year pursuant to the provisions  
52 of subparagraph (i) of this paragraph plus the supplemental basic  
53 tuition[.];

54 (V) FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR,  
55 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE SUM OF (A) THE CHARTER

1 SCHOOL BASIC TUITION FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVEN-  
2 TEEN SCHOOL YEAR PLUS (B) FIVE HUNDRED DOLLARS;

3 (VI) FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR,  
4 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESSER OF (A) THE PRODUCT  
5 OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED FOR THE BASE YEAR  
6 MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR EACH SCHOOL YEAR IN  
7 THE PERIOD COMMENCING WITH THE YEAR FIVE YEARS PRIOR TO THE BASE YEAR  
8 AND FINISHING WITH THE YEAR PRIOR TO THE BASE YEAR OF THE TOTAL APPROVED  
9 OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT CALCULATED PURSUANT TO PARA-  
10 GRAPH T OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THIS  
11 CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE TOTAL APPROVED OPERATING  
12 EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY PRECEDING YEAR, PROVIDED  
13 THAT THE HIGHEST AND LOWEST ANNUAL QUOTIENTS SHALL BE EXCLUDED FROM THE  
14 CALCULATION OF SUCH AVERAGE OR (B) THE QUOTIENT OF THE TOTAL GENERAL  
15 FUND EXPENDITURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO AN  
16 ELECTRONIC DATA FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARA-  
17 GRAPH B OF SUBDIVISION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS  
18 CHAPTER PUBLISHED ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE  
19 BASE YEAR DIVIDED BY THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE  
20 SCHOOL DISTRICT PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION  
21 THIRTY-SIX HUNDRED TWO OF THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE  
22 YEAR.

23 (VII) FOR THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR  
24 THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESSER OF (A) THE PRODUCT  
25 OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED FOR THE BASE YEAR  
26 MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR EACH SCHOOL YEAR IN  
27 THE PERIOD COMMENCING WITH THE YEAR THREE YEARS PRIOR TO THE BASE YEAR  
28 AND FINISHING WITH THE YEAR PRIOR TO THE BASE YEAR OF THE TOTAL APPROVED  
29 OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT CALCULATED PURSUANT TO PARA-  
30 GRAPH T OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THIS  
31 CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE TOTAL APPROVED OPERATING  
32 EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY PRECEDING YEAR PROVIDED  
33 THAT THE HIGHEST ANNUAL QUOTIENT CALCULATED PURSUANT TO THIS SUBPARA-  
34 GRAPH SHALL BE REPLACED BY THE AVERAGE QUOTIENT CALCULATED PURSUANT TO  
35 SUBPARAGRAPH (VI) OF THIS PARAGRAPH OR (B) THE QUOTIENT OF THE TOTAL  
36 GENERAL FUND EXPENDITURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO  
37 AN ELECTRONIC DATA FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARA-  
38 GRAPH B OF SUBDIVISION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS  
39 CHAPTER PUBLISHED ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE  
40 BASE YEAR DIVIDED BY THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE  
41 SCHOOL DISTRICT PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION  
42 THIRTY-SIX HUNDRED TWO OF THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE  
43 YEAR.

44 (VIII) FOR THE TWO THOUSAND TWENTY--TWO THOUSAND TWENTY-ONE SCHOOL  
45 YEAR AND THEREAFTER, THE CHARTER SCHOOL BASIC TUITION SHALL BE THE LESS-  
46 ER OF (A) THE PRODUCT OF (I) THE CHARTER SCHOOL BASIC TUITION CALCULATED  
47 FOR THE BASE YEAR MULTIPLIED BY (II) THE AVERAGE OF THE QUOTIENTS FOR  
48 EACH SCHOOL YEAR IN THE PERIOD COMMENCING WITH THE YEAR THREE YEARS  
49 PRIOR TO THE BASE YEAR AND FINISHING WITH THE YEAR PRIOR TO THE BASE  
50 YEAR OF THE TOTAL APPROVED OPERATING EXPENSE FOR SUCH SCHOOL DISTRICT  
51 CALCULATED PURSUANT TO PARAGRAPH T OF SUBDIVISION ONE OF SECTION THIR-  
52 TY-SIX HUNDRED TWO OF THIS CHAPTER FOR EACH SUCH YEAR DIVIDED BY THE  
53 TOTAL APPROVED OPERATING EXPENSE FOR SUCH DISTRICT FOR THE IMMEDIATELY  
54 PRECEDING YEAR OR (B) THE QUOTIENT OF THE TOTAL GENERAL FUND EXPENDI-  
55 TURES FOR THE SCHOOL DISTRICT CALCULATED PURSUANT TO AN ELECTRONIC DATA  
56 FILE CREATED FOR THE PURPOSE OF COMPLIANCE WITH PARAGRAPH B OF SUBDIVI-



1 SION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS CHAPTER PUBLISHED  
2 ANNUALLY ON MAY FIFTEENTH FOR THE YEAR PRIOR TO THE BASE YEAR DIVIDED BY  
3 THE TOTAL ESTIMATED PUBLIC ENROLLMENT FOR THE SCHOOL DISTRICT PURSUANT  
4 TO PARAGRAPH N OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF  
5 THIS CHAPTER FOR THE YEAR PRIOR TO THE BASE YEAR.

6 For the purposes of this subdivision, the "supplemental basic tuition"  
7 shall be (A) for a school district for which the charter school basic  
8 tuition computed for the current year is greater than or equal to the  
9 charter school basic tuition for the two thousand ten--two thousand  
10 eleven school year pursuant to the provisions of subparagraph (i) of  
11 this paragraph, (1) for the two thousand fourteen--two thousand fifteen  
12 school year two hundred and fifty dollars, and (2) for the two thousand  
13 fifteen--two thousand sixteen school year three hundred and fifty  
14 dollars, and (3) for the two thousand sixteen--two thousand seventeen  
15 school year five hundred dollars, and (4) FOR THE TWO THOUSAND SEVEN-  
16 TEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR AND THEREAFTER, THE SUM OF (I)  
17 THE SUPPLEMENTAL BASIC TUITION CALCULATED FOR THE TWO THOUSAND SIXTEEN-  
18 -TWO THOUSAND SEVENTEEN SCHOOL YEAR PLUS (II) FIVE HUNDRED DOLLARS, AND  
19 (B) FOR SCHOOL YEARS PRIOR TO THE TWO THOUSAND SEVENTEEN--TWO THOUSAND  
20 EIGHTEEN SCHOOL YEAR, for a school district for which the charter school  
21 basic tuition for the two thousand ten--two thousand eleven school year  
22 is greater than the charter school basic tuition for the current year  
23 pursuant to the provisions of subparagraph (i) of this paragraph, the  
24 positive difference of the charter school basic tuition for the two  
25 thousand ten--two thousand eleven school year minus the charter school  
26 basic tuition for the current year pursuant to the provisions of subpar-  
27 agraph (i) of this paragraph AND (C) FOR SCHOOL YEARS FOLLOWING THE TWO  
28 THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEARS, FOR A SCHOOL  
29 DISTRICT FOR WHICH THE CHARTER SCHOOL BASIC TUITION FOR THE TWO THOUSAND  
30 TEN--TWO THOUSAND ELEVEN SCHOOL YEAR IS GREATER THAN THE CHARTER SCHOOL  
31 BASIC TUITION FOR THE CURRENT YEAR PURSUANT TO THE PROVISIONS OF SUBPAR-  
32 AGRAPH (I) OF THIS PARAGRAPH, THE SUM OF (I) THE SUPPLEMENTAL BASIC  
33 TUITION CALCULATED FOR THE TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN  
34 SCHOOL YEAR PLUS (II) FIVE HUNDRED DOLLARS.

35 (b) The school district shall also pay directly to the charter school  
36 any federal or state aid attributable to a student with a disability  
37 attending charter school in proportion to the level of services for such  
38 student with a disability that the charter school provides directly or  
39 indirectly. Notwithstanding anything in this section to the contrary,  
40 amounts payable pursuant to this subdivision may be reduced pursuant to  
41 an agreement between the school and the charter entity set forth in the  
42 charter. Payments made pursuant to this subdivision shall be made by the  
43 school district in six substantially equal installments each year begin-  
44 ning on the first business day of July and every two months thereafter.  
45 Amounts payable under this subdivision shall be determined by the  
46 commissioner. Amounts payable to a charter school in its first year of  
47 operation shall be based on the projections of initial-year enrollment  
48 set forth in the charter. Such projections shall be reconciled with the  
49 actual enrollment at the end of the school's first year of operation,  
50 and any necessary adjustments shall be made to payments during the  
51 school's second year of operation.

52 (c) School districts shall be eligible for an annual apportionment  
53 equal to the amount of the supplemental basic tuition [paid to] FOR the  
54 charter school in the base year for the expenses incurred in the two  
55 thousand fourteen--two thousand fifteen, two thousand fifteen--two thou-

1 sand sixteen, [and] two thousand sixteen--two thousand seventeen school  
2 years AND THEREAFTER.

3 S 5. Clause (B) of subparagraph 5 of paragraph (e) of subdivision 3 of  
4 section 2853 of the education law, as added by section 11 of part A of  
5 chapter 54 of the laws of 2016, is amended to read as follows:

6 (B) [twenty] THIRTY percent of the product of the charter school's  
7 basic tuition for the current school year and (i) for a new charter  
8 school that first commences instruction on or after July first, two  
9 thousand fourteen, the charter school's current year enrollment; or (ii)  
10 for a charter school which expands its grade level, pursuant to this  
11 article, the positive difference of the charter school's enrollment in  
12 the current school year minus the charter school's enrollment in the  
13 school year prior to the first year of the expansion.

14 S 6. Intentionally omitted.

15 S 7. Paragraph a of subdivision 33 of section 305 of the education  
16 law, as amended by chapter 621 of the laws of 2003, is amended to read  
17 as follows:

18 a. The commissioner shall establish procedures for the approval of  
19 providers of supplemental educational services in accordance with the  
20 provisions of subsection (e) of section one thousand one hundred sixteen  
21 of the No Child Left Behind Act of 2001 and shall adopt regulations to  
22 implement such procedures. Notwithstanding any other provision of state  
23 or local law, rule or regulation to the contrary, any local educational  
24 agency that receives federal funds pursuant to title I of the Elementary  
25 and Secondary Education Act of nineteen hundred sixty-five, as amended,  
26 shall be authorized to contract with the approved provider selected by a  
27 student's parent, as such term is defined in subsection [thirty-one]  
28 THIRTY-EIGHT of section [nine] EIGHT thousand one hundred one of the [No  
29 Child Left Behind Act of 2001] ELEMENTARY AND SECONDARY EDUCATION ACT OF  
30 NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED, for the provision of supple-  
31 mental educational services to the extent required under such section  
32 one thousand one hundred sixteen. Eligible approved providers shall  
33 include, but not be limited to, public schools, BOCES, institutions of  
34 higher education, and community based organizations.

35 S 8. Subdivision 7 of section 2802 of the education law, as amended by  
36 chapter 425 of the laws of 2002, is amended to read as follows:

37 7. Notwithstanding any other provision of state or local law, rule or  
38 regulation to the contrary, any student who attends a persistently  
39 dangerous public elementary or secondary school, as determined by the  
40 commissioner pursuant to paragraph a of this subdivision, or who is a  
41 victim of a violent criminal offense, as defined pursuant to paragraph b  
42 of this subdivision, that occurred on the grounds of a public elementary  
43 or secondary school that the student attends, shall be allowed to attend  
44 a safe public school within the local educational agency to the extent  
45 required by section [ninety-five] EIGHTY-FIVE hundred thirty-two of the  
46 [No Child Left Behind Act of 2001] ELEMENTARY AND SECONDARY EDUCATION  
47 ACT OF NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED.

48 a. The commissioner shall annually determine which public elementary  
49 and secondary schools are persistently dangerous in accordance with  
50 regulations of the commissioner developed in consultation with a repre-  
51 sentative sample of local educational agencies. Such determination shall  
52 be based on data submitted through the uniform violent incident report-  
53 ing system over a period prescribed in the regulations, which shall not  
54 be less than two years.

55 b. Each local educational agency required to provide unsafe school  
56 choice shall establish procedures for determinations by the superinten-

1 dent of schools or other chief school officer of whether a student is  
2 the victim of a violent criminal offense that occurred on school grounds  
3 of the school that the student attends. Such superintendent of schools  
4 or other chief school officer shall, prior to making any such determi-  
5 nation, consult with any law enforcement agency investigating such  
6 alleged violent criminal offense and consider any reports or records  
7 provided by such agency. The trustees or board of education or other  
8 governing board of a local educational agency may provide, by local rule  
9 or by-law, for appeal of the determination of the superintendent of  
10 schools to such governing board. Notwithstanding any other provision of  
11 law to the contrary, the determination of such chief school officer  
12 pursuant to this paragraph shall not have collateral estoppel effect in  
13 any student disciplinary proceeding brought against the alleged victim  
14 or perpetrator of such violent criminal offense. For purposes of this  
15 subdivision, "violent criminal offense" shall mean a crime that involved  
16 infliction of serious physical injury upon another as defined in the  
17 penal law, a sex offense that involved forcible compulsion or any other  
18 offense defined in the penal law that involved the use or threatened use  
19 of a deadly weapon.

20 c. Each local educational agency, as defined in subsection [twenty-  
21 six] THIRTY of section [ninety-one] EIGHTY-ONE hundred one of the [No  
22 Child Left Behind Act of 2001] ELEMENTARY AND SECONDARY EDUCATION ACT OF  
23 NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED, that is required to provide  
24 school choice pursuant to section [ninety-five] EIGHTY-FIVE hundred  
25 thirty-two of the [No Child Left Behind Act of 2001] ELEMENTARY AND  
26 SECONDARY EDUCATION ACT OF NINETEEN HUNDRED SIXTY-FIVE, AS AMENDED,  
27 shall establish procedures for notification of parents of, or persons in  
28 parental relation to, students attending schools that have been desig-  
29 nated as persistently dangerous and parents of, or persons in parental  
30 relation to, students who are victims of violent criminal offenses of  
31 their right to transfer to a safe public school within the local educa-  
32 tional agency and procedures for such transfer, except that nothing in  
33 this subdivision shall be construed to require such notification where  
34 there are no other public schools within the local educational agency at  
35 the same grade level or such transfer to a safe public school within the  
36 local educational agency is otherwise impossible or to require a local  
37 educational agency that has only one public school within the local  
38 educational agency or only one public school at each grade level to  
39 develop such procedures. The commissioner shall be authorized to adopt  
40 any regulations deemed necessary to assure that local educational agen-  
41 cies implement the provisions of this subdivision.

42 S 9. Subdivision 7 of section 3214 of the education law, as added by  
43 chapter 101 of the laws of 2003, is amended to read as follows:

44 7. Transfer of disciplinary records. Notwithstanding any other  
45 provision of law to the contrary, each local educational agency, as such  
46 term is defined in subsection [twenty-six] THIRTY of section [ninety-  
47 one] EIGHTY-ONE hundred one of the Elementary and Secondary Education  
48 Act of 1965, as amended, shall establish procedures in accordance with  
49 section [forty-one hundred fifty-five] EIGHTY-FIVE HUNDRED THIRTY-SEVEN  
50 of the Elementary and Secondary Education Act of 1965, as amended, and  
51 the Family Educational Rights and Privacy Act of 1974, to facilitate the  
52 transfer of disciplinary records relating to the suspension or expulsion  
53 of a student to any public or nonpublic elementary or secondary school  
54 in which such student enrolls or seeks, intends or is instructed to  
55 enroll, on a full-time or part-time basis.

1 S 10. Certain apportionments payable to the Haverstraw-Stony Point  
2 central school district shall be paid on an accelerated schedule as  
3 follows:

4 a. (1) Notwithstanding any other provisions of law, for aid payable in  
5 the school years 2017-2018 through 2046-2047 upon application to the  
6 commissioner of education submitted not sooner than the second Monday in  
7 June of the school year in which such aid is payable and not later than  
8 the Friday following the third Monday in June of such school year, the  
9 Haverstraw-Stony Point central school district shall be eligible to  
10 receive an apportionment pursuant to this act in an amount equal to the  
11 product of up to \$2,000,000 and the quotient of the positive difference  
12 of thirty minus the number of school years elapsed since the 2017-2018  
13 school year divided by thirty.

14 (2) Funds apportioned pursuant to this subdivision shall be used for  
15 services and expenses of the Haverstraw-Stony Point central school  
16 district and shall be applied to support its educational programs and  
17 any liability incurred by such school district in carrying out its func-  
18 tions and responsibilities under the education law.

19 b. The claim for an apportionment to be paid to the Haverstraw-Stony  
20 Point central school district pursuant to subdivision a of this section  
21 shall be submitted to the commissioner of education on a form prescribed  
22 for such purpose, and shall be payable upon determination by such  
23 commissioner that the form has been submitted as prescribed. For each  
24 school year in which application is made pursuant to subdivision a of  
25 this section, such approved amount shall be payable on or before June  
26 thirtieth of such school year upon the audit and warrant of the state  
27 comptroller on vouchers certified or approved by the commissioner of  
28 education in the manner prescribed by law from moneys in the state  
29 lottery fund appropriated for general support of public schools and from  
30 the general fund to the extent that the amount paid to the Haverstraw-  
31 Stony Point central school district pursuant to this subdivision and  
32 subdivision a of this section exceeds the amount of the lottery appor-  
33 tionment, if any, due such school district pursuant to subparagraph 2 of  
34 paragraph a of subdivision 1 of section 3609-a of the education law on  
35 or before the last business day in September of such school year.

36 c. Notwithstanding the provisions of section 3609-a of the education  
37 law, an amount equal to the amount paid to the Haverstraw-Stony Point  
38 central school district during the base year pursuant to subdivisions a  
39 and b of this section shall first be deducted from payments due during  
40 the current school year pursuant to subparagraphs 1, 2, 3, 4 and 5 of  
41 paragraph a of subdivision 1 of section 3609-a of the education law in  
42 the following order: the lottery apportionment payable pursuant to  
43 subparagraph 2 of such paragraph followed by the fixed fall payments  
44 payable pursuant to subparagraph 4 of such paragraph, and any remainder  
45 to be deducted from the individualized payments due to the district  
46 pursuant to paragraph b of such subdivision shall be deducted on a chro-  
47 nological basis starting with the earliest payment due the district.

48 d. Notwithstanding any other provisions of law, the sum of payments  
49 made to the Haverstraw-Stony Point central school district during the  
50 base year pursuant to subdivisions a and b of this section plus payments  
51 made to such school district during the current year pursuant to section  
52 3609-a of the education law shall be deemed to truly represent all aids  
53 paid to such school district during the current school year pursuant to  
54 such section 3609-a for the purposes of computing any adjustments to  
55 such aids that may occur in a subsequent school year.

1 S 10-a. Review of Haverstraw-Stony Point central school district  
2 financial condition. The state comptroller shall conduct a comprehensive  
3 review of the financial condition of the Haverstraw-Stony Point central  
4 school district and shall report in writing on the results of such  
5 review on or before January 1, 2018, to the director of the budget, the  
6 commissioner of education, and chairs of the senate finance and assembly  
7 ways and means committees. Such review shall include but not be limited  
8 to analysis of the school district's budgets, operating results, fund  
9 balances and reserves over the five most recent completed school years,  
10 as well as the district's budget projections for the current school year  
11 and the subsequent three year school years, and shall compare the  
12 district's financial condition to that of other districts in the region.

13 S 10-b. Special financing authority for the Haverstraw-Stony Point  
14 central school district. a. Notwithstanding the provisions of any law to  
15 the contrary, the dormitory authority is authorized, upon application by  
16 the Haverstraw-Stony Point central school district, to issue bonds and  
17 notes in one or more series, with terms not exceeding thirty years, for  
18 purposes of refunding or refinancing debt issued by the school district  
19 related to the repayment of a tax certiorari settlement agreement, the  
20 total costs of which exceed the total annual school budget at the time  
21 the school district applies for refinancing or refunding through the  
22 authority. The aggregate principal amount of such bonds and notes shall  
23 not exceed the total cost of refunding such debt as determined by the  
24 authority and shall not be a debt of the state, and the state shall not  
25 be liable thereon.

26 b. The total amount of the indebtedness which the Haverstraw-Stony  
27 Point central school district shall refinance through the dormitory  
28 authority shall be deemed to be indebtedness of the school district.  
29 Such indebtedness shall be subject to applicable sections of the local  
30 finance law, state finance law, and the constitution.

31 c. Notwithstanding the provisions of any general or special law to the  
32 contrary, a period of probable usefulness of not to exceed thirty years  
33 shall apply to any obligations of the Haverstraw-Stony Point central  
34 school district either issued by the school district on its own or to  
35 repay the dormitory authority or to any general obligation bonds issued  
36 by the school district to secure bonds issued by the dormitory authori-  
37 ty.

38 d. Notwithstanding the limitations or requirements of subdivision 1-a  
39 of section 3651 of the education law, the Haverstraw-Stony Point central  
40 school district may, without approval by the qualified voters of the  
41 district, use monies in any reserve fund established by such district  
42 pursuant to such subdivision of such section, to pay the principal of  
43 and interest on any bonds issued by such district or the dormitory  
44 authority for the object or purpose described in this section.

45 S 11. Notwithstanding any provision of law to the contrary, the  
46 commissioner of education may provide for the recovery of funds for a  
47 penalty arising from a late final cost report pursuant to this section.

48 a. Definition. For the purposes of this section, "notification year"  
49 shall mean the school year in which the school district was first noti-  
50 fied by the commissioner of education of the calculation of a penalty  
51 arising from a late final cost report.

52 b. Penalty eligibility. Only aid penalties arising from late final  
53 cost reports (1) (i) for school construction projects approved by the  
54 commissioner of education prior to July 1, 2011 where such penalty has  
55 not yet been recovered by the commissioner of education or (ii) that are  
56 already included within a multi-year recovery pursuant to a chapter of

1 law of the year 2013 or thereafter and (2) where such total penalty  
2 exceeds six one-hundredths (0.06) of the school district's total general  
3 fund expenditures for the base year of the notification year, shall be  
4 eligible for the provisions of this section.

5 c. Recovery opt-in for certain districts. Any school district with an  
6 eligible penalty that is already included within a multi-year recovery  
7 of aid pursuant to a chapter of law of 2013 or thereafter may choose to  
8 opt-in to the provisions of this section, provided that only the portion  
9 of the total penalty that has not yet been recovered by the commissioner  
10 of education shall be eligible for the provisions of this section.

11 d. Documentation. The commissioner of education shall determine the  
12 documentation required from a school district to implement the  
13 provisions of this section, and where documentation is required from a  
14 school district to supplement the documentation already on file with the  
15 commissioner, the commissioner shall determine the timeframe within  
16 which such documentation must be submitted.

17 e. Aid penalty. For any district with eligible projects pursuant to  
18 the provisions of this section, the commissioner of education shall  
19 compute a total penalty and shall develop a schedule of no more than ten  
20 years over which period such penalty shall be recovered, provided that:

21 (1) such scheduled penalties shall be deducted from the payments due  
22 to such school district and payable in the month of June beginning in  
23 the school year after the year in which this section shall have become a  
24 law or the school year succeeding the notification year, whichever is  
25 later;

26 (2) the amount recovered in the first year of the schedule shall equal  
27 the sum of (A) two one-hundredths (0.02) of such district's total gener-  
28 al fund expenditures for the year prior to the first year of such recov-  
29 ery, plus (B) the amount that is recognized as a liability due to other  
30 governments by the district for the year prior to the first year of such  
31 recovery, plus (C) the positive remainder of the district's surplus  
32 funds, as defined in section 1318 of the real property tax law, at the  
33 close of the year prior to the first year of such recovery less the  
34 product of the district's total general fund expenditures for the year  
35 prior to the first year of such recovery multiplied by four one-hun-  
36 dredths (0.04), provided that the amount recovered in such first year  
37 shall not exceed the portion of the total penalty that has not yet been  
38 recovered;

39 (3) the amount recovered in each subsequent year shall be recovered by  
40 deducting such excess payments from the payments due to such school  
41 district and payable in the month of June of subsequent years and shall  
42 equal two one-hundredths (0.02) of such district's total general fund  
43 expenditures for the year prior to the first year of such recovery,  
44 provided that the amount recovered in each such subsequent year shall  
45 not exceed the portion of the total penalty that has not yet been recov-  
46 ered;

47 (4) there shall be no interest penalty assessed against such district  
48 or collected by the state.

49 f. Remaining penalty. If after the end of the ten-year period there  
50 remains any amount of penalty still to be recovered, the commissioner of  
51 education shall forgo the recovery of such additional penalty and deem  
52 the school district's obligation complete.

53 S 12. Section 4 of chapter 425 of the laws of 2002, amending the  
54 education law relating to the provision of supplemental educational  
55 services, attendance at a safe public school and the suspension of  
56 pupils who bring a firearm to or possess a firearm at a school, as

1 amended by section 35 of part A of chapter 54 of the laws of 2016, is  
2 amended to read as follows:

3 S 4. This act shall take effect July 1, 2002 and shall expire and be  
4 deemed repealed June 30, [2017] 2018.

5 S 13. Section 5 of chapter 101 of the laws of 2003, amending the  
6 education law relating to the implementation of the No Child Left Behind  
7 Act of 2001, as amended by section 36 of part A of chapter 54 of the  
8 laws of 2016, is amended to read as follows:

9 S 5. This act shall take effect immediately; provided that sections  
10 one, two and three of this act shall expire and be deemed repealed on  
11 June 30, [2017] 2018.

12 S 14. Paragraph o of subdivision 1 of section 3602 of the education  
13 law, as amended by section 15 of part A of chapter 54 of the laws of  
14 2016, is amended to read as follows:

15 o. "English language learner count" shall mean the number of pupils  
16 served in the base year in programs for pupils [with limited English  
17 proficiency] WHO ARE ENGLISH LANGUAGE LEARNERS approved by the commis-  
18 sioner pursuant to the provisions of this chapter and in accordance with  
19 regulations adopted for such purpose.

20 S 15. Paragraph b of subdivision 15 of section 2556 of the education  
21 law, as added by section 20 of part A of chapter 57 of the laws of 2013,  
22 is amended to read as follows:

23 b. On or before December thirty-first, two thousand [fourteen] SEVEN-  
24 TEEN, the chancellor shall submit the inventory, report, and the recom-  
25 mendations to minimize the number of transportable classroom units with-  
26 in the city school district, compiled and developed pursuant to  
27 paragraph a of this subdivision, to the governor, the temporary presi-  
28 dent of the senate, the speaker of the assembly, the chairs of the  
29 senate and assembly committees on education, and the department. ANNUAL-  
30 LY, ON OR BEFORE DECEMBER THIRTY-FIRST, THE CHANCELLOR SHALL UPDATE SUCH  
31 INVENTORY, REPORT AND RECOMMENDATIONS AND PROVIDE SUCH UPDATED INFORMA-  
32 TION AND RECOMMENDATIONS TO THE GOVERNOR, THE TEMPORARY PRESIDENT OF THE  
33 SENATE, THE SPEAKER OF THE ASSEMBLY, THE CHAIRS OF THE SENATE AND ASSEM-  
34 BLY COMMITTEES ON EDUCATION, AND THE DEPARTMENT.

35 S 16. Paragraph q of subdivision 1 of section 3602 of the education  
36 law, as amended by section 25 of part A of chapter 58 of the laws of  
37 2011, is amended to read as follows:

38 q. "Poverty count" shall mean the sum of the product of the lunch  
39 count multiplied by sixty-five percent, plus the product of the census  
40 count multiplied by sixty-five percent, where:

41 (i) "Lunch count" shall mean the product of the public school enroll-  
42 ment of the school district on the date enrollment was counted in  
43 accordance with this subdivision for the base year multiplied by the  
44 three-year average free and reduced price lunch percent; and

45 (ii) "Census count" shall mean the product of the public school  
46 enrollment of the school district on the date enrollment was counted in  
47 accordance with this subdivision for the base year multiplied by THE  
48 CENSUS 2000 POVERTY RATE.

49 (III) "CENSUS 2000 POVERTY RATE" SHALL MEAN the quotient of the number  
50 of persons aged five to seventeen within the school district, based on  
51 the [most recent] decennial census CONDUCTED IN THE YEAR TWO THOUSAND as  
52 tabulated by the National Center on Education Statistics, who were  
53 enrolled in public schools and whose families had incomes below the  
54 poverty level, divided by the total number of persons aged five to  
55 seventeen within the school district, based on such decennial census,

1 who were enrolled in public schools, computed to four decimals without  
2 rounding.

3 (IV) "SELECTED POVERTY RATE" SHALL MEAN: (A) FOR SCHOOL DISTRICTS WITH  
4 HIGH CONCENTRATIONS OF NONPUBLIC STUDENTS, THE GREATER OF THE CENSUS  
5 2000 POVERTY RATE OR THE THREE-YEAR AVERAGE SMALL AREA INCOME AND POVER-  
6 TY ESTIMATE POVERTY RATE; AND (B) FOR ALL OTHER SCHOOL DISTRICTS, THE  
7 THREE-YEAR AVERAGE SMALL AREA INCOME AND POVERTY ESTIMATE POVERTY RATE.  
8 FOR THE PURPOSES OF THIS SUBPARAGRAPH, "THREE-YEAR AVERAGE SMALL AREA  
9 INCOME AND POVERTY ESTIMATE POVERTY RATE" SHALL EQUAL THE QUOTIENT OF  
10 (1) THE SUM OF THE NUMBER OF PERSONS AGED FIVE TO SEVENTEEN WITHIN THE  
11 SCHOOL DISTRICT, BASED ON THE SMALL AREA INCOME AND POVERTY ESTIMATES  
12 PRODUCED BY THE UNITED STATES CENSUS BUREAU, WHOSE FAMILIES HAD INCOMES  
13 BELOW THE POVERTY LEVEL FOR THE YEAR TWO YEARS PRIOR TO THE YEAR IN  
14 WHICH THE BASE YEAR BEGAN, PLUS SUCH NUMBER FOR THE YEAR THREE YEARS  
15 PRIOR TO THE YEAR IN WHICH THE BASE YEAR BEGAN, PLUS SUCH NUMBER FOR THE  
16 YEAR FOUR YEARS PRIOR TO THE YEAR IN WHICH THE BASE YEAR BEGAN, DIVIDED  
17 BY (2) THE SUM OF THE TOTAL NUMBER OF PERSONS AGED FIVE TO SEVENTEEN  
18 WITHIN THE SCHOOL DISTRICT, BASED ON SUCH CENSUS BUREAU ESTIMATES, FOR  
19 THE YEAR TWO YEARS PRIOR TO THE YEAR IN WHICH THE BASE YEAR BEGAN, PLUS  
20 SUCH TOTAL NUMBER FOR THE YEAR THREE YEARS PRIOR TO THE YEAR IN WHICH  
21 THE BASE YEAR BEGAN, PLUS SUCH TOTAL NUMBER FOR THE YEAR FOUR YEARS  
22 PRIOR TO THE YEAR IN WHICH THE BASE YEAR BEGAN, COMPUTED TO FOUR DECI-  
23 MALS WITHOUT ROUNDING.

24 (V) "SCHOOL DISTRICTS WITH HIGH CONCENTRATIONS OF NONPUBLIC STUDENTS"  
25 SHALL MEAN ANY DISTRICT WHERE: (A) THE QUOTIENT ARRIVED AT WHEN DIVIDING  
26 (1) THE SUM OF THE ENROLLMENTS IN GRADES KINDERGARTEN THROUGH TWELVE IN  
27 THE BASE YEAR CALCULATED PURSUANT TO SUBPARAGRAPHS FIVE AND SIX OF PARA-  
28 GRAPH N OF THIS SUBDIVISION BY (2) THE RESIDENT PUBLIC SCHOOL DISTRICT  
29 ENROLLMENT IN THE BASE YEAR COMPUTED PURSUANT TO SUBPARAGRAPHS FOUR,  
30 FIVE, AND SIX OF PARAGRAPH N OF THIS SUBDIVISION IS GREATER THAN  
31 FIFTEEN-HUNDREDTHS (0.15); AND (B) THE THREE-YEAR AVERAGE SMALL AREA  
32 INCOME AND POVERTY ESTIMATE POVERTY RATE IS GREATER THAN TEN PERCENT  
33 (0.10).

34 S 16-a. Subdivision 4 of section 3602 of the education law, as amended  
35 by section 5-a of part A of chapter 56 of the laws of 2015, the opening  
36 paragraph, subparagraph 1 of paragraph a, clause (ii) of subparagraph 2  
37 of paragraph b and paragraph d as amended and paragraph b-2 as added by  
38 section 7 of part A of chapter 54 of the laws of 2016, paragraph e as  
39 added by section 8 of part A of chapter 54 of the laws of 2016, is  
40 amended to read as follows:

41 4. Total foundation aid. In addition to any other apportionment  
42 pursuant to this chapter, a school district, other than a special act  
43 school district as defined in subdivision eight of section four thousand  
44 one of this chapter, shall be eligible for total foundation aid equal to  
45 the product of total aidable foundation pupil units multiplied by the  
46 district's selected foundation aid, which shall be the greater of five  
47 hundred dollars (\$500) or foundation formula aid, provided, however that  
48 for the two thousand seven--two thousand eight through two thousand  
49 eight--two thousand nine school years, no school district shall receive  
50 total foundation aid in excess of the sum of the total foundation aid  
51 base for aid payable in the two thousand seven--two thousand eight  
52 school year computed pursuant to subparagraph (i) of paragraph j of  
53 subdivision one of this section, plus the phase-in foundation increase  
54 computed pursuant to paragraph b of this subdivision, and provided  
55 further that for the two thousand twelve--two thousand thirteen school  
56 year, no school district shall receive total foundation aid in excess of



1 the sum of the total foundation aid base for aid payable in the two  
2 thousand eleven--two thousand twelve school year computed pursuant to  
3 subparagraph (ii) of paragraph j of subdivision one of this section,  
4 plus the phase-in foundation increase computed pursuant to paragraph b  
5 of this subdivision, and provided further that for the two thousand  
6 thirteen--two thousand fourteen school year and thereafter, no school  
7 district shall receive total foundation aid in excess of the sum of the  
8 total foundation aid base computed pursuant to subparagraph (ii) of  
9 paragraph j of subdivision one of this section, plus the phase-in foun-  
10 dation increase computed pursuant to paragraph b of this subdivision,  
11 and provided further that for the two thousand sixteen--two thousand  
12 seventeen school year, no eligible school districts shall receive total  
13 foundation aid in excess of the sum of the total foundation aid base  
14 computed pursuant to subparagraph (ii) of paragraph j of subdivision one  
15 of this section plus the sum of (A) the phase-in foundation increase,  
16 (B) the executive foundation increase with a minimum increase pursuant  
17 to paragraph b-2 of this subdivision, and (C) an amount equal to "COMMU-  
18 NITY SCHOOLS AID" in the computer listing produced by the commissioner  
19 in support of the executive budget request for the two thousand  
20 sixteen--two thousand seventeen school year and entitled "BT161-7",  
21 where (1) "eligible school district" shall be defined as a district with  
22 (a) an unrestricted aid increase of less than seven percent (0.07) and  
23 (b) a three year average free and reduced price lunch percent greater  
24 than fifteen percent (0.15), and (2) "unrestricted aid increase" shall  
25 mean the quotient arrived at when dividing (a) the sum of the executive  
26 foundation aid increase plus the gap elimination adjustment for the base  
27 year, by (b) the difference of foundation aid for the base year less the  
28 gap elimination adjustment for the base year, and (3) "executive founda-  
29 tion increase" shall mean the difference of (a) the amounts set forth  
30 for each school district as "FOUNDATION AID" under the heading "2016-17  
31 ESTIMATED AIDS" in the school aid computer listing produced by the  
32 commissioner in support of the executive budget request for the two  
33 thousand sixteen--two thousand seventeen school year and entitled  
34 "BT161-7" less (b) the amounts set forth for each school district as  
35 "FOUNDATION AID" under the heading "2015-16 BASE YEAR AIDS" in such  
36 computer listing and provided further that total foundation aid shall  
37 not be less than the product of the total foundation aid base computed  
38 pursuant to paragraph j of subdivision one of this section and the due-  
39 minimum percent which shall be, for the two thousand twelve--two thou-  
40 sand thirteen school year, one hundred and six-tenths percent (1.006)  
41 and for the two thousand thirteen--two thousand fourteen school year for  
42 city school districts of those cities having populations in excess of  
43 one hundred twenty-five thousand and less than one million inhabitants  
44 one hundred and one and one hundred and seventy-six thousandths percent  
45 (1.01176), and for all other districts one hundred and three-tenths  
46 percent (1.003), and for the two thousand fourteen--two thousand fifteen  
47 school year one hundred and eighty-five hundredths percent (1.0085), and  
48 for the two thousand fifteen--two thousand sixteen school year, one  
49 hundred thirty-seven hundredths percent (1.0037), subject to allocation  
50 pursuant to the provisions of subdivision eighteen of this section and  
51 any provisions of a chapter of the laws of New York as described there-  
52 in, nor more than the product of such total foundation aid base and one  
53 hundred fifteen percent FOR ANY SCHOOL YEAR OTHER THAN THE TWO THOUSAND  
54 SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR, provided, however, that  
55 for the two thousand sixteen--two thousand seventeen school year such  
56 maximum shall be no more than the sum of (i) the product of such total

1 foundation aid base and one hundred fifteen percent plus (ii) the execu-  
 2 tive foundation increase and plus (iii) "COMMUNITY SCHOOLS AID" in the  
 3 computer listing produced by the commissioner in support of the execu-  
 4 tive budget request for the two thousand sixteen--two thousand seventeen  
 5 school year and entitled "BT161-7" and provided further that for the two  
 6 thousand nine--two thousand ten through two thousand eleven--two thou-  
 7 sand twelve school years, each school district shall receive total foun-  
 8 dation aid in an amount equal to the amount apportioned to such school  
 9 district for the two thousand eight--two thousand nine school year  
 10 pursuant to this subdivision. Total aidable foundation pupil units shall  
 11 be calculated pursuant to paragraph g of subdivision two of this  
 12 section. For the purposes of calculating aid pursuant to this subdivi-  
 13 sion, aid for the city school district of the city of New York shall be  
 14 calculated on a citywide basis.

15 a. Foundation formula aid. Foundation formula aid shall equal the  
 16 remainder when the expected minimum local contribution is subtracted  
 17 from the product of the foundation amount, the regional cost index, and  
 18 the pupil need index, or: (foundation amount x regional cost index x  
 19 pupil need index)- expected minimum local contribution.

20 (1) The foundation amount shall reflect the average per pupil cost of  
 21 general education instruction in successful school districts, as deter-  
 22 mined by a statistical analysis of the costs of special education and  
 23 general education in successful school districts, provided that the  
 24 foundation amount shall be adjusted annually to reflect the percentage  
 25 increase in the consumer price index as computed pursuant to section two  
 26 thousand twenty-two of this chapter, provided that for the two thousand  
 27 eight--two thousand nine school year, for the purpose of such adjust-  
 28 ment, the percentage increase in the consumer price index shall be  
 29 deemed to be two and nine-tenths percent (0.029), and provided further  
 30 that the foundation amount for the two thousand seven--two thousand  
 31 eight school year shall be five thousand two hundred fifty-eight  
 32 dollars, and provided further that for the two thousand seven--two thou-  
 33 sand eight through two thousand [sixteen] SEVENTEEN--two thousand  
 34 [seventeen] EIGHTEEN school years, the foundation amount shall be  
 35 further adjusted by the phase-in foundation percent established pursuant  
 36 to paragraph b of this subdivision.

37 (2) The regional cost index shall reflect an analysis of labor market  
 38 costs based on median salaries in professional occupations that require  
 39 similar credentials to those of positions in the education field, but  
 40 not including those occupations in the education field, provided that  
 41 the regional cost indices for the two thousand seven--two thousand eight  
 42 school year and thereafter shall be as follows:

43	Labor Force Region	Index
44	Capital District	1.124
45	Southern Tier	1.045
46	Western New York	1.091
47	Hudson Valley	1.314
48	Long Island/NYC	1.425
49	Finger Lakes	1.141
50	Central New York	1.103
51	Mohawk Valley	1.000
52	North Country	1.000

53 (3) The pupil need index shall equal the sum of one plus the extraor-  
 54 dinary needs percent, provided, however, that the pupil need index shall  
 55 not be less than one nor more than two. The extraordinary needs percent

1 shall be calculated pursuant to paragraph w of subdivision one of this  
2 section.

3 (4) The expected minimum local contribution shall equal the lesser of  
4 (i) the product of (A) the quotient arrived at when the selected actual  
5 valuation is divided by total wealth foundation pupil units, multiplied  
6 by (B) the product of the local tax factor, multiplied by the income  
7 wealth index, or (ii) the product of (A) the product of the foundation  
8 amount, the regional cost index, and the pupil need index, multiplied by  
9 (B) the positive difference, if any, of one minus the state sharing  
10 ratio for total foundation aid. The local tax factor shall be estab-  
11 lished by May first of each year by determining the product, computed to  
12 four decimal places without rounding, of ninety percent multiplied by  
13 the quotient of the sum of the statewide average tax rate as computed by  
14 the commissioner for the current year in accordance with the provisions  
15 of paragraph e of subdivision one of section thirty-six hundred nine-e  
16 of this part plus the statewide average tax rate computed by the commis-  
17 sioner for the base year in accordance with such provisions plus the  
18 statewide average tax rate computed by the commissioner for the year  
19 prior to the base year in accordance with such provisions, divided by  
20 three, provided however that for the two thousand seven--two thousand  
21 eight school year, such local tax factor shall be sixteen thousandths  
22 (0.016), and provided further that for the two thousand eight--two thou-  
23 sand nine school year, such local tax factor shall be one hundred  
24 fifty-four ten thousandths (0.0154). The income wealth index shall be  
25 calculated pursuant to paragraph d of subdivision three of this section,  
26 provided, however, that for the purposes of computing the expected mini-  
27 mum local contribution the income wealth index shall not be less than  
28 sixty-five percent (0.65) and shall not be more than two hundred percent  
29 (2.0) and provided however that such income wealth index shall not be  
30 more than ninety-five percent (0.95) for the two thousand eight--two  
31 thousand nine school year, and provided further that such income wealth  
32 index shall not be less than zero for the two thousand thirteen--two  
33 thousand fourteen school year. The selected actual valuation shall be  
34 calculated pursuant to paragraph c of subdivision one of this section.  
35 Total wealth foundation pupil units shall be calculated pursuant to  
36 paragraph h of subdivision two of this section.

37 b. Phase-in foundation increase. (1) The phase-in foundation increase  
38 shall equal the product of the phase-in foundation increase factor  
39 multiplied by the positive difference, if any, of (i) the product of the  
40 total aidable foundation pupil units multiplied by the district's  
41 selected foundation aid less (ii) the total foundation aid base computed  
42 pursuant to paragraph j of subdivision one of this section.

43 (2) (i) Phase-in foundation percent. The phase-in foundation percent  
44 shall equal one hundred thirteen and fourteen one hundredths percent  
45 (1.1314) for the two thousand eleven--two thousand twelve school year,  
46 one hundred ten and thirty-eight hundredths percent (1.1038) for the two  
47 thousand twelve--two thousand thirteen school year, one hundred seven  
48 and sixty-eight hundredths percent (1.0768) for the two thousand thir-  
49 teen--two thousand fourteen school year, one hundred five and six  
50 hundredths percent (1.0506) for the two thousand fourteen--two thousand  
51 fifteen school year, and one hundred two and five tenths percent  
52 (1.0250) for the two thousand fifteen--two thousand sixteen school year.

53 (ii) Phase-in foundation increase factor. For the two thousand  
54 eleven--two thousand twelve school year, the phase-in foundation  
55 increase factor shall equal thirty-seven and one-half percent (0.375)  
56 and the phase-in due minimum percent shall equal nineteen and forty-one

1 hundredths percent (0.1941), for the two thousand twelve--two thousand  
2 thirteen school year the phase-in foundation increase factor shall equal  
3 one and seven-tenths percent (0.017), for the two thousand thirteen--two  
4 thousand fourteen school year the phase-in foundation increase factor  
5 shall equal (1) for a city school district in a city having a population  
6 of one million or more, five and twenty-three hundredths percent  
7 (0.0523) or (2) for all other school districts zero percent, for the two  
8 thousand fourteen--two thousand fifteen school year the phase-in founda-  
9 tion increase factor shall equal (1) for a city school district of a  
10 city having a population of one million or more, four and thirty-two  
11 hundredths percent (0.0432) or (2) for a school district other than a  
12 city school district having a population of one million or more for  
13 which (A) the quotient of the positive difference of the foundation  
14 formula aid minus the foundation aid base computed pursuant to paragraph  
15 j of subdivision one of this section divided by the foundation formula  
16 aid is greater than twenty-two percent (0.22) and (B) a combined wealth  
17 ratio less than thirty-five hundredths (0.35), seven percent (0.07) or  
18 (3) for all other school districts, four and thirty-one hundredths  
19 percent (0.0431), and for the two thousand fifteen--two thousand sixteen  
20 school year the phase-in foundation increase factor shall equal: (1) for  
21 a city school district of a city having a population of one million or  
22 more, thirteen and two hundred seventy-four thousandths percent  
23 (0.13274); or (2) for districts where the quotient arrived at when  
24 dividing (A) the product of the total aidable foundation pupil units  
25 multiplied by the district's selected foundation aid less the total  
26 foundation aid base computed pursuant to paragraph j of subdivision one  
27 of this section divided by (B) the product of the total aidable founda-  
28 tion pupil units multiplied by the district's selected foundation aid is  
29 greater than nineteen percent (0.19), and where the district's combined  
30 wealth ratio is less than thirty-three hundredths (0.33), seven and  
31 seventy-five hundredths percent (0.0775); or (3) for any other district  
32 designated as high need pursuant to clause (c) of subparagraph two of  
33 paragraph c of subdivision six of this section for the school aid  
34 computer listing produced by the commissioner in support of the enacted  
35 budget for the two thousand seven--two thousand eight school year and  
36 entitled "SA0708", four percent (0.04); or (4) for a city school  
37 district in a city having a population of one hundred twenty-five thou-  
38 sand or more but less than one million, fourteen percent (0.14); or (5)  
39 for school districts that were designated as small city school districts  
40 or central school districts whose boundaries include a portion of a  
41 small city for the school aid computer listing produced by the commis-  
42 sioner in support of the enacted budget for the two thousand fourteen--  
43 two thousand fifteen school year and entitled "SA1415", four and seven  
44 hundred fifty-one thousandths percent (0.04751); or (6) for all other  
45 districts one percent (0.01), and for the two thousand sixteen--two  
46 thousand seventeen school year THE FOUNDATION AID PHASE-IN INCREASE  
47 FACTOR shall equal for an eligible school district the greater of: (1)  
48 for a city school district in a city with a population of one million or  
49 more, seven and seven hundred eighty four thousandths percent (0.07784);  
50 or (2) for a city school district in a city with a population of more  
51 than two hundred fifty thousand but less than one million as of the most  
52 recent federal decennial census, seven and three hundredths percent  
53 (0.0703); or (3) for a city school district in a city with a population  
54 of more than two hundred thousand but less than two hundred fifty thou-  
55 sand as of the most recent federal decennial census, six and seventy-two  
56 hundredths percent (0.0672); or (4) for a city school district in a city

1 with a population of more than one hundred fifty thousand but less than  
2 two hundred thousand as of the most recent federal decennial census, six  
3 and seventy-four hundredths percent (0.0674); or (5) for a city school  
4 district in a city with a population of more than one hundred twenty-  
5 five thousand but less than one hundred fifty thousand as of the most  
6 recent federal decennial census, nine and fifty-five hundredths percent  
7 (0.0955); or (6) for school districts that were designated as small city  
8 school districts or central school districts whose boundaries include a  
9 portion of a small city for the school aid computer listing produced by  
10 the commissioner in support of the enacted budget for the two thousand  
11 fourteen--two thousand fifteen school year and entitled "SA141-5" with a  
12 combined wealth ratio less than one and four tenths (1.4), nine percent  
13 (0.09), provided, however, that for such districts that are also  
14 districts designated as high need urban-suburban pursuant to clause (c)  
15 of subparagraph two of paragraph c of subdivision six of this section  
16 for the school aid computer listing produced by the commissioner in  
17 support of the enacted budget for the two thousand seven--two thousand  
18 eight school year and entitled "SA0708", nine and seven hundred and  
19 nineteen thousandths percent (0.09719); or (7) for school districts  
20 designated as high need rural pursuant to clause (c) of subparagraph two  
21 of paragraph c of subdivision six of this section for the school aid  
22 computer listing produced by the commissioner in support of the enacted  
23 budget for the two thousand seven--two thousand eight school year and  
24 entitled "SA0708", thirteen and six tenths percent (0.136); or (8) for  
25 school districts designated as high need urban-suburban pursuant to  
26 clause (c) of subparagraph two of paragraph c of subdivision six of this  
27 section for the school aid computer listing produced by the commissioner  
28 in support of the enacted budget for the two thousand seven--two thou-  
29 sand eight school year and entitled "SA0708", seven hundred nineteen  
30 thousandths percent (0.00719); or (9) for all other eligible school  
31 districts, forty-seven hundredths percent (0.0047), PROVIDED FURTHER  
32 THAT FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR  
33 THE FOUNDATION AID INCREASE PHASE-IN FACTOR SHALL EQUAL (1) FOR SCHOOL  
34 DISTRICTS WITH A CENSUS 2000 POVERTY RATE COMPUTED PURSUANT TO PARAGRAPH  
35 Q OF SUBDIVISION ONE OF THIS SECTION EQUAL TO OR GREATER THAN TWENTY-SIX  
36 PERCENT (0.26), TEN AND THREE-TENTHS PERCENT (0.103), OR (2) FOR A  
37 SCHOOL DISTRICT IN A CITY WITH A POPULATION IN EXCESS OF ONE MILLION OR  
38 MORE, SEVENTEEN AND SEVENTY-SEVEN ONE-HUNDREDTHS PERCENT (0.1777), OR  
39 (3) FOR A CITY SCHOOL DISTRICT IN A CITY WITH A POPULATION OF MORE THAN  
40 TWO HUNDRED FIFTY THOUSAND BUT LESS THAN ONE MILLION, AS OF THE MOST  
41 RECENT DECENNIAL CENSUS, TWELVE AND SIXTY-NINE HUNDREDTHS PERCENT  
42 (0.1269) OR (4) FOR A CITY SCHOOL DISTRICT IN A CITY WITH A POPULATION  
43 OF MORE THAN ONE HUNDRED FIFTY THOUSAND BUT LESS THAN TWO HUNDRED THOU-  
44 SAND, AS OF THE MOST RECENT FEDERAL DECENNIAL CENSUS, TEN AND  
45 SEVENTY-EIGHT ONE HUNDREDTHS PERCENT (0.1078), OR (5) FOR A CITY SCHOOL  
46 DISTRICT IN A CITY WITH A POPULATION OF MORE THAN ONE HUNDRED  
47 TWENTY-FIVE THOUSAND BUT LESS THAN ONE HUNDRED FIFTY THOUSAND AS OF THE  
48 MOST RECENT FEDERAL DECENNIAL CENSUS, NINETEEN AND ONE HUNDRED EIGHT  
49 ONE-THOUSANDTHS PERCENT (0.19108), OR (6) FOR A CITY SCHOOL DISTRICT IN  
50 A CITY WITH A POPULATION OF MORE THAN TWO HUNDRED THOUSAND BUT LESS THAN  
51 TWO HUNDRED FIFTY THOUSAND AS OF THE MOST RECENT FEDERAL DECENNIAL  
52 CENSUS, TEN AND SIX-TENTHS PERCENT (0.106), OR (7) FOR ALL OTHER  
53 DISTRICTS, FOUR AND EIGHTY-SEVEN ONE-HUNDREDTHS PERCENT (0.0487), and  
54 for the [two thousand seventeen--two thousand eighteen] TWO THOUSAND  
55 EIGHTEEN--TWO THOUSAND NINETEEN school year and thereafter the commis-  
56 sioner shall annually determine the phase-in foundation increase factor

1 subject to allocation pursuant to the provisions of subdivision eighteen  
2 of this section and any provisions of a chapter of the laws of New York  
3 as described therein.

4 b-1. Notwithstanding any other provision of law to the contrary, for  
5 the two thousand seven--two thousand eight school year and thereafter,  
6 the additional amount payable to each school district pursuant to this  
7 subdivision in the current year as total foundation aid, after deducting  
8 the total foundation aid base, shall be deemed a state grant in aid  
9 identified by the commissioner for general use for purposes of section  
10 seventeen hundred eighteen of this chapter.

11 b-2. Due minimum for the two thousand sixteen--two thousand seventeen  
12 school year. Notwithstanding any other provision of law to the contrary,  
13 for the two thousand sixteen--two thousand seventeen school year the  
14 total foundation aid shall not be less than the sum of the total founda-  
15 tion aid base computed pursuant to paragraph j of subdivision one of  
16 this section plus the due minimum for the two thousand sixteen--two  
17 thousand seventeen school year, where such due minimum shall equal the  
18 difference of (1) the product of (A) two percent (0.02) multiplied by  
19 (B) the difference of total foundation aid for the base year less the  
20 gap elimination adjustment for the base year, less (2) the sum of (A)  
21 the difference of the amounts set forth for each school district as  
22 "FOUNDATION AID" under the heading "2016-17 ESTIMATED AIDS" in the  
23 school aid computer listing produced by the commissioner in support of  
24 the executive budget request for the two thousand sixteen--two thousand  
25 seventeen school year and entitled "BT161-7" less the amounts set forth  
26 for each school district as "FOUNDATION AID" under the heading "2015-16  
27 BASE YEAR AIDS" in such computer listing plus (B) the gap elimination  
28 adjustment for the base year.

29 B-3. DUE MINIMUM FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN  
30 SCHOOL YEAR. NOTWITHSTANDING ANY OTHER PROVISION OF LAW TO THE CONTRARY,  
31 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR THE  
32 TOTAL FOUNDATION AID SHALL NOT BE LESS THAN (A) THE SUM OF THE TOTAL  
33 FOUNDATION AID BASE COMPUTED PURSUANT TO PARAGRAPH J OF SUBDIVISION ONE  
34 OF THIS SECTION PLUS THE PRODUCT OF (I) THE DIFFERENCE OF THE AMOUNT SET  
35 FORTH FOR SUCH SCHOOL DISTRICT AS "FOUNDATION AID" UNDER THE HEADING  
36 "2017-18 ESTIMATED AIDS" IN THE SCHOOL AID COMPUTER LISTING PRODUCED BY  
37 THE COMMISSIONER IN SUPPORT OF THE EXECUTIVE BUDGET REQUEST FOR THE TWO  
38 THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR AND ENTITLED  
39 "BT171-8" LESS THE AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS "FOUNDA-  
40 TION AID" UNDER THE HEADING "2016-17 BASE YEAR AIDS" IN THE SCHOOL AID  
41 COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE EXECU-  
42 TIVE BUDGET REQUEST FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGH-  
43 TEEN SCHOOL YEAR AND ENTITLED "BT171-8" MULTIPLIED BY (II) ONE AND EIGH-  
44 TEEN ONE-HUNDREDTHS (1.18), OR (B) THE PRODUCT OF FORTY-FOUR AND  
45 SEVENTY-FIVE ONE-HUNDREDTHS PERCENT (0.4475) MULTIPLIED BY TOTAL FOUNDA-  
46 TION AID AS COMPUTED PURSUANT TO PARAGRAPH A OF THIS SUBDIVISION, OR (C)  
47 THE SUM OF THE TOTAL FOUNDATION AID BASE COMPUTED PURSUANT TO PARAGRAPH  
48 J OF SUBDIVISION ONE OF THIS SECTION PLUS THE DUE MINIMUM FOR THE TWO  
49 THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR, WHERE SUCH DUE  
50 MINIMUM SHALL EQUAL (1) FOR SCHOOL DISTRICTS WITH A CENSUS 2000 POVERTY  
51 RATE COMPUTED PURSUANT TO PARAGRAPH Q OF SUBDIVISION ONE OF THIS  
52 SECTION, EQUAL TO OR GREATER THAN ELEVEN AND NINE-TENTHS PERCENT  
53 (0.119), THE PRODUCT OF THE FOUNDATION AID BASE FOR THE TWO THOUSAND  
54 SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR COMPUTED PURSUANT TO  
55 SUBPARAGRAPH (III) OF PARAGRAPH J OF SUBDIVISION ONE OF THIS SECTION  
56 MULTIPLIED BY THREE HUNDRED THIRTY-FIVE TEN-THOUSANDTHS (0.0335), OR (2)

1 FOR ALL OTHER SCHOOL DISTRICTS THE PRODUCT OF THE FOUNDATION AID BASE  
2 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR  
3 COMPUTED PURSUANT TO SUBPARAGRAPH (III) OF PARAGRAPH J OF SUBDIVISION  
4 ONE OF THIS SECTION MULTIPLIED BY TWO AND SEVENTY-FOUR ONE-HUNDREDTHS  
5 PERCENT (0.0274).

6 B-4. ADDITIONAL INCREASE FOR THE TWO THOUSAND SEVENTEEN-TWO THOUSAND  
7 EIGHTEEN SCHOOL YEAR. FOR THE TWO THOUSAND SEVENTEEN-TWO THOUSAND EIGH-  
8 TEEN SCHOOL YEAR, ANY SCHOOL DISTRICT ELIGIBLE TO RECEIVE A PHASE-IN  
9 FOUNDATION INCREASE PURSUANT TO THIS SUBDIVISION SHALL RECEIVE AN ADDI-  
10 TIONAL FOUNDATION INCREASE EQUAL TO THE SUM OF TIERS A, B, C, AND D AS  
11 DEFINED HEREIN.

12 (I) TIER A. FOR ALL SCHOOL DISTRICTS OTHER THAN A DISTRICT WITHIN A  
13 CITY WITH A POPULATION OF ONE MILLION OR MORE, WITH A COMBINED WEALTH  
14 RATIO LESS THAN TWO (2.0), WHERE EITHER (A) THE QUOTIENT ARRIVED AT BY  
15 DIVIDING THE ENGLISH LANGUAGE LEARNER COUNT PURSUANT TO PARAGRAPH O OF  
16 SUBDIVISION ONE OF THIS SECTION FOR THE BASE YEAR BY THE PUBLIC SCHOOL  
17 DISTRICT ENROLLMENT FOR THE BASE YEAR PURSUANT TO PARAGRAPH N OF SUBDI-  
18 VISION ONE OF THIS SECTION IS GREATER THAN TWO ONE-HUNDREDTHS (0.02) OR  
19 (B) THE QUOTIENT ARRIVED AT BY DIVIDING THE DIFFERENCE OF THE ENGLISH  
20 LANGUAGE LEARNER COUNT PURSUANT TO PARAGRAPH O OF SUBDIVISION ONE OF  
21 THIS SECTION FOR THE BASE YEAR LESS SUCH COUNT FOR ONE YEAR PRIOR TO THE  
22 BASE YEAR BY THE PUBLIC SCHOOL DISTRICT ENROLLMENT FOR ONE YEAR PRIOR TO  
23 THE BASE YEAR PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF THIS SECTION  
24 IS GREATER THAN ONE ONE-THOUSANDTH (0.001), TIER A SHALL EQUAL THE PROD-  
25 UCT OF (A) THE DIFFERENCE OF TWO MINUS THE COMBINED WEALTH RATIO MULTI-  
26 PLIED BY (B) ONE HUNDRED DOLLARS (\$100.00) MULTIPLIED BY (C) THE ENGLISH  
27 LANGUAGE LEARNER COUNT FOR THE BASE YEAR.

28 (II) TIER B. FOR ANY SCHOOL DISTRICT (A) WHERE THE AMOUNT SET FORTH AS  
29 "25% LIMIT CAP ON INCREASE" ON THE COMPUTER FILE PRODUCED BY THE COMMIS-  
30 SIONER IN SUPPORT OF THE ENACTED BUDGET FOR THE TWO THOUSAND SEVEN--TWO  
31 THOUSAND EIGHT SCHOOL YEAR AND ENTITLED "SA070-8" IS LESS THAN ZERO AND  
32 (B) WITH A COMBINED WEALTH RATIO COMPUTED PURSUANT TO PARAGRAPH C OF  
33 SUBDIVISION THREE OF THIS SECTION GREATER THAN ONE (1.0), TIER B SHALL  
34 EQUAL THE PRODUCT OF (A) THE SUM OF (1) THE DIFFERENCE OF TOTAL FOUNDA-  
35 TION AID LESS THE FOUNDATION AID BASE PLUS (2) THE DIFFERENCE OF THE  
36 AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS "FOUNDATION AID" UNDER THE  
37 HEADING "2017-18 ESTIMATED AID" IN THE SCHOOL AID COMPUTER LISTING  
38 PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE EXECUTIVE BUDGET REQUEST  
39 AND ENTITLED "BT1718" LESS THE FOUNDATION AID BASE MULTIPLIED BY (B) TEN  
40 AND TWO-TENTHS PERCENT (0.102).

41 (III) TIER C. FOR ALL SCHOOL DISTRICTS WITH A COMBINED WEALTH RATIO  
42 FOR TOTAL FOUNDATION AID COMPUTED PURSUANT TO PARAGRAPH C OF SUBDIVISION  
43 THREE OF THIS SECTION LESS THAN ONE (1.0), TIER C SHALL BE THE GREATER  
44 OF (A) FOR DISTRICTS THAT WERE DESIGNATED AS SMALL CITY SCHOOL DISTRICTS  
45 OR CENTRAL SCHOOL DISTRICTS WHOSE BOUNDARIES INCLUDE A PORTION OF A  
46 SMALL CITY FOR THE SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMIS-  
47 SIONER IN SUPPORT OF THE ENACTED BUDGET FOR THE TWO THOUSAND  
48 FOURTEEN--TWO THOUSAND FIFTEEN SCHOOL YEAR AND ENTITLED "SA1415", THE  
49 PRODUCT OF THE PUBLIC SCHOOL DISTRICT ENROLLMENT FOR THE BASE YEAR  
50 PURSUANT TO PARAGRAPH N OF SUBDIVISION ONE OF THIS SECTION MULTIPLIED BY  
51 ONE HUNDRED SIXTY-SEVEN DOLLARS AND FORTY CENTS (\$167.40) OR (B) FOR  
52 SCHOOL DISTRICTS WITH A SPARSITY FACTOR AS SET FORTH ON THE COMPUTER  
53 LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE ENACTED BUDGET  
54 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR AND  
55 ENTITLED "SA171-8" OF GREATER THAN ZERO, THE PRODUCT OF THE PUBLIC

1 SCHOOL DISTRICT ENROLLMENT FOR THE BASE YEAR MULTIPLIED BY ONE HUNDRED  
2 EIGHTY-EIGHT DOLLARS (\$188.00).

3 (IV) TIER D. FOR ALL SCHOOL DISTRICTS, OTHER THAN DISTRICTS WITHIN A  
4 CITY WITH A POPULATION OF ONE HUNDRED TWENTY-FIVE THOUSAND OR MORE, WITH  
5 A SELECTED POVERTY RATE OF GREATER THAN EIGHTEEN HUNDREDTHS (0.18), TIER  
6 D SHALL EQUAL THE PRODUCT OF THE SELECTED POVERTY RATE MULTIPLIED BY THE  
7 SCHOOL DISTRICT PUBLIC ENROLLMENT FOR THE BASE YEAR MULTIPLIED BY TWO  
8 HUNDRED FORTY DOLLARS (\$240.00), PROVIDED, HOWEVER, THAT FOR DISTRICTS  
9 WITHIN A CITY WITH A POPULATION OF GREATER THAN ONE HUNDRED TWENTY-FIVE  
10 THOUSAND BUT LESS THAN ONE MILLION AND A SELECTED POVERTY RATE OF GREAT-  
11 ER THAN EIGHTEEN HUNDREDTHS (0.18), TIER D SHALL EQUAL THE PRODUCT OF  
12 THE SELECTED POVERTY RATE MULTIPLIED BY SCHOOL DISTRICT PUBLIC ENROLL-  
13 MENT FOR THE BASE YEAR MULTIPLIED BY THREE HUNDRED FORTY-FOUR DOLLARS  
14 (\$344.00), AND FOR A CITY SCHOOL DISTRICT IN A CITY WITH A POPULATION OF  
15 ONE MILLION OR MORE, TIER D SHALL EQUAL THE PRODUCT OF THE SELECTED  
16 POVERTY RATE MULTIPLIED BY SCHOOL DISTRICT PUBLIC ENROLLMENT FOR THE  
17 BASE YEAR MULTIPLIED BY TWENTY-NINE CENTS (\$.29).

18 c. Public excess cost aid setaside. Each school district shall set  
19 aside from its total foundation aid computed for the current year pursu-  
20 ant to this subdivision an amount equal to the product of: (i) the  
21 difference between the amount the school district was eligible to  
22 receive in the two thousand six--two thousand seven school year pursuant  
23 to or in lieu of paragraph six of subdivision nineteen of this section  
24 as such paragraph existed on June thirtieth, two thousand seven, minus  
25 the amount such district was eligible to receive pursuant to or in lieu  
26 of paragraph five of subdivision nineteen of this section as such para-  
27 graph existed on June thirtieth, two thousand seven, in such school  
28 year, and (ii) the sum of one and the percentage increase in the consum-  
29 er price index for the current year over such consumer price index for  
30 the two thousand six--two thousand seven school year, as computed pursu-  
31 ant to section two thousand twenty-two of this chapter. Notwithstanding  
32 any other provision of law to the contrary, the public excess cost aid  
33 setaside shall be paid pursuant to section thirty-six hundred nine-b of  
34 this part.

35 d. For the two thousand fourteen--two thousand fifteen through two  
36 thousand [sixteen] SEVENTEEN--two thousand [seventeen] EIGHTEEN school  
37 years a city school district of a city having a population of one  
38 million or more may use amounts apportioned pursuant to this subdivision  
39 for afterschool programs.

40 e. Community schools aid set-aside. Each school district shall set  
41 aside from its total foundation aid computed for the current year pursu-  
42 ant to this subdivision an amount equal to [the following amount, if  
43 any, for such district and] THE SUM OF (I) THE AMOUNT, IF ANY, SET FORTH  
44 FOR SUCH DISTRICT AS "COMMUNITY SCHL AID (BT1617)" IN THE DATA FILE  
45 PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE ENACTED BUDGET FOR THE  
46 TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEAR AND ENTITLED  
47 "SA161-7" AND (II) THE AMOUNT, IF ANY, SET FORTH FOR SUCH DISTRICT AS  
48 "COMMUNITY SCHL INCR" IN THE DATA FILE PRODUCED BY THE COMMISSIONER IN  
49 SUPPORT OF THE EXECUTIVE BUDGET REQUEST FOR THE TWO THOUSAND SEVENTEEN-  
50 -TWO THOUSAND EIGHTEEN SCHOOL YEAR AND ENTITLED "BT171-8". EACH SCHOOL  
51 DISTRICT shall use [the] SUCH "COMMUNITY SCHL AID (BT1617)" amount [so  
52 set aside] to support the transformation of school buildings into commu-  
53 nity hubs to deliver co-located or school-linked academic, health,  
54 mental health, nutrition, counseling, legal and/or other services to  
55 students and their families, including but not limited to providing a  
56 community school site coordinator, or to support other costs incurred to



1 maximize students' academic achievement[:]. EACH SCHOOL DISTRICT SHALL  
 2 USE SUCH "COMMUNITY SCHL INCR" AMOUNT TO SUPPORT THE TRANSFORMATION OF  
 3 SCHOOL BUILDINGS INTO COMMUNITY HUBS TO DELIVER CO-LOCATED OR SCHOOL  
 4 LINKED ACADEMIC, HEALTH, MENTAL HEALTH SERVICES AND PERSONNEL,  
 5 AFTER-SCHOOL PROGRAMMING, DUAL LANGUAGE PROGRAMS, NUTRITION, COUNSELING,  
 6 LEGAL AND/OR OTHER SERVICES TO STUDENTS AND THEIR FAMILIES, INCLUDING  
 7 BUT NOT LIMITED TO PROVIDING A COMMUNITY SCHOOL SITE COORDINATOR AND  
 8 PROGRAMS FOR ENGLISH LANGUAGE LEARNERS, OR TO SUPPORT OTHER COSTS  
 9 INCURRED TO MAXIMIZE STUDENTS' ACADEMIC ACHIEVEMENT, PROVIDED HOWEVER  
 10 THAT A SCHOOL DISTRICT WHOSE "COMMUNITY SCHL INCR" AMOUNT EXCEEDS ONE  
 11 MILLION DOLLARS (\$1,000,000) SHALL USE AN AMOUNT EQUAL TO THE GREATER OF  
 12 ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000) OR TEN PERCENT OF SUCH  
 13 "COMMUNITY SCHL INCR" AMOUNT TO SUPPORT SUCH TRANSFORMATION AT SCHOOLS  
 14 WITH EXTRAORDINARY HIGH LEVELS OF STUDENT NEED AS IDENTIFIED BY THE  
 15 COMMISSIONER, SUBJECT TO THE APPROVAL OF THE DIRECTOR OF THE BUDGET.

16	[Addison	\$132,624
17	Adirondack	\$98,303
18	Afton	\$62,527
19	Albany	\$2,696,127
20	Albion	\$171,687
21	Altmar-Parish-Williamstown	\$154,393
22	Amityville	\$140,803
23	Amsterdam	\$365,464
24	Andover	\$41,343
25	Auburn	\$211,759
26	Ausable Valley	\$82,258
27	Avoca	\$40,506
28	Batavia	\$116,085
29	Bath	\$139,788
30	Beacon	\$87,748
31	Beaver River	\$67,970
32	Beekmantown	\$98,308
33	Belfast	\$44,520
34	Belleville Henderson	\$21,795
35	Binghamton	\$477,949
36	Bolivar-Richburg	\$102,276
37	Bradford	\$28,058
38	Brasher Falls	\$146,944
39	Brentwood	\$2,089,437
40	Bridgewater-West Winfield (Mt. Markham)	\$101,498
41	Brocton	\$63,939
42	Brookfield	\$24,973
43	Brushton-Moira	\$102,613
44	Buffalo	\$12,524,617
45	Camden	\$243,929
46	Campbell-Savona	\$81,862
47	Canajoharie	\$78,428
48	Canaseraga	\$24,622
49	Candor	\$69,400
50	Canisteo-Greenwood	\$105,783
51	Carthage	\$273,578
52	Cassadaga Valley	\$99,547
53	Catskill	\$69,599
54	Cattaraugus-Little Valley	\$89,771
55	Central Islip	\$650,359
56	Central Valley	\$154,059

1	Charlotte Valley	\$27,925
2	Chateaugay	\$43,580
3	Cheektowaga-Sloan	\$68,242
4	Chenango Valley	\$46,359
5	Cherry Valley-Springfield	\$29,704
6	Cincinnatus	\$71,378
7	Clifton-Fine	\$17,837
8	Clyde-Savannah	\$84,797
9	Clymer	\$28,267
10	Cohoes	\$110,625
11	Copenhagen	\$35,037
12	Copiague	\$308,995
13	Cortland	\$147,875
14	Crown Point	\$24,277
15	Cuba-Rushford	\$67,917
16	Dalton-Nunda (Keshequa)	\$65,630
17	Dansville	\$136,766
18	De Ruyter	\$38,793
19	Deposit	\$37,615
20	Dolgeville	\$82,884
21	Downsville	\$10,000
22	Dundee	\$59,404
23	Dunkirk	\$224,658
24	East Ramapo (Spring Valley)	\$360,848
25	Edmeston	\$30,288
26	Edwards-Knox	\$95,261
27	Elizabethtown-Lewis	\$14,844
28	Ellenville	\$128,950
29	Elmira	\$501,348
30	Fallsburg	\$111,523
31	Fillmore	\$84,252
32	Forestville	\$34,773
33	Fort Edward	\$32,403
34	Fort Plain	\$86,187
35	Franklin	\$19,086
36	Franklinville	\$84,503
37	Freeport	\$479,702
38	Friendship	\$51,013
39	Fulton	\$241,424
40	Genesee Valley	\$65,066
41	Geneva	\$146,409
42	Georgetown-South Otselic	\$34,626
43	Gilbertsville-Mount Upton	\$30,930
44	Glens Falls Common	\$10,000
45	Gloversville	\$257,549
46	Gouverneur	\$197,139
47	Gowanda	\$122,173
48	Granville	\$86,044
49	Green Island	\$17,390
50	Greene	\$87,782
51	Hadley-Luzerne	\$37,868
52	Hammond	\$18,750
53	Hancock	\$34,174
54	Hannibal	\$149,286
55	Harpursville	\$89,804
56	Hempstead	\$3,123,056

1	Herkimer	\$64,467
2	Hermon-Dekalb	\$49,211
3	Heuvelton	\$53,905
4	Hinsdale	\$47,128
5	Hornell	\$152,327
6	Hudson	\$86,263
7	Hudson Falls	\$125,709
8	Indian River	\$404,452
9	Jamestown	\$422,610
10	Jasper-Troupsburg	\$65,899
11	Jefferson	\$22,350
12	Johnson	\$179,735
13	Johnstown	\$98,329
14	Kingston	\$241,138
15	Kiryas Joel	\$10,000
16	La Fargeville	\$36,602
17	Lackawanna	\$293,188
18	Lansingburgh	\$170,080
19	Laurens	\$32,110
20	Liberty	\$141,704
21	Lisbon	\$56,498
22	Little Falls	\$76,292
23	Livingston Manor	\$32,996
24	Lowville	\$117,907
25	Lyme	\$15,856
26	Lyons	\$89,298
27	Madison	\$43,805
28	Madrid-Waddington	\$59,412
29	Malone	\$241,483
30	Marathon	\$79,560
31	Margaretville	\$10,000
32	Massena	\$227,985
33	Mcgraw	\$51,558
34	Medina	\$135,337
35	Middleburgh	\$58,936
36	Middletown	\$683,511
37	Milford	\$28,281
38	Monticello	\$185,418
39	Moriah	\$76,592
40	Morris	\$45,012
41	Morristown	\$25,106
42	Morrisville-Eaton	\$62,490
43	Mt Morris	\$58,594
44	Mt Vernon	\$517,463
45	New York City	\$28,491,241
46	Newark	\$137,556
47	Newburgh	\$837,244
48	Newfield	\$60,998
49	Niagara Falls	\$733,330
50	North Rose-Wolcott	\$107,958
51	Northern Adirondack	\$84,115
52	Norwich	\$155,921
53	Norwood-Norfolk	\$116,262
54	Odessa-Montour	\$70,110
55	Ogdensburg	\$126,942
56	Olean	\$129,603

1	Oppenheim-Ephratah-St. Johnsville	\$86,646
2	Otego-Unadilla	\$72,613
3	Oxford Acad & Central Schools	\$80,443
4	Parishville-Hopkinton	\$35,003
5	Peekskill	\$230,795
6	Penn Yan	\$71,001
7	Pine Valley (South Dayton)	\$67,455
8	Plattsburgh	\$75,055
9	Poland	\$37,498
10	Port Chester-Rye	\$241,428
11	Port Jervis	\$189,220
12	Poughkeepsie	\$1,747,582
13	Prattsburgh	\$35,110
14	Pulaski	\$89,146
15	Putnam	\$10,000
16	Randolph	\$88,646
17	Red Creek	\$87,007
18	Remsen	\$32,650
19	Rensselaer	\$74,616
20	Richfield Springs	\$37,071
21	Ripley	\$18,495
22	Rochester	\$7,624,908
23	Rome	\$369,655
24	Romulus	\$22,112
25	Roosevelt	\$353,005
26	Salamanca	\$139,051
27	Salmon River	\$200,831
28	Sandy Creek	\$72,287
29	Schenectady	\$642,884
30	Schenevus	\$29,516
31	Scio	\$47,097
32	Sharon Springs	\$26,994
33	Sherburne-Earlville	\$154,286
34	Sherman	\$45,067
35	Sidney	\$98,699
36	Silver Creek	\$68,538
37	Sodus	\$100,038
38	Solvay	\$85,506
39	South Kortright	\$23,420
40	South Lewis	\$95,627
41	South Seneca	\$49,768
42	Spencer-Van Etten	\$76,108
43	St Regis Falls	\$30,078
44	Stamford	\$20,137
45	Stockbridge Valley	\$38,537
46	Syracuse	\$10,186,478
47	Ticonderoga	\$36,467
48	Tioga	\$99,411
49	Troy	\$277,420
50	Unadilla Valley	\$90,571
51	Uniondale	\$362,887
52	Utica	\$273,267
53	Van Hornesville-Owen D. Young	\$18,604
54	Walton	\$82,541
55	Warrensburg	\$57,996
56	Waterloo	\$123,111

1	Watertown	\$222,343
2	Watervliet	\$94,487
3	Waverly	\$120,319
4	Wayland-Cohocton	\$125,273
5	Wellsville	\$114,359
6	West Canada Valley	\$58,917
7	Westbury	\$403,563
8	Westfield	\$46,542
9	Whitehall	\$46,192
10	Whitesville	\$26,719
11	Whitney Point	\$152,109
12	William Floyd	\$492,842
13	Worcester	\$26,862
14	Wyandanch	\$402,010
15	Yonkers	\$4,286,726
16	Yorkshire-Pioneer	\$210,306]

17 S 17. Section 3 of chapter 507 of the laws of 1974, relating to  
 18 providing for the apportionment of state monies to certain nonpublic  
 19 schools, to reimburse them for their expenses in complying with certain  
 20 state requirements for the administration of state testing and evalu-  
 21 ation programs and for participation in state programs for the reporting  
 22 of basic educational data, as amended by chapter 903 of the laws of  
 23 1984, is amended to read as follows:

24 S 3. Apportionment. a. The commissioner shall annually apportion to  
 25 each qualifying school, for school years beginning on and after July  
 26 first, nineteen hundred seventy-four, an amount equal to the actual cost  
 27 incurred by each such school during the preceding school year for  
 28 providing services required by law to be rendered to the state in  
 29 compliance with the requirements of the state's pupil evaluation  
 30 program, the basic educational data system, regents examinations, the  
 31 statewide evaluation plan, the uniform procedure for pupil attendance  
 32 reporting, THE STATE'S IMMUNIZATION PROGRAM and other similar state  
 33 prepared examinations and reporting procedures.

34 b. The commissioner shall annually apportion to each qualifying school  
 35 in the cities of New York, Buffalo and Rochester, for school years  
 36 beginning on or after July first[, nineteen hundred eighty-four] TWO  
 37 THOUSAND SIXTEEN, an amount equal to the actual cost incurred[, up to  
 38 sixty cents per pupil,] by each such school during the preceding school  
 39 year in meeting the recording and reporting requirements of the state  
 40 school immunization program, PROVIDED THAT THE STATE'S LIABILITY SHALL  
 41 BE LIMITED TO THE AMOUNT APPROPRIATED FOR THIS PURPOSE.

42 S 18. The education law is amended by adding a new section 3037 to  
 43 read as follows:

44 S 3037. GRANTS FOR HIRING TEACHERS. 1. FOR PURPOSES OF THIS SECTION,  
 45 THE FOLLOWING TERM SHALL HAVE THE FOLLOWING MEANING: "ELIGIBLE TEACHER"  
 46 SHALL MEAN AN INDIVIDUAL THAT: (A) IS CERTIFIED TO TEACH IN NEW YORK  
 47 STATE PURSUANT TO SECTION THREE THOUSAND FOUR OF THIS ARTICLE; OR HOLDS  
 48 A MASTER'S DEGREE OR PH.D. IN MATHEMATICS, SCIENCE, TECHNOLOGY OR EDUCA-  
 49 TION; OR HOLDS A BACHELOR'S DEGREE IN MATHEMATICS, SCIENCE, TECHNOLOGY  
 50 OR EDUCATION AND IS CURRENTLY ENROLLED IN A MASTER'S OR PH.D. PROGRAM  
 51 IN MATHEMATICS, SCIENCE, TECHNOLOGY OR EDUCATION WITHIN FIVE YEARS FROM  
 52 THE LATER OF THE EFFECTIVE DATE OF THIS SECTION OR THE EMPLOYMENT START  
 53 DATE WITH THE NONPUBLIC SCHOOL, (B) TEACHES MATHEMATICS, SCIENCE OR  
 54 TECHNOLOGY IN ANY GRADES FROM THREE THROUGH TWELVE, AND (C) IS EMPLOYED  
 55 BY A NONPUBLIC SCHOOL.

1 2. (A) WITHIN AMOUNTS APPROPRIATED THEREFOR, NONPUBLIC SCHOOLS SHALL,  
2 UPON APPLICATION, BE REIMBURSED BY THE DEPARTMENT FOR THE SALARIES OF  
3 ELIGIBLE TEACHERS. EACH SCHOOL WHICH SEEKS A REIMBURSEMENT PURSUANT TO  
4 THIS SECTION SHALL SUBMIT TO THE OFFICE OF RELIGIOUS AND INDEPENDENT  
5 SCHOOLS AN APPLICATION THEREFOR, TOGETHER WITH SUCH ADDITIONAL DOCUMENTS  
6 AS THE COMMISSIONER MAY REASONABLY REQUIRE, AT SUCH TIMES, IN SUCH FORM  
7 AND CONTAINING SUCH INFORMATION AS THE COMMISSIONER MAY PRESCRIBE BY  
8 REGULATION. APPLICATIONS FOR REIMBURSEMENT PURSUANT TO THIS SECTION  
9 MUST BE RECEIVED BY AUGUST FIRST OF EACH YEAR FOR SCHOOLS TO BE REIM-  
10 BURSED FOR THE SALARIES OF ELIGIBLE TEACHERS IN THE PRIOR YEAR.

11 (B) PURSUANT TO PARAGRAPH (A) OF THIS SUBDIVISION, REIMBURSEMENT FOR  
12 ELIGIBLE TEACHERS SHALL BE THE AVERAGE COMPARABLE TEACHER SALARY AND  
13 PERSONAL SERVICE, PER SUBJECT AREA, OF PUBLIC SCHOOL TEACHERS IN THE  
14 SCHOOL DISTRICT IN WHICH SUCH NONPUBLIC SCHOOLS ARE LOCATED, MULTIPLIED  
15 BY THE PERCENTAGE OF FULL TIME EQUIVALENT SECULAR INSTRUCTIONAL HOURS  
16 COMPLETED IN THE SCHOOL DAY PER SUBJECT AREA. REIMBURSEMENTS SHALL NOT  
17 BE PROVIDED FOR ELIGIBLE TEACHERS WHO PROVIDE INSTRUCTION IN MATHEMAT-  
18 ICS, SCIENCE OR TECHNOLOGY IF SUCH TEACHERS ALSO PROVIDE NON-SECULAR  
19 INSTRUCTION IN ANY CAPACITY.

20 (C) IN THE EVENT THAT THE APPLICATIONS FOR REIMBURSEMENT UNDER THIS  
21 SECTION EXCEED THE APPROPRIATION AVAILABLE FOR THIS PROGRAM, THEN EACH  
22 APPLICANT SHALL ONLY BE REIMBURSED AN AMOUNT EQUAL TO THE PERCENTAGE  
23 THAT EACH SUCH APPLICANT REPRESENTS TO THE TOTAL OF ALL APPLICATIONS  
24 SUBMITTED.

25 3. THE COMMISSIONER MAY PROMULGATE ANY RULES OR REGULATIONS NECESSARY  
26 TO CARRY OUT THE PROVISIONS OF THIS SECTION.

27 S 19. Paragraph (a) of subdivision 1 of section 2590-c of the educa-  
28 tion law, as amended by chapter 345 of the laws of 2009, is amended to  
29 read as follows:

30 (a) Nine voting members shall be parents whose children are attending  
31 a school OR A PRE-KINDERGARTEN PROGRAM OFFERED BY A SCHOOL under the  
32 jurisdiction of the community district, or have attended a school OR A  
33 PRE-KINDERGARTEN PROGRAM OFFERED BY A SCHOOL under the jurisdiction of  
34 the community district within the preceding two years, and shall be  
35 selected by the presidents and officers of the parents' association or  
36 parent-teachers' association. Such members shall serve for a term of two  
37 years. Presidents and officers of parents' associations or parent-  
38 teachers' associations who are candidates in the selection process  
39 pursuant to this section shall not be eligible to cast votes in such  
40 selection process. The association shall elect a member to vote in the  
41 place of each such president or officer for the purposes of the  
42 selection process. PROVIDED, HOWEVER, THAT A PARENT OF A PRE-KINDERGAR-  
43 TEN PUPIL SHALL VACATE HIS OR HER MEMBERSHIP ON SUCH COMMUNITY DISTRICT  
44 EDUCATION COUNCIL WHERE THE PARENT NO LONGER HAS A CHILD THAT ATTENDS A  
45 SCHOOL OR PRE-KINDERGARTEN PROGRAM OFFERED BY A SCHOOL UNDER THE JURIS-  
46 DICTION OF THE COMMUNITY DISTRICT.

47 S 20. Subdivisions 1 and 2 of section 4101 of the education law,  
48 subdivision 1 as amended by chapter 387 of the laws of 1954 and subdivi-  
49 sion 2 as amended by section 30 of part B of chapter 57 of the laws of  
50 2008, are amended to read as follows:

51 1. The commissioner of education shall establish schools in such plac-  
52 es and maintain such courses of instruction therein for the education of  
53 the Indian children of the state as he OR SHE shall deem necessary. He  
54 OR SHE shall have general supervision of such education and shall cause  
55 to be erected where necessary convenient and suitable school buildings  
56 for the accommodation of all the Indian children of the state.

1 2. [The] NOTWITHSTANDING ANY OTHER PROVISION OF LAW, RULE OR REGU-  
2 LATION TO THE CONTRARY, THE commissioner in his OR HER discretion may,  
3 instead of establishing schools and maintaining courses of instruction  
4 therein for the education of the Indian children of the state, contract,  
5 FOR A PERIOD OF UP TO TEN YEARS, with any school district for the educa-  
6 tion of such Indian children. The consideration for any such contract  
7 shall not exceed the total cost to the school district of the education  
8 of Indian children pursuant to such contract, less any public moneys  
9 received by the school district by reason of the attendance of such  
10 Indian children in regular day school, except any public moneys received  
11 by the district as a building quota pursuant to the provisions of subdi-  
12 vision six-a of section thirty-six hundred two of this chapter. The  
13 commissioner of taxation and finance shall pay on the warrant of the  
14 comptroller bills, for the costs and expenses attending such contract,  
15 approved by the commissioner of education from the appropriation for the  
16 support and education of Indian children. In carrying out the provisions  
17 of this article the commissioner, notwithstanding any other provision of  
18 law, may lease any school ground, site or building established for a  
19 reservation and owned by the state of New York to any school district  
20 upon such terms and conditions as he OR SHE shall deem necessary,  
21 convenient and proper. Nothing herein contained shall alter the title of  
22 the Indians to their lands.

23 S 21. Section 4119 of the education law, as added by chapter 387 of  
24 the laws of 1954, is amended to read as follows:

25 S 4119. School district may contract to educate Indian children.  
26 Notwithstanding any other provision of law, the trustee, trustees or  
27 board of education of any school district shall have power to contract  
28 with the commissioner of education for the instruction of Indian chil-  
29 dren FOR A PERIOD OF TEN YEARS. Notwithstanding any other provision of  
30 law, the trustee, trustees or board of education of any school district  
31 shall have authority to lease a site or school building owned by the  
32 state of New York whether located on or off an Indian reservation and  
33 such trustee, trustees or board of education shall have authority to  
34 maintain school in such building notwithstanding the fact that such  
35 building may not be located within the district boundary lines of such  
36 school district.

37 S 22. The closing paragraph of subdivision 5-a of section 3602 of the  
38 education law, as amended by section 2 of part A of chapter 54 of the  
39 laws of 2016, is amended to read as follows:

40 For the two thousand eight--two thousand nine school year, each school  
41 district shall be entitled to an apportionment equal to the product of  
42 fifteen percent and the additional apportionment computed pursuant to  
43 this subdivision for the two thousand seven--two thousand eight school  
44 year. For the two thousand nine--two thousand ten through two thousand  
45 [sixteen] SEVENTEEN--two thousand [seventeen] EIGHTEEN school years,  
46 each school district shall be entitled to an apportionment equal to the  
47 amount set forth for such school district as "SUPPLEMENTAL PUB EXCESS  
48 COST" under the heading "2008-09 BASE YEAR AIDS" in the school aid  
49 computer listing produced by the commissioner in support of the budget  
50 for the two thousand nine--two thousand ten school year and entitled  
51 "SA0910".

52 S 23. Paragraph b of subdivision 6-c of section 3602 of the education  
53 law, as amended by section 24 of part A of chapter 54 of the laws of  
54 2016, is amended to read as follows:

55 b. For projects approved by the commissioner authorized to receive  
56 additional building aid pursuant to this subdivision for the purchase of

1 stationary metal detectors, security cameras or other security devices  
2 approved by the commissioner that increase the safety of students and  
3 school personnel, provided that for purposes of this paragraph such  
4 other security devices shall be limited to electronic security systems  
5 and hardened doors, and provided that for projects approved by the  
6 commissioner on or after the first day of July two thousand thirteen and  
7 before the first day of July two thousand [seventeen] EIGHTEEN such  
8 additional aid shall equal the product of (i) the building aid ratio  
9 computed for use in the current year pursuant to paragraph c of subdivi-  
10 sion six of this section plus ten percentage points, except that in no  
11 case shall this amount exceed one hundred percent, and (ii) the actual  
12 approved expenditures incurred in the base year pursuant to this subdivi-  
13 sion, provided that the limitations on cost allowances prescribed by  
14 paragraph a of subdivision six of this section shall not apply, and  
15 provided further that any projects aided under this paragraph must be  
16 included in a district's school safety plan. The commissioner shall  
17 annually prescribe a special cost allowance for metal detectors, and  
18 security cameras, and the approved expenditures shall not exceed such  
19 cost allowance.

20 S 24. Subdivision 12 of section 3602 of the education law is amended  
21 by adding a new undesignated paragraph to read as follows:

22 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR,  
23 EACH SCHOOL DISTRICT SHALL BE ENTITLED TO AN APPORTIONMENT EQUAL TO THE  
24 AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS "ACADEMIC ENHANCEMENT"  
25 UNDER THE HEADING "2016-17 ESTIMATED AIDS" IN THE SCHOOL AID COMPUTER  
26 LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE BUDGET FOR THE  
27 TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEAR AND ENTITLED  
28 "SA161-7", AND SUCH APPORTIONMENT SHALL BE DEEMED TO SATISFY THE STATE  
29 OBLIGATION TO PROVIDE AN APPORTIONMENT PURSUANT TO SUBDIVISION EIGHT OF  
30 SECTION THIRTY-SIX HUNDRED FORTY-ONE OF THIS ARTICLE.

31 S 25. The opening paragraph of subdivision 16 of section 3602 of the  
32 education law, as amended by section 4 of part A of chapter 54 of the  
33 laws of 2016, is amended to read as follows:

34 Each school district shall be eligible to receive a high tax aid  
35 apportionment in the two thousand eight--two thousand nine school year,  
36 which shall equal the greater of (i) the sum of the tier 1 high tax aid  
37 apportionment, the tier 2 high tax aid apportionment and the tier 3 high  
38 tax aid apportionment or (ii) the product of the apportionment received  
39 by the school district pursuant to this subdivision in the two thousand  
40 seven--two thousand eight school year, multiplied by the due-minimum  
41 factor, which shall equal, for districts with an alternate pupil wealth  
42 ratio computed pursuant to paragraph b of subdivision three of this  
43 section that is less than two, seventy percent (0.70), and for all other  
44 districts, fifty percent (0.50). Each school district shall be eligible  
45 to receive a high tax aid apportionment in the two thousand nine--two  
46 thousand ten through two thousand twelve--two thousand thirteen school  
47 years in the amount set forth for such school district as "HIGH TAX AID"  
48 under the heading "2008-09 BASE YEAR AIDS" in the school aid computer  
49 listing produced by the commissioner in support of the budget for the  
50 two thousand nine--two thousand ten school year and entitled "SA0910".  
51 Each school district shall be eligible to receive a high tax aid appor-  
52 tionment in the two thousand thirteen--two thousand fourteen through  
53 [two thousand sixteen--two thousand seventeen] TWO THOUSAND  
54 SEVENTEEN--TWO THOUSAND EIGHTEEN school years equal to the greater of  
55 (1) the amount set forth for such school district as "HIGH TAX AID"  
56 under the heading "2008-09 BASE YEAR AIDS" in the school aid computer



1 listing produced by the commissioner in support of the budget for the  
2 two thousand nine--two thousand ten school year and entitled "SA0910" or  
3 (2) the amount set forth for such school district as "HIGH TAX AID"  
4 under the heading "2013-14 ESTIMATED AIDS" in the school aid computer  
5 listing produced by the commissioner in support of the executive budget  
6 for the 2013-14 fiscal year and entitled "BT131-4".

7 S 26. Subdivision 10 of section 3602-e of the education law, as  
8 amended by section 22 of part B of chapter 57 of the laws of 2008, the  
9 opening paragraph as amended by section 5 of part A of chapter 54 of the  
10 laws of 2016, is amended to read as follows:

11 10. Universal prekindergarten aid. Notwithstanding any provision of  
12 law to the contrary, (I) for aid payable in the two thousand eight--two  
13 thousand nine school year, the grant to each eligible school district  
14 for universal prekindergarten aid shall be computed pursuant to this  
15 subdivision, and (II) for the two thousand nine--two thousand ten and  
16 two thousand ten--two thousand eleven school years, each school district  
17 shall be eligible for a maximum grant equal to the amount computed for  
18 such school district for the base year in the electronic data file  
19 produced by the commissioner in support of the two thousand nine--two  
20 thousand ten education, labor and family assistance budget, provided,  
21 however, that in the case of a district implementing programs for the  
22 first time or implementing expansion programs in the two thousand eight-  
23 -two thousand nine school year where such programs operate for a minimum  
24 of ninety days in any one school year as provided in section 151-1.4 of  
25 the regulations of the commissioner, for the two thousand nine--two  
26 thousand ten and two thousand ten--two thousand eleven school years,  
27 such school district shall be eligible for a maximum grant equal to the  
28 amount computed pursuant to paragraph a of subdivision nine of this  
29 section in the two thousand eight--two thousand nine school year, and  
30 (III) for the two thousand eleven--two thousand twelve school year each  
31 school district shall be eligible for a maximum grant equal to the  
32 amount set forth for such school district as "UNIVERSAL PREKINDERGARTEN"  
33 under the heading "2011-12 ESTIMATED AIDS" in the school aid computer  
34 listing produced by the commissioner in support of the enacted budget  
35 for the 2011-12 school year and entitled "SA111-2", and (IV) for two  
36 thousand twelve--two thousand thirteen through two thousand sixteen--two  
37 thousand seventeen school years each school district shall be eligible  
38 for a maximum grant equal to the greater of [(i)] (A) the amount set  
39 forth for such school district as "UNIVERSAL PREKINDERGARTEN" under the  
40 heading "2010-11 BASE YEAR AIDS" in the school aid computer listing  
41 produced by the commissioner in support of the enacted budget for the  
42 2011-12 school year and entitled "SA111-2", or [(ii)] (B) the amount set  
43 forth for such school district as "UNIVERSAL PREKINDERGARTEN" under the  
44 heading "2010-11 BASE YEAR AIDS" in the school aid computer listing  
45 produced by the commissioner on May fifteenth, two thousand eleven  
46 pursuant to paragraph b of subdivision twenty-one of section three  
47 hundred five of this chapter, AND (V) FOR THE TWO THOUSAND  
48 SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR, EACH SCHOOL DISTRICT SHALL  
49 BE ELIGIBLE TO RECEIVE A GRANT AMOUNT EQUAL TO THE SUM OF (A) THE AMOUNT  
50 SET FORTH FOR SUCH SCHOOL DISTRICT AS "UNIVERSAL PREKINDERGARTEN" UNDER  
51 THE HEADING "2016-17 ESTIMATED AIDS" IN THE SCHOOL AID COMPUTER LISTING  
52 PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE ENACTED BUDGET FOR THE  
53 TWO THOUSAND SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEAR AND ENTITLED  
54 "SA161-7" PLUS (B) THE AMOUNT AWARDED TO SUCH SCHOOL DISTRICT FOR THE  
55 PRIORITY FULL-DAY PREKINDERGARTEN AND EXPANDED HALF-DAY PREKINDERGARTEN  
56 GRANT PROGRAM FOR HIGH NEED STUDENTS FOR THE TWO THOUSAND SIXTEEN--TWO

1 THOUSAND SEVENTEEN SCHOOL YEAR PURSUANT TO CHAPTER FIFTY-THREE OF THE  
2 LAWS OF TWO THOUSAND THIRTEEN, AND (VI) FOR THE TWO THOUSAND  
3 EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR, EACH SCHOOL DISTRICT SHALL  
4 BE ELIGIBLE TO RECEIVE A GRANT AMOUNT EQUAL TO THE SUM OF (A) THE AMOUNT  
5 SET FORTH FOR SUCH SCHOOL DISTRICT AS "UNIVERSAL PREKINDERGARTEN" IN THE  
6 SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF  
7 THE ENACTED BUDGET FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN  
8 SCHOOL YEAR PLUS (B) THE AMOUNT AWARDED TO SUCH SCHOOL DISTRICT FOR THE  
9 FEDERAL PRESCHOOL DEVELOPMENT EXPANSION GRANT FOR THE TWO THOUSAND  
10 SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR PURSUANT TO THE AMERICAN  
11 RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA), SECTIONS 14005, 14006, AND  
12 14013, TITLE XIV, (PUBLIC LAW 112-10), AS AMENDED BY SECTION 1832(B) OF  
13 DIVISION B OF THE DEPARTMENT OF DEFENSE AND FULL-YEAR CONTINUING APPRO-  
14 PRIATIONS ACT, 2011 (PUB. L. 112-10), AND THE DEPARTMENT OF EDUCATION  
15 APPROPRIATIONS ACT, 2012 (TITLE III DIVISION F OF PUB. L. 112-74, THE  
16 CONSOLIDATED APPROPRIATIONS ACT, 2012), AND (VII) FOR THE TWO THOUSAND  
17 NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR, EACH SCHOOL DISTRICT SHALL BE  
18 ELIGIBLE TO RECEIVE A GRANT AMOUNT EQUAL TO THE SUM OF (A) THE AMOUNT  
19 SET FORTH FOR SUCH SCHOOL DISTRICT AS "UNIVERSAL PREKINDERGARTEN ALLO-  
20 CATION" ON THE COMPUTER FILE PRODUCED BY THE COMMISSIONER IN SUPPORT OF  
21 THE ENACTED BUDGET FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN  
22 SCHOOL YEAR PLUS (B) THE AMOUNT AWARDED TO SUCH SCHOOL DISTRICT FOR THE  
23 EXPANDED PREKINDERGARTEN PROGRAM FOR THREE AND FOUR YEAR-OLDS FOR THE  
24 TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR PURSUANT TO  
25 CHAPTER SIXTY-ONE OF THE LAWS OF TWO THOUSAND FIFTEEN PLUS (C) THE  
26 AMOUNT AWARDED TO SUCH SCHOOL DISTRICT FOR THE EXPANDED PREKINDERGARTEN  
27 FOR THREE-YEAR-OLDS IN HIGH NEED DISTRICTS PROGRAM FOR THE TWO THOUSAND  
28 EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR PURSUANT TO CHAPTER  
29 FIFTY-THREE OF THE LAWS OF TWO THOUSAND SIXTEEN PLUS (D) THE AMOUNT  
30 AWARDED TO SUCH SCHOOL DISTRICT FOR THE EXPANDED PREKINDERGARTEN PROGRAM  
31 FOR THREE- AND FOUR-YEAR-OLDS FOR THE TWO THOUSAND EIGHTEEN--TWO THOU-  
32 SAND NINETEEN SCHOOL YEAR PURSUANT TO A CHAPTER OF THE LAWS OF TWO THOU-  
33 SAND SEVENTEEN PLUS (E) THE AMOUNT AWARDED TO SUCH SCHOOL DISTRICT,  
34 SUBJECT TO AN AVAILABLE APPROPRIATION, THROUGH THE PRE-KINDERGARTEN  
35 EXPANSION GRANT FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN  
36 SCHOOL YEAR, PROVIDED THAT SUCH SCHOOL DISTRICT HAS MET ALL REQUIREMENTS  
37 PURSUANT TO THIS SECTION, AND (VIII) FOR THE TWO THOUSAND TWENTY--TWO  
38 THOUSAND TWENTY-ONE SCHOOL YEAR AND THEREAFTER, EACH SCHOOL DISTRICT  
39 SHALL BE ELIGIBLE TO RECEIVE A GRANT AMOUNT EQUAL TO THE SUM OF (A) THE  
40 AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS "UNIVERSAL PREKINDERGARTEN  
41 ALLOCATION" ON THE COMPUTER FILE PRODUCED BY THE COMMISSIONER IN SUPPORT  
42 OF THE ENACTED BUDGET FOR THE PRIOR YEAR PLUS (B) THE AMOUNT AWARDED TO  
43 SUCH SCHOOL DISTRICT, SUBJECT TO AN AVAILABLE APPROPRIATION, THROUGH THE  
44 PRE-KINDERGARTEN EXPANSION GRANT FOR THE PRIOR YEAR, PROVIDED THAT SUCH  
45 SCHOOL DISTRICT HAS MET ALL REQUIREMENTS PURSUANT TO THIS SECTION, and  
46 provided further that the maximum grant shall not exceed the total actu-  
47 al grant expenditures incurred by the school district in the current  
48 school year as approved by the commissioner.

49 a. Each school district shall be eligible to [receive a grant amount  
50 equal to the sum of (i) its prekindergarten aid base plus (ii) the prod-  
51 uct of its selected aid per prekindergarten pupil multiplied by the  
52 positive difference, if any of the number of aidable prekindergarten  
53 pupils served in the current year, as determined pursuant to regulations  
54 of the commissioner, less the base aidable prekindergarten pupils calcu-  
55 lated pursuant to this subdivision for the two thousand seven--two thou-  
56 sand eight school year, based on data on file for the school aid comput-

1 er listing produced by the commissioner in support of the enacted budget  
2 for the two thousand seven--two thousand eight school year and entitled  
3 "SA070-8". Provided, however, that in computing an apportionment pursu-  
4 ant to this paragraph, for districts where the number of aidable prekin-  
5 dergarten pupils served is less than the number of unserved prekin-  
6 dergarten pupils, such grant amount shall be the lesser of such sum  
7 computed pursuant to this paragraph or the maximum allocation computed  
8 pursuant to subdivision nine of this section] SERVE THE SUM OF (I)  
9 FULL-DAY PREKINDERGARTEN PUPILS PLUS (II) HALF-DAY PREKINDERGARTEN  
10 PUPILS.

11 b. For purposes of paragraph a of this subdivision:

12 (i) "Selected aid per prekindergarten pupil" shall equal the greater  
13 of (A) the product of five-tenths and the school district's selected  
14 foundation aid for the current year, or (B) the aid per prekindergarten  
15 pupil calculated pursuant to this subdivision for the two thousand six-  
16 two thousand seven school year, based on data on file for the school aid  
17 computer listing produced by the commissioner in support of the enacted  
18 budget for the two thousand six--two thousand seven school year and  
19 entitled "SA060-7"; provided, however, that in the two thousand eight--  
20 two thousand nine school year, a city school district in a city having a  
21 population of one million inhabitants or more shall not be eligible to  
22 select aid per prekindergarten pupil pursuant to clause (A) of this  
23 subparagraph;

24 (ii) ["Base aidable prekindergarten pupils". "Base aidable prekin-  
25 dergarten pupils" shall equal the sum of the base aidable prekindergarten  
26 pupils calculated pursuant to this subdivision for the base year, based  
27 on data on file for the school aid computer listing produced by the  
28 commissioner in support of the enacted budget for the base year, plus  
29 the additional aidable prekindergarten pupils calculated pursuant to  
30 this subdivision for the base year, based on data on file for the school  
31 aid computer listing produced by the commissioner in support of the  
32 enacted budget for the base year;] "FULL-DAY PREKINDERGARTEN PUPILS"  
33 SHALL EQUAL:

34 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR THE  
35 SUM OF, FROM THE PRIORITY FULL-DAY PREKINDERGARTEN PROGRAM, (A) THE  
36 MAXIMUM AIDABLE PUPILS SUCH DISTRICT WAS ELIGIBLE TO SERVE IN THE BASE  
37 YEAR PLUS (B) THE MAXIMUM AIDABLE NUMBER OF HALF-DAY PREKINDERGARTEN  
38 PUPILS CONVERTED INTO A FULL-DAY PREKINDERGARTEN PUPIL IN THE BASE YEAR;

39 FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR THE  
40 SUM OF, FROM EACH OF (A) THE PROGRAMS PURSUANT TO THIS SECTION AND (B)  
41 THE FEDERAL PRESCHOOL DEVELOPMENT EXPANSION GRANT, (1) THE MAXIMUM AIDA-  
42 BLE FULL-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT WAS ELIGIBLE TO SERVE  
43 IN THE BASE YEAR PLUS (2) THE MAXIMUM AIDABLE NUMBER OF HALF-DAY PREKIN-  
44 DERGARTEN PUPILS CONVERTED INTO A FULL-DAY PREKINDERGARTEN PUPIL IN THE  
45 BASE YEAR;

46 FOR THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR THE SUM  
47 OF, FROM EACH OF (A) THE PROGRAMS PURSUANT TO THIS SECTION, (B) THE  
48 EXPANDED PREKINDERGARTEN PROGRAM, (C) THE EXPANDED PREKINDERGARTEN FOR  
49 THREE-YEAR-OLDS, (D) THE EXPANDED PREKINDERGARTEN PROGRAM FOR THREE- AND  
50 FOUR-YEAR-OLDS, AND (E) THE PREKINDERGARTEN EXPANSION GRANT, (1) THE  
51 MAXIMUM AIDABLE FULL-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT WAS ELIGI-  
52 BLE TO SERVE IN THE BASE YEAR, PLUS (2) THE MAXIMUM AIDABLE NUMBER OF  
53 HALF-DAY PREKINDERGARTEN PUPILS CONVERTED INTO A FULL-DAY PREKINDERGAR-  
54 TEN PUPIL IN THE BASE YEAR;

55 FOR THE TWO THOUSAND TWENTY--TWO THOUSAND TWENTY-ONE SCHOOL YEAR AND  
56 THEREAFTER THE SUM OF, FROM EACH OF (A) THE PROGRAMS PURSUANT TO THIS

1 SECTION AND (B) THE PRE-KINDERGARTEN EXPANSION GRANT, (1) THE MAXIMUM  
2 AIDABLE FULL-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT WAS ELIGIBLE TO  
3 SERVE IN THE BASE YEAR, PLUS (2) THE MAXIMUM AIDABLE NUMBER OF HALF-DAY  
4 PREKINDERGARTEN PUPILS CONVERTED INTO A FULL-DAY PREKINDERGARTEN PUPIL  
5 IN THE BASE YEAR;

6 (iii) "HALF-DAY PREKINDERGARTEN PUPILS" SHALL EQUAL:

7 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR THE  
8 SUM OF THE MAXIMUM AIDABLE HALF-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT  
9 WAS ELIGIBLE TO SERVE FOR THE BASE YEAR FROM (A) THE PROGRAM PURSUANT TO  
10 THIS SECTION PLUS SUCH PUPILS FROM (B) THE PRIORITY FULL-DAY PREKINDER-  
11 GARTEN PROGRAM, LESS THE MAXIMUM AIDABLE NUMBER OF HALF-DAY PREKINDER-  
12 GARTEN PUPILS CONVERTED INTO A FULL-DAY PREKINDERGARTEN PUPIL UNDER THE  
13 PRIORITY FULL-DAY PREKINDERGARTEN PROGRAM FOR THE BASE YEAR;

14 FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR THE  
15 MAXIMUM AIDABLE HALF-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT WAS ELIGI-  
16 BLE TO SERVE FOR THE BASE YEAR FROM (A) THE PROGRAM PURSUANT TO THIS  
17 SECTION LESS (B) THE MAXIMUM AIDABLE NUMBER OF HALF-DAY PREKINDERGARTEN  
18 PUPILS CONVERTED INTO A FULL-DAY PREKINDERGARTEN PUPIL UNDER THE FEDERAL  
19 PRESCHOOL DEVELOPMENT EXPANSION GRANT FOR THE BASE YEAR;

20 FOR THE TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR THE SUM  
21 OF THE MAXIMUM AIDABLE HALF-DAY PREKINDERGARTEN PUPILS SUCH DISTRICT WAS  
22 ELIGIBLE TO SERVE FOR THE BASE YEAR FROM (A) THE PROGRAM PURSUANT TO  
23 THIS SECTION PLUS SUCH PUPILS FROM (B) THE EXPANDED PREKINDERGARTEN  
24 PROGRAM PLUS SUCH PUPILS FROM (C) THE EXPANDED PREKINDERGARTEN FOR  
25 THREE-YEAR-OLDS PLUS SUCH PUPILS FROM (D) THE EXPANDED PREKINDERGARTEN  
26 PROGRAM FOR THREE- AND FOUR-YEAR-OLDS PLUS SUCH PUPILS FROM (E) THE  
27 PREKINDERGARTEN EXPANSION GRANT, LESS THE SUM OF THE MAXIMUM AIDABLE  
28 NUMBER OF HALF-DAY PREKINDERGARTEN PUPILS CONVERTED INTO A FULL-DAY  
29 PREKINDERGARTEN PUPIL UNDER EACH OF (1) THE EXPANDED PREKINDERGARTEN  
30 PROGRAM PLUS SUCH PUPILS FROM (2) THE EXPANDED PREKINDERGARTEN FOR  
31 THREE-YEAR-OLDS PLUS SUCH PUPILS FROM (3) THE EXPANDED PREKINDERGARTEN  
32 PROGRAM FOR THREE- AND FOUR-YEAR-OLDS PLUS SUCH PUPILS FROM (4) THE  
33 PREKINDERGARTEN EXPANSION GRANT FOR THE BASE YEAR;

34 FOR THE TWO THOUSAND TWENTY--TWO THOUSAND TWENTY-ONE SCHOOL YEAR AND  
35 THEREAFTER THE SUM OF THE MAXIMUM AIDABLE HALF-DAY PREKINDERGARTEN  
36 PUPILS SUCH DISTRICT WAS ELIGIBLE TO SERVE FOR THE BASE YEAR FROM (A)  
37 THE PROGRAM PURSUANT TO THIS SECTION PLUS SUCH PUPILS FROM (B) THE PRE-  
38 KINDERGARTEN EXPANSION GRANT, LESS THE MAXIMUM AIDABLE NUMBER OF  
39 HALF-DAY PREKINDERGARTEN PUPILS CONVERTED INTO A FULL-DAY PREKINDERGAR-  
40 TEN PUPIL UNDER THE PREKINDERGARTEN EXPANSION GRANT FOR THE BASE YEAR;

41 (IV) "Unserved prekindergarten pupils" shall mean the product of  
42 eighty-five percent multiplied by the positive difference, if any,  
43 between the sum of the public school enrollment and the nonpublic school  
44 enrollment of children attending full day and half day kindergarten  
45 programs in the district in the year prior to the base year less the  
46 number of resident children who attain the age of four before December  
47 first of the base year, who were served during such school year by a  
48 prekindergarten program approved pursuant to section forty-four hundred  
49 ten of this chapter, where such services are provided for more than four  
50 hours per day;

51 [(iv) "Additional aidable prekindergarten pupils". For the two thou-  
52 sand seven--two thousand eight through two thousand eight--two thousand  
53 nine school years, "additional aidable prekindergarten pupils" shall  
54 equal the product of (A) the positive difference, if any, of the  
55 unserved prekindergarten pupils less the base aidable prekindergarten  
56 pupils multiplied by (B) the prekindergarten phase-in factor;

1 (v) the "prekindergarten aid base" shall mean the sum of the amounts  
2 the school district received for the two thousand six--two thousand  
3 seven school year for grants awarded pursuant to this section and for  
4 targeted prekindergarten grants;

5 (vi) The "prekindergarten phase-in factor". For the two thousand  
6 eight--two thousand nine school year, the prekindergarten phase-in  
7 factor shall equal the positive difference, if any, of the pupil need  
8 index computed pursuant to subparagraph three of paragraph a of subdivi-  
9 sion four of section thirty-six hundred two of this part less one,  
10 provided, however, that: (A) for any district where (1) the maximum  
11 allocation computed pursuant to subdivision nine of this section for the  
12 base year is greater than zero and (2) the amount allocated pursuant to  
13 this subdivision for the base year, based on data on file for the school  
14 aid computer listing produced by the commissioner on February fifteenth  
15 of the base year, pursuant to paragraph b of subdivision twenty-one of  
16 section three hundred five of this chapter, is greater than the positive  
17 difference, if any, of such maximum allocation for the base year less  
18 twenty-seven hundred, the prekindergarten phase-in factor shall not  
19 exceed eighteen percent, and shall not be less than ten percent, and (B)  
20 for any district not subject to the provisions of clause (A) of this  
21 subparagraph where (1) the amount allocated pursuant to this subdivision  
22 for the base year is equal to zero or (2) the amount allocated pursuant  
23 to this section for the base year, based on data on file for the school  
24 aid computer listing produced by the commissioner on February fifteenth  
25 of the base year, pursuant to paragraph b of subdivision twenty-one of  
26 section three hundred five of this chapter, is less than or equal to the  
27 amount allocated pursuant to this section for the year prior to the base  
28 year, based on data on file for the school aid computer listing produced  
29 by the commissioner on February fifteenth of the base year, pursuant to  
30 paragraph b of subdivision twenty-one of section three hundred five of  
31 this chapter, the prekindergarten phase-in factor shall equal zero, and  
32 (C) for any district not subject to the provisions of clause (A) or (B)  
33 of this subparagraph, the prekindergarten phase-in factor shall not  
34 exceed thirteen percent, and shall not be less than seven percent;

35 (vii) "Base year" shall mean the base year as defined pursuant to  
36 subdivision one of section thirty-six hundred two of this part.]

37 (V) "PREKINDERGARTEN MAINTENANCE OF EFFORT BASE" SHALL MEAN THE NUMBER  
38 OF ELIGIBLE TOTAL FULL-DAY PREKINDERGARTEN PUPILS SET FORTH FOR THE  
39 DISTRICT IN THIS PARAGRAPH PLUS THE PRODUCT OF ONE HALF (0.5) MULTIPLIED  
40 BY THE NUMBER OF ELIGIBLE TOTAL HALF-DAY PREKINDERGARTEN PUPILS SET  
41 FORTH FOR THE DISTRICT IN THIS PARAGRAPH;

42 (VI) "CURRENT YEAR PREKINDERGARTEN PUPILS SERVED" SHALL MEAN THE SUM  
43 OF FULL DAY PREKINDERGARTEN PUPILS SERVED IN THE CURRENT YEAR PLUS THE  
44 PRODUCT OF ONE HALF (0.5) MULTIPLIED BY THE HALF DAY PREKINDERGARTEN  
45 PUPILS IN THE CURRENT YEAR LESS THE HALF-DAY CONVERSION OVERAGE;

46 (VII) "HALF-DAY CONVERSION OVERAGE" SHALL EQUAL, FOR DISTRICTS THAT  
47 SERVE GREATER THAN THIRTY PERCENT FEWER FULL-DAY PREKINDERGARTEN PUPILS  
48 DURING THE CURRENT YEAR THAN THE NUMBER OF TOTAL ELIGIBLE FULL-DAY PREK-  
49 INDERGARTEN PUPILS SET FORTH FOR THE DISTRICT IN PARAGRAPH B OF SUBDIVI-  
50 SION TEN OF THIS SECTION DUE TO THE CONVERSION OF FULL-DAY TO HALF-DAY  
51 SLOTS, THE DIFFERENCE OF THE PRODUCT OF SEVEN-TENTHS MULTIPLIED BY THE  
52 TOTAL ELIGIBLE FULL-DAY PREKINDERGARTEN PUPILS ROUNDED DOWN TO THE NEAR-  
53 EST WHOLE NUMBER, LESS THE NUMBER OF FULL-DAY PREKINDERGARTEN PUPILS  
54 ACTUALLY SERVED. PROVIDED THAT A DISTRICT MAY APPLY TO THE COMMISSIONER  
55 FOR A HARDSHIP WAIVER THAT WOULD ALLOW A DISTRICT TO CONVERT MORE THAN  
56 THIRTY PERCENT OF FULL-DAY PREKINDERGARTEN SLOTS TO HALF-DAY SLOTS AND

1 RECEIVE FUNDING FOR SUCH SLOTS. SUCH WAIVER SHALL BE GRANTED UPON A  
2 DEMONSTRATION BY THE SCHOOL DISTRICT THAT DUE TO A SIGNIFICANT CHANGE IN  
3 THE RESOURCES AVAILABLE TO THE SCHOOL DISTRICT AND ABSENT A WAIVER TO  
4 ALLOW THE CONVERSION OF MORE THAN THIRTY PERCENT OF FULL-DAY PREKINDER-  
5 GARTEN SLOTS TO HALF-DAY SLOTS, THE SCHOOL DISTRICT WOULD BE UNABLE TO  
6 SERVE SUCH PUPILS IN PREKINDERGARTEN PROGRAMS, WITHOUT CAUSING SIGNIF-  
7 ICANT DISRUPTION TO OTHER DISTRICT PROGRAMMING;

8 (VIII) "MAINTENANCE OF EFFORT FACTOR" SHALL MEAN THE QUOTIENT ARRIVED  
9 AT WHEN DIVIDING THE CURRENT YEAR PREKINDERGARTEN PUPILS SERVED BY THE  
10 PREKINDERGARTEN MAINTENANCE OF EFFORT BASE.

11 FOR THE PURPOSES OF THIS PARAGRAPH:

12 (A) "PRIORITY FULL-DAY PREKINDERGARTEN PROGRAM" SHALL MEAN THE PRIORI-  
13 TY FULL-DAY PREKINDERGARTEN AND EXPANDED HALF-DAY PREKINDERGARTEN GRANT  
14 PROGRAM FOR HIGH NEED STUDENTS PURSUANT TO CHAPTER FIFTY-THREE OF THE  
15 LAWS OF TWO THOUSAND THIRTEEN;

16 (B) "FEDERAL PRESCHOOL DEVELOPMENT EXPANSION GRANT" SHALL MEAN THE  
17 FEDERAL PRESCHOOL DEVELOPMENT EXPANSION GRANT PURSUANT TO THE AMERICAN  
18 RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA), SECTIONS 14005, 14006, AND  
19 14013, TITLE XIV, (PUBLIC LAW 112-10), AS AMENDED BY SECTION 1832(B) OF  
20 DIVISION B OF THE DEPARTMENT OF DEFENSE AND FULL-YEAR CONTINUING APPRO-  
21 PRIATIONS ACT, 2011 (PUB. L. 112-10), AND THE DEPARTMENT OF EDUCATION  
22 APPROPRIATIONS ACT, 2012 (TITLE III DIVISION F OF PUB. L. 112-74, THE  
23 CONSOLIDATED APPROPRIATIONS ACT, 2012);

24 (C) "EXPANDED PREKINDERGARTEN PROGRAM" SHALL MEAN THE EXPANDED PREKIN-  
25 DERGARTEN PROGRAM FOR THREE- AND FOUR YEAR-OLDS PURSUANT TO CHAPTER  
26 SIXTY-ONE OF THE LAWS OF TWO THOUSAND FIFTEEN;

27 (D) "EXPANDED PREKINDERGARTEN FOR THREE-YEAR-OLDS" SHALL MEAN THE  
28 EXPANDED PREKINDERGARTEN FOR THREE-YEAR-OLDS IN HIGH NEED DISTRICTS  
29 PROGRAM PURSUANT TO CHAPTER FIFTY-THREE OF THE LAWS OF TWO THOUSAND  
30 SIXTEEN;

31 (E) "EXPANDED PREKINDERGARTEN PROGRAM FOR THREE- AND FOUR-YEAR-OLDS"  
32 SHALL MEAN THE EXPANDED PREKINDERGARTEN PROGRAM FOR THREE- AND  
33 FOUR-YEAR-OLDS PURSUANT TO A CHAPTER OF THE LAWS OF TWO THOUSAND SEVEN-  
34 TEEN;

35 (F) "PREKINDERGARTEN EXPANSION GRANT" SHALL MEAN THE PREKINDERGARTEN  
36 EXPANSION GRANT FOR THE TWO THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN  
37 SCHOOL YEAR AND THEREAFTER, TO THE EXTENT SUCH PROGRAM WAS AVAILABLE  
38 SUBJECT TO APPROPRIATION, AND PROVIDED THAT SUCH SCHOOL DISTRICT HAS MET  
39 ALL REQUIREMENTS PURSUANT TO THIS SECTION.

40 c. Notwithstanding any other provision of this section, the total  
41 grant payable pursuant to this section shall equal the lesser of: (i)  
42 the total grant amounts computed pursuant to this subdivision for the  
43 current year, based on data on file with the commissioner as of Septem-  
44 ber first of the school year immediately following or (ii) the total  
45 actual grant expenditures incurred by the school district as approved by  
46 the commissioner.

47 D. NOTWITHSTANDING ANY OTHER PROVISION OF THIS SECTION, APPORTIONMENTS  
48 UNDER THIS SECTION GREATER THAN THE AMOUNTS PROVIDED IN THE TWO THOUSAND  
49 SIXTEEN--TWO THOUSAND SEVENTEEN SCHOOL YEAR SHALL ONLY BE USED TO  
50 SUPPLEMENT AND NOT SUPPLANT CURRENT LOCAL EXPENDITURES OF STATE OR LOCAL  
51 FUNDS ON PREKINDERGARTEN PROGRAMS AND THE NUMBER OF SLOTS IN SUCH  
52 PROGRAMS FROM SUCH SOURCES. CURRENT LOCAL EXPENDITURES SHALL INCLUDE ANY  
53 LOCAL EXPENDITURES OF STATE OR LOCAL FUNDS USED TO SUPPLEMENT OR EXTEND  
54 SERVICES PROVIDED DIRECTLY OR VIA CONTRACT TO ELIGIBLE CHILDREN ENROLLED  
55 IN A UNIVERSAL PREKINDERGARTEN PROGRAM PURSUANT TO THIS SECTION.

1 S 27. Subdivision 11 of section 3602-e of the education law, as  
2 amended by section 10-b of part A of chapter 57 of the laws of 2012, is  
3 amended to read as follows:

4 11. [Notwithstanding the provisions of subdivision ten of this  
5 section, where the district serves fewer children during the current  
6 year than the lesser of the children served in the two thousand ten--two  
7 thousand eleven school year or its base aidable prekindergarten pupils  
8 computed for the two thousand seven--two thousand eight school year, the  
9 school district shall have its apportionment reduced in an amount  
10 proportional to such deficiency in the current year or in the succeeding  
11 school year, as determined by the commissioner, except such reduction  
12 shall not apply to school districts which have fully implemented a  
13 universal pre-kindergarten program by making such program available to  
14 all eligible children. Expenses incurred by the school district in  
15 implementing a pre-kindergarten program plan pursuant to this subdivi-  
16 sion shall be deemed ordinary contingent expenses.] MAINTENANCE OF  
17 EFFORT REDUCTION. WHERE A SCHOOL DISTRICT'S CURRENT YEAR PREKINDERGARTEN  
18 PUPILS SERVED IS LESS THAN ITS PREKINDERGARTEN MAINTENANCE OF EFFORT  
19 BASE, THE SCHOOL DISTRICT SHALL HAVE ITS CURRENT YEAR APPORTIONMENT  
20 REDUCED BY THE PRODUCT OF THE MAINTENANCE OF EFFORT FACTOR COMPUTED IN  
21 PARAGRAPH B OF SUBDIVISION TEN OF THIS SECTION MULTIPLIED BY THE GRANT  
22 AMOUNT IT WAS ELIGIBLE TO RECEIVE PURSUANT TO SUBDIVISION TEN OF THIS  
23 SECTION.

24 S 28. Paragraphs b and f of subdivision 12 of section 3602-e of the  
25 education law, as amended by section 19 of part B of chapter 57 of the  
26 laws of 2007, are amended to read as follows:

27 b. [minimum] curriculum standards [that] CONSISTENT WITH THE NEW YORK  
28 STATE PREKINDERGARTEN EARLY LEARNING STANDARDS TO ensure that such  
29 programs have strong instructional content that is integrated with the  
30 school district's instructional program in grades kindergarten [though]  
31 THROUGH twelve;

32 f. time requirements which reflect the needs of the individual school  
33 districts for flexibility, but meeting a minimum weekly time require-  
34 ment; PROVIDED, HOWEVER, THAT THE MINIMUM WEEKLY TIME REQUIREMENT FOR  
35 FULL-DAY PROGRAMS SHALL BE TWENTY-FIVE HOURS, AND THE WEEKLY MINIMUM  
36 TIME REQUIREMENT FOR HALF-DAY PROGRAMS SHALL BE TWELVE AND ONE-HALF  
37 HOURS;

38 S 28-a. Paragraphs d-1 and d-2 of subdivision 12 of section 3602-e of  
39 the education law, as amended by section 10-c of part A of chapter 57 of  
40 the laws of 2012, are amended to read as follows:

41 d-1. guidelines which allow personnel employed by an eligible agency  
42 that is collaborating with a school district to provide prekindergarten  
43 services and licensed by an agency other than the department, to meet  
44 the staff qualifications prescribed by the licensing or registering  
45 agency; provided however, a written plan is established for prekinde-  
46 rgarten teachers to obtain a certificate valid for service in early  
47 childhood grades within five years after commencing employment, or by  
48 June thirtieth, two thousand [seventeen] TWENTY, whichever is later,  
49 PROVIDED THAT DISTRICTS MUST SUBMIT A REPORT TO THE COMMISSIONER REGARD-  
50 ING (I) BARRIERS TO CERTIFICATION, IF ANY, (II) THE NUMBER OF UNCERTI-  
51 FIED TEACHERS TEACHING PRE-KINDERGARTEN IN THE DISTRICT, INCLUDING THOSE  
52 EMPLOYED BY A COMMUNITY-BASED ORGANIZATION, (III) HOW LONG SUCH TEACHERS  
53 HAVE BEEN EMPLOYED UNDER TRANSITIONAL GUIDELINES, AND (IV) THE EXPECTED  
54 CERTIFICATION COMPLETION DATE OF SUCH TEACHERS; PROVIDED FURTHER THAT BY  
55 FEBRUARY FIRST, TWO THOUSAND EIGHTEEN, THE COMMISSIONER SHALL APPROVE  
56 AND SUBMIT TO THE CHAIRS OF THE ASSEMBLY WAYS AND MEANS COMMITTEE AND

1 THE SENATE FINANCE COMMITTEE AND TO THE DIRECTOR OF THE BUDGET A REPORT  
2 CONTAINING THE INFORMATION PURSUANT TO THIS PARAGRAPH;

3 d-2. guidelines which allow personnel employed by an eligible agency  
4 that is collaborating with a school district to provide prekindergarten  
5 services and not licensed or registered by the department or other agen-  
6 cy, to meet the staff qualifications prescribed by such eligible agency;  
7 provided however, a written plan is established for prekindergarten  
8 teachers to obtain a certificate valid for service in early childhood  
9 grades within five years after commencing employment, or by June thirti-  
10 eth, two thousand [seventeen] TWENTY, whichever is later, PROVIDED THAT  
11 DISTRICTS MUST SUBMIT A REPORT TO THE COMMISSIONER REGARDING (I) BARRI-  
12 ERS TO CERTIFICATION, IF ANY, (II) THE NUMBER OF UNCERTIFIED TEACHERS  
13 TEACHING PRE-KINDERGARTEN IN THE DISTRICT, INCLUDING THOSE EMPLOYED BY A  
14 COMMUNITY-BASED ORGANIZATION, (III) HOW LONG SUCH TEACHERS HAVE BEEN  
15 EMPLOYED UNDER TRANSITIONAL GUIDELINES, AND (IV) THE EXPECTED CERTIF-  
16 ICATION COMPLETION DATE OF SUCH TEACHERS; PROVIDED FURTHER THAT BY  
17 FEBRUARY FIRST, TWO THOUSAND EIGHTEEN, THE COMMISSIONER SHALL APPROVE  
18 AND SUBMIT TO THE CHAIRS OF THE ASSEMBLY WAYS AND MEANS COMMITTEE AND  
19 THE SENATE FINANCE COMMITTEE AND TO THE DIRECTOR OF THE BUDGET A REPORT  
20 CONTAINING THE INFORMATION PURSUANT TO THIS PARAGRAPH;

21 S 28-b. Subdivision 4 of section 51 of part B of chapter 57 of the  
22 laws of 2008 amending the education law relating to the universal pre-  
23 kindergarten program, as amended by section 23 of part A of chapter 57  
24 of the laws of 2012, is amended to read as follows:

25 4. section [23] TWENTY-THREE of this act shall take effect July 1,  
26 2008 and shall expire and be deemed repealed June 30, [2017] 2020;

27 S 29. Subdivision 14 of section 3602-e of the education law, as  
28 amended by section 19 of part B of chapter 57 of the laws of 2007, is  
29 amended to read as follows:

30 14. On February fifteenth, two thousand, and annually thereafter, the  
31 commissioner and the board of regents shall include in its annual report  
32 to the legislature AND THE GOVERNOR, information on school districts  
33 receiving grants under this section; the amount of each grant; a  
34 description of the program that each grant supports and an assessment by  
35 the commissioner of the extent to which the program meets measurable  
36 outcomes required by the grant program or regulations of such commis-  
37 sioner; and any other relevant information, WHICH SHALL INCLUDE BUT NOT  
38 BE LIMITED TO THE FOLLOWING:

39 A. (I) THE TOTAL NUMBER OF STUDENTS SERVED IN STATE-FUNDED  
40 DISTRICT-OPERATED PREKINDERGARTEN PROGRAMS, (II) THE TOTAL NUMBER OF  
41 STUDENTS SERVED IN STATE-FUNDED COMMUNITY-BASED PREKINDERGARTEN  
42 PROGRAMS, (III) THE TOTAL NUMBER OF STUDENTS SERVED IN STATE-FUNDED  
43 HALF-DAY PREKINDERGARTEN PROGRAMS, AND (IV) THE TOTAL NUMBER OF STUDENTS  
44 SERVED IN STATE-FUNDED FULL-DAY PREKINDERGARTEN PROGRAMS;

45 B. (I) THE TOTAL NUMBER OF STUDENTS SERVED IN STATE, FEDERAL AND  
46 LOCALLY FUNDED DISTRICT-OPERATED PREKINDERGARTEN PROGRAMS, (II) THE  
47 TOTAL NUMBER OF STUDENTS SERVED IN STATE, FEDERAL AND LOCALLY FUNDED  
48 COMMUNITY-BASED PREKINDERGARTEN PROGRAMS, (III) THE TOTAL NUMBER OF  
49 STUDENTS SERVED IN STATE, FEDERAL AND LOCALLY FUNDED HALF-DAY PREKINDER-  
50 GARTEN PROGRAMS, AND (IV) THE TOTAL NUMBER OF STUDENTS SERVED IN STATE,  
51 FEDERAL AND LOCALLY FUNDED FULL-DAY PREKINDERGARTEN PROGRAMS;

52 C. THE TOTAL SPENDING ON PREKINDERGARTEN PROGRAMS FROM STATE, FEDERAL,  
53 AND LOCAL SOURCES;

54 D. THE TOTAL NUMBER OF STUDENTS ON A DISTRICT WAIT LIST FOR A PREKIN-  
55 DERGARTEN SLOT IN A STATE-FUNDED PREKINDERGARTEN PROGRAM; AND



1 E. FOR EACH PROGRAM DESCRIBED IN SUBPARAGRAPHS (I), (II), (III) AND  
2 (IV) OF PARAGRAPH A OF THIS SUBDIVISION, AND SUBPARAGRAPHS (I), (II),  
3 (III) AND (IV) OF PARAGRAPH B OF THIS SUBDIVISION, THE TOTAL NUMBER OF  
4 STUDENTS SERVED WITH DISABILITIES THAT HAVE AN INDIVIDUALIZED EDUCATION  
5 PLAN AND, OF THOSE, THE TOTAL NUMBER OF STUDENTS REQUIRING ANY OF THE  
6 FOLLOWING APPROVED SERVICES: SPECIAL EDUCATION ITINERANT SERVICES;  
7 SPECIAL CLASS IN AN INTEGRATED SETTING; OR A SPECIAL CLASS. Such report  
8 shall also contain any recommendations to improve or otherwise change  
9 the program.

10 S 30. Section 3602-e of the education law is amended by adding two new  
11 subdivisions 17 and 18 to read as follows:

12 17. APPROVED QUALITY INDICATORS. A SCHOOL DISTRICT RECEIVING FUNDING  
13 PURSUANT TO THIS SECTION SHALL AGREE TO ADOPT APPROVED QUALITY INDICA-  
14 TORS WITHIN TWO YEARS, INCLUDING, BUT NOT LIMITED TO, VALID AND RELIABLE  
15 MEASURES OF ENVIRONMENTAL QUALITY, THE QUALITY OF TEACHER-STUDENT INTER-  
16 ACTIONS AND CHILD OUTCOMES, AND ENSURE THAT ANY SUCH ASSESSMENT OF CHILD  
17 OUTCOMES SHALL NOT BE USED TO MAKE HIGH-STAKES EDUCATIONAL DECISIONS FOR  
18 INDIVIDUAL CHILDREN.

19 18. UNIVERSAL PREKINDERGARTEN EXPANSION GRANTS. SUBJECT TO AVAILABLE  
20 APPROPRIATION, ANY ADDITIONAL FUNDING FOR PRE-KINDERGARTEN IN THE TWO  
21 THOUSAND EIGHTEEN--TWO THOUSAND NINETEEN SCHOOL YEAR AND THEREAFTER  
22 SHALL BE MADE AVAILABLE FOR ADDITIONAL GRANTS FOR PRE-KINDERGARTEN  
23 PROGRAMS.

24 S 31. Subdivision 16 of section 3602-ee of the education law, as  
25 amended by section 23 of part A of chapter 54 of the laws of 2016, is  
26 amended to read as follows:

27 16. The authority of the department to administer the universal full-  
28 day pre-kindergarten program shall expire June thirtieth, two thousand  
29 [seventeen] EIGHTEEN; provided that the program shall continue and  
30 remain in full effect.

31 S 31-a. Paragraph (c) of subdivision 8 of section 3602-ee of the  
32 education law, as added by section 1 of part CC of chapter 56 of the  
33 laws of 2014, is amended to read as follows:

34 (c) (I) for eligible agencies as defined in paragraph b of subdivision  
35 one of section thirty-six hundred two-e of this part that are not  
36 schools, a bachelor's degree in early childhood education or a related  
37 field and a written plan to obtain a certification valid for service in  
38 the early childhood grades as follows:

39 [(i)] (1) for teachers hired on or after the effective date of this  
40 section as the teacher for a universal full-day pre-kindergarten class-  
41 room, within three years after commencing employment, at which time such  
42 certification shall be required for employment; and

43 [(ii)] (2) for teachers hired by such provider prior to the effective  
44 date of this section for other early childhood care and education  
45 programs, no later than June thirtieth, two thousand seventeen, at which  
46 time such certification shall be required for employment.

47 (II) PROVIDED THAT, NOTWITHSTANDING ANY PROVISIONS OF THIS PARAGRAPH  
48 TO THE CONTRARY, FOR THE TWO THOUSAND SEVENTEEN-TWO THOUSAND EIGHTEEN  
49 SCHOOL YEAR, AN EXEMPTION TO THE CERTIFICATION REQUIREMENT OF SUBPARA-  
50 GRAPH (I) OF THIS PARAGRAPH MAY BE MADE FOR A TEACHER WITHOUT CERTIF-  
51 ICATION VALID FOR SERVICE IN THE EARLY CHILDHOOD GRADES WHO POSSESSES A  
52 WRITTEN PLAN TO OBTAIN CERTIFICATION AND WHO HAS REGISTERED IN THE  
53 ASPIRE WORKFORCE REGISTRY AS REQUIRED UNDER REGULATIONS OF THE COMMIS-  
54 SIONER OF THE OFFICE OF CHILDREN AND FAMILY SERVICES. NOTWITHSTANDING  
55 ANY EXEMPTION PROVIDED BY THIS SUBPARAGRAPH, CERTIFICATION SHALL BE

1 REQUIRED FOR EMPLOYMENT NO LATER THAN JUNE THIRTIETH, TWO THOUSAND EIGH-  
2 TEEN.

3 S 31-b. Section 3602-ee of the education law is amended by adding a  
4 new subdivision 17 to read as follows:

5 17. NOTWITHSTANDING ANY INCONSISTENT PROVISION OF LAW TO THE CONTRARY,  
6 FOR THE PURPOSES OF DETERMINING THE PREKINDERGARTEN ALLOCATION ON THE  
7 ELECTRONIC DATA FILE PREPARED BY THE COMMISSIONER PURSUANT TO SUBDIVI-  
8 SION TWENTY-ONE OF SECTION THREE HUNDRED FIVE OF THIS CHAPTER FOR THE  
9 TWO THOUSAND NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR AND THEREAFTER,  
10 THE COMMISSIONER IS DIRECTED TO INCLUDE THE GRANT AMOUNTS AWARDED PURSU-  
11 ANT TO THIS SECTION IN THE AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS  
12 "UNIVERSAL PRE-KINDERGARTEN."

13 S 32. Subdivision 21 of section 305 of the education law is amended by  
14 adding a new paragraph d to read as follows:

15 D. NOTWITHSTANDING ANY INCONSISTENT PROVISION OF LAW TO THE CONTRARY,  
16 FOR THE PURPOSES OF (I) DETERMINING THE BASE YEAR LEVEL OF GENERAL  
17 SUPPORT FOR PUBLIC SCHOOLS PURSUANT TO PARAGRAPH B OF THIS SUBDIVISION  
18 FOR THE TWO THOUSAND SEVENTEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR AND  
19 THEREAFTER, THE COMMISSIONER IS DIRECTED TO INCLUDE THE STATE-FUNDED  
20 GRANT AMOUNTS ALLOCATED PURSUANT TO SUBDIVISION TEN OF SECTION  
21 THIRTY-SIX HUNDRED TWO-E OF THIS CHAPTER WHERE SUCH STATE-FUNDED GRANTS  
22 HAD PREVIOUSLY BEEN ALLOCATED TO DISTRICTS BY MEANS OTHER THAN GENERAL  
23 SUPPORT FOR PUBLIC SCHOOLS, AND (II) FOR THE PURPOSES OF DETERMINING  
24 BOTH THE BASE YEAR AND CURRENT YEAR LEVELS OF GENERAL SUPPORT FOR PUBLIC  
25 SCHOOLS PURSUANT TO PARAGRAPH B OF THIS SUBDIVISION FOR THE TWO THOUSAND  
26 NINETEEN--TWO THOUSAND TWENTY SCHOOL YEAR AND THEREAFTER, THE COMMIS-  
27 SIONER IS ALSO DIRECTED TO INCLUDE GRANT AMOUNTS PURSUANT TO SECTION  
28 THIRTY-SIX HUNDRED TWO-EE OF THIS CHAPTER, PROVIDED THAT, NOTWITHSTAND-  
29 ING ANY PROVISION OF LAW TO THE CONTRARY, SUCH BASE YEAR GRANT AMOUNTS  
30 SHALL NOT BE INCLUDED IN: (1) THE ALLOWABLE GROWTH AMOUNT COMPUTED  
31 PURSUANT TO PARAGRAPH DD OF SUBDIVISION ONE OF SECTION THIRTY-SIX  
32 HUNDRED TWO OF THIS CHAPTER, (2) THE PRELIMINARY GROWTH AMOUNT COMPUTED  
33 PURSUANT TO PARAGRAPH FF OF SUBDIVISION ONE OF SECTION THIRTY-SIX  
34 HUNDRED TWO OF THIS CHAPTER, AND (3) THE ALLOCABLE GROWTH AMOUNT  
35 COMPUTED PURSUANT TO PARAGRAPH GG OF SUBDIVISION ONE OF SECTION THIRTY-  
36 SIX HUNDRED TWO OF THIS CHAPTER, AND SHALL NOT BE CONSIDERED, AND SHALL  
37 NOT BE AVAILABLE FOR INTERCHANGE WITH, GENERAL SUPPORT FOR PUBLIC  
38 SCHOOLS.

39 S 33. The opening paragraph of section 3609-a of the education law, as  
40 amended by section 10 of part A of chapter 54 of the laws of 2016, is  
41 amended to read as follows:

42 For aid payable in the two thousand seven--two thousand eight school  
43 year through the two thousand [sixteen] SEVENTEEN--two thousand [seven-  
44 teen] EIGHTEEN school year, "moneys apportioned" shall mean the lesser  
45 of (i) the sum of one hundred percent of the respective amount set forth  
46 for each school district as payable pursuant to this section in the  
47 school aid computer listing for the current year produced by the commis-  
48 sioner in support of the budget which includes the appropriation for the  
49 general support for public schools for the prescribed payments and indi-  
50 vidualized payments due prior to April first for the current year plus  
51 the apportionment payable during the current school year pursuant to  
52 subdivision six-a and subdivision fifteen of section thirty-six hundred  
53 two of this part minus any reductions to current year aids pursuant to  
54 subdivision seven of section thirty-six hundred four of this part or any  
55 deduction from apportionment payable pursuant to this chapter for  
56 collection of a school district basic contribution as defined in subdi-

1 vision eight of section forty-four hundred one of this chapter, less any  
2 grants provided pursuant to subparagraph two-a of paragraph b of subdi-  
3 vision four of section ninety-two-c of the state finance law, less any  
4 grants provided pursuant to subdivision six of section ninety-seven-nnnn  
5 of the state finance law, less any grants provided pursuant to subdivi-  
6 sion twelve of section thirty-six hundred forty-one of this article, or  
7 (ii) the apportionment calculated by the commissioner based on data on  
8 file at the time the payment is processed; provided however, that for  
9 the purposes of any payments made pursuant to this section prior to the  
10 first business day of June of the current year, moneys apportioned shall  
11 not include any aids payable pursuant to subdivisions six and fourteen,  
12 if applicable, of section thirty-six hundred two of this part as current  
13 year aid for debt service on bond anticipation notes and/or bonds first  
14 issued in the current year or any aids payable for full-day kindergarten  
15 for the current year pursuant to subdivision nine of section thirty-six  
16 hundred two of this part. The definitions of "base year" and "current  
17 year" as set forth in subdivision one of section thirty-six hundred two  
18 of this part shall apply to this section. [For aid payable in the two  
19 thousand sixteen--two thousand seventeen school year, reference to such  
20 "school aid computer listing for the current year" shall mean the print-  
21 outs entitled "SA161-7".] FOR AID PAYABLE IN THE TWO THOUSAND SEVEN-  
22 TEEN--TWO THOUSAND EIGHTEEN SCHOOL YEAR, REFERENCE TO SUCH "SCHOOL AID  
23 COMPUTER LISTING FOR THE CURRENT YEAR" SHALL MEAN THE PRINTOUTS ENTITLED  
24 "SA171-8".

25 S 34. Paragraph b of subdivision 2 of section 3612 of the education  
26 law, as amended by section 26 of part A of chapter 54 of the laws of  
27 2016, is amended to read as follows:

28 b. Such grants shall be awarded to school districts, within the limits  
29 of funds appropriated therefor, through a competitive process that takes  
30 into consideration the magnitude of any shortage of teachers in the  
31 school district, the number of teachers employed in the school district  
32 who hold temporary licenses to teach in the public schools of the state,  
33 the number of provisionally certified teachers, the fiscal capacity and  
34 geographic sparsity of the district, the number of new teachers the  
35 school district intends to hire in the coming school year and the number  
36 of summer in the city student internships proposed by an eligible school  
37 district, if applicable. Grants provided pursuant to this section shall  
38 be used only for the purposes enumerated in this section. Notwithstand-  
39 ing any other provision of law to the contrary, a city school district  
40 in a city having a population of one million or more inhabitants receiv-  
41 ing a grant pursuant to this section may use no more than eighty percent  
42 of such grant funds for any recruitment, retention and certification  
43 costs associated with transitional certification of teacher candidates  
44 for the school years two thousand one--two thousand two through [two  
45 thousand sixteen--two thousand seventeen] TWO THOUSAND SEVENTEEN--TWO  
46 THOUSAND EIGHTEEN.

47 S 35. Subdivision 6 of section 4402 of the education law, as amended  
48 by section 27 of part A of chapter 54 of the laws of 2016, is amended to  
49 read as follows:

50 6. Notwithstanding any other law, rule or regulation to the contrary,  
51 the board of education of a city school district with a population of  
52 one hundred twenty-five thousand or more inhabitants shall be permitted  
53 to establish maximum class sizes for special classes for certain  
54 students with disabilities in accordance with the provisions of this  
55 subdivision. For the purpose of obtaining relief from any adverse fiscal  
56 impact from under-utilization of special education resources due to low

1 student attendance in special education classes at the middle and  
2 secondary level as determined by the commissioner, such boards of educa-  
3 tion shall, during the school years nineteen hundred ninety-five--nine-  
4 ty-six through June thirtieth, two thousand [seventeen] EIGHTEEN of the  
5 [two thousand sixteen--two thousand seventeen] TWO THOUSAND  
6 SEVENTEEN--TWO THOUSAND EIGHTEEN school year, be authorized to increase  
7 class sizes in special classes containing students with disabilities  
8 whose age ranges are equivalent to those of students in middle and  
9 secondary schools as defined by the commissioner for purposes of this  
10 section by up to but not to exceed one and two tenths times the applica-  
11 ble maximum class size specified in regulations of the commissioner  
12 rounded up to the nearest whole number, provided that in a city school  
13 district having a population of one million or more, classes that have a  
14 maximum class size of fifteen may be increased by no more than one  
15 student and provided that the projected average class size shall not  
16 exceed the maximum specified in the applicable regulation, provided that  
17 such authorization shall terminate on June thirtieth, two thousand. Such  
18 authorization shall be granted upon filing of a notice by such a board  
19 of education with the commissioner stating the board's intention to  
20 increase such class sizes and a certification that the board will  
21 conduct a study of attendance problems at the secondary level and will  
22 implement a corrective action plan to increase the rate of attendance of  
23 students in such classes to at least the rate for students attending  
24 regular education classes in secondary schools of the district. Such  
25 corrective action plan shall be submitted for approval by the commis-  
26 sioner by a date during the school year in which such board increases  
27 class sizes as provided pursuant to this subdivision to be prescribed by  
28 the commissioner. Upon at least thirty days notice to the board of  
29 education, after conclusion of the school year in which such board  
30 increases class sizes as provided pursuant to this subdivision, the  
31 commissioner shall be authorized to terminate such authorization upon a  
32 finding that the board has failed to develop or implement an approved  
33 corrective action plan.

34 S 36. Hendrick Hudson central school district energy system tax  
35 stabilization reserve fund. (a) Definitions. As used in this section:

36 (i) "Board of education" or "board" means the board of education of  
37 the Hendrick Hudson central school district.

38 (ii) "Energy system tax stabilization reserve fund" means the energy  
39 system tax stabilization fund established pursuant to this section.

40 (iii) "School district" or "district" means the Hendrick Hudson  
41 central school district.

42 (b) The board of education is hereby authorized to establish an energy  
43 system tax stabilization reserve fund to lessen or prevent increases in  
44 the school district's real property tax levy resulting from decreases in  
45 revenue due to the closure of the Indian Point nuclear power plant  
46 provided, however, that no such fund shall be established unless  
47 approved by a majority vote of the voters present and voting on a sepa-  
48 rate ballot proposition therefor at either a special district meeting  
49 which the board of education may call for such purpose or at the annual  
50 district meeting and election, to be noticed and conducted in either  
51 case in accordance with article 41 of the education law. Such separate  
52 proposition shall set forth the maximum allowable balance to be deposit-  
53 ed and held in the energy system tax stabilization reserve fund. Moneys  
54 shall be paid into and withdrawn from the fund and the fund shall be  
55 administered as follows:

1 (i) The board of education is hereby authorized to make payments into  
2 the energy system tax stabilization reserve fund in an amount not to  
3 exceed the balance over any maximum allowable balance in the district's  
4 unassigned fund balance and from any reserve funds authorized or  
5 required by law in amounts which the board of education shall determine  
6 are not reasonably necessary for the purpose of such fund or funds and  
7 which accrued prior to the establishment of the energy system tax  
8 stabilization reserve fund, provided that no such payment from any unas-  
9 signed fund balance or any reserve fund shall cause the balance of the  
10 energy system tax stabilization reserve fund to exceed the amount  
11 approved in the ballot proposal pursuant to this section.

12 (ii) Moneys may be withdrawn from the energy system tax stabilization  
13 reserve fund for any fiscal year to be expended for any lawful purpose.  
14 Withdrawals from the fund shall be disclosed in a manner consistent with  
15 the required disclosures of similar reserve funds held by the district,  
16 including disclosures required by the property tax report card prepared  
17 by the district pursuant to the provisions of subdivision 7 of section  
18 1716 of the education law; and deposits and withdrawals made in each  
19 fiscal year shall be subject to the district's annual budget approval  
20 process.

21 S 37. Subparagraph (i) of paragraph a of subdivision 10 of section  
22 4410 of the education law is amended by adding a new clause (D) to read  
23 as follows:

24 (D) NOTWITHSTANDING ANY OTHER PROVISION OF LAW, RULE OR REGULATION TO  
25 THE CONTRARY, COMMENCING WITH THE TWO THOUSAND EIGHTEEN--TWO THOUSAND  
26 NINETEEN SCHOOL YEAR, APPROVED PRESCHOOL INTEGRATED SPECIAL CLASS  
27 PROGRAMS SHALL BE REIMBURSED FOR SUCH SERVICES BASED ON AN ALTERNATIVE  
28 METHODOLOGY FOR REIMBURSEMENT TO BE ESTABLISHED BY THE COMMISSIONER. IN  
29 DEVELOPING SUCH METHODOLOGY THE COMMISSIONER SHALL SEEK INPUT FROM  
30 STAKEHOLDERS THAT WOULD BE IMPACTED BY SUCH ALTERNATIVE METHODOLOGY.  
31 THE ALTERNATIVE METHODOLOGY, SUBJECT TO THE APPROVAL OF THE DIRECTOR OF  
32 THE BUDGET, SHALL BE PROPOSED BY THE DEPARTMENT NO LATER THAN APRIL  
33 FIRST, TWO THOUSAND EIGHTEEN.

34 S 38. Subdivision a of section 5 of chapter 121 of the laws of 1996,  
35 relating to authorizing the Roosevelt union free school district to  
36 finance deficits by the issuance of serial bonds, as amended by section  
37 44 of part A of chapter 54 of the laws of 2016, is amended to read as  
38 follows:

39 a. Notwithstanding any other provisions of law, upon application to  
40 the commissioner of education submitted not sooner than April first and  
41 not later than June thirtieth of the applicable school year, the Roose-  
42 velt union free school district shall be eligible to receive an appor-  
43 tionment pursuant to this chapter for salary expenses, including related  
44 benefits, incurred between April first and June thirtieth of such school  
45 year. Such apportionment shall not exceed: for the 1996-97 school year  
46 through the [2016-17] 2017-18 school year, four million dollars  
47 (\$4,000,000); for the [2017-18] 2018-19 school year, three million  
48 dollars (\$3,000,000); for the [2018-19] 2019-20 school year, two million  
49 dollars (\$2,000,000); for the [2019-20] 2020-21 school year, one million  
50 dollars (\$1,000,000); and for the [2020-21] 2021-22 school year, zero  
51 dollars. Such annual application shall be made after the board of  
52 education has adopted a resolution to do so with the approval of the  
53 commissioner of education.

54 S 39. Subparagraph (ii) of paragraph (a) of subdivision 9 of section  
55 103 of the general municipal law, as amended by chapter 62 of the laws  
56 of 2016, is amended to read as follows:

1 (ii) such association of producers or growers is comprised of owners  
2 of farms who also operate such farms and have combined to fill the order  
3 of a school district, and where such order is for [twenty-five thousand]  
4 FIFTY THOUSAND dollars or less as herein authorized, provided however,  
5 that a school district may apply to the commissioner of education for  
6 permission to purchase orders of more than [twenty-five thousand] FIFTY  
7 THOUSAND dollars from an association of owners of such farms when no  
8 other producers or growers have offered to sell to such school;

9 S 40. Section 7 of chapter 472 of the laws of 1998, amending the  
10 education law relating to the lease of school buses by school districts,  
11 as amended by section 18 of part A of chapter 56 of the laws of 2015, is  
12 amended to read as follows:

13 S 7. This act shall take effect September 1, 1998, and shall expire  
14 and be deemed repealed September 1, [2017] 2019.

15 S 41. Subdivision 6-a of section 140 of chapter 82 of the laws of  
16 1995, amending the education law and certain other laws relating to  
17 state aid to school districts and the appropriation of funds for the  
18 support of government, as amended by section 17-a of part A of chapter  
19 57 of the laws of 2012, is amended to read as follows:

20 (6-a) Section seventy-three of this act shall take effect July 1, 1995  
21 and shall be deemed repealed June 30, [2017] 2022;

22 S 42. Intentionally omitted.

23 S 43. Intentionally omitted.

24 S 44. Subdivision b of section 2 of chapter 756 of the laws of 1992,  
25 relating to funding a program for work force education conducted by the  
26 consortium for worker education in New York city, as amended by section  
27 28 of part A of chapter 54 of the laws of 2016, is amended to read as  
28 follows:

29 b. Reimbursement for programs approved in accordance with subdivision  
30 a of this section for [the 2012--2013 school year shall not exceed 63.3  
31 percent of the lesser of such approvable costs per contact hour or  
32 twelve dollars and thirty-five cents per contact hour, reimbursement for  
33 the 2013--2014 school year shall not exceed 62.3 percent of the lesser  
34 of such approvable costs per contact hour or twelve dollars and sixty-  
35 five cents per contact hour, reimbursement for the 2014--2015 school  
36 year shall not exceed 61.6 percent of the lesser of such approvable  
37 costs per contact hour or thirteen dollars per contact hour, reimburse-  
38 ment for] the 2015--2016 school year shall not exceed 60.7 percent of  
39 the lesser of such approvable costs per contact hour or thirteen dollars  
40 and forty cents per contact hour, [and] reimbursement for the 2016--2017  
41 school year shall not exceed 60.3 percent of the lesser of such approva-  
42 ble costs per contact hour or thirteen dollars ninety cents per contact  
43 hour, AND REIMBURSEMENT FOR THE 2017--2018 SCHOOL YEAR SHALL NOT EXCEED  
44 60.4 PERCENT OF THE LESSER OF SUCH APPROVABLE COSTS PER CONTACT HOUR OR  
45 THIRTEEN DOLLARS AND NINETY CENTS PER CONTACT HOUR, where a contact hour  
46 represents sixty minutes of instruction services provided to an eligible  
47 adult. Notwithstanding any other provision of law to the contrary, [for  
48 the 2012--2013 school year such contact hours shall not exceed one  
49 million six hundred sixty-four thousand five hundred thirty-two  
50 (1,664,532) hours; whereas for the 2013--2014 school year such contact  
51 hours shall not exceed one million six hundred forty-nine thousand seven  
52 hundred forty-six (1,649,746) hours; whereas for the 2014--2015 school  
53 year such contact hours shall not exceed one million six hundred twen-  
54 ty-five thousand (1,625,000) hours; whereas] for the 2015--2016 school  
55 year such contact hours shall not exceed one million five hundred nine-  
56 ty-nine thousand fifteen (1,599,015) hours; whereas for the 2016--2017

1 school year such contact hours shall not exceed one million five hundred  
2 fifty-one thousand three hundred twelve (1,551,312); AND FOR THE  
3 2017--2018 SCHOOL YEAR SUCH CONTACT HOURS SHALL NOT EXCEED ONE MILLION  
4 FIVE HUNDRED FORTY-NINE THOUSAND FOUR HUNDRED SIXTY-THREE (1,549,463).  
5 Notwithstanding any other provision of law to the contrary, the appor-  
6 tionment calculated for the city school district of the city of New York  
7 pursuant to subdivision 11 of section 3602 of the education law shall be  
8 computed as if such contact hours provided by the consortium for worker  
9 education, not to exceed the contact hours set forth herein, were eligi-  
10 ble for aid in accordance with the provisions of such subdivision 11 of  
11 section 3602 of the education law.

12 S 45. Section 4 of chapter 756 of the laws of 1992, relating to fund-  
13 ing a program for work force education conducted by the consortium for  
14 worker education in New York city, is amended by adding a new subdivi-  
15 sion v to read as follows:

16 V. THE PROVISIONS OF THIS SUBDIVISION SHALL NOT APPLY AFTER THE  
17 COMPLETION OF PAYMENTS FOR THE 2017--2018 SCHOOL YEAR. NOTWITHSTANDING  
18 ANY INCONSISTENT PROVISIONS OF LAW, THE COMMISSIONER OF EDUCATION SHALL  
19 WITHHOLD A PORTION OF EMPLOYMENT PREPARATION EDUCATION AID DUE TO THE  
20 CITY SCHOOL DISTRICT OF THE CITY OF NEW YORK TO SUPPORT A PORTION OF THE  
21 COSTS OF THE WORK FORCE EDUCATION PROGRAM. SUCH MONEYS SHALL BE CREDITED  
22 TO THE ELEMENTARY AND SECONDARY EDUCATION FUND-LOCAL ASSISTANCE ACCOUNT  
23 AND SHALL NOT EXCEED THIRTEEN MILLION DOLLARS (\$13,000,000).

24 S 46. Section 6 of chapter 756 of the laws of 1992, relating to fund-  
25 ing a program for work force education conducted by the consortium for  
26 worker education in New York city, as amended by section 30 of part A of  
27 chapter 54 of the laws of 2016, is amended to read as follows:

28 S 6. This act shall take effect July 1, 1992, and shall be deemed  
29 repealed on June 30, [2017] 2018.

30 S 47. Subdivisions 22 and 24 of section 140 of chapter 82 of the laws  
31 of 1995, amending the education law and certain other laws relating to  
32 state aid to school districts and the appropriation of funds for the  
33 support of government, as amended by section 33 of part A of chapter 54  
34 of the laws of 2016, are amended to read as follows:

35 (22) sections one hundred twelve, one hundred thirteen, one hundred  
36 fourteen, one hundred fifteen and one hundred sixteen of this act shall  
37 take effect on July 1, 1995; provided, however, that section one hundred  
38 thirteen of this act shall remain in full force and effect until July 1,  
39 [2017] 2018 at which time it shall be deemed repealed;

40 (24) sections one hundred eighteen through one hundred thirty of this  
41 act shall be deemed to have been in full force and effect on and after  
42 July 1, 1995; provided further, however, that the amendments made pursu-  
43 ant to section one hundred twenty-four of this act shall be deemed to be  
44 repealed on and after July 1, [2017] 2018;

45 S 48. Paragraphs (a-1) and (b) of section 5 of chapter 89 of the laws  
46 of 2016 relating to supplementary funding for dedicated programs for  
47 public school students in the East Ramapo central school district, are  
48 amended to read as follows:

49 (a-1) The East Ramapo central school district shall be eligible to  
50 receive reimbursement [from such funds made available] pursuant to  
51 [paragraph (a) of] this [section] ACT, SUBJECT TO AVAILABLE APPROPRI-  
52 ATION, for its approved expenditures IN THE TWO THOUSAND SIXTEEN--TWO  
53 THOUSAND SEVENTEEN SCHOOL YEAR AND THEREAFTER on services to improve and  
54 enhance the educational opportunities of students attending the public  
55 schools in such district. Such services shall include, but not be limit-  
56 ed to, reducing class sizes, expanding academic and enrichment opportu-

1 nities, establishing and expanding kindergarten programs, expanding  
2 extracurricular opportunities and providing student support services,  
3 provided, however, transportation services and expenses shall not be  
4 eligible for reimbursement from such funds.

5 (b) In order to receive such funds, the school district in consulta-  
6 tion with the monitor or monitors shall develop a long term strategic  
7 academic and fiscal improvement plan within 6 months from the enactment  
8 of this act AND SHALL ANNUALLY REVISE SUCH PLAN BY OCTOBER FIRST OF EACH  
9 YEAR THEREAFTER. Such plan, INCLUDING SUCH ANNUAL REVISIONS THERETO,  
10 shall be submitted to the commissioner for approval and shall include a  
11 set of goals with appropriate benchmarks and measurable objectives and  
12 identify strategies to address areas where improvements are needed in  
13 the district, including but not limited to its financial stability,  
14 academic opportunities and outcomes, education of students with disabil-  
15 ities, education of English language learners, and shall ensure compli-  
16 ance with all applicable state and federal laws and regulations. This  
17 improvement plan shall also include a comprehensive expenditure plan  
18 that will describe how the funds made available to the district pursuant  
19 to this section will be spent IN THE APPLICABLE SCHOOL YEAR. The  
20 comprehensive expenditure plan shall ensure that funds supplement, not  
21 supplant, expenditures from local, state and federal funds for services  
22 provided to public school students, EXCEPT THAT SUCH FUNDS MAY BE USED  
23 TO CONTINUE SERVICES FUNDED PURSUANT TO THIS ACT IN PRIOR YEARS. Such  
24 expenditure plan shall be developed AND ANNUALLY REVISED in consultation  
25 with the monitor or monitors appointed by the commissioner. The board of  
26 education of the East Ramapo central school district must ANNUALLY  
27 conduct a public hearing on the expenditure plan and shall consider the  
28 input of the community before adopting such plan. Such expenditure plan  
29 shall also be made publicly available and shall be ANNUALLY submitted  
30 along with comments made by the community to the commissioner for  
31 approval once the plan is finalized. Upon review of the improvement  
32 plan and the expenditure plan, required to be submitted pursuant to this  
33 subdivision or section seven of this act, the commissioner shall approve  
34 or deny such plan in writing and, if denied, shall include the reasons  
35 therefor. The district in consultation with the monitors may resubmit  
36 such plan or plans with any needed modifications thereto.

37 S 49. Section 8 of chapter 89 of the laws of 2016 relating to supple-  
38 mentary funding for dedicated programs for public school students in the  
39 East Ramapo central school district, is amended to read as follows:

40 S 8. This act shall take effect July 1, 2016 and shall expire and be  
41 deemed repealed June 30, [2017] 2018.

42 S 50. Section 12 of chapter 147 of the laws of 2001, amending the  
43 education law relating to conditional appointment of school district,  
44 charter school or BOCES employees, as amended by section 34 of part A of  
45 chapter 54 of the laws of 2016, is amended to read as follows:

46 S 12. This act shall take effect on the same date as chapter 180 of  
47 the laws of 2000 takes effect, and shall expire July 1, [2017] 2018 when  
48 upon such date the provisions of this act shall be deemed repealed.

49 S 51. School bus driver training. In addition to apportionments other-  
50 wise provided by section 3602 of the education law, for aid payable in  
51 the 2017--2018 school year, the commissioner of education shall allocate  
52 school bus driver training grants to school districts and boards of  
53 cooperative educational services pursuant to sections 3650-a, 3650-b and  
54 3650-c of the education law, or for contracts directly with not-for-pro-  
55 fit educational organizations for the purposes of this section. Such



1 payments shall not exceed four hundred thousand dollars (\$400,000) per  
2 school year.

3 S 52. Special apportionment for salary expenses. a. Notwithstanding  
4 any other provision of law, upon application to the commissioner of  
5 education, not sooner than the first day of the second full business  
6 week of June 2018 and not later than the last day of the third full  
7 business week of June 2018, a school district eligible for an apportion-  
8 ment pursuant to section 3602 of the education law shall be eligible to  
9 receive an apportionment pursuant to this section, for the school year  
10 ending June 30, 2018, for salary expenses incurred between April 1 and  
11 June 30, 2017 and such apportionment shall not exceed the sum of (i) the  
12 deficit reduction assessment of 1990--1991 as determined by the commis-  
13 sioner of education, pursuant to paragraph f of subdivision 1 of section  
14 3602 of the education law, as in effect through June 30, 1993, plus (ii)  
15 186 percent of such amount for a city school district in a city with a  
16 population in excess of 1,000,000 inhabitants, plus (iii) 209 percent of  
17 such amount for a city school district in a city with a population of  
18 more than 195,000 inhabitants and less than 219,000 inhabitants accord-  
19 ing to the latest federal census, plus (iv) the net gap elimination  
20 adjustment for 2010--2011, as determined by the commissioner of educa-  
21 tion pursuant to chapter 53 of the laws of 2010, plus (v) the gap elimi-  
22 nation adjustment for 2011--2012 as determined by the commissioner of  
23 education pursuant to subdivision 17 of section 3602 of the education  
24 law, and provided further that such apportionment shall not exceed such  
25 salary expenses. Such application shall be made by a school district,  
26 after the board of education or trustees have adopted a resolution to do  
27 so and in the case of a city school district in a city with a population  
28 in excess of 125,000 inhabitants, with the approval of the mayor of such  
29 city.

30 b. The claim for an apportionment to be paid to a school district  
31 pursuant to subdivision a of this section shall be submitted to the  
32 commissioner of education on a form prescribed for such purpose, and  
33 shall be payable upon determination by such commissioner that the form  
34 has been submitted as prescribed. Such approved amounts shall be paya-  
35 ble on the same day in September of the school year following the year  
36 in which application was made as funds provided pursuant to subparagraph  
37 (4) of paragraph b of subdivision 4 of section 92-c of the state finance  
38 law, on the audit and warrant of the state comptroller on vouchers  
39 certified or approved by the commissioner of education in the manner  
40 prescribed by law from moneys in the state lottery fund and from the  
41 general fund to the extent that the amount paid to a school district  
42 pursuant to this section exceeds the amount, if any, due such school  
43 district pursuant to subparagraph (2) of paragraph a of subdivision 1 of  
44 section 3609-a of the education law in the school year following the  
45 year in which application was made.

46 c. Notwithstanding the provisions of section 3609-a of the education  
47 law, an amount equal to the amount paid to a school district pursuant to  
48 subdivisions a and b of this section shall first be deducted from the  
49 following payments due the school district during the school year  
50 following the year in which application was made pursuant to subpara-  
51 graphs (1), (2), (3), (4) and (5) of paragraph a of subdivision 1 of  
52 section 3609-a of the education law in the following order: the lottery  
53 apportionment payable pursuant to subparagraph (2) of such paragraph  
54 followed by the fixed fall payments payable pursuant to subparagraph (4)  
55 of such paragraph and then followed by the district's payments to the  
56 teachers' retirement system pursuant to subparagraph (1) of such para-

1 graph, and any remainder to be deducted from the individualized payments  
2 due the district pursuant to paragraph b of such subdivision shall be  
3 deducted on a chronological basis starting with the earliest payment due  
4 the district.

5 S 53. Special apportionment for public pension accruals. a. Notwith-  
6 standing any other provision of law, upon application to the commission-  
7 er of education, not later than June 30, 2018, a school district eligi-  
8 ble for an apportionment pursuant to section 3602 of the education law  
9 shall be eligible to receive an apportionment pursuant to this section,  
10 for the school year ending June 30, 2018 and such apportionment shall  
11 not exceed the additional accruals required to be made by school  
12 districts in the 2004--2005 and 2005--2006 school years associated with  
13 changes for such public pension liabilities. The amount of such addi-  
14 tional accrual shall be certified to the commissioner of education by  
15 the president of the board of education or the trustees or, in the case  
16 of a city school district in a city with a population in excess of  
17 125,000 inhabitants, the mayor of such city. Such application shall be  
18 made by a school district, after the board of education or trustees have  
19 adopted a resolution to do so and in the case of a city school district  
20 in a city with a population in excess of 125,000 inhabitants, with the  
21 approval of the mayor of such city.

22 b. The claim for an apportionment to be paid to a school district  
23 pursuant to subdivision a of this section shall be submitted to the  
24 commissioner of education on a form prescribed for such purpose, and  
25 shall be payable upon determination by such commissioner that the form  
26 has been submitted as prescribed. Such approved amounts shall be payable  
27 on the same day in September of the school year following the year in  
28 which application was made as funds provided pursuant to subparagraph  
29 (4) of paragraph b of subdivision 4 of section 92-c of the state finance  
30 law, on the audit and warrant of the state comptroller on vouchers  
31 certified or approved by the commissioner of education in the manner  
32 prescribed by law from moneys in the state lottery fund and from the  
33 general fund to the extent that the amount paid to a school district  
34 pursuant to this section exceeds the amount, if any, due such school  
35 district pursuant to subparagraph (2) of paragraph a of subdivision 1 of  
36 section 3609-a of the education law in the school year following the  
37 year in which application was made.

38 c. Notwithstanding the provisions of section 3609-a of the education  
39 law, an amount equal to the amount paid to a school district pursuant to  
40 subdivisions a and b of this section shall first be deducted from the  
41 following payments due the school district during the school year  
42 following the year in which application was made pursuant to subpara-  
43 graphs (1), (2), (3), (4) and (5) of paragraph a of subdivision 1 of  
44 section 3609-a of the education law in the following order: the lottery  
45 apportionment payable pursuant to subparagraph (2) of such paragraph  
46 followed by the fixed fall payments payable pursuant to subparagraph (4)  
47 of such paragraph and then followed by the district's payments to the  
48 teachers' retirement system pursuant to subparagraph (1) of such para-  
49 graph, and any remainder to be deducted from the individualized payments  
50 due the district pursuant to paragraph b of such subdivision shall be  
51 deducted on a chronological basis starting with the earliest payment due  
52 the district.

53 S 54. a. Notwithstanding any other law, rule or regulation to the  
54 contrary, any moneys appropriated to the state education department may  
55 be suballocated to other state departments or agencies, as needed, to  
56 accomplish the intent of the specific appropriations contained therein.

1 b. Notwithstanding any other law, rule or regulation to the contrary,  
2 moneys appropriated to the state education department from the general  
3 fund/aid to localities, local assistance account-001, shall be for  
4 payment of financial assistance, as scheduled, net of disallowances,  
5 refunds, reimbursement and credits.

6 c. Notwithstanding any other law, rule or regulation to the contrary,  
7 all moneys appropriated to the state education department for aid to  
8 localities shall be available for payment of aid heretofore or hereafter  
9 to accrue and may be suballocated to other departments and agencies to  
10 accomplish the intent of the specific appropriations contained therein.

11 d. Notwithstanding any other law, rule or regulation to the contrary,  
12 moneys appropriated to the state education department for general  
13 support for public schools may be interchanged with any other item of  
14 appropriation for general support for public schools within the general  
15 fund local assistance account office of prekindergarten through grade  
16 twelve education programs.

17 S 55. Notwithstanding the provision of any law, rule, or regulation to  
18 the contrary, the city school district of the city of Rochester, upon  
19 the consent of the board of cooperative educational services of the  
20 supervisory district serving its geographic region may purchase from  
21 such board for the 2017--2018 school year, as a non-component school  
22 district, services required by article 19 of the education law.

23 S 56. The amounts specified in this section shall be set aside from  
24 the state funds which each such district is receiving from the total  
25 foundation aid: for the purpose of the development, maintenance or  
26 expansion of magnet schools or magnet school programs for the 2017--2018  
27 school year. To the city school district of the city of New York there  
28 shall be paid forty-eight million one hundred seventy-five thousand  
29 dollars (\$48,175,000) including five hundred thousand dollars (\$500,000)  
30 for the Andrew Jackson High School; to the Buffalo city school district,  
31 twenty-one million twenty-five thousand dollars (\$21,025,000); to the  
32 Rochester city school district, fifteen million dollars (\$15,000,000);  
33 to the Syracuse city school district, thirteen million dollars  
34 (\$13,000,000); to the Yonkers city school district, forty-nine million  
35 five hundred thousand dollars (\$49,500,000); to the Newburgh city school  
36 district, four million six hundred forty-five thousand dollars  
37 (\$4,645,000); to the Poughkeepsie city school district, two million four  
38 hundred seventy-five thousand dollars (\$2,475,000); to the Mount Vernon  
39 city school district, two million dollars (\$2,000,000); to the New  
40 Rochelle city school district, one million four hundred ten thousand  
41 dollars (\$1,410,000); to the Schenectady city school district, one  
42 million eight hundred thousand dollars (\$1,800,000); to the Port Chester  
43 city school district, one million one hundred fifty thousand dollars  
44 (\$1,150,000); to the White Plains city school district, nine hundred  
45 thousand dollars (\$900,000); to the Niagara Falls city school district,  
46 six hundred thousand dollars (\$600,000); to the Albany city school  
47 district, three million five hundred fifty thousand dollars  
48 (\$3,550,000); to the Utica city school district, two million dollars  
49 (\$2,000,000); to the Beacon city school district, five hundred sixty-six  
50 thousand dollars (\$566,000); to the Middletown city school district,  
51 four hundred thousand dollars (\$400,000); to the Freeport union free  
52 school district, four hundred thousand dollars (\$400,000); to the Green-  
53 burgh central school district, three hundred thousand dollars  
54 (\$300,000); to the Amsterdam city school district, eight hundred thou-  
55 sand dollars (\$800,000); to the Peekskill city school district, two  
56 hundred thousand dollars (\$200,000); and to the Hudson city school

1 district, four hundred thousand dollars (\$400,000). Notwithstanding the  
2 provisions of this section, a school district receiving a grant pursuant  
3 to this section may use such grant funds for: (i) any instructional or  
4 instructional support costs associated with the operation of a magnet  
5 school; or (ii) any instructional or instructional support costs associ-  
6 ated with implementation of an alternative approach to reduction of  
7 racial isolation and/or enhancement of the instructional program and  
8 raising of standards in elementary and secondary schools of school  
9 districts having substantial concentrations of minority students. The  
10 commissioner of education shall not be authorized to withhold magnet  
11 grant funds from a school district that used such funds in accordance  
12 with this paragraph, notwithstanding any inconsistency with a request  
13 for proposals issued by such commissioner. For the purpose of attendance  
14 improvement and dropout prevention for the 2017--2018 school year, for  
15 any city school district in a city having a population of more than one  
16 million, the setaside for attendance improvement and dropout prevention  
17 shall equal the amount set aside in the base year. For the 2017--2018  
18 school year, it is further provided that any city school district in a  
19 city having a population of more than one million shall allocate at  
20 least one-third of any increase from base year levels in funds set aside  
21 pursuant to the requirements of this subdivision to community-based  
22 organizations. Any increase required pursuant to this subdivision to  
23 community-based organizations must be in addition to allocations  
24 provided to community-based organizations in the base year. For the  
25 purpose of teacher support for the 2017--2018 school year: to the city  
26 school district of the city of New York, sixty-two million seven hundred  
27 seven thousand dollars (\$62,707,000); to the Buffalo city school  
28 district, one million seven hundred forty-one thousand dollars  
29 (\$1,741,000); to the Rochester city school district, one million seven-  
30 ty-six thousand dollars (\$1,076,000); to the Yonkers city school  
31 district, one million one hundred forty-seven thousand dollars  
32 (\$1,147,000); and to the Syracuse city school district, eight hundred  
33 nine thousand dollars (\$809,000). All funds made available to a school  
34 district pursuant to this section shall be distributed among teachers  
35 including prekindergarten teachers and teachers of adult vocational and  
36 academic subjects in accordance with this section and shall be in addi-  
37 tion to salaries heretofore or hereafter negotiated or made available;  
38 provided, however, that all funds distributed pursuant to this section  
39 for the current year shall be deemed to incorporate all funds distrib-  
40 uted pursuant to former subdivision 27 of section 3602 of the education  
41 law for prior years. In school districts where the teachers are repres-  
42 ented by certified or recognized employee organizations, all salary  
43 increases funded pursuant to this section shall be determined by sepa-  
44 rate collective negotiations conducted pursuant to the provisions and  
45 procedures of article 14 of the civil service law, notwithstanding the  
46 existence of a negotiated agreement between a school district and a  
47 certified or recognized employee organization.

48 S 57. Support of public libraries. The moneys appropriated for the  
49 support of public libraries by a chapter of the laws of 2017 enacting  
50 the aid to localities budget shall be apportioned for the 2017-2018  
51 state fiscal year in accordance with the provisions of sections 271,  
52 272, 273, 282, 284, and 285 of the education law as amended by the  
53 provisions of this chapter and the provisions of this section, provided  
54 that library construction aid pursuant to section 273-a of the education  
55 law shall not be payable from the appropriations for the support of  
56 public libraries and provided further that no library, library system or

1 program, as defined by the commissioner of education, shall receive less  
2 total system or program aid than it received for the year 2001-2002  
3 except as a result of a reduction adjustment necessary to conform to the  
4 appropriations for support of public libraries. Notwithstanding any  
5 other provision of law to the contrary the moneys appropriated for the  
6 support of public libraries for the year 2017-2018 by a chapter of the  
7 laws of 2017 enacting the education, labor and family assistance budget  
8 shall fulfill the state's obligation to provide such aid and, pursuant  
9 to a plan developed by the commissioner of education and approved by the  
10 director of the budget, the aid payable to libraries and library systems  
11 pursuant to such appropriations shall be reduced proportionately to  
12 assure that the total amount of aid payable does not exceed the total  
13 appropriations for such purpose.

14 S 58. Subdivision 11 of section 94 of part C of chapter 57 of the laws  
15 of 2004, relating to the support of education, as amended by section  
16 22-a of part A of chapter 56 of the laws of 2014, is amended to read as  
17 follows:

18 11. section seventy-one of this act shall expire and be deemed  
19 repealed June 30, [2017] 2020;

20 S 59. Section 2 of chapter 658 of the laws of 2002, amending the  
21 education law relating to citizenship requirements for permanent certif-  
22 ication as a teacher, as amended by chapter 289 of the laws of 2012, is  
23 amended to read as follows:

24 S 2. This act shall take effect immediately, and shall expire and be  
25 deemed repealed November 30, [2017] 2022.

26 S 60. Paragraph a-1 of subdivision 11 of section 3602 of the education  
27 law, as amended by section 45 of part A of chapter 54 of the laws of  
28 2016, is amended to read as follows:

29 a-1. Notwithstanding the provisions of paragraph a of this subdivi-  
30 sion, for aid payable in the school years two thousand--two thousand one  
31 through two thousand nine--two thousand ten, and two thousand eleven--  
32 two thousand twelve through two thousand [sixteen] SEVENTEEN--two thou-  
33 sand [seventeen] EIGHTEEN, the commissioner may set aside an amount not  
34 to exceed two million five hundred thousand dollars from the funds  
35 appropriated for purposes of this subdivision for the purpose of serving  
36 persons twenty-one years of age or older who have not been enrolled in  
37 any school for the preceding school year, including persons who have  
38 received a high school diploma or high school equivalency diploma but  
39 fail to demonstrate basic educational competencies as defined in regu-  
40 lation by the commissioner, when measured by accepted standardized  
41 tests, and who shall be eligible to attend employment preparation educa-  
42 tion programs operated pursuant to this subdivision.

43 S 61. Paragraph b of subdivision 21 of section 305 of the education  
44 law, as added by chapter 474 of the laws of 1996, is amended to read as  
45 follows:

46 b. The commissioner shall periodically prepare an updated electronic  
47 data file containing actual and estimated data relating to apportion-  
48 ments due and owing during the current school year and projections of  
49 such apportionments for the following school year to school districts  
50 and boards of cooperative educational services from the general support  
51 for public schools, growth and boards of cooperative educational  
52 services appropriations on the following dates: November fifteenth, or  
53 such alternative date as may be requested by the director of the budget  
54 for the purpose of preparation of the executive budget; February  
55 fifteenth, or such alternative date as may be jointly requested by the  
56 chair of the senate finance committee and the chair of the assembly ways

1 and means committee; and May fifteenth. FOR THE PURPOSES OF USING ESTI-  
2 MATED DATA FOR PROJECTIONS OF APPORTIONMENTS FOR THE FOLLOWING SCHOOL  
3 YEAR, WHEN NO SPECIFIC APPORTIONMENT HAS YET BEEN MADE FOR SUCH SCHOOL  
4 YEAR, BUT SUCH APPORTIONMENT HAS A HISTORY OF ANNUAL REAUTHORIZATION,  
5 THE COMMISSIONER SHALL ESTIMATE THE APPORTIONMENT AT THE SAME LEVEL AS  
6 THE PRECEDING SCHOOL YEAR, SUBJECT TO THE ANNUAL APPROVAL OF THE DIREC-  
7 TOR OF THE BUDGET, THE CHAIRPERSON OF THE SENATE FINANCE COMMITTEE AND  
8 THE CHAIRPERSON OF THE ASSEMBLY WAYS AND MEANS COMMITTEE.

9 S 62. Severability. The provisions of this act shall be severable, and  
10 if the application of any clause, sentence, paragraph, subdivision,  
11 section or part of this act to any person or circumstance shall be  
12 adjudged by any court of competent jurisdiction to be invalid, such  
13 judgment shall not necessarily affect, impair or invalidate the applica-  
14 tion of any such clause, sentence, paragraph, subdivision, section, part  
15 of this act or remainder thereof, as the case may be, to any other  
16 person or circumstance, but shall be confined in its operation to the  
17 clause, sentence, paragraph, subdivision, section or part thereof  
18 directly involved in the controversy in which such judgment shall have  
19 been rendered.

20 S 63. This act shall take effect immediately, and shall be deemed to  
21 have been in full force and effect on and after April 1, 2017, provided,  
22 however, that:

23 1. sections one, two, five, sixteen, sixteen-a, twenty-two, twenty-  
24 three, twenty-four, twenty-five, twenty-six, twenty-seven, twenty-eight,  
25 twenty-nine, thirty, thirty-one, thirty-one-a, thirty-one-b, thirty-two,  
26 thirty-three, thirty-four, thirty-five, thirty-eight, forty-eight,  
27 fifty-one, fifty-five, fifty-six, sixty and sixty-one of this act shall  
28 take effect July 1, 2017;

29 2. the amendments to chapter 756 of the laws of 1992, relating to  
30 funding a program for work force education conducted by a consortium for  
31 worker education in New York City, made by sections forty-four and  
32 forty-five of this act, shall not affect the repeal of such chapter and  
33 shall be deemed repealed therewith;

34 3. the amendments to chapter 89 of the laws of 2016, relating to  
35 supplementary funding for dedicated programs for public school students  
36 in the East Ramapo central school district, made by section forty-eight  
37 of this act shall not affect the repeal of such chapter and shall be  
38 deemed repealed therewith;

39 4. the amendments to subdivision 33 of section 305 of the education  
40 law, made by section seven of this act, shall not affect the repeal of  
41 such subdivision and shall be deemed repealed therewith;

42 5. the amendments to subdivision 7 of section 2802 of the education  
43 law, made by section eight of this act, shall not affect the repeal of  
44 such subdivision and shall be deemed repealed therewith;

45 6. the amendments to subdivision 7 of section 3214 of the education  
46 law, made by section nine of this act, shall not affect the repeal of  
47 such subdivision and shall be deemed repealed therewith;

48 7. the amendments to section 2590-c of the education law made by  
49 section nineteen of this act shall not affect the repeal of such section  
50 and shall be deemed repealed therewith;

51 8. the amendments to subdivision 1 of section 2856 of the education  
52 law made by section four of this act shall be subject to the expiration  
53 and reversion of such subdivision pursuant to subdivision d of section  
54 27 of chapter 378 of the laws of 2007, as amended, when upon such date  
55 the provisions of section four-a of this act shall take effect;

1 9. the amendments to paragraphs d-1 and d-2 of subdivision 12 of  
2 section 3602-e of the education law made by section twenty-eight-a of  
3 this act shall not affect the repeal of such paragraphs and shall be  
4 deemed repealed therewith; and

5 10. the amendments to paragraph b-1 of subdivision 4 of section 3602  
6 of the education law made by section sixteen-a of this act shall not  
7 affect the expiration of such paragraph and shall expire therewith.

8 S 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
9 sion, section or part of this act shall be adjudged by any court of  
10 competent jurisdiction to be invalid, such judgment shall not affect,  
11 impair, or invalidate the remainder thereof, but shall be confined in  
12 its operation to the clause, sentence, paragraph, subdivision, section  
13 or part thereof directly involved in the controversy in which such judg-  
14 ment shall have been rendered. It is hereby declared to be the intent of  
15 the legislature that this act would have been enacted even if such  
16 invalid provisions had not been included herein.

17 S 3. This act shall take effect immediately provided, however, that  
18 the applicable effective date of Parts A through YYY of this act shall  
19 be as specifically set forth in the last section of such Parts.