UNIVERSITY OF LOUISVILLE BOARD OF TRUSTEES CONFLICT OF INTEREST STATEMENT
YEAR 7/1/2016 – 6/30/2017

Organization UNIVERSITY OF LOUISVILLE

Name of Individual Sandra A. Frazier

Signature Sandra A. F

Business Transaction with UofL

	YES	NO
1. Other than an employment relationship, do you or a family member conduct business with the UofL? Business transactions include, but are not limited to contracts of sale, lease, license and performance of services, whether initiated during the UofL tax year or ongoing from a prior year. Business transactions also include joint ventures in which either the profits or capital interest of the UofL and of the interested person exceeds 10%.	☑	☐
• If "Yes," describe your business transaction(s) with the UofL: I am member of Tandem Public Relations LLC and we have been on contract with the University of Louisville Foundation since 2010 for public relations services.		
2. Do you or a family member own 35% of an entity (C-corporation, S-corporation, Partnership, LLC or other entity type(s), directly or indirectly, that conducts business with the UofL?	☑	☐
• If "Yes," describe your business transaction(s) with the UofL: Please see response to question #1.		

	Yes	No
3. Do you or a family member, in combination with other "interested person(s)" own 35% of an entity (C-corporation, S-corporation, Partnership, LLC or other entity type), directly or indirectly, that conducts business with the UofL?	☐	☑
• If "Yes," describe your business transaction(s) with the UofL:		
4. Do you or a family member, serve as an officer, director, trustee, or key employee or an entity that does business with the UofL?	☐	☑
• If "Yes," describe your business transaction(s) with the UofL:		
5. Do you or a family member serve as a partner, member, or shareholder of an entity (with ownership interest in excess of 5% of a partnership or professional corporation) that conducts business with the UofL?	☐	☑
• If "Yes," describe your business transaction(s) with the UofL:		

	Yes	No
6. Did a family member receive a compensation payment in excess of $10,000 from the UofL?	☐	☑

- If "Yes," explain:

7. Did you or a family member receive grants or other assistance, including provision of goods, services, or use of facilities from the UofL? Grants include scholarships, fellowships, internships, prizes, and awards.	☐	☑

- If "Yes," explain:

8. Do you have 35% control in an entity that received grants or other assistance, including provision of goods, services, or use of facilities from the UofL?	☐	☑

- If "Yes," explain:

9. Do you have any loans to or from the UofL?	☐	☑

- If "Yes," explain.

	Yes	No
10. Are you aware of any excess benefit transactions to report? An excess benefit transaction is where the UofL directly or indirectly provided an economic benefit where the value of the benefit exceeds the value of the consideration received by the UofL.	☐	☑

- If "Yes," explain.

Business and Family Relationships with Interested Persons

11. Do you have a family or business relationship with any other officer, director, trustee, or key employee of the UofL?	☐	☐

Yes. I serve as a director of Glenview Trust company with David Grissom. I also served as a board member of Greater Louisville Inc. with Diane Medley and Brian Cromer. Diane Medley is head of the accounting firm that

For each family and business relationship, identify the persons and indicate family or business relationship.

David Grissom is chairman of the Glenview Trust company.

Diane Medley is the former board chair and currently a member of the Greater Louisville Inc. board of directors.

Brian Cromer serves on the GLI board currently in the capacity as board legal counsel

(over)

I stepped down from the Greater Louisville Inc. board in January 2017, and have served on the Glenview Trust Company's board of directors since November 2010.

Additionally, I am a [illegible] in the Glenview Trust Company, and serve as an advisor to several trusts managed by Glenview or are in custody under Glenview's management.

Lastly, Diane Medley is head of Mountjoy Chilton Medley, an accounting firm