

**United States Court of Appeals**  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

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**No. 15-1345****September Term, 2016****SEC-3-15006****Filed On:** June 26, 2017

Raymond J. Lucia Companies, Inc. and  
Raymond J. Lucia,

Petitioners

v.

Securities and Exchange Commission,

Respondent

**BEFORE:** Garland, Chief Judge,\* and Henderson, Rogers, Tatel, Brown,  
Griffith, Kavanaugh, Srinivasan, Millett, Pillard, and Wilkins,  
Circuit Judges

**J U D G M E N T**

This cause came on to be heard on the petition for review of an order of the Securities & Exchange Commission and was argued by counsel. On consideration thereof, it is

**ORDERED** and **ADJUDGED** that the petition for review is denied by an equally divided court. See D.C. Cir. Rule 35(d).

**Per Curiam**

**FOR THE COURT:**  
Mark J. Langer, Clerk

BY: /s/  
Michael C. McGrail  
Deputy Clerk

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\* Chief Judge Garland did not participate in this matter.