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Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)
Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)
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## Filer's Information

## Wray, Christopher A

Director of the Federal Bureau of Investigation, Department of Justice

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Wray, Christopher A. [electronically signed on 06/14/2017 by Wray, Christopher A. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Lofthus, Lee, Certifying Official [electronically signed on 06/29/2017 by Lofthus, Lee in Integrity.gov]

Other review conducted by
/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 06/29/2017 by Shaw, Cynthia K in Integrity.gov]
U.S. Office of Government Ethics Certification
/s/ Apol, David, Certifying Official [electronically signed on 06/29/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

| \# | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | King \& Spalding, LLP | Atlanta, Georgia | Law Firm | Partner | 8/2005 | Present |

## 2. Filer's Employment Assets \& Income and Retirement Accounts

| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | U.S. brokerage account (cash) | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 2 | ishares Russell 2000 ETF | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 3 | Blackrock Strategic Income Fund | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 4 | Lord Abbett Inflation Focused Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \\ & \hline \end{aligned}$ |  | \$5,001-\$15,000 |
| 5 | Vanguard Total Bond Mkt Index Fund | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 6 | DFA US Large Cap Value Fund | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 7 | Evermore Global Value Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \\ & \hline \end{aligned}$ |  | \$1,001-\$2,500 |
| 8 | Lazard Emerging Mkts Equity Fund | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 9 | Primecap Odyssey Growth Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \\ & \hline \end{aligned}$ |  | \$1,001-\$2,500 |
| 10 | King \& Spalding LLP Cash Balance Pension Plan | N/A | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ | Dividends Interest | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |
| 11 | U.S. brokerage account (cash) | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION |  | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 12 | Advisor Series Trust Semper MBS Total Return Fund |  | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 13 | Vanguard Dividend Growth Fund |  | Yes | $\begin{aligned} & \$ 250,001 \text { - } \\ & \$ 500,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 14 | King \& Spalding LLP partnership share |  | N/A |  | Earned income allocation from law firm partnership | \$9,241,463 |
| 15 | King \& Spalding LLP anticipated return of paid-in capital | See Endnote | N/A | $\begin{aligned} & \$ 1,000,001 \text { - } \\ & \$ 5,000,000 \end{aligned}$ |  | None (or less than \$201) |
| 16 | King \& Spalding LLP anticipated partnership share | See Endnote | N/A | $\begin{aligned} & \$ 1,000,001- \\ & \$ 5,000,000 \end{aligned}$ |  | None (or less than \$201) |

## 3. Filer's Employment Agreements and Arrangements

| \# | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS |
| :--- | :--- | :--- | :--- |
| 1 | King \& Spalding LLP | See Endnote | Atlanta, Georgia | | Following my separation from the firm, I will receive a |
| :--- |
| lump sum distribution of my King \& Spalding cash |
| balance pension plan. The firm will not make further |
| contributions after my separation. |

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| \# | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
| :---: | :---: | :---: | :---: |
| 1 | King \& Spalding, LLP | Atlanta, Georgia | Legal services as law firm partner |
| 2 | Credit Suisse Group, AG | Zurich, Outside U.S. | legal services |
| 3 | Johnson \& Johnson | New Brunswick, New Jersey | legal services |
| 4 | Wells Fargo \& Co. | San Francisco, California | legal services |
| 5 | Huntington Ingalls Industries, Inc. | Newport News, Virginia | legal services |
| 6 | Chevron Corp. | San Ramon, California | legal services |
| 7 | SunTrust Banks, Inc. | Atlanta, Georgia | legal services |
| 8 | Fan Duel, Inc. | New York, New York | legal services |
| 9 | DraftKings, Inc. | Boston, Massachusetts | legal services |
| 10 | Governor Chris Christie | Trenton, New Jersey | legal services |
| 11 | Officer of Standard Chartered Bank | London, Outside U.S. | legal services |
| 12 | Georgia-Pacific Corp. | Atlanta, Georgia | legal services |
| 13 | Medtronic PLC | Dublin, Outside U.S. | legal services |
| 14 | Ameritox | Baltimore, Maryland | legal services |
| 15 | PricewaterhouseCoopers Auditores Independentes | Sao Paulo, Outside U.S. | legal services |
| 16 | Alcatel-Lucent, S.A. | BoulogneBillancourt, Outside U.S. | legal services |
| 17 | 3 confidential clients (U.S. organizations) |  | legal services (names cannot be disclosed because they are subject to non-public investigations) |


| $\#$ | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
| :--- | :--- | :--- | :--- |
| 18 | Life Care Centers of America, Inc. | Cleveland, <br> Tennessee | Court appointment as Special Master to resolve discovery dispute |
| 19 | 1 confidential client (U.S. organization) |  | Iegal services (name cannot be disclosed because it is subject to D.C. Bar <br>  |

## 5. Spouse's Employment Assets \& Income and Retirement Accounts

| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE |
| :--- | :--- | :--- | :--- | :--- |
| 1 | iShares MSCI Eurozone ETF | Yes | $\$ 1,001-\$ 15,000$ | $\$ 2$ |
|  |  |  | $\$ 201-\$ 1,000$ |  |

## 6. Other Assets and Income

| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | U.S. bank account (cash) | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 2 | iShares MSCI Eurozone ETF | Yes | $\begin{aligned} & \$ 1,000,001- \\ & \$ 5,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 3 | VanEck Vectors Oil Services ETF | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100.000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 4 | WisdomTree Japan Hedged Equity ETF | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 5 | Advisor Series Trust Semper MBS Total Return Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |
| 6 | AQR Managed Futures HV Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | None (or less than \$201) |
| 7 | Blackrock Strategic Income Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 8 | DFA US Large Value Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 9 | Evermore Global Value Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1.000,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 10 | JP Morgan US Large Cap Core Plus Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 11 | JP Morgan Large Cap Growth Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | None (or less than \$201) |
| 12 | Lazard Emerging Mkts Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 13 | Lord Abbett Inflation Focused Fund | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 14 | Lord Abbett Short Duration Income Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 15 | Maingate Funds MLP Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |
| 16 | Primecap Odyssey Growth Fund | N/A | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 17 | Vanguard Dividend Growth Fund | Yes | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 18 | Vanguard Intermediate-Term Tax-Exempt Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 19 | Vanguard 500 Index Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 20 | World Funds REMS Intl Real Estate Value Fund | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 21 | iShares Russell 2000 ETF | Yes | $\begin{aligned} & \$ 250,001- \\ & \$ 500,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 22 | Evermore Global Value Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$201-\$1,000 |
| 23 | U.S. bank account (cash) | N/A | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ | Interest | \$5,001-\$15,000 |
| 24 | U.S. bank account (cash) | N/A | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ | Interest | \$201-\$1,000 |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 25 | Bank of America Common Stock BAC | N/A | \$1,001-\$15,000 | Dividends | None (or less than \$201) |
| 26 | Coca Cola Common Stock KO | N/A | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ | Dividends | \$2,501-\$5,000 |
| 27 | Frontier Communications Common Stock FTR | N/A | None (or less than $\$ 1,001$ ) | Dividends | None (or less than \$201) |
| 28 | Regions Financial Common Stock RF | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ | Dividends | \$201-\$1,000 |
| 29 | Suntrust Bank Common Stock STI | N/A | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ | Dividends | \$2,501-\$5,000 |
| 30 | Verizon Common Stock VZ | N/A | \$1,001-\$15,000 | Dividends | \$1,001-\$2,500 |
| 31 | VA 529 Savings Plan | No |  |  |  |
| 31.1 | AMCAP Fund 529 Plan | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 31.2 | EuroPacfic Growth Fund 529 | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 31.3 | Fundamental Investors Fund 529 | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 31.4 | Smallcap World Fund 529 | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 32 | Consolidated Ventures Inc. (the value/income of individual holdings is below the reporting threshold) | No | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33 | Howell McDowell Management Company LLP (Family Partnership) |  |  |  |  |
| 33.1 | Blackrock High Yield Bond Fund | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$5,001-\$15,000 |
| 33.2 | MFC iShares 1-3 yr. credit bond ETF | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 33.3 | Chevron Common Stock CVX | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.4 | DFA Emerging Markets Core Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.5 | DFA International Smallcap Fund | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$201-\$1,000 |
| 33.6 | DFA US Smallcap Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$201-\$1,000 |
| 33.7 | MSCI iShares EAFE ETF | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 33.8 | Home Depot Common Stock HD | N/A | $\begin{aligned} & \$ 500,001- \\ & \$ 1,000,000 \end{aligned}$ | Dividends | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 33.9 | Hewlett Packard Enterprises Common Stock HPE | N/A | \$1,001-\$15,000 | Dividends | None (or less than \$201) |
| 33.10 | HP Inc Common Stock HPQ | N/A | \$1,001-\$15,000 | Dividends | None (or less than \$201) |
| 33.11 | Kimberly-Clark Common Stock KMB | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ | Dividends | \$1,001-\$2,500 |
| 33.12 | Coca Cola Co Common Stock KO | N/A | $\begin{aligned} & \$ 250,001 \text { - } \\ & \$ 500,000 \end{aligned}$ | Dividends | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |
| 33.13 | McDonalds Corp Common Stock MCD | N/A | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ | Dividends | \$5,001-\$15,000 |
| 33.14 | MFC Flexshares Stoxx Global Broad Infrastructure ETF | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 33.15 | MFB Northern Funds Global Real Estate Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 33.16 | MFB Northern Funds US Govt Money Market Fund | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.17 | MFB Northern Funds Intl Equity Index Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$2,501-\$5,000 |
| 33.18 | MFB Northern Funds Mid Cap Index Fund | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$201-\$1,000 |
| 33.19 | MFC Flexshares Trust Quality Dividend ETF | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 33.20 | Southern Company Common Stock SO | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ | Dividends | \$1,001-\$2,500 |


| $\#$ | DESCRIPTION | EIF | VALUE | INCOME TYPE |
| :--- | :--- | :--- | :--- | :--- |
| 33.21 | AT\&T Common Stock T | INCOME |  |  |
| AMOUNT |  |  |  |  |


| $\#$ | DESCRIPTION | EIF | VALUE | INCOME <br> AMOUNT |
| :--- | :--- | :--- | :--- | :--- |
| 33.37 | Allergan Inc | Nome (or less |  |  |
| N |  |  |  |  |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.53 | Becton Dickinson \& Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.54 | Baker Hughes Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.55 | Biogen | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.56 | The Bank of New York Mellon Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.57 | Blackrock Inc Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.58 | Bristol-Myers Squibb | N/A | \$1,001-\$15,000 |  | \$201-\$1,000 |
| 33.59 | Berkshire Hathaway B | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.60 | Boston Scientific Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.61 | Citigroup Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.62 | Caterpillar Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.63 | Chubb Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.64 | Crown Castle Intl Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.65 | Celgene Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.66 | Charter Communications, Inc. - Class A Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.67 | Colgate-Palmolive Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.68 | Comcast Corporation - Class A Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.69 | CME Group Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.70 | Cummins Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.71 | Capital One Financial | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.72 | Conoco Phillips | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.73 | Costco Wholesale Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.74 | Salesforce | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.75 | Cisco Systems Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.76 | CSX Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.77 | CVS Caremark Corp. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.78 | Chevron Corp | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.79 | Dominion Resources Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.80 | DU Ponte | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.81 | Deere \& Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.82 | Discover Financial Services | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.83 | Danaher Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.84 | Walt Disney Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.85 | Dow Chemical | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.86 | Duke Energy Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.87 | Electronic Arts | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.88 | eBay Inc. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.89 | Ecolab Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.90 | Edison IntI | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.91 | Emerson Electric Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.92 | Enbridge Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.93 | EOG Resources | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.94 | Equinix, Inc. - Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.95 | Edwards Lifesciences Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.96 | Exelon Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.97 | Facebook, Inc. - Class A Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.98 | FedEx Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.99 | Fiserv Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.100 | Twenty-First Century Fox, Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.101 | General Dynamics | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.102 | General Electric Co | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.103 | Gilead Sciences Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.104 | General Mills | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.105 | Corning Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.106 | General Motors Company | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.107 | Alphabet GOOG | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.108 | Alphabet GOOGL | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.109 | Goldman Sachs Group Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.110 | Halliburton Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.111 | Welltower REIT | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.112 | Home Depot Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.113 | Honeywell Intl Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.114 | Hewlett-Packard Enterprises | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.115 | HP Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.116 | Humana Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.117 | Intl Business Machines Corp | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.118 | IntercontinentalExchange | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.119 | Intel Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.120 | Intl Paper Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.121 | Ingersoll-Rand Plc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.122 | Intuitive Surgical Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.123 | ILL Tool | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.124 | Johnson \& Johnson | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.125 | JP Morgan Chase \& Co | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.126 | Kraft Heinz | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.127 | Kimberly-Clark | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.128 | Kinder Morgan Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.129 | Coca-Cola Co | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.130 | Lilly Eli \& Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.131 | Lockheed Martin | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.132 | Lowes | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.133 | Lam Research Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.134 | Southwest Airlines Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.135 | Mastercard Inc A | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.136 | Marriott International - Class A Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.137 | McDonald's | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.138 | McKesson Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.139 | Moody's | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.140 | Mondelez International Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.141 | Medtronic Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.142 | Metlife Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.143 | Marsh \& McLennan Companies | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.144 | 3 M Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.145 | Altria Group Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.146 | Monsanto Co. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.147 | Marathon Petroleum Corp. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.148 | Merck \& Co Inc | N/A | \$1,001-\$15,000 | Dividends | None (or less than \$201) |
| 33.149 | Morgan Stanley | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.150 | Microsoft Corp | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ | Dividends | \$201-\$1,000 |
| 33.151 | Micron Technology Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.152 | NextEra Energy Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.153 | NetFlix Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.154 | NIKE Inc B | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.155 | Northrop Grumman Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.156 | Norfolk Southern Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.157 | Nvidia Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.158 | Newell brands | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.159 | Omnicom Group | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.160 | Oracle Corp | N/A | \$1,001-\$15,000 | Dividends | None (or less than \$201) |
| 33.161 | O Reilly Automotive | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.162 | Occidental Petroleum | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.163 | The Priceline Group Inc. - Common Stock | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.164 | PepsiCo Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.165 | Pfizer Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.166 | Procter \& Gamble | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.167 | ProLogis Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.168 | Philip Morris International | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.169 | PNC Finl Services Group | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.170 | PPG Industries Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.171 | Prudential Financial Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.172 | Public Storage | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.173 | Phillips 66 | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.174 | Praxair Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.175 | Pioneer Natural Resources | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.176 | Paypal | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.177 | QUALCOMM Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.178 | Reynolds American Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.179 | Raytheon Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.180 | Starbucks Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.181 | Schwab Charles Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.182 | Schlumberger Ltd | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.183 | Southern Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.184 | Simon Property Group | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.185 | S\&P Global Inc. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.186 | SunTrust Banks Inc (GA) | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.187 | State Street Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.188 | Constellation Brands Inc A | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.189 | Stryker Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.190 | Sysco Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.191 | AT\&T Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.192 | TE Connectivity Ltd. | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.193 | Target Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.194 | TJX Cos Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.195 | Thermo Fisher Scientific | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.196 | Travelers Cos Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.197 | Time Warner Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.198 | Texas Instruments Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.199 | United Health Group | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.200 | Union Pacific Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 33.201 | United Parcel Service Inc B | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.202 | US Bancorp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.203 | United Technologies Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.204 | Visa Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.205 | Valero Energy Corp | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.206 | Vertex Pharmaceuticals Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.207 | Verizon Communications Inc | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.208 | Walgreen Co | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.209 | Wells Fargo \& Co | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.210 | Wal-Mart Stores | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.211 | Exxon Mobil Corp | N/A | \$1,001-\$15,000 | Dividends | \$201-\$1,000 |
| 33.212 | Yahoo Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.213 | Yum! Brands Inc | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 33.214 | zimmer biomet | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 34 | Family Partnership Bypass Trust (discretionary trust) | N/A |  | Distribution was discretionary distribution to spouse as beneficiary of discretionary trust. | \$139,180 |


| $\#$ | DESCRIPTION | EIF | VALUE | INCOME TYPE |
| :--- | :--- | :--- | :--- | :--- |
| INCOME <br> AMOUNT |  |  |  |  |
|  | Money Market Fund | Yes | $\$ 1,000,001-$ | $\$ 15,001-$ |
|  |  |  | $\$ 5,000,000$ | $\$ 50,000$ |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| \# | CREDITOR NAME | TYPE | AMOUNT | YEAR INCURRED | RATE | TERM |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Wells Fargo | Mortgage on Personal Residence | $\begin{aligned} & \$ 1,000,001- \\ & \$ 5,000,000 \end{aligned}$ | 2013 | 3.875\% | 30 years |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

| PART | $\#$ | ENDNOTE |
| :--- | :--- | :--- |
| 2. | 15 | Anticipated return of capital paid into law firm account to be paid upon separation from firm. |
| 2. | 16 | Anticipated partnership share to be paid upon separation from firm. |
| 3. | 1 | I have no other defined benefit plan from King \& Spalding. |

## Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets \& Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $\$ 200$ during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $\$ 1,000$ or (2) produced more than $\$ 200$ in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $\$ 0$ - $\$ 200$ or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)


## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## 5. Spouse's Employment Assets \& Income and Retirement Accounts

## Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $\$ 1,000$ during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $\$ 200$ during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $\$ 1,000$ or (2) produced more than $\$ 200$ in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $\$ 0-\$ 200$ or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $\$ 1,000$ or (2) produced more than $\$ 200$ in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $\$ 5,000$ or less (unless more than $\$ 200$ of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $\$ 0-\$ 200$ or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $\$ 1,000$ made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over $\$ 10,000$ that the filer, the filer's spouse or dependent child owed at any time during the reporting period.
This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $\$ 10,000$ at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $\$ 375$ that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $\$ 375$ that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $\$ 150$ or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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