Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Compton, Jerome Paul

General Counsel, Department of Housing and Urban Development

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- **Committee on Banking, Housing, and Urban Affairs**

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Compton, Jerome Paul [electronically signed on 03/28/2017 by Compton, Jerome Paul in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ CRUCIANI, LINDA M, Certifying Official [electronically signed on 05/26/2017 by CRUCIANI, LINDA M in Integrity.gov]

Other review conducted by
/s/ Allen, Lindsey, Ethics Official [electronically signed on 05/26/2017 by Allen, Lindsey in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Apol, David, Certifying Official [electronically signed on 05/31/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Bradley Arant Boult Cummings LLP	Birmingham, Alabama	Law Firm	Partner	5/1989	Present
2	Brother Bryan Mission	Birmingham, Alabama	Non-Profit	Director	1/2017	Present
3	Truman Scholars Association	Washington, District of Columbia	Non-Profit	Director	11/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bradley Arant Boult Cummings LLP (law firm)	N/A		Partnership Share - Includes Preferred return on capital account computed at prevailing prime rate (from the Wall Street Journal Rate Table).	$1,172,467
2	Bradley Arant Boult Cummings LLP capital account	N/A	$250,001 - $500,000		None (or less than $201)
3	AT&T - T	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
4	Alphabet - GOOGL	N/A	$1,001 - $15,000		None (or less than $201)
5	Alphabet C - GOOG	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Central Europe Russia and Turkey Fnd Inc - CEE	Yes	$1,001 - $15,000		None (or less than $201)
7	Express Script - ESRX	N/A	$1,001 - $15,000		None (or less than $201)
8	FedEx - FDX	N/A	$1,001 - $15,000		None (or less than $201)
9	HP Enter. - HPE	N/A	$1,001 - $15,000		None (or less than $201)
10	HP Inc - HP	N/A	$1,001 - $15,000		None (or less than $201)
11	Intel - INTC	N/A	$1,001 - $15,000		None (or less than $201)
12	McKesson - MCK	N/A	$15,001 - $50,000		None (or less than $201)
13	Merck - MRK	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
14	Motorola - MSI	N/A	$1,001 - $15,000		None (or less than $201)
15	American Century Select Fund Investor Class - TWCIX	Yes	$15,001 - $50,000		$201 - $1,000
16	Fidelity® Growth & Income Portfolio - FGRIX	Yes	$15,001 - $50,000		$201 - $1,000
17	iPath S&P 500 VIX Short Term Futures TM ETN - VXX	Yes	$1,001 - $15,000		None (or less than $201)
18	iPath® Bloomberg Cmdty TR ETN - DJP	Yes	$1,001 - $15,000		None (or less than $201)
19	IShares MBS - MBB	Yes	$1,001 - $15,000		$201 - $1,000
20	Powershares QQQ	Yes	$15,001 - $50,000		$201 - $1,000
21	SPDR Euro Stoxx - FEZ	Yes	$1,001 - $15,000		None (or less than $201)
22	Schwab Government Money fund - SWGXX	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Schwab Cash Reserves - SWSXX	Yes	$1,001 - $15,000		None (or less than $201)
24	Coca Cola - KO	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
25	Regions Financial Corp - RF	N/A	$1,001 - $15,000		None (or less than $201)
26	Twitter - TWTR	N/A	$1,001 - $15,000		None (or less than $201)
27	SPDR S&P Bank - KBE	Yes	$1,001 - $15,000		None (or less than $201)
28	SPDR S&P Oil - XOP	Yes	$1,001 - $15,000		None (or less than $201)
29	SPDR S&P 500 - SPY	Yes	$1,001 - $15,000		$201 - $1,000
30	Vanguard FTSE Pacific ETF - VPL	Yes	$1,001 - $15,000		$201 - $1,000
31	Putnam Equity Income Fund Class Y - PEIYX	Yes	$100,001 - $250,000		$2,501 - $5,000
32	Vanguard Institutional Index Fund Institutional Shares - VINIX	Yes	$250,001 - $500,000		$5,001 - $15,000
33	Vanguard PRIMECAP Fund Admiral Shares - VPMAX	Yes	$100,001 - $250,000		$2,501 - $5,000
34	AB Discovery Value Fund Class I - ABSIX	Yes	$100,001 - $250,000		$2,501 - $5,000
35	American Beacon Small Cap Value Fund Class Institutional - AVFIX	Yes	$50,001 - $100,000		$1,001 - $2,500
36	Harbor Small Cap Growth Fund Institutional Class - HASGX	Yes	$50,001 - $100,000		$1,001 - $2,500
37	Vanguard Mid cap Admiral - VIMAX	Yes	$100,001 - $250,000		$1,001 - $2,500
38	American Funds EuroPacific Growth Fund® Class R-6 - RERGX	Yes	$500,001 - $1,000,000		$5,001 - $15,000
39	Victory Trivalent Intl Sm Cap - MISAX	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40	Fidelity Strategic Income - FSICX	Yes	$50,001 - $100,000		$2,501 - $5,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Bradley Arant Boult Cummings LLP	Birmingham, Alabama	Pursuant to the Partnership Agreement, partners in Bradley who withdraw from the partnership are entitled to recieve a partnership share as long as their capital account remains with the firm. I will forfiet any partnership share relating to any period after my resignation from the firm.	1/2009
2	Bradley Arant Boult Cummings LLP	Birmingham, Alabama	I will not continue to participate in this defined contribution plan after my separation.	1/1990
3	Bradley Arant Boult Cummings LLP	Birmingham, Alabama	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive a lump sum payment of my capital account. This payment may be made up to 24 months after my resignation.	1/2009

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Bradley Arant Boult Cummings LLP	Birmingham, Alabama	Attorney (Partner) at law firm (500+ attorneys total); Chairman of Finance Committee
2	Gateway Companies	Florence, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
3	Hall Housing Investment, Inc.	Dothan, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
4	Bayer Properties Incorporated	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
5	Servisfirst Bank	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Bank of Pine Hill	Pine Hill, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
7	Bank of Ozarks	Atlanta, Georgia	legal services (client of Bradley Arant Boult Cummings LLP)
8	Enhanced Capital Partners, Inc.	New Orleans, Louisiana	legal services (client of Bradley Arant Boult Cummings LLP)
9	Salvation Army	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
10	Alabama Affordable Housing Association	Tuscaloosa, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
11	Associated Long Term Care Insurance Company, Inc.	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
12	Beaver, Rudolph H.	Albertville, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
13	Woodlawn Foundation, Inc.	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
14	FR Bham, LLC	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
15	Henderson Bancshares, Inc./Troy Bank and Trust co.	Troy, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
16	Legacy Lodging, LLC	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
17	Life Insurance Company of Alabama	Gadsden, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
18	State of Alabama, State Banking Department	Montgomery, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
19	Ebsco Industrist/Realty/Pradco Client Group	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
20	Oakworth Captial Bank	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
21	Morrison Avenue Capital Partners LLC	Carmel, California	legal services (client of Bradley Arant Boult Cummings LLP)
22	Development Services, Inc.	Decatur, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
23	First Metro Bank	Muscle Shoals, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
24	Arlington Partners, LLC	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
25	Franklin American Mortgage Company	Franklin, Tennessee	legal services (client of Bradley Arant Boult Cummings LLP)
26	Centennial Casualty Company	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
27	The Bran Banking Company	Lawrenceville, Georgia	legal services (client of Bradley Arant Boult Cummings LLP)
28	Alabama Consumer Finance Association	Talladega, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
29	Apartment Developers, Inc.	Auburn, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
30	Sterne Agee & Leach, Inc./Trust Company of Sterne Agee	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
31	Olympia Diversified Construction Corporation	Albertville, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
32	Jefferson County Office of Community and Economic Development	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
33	Rappuhn, Allan	Florence, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
34	Highland Commercial Mortgage, LLC	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
35	Greer Construction, LLC	Rogersville, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
36	Alabama Credit Corporation	Mobile, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
37	Bryant Bank/Greene Group	Tuscaloosa, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
38	PRN Capital, LLC	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
39	BB&T Bank	Winston-Salem, North Carolina	legal services (client of Bradley Arant Boult Cummings LLP)
40	BBVA Compass Bank	Birmingham, Alabama	legal services (client of Bradley Arant Boult Cummings LLP)
41	Wells Fargo Bank	Charlotte, North Carolina	legal services (client of Bradley Arant Boult Cummings LLP)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Early Arts Day School (private preschool) - teacher	N/A		salary	
2	Schwab Govt Money Fund - SWGXX	Yes	$1,001 - $15,000		None (or less than $201)
3	ConocoPhillips - COP	N/A	$1,001 - $15,000		None (or less than $201)
4	Dell - DVMT	N/A	$1,001 - $15,000		None (or less than $201)
5	Phillips 66 - PSX	N/A	$1,001 - $15,000		None (or less than $201)
6	Teleflex - TFX	N/A	$1,001 - $15,000		None (or less than $201)
7	United Health - UNH	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
8	Fidelity® Growth & Income Portfolio - FGRIX	Yes	$15,001 - $50,000		$1,001 - $2,500
9	Janus Global Research Fund Class T Shares - JAWWX	Yes	$1,001 - $15,000		$201 - $1,000
10	Schwab Health Care Fund - SWHFX	Yes	$15,001 - $50,000		$1,001 - $2,500
11	Ishares Global 100 - IOO	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	iShares Lehman Intermdte Credit Bnd (ETF) - CIU	Yes	$1,001 - $15,000		$201 - $1,000
13	Ishares JPX Nikkei 400 - JPXN	Yes	$1,001 - $15,000		$201 - $1,000
14	PowerShares Build America Bond Portfolio - BAB	Yes	$15,001 - $50,000		$201 - $1,000
15	Powershares QQQ - QQQ	Yes	$15,001 - $50,000		$201 - $1,000
16	Spdr Euro Stoxx 50 - FEZ	Yes	$1,001 - $15,000		None (or less than $201)
17	Vanguard Emerging Markets Stock Index Fd - VWO	Yes	$1,001 - $15,000		$201 - $1,000
18	SPDR S&P 500 ETF Trust - SPY	Yes	$15,001 - $50,000		$201 - $1,000
19	Vanguard Sm Cap - VB	Yes	$1,001 - $15,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	rental property - Rosemary Beach, Florida	N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
2	Undeveloped Timberland Butler County, Alabama	N/A	$100,001 - $250,000		None (or less than $201)
3	Undeveloped Timberland - Conecuh County, Alabama (Morgan)	N/A	$50,001 - $100,000		None (or less than $201)
4	Undeveloped Timberland - Butler County (Manningham)	N/A	$100,001 - $250,000		None (or less than $201)
5	Undeveloped Timberland - Conecuh county, Alabama (Simmons)	N/A	$50,001 - $100,000		None (or less than $201)
6	Gold coins	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Silver bars / coins	N/A	$15,001 - $50,000		None (or less than $201)
8	Southpoint Bancshares - common stock	N/A	$15,001 - $50,000		None (or less than $201)
9	Philatelic collection	N/A	$1,001 - $15,000		None (or less than $201)
10	US Bank #1 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
11	Healthsouth - HLS	N/A	$1,001 - $15,000		None (or less than $201)
12	Motorola Solutions Inc - MSI	N/A	$1,001 - $15,000		None (or less than $201)
13	Oracle Corp - ORCL	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
14	Southern Co - SO	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
15	Whole Foods Market Inc - WFM	N/A	$1,001 - $15,000		None (or less than $201)
16	Yum! Brands Inc - YUM	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
17	Yum China Holdings - YUMC	N/A	$1,001 - $15,000		None (or less than $201)
18	US Bank #2 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
19	Allstate Corp - ALL	N/A	$1,001 - $15,000		None (or less than $201)
20	Alphabet A - GOOGL	N/A	$1,001 - $15,000		None (or less than $201)
21	Amazon - AMZN	N/A	$1,001 - $15,000		None (or less than $201)
22	Becton Dickinson - BDX	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	CVS Health - CVS	N/A	$1,001 - $15,000		None (or less than $201)
24	Celgene - CELG	N/A	$1,001 - $15,000		None (or less than $201)
25	Express Scripts - ESRX	N/A	$1,001 - $15,000		None (or less than $201)
26	General Dynamics - GD	N/A	$1,001 - $15,000		None (or less than $201)
27	Halliburton - HAL	N/A	$1,001 - $15,000		None (or less than $201)
28	Home Depot - HD	N/A	$1,001 - $15,000		None (or less than $201)
29	Intercontinental Exchange - ICE	N/A	$1,001 - $15,000		None (or less than $201)
30	JP Morgan Chase - JPM	N/A	$1,001 - $15,000		None (or less than $201)
31	Kansas City Southern - KSU	N/A	$1,001 - $15,000		None (or less than $201)
32	Estee Lauder - EL	N/A	$1,001 - $15,000		None (or less than $201)
33	Microsoft - MSFT	N/A	$1,001 - $15,000		None (or less than $201)
34	O'Reilly Automotive - ORLY	N/A	$1,001 - $15,000		None (or less than $201)
35	Pioneer Natural Resources - PXD	N/A	$1,001 - $15,000		None (or less than $201)
36	Priceline Group - PCLN	N/A	$1,001 - $15,000		None (or less than $201)
37	Salesforce - CRM	N/A	$1,001 - $15,000		None (or less than $201)
38	Synchrony - SYF	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Visa Inc - V	N/A	$1,001 - $15,000		None (or less than $201)
40	Allergan PLS - AGN	N/A	$1,001 - $15,000		None (or less than $201)
41	Ingersoll Rand - IR	N/A	$1,001 - $15,000		None (or less than $201)
42	NXP Semiconductor - NXPI	N/A	$1,001 - $15,000		None (or less than $201)
43	Broadcom - AVGO	N/A	$1,001 - $15,000		None (or less than $201)
44	Schwab Cash Reserves - SWSXX	Yes	$50,001 - $100,000		$201 - $1,000
45	Janus Enterprise Fund Class T - JAENX	Yes	$1,001 - $15,000		$201 - $1,000
46	Schwab Small-Cap Equity - SWSCX	Yes	$1,001 - $15,000		None (or less than $201)
47	iPath Bloomberg Commodity Index Total Return ETN - DJP	Yes	$1,001 - $15,000		None (or less than $201)
48	SPDR S&P 500 - SPY	Yes	$1,001 - $15,000		$201 - $1,000
49	Vanguard Dividend Appreciation ETF - VIG	Yes	$1,001 - $15,000		$201 - $1,000
50	Schwab Money Market - SWMXX	Yes	$1,001 - $15,000		None (or less than $201)
51	Merck - MRK	N/A	$1,001 - $15,000		None (or less than $201)
52	IShares Russell 2000 - IWM	Yes	$1,001 - $15,000		$201 - $1,000
53	Powershares QQQ - QQQ	Yes	$15,001 - $50,000		$201 - $1,000
54	HEALTH CARE SELECT SECTOR SPDR - XLV	Yes	$1,001 - $15,000		None (or less than $201)
55	SPDR Euro Stoxx 50 - FEZ	Yes	$1,001 - $15,000		$201 - $1,000
56	SPDR S&P 500 - SPY	Yes	$15,001 - $50,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Vanguard FTSE Pacific - VPL	Yes	$1,001 - $15,000		$201 - $1,000
58	Vanguard Growth - VUG	Yes	$1,001 - $15,000		$201 - $1,000
59	Vanguard Mega Cap Growth - MGK	Yes	$1,001 - $15,000		$201 - $1,000
60	US Bank #3 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
61	American Express - AXP	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
62	Cisco - CSCO	N/A	$1,001 - $15,000		None (or less than $201)
63	Comcast - CMCSA	N/A	$1,001 - $15,000		None (or less than $201)
64	General Electric - GE	N/A	$1,001 - $15,000		None (or less than $201)
65	JP Morgan Chase & Co - JPM	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
66	Pfizer	N/A	$1,001 - $15,000		None (or less than $201)
67	UBS note linked to Euro Stoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
68	JPMorgan note linked to Euro Stoxx 50	N/A	$1,001 - $15,000		None (or less than $201)
69	HSBC note linked to Euro Stoxx 50	N/A	$1,001 - $15,000		None (or less than $201)
70	UBS note linked to Vanguard Emerging Markets Stock Index	N/A	$1,001 - $15,000		None (or less than $201)
71	HSBC note linked to S&P 500 INDEX	N/A	$1,001 - $15,000		None (or less than $201)
72	Deutsche Bank note linked to Euro Stoxx 50	N/A	$1,001 - $15,000		None (or less than $201)
73	HSBC note linked to S&P 500 INDEX	N/A	$1,001 - $15,000		None (or less than $201)
74	Credit Suisse note linked to Russell 2000 Index	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
75	Ishares S&P Small Cap 600 - IJS	Yes	$1,001 - $15,000		$201 - $1,000
76	Ishares MSCI EAFE - EFA	Yes	$1,001 - $15,000		$201 - $1,000
77	Ishares MSCI Emerging Mkt - EEM	Yes	$1,001 - $15,000		None (or less than $201)
78	Powershares QQQ - QQQ	Yes	$15,001 - $50,000		$201 - $1,000
79	AllianceBernstein Holding LP - AB	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
80	Schwab Money Market - SWMXX	Yes	$1,001 - $15,000		None (or less than $201)
81	Amgen - AMGN	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
82	Apple - AAPL	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
83	Ashland Global - ASH	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
84	Comcast - CMCSA	N/A	$1,001 - $15,000		None (or less than $201)
85	ConocoPhillips - COP	N/A	$1,001 - $15,000		None (or less than $201)
86	Gilead Sciences - GILD	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
87	HealthSouth - HLS	N/A	$1,001 - $15,000		None (or less than $201)
88	Marathon Pete Corp - MPC	N/A	$1,001 - $15,000		None (or less than $201)
89	National Security - NSEC	N/A	$1,001 - $15,000		None (or less than $201)
90	Pfizer - PFE	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
91	Phillips 66 - PSX	N/A	$1,001 - $15,000		None (or less than $201)
92	Southern Co - SO	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
93	Twitter - TWTR	N/A	$1,001 - $15,000		None (or less than $201)
94	Walt Disney - DIS	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
95	American Funds American Mutual Fund® Class A - AMRMX	Yes	$15,001 - $50,000		$1,001 - $2,500
96	Janus Fund Class T - JANSX	Yes	$15,001 - $50,000		$201 - $1,000
97	Janus Triton - JATTX	Yes	$15,001 - $50,000		$201 - $1,000
98	Energy Select Sector SPDR (ETF) - XLE	Yes	$15,001 - $50,000		$201 - $1,000
99	Guggenheim Currencyshares Euro - FXE	Yes	$1,001 - $15,000		None (or less than $201)
100	Powershares QQQ Trust - QQQ	Yes	$50,001 - $100,000		$201 - $1,000
101	Proshares Ultrashort Lehman 7-10 - PST	Yes	$1,001 - $15,000		None (or less than $201)
102	ProShares Trust II - VIXY	Yes	$15,001 - $50,000		None (or less than $201)
103	Schwab US Small Cap - SCHA	Yes	$1,001 - $15,000		$201 - $1,000
104	SPDR Euro Stoxx 50 - FEZ	Yes	$1,001 - $15,000		None (or less than $201)
105	SPDR S&P Regional Banking	Yes	$1,001 - $15,000		None (or less than $201)
106	SPDR S&P 500 - SPY	Yes	$15,001 - $50,000		$201 - $1,000
107	Vanguard FTSE Emerging Mkts - VWO	Yes	$15,001 - $50,000		$201 - $1,000
108	Vanguard FTSE Pacific - VPL	Yes	$15,001 - $50,000		$2,501 - $5,000
109	Vanguard Small Cap - VB	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
110	US Bank #4 - cash account	N/A	$100,001 - $250,000		$201 - $1,000
111	Altria - MO	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
112	Kraft Heinz - KHC	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
113	Mondelez - MDLZ	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
114	Philip Morris Intal - PM	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
115	US Bank #5 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
116	Southern Co - SO	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
117	UBS Note Linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
118	JP Morgan Note linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
119	UBS Note linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
120	JP Morgan Note linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
121	Deutsche Bank Note linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
122	HSBC Note lined to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)
123	Credit Suisse Note linked to Vanguard FTSE Emerging Markets ETF	N/A	$15,001 - $50,000		None (or less than $201)
124	UBS Note linked to Vanguard FTSE Emerging Markets ETF	N/A	$15,001 - $50,000		None (or less than $201)
125	HSBC Note linked to iShares MSCI EAFE Index Fund (ETF)	N/A	$1,001 - $15,000		None (or less than $201)
126	UBS Note linked to EuroStoxx 50	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
127	HSBC note linked to S&P 500 INDEX	N/A	$15,001 - $50,000		None (or less than $201)
128	Deutsche Bank Note linked to EuroStoxx 50	N/A	$1,001 - $15,000		None (or less than $201)
129	Credit Suisse Note linked to Russell 2000 Index	N/A	$1,001 - $15,000		None (or less than $201)
130	HSBC Note linked to EuroStoxx 50	N/A	$1,001 - $15,000		None (or less than $201)
131	Ishares Cohen & Steer REIT - ICF	Yes	$15,001 - $50,000		$1,001 - $2,500
132	IShares MSCI Emerging Mkt - EEM	Yes	$15,001 - $50,000		$201 - $1,000
133	Kayne Anderson Energy - KYE	Yes	$15,001 - $50,000		$2,501 - $5,000
134	Powershares QQQ - QQQ	Yes	$15,001 - $50,000		$201 - $1,000
135	Invesco Mid CAp Growth - VGRAX	Yes	$15,001 - $50,000		$1,001 - $2,500
136	Eaton Vance Senior Income Trust - EVF	Yes	$15,001 - $50,000		$2,501 - $5,000
137	Eaton Vance Senior Floating-Rate Trust - EFR	Yes	$1,001 - $15,000		$1,001 - $2,500
138	Western Asset Emerging Markets Debt fund - EMD	Yes	$50,001 - $100,000		$5,001 - $15,000
139	Western Asset High Income Fund II - HIX	Yes	$15,001 - $50,000		$2,501 - $5,000
140	US Bank #6 - cash account	N/A	$15,001 - $50,000		None (or less than $201)
141	US Bank #7 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
142	US Bank #8 - cash account	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
143	US Bank #9 - cash account	N/A	$50,001 - $100,000		None (or less than $201)
144	US Bank #10 - cash account	N/A	$15,001 - $50,000		None (or less than $201)
145	US Bank #11 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
146	US Bank #12 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
147	New York Life - whole life	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
148	US Bank #14 - cash account	N/A	$15,001 - $50,000		None (or less than $201)
149	American Express - AXP	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
150	Apple = AAPL	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
151	Bank of America - BAC	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
152	Bristol-Myers Squibb - BMY	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
153	Chevron - CVX	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
154	Citigroup = C	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
155	Clorox - CLX	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
156	Colgate Palmolive - CL	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
157	Ebay - EBAY	N/A	$1,001 - $15,000		None (or less than $201)
158	Emerson Electric - EMR	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
159	Facebook - FB	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
160	Ford Motor - F	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
161	General Motors - GM	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
162	General Mills - GIS	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
163	Home Depot - HD	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
164	Honeywell - HON	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
165	Intel - INTC	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
166	Johnson & Johnson - JNJ	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
167	Keycorp - KEY	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
168	Kimberly Clark - KMB	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
169	Lowes - LOW	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
170	Merck - MRK	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
171	Microsoft - MSFT	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
172	Oracle - ORCL	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
173	PayPal - PYPL	N/A	$1,001 - $15,000		None (or less than $201)
174	PNC Financial - PNC	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
175	Priceline - PCLN	N/A	$15,001 - $50,000		None (or less than $201)
176	Thermo Fisher - TMO	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
177	US Bancorp - USB	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
178	Verizon Communications - VZ	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
179	Viacom Inc. - Class A - VIA	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
180	Wal - Mart Stores - WMT	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
181	Walt Disney Co - DIS	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
182	Wells Fargo - WFC	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
183	MetLife Variable Insurance	N/A			
183.1	American Funds Insurance Series® Growth-Income Fund	Yes	$1,001 - $15,000		$201 - $1,000
183.2	Fidelity VIP Equity-Income Portfolio	Yes	$1,001 - $15,000		$1,001 - $2,500
183.3	American Funds Insurance Series® Growth Fund	Yes	$1,001 - $15,000		$201 - $1,000
184	Family Trust #1	N/A			
184.1	Undeveloped Timberland, Butler County, Alabama	N/A	$15,001 - $50,000		None (or less than $201)
184.2	Undeveloped land, Shelby County, Alabama	N/A	$50,001 - $100,000		None (or less than $201)
184.3	Undeveloped Timberland, Shelby County, Alabama	N/A	$15,001 - $50,000		None (or less than $201)
184.4	Undeveloped Timberland, Conecuh County, Alabama	N/A	$50,001 - $100,000		None (or less than $201)
185	Family Trust #2	N/A			
185.1	Undeveloped Pasture land, Polk County, Florida	N/A	$100,001 - $250,000		None (or less than $201)
185.2	Residential Property, Polk County, Florida	N/A	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
185.3	Vacation Home, Maggie Valley, NC	N/A	$15,001 - $50,000		None (or less than $201)
185.4	Personal loan to Mr. Fisher	N/A	$1,001 - $15,000	Interest	$1,001 - $2,500
186	PepsiCo - PEP	N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
187	Wells Fargo - WFC	N/A	$1,001 - $15,000		None (or less than $201)
188	US Bank #15 - cash account	N/A	$1,001 - $15,000		None (or less than $201)
189	US Bank #13 - cash account	N/A	$50,001 - $100,000		None (or less than $201)
190	Energy Transfer Equity - ETE	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
191	Falconstor Software - FALC	N/A	$1,001 - $15,000		None (or less than $201)
192	NXP Semiconductor - NXPI	N/A	$1,001 - $15,000		None (or less than $201)
193	Coverdell Education Savings Account	N/A			
193.1	American Beacon International Equity Fund Investor Class - AAIPX	Yes	$1,001 - $15,000		None (or less than $201)
193.2	Perkins Mid Cap Value Fund Class T - JMCVX	Yes	$1,001 - $15,000		None (or less than $201)
193.3	Schwab HC Fund - SWHFX	Yes	$1,001 - $15,000		None (or less than $201)
193.4	Vanguard 500 Index Fund Investor Class - VFINX	Yes	$1,001 - $15,000		$201 - $1,000
194	Kansas 529 account	N/A			
194.1	American Century KS 529 Aggressive: Short Term Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
194.2	American Century Aggressive Portfolio	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
194.3	American Century Moderate Portfolio	Yes	$100,001 - $250,000		$2,501 - $5,000
194.4	American Century Moderately Conservative Portfolio	Yes	$15,001 - $50,000		$1,001 - $2,500
195	Protective Life Insurance - second to die	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
196	Barclays iPath Notes Lkd to crude oil index	Yes	None (or less than $1,001)		$1,001 - $2,500
197	Barclays iPath S&P 500 volatility index	Yes	None (or less than $1,001)		$1,001 - $2,500
198	SPDR S&P 500 ETF	Yes	None (or less than $1,001)		$201 - $1,000
199	Barclays iPath S&P 500 volatility index	Yes	None (or less than $1,001)		$2,501 - $5,000
200	EMC Corp	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
201	Janus Enterprise Fund	Yes	None (or less than $1,001)		$2,501 - $5,000
202	SPDR S&P 500 ETF	Yes	None (or less than $1,001)		$1,001 - $2,500
203	Total System Services	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
204	Vanguard Dividend Appreciation	Yes	None (or less than $1,001)		$1,001 - $2,500
205	AB Discovery Value I	Yes	None (or less than $1,001)		$2,501 - $5,000
206	Artisan Mid Cap Investor	Yes	None (or less than $1,001)		$5,001 - $15,000
207	Dodge & Cox Income	Yes	None (or less than $1,001)		$5,001 - $15,000
208	Victory Trivalent International Sm-Cp A	Yes	None (or less than $1,001)		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
209	Vanguard Mid Cap Index Admiral	Yes	None (or less than $1,001)		$1,001 - $2,500
210	Vanguard PRIMECAP Adm	Yes	None (or less than $1,001)		$5,001 - $15,000
211	Barclays Note linked to Euro Stoxx 50	N/A	None (or less than $1,001)	Capital Gains	$1,001 - $2,500
212	UBS Note linked to Euro Stoxx 50	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
213	HSBC Note linked to S&P 500 Index	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
214	UBS Note linked to Russell 2000® Index	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
215	UBS Note linked to Russell 2000® Index	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
216	APPLE INC	N/A	None (or less than $1,001)	Dividends Capital Gains	$2,501 - $5,000
217	CLOROX CO	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
218	COLGATE PALMOLIVE CO	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
219	EMC CORP	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
220	GENERAL MILLS INC	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
221	HONEYWELL INTL INC	N/A	None (or less than $1,001)	Capital Gains	$1,001 - $2,500
222	JOHNSON & JOHNSON	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
223	JPMORGAN CHASE & CO	N/A	None (or less than $1,001)	Capital Gains	$1,001 - $2,500
224	KIMBERLY CLARK CORP	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
225	FACEBOOK INC CL A	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
226	ORACLE CORP	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
227	Medtronic PLC	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
228	NXP Semiconductor	N/A	None (or less than $1,001)	Capital Gains	$1,001 - $2,500
229	Occidental Petro Corp	N/A	None (or less than $1,001)	Dividends Capital Gains	$201 - $1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	United Wholesale Mortgage	See Endnote	Mortgage (investment/rental property)	$250,001 - $500,000	2016	2.75	15
2	Regions Bank - PLOC		Exercised Line of Credit	$100,001 - $250,000	2008	Prime plus 1	Interest only; Principal upon demand
3	Roundpoint Mortgage		Mortgage on Personal Residence	$250,001 - $500,000	2012	2.875	15 years
4	ServisFirst Bank unsecured line of credit.		Exercised Line of Credit	$10,001 - $15,000	2016	prime plus 1%	24 months

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
5	Bank of America (no longer held)	Mortgage (investment/rental property)	$100,001 - $250,000	2009	4.25	15 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
8.	1	Refinanced with cash out from Bank of America in Nov 2016. BoAm loan was 4.25% with 15 year term.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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