

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Gerrish, Jeffrey David

Deputy United States Trade Representative (Asia, Europe, the Middle East, and Industrial Competitiveness), Office of the U.S. Trade Representative

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Finance**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Gerrish, Jeffrey David [electronically signed on 04/14/2017 by Gerrish, Jeffrey David in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaye, Janice A, Certifying Official [electronically signed on 06/16/2017 by Kaye, Janice A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 06/22/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Law Firm	Partner	4/2007	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Skadden, Arps, Slate, Meagher & Flom LLP (law firm)	N/A		partnership share	\$2,324,561
2	Skadden, Arps, Slate, Meagher & Flom LLP capital account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	Skadden, Arps, Slate, Meagher & Flom LLP money purchase defined contribution retirement plan	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4	Skadden, Arps, Slate, Meagher & Flom LLP 401K savings plan	No			
4.1	Vanguard Total Bond Market Index Fund (VBTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.2	AMG TimesSquare Small Cap Growth Fund (TSCIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.3	T Rowe Price Growth Stock Fund (PRGFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	Vanguard Institutional Index Fund (VIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
5	Skadden, Arps, Slate, Meagher & Flom LLP cash benefit plan (anticipated lump sum payment)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6	Skadden, Arps, Slate, Meagher & Flom LLC anticipated partnership share	N/A	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Capital Account: Pursuant to the partnership agreement, I will receive a refund of my capital account within two years of my withdrawal date. The payment will be calculated as of the date of my withdrawal.	4/2007
2	Skadden, Arps, Slate, Meagher & Flom LLP	New York, New York	Retirement Plan: I will continue to participate in this defined contribution plan. The firm will not make further contributions after my separation.	4/2007
3	Skadden, Arps, Slate, Meagher & Flom LLP	New York, New York	401K Savings Plan: I will continue to participate in this defined contribution plan. The firm will not make further contributions after my separation.	7/2000
4	Skadden, Arps, Slate, Meagher & Flom LLP	New York, New York	Cash Benefit Plan: I will receive a lump sum payout that will be rolled over into an IRA within approximately three months following the month in which I withdraw from the firm.	4/2007
5	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Partnership Share: Pursuant to the partnership agreement, I will receive my final partnership share within two years of my withdrawal date. The payment will be calculated as of the date of my withdrawal.	4/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Legal services
2	United States Steel Corporation	Pittsburgh, Pennsylvania	Legal services
3	Tensar International Corporation	Alpharetta, Georgia	Legal services
4	Indo-US MIM Tec Pvt. Ltd.	Princeton, New Jersey	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	CF Industries, Inc.	Deerfield, Illinois	Legal services
6	Endo Health Solutions Inc.	Malvern, Pennsylvania	Legal services
7	Hanwha SolarOne USA, Inc.	Irvine, California	Legal services
8	HFT Holdings, Inc.	Calabasas, California	Legal services
9	Centaurus Capital, L.P.	Houston, Texas	Legal services
10	Daimler AG	Stuttgart, Germany, Outside U.S.	Legal services
11	Third Avenue Management LLC	New York, New York	Legal services
12	Middleby Corporation	Elgin, Illinois	Legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hahn Fitness of Engleside, LLC (d/b/a Anytime Fitness)	N/A		salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	U.S. bank account #2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Virginia 529 CollegeAmerica #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	American Funds The Growth Fund of America 529A (CGFAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.2	American Funds The Growth Fund of America 529C (CGFCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.3	American Funds New Perspective Fund 529A (CNPAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.4	American Funds New Perspective Fund 529C (CNPCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.5	American Funds New World Fund 529A (CNWAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.6	American Funds New World Fund 529C (CNWCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	American Funds Washington Mutual Investors Fund 529A (CWMAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.8	American Funds Washington Mutual Investors Fund 529C (CWMCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Virginia 529 CollegeAmerica #2	No			
4.1	American Funds The Growth Fund of America 529A (CGFAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.2	American Funds The Growth Fund of America 529C (CGFCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.3	American Funds New Perspective Fund 529A (CNPAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.4	American Funds New Perspective Fund 529C (CNPCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.5	American Funds New World Fund 529A (CNWAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.6	American Funds New World Fund 529C (CNWCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	American Funds Washington Mutual Investors Fund 529A (CWMAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8	American Funds Washington Mutual Investors Fund 529C (CWMCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Virginia 529 CollegeAmerica #3	No			
5.1	American Funds The Growth Fund of America 529A (CGFAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.2	American Funds New Perspective Fund 529A (CNPAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.3	American Funds New World Fund 529A (CNWAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	American Funds Washington Mutual Investors Fund 529A (CWMAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6	Mutual of Omaha Life Insurance Company (universal life)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7	Mutual of Omaha Life Insurance Company (universal life)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
8	American General Life Insurance Company/The United States Life Insurance Company in the City of New York (member company of American International Group Inc) (universal life)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
9	American General Life Insurance Company/The United States Life Insurance Company in the City of New York (a member company of American International Group Inc) (universal life)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
10	U.S. bank account #3 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
11	Morgan Stanley Investment Advisory Account	No			
11.1	Altria Group Inc stock (MO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.2	Coca-Cola Co stock (KO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3	Colgate-Palmolive Co stock (CL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.4	Exxon Mobil Corp stock (XOM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.5	General Electric Co stock (GE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.6	Johnson & Johnson stock (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.7	McDonald's Corp stock (MCD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.8	Procter & Gamble Co stock (PG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.9	Verizon Communications Inc stock (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.10	Guggenheim S&P 500 Equal Weight ETF (RSP)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.11	Lord Abbett Short Duration Income Fund (LALDX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.12	Unit AAM Bahl & Gaynor Income Growth Fund 2016-2Q	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.13	Unit First Trust Dividend Strength Portfolio Series 29 (FDERTX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.14	Unit First Trust Capital Strength Buy-Write 29 (FTJMVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.15	Unit AAM Health Care Opportunities 162	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.16	Unit First Trust SMid Capital Strength 30 (FBLQCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.17	Unit First Trust Capital Strength Buy-Write 25 (FSWGUX) (terminated 1/2017)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
11.18	Unit AAM Health Care Opportunities 14 (terminated 2016)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.19	Unit First Trust SMid Capital Strength 22 (FRETAX) (terminated 9/2016)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
11.20	Morgan Stanley Tax Free Daily Income Trust (DSTXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Brighthouse Life Insurance Variable Annuity Series B	No			
12.1	MetLife Multi-Index Targeted Risk Portfolio (income amount not ascertainable)	Yes	\$100,001 - \$250,000		
13	Morgan Stanley IRA #1	No			
13.1	First Trust Large Cap Core AlphaDEX Fund (FEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.2	Vanguard Mid-Cap ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.3	Vanguard Small Cap Index Fund (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.4	Diamond Hill Long-Short Fund (DHLSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.5	Franklin Convertible Securities Fund (FCSZX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13.6	Goldman Sachs Strategic Income Fund (GSZIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.7	Guggenheim Macro Opportunities Fund (GIOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13.8	Invesco Equally Weighted S&P 500 Fund (VADDX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.9	Ivy International Core Equity Fund (ICEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.10	Lord Abbett Short Duration Income Fund (LDLFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13.11	Virtus Insight Emerging Markets Opportunities Fund (HIEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Morgan Stanley IRA #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.1	First Trust Large Cap Core AlphaDEX Fund (FEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.2	Vanguard Mid-Cap ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.3	Vanguard Small Cap Index Fund (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.4	Diamond Hill Long-Short Fund (DHLSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.5	Franklin Convertible Securities Fund (FCSZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.6	Goldman Sachs Strategic Income Fund (GSZIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.7	Guggenheim Macro Opportunities Fund (GIOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.8	Henderson International Opportunities Fund (HFOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.9	Invesco Equally-Weighted S&P 500 Fund (VADDX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.10	Lazard Emerging Markets Equity Portfolio (LZEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.11	Virtus Multi-Sector Short Term Bond Fund (PIMSX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	Bahl and Gaynor Income Management Managed IRA Account	No			
15.1	3M Co stock (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	Abbott Laboratories stock (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.3	AbbVie Inc stock (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.4	Air Products & Chemicals Inc stock (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.5	Altria Group Inc stock (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	Amgen Inc stock (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.7	Automatic Data Processing stock (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.8	BB&T Corp stock (BBT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.9	Blackrock Inc stock (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.10	Cisco Systems Inc stock (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.11	Crown Castle International Corp stock (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.12	Enbridge Inc stock (ENB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	Exxon Mobil Corp stock (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.14	Fastenal Co stock (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.15	General Electric Co stock (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.16	Hasbro Inc stock (HAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.17	Home Depot Inc stock (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.18	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.19	Illinois Tool Works Inc stock (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.20	Johnson & Johnson stock (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.21	JPMorgan Chase & Co stock (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.22	Kimberly Clark Corp stock (KMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.23	Lockheed Martin Corp stock (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.24	LyondellBasell Industries NV stock (LYB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.25	Maxim Integrated Products Inc stock (MXIM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.26	Medtronic PLC stock (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.27	Merck & Co Inc stock (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.28	Microsoft Corp stock (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.29	NextEra Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.30	Occidental Petroleum Corp stock (OXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.31	Paychex Inc stock (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.32	PepsiCo Inc stock (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.33	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.34	Philip Morris International Inc stock (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.35	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.36	Realty Income Corp stock (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.37	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.38	Ventas Inc stock (VTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.39	WEC Energy Group Inc stock (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Cohen & Steers Realty Shares Fund (CSRSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	First Eagle Overseas Fund (SGOIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Invesco Premier Portfolio (money market) (IPPPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Lord Abbett Short Duration Income Fund (LDLFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	Putnam Income Fund (PNCYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
21	Virtus Insight Emerging Markets Opportunities Fund (HIEMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Morgan Stanley Investment Advisory Account	No			
22.1	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.2	iShares Russell Mid-Cap Index Fund (IWR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.3	PowerShares Dynamic Market ETF (PWC)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
22.4	Cohen & Steers Realty Shares Fund (CSRSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
22.5	Diamond Hill Long-Short Fund (DHLSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.6	Goldman Sachs Multi-Manager Alternatives Fund (GSMMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.7	MFS International Value Fund (MINIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.8	MFS Municipal Limited Maturity Fund (MTLIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
22.9	PIMCO Real Return Fund (PRLPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.10	Pioneer Strategic Income Fund (STRYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.11	Virtus Foreign Opportunities Fund (JVXIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.12	Virtus Multi-Sector Short Term Bond Fund (PIMSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23	Uniform Transfers to Minors Act (UTMA) account	No			
23.1	AB Relative Value Fund (CABDX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23.2	Franklin Small Cap Growth Fund (FSGRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23.3	Invesco American Franchise Fund (VAFAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23.4	Invesco Mid Cap Growth Fund (VGRAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23.5	Voya Global Equity Fund (NAWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	Bahl and Gaynor Income Management Managed Account	No			
24.1	3M Co stock (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.2	Abbott Laboratories stock (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.3	AbbVie Inc stock (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.4	Air Products & Chemicals Inc stock (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.5	Altria Group Inc stock (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.6	Amgen Inc stock (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.7	Automatic Data Processing stock (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.8	BB&T Corp stock (BBT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.9	Blackrock Inc stock (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.10	Cisco Systems Inc stock (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.11	Crown Castle International Corp stock (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.12	Enbridge Inc stock (ENB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.13	Exxon Mobil Corp stock (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.14	Fastenal Co stock (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.15	General Electric Co stock (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.16	Hasbro Inc stock (HAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.17	Home Depot Inc stock (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.18	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.19	Johnson & Johnson stock (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.20	JPMorgan Chase & Co stock (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.21	Lockheed Martin Corp stock (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.22	LyondellBasell Industries NV stock (LYB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.23	Maxim Integrated Products Inc stock (MXIM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.24	Merck & Co Inc stock (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.25	Microsoft Corp stock (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.26	NextEra Energy Inc stock (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.27	Occidental Petroleum Corp stock (OXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.28	Paychex Inc stock (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.29	PepsiCo Inc stock (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.30	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.31	Philip Morris International Inc stock (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.32	PNC Financial Services Group Inc stock (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.33	Texas Instruments Inc stock (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.34	Ventas Inc stock (VTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.35	WEC Energy Group Inc stock (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	Clearbridge Asset Mid Cap Core Managed IRA Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.1	Air Lease Corp stock (AL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.2	Allison Transmission Holdings Inc stock (ALSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.3	Amdocs Limited stock (DOX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.4	AmerisourceBergen Corp stock (ABC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.5	Arch Capital Group Ltd stock (ACGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.6	Berry Global Group Inc stock (BERY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.7	Casey's General Stores Inc stock (CASY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.8	Check Point Software Technologies Ltd stock (CHKP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.9	Core Laboratories NV stock (CLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.10	Dentsply Sirona Inc stock (XRAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.11	Eversource Energy stock (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.12	Foot Locker Inc stock (FL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.13	Hanesbrands Inc stock (HBI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.14	Hartford Financial Services Group Inc stock (HIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.15	IPG Photonics Corp stock (IPGP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.16	Lazard Ltd stock (LAZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.17	MEDNAX Inc stock (MD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.18	PAREXEL International Corp stock (PRXL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.19	Parsley Energy Inc stock (PE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.20	Signet Jewelers Ltd stock (SIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.21	Starwood Property Trust Inc stock (STWD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.22	Synchrony Financial stock (SYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.23	Vertex Pharmaceuticals Inc stock (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.24	Whirlpool Corp stock (WHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.25	Hasbro Inc stock (HAS)	N/A	None (or less than \$1,001)		None (or less than \$201)
25.26	Sinclair Broadcast Group Inc stock (SBGI)	N/A	None (or less than \$1,001)		None (or less than \$201)
25.27	Western Alliance Bancorp stock (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	Penn Capital Management Managed IRA Account				
26.1	Capital Bank Financial Corp stock (CBF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2	Gray Television Inc stock (GTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.3	Huntsman Corp stock (HUN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4	Nexstar Media Group Inc stock (NXST)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5	Sinclair Broadcast Group Inc stock (SBGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.6	Texas Capital Bancshares Inc stock (TCBI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.7	Western Alliance Bancorp stock (WAL)	N/A	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2016	3.625%	30 years
2	Wells Fargo (paid off in 6/2016)	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	3.625%	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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