

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

O'Scannlain, Kate

Solicitor of Labor, Department of Labor

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Health, Education, Labor, and Pensions**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ O'Scannlain, Kate [electronically signed on 08/01/2017 by O'Scannlain, Kate in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Shapiro, Robert A, Certifying Official [electronically signed on 10/07/2017 by Shapiro, Robert A in Integrity.gov]

Other review conducted by

/s/ Sadler, Robert M, Ethics Official [electronically signed on 10/06/2017 by Sadler, Robert M in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 10/16/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kirkland & Ellis LLP	Washington, District of Columbia	Law Firm	Partner	10/2005	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kirkland & Ellis LLP (law firm)	N/A		Salary/Bonus	\$623,333
2	Kirkland & Ellis LLP Anticipated End of Year Bonus	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	IRA Rollover	No			
3.1	Clearbridge ALL CAP Value A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Defined Contribution	No			
4.1	Vanguard Target Retirement 2040 TR II	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
4.2	Vanguard Target Retire 2035 TR II	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
5	Roth IRA	No			
5.1	Clearbridge Aggressive GWTH C (SAGCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Kirkland & Ellis LLP	Chicago, Illinois	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	10/2005
2	Kirkland & Ellis LLP	Chicago, Illinois	Pursuant to standard firm practice, I will receive a performance-based bonus for services rendered from September 1, 2016 through August 31, 2017. This bonus will be paid prior to me assuming my duties of the position of Solicitor of Labor. I will not receive a bonus for FY2018.	10/2005

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Kirkland & Ellis LLP	Washington, District of Columbia	Law firm partner providing legal advice and counsel to clients.
2	Atlas Partners LP	Toronto, Outside U.S.	Legal counsel.
3	Accel-KKR LLC	Palo Alto, California	Legal counsel.
4	Accenture LLP	Chicago, Illinois	Legal counsel.
5	Aden & Anais, Inc.	New York, New York	Legal counsel.
6	AMCP Security Holdings/Centerra Group	Palm Beach Gardens, Florida	Legal counsel.
7	AmSpec Holdco, LLC	Cranbury, New Jersey	Legal counsel.
8	AssuredPartners, Inc.	Lake Mary, Florida	Legal counsel.
9	Audax Group	Boston, Massachusetts	Legal counsel.
10	Avista Capital Partners, L.P.	New York, New York	Legal counsel.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
11	Bain Capital Private Equity, LP	Boston, Massachusetts	Legal counsel.
12	Burroughs Payment Systems, Inc.	Plymouth, Michigan	Legal counsel.
13	C.B. Fleet Company, Incorporated	San Francisco, California	Legal counsel.
14	Cambridge International Holdings Corp.	Cambridge, Maryland	Legal counsel.
15	CAMP Global Holdings, LLC	Ronkonkoma, New York	Legal counsel.
16	CapVest Equity Partners II L.P.	The Netherlands, Outside U.S.	Legal counsel.
17	Colson Ultimate Parent, Inc.	New York, New York	Legal counsel.
18	CSL Capital Management, LLC	Houston, Texas	Legal counsel.
19	EDAC Technologies Corporation	Cheshire, Connecticut	Legal counsel.
20	Extraction Oil & Gas, LLC	Denver, Colorado	Legal counsel.
21	Francisco Partners LP	San Francisco, California	Legal counsel.
22	FTV Capital	San Francisco, California	Legal counsel.
23	GG Foods Holdings Corporation	Toronto, Outside U.S.	Legal Counsel.
24	GPI Capital, L.P.	New York, New York	Legal counsel.
25	Golden Gate Capital	San Francisco, California	Legal counsel.
26	GrubHub, Inc.	Chicago, Illinois	Legal counsel.
27	Gryphon Investors	San Francisco, California	Legal counsel.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
28	GTCR, LLC	Chicago, Illinois	Legal counsel.
29	H.I.G. Capital Management, Inc.	Miami, Florida	Legal counsel.
30	Halo Branded Solutions	Chicago, Illinois	Legal counsel.
31	Heritage Environmental Services LLC	Indianapolis, Indiana	Legal counsel.
32	HGGC, LLC	Palo Alto, California	Legal counsel.
33	Hyland Software, Inc.	Westlake, Ohio	Legal counsel.
34	Ichor Systems, Inc.	Fremont, California	Legal counsel.
35	Idera, Inc.	Houston, Texas	Legal counsel.
36	Industrial Growth Partners, L.P.	San Francisco, California	Legal counsel.
37	Inteliquent	Chicago, Illinois	Legal counsel.
38	Jensen Hughes Holdings Corporation	Baltimore, Maryland	Legal counsel.
39	Kinderhook Industries LLC	New York, New York	Legal counsel.
40	Kohlberg, Kravis Roberts & Co.	New York, New York	Legal counsel.
41	KRG Capital Partners, LLC	Denver, Colorado	Legal counsel.
42	Levine Leichtman Capital Partners, Inc.	Beverly Hills, California	Legal counsel.
43	Linden LLC	Chicago, Illinois	Legal counsel.
44	Lion Capital LLP	London, Outside U.S.	Legal counsel.
45	Madison Dearborn Partners, Inc.	Chicago, Illinois	Legal counsel.
46	Marlin Management Company, LLC	El Segundo, California	Legal counsel.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
47	Medical Knowledge Group LLC	New York, New York	Legal counsel.
48	Milestone AV Technologies LLC	Eden Prairie, Minnesota	Legal counsel.
49	New Mountain Capital	New York, New York	Legal counsel.
50	NEWSCYCLE Solutions	St. Paul, Minnesota	Legal counsel.
51	Olympus Partners	Stamford, Connecticut	Legal counsel.
52	PLZ Aeroscience Corporation	Addison, Illinois	Legal counsel.
53	Pregis TopCo Corp.	Deerfield, Illinois	Legal counsel.
54	Redwood Capital Investments, LLC	Hanover, Maryland	Legal counsel.
55	REL Acquisition Holdings, LP	Blu Ash, Ohio	Legal counsel.
56	Robert F. Smith	Austin, Texas	Legal counsel.
57	rue21, inc.	Warrendale, Pennsylvania	Legal counsel.
58	Sentinel Capital Partners, L.P	New York, New York	Legal counsel.
59	Serent Capital	San Francisco, California	Legal counsel.
60	SK Capital	New York, New York	Legal counsel.
61	Solera, Inc.	West Lake, Texas	Legal counsel.
62	Soundcore Capital Partners	New York, New York	Legal counsel.
63	Starr Principal Holdings, LLC	New York, New York	Legal counsel.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
64	Summit Partners, L.P.	Boston, Massachusetts	Legal counsel.
65	Swander Pace Capital	San Francisco, California	Legal counsel.
66	Sycamore Partners Management, LLC	New York, New York	Legal counsel.
67	Talen Energy Corporation	Allentown, Pennsylvania	Legal counsel.
68	Technimark, LLC	Asheboro, North Carolina	Legal counsel.
69	Telular Corporation	Chicago, Illinois	Legal counsel.
70	Teva Pharmaceuticals North America	North Wales, Pennsylvania	Legal counsel.
71	The Blackstone Group	New York, New York	Legal counsel.
72	The WeissComm Group Ltd.	San Francisco, California	Legal counsel.
73	Thoma Bravo, LLC	Chicago, Illinois	Legal counsel.
74	Thomas H. Lee Partners	Boston, Massachusetts	Legal counsel.
75	Towerbrook Capital Partners LP	New York, New York	Legal counsel.
76	Tribune Publishing Company	Chicago, Illinois	Legal counsel.
77	Tronair Inc.	Holland, Ohio	Legal counsel.
78	True Wind Capital Management, LLC	San Francisco, California	Legal counsel.
79	U.S. Silica Company	Frederick, Maryland	Legal counsel.
80	Vector Capital Management, L.P.	San Francisco, California	Legal counsel.
81	Vista Equity Partners	San Francisco, California	Legal counsel.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
82	VWR International, LLC	West Chester, Pennsylvania	Legal counsel.
83	Warburg Pincus, LLC	New York, New York	Legal counsel.
84	Wittur Holding GmbH	Munich, Outside U.S.	Legal counsel.
85	ZM Capital Advisors L.L.C.	New York, New York	Legal counsel.
86	National Industrial Coatings, Inc.	Itasca, Illinois	Legal counsel.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Podesta Group Inc. (Lobbying Firm)	N/A		salary, bonus	
2	Signal Group (FKA McBee Strategic Rollover 401k Fidelity FID FDM IDX 2040 INV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	Podesta 401(k): Vanguard Target Retirement 2040 INV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Northwestern Mutual Rollover 401k	No			
4.1	Dreyfus Insured Deposits I	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	ISHARES TR Core S&P MCP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	ISHARES TR Core S&P SCP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	ISHARES TR IBOXX INV CP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	SPDR S&P 500 ETF TR TR UNIT	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	VAN ECK FDS VAN ECK INDX Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	VANGUARD BD INDEX FD INC TOTAL BND MRKT	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	VANGUARD FTSE DEVELOPED MARKET ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.9	VANGUARD INDEX FDS REIT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank Account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	DC College Savings 2031 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Northwestern Mutual Life Insurance Policy Cash Value whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Northwestern Mutual Life Insurance Policy Cash Value whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	DC College Savings 2025 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	DC College Savings 2028 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	The Maryland College Investment Plan:The Portfolio 2021 for College managed by T Rowe Price	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	The Maryland College Investment Plan:The Portfolio 2024 for College managed by T Rowe Price	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	The Maryland College Investment Plan:The Portfolio 2021for College managed by T Rowe Price	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	The Maryland College Investment Plan:The Portfolio 2024 for College managed by T Rowe Price	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	NelNet	Student Loan	\$15,001 - \$50,000	2005	1.75	30 years
2	NelNet	Student Loan	\$15,001 - \$50,000	2005	1.75	30 years
3	Nelnet	Student Loan	\$15,001 - \$50,000	2005	1.875	30 years
4	Nelnet	Student Loan	\$15,001 - \$50,000	2005	1.875	30 years
5	Citizens Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2017	4.25	30 Year Fix

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
