

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Ennis, Gail Susan

Inspector General, Social Security Administration

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Finance**
 - **Committee on Homeland Security and Governmental Affairs**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Ennis, Gail Susan [electronically signed on 06/30/2017 by Ennis, Gail Susan in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Callahan, Daniel F, Certifying Official [electronically signed on 10/19/2017 by Callahan, Daniel F in Integrity.gov]

Other review conducted by

/s/ White, Angela R, Ethics Official [electronically signed on 10/18/2017 by White, Angela R in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 10/27/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	WilmerHale LLP	Washington, District of Columbia	Law Firm	Partner	9/1998	Present
2	Gibson Island Corporation	Gibson Island, Maryland	Corporation	Board Member	12/2013	12/2016
3	Gibson Island Club	Gibson Island, Maryland	Private Club	Board Member	12/2013	12/2016
4	Gibson Island Club	Gibson Island, Maryland	Private Club	Vice President	12/2013	Present
5	Gail Ennis Revocable Trust	Gibson Island, Maryland	Trust	Trustee	1/2014	Present
6	Cochran Trust	Gibson Island, Maryland	Trust	Co-trustee	5/1996	Present
7	RSCJ Trust	Gibson Island, Maryland	Trust	Trustee	9/1994	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	WilmerHale LLP (law firm)	N/A		Partnership Share	\$2,033,846
2	WilmerHale LLP, defined benefit plan	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	WilmerHale LLP capital account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
4	Wells Fargo Index fund (HSA account)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	WilmerHale LLP (law firm) anticipated partnership share	N/A	\$250,001 - \$500,000		None (or less than \$201)
6	IRA	No			
6.1	American Beacon AHL MNGD FUTRS STRT INST (AHLIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	American Beacon INTL Equity Y Class (ABEYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	AQR Small Cap Multi Style FD CL R6 (QSERX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	AQR Sytle Premia Alternative FD CL R6 (QSPRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	Delaware Value Fund Institutional (DDVIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.6	Harding Loevner Intl Equity Port Instl (HLMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	Lazard Emerging Mrkts EQ Blend Instl (EMBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Lazard Intl Strategy Equity Port Intl CL (LISIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	MFS Growth Fund Class I (MFEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	USAA Managed Allocation FD Retail (UMAFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	Victory Sycamore Established Value I (VEVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Baird Core Plus Bond Institutional (BCOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.13	USAA High Income Fund Instl (UIHIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.14	USAA Income Fund Instl (UIINX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.15	USAA Short Term Bond FD Instl (UISBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.16	Voya Intermediate Bond Class W (IIBWX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.17	USAA Money Market (USAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	WilmerHale 401k	No			
7.1	Franklin Templeton Emerging Mkts Opportunities Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7.2	Loomis Sayles Gobal Bond Fund (Instl Class)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	JPMorgan Strategic Income Opportunities Fund (Class R5)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7.4	Vanguard Target Retirement 2025 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	American Century Mid Cap Value Fund (I Class)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.6	Harbor Large Cap Value Fund (Instl Class)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7.7	Oakmark Equity and Income Fund (Class Investor)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.8	BlackRock Equity Index Non-Lendable Fund (Class M)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.9	MFS Value Fund (Class R3)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.10	Artisan Mid Cap Fund (Investor Shares)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
7.11	The Hartford MidCap Fund (Class Y)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.12	Victory Global Natural Resources Fund (Class A)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.13	T. Rowe Price Real Estate Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.14	Touchstone Small Cap Core Fund (Instl Class)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.15	Aberdeen Emerging Markets Fund (Instl Class)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.16	Causeway International Value Fund (Instl Class)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.17	Ivy International Core Equity (Class Y)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7.18	Tweedy Browne Global Vaue Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8	WilmerHale, anticipated retirement benefit	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WilmerHale LLP	Boston, Massachusetts	I will stop contributing to this defined benefit plan after my separation from WilmerHale LLP, and my participation in the plan will end at the end of the calendar year of my separation. I will receive a lump sum distribution in June of the year following my separation.	1/2007
2	WilmerHale LLP	Boston, Massachusetts	My capital will be returned, including accrued interest, at the time of my departure from the firm.	1/2007
3	WilmerHale LLP	Boston, Massachusetts	Following my separation from WilmerHale LLP, neither I nor the firm will make further contributions to this defined contribution plan, and my participation in the plan will end when I receive a distribution of the assets in the plan on the next annual distribution date following my separation.	11/1998
4	WilmerHale LLP	Boston, Massachusetts	WilmerHale will pay a prorated partnership share in a lump sum prior to the commencement of any government position. If the timing does not allow for payment on the normal monthly cycle, the firm would wire the monies to my account so the full payment was made before the commencement of government service. This is in accordance with the firm's standard practices.	1/2007

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	WilmerHale LLP	Boston, Massachusetts	If I separate from the firm after 10/21/2017, I will be entitled to an early retirement benefit that consists of approximately \$175,000 per year for seven years, which may be decreased should the firm not achieve certain income targets.	1/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	WilmerHale LLP	Washington, District of Columbia	Partner at law firm
2	Bank of America	Charlotte, North Carolina	Legal services (client of WilmerHale LLP)
3	JPMorgan Chase & Co.	New York, New York	Legal services (client of WilmerHale LLP)
4	Citadel LLC	Chicago, Illinois	Legal services (client of WilmerHale LLP)
5	HSBC Private Bank (Suisse) SA	Geneva, Outside U.S.	Legal services (client of WilmerHale LLP)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA Traditional	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	CREF Stock R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	UNUM Life Insurance Company of America, 501(c) flexible premium qualified annuity.	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	American Beacon AHL MNGD Futrs Strt Inst (AHLIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	American Beacon Intl Equity Y Class (ABEYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	AQR Small Cap Multi Style FD CL R6 (QSERX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.4	AQR Style Premia Alternative FD CL R6 (QSPRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Delaware Value Fund Institutional (DDVIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.6	Harding Loevner Intl Equity Port Instl (HLMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	Hartford Schroders Emrg Mrkts Eqty CI I (SEMNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Lazard Emerging Mrkts Eq Blend Instl (EMBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Lazard Intl Strategy Equity Port Intl CI (LISIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.10	MFS Growth Fund Class I (MFEIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.11	USAA Managed Allocation FD Retail (UMAFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	Victory Sycamore Established Value I (VEVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.13	USAA Money Market (USAXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.14	Buckeye Partners L P, note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.15	RPM Intl Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.16	AT&T Inc., note	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
4.17	Ventas Realty LP/CAP Bond, note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.18	Bunge Ltd Fin Corp., note	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
4.19	Oracle Corp Bond, note	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.20	Public Service Co Okla, note	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
4.21	Republic Svcs Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.22	Verizon Communications Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.23	TC Pipelines LP, note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.24	Thermo Fisher Scientific Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.25	Amphenol Corp New, note	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.26	EQT Corp., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.27	Shell International Fin BV, note	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
4.28	JPMorgan Chase & Co., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.29	Nextera Energy Cap Hldgs Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.30	Kellogg Co., note	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.31	Microsoft Corp., note	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.32	Qualcomm Inc., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.33	Southern Pwr Co., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.34	Fedex Corp., note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.35	TCW Emerging Mkts Income CL I (TGEIX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.36	USAA High Income Fund Instl (UIHIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.37	USAA Income Fund Instl (UIINX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.38	USAA Intermediate Term Bond Instl	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.39	USAA Short Term Bond Fd Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA	No			
5.1	USAA Intermediate Term Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Imperial Brands PLC ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3	U.S. bank account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. bank account #4 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Northwestern Mutual, whole life policies	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Northwestern Mutual, graduated premium whole life	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8	Northwestern Mutual, long term care policy	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
9	USAA Tax-Exempt MMKT (USEXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CitiMortgage, Inc.	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2015	3.25%	10/1 Libor Interest Only
2	CitiMortgage, Inc.	Mortgage on Personal Residence	\$250,001 - \$500,000	2012	4.125%	30 years
3	Citibank	Loan for Law Firm Equity	\$10,001 - \$15,000	2012	One month libor + 1.85%	5 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
