

A summary of bank transgressions during the past decade compiled by former Deutsche Bank analyst Mike Mangan:

Bank of Qld	Storm Financial	22-Sep-14 ASIC/borrowers class action	20
Macquarie	Storm Financial	01-Mar-13 Borrowers class action	81
Macquarie	No reasonable basis for client recommendations	2013 ASIC enforced compensation	19
Macquarie	Inadequate due diligence on China's Puda Coal US IPO	27-Mar-15 SEC	15
Macquarie	Conspiring to rig Malaysian ringit market in 2011	25-Nov-16 ACCC	5
Com Bank	Storm Financial	01-Dec-12 ASIC/borrowers class action	133
Com Bank	Inappropriate financial advice	01-Jun-14 Former clients	52
Com Bank	Bad Financial Advice	04-Apr-15 Former clients	22
Com Bank		Oct 15 8400 Agribusiness customers	6
Com Bank	Refund fees for Wealth Package customers	25-Nov-15 Former clients	58
Com Bank	Provided unwanted o'drafts to customers 2011-15	13-Sep-16 ASIC	2
ComSec	Flawed ID/ disclosure checks	02-Sep-16	1
Com Bank	Charging for annual review advice never given	?? ASIC	81
Com Bank	Front running, deliberately triggering client stop loss orders	21-Dec-16 ASIC	2
Com Bank	Various refunds: Credit Card, insurance, super,	14-Aug-17 Customers reimbursements	26
Com Bank	Austrac legals	09-Nov-17 Lawyers	77
NAB	Late payment fees	12-Nov-14 IMF led class action	40
NAB	Bad Financial Advice	16-Mar-15 Customers reimbursements	11
NAB	Navigator Wrap: errors in way income and tax calculated	Jul 15 Customer refunds	19
NAB	Charging for annual review advice never given	?? ASIC	13
NAB	Front running, deliberately triggering client stop loss orders	21-Dec-16 ASIC	2
NAB	Manipulating BBSW int rate 2010-11	28-Oct-17 ASIC	39
NAB	Manipulating BBSW int rate	?? ASIC	??
ANZ	Misled NZ rural customers about int rate spreads 2005-09	02-Dec-14 NZ Commerce Comm	15
ANZ	Charged for advice never given	16-Apr-15 client compo	38
ANZ	Manipulating BBSW int rate	?? ASIC	37
ANZ	Failure to disclose fees	05-Sep-16 self reported to ASIC	22
ANZ	Conspiring to rig Malaysian ringit market in 2011	25-Nov-16 ACCC	7
ANZ	Forex collusion	15-Mar-17 ASIC	2
Westpac	Selling loan protection insurance to customers who didn't need it	Oct 15	6
Westpac	Didn't tell customers they'd be charged Forex fees	08-Sep-16 self reported to ASIC	15
Westpac	Forex collusion	15-Mar-17 ASIC	2
Westpac-BT	"goodwill" paid on 103 rejected life & TPD super policies bought since '0	18-Sep-17 Life insurance customers	10
Westpac	"Conduct" refunds	06-Nov-17 Customer reimbursements	131

Total Australia

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