

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Scott, McGregor

United States Attorney for the Eastern District of California, Department of Justice - Executive Office for United States Attorneys

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on the Judiciary**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Scott, McGregor [electronically signed on 09/16/2017 by Scott, McGregor in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lofthus, Lee, Certifying Official [electronically signed on 11/13/2017 by Lofthus, Lee in Integrity.gov]

Other review conducted by

/s/ Macklin, Jay, Ethics Official [electronically signed on 11/06/2017 by Macklin, Jay in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/20/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Orrick, Herrington, & Sutcliffe, LLC	Sacramento, California	Law Firm	Partner	1/2009	Present
2	Revocable Family Trust	Sacramento, California	Trust	Trustee	11/2013	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Orrick, Herrington, & Sutcliffe, LLP (law firm)	N/A		Distribution/Bonus	\$5,720,899
2	Orrick, Herrington, & Sutcliffe, LLP capital account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
3	Traditional IRA	No			
3.1	American Balanced Fund (ABALX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	American Capital World Growth & Income Fund (CWGIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	American Europacific Growth Fund (AEPGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	American Fundamental Investors Fund (ANCFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.5	American Growth Fund of America (AGTHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	American Income Fund of America (AMECX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Orrick, Herrington & Sutcliffe Partners' Pension Plan (defined benefit plan)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Orrick Retirement Plan (defined contribution plan)	No			
5.1	JP Morgan U.S. Large Cap Core Plus fund (JCPRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.2	Neuberger Berman Equity Income (NBHAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.3	Primecap Odyssey Growth Fund (POGRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	Schwab S&P 500 Index fund (SWPPX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.5	Invesco Equal Weight S&P 500 Index (VADAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.6	Vanguard Dividend Appreciation Index (VDADX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	Vanguard Mid Cap Value Index (VMVAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	Primecap Odyssey Aggressive Growth (POAGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.9	Vanguard Mid Cap Index (VMCIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.10	Schwab Fundamental U.S. Small Company Fund (SFSNX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.11	Vanguard Small Cap Index (VSCIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.12	American Funds Europacific Growth (RERGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.13	Harbor International Fund (HAINX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.14	Vanguard Total International Stock Index (VTSNX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.15	Baron Emerging Markets Fund (BEXFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	Vanguard REIT Index (VGSNX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.17	PIMCO Commodity Real Return Strategy (PCRIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.18	Doubleline Total Return Bond (DLTNX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.19	Goldman Sachs Strategic Income (GZIRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.20	Vanguard Total Bond Market Index (VBTIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.21	Western Asset Core Plus Bond (WACPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.22	Blackrock High Yield Bond (BHYIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.23	Doubleline Emerging Markets Fixed Income (DLENX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.24	Legg Mason BW International Opportunities Bond Fund (LWOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.25	Templeton Global Bond Fund (TGBAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	California Public Employees Retirement Fund, defined benefit plan (value not readily ascertainable) \$1,000/month at age 55	N/A			None (or less than \$201)
7	Contra Costa County Employees Retirement Fund, defined benefit plan (value not readily ascertainable) \$1,000/month at age 55	N/A			None (or less than \$201)
8	Orrick, Herrington, & Sutcliffe, LLP (anticipated final distribution)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Orrick, Herrington, & Sutcliffe, LLP	Sacramento, California	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive a lump sum payment of my capital account. The payment will be calculated as of the date of my withdrawal and will be paid before assuming my duties.	1/2009
2	California Public Employees Retirement Fund	Sacramento, CA, California	I will continue to participate in this defined benefit plan.	4/1997
3	Contra Costa County Employees Retirement Fund	Martinez, California	I will continue to participate in this defined benefit plan.	12/1989
4	Orrick, Herrington & Sutcliffe LLP	Sacramento, California	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive my final partnership distribution. This payment will be calculated as of the date of my withdrawal from the firm and I will receive the payment before assuming my duties.	1/2009
5	Orrick, Herrington & Sutcliffe LLP	Sacramento, California	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2009
6	Orrick, Herrington & Sutcliffe LLP	Sacramento, California	I will continue to participate in this defined benefit plan.	1/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Orrick, Herrington, & Sutcliffe, LLC	Sacramento, California	Law Firm Partner
2	Walmart International Stores Inc.	Bentonville, Arkansas	Legal services
3	Asda Stores Limited	Leeds, United Kingdom	Legal services
4	Westlands Water District	Fresno, California	Legal services
5	Greenpoint Mortgage Funding	Richmond, Virginia	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Express Scripts Holding Company	St. Louis, Missouri	Legal services
7	CVS Health Corporation/CVS Pharmacy Inc.	Woonsocket, Rhode Island	Legal services
8	C.C. Myers, Inc.	Sacramento, California	Legal services
9	Procter & Gamble Company	Cincinnati, Ohio	Legal services
10	Basalite Building Products, LLC	Sacramento, California	Legal services
11	Disney Construction, Inc.	Burlingame, California	Legal services
12	City of Davis	Davis, California	Legal services
13	Douglas Moore	Walnut Creek, California	Legal services
14	Alturas Indian Rancheria	Alturas, California	Legal services
15	Michael Polenske	Napa, California	Legal services
16	American Pacific Mortgage	Roseville, California	Legal services
17	Quang Bui	Milpitas, California	Legal services
18	GameStop	West Chester, Pennsylvania	Legal services
19	CB Asphalt, Inc.	Vallejo, California	Legal services
20	City of Fresno	Fresno, California	Legal services
21	Marcos Hedrick	Ensenada, Mexico	Legal services
22	Iron Mountain Incorporated	Boston, Massachusetts	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
23	Brahma Group, Inc.	Salt Lake City, Utah	Legal services
24	Anthony Ghio	Stockton, California	Legal services
25	Genesis Administrative Services LLC	Foothill Ranch, California	Legal services
26	1 confidential individual client is not disclosed because of a pre-existing written confidentiality agreement that prohibits disclosure of the client's identity		Legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	VA College Savings Plan:	No			
1.1	American Balanced Fund (CLBAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.2	American Cap World Growth and Income (CWIAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3	American Fundamental Investors (CFNAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.4	American Growth Fund of America (CGFAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.5	American Income Fund of America (CIMAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	State Farm Whole Life Insurance	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	State Farm Whole Life Insurance	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank account # 1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Revocable Family Trust	No			
5.1	Franklin California High Yield Municipal Fund (FCAMX)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
5.2	Franklin California Tax-Free Income Fund (FKTFX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
5.3	U.S. brokerage money market account (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5.4	Bassett, California United School District GO Municipal Bond Series B	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.5	Lemoore, California Union High School District GO Municipal Bond Series A	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.6	Martinez, California School District Series 2017 GO Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.7	Nevada County, California Joint Union High School District Electric GO Municipal Bond Series A	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.8	Simi Valley Unified School District California Elect 2016 GO Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.9	Windsor, California Unified School District GO 2016 Municipal Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.10	U.S. bank account # 2 (cash)	No	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CitiBank	See Endnote	loans for capital for firm partner buy-in	\$500,001 - \$1,000,000	2009	1.69%	4 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
8.	1	These loans will be paid off at time of my departure from Orrick.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
