1	HAEGGQUIST & ECK, LLP				
2	ALREEN HAEGGQUIST (221858) alreenh@haelaw.com				
3	JENNA M. RANGEL (272735)				
4	jennar@haelaw.com 225 Broadway, Suite 2050				
5	San Diego, CA 92101 Telephone: (619) 342-8000				
6	Facsimile: (619) 342-7878				
7	Attorneys for Plaintiff				
8	SUPERIOR COURT OF THE STATE OF CALIFORNIA				
9	COUNTY OF SAN DIEGO				
10	CENTRAL DIVISION				
11	BEVERLY M. EMERSON, Ph.D., an	Case No.: 37-2017-00026375-CU-OE-CTL			
12	Individual,	Consolidated with:			
13	Plaintiff,	No. 37-2017-0025159-CU-OE-CTL No. 37-2017-00026375-CU-OE-CTL			
14	VS.	FIRST AMENDED COMPLAINT FOR			
15	THE SALK INSTITUTE FOR BIOLOGICAL	DAMAGES AND INJUNCTIVE RELIEF			
16	STUDIES, SAN DIEGO, CALIFORNIA, a California Nonprofit Public Benefit	IMAGED FILE			
17	Corporation; and DOES 1-50, Inclusive,	Dept.: C-72			
18		Judge: Honorable Timothy Taylor			
19	Defendants.	Complaint Filed: July 18, 2017			
20		Trial Date: TBD			
21		DEMAND FOR JURY TRIAL			
22					
23					
24					
25					
26					
27					
28					

8

9

10

11

12 13

14

15

16 17

18 19

20 21

22 23

24

25 26

27

28

Plaintiff Beverly M. Emerson, Ph.D. ("Dr. Emerson" or "Plaintiff"), by her attorneys, brings this action on behalf of herself against Defendant The Salk Institute for Biological Studies, San Diego, California ("Salk Institute" or "Defendant"), and DOES 1 through 50, inclusive. Plaintiff makes the following allegations upon information and belief (except those allegations as to the Plaintiff or her attorneys, which are based on personal knowledge), based upon an investigation that is reasonable under the circumstances, which allegations are likely to have evidentiary support after a reasonable opportunity for further investigation and/or discovery.

#### NATURE OF ACTION

- 1. For over half a century, the Salk Institute has operated as an antiquated boys' club, systematically undermining and marginalizing its three female Full Professors. Very few females have made it to the level of Full Professor, and those who have, have endured numerous discriminatory reprisals minimizing their successes, including: (1) slower promotion rates; (2) lower pay regardless of their experience and scientific contributions, seniority, ability to secure grant funding, awards and accolades, and high-profile publications; (3) an unequal distribution of resources, including both in donor funding and laboratory staff; (4) exclusion from opportunities for high-value grants from private donors and foundations; (5) denial of nearly all leadership and professional advancement opportunities within the Salk Institute; and (6) a hostile environment in which they are undermined, disrespected, disparaged, and treated unequally.
- 2. What is worse, the Administration and Board of Trustees (including, but not limited to, former President William Brody, current President Elizabeth Blackburn, and former Chairman of the Board Irwin Jacobs) have known about this discrimination, yet done absolutely nothing to stop it or right the wrongs perpetrated against its equally talented and decorated female Full Professors. Instead of providing them with the same resources and opportunities that male Full

The Salk Institute has four female non-Emeritus Full Professors – Dr. Emerson, Dr. Katherine Jones, Dr. Victoria Lundblad, and Dr. Joanne Chory. While Dr. Emerson and Drs. Jones and Lundblad are employed and funded by the Salk Institute, Dr. Chory is employed and funded by the Howard Hughes Medical Institute (HHMI), a non-profit medical research organization that supports scientists across the United States. All references to "female Full Professors" exclude Dr. Chory, unless otherwise noted.

10

11

1

26

27

28

Professors receive to conduct their research and advance their careers, the Salk Institute has intentionally kept its female Full Professors beneath the glass ceiling. Dr. Emerson, who has dedicated almost 31 years of her career to the Salk Institute, wants to shatter that glass ceiling and expose the Salk Institute so that future female scientists will not have to bear the same discriminatory burdens. But, in retaliation for doing so, the Salk Institute has denied Dr. Emerson's request to extend her employment contract (a request regularly granted for male Full Professors), forcing Dr. Emerson to close her laboratory as of December 31, 2017.

3. To redress the harms suffered, Dr. Emerson brings claims for: (1) gender discrimination (disparate treatment) and wrongful termination in violation of California Government Code §12940(a); (2) gender discrimination (disparate impact) and wrongful termination in violation of California Government Code §12940(a); (3) retaliation and wrongful termination in violation of Government Code §12940(h); (4) failure to prevent discrimination and retaliation in violation of California Government Code §12940(k); (5) gender pay discrimination in violation of California Labor Code §1197.5(a); (6) retaliation and wrongful termination in violation of Labor Code §1197.5(k); (7) wrongful termination in violation of public policy; (8) intentional infliction of emotional distress; (9) violation of the Unfair Competition Law, California Business & Professions Code §§17200, et seq.; and (10) accounting.

### JURISDICTION AND VENUE

- 4. The Superior Court of the State of California has jurisdiction over this action pursuant to Article VI, §10 of the California Constitution because this case is a cause not given by statute to other trial courts. Federal jurisdiction does not exist in this case because there is no federal question implicated and because there is no diversity of citizenship.
- 5. This Court has personal jurisdiction over Defendant because Defendant does business, and conducts a substantial amount of business, in the State of California. During the relevant period, Defendant did sufficient business in, had sufficient contacts with, and intentionally availed itself of the laws and markets of California through the promotion, sale, marketing, and operation of services, as to render exercise of jurisdiction by California courts permissible. The

violations of law hereinafter described have been and are now being carried out within the County of San Diego, State of California.

6. Venue is proper in this county in accordance with Code of Civil Procedure §395(a) because Dr. Emerson resides in this County and Defendant is currently doing, and has done during the relevant period, significant amounts of business in this County. In addition, many of the acts and practices giving rise to Dr. Emerson's claims occurred in this County.

### THE PARTIES

### Dr. Emerson

7. Dr. Emerson is now, and at all relevant times was, a resident of the County of San Diego, State of California. As a woman, Dr. Emerson is a member of a protected class of persons under the California Fair Employment and Housing Act, Government Code §§12940, *et seq.*, and Labor Code §1197.5. Dr. Emerson has been employed by the Salk Institute since 1986 and on September 27, 2017, the Salk Institute denied Dr. Emerson's request to extend her employment contract, thereby wrongfully terminating Dr. Emerson's employment effective December 31, 2017.

#### **Defendants**

- 8. Defendant, the Salk Institute, is a California nonprofit public benefit corporation, with its principal place of business located at 10010 North Torrey Pines Road, La Jolla, California 92037. Defendant is registered with the California Secretary of State to do business in California. Defendant employs more than five persons and is, and all times mentioned herein was, an "employer" within the meaning of Government Code §12926(d) and the Labor Code. Defendant also has a duly constituted Board of Trustees which is responsible for its management and operation.
- 9. In doing the acts herein alleged, Defendant's employees, subcontractors, and agents acted within the course and scope of their employment and agency with Defendant. Defendant engaged in the acts alleged herein and/or condoned, permitted, authorized, and/or ratified the conduct of its employees, subcontractors, and agents, and is vicariously liable for the wrongful conduct of its employees, subcontractors, and agents alleged herein.
- 10. Dr. Emerson does not know the true names and capacities of Defendant DOES 1 through 50, inclusive, and therefore sues them by these fictitious names. Dr. Emerson will amend

this Complaint to include their names and capacities once they are known. Dr. Emerson is informed and believes, and on that basis, alleges, that each of the Defendants designated as a DOE is legally responsible in some manner for the occurrences alleged in this Complaint, and unlawfully caused the injuries and damages to Dr. Emerson as alleged in this Complaint.

11. Dr. Emerson is informed and believes, and thereon alleges, that each Defendant is, and at all times mentioned was, the agent, employee, or representative of each of the other Defendants. Each Defendant, in doing the acts, or in omitting to act as alleged in this Complaint, was acting within the scope of his or her actual or apparent authority, or the alleged acts and omissions of each Defendant as agent subsequently were ratified and adopted by each other Defendant as principal.

#### **EXHAUSTION OF REMEDIES**

- 12. On January 3, 2017, Dr. Emerson filed a charge of discrimination with the California Department of Fair Employment and Housing ("DFEH"). That same day, the DFEH closed Dr. Emerson's case and issued a Right-To-Sue Notice. Therefore, Dr. Emerson has exhausted her administrative remedies. True and correct copies of the charge and notice are collectively attached hereto as Exhibit 1.
- 13. On January 26, 2018, Dr. Emerson filed an amended charge of discrimination with the DFEH relating to her wrongful termination. That same day, the DFEH closed Dr. Emerson's case and issued an Amended Right-To-Sue Notice. Therefore, Dr. Emerson has exhausted her administrative remedies. True and correct copies of the amended charge and amended notice are collectively attached hereto as Exhibit 2.

### FACTS COMMON TO ALL CAUSES OF ACTION

- 14. The Salk Institute is one of the world's preeminent centers of biomedical research. Established in 1963, it has continuously employed internationally-renowned faculty who make groundbreaking contributions in areas such as cancer, gene therapy, neurobiology, and plant biology.
- 15. The Salk Institute claims it is an Equal Opportunity Employer and is "committed to providing a work environment free from any form of unlawful harassment, discrimination or

retaliation." In fact, the Salk Institute has strict written policies requiring personnel who believe they have been discriminated against, or who have witnessed any discrimination, to "immediately report such conduct" to management or Human Resources. The Salk Institute then vows that "[i]n response to *every complaint*, the Institute will, through impartial and qualified personnel and in a timely manner, conduct an objective investigation, track and document the investigation to ensure reasonable progress, reach conclusions and arrive at a determination based on information and evidence collected, and communicate its determination... If improper conduct is found, *appropriate corrective action will be taken to stop any prohibited conduct and deter future conduct of a similar nature*." (Emphasis added.) *See* the Salk Institute's "Policy Prohibiting Harassment, Discrimination and Retaliation."

- 16. Unfortunately, the Salk Institute has utterly failed to follow its own policies, discriminating against all three of its female Full Professors, including Dr. Emerson, for decades through systemic marginalization. Female Full Professors are: (1) promoted at slower rates; (2) paid less regardless of their experience and scientific contributions, seniority, ability to secure grant funding, awards and accolades, and high-profile publications; (3) deprived of their equitable share of resources, including donor funding and laboratory space; (4) blocked from high-value funding opportunities from private donors and foundations; (5) denied nearly all leadership and professional advancement opportunities within the Salk Institute; and (6) forced to work in a hostile environment in which they are undermined, disrespected, and treated unequally.
- In fact, the Administration and the Board of Trustees have known about these gender disparities *for over 10 years*, yet have failed to take any corrective action whatsoever as required by its own policies. As early as 2001, then-President Richard Murphy commissioned a "Report of the Faculty Development Committee on the Status of Women Faculty at the Salk" from the Academic Council,<sup>2</sup> which was completed in 2003 by a committee chaired by Dr. Chory, the only female Full Professor *not* employed by the Salk Institute (the "2003 Report"). The 2003 Report was based on

The Academic Council serves as a representative of the faculty and formulates the Salk Institute's academic policies.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

30 years of the Salk Institute's faculty appointments and promotions. Among other things, the 2003 Report identified several alarming trends including an underrepresentation of women faculty, slower promotion times for women faculty, and a grossly disproportionate allocation of resources (including awarding all the Salk Institute's Endowed Chairs<sup>3</sup> to only male faculty). Based on the clear evidence of gender inequality, the 2003 Report made recommendations to the President and the Board of Trustees to help close the massive gender gap. Regrettably, the Administration and Board of Trustees completely ignored the 2003 Report's findings and recommendations and continued to allow male-dominance over female Full Professors to prevail.

18. Thirteen years later, these same issues were presented to President Elizabeth Blackburn and to Chairman of the Board Irwin Jacobs via a "White Paper" from the six-person Faculty Issues Subgroup of the Community and Culture Committee, chaired by Dr. Emerson (the "2016 Report"). The 2016 Report was one of several "White Papers" commissioned by President Blackburn in conjunction with C-Far, an outside consulting firm (www.cfar.com) retained by the Salk Institute, which spent several months consulting with the Salk Institute. Among other things, the 2016 Report concluded that the Salk Institute: has a "substantial and long-standing problem in recruiting, promoting and retaining women faculty"; has low turnover on faculty committees and "a complete lack of gender diversity in leadership positions" that promotes "a culture in which a small subset of faculty [i.e., senior male Professors] play a disproportionately large role in academic governance"; a lack of written policies and procedures regarding the distribution of resources, resulting in inconsistent [discriminatory], non-transparent allocations; reserves all opportunities for visibility among high-value private donors for a "limited number of go-to faculty" [i.e., senior male Professors]; applies "significant gender-specific bias" to the distribution of resources, as evidenced by the substantial size differential between the male Full Professor-run and female Full Professorrun laboratories; and exhibits "gender-specific bias ... in the timeline for awarding endowed chairs."

2526

27

28

<sup>&</sup>lt;sup>3</sup> Endowed Chairs, funded by private donors and foundations, are designed to honor exceptional faculty members while providing crucial financial support to pay a portion of the faculty member's salary.

- 19. Around the same time, a Finance Committee sub-group, which Dr. Emerson was not a member of, also submitted a "White Paper" to President Blackburn which concluded that because "no system is in place … to assess the equitable and transparent distribution of Institute-generated resources [i.e., "fundraising activities of the President's Office, the External Relations Office, the Office of Technology Development and others at the Institute"]" and because "the labs headed by all of the senior female faculty are in the bottom quartile of lab size, despite higher levels of NIH funding in one of these labs … *the mechanism for distribution of Institute resources may not be gender-neutral*."
- 20. For years, President Brody and Chairman Jacobs, and more recently President Blackburn, completely ignored the damning evidence of gender discrimination. Upon receipt of the 2016 Report and the Finance Committee's 2016 White Paper, President Blackburn and Chairman Jacobs failed to further investigate their complaints or even distribute them to the Board of Trustees for their review, an action President Blackburn had previously stated would be taken. At the same time, the Salk Institute disingenuously endorsed the goal of "diversity and inclusion" as integral to fostering a healthy Salk Institute culture.
- 21. With full knowledge of this long-standing gender discrimination, the Salk Institute also misleadingly promotes its "Women in Science" program as "donor bait," claiming that the program "is making great strides toward awareness of the need for more women and other underrepresented groups to fully participate in science innovation" to solicit donations from the unsuspecting public.
- 22. The Salk Institute has been able to perpetuate this gender discrimination for so long through a complete lack of transparency in its policies and procedures regarding recruiting and hiring, promotions, pay raises, allocation of donor funding and resources, access to private donor funding opportunities, distribution of bridge funding, awarding of Endowed Chairs, appointment to committee leadership positions, and employment contract extensions, as well as the absence of annual faculty performance reviews (in contrast to other organizations in the Salk Institute's peer group). Without clear policies and procedures, a small group of senior male Full Professors, in

1	collusion with the Administration, have been allowed to control the Salk Institute entirely to their			
2	benefit.			
3	23. Today, the effects of the Salk Institute's discriminatory practices are startling.			
4	Approximately, only:			
5	(a) 20% of the faculty <sup>4</sup> are female;			
6	(b) 13% of all Full Professors are female;			
7	(c) 21% of the new faculty hires since 2010 have been female;			
8	(d) 11% of the Endowed Chairs have been awarded to female Full Professors,			
9	with a significant time delay relative to Endowed Chairs awarded to male Full Professors;			
10	(e) 5% of the current leadership positions on appointed faculty committees are			
11	held by female Full Professors; and			
12	(f) 4% of all laboratory staff are employed by female Full Professors, which are			
13	all substantially smaller than the laboratories run by male Full Professors.			
14	24. As President Blackburn has opined regarding the glass ceiling in the sciences after			
15	she joined the Salk Institute, "I think what's happened over the years, although there's been many			
16	women coming into the pipeline for many sciences, there's been a drop-off in the percentage of			
17	women as one goes higher and higher into their career ranks" based on "some combination of both			
18	implicit and explicit biases at work" Indeed, in her own career, President Blackburn has			
19	experienced "situations where I could tell that I was not included in the same kind of somewhat old			
20	boys' sorts of networking, and I think decisions were being made without my participation because			
21	I wasn't really in those networks and not accepted into them." This gender bias and "old boys' sorts			
22	of networking" has been occurring at the Salk Institute for decades, and unfortunately, is still			
23	occurring under its first female President, who is clearly very aware of the problem.			
24				
25				
26	This includes both HHMI and non-HHMI Full Professors, Associate Professors, and			
27	Assistant Professors, but excludes Professors Emeritus and Salk Fellows.  Turk, Victoria, "More and More Science Grads are Women. So Why do so Few Make it to			
20	the Top?" April 22, 2016, http://motherboard.vice.com/read/more-and-more-science-grads-are-			

women-so-why-do-so-few-make-it-to-the-top.

### Dr. Emerson is a Highly-Accomplished, Internationally-Renowned Scientist Who Has Dedicated 31 Years of Research to the Salk Institute

- 25. Dr. Emerson is an internationally-renowned scientist in the field of molecular biology. She was hired by the Salk Institute as an Assistant Professor in 1986. In 1992, she was promoted to Associate Professor, and in 1999, she was promoted to Full Professor. She is currently 65 years old and her employment contract with the Salk Institute terminated on December 31, 2017 due to the Salk Institute's discriminatory and retaliatory denial of Dr. Emerson's request for a contract extension.
- 26. For almost 31 years, Dr. Emerson has run a laboratory focused on deciphering mechanisms of transcriptional and epigenetic control using developmentally expressed genes and genes or pathways that are deregulated in human cancers. Dr. Emerson has consistently maintained National Institutes of Health (NIH) grants for 30 years to run her laboratory, which are highly competitive (only approximately 7 15% of NIH grant applications are funded) and extremely valuable (they pay direct and 94% of indirect (overhead) costs of the laboratory). Dr. Emerson was also among the first awardees of competitive grants from the California Institute of Regenerative Medicine and from the National Cancer Institute's (NCI) Physical Sciences in Oncology Network. Dr. Emerson's NIH funding ended in May 2016 due primarily to forced staff reductions by the Salk Institute, impeding Dr. Emerson's ability to maintain sufficient production for grant renewal.
- 27. Dr. Emerson's research has resulted in an extensive history of publications in high-profile scientific journals, including recent articles in *Molecular Cell*, *eLIFE*, *Nature Cancer Reviews*, and *Proceedings of the National Academy of Sciences*.
- 28. Dr. Emerson has been a Member of the Salk Institute's NCI-designated Cancer Center since approximately 1990 and a Member of the University of California, San Diego's Moores Cancer Center since approximately 2014.
- 29. In 2013, after 27 years of service (and 14 years as a Full Professor), Dr. Emerson was finally awarded the Salk Institute's Edwin K. Hunter Endowed Chair, which is designed to provide crucial financial support for Dr. Emerson's laboratory infrastructure, including salary support.

- 30. In 2014, Dr. Emerson was elected Faculty Chair by her peers for the Salk Institute's Academic Council, serving as Chair-Elect from April 2014 to April 2015, Chair from April 2015 to April 2016, and as Past Chair until March 31, 2017. Dr. Emerson was only the second woman to hold this Faculty Chair position since its inception. By virtue of her election as Faculty Chair, Dr. Emerson also became a Member of the Board of Trustees, serving from 2014 to March 31, 2017.
- 31. In 2015, Dr. Emerson was elected as a Fellow of the American Association for the Advancement of Science, the world's largest general scientific society, for her distinguished contributions to science.
- 32. Though Dr. Emerson has had a sense of the "old boys' club" culture at the Salk Institute since she started in 1986, it was not until she became Faculty Chair and received a copy of the 2003 Report from Dr. Chory, that she realized her almost 31-year career has been plagued by blatant gender discrimination, which was endorsed either implicitly or explicitly by the Administration and the Board of Trustees.

## The Salk Institute Thwarts the Careers of its Female Faculty by Promoting Females at Much Slower Rates than its Male Faculty

- 33. The faculty hierarchy at the Salk Institute increases in rank from Assistant Professor to Associate Professor to Full Professor. Professors at all levels establish and manage individual laboratories and are required to hire and pay their staff, conduct research, and publish their findings in peer-reviewed journals, among other responsibilities. Only Full Professors receive tenure and are eligible for Endowed Chairs and leadership positions on faculty committees.
- 34. Unfortunately, over the years, the Salk Institute has consistently discouraged female faculty from seeking promotions, promoted females at slower rates than males, denied female faculty promotions, and/or encouraged female faculty to leave the Salk Institute, severely limiting the number of females in the pipeline for promotion to Full Professor.
- 35. In fact, since 1999, only one female has been promoted to Full Professor (Dr. Emerson) and only one female has been hired as a Full Professor (Dr. Lundblad, hired in 2004).
- 36. The 2003 Report specifically addressed this issue, concluding that female Assistant Professors had to work an average of 1.2 years longer than male Assistant Professors (6.4 years vs.

5.6 years) to be promoted to Associate Professor, and female Associate Professors had to work an average of 1.7 years longer than male Associate Professors (5.3 years vs. 3.6 years) to be promoted to Full Professor, putting females several years behind their male counterparts in receiving the professorial benefits. The 2003 Report also noted 70% of male faculty were promoted to Full Professor ahead of the stated guidelines, while only one woman had ever been promoted ahead of schedule.

- 37. Dr. Emerson personally experienced this lag in promotion time. She had to work nearly seven years as an Assistant Professor before being promoted to Associate Professor, and nearly seven years as Associate Professor before being promoted to Full Professor. In total, it took Dr. Emerson almost five years longer than the average male faculty member to become a Full Professor at the Salk Institute.
- 38. The Faculty Issues Subgroup of the Community and Culture Committee also addressed this issue in the 2016 Report, noting that in the past six years, the Salk Institute hired 3.75 male faculty members for every female appointment, and that all current female Full Professors had been hired as Assistant Professors over 30 years prior, except for Dr. Lundblad. As the 2016 Report concluded, "This statement alone is indicative of a substantial and long-standing problem in recruiting, promoting and retaining women faculty."

### The Salk Institute Pays Female Full Professors Significantly Less Than Their Male Counterparts

- 39. On information and belief, when Dr. Jones was hired by the Salk Institute in 1986 (the same year Dr. Emerson was hired), the Assistant to the Chief Financial Officer told her that female faculty were paid less and given fewer resources than male faculty. This was confirmed in 2009, when Human Resources informed Dr. Emerson and Dr. Jones that they were among the six lowest paid Full Professors at the Salk Institute despite having years of seniority over many of the male Full Professors.
- 40. In fact, the Salk Institute's IRS Form 990s show that Dr. Emerson has earned less total compensation than her male counterparts for years (and likely her entire career at the Salk Institute). On information and belief, by 2014, Dr. Emerson's total compensation was about 50%

less than the highest paid male Full Professor (Dr. Inder Verma) as listed on the 2014 IRS Form 990.

- 41. Further, by 2015, at least one of the 2009 lowest paid male Full Professors, as reported by Human Resources, was being paid a higher base salary than Dr. Emerson, despite being ten years her junior in seniority.
- 42. Additionally, in 2016, Dr. Emerson was informed that certain male Full Professors are also paid yearly "retention payments." Dr. Emerson has never received a retention payment from the Salk Institute despite her nearly 31 years of loyal service. The Salk Institute also provides incentive-based compensation and laboratory funding. Yet, the policies describing eligibility for incentive-based compensation and retention payments and the process for making these decisions are completely non-transparent.

# The Salk Institute Intentionally Disadvantages Female Full Professors' Laboratories Through Inequitable Resource Distribution and Exclusion from High-Value Funding Opportunities

- 43. Research laboratories at the Salk Institute are funded by federal and state grants, private foundations and donors, and the Salk Institute itself (via interest from the endowment and indirect cost awards from NIH grants). Deficits in laboratory funding are covered by the Salk Institute (called "bridge funding"), at the discretion of the Administration.
- 44. As stated in Dr. Emerson's employment contract with the Salk Institute, "While it is assumed that you *and the Institute* will use best efforts to assure that your salary continues to come from appropriate grants, contracts and other outside sources, the portion of salary not so provided by outside sources will be provided from Salk Institute funds." (Emphasis added.)
- 45. A laboratory's success and competitiveness depends upon these various sources of funding. The funds are used to hire research staff (usually Post-Doctoral fellows and graduate students), which increases the laboratory's ability to make significant discoveries and publish their findings in scientific journals, and thereby increases the odds for continued and additional research funding. Thus, smaller laboratories are at a significant competitive disadvantage in terms of productivity, funding, and career potential. In fact, the Salk Institute considers these small laboratories to be "non-sustainable."

### **Grant Funding**

- 46. Most Professors must continuously apply for grants to maintain the funding required to run their laboratories. NIH grants are especially valuable because they pay direct costs (*e.g.*, salaries, equipment, and supplies), and then pay a separate amount equal to 94% of the direct costs for indirect costs (*e.g.*, facilities, maintenance, and administrative expenses). Competition for grant funding is unrestricted, meaning all Professors are eligible to apply.
- 47. The NIH "Grants Policy Statement" requires organizations that receive NIH funding to comply with various statutes, including the Educational Amendments of 1972, which provide that no person shall, on the basis of sex, be excluded from participation in, denied the benefits of, or subjected to discrimination under any educational program or activity receiving federal financial assistance. The NIH may revoke or cease funding, and even suspend or disbar an institution from receiving funds, due to a recipient's violation of an applicable law, regulation, or policy, which constitutes a failure to comply with the terms and conditions of the award.
- 48. The NIH recently restated its requirement that "grantee institutions, PIs and others responsible for the administration of these funded activities identify and eliminate barriers to participation in order to provide a non-discriminatory research and research-related environment."
- 49. The NIH Grants Policy Statement also requires recipients to comply with its cost principles. Under these principles, direct and indirect costs are only allowed if they are reasonable, allocable to a specific grant, treated consistently for all work of the organization under similar circumstances, and conform with the limitations and exclusions contained in the terms and conditions of the award.
- 50. When a Professor is awarded an NIH grant, there is absolutely no transparency as to how the Salk Institute utilizes the indirect cost award, and therefore, no transparency to determine whether any of the indirect costs are actually allocated to the Professor's laboratory, or instead, used to support male Professors' laboratories to the detriment of female Professors. On information and belief, the Salk Institute does not always abide by the NIH's cost principles when allocating the indirect costs awarded from grants received by female Full Professors.

- 51. First, female Full Professor laboratories are among the smallest laboratories at the Salk Institute *despite each female Full Professor maintaining almost continuous NIH grant funding*. Currently, Dr. Emerson's laboratory employs two staff members, Dr. Jones' laboratory employs four staff members, and Dr. Lundblad's laboratory employs four staff members. In contrast, the male Full Professors' laboratories have an average of 11 staff members, and at least six of their laboratories have between 16 and 53 staff members, although *many of these male Full Professors maintain little NIH funding*. Male Full Professors with comparable amounts of NIH funding to female Full Professors have laboratories that are two to three times larger.
- 52. The expectation is that a median Salk Institute laboratory should have two NIH grants per faculty member, sustaining around 8.5 staff members. Yet, female Full Professors with two NIH grants are limited to four staff members, which is an insufficient size to renew existing grants. As the 2016 Report concluded, this is evidence of "significant gender-specific bias." The Finance Committee's 2016 White Paper also concluded that this was evidence that "the mechanism for distribution of Institute resources may not be gender-neutral."
- 53. Furthermore, as Dr. Emerson nears the expiration of her contract, she has been repeatedly forced to reduce her laboratory staff without explanation. Dr. Emerson's laboratory routinely had six to eight staff members until 2012. But, in 2012, former President Brody summoned Dr. Emerson into his office and stated that he did not know how much longer the Salk Institute could support her laboratory, even though she had two NIH grants at the time and more NIH funding per staff member than many male Full Professors. Since 2012, Dr. Emerson has been forced to successively fire staff, ultimately reducing her laboratory size by 75% to two staff members (not counting herself).
- 54. Other female Full Professors have also been forced to reduce their laboratory staffs. In approximately 2013, Dr. Jones (age 62) was forced to reduce her laboratory by 50%, from eight staff members to four staff members. In recent years, Dr. Lundblad (age 64) has also been forced to reduce her laboratory by 67%, from twelve staff members to four staff members. To the best of Dr. Emerson's knowledge, no male Full Professor has had to make such Draconian cuts in the size of his laboratory.

- 55. These forced reductions are commonly referred to as the "Death Spiral." By drastically reducing laboratory staff, the laboratory will become far less productive and be unable to produce the data necessary to apply for new and continued grant funding, to publish more frequently, and to attract strong candidates (such as Post-Doctoral Researchers). The laboratory thus becomes "non-sustainable," which paves the way to justify forcing female Full Professors to retire at the end of their contracts, without the possibility of a contract extension. Indeed, on September 27, 2017, President Blackburn used the Death Spiral as pretext to retaliatorily deny Dr. Emerson's request for a contract extension (as further detailed below).
- 56. The majority of male Full Professors, on the other hand, are not forced into the Death Spiral. In fact, several male Full Professors have continued to work for the Salk Institute post-tenure, maintaining laboratories with more staff members than the pre-tenure female Full Professors, and with limited or no NIH funding, requiring the Salk Institute to subsidize their deficits.
- 57. Furthermore, while female Full Professors have been forced to strip their laboratories down to the bare bones, the Administration has enjoyed significant salary increases and bonuses. For example, between 2012 and 2014, when President Brody claimed the Salk Institute could not support Dr. Emerson's laboratory, he enjoyed a 67% increase in his total compensation, from \$971,543 to \$1,623,785 (including \$881,135 in "Other Compensation"), as reported on the Salk Institute's IRS Form 990.

#### **Private Donors/Foundations**

- 58. The External Relations office is charged with securing private donations to support research and to communicate the value and impact of that research to a local, national, and global audience to build awareness and broaden the base of prospective donors. It routinely organizes national and international fundraising events featuring a subset of "go to" Salk Institute faculty.
- 59. Access to these private donor funding opportunities is controlled by Rebecca Newman, Director of External Relations, with the advice of her hand-picked External Relations Committee. The External Relations Committee is an administrative committee with no oversight and is dominated by certain senior male Full Professors. The only female Full Professor on the Committee is Dr. Chory, who is employed and fully funded by the HHMI, not the Salk Institute.

The largesse bestowed upon this hand-picked subset of male Full Professors enables them to sustain laboratories much larger than those of Dr. Emerson and Drs. Jones and Lundblad.

- 60. In November 2015, Ms. Newman disclosed to the Board of Trustees that the Salk Institute had raised millions of dollars in private donations, and cited individual awards between one to six million dollars each to specific male Full Professors, including members of the External Relations Committee. Indeed, fund-raising efforts by External Relations to provide substantial support to individual laboratories through "Endowed Laboratory Proposals" are made for select male Full Professors but not for any female Full Professors.
- 61. Female Full Professors, on the other hand, have been excluded from virtually all high-value private donor funding opportunities, which have a restricted application process that necessitates the Salk Institute's backing. For example, in 2013, 20 faculty members were chosen to apply for a grant from the Helmsley Charitable Trust. No female Full Professors were selected to apply for the initial grant (which awarded \$42 million to the Salk Institute) or for the more recent 2016 grant renewal (which awarded \$25 million to the Salk Institute). In fact, almost all other faculty members in the two departments in which Dr. Emerson and Drs. Jones and Lundblad work were included in the Helmsley grant. These three female Full Professors were specifically excluded without either External Relations or the three senior male lead investigators (Full Professors) of the grant even requesting updates on their current research to determine whether it would be appropriate to include them in the application.
- 62. Instead, female Full Professors are typically only given the opportunity to apply for small *private* grants in the range of \$10,000 to \$50,000.
- 63. Female Full Professors are also excluded from many opportunities to meet potential high-value donors, which include speaking at events such as the "Salkexcellerators" private receptions and attending the annual Salk Institute International Council meetings and other nationwide and international events. Instead, as the 2016 Report pointed out, these events are reserved for a small subset of "go-to faculty" (*i.e.*, including the same few senior male Full Professors), selected by External Relations through the few senior male Full Professors who unduly influence these decisions. In Dr. Emerson's nearly 31-year history at the Salk Institute, she has been

dispatched to a fundraising event outside of San Diego only once, in 2003. Some male Full Professors are sent to such events several times a year.

64. Further, on information and belief, due to the lack of transparency at the Salk Institute, the Salk Institute usurps some private donations intended for female Full Professors without the female Full Professor ever knowing about them. For example, on several occasions, Dr. Emerson was told to write research progress reports for private donors she was unaware supported her research and that she had never met.

### **Endowed Chairs**

- 65. Many private foundations have established Endowed Chairs, which provide Full Professors with stable funding to support their salaries so that they can focus on their research. The Salk Institute currently has 27 Endowed Chairs, 6 which each have a \$3 million funding minimum.
- 66. There are no objective metrics to determine how Endowed Chairs are awarded. There is no correlation between the Full Professor's seniority, ability to generate grant funding, publications in high-profile journals, or receipt of prestigious awards or accolades. Instead, the Administration awards Endowed Chairs based on unknown subjective metrics poisoned with bias against female Full Professors.
- 67. Thirteen years ago, the 2003 Report specifically addressed the fact that all Endowed Chairs had only been awarded to male faculty, despite employing five worthy female Full Professors at the time. This male dominance has continued. In fact, up until 2013, no female Full Professor had ever been awarded an Endowed Chair.
- 68. Dr. Emerson had to work for the Salk Institute as a Full Professor for 14 years (and 27 years in total) before she received the first Endowed Chair awarded to a female Full Professor in 2013. Dr. Lundblad worked as a Full Professor for ten years before she received her Endowed Chair in 2014. In 2015, Dr. Ursula Bellugi (now *Emerita*), who has worked for the Salk Institute almost

Excluding developmental chairs, which are solely awarded to junior faculty, and honorary chairs, which do not provide any funding.

since its establishment, was only awarded a non-funded "Honorary" Chair. Dr. Jones, who has worked for the Salk Institute for over 30 years, still has not received an Endowed Chair.

69. Meanwhile, the Salk Institute awarded Endowed Chairs to male Full Professors who were with the Salk Institute for as little as two years, and who are significantly junior to all female Full Professors, including Dr. Emerson. As the 2016 Report noted, this skewed timeline for awarding Endowed Chairs is also evidence of "gender-specific bias."

## The Salk Institute Encourages Male-Dominance by Denying Female Full Professors the Opportunity to Serve in Leadership Positions

- 70. There are numerous leadership opportunities at the Salk Institute including positions within its Academic Council, nine standing faculty committees, and the Salk Institute Cancer Center. However, as the 2016 Report pointed out, there is "a complete lack of gender diversity in leadership positions." Female Full Professors are regularly excluded from these leadership opportunities.
- 71. The Academic Council represents the faculty to the Administration and Board of Trustees and formulates the Salk Institute's academic policies. It has a Faculty Chair position, which is an elected leadership position chosen by all Salk Institute faculty. In 2014, Dr. Emerson was elected by her peers to serve as Faculty Chair from April 2015 to April 2016. Dr. Emerson was only the second female Full Professor to ever serve in this leadership position since the inception of the Academic Council in 1989 and she endured a considerable amount of hostility and marginalization from the Administration and some male Full Professors during her term.
- 72. The Salk Institute's nine standing faculty committees have a considerable amount of power, including determining recruitments and promotions (the Appointments Committee), the Salk Institute's scientific direction (the Academic Planning Committee), and the distribution of laboratory space (the Space Committee). Each committee has a Chair and a Co-Chair or Vice Chair position held by Full Professors. In 2017, no chair position was held by a female Full Professor. In Dr. Emerson's nearly 31 years with the Salk Institute, she has never been appointed to a faculty committee leadership position.

- 73. Further, there are no term limits for faculty committee leadership positions, allowing male Full Professors to chair these powerful committees for up to 10 to 15 years. As the 2016 Report noted, "This promotes a culture in which a small subset of faculty [*i.e.*, senior male Full Professors] play a disproportionately large role in academic governance."
- 74. The Salk Institute's NCI-designated Cancer Center comprises about half of the research at the Salk Institute. Currently, 19 male Full Professors and all three female Full Professors are members of the Cancer Center, and only seven of these Full Professors have active or recent NCI funding. Dr. Emerson and Dr. Jones are two of these Full Professors with active or recent NCI funding.
- 75. The Cancer Center has seven leadership positions, including the position of Director. Despite having NCI funding and significant experience in cancer research, Dr. Emerson and Drs. Jones and Lundblad have been consistently denied leadership positions at the Cancer Center, although they have requested them on numerous occasions. In fact, all leadership positions at the Cancer Center have *always* been held by male Full Professors, many of which are junior to Drs. Emerson, Jones, and Lundblad, causing them to complain to the Director about the lack of diversity. This blatant gender discrimination led Dr. Jones to resign from the Cancer Center in 2015, although her name still appears on the Salk Cancer Center website, presumably because of her NCI grants that greatly benefit the Cancer Center.

### Dr. Emerson Was Forced to Endure Further Gender Discrimination and a Hostile Environment Perpetuated by the Salk Institute Administration and Senior Male Full Professors after She was Elected to a Position of Power

- 76. In rare instances that a female Full Professor obtains a position of power at the Salk Institute, she is undermined, disrespected, and treated unequally from her male predecessors and successors. Dr. Emerson experienced this when she assumed the Faculty Chair position on the Academic Council in April 2015. Among other things:
- (a) President Brody intentionally diminished and belittled Dr. Emerson by failing to attend *any* of the faculty meetings during Dr. Emerson's term, in violation of the By-Laws and in direct contrast with his giving a "President's Report" at every quarterly faculty meeting chaired by her male predecessors.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

28

- (b) Dr. Emerson was prevented from attending all four Executive Committee meetings of the Board of Trustees, in violation of the By-Laws. The Administration and/or President Brody either failed to inform her of the meetings or told her the meeting had been cancelled.
- (c) The Administration refused to provide Dr. Emerson with the information she needed to do her job, including Salk Institute space diagrams, information about laboratory sizes, information about how Fellows are funded, and copies of the External Relations Department's presentations to the Academic Council.
- (d) Though all prior male Faculty Chairs or Chair-Elects were responsible for overseeing laboratory space assignments, Dr. Emerson, as Chair-Elect, was stripped of this responsibility by her male predecessor, who formed a special "Space Committee" and appointed an un-elected male Full Professor to oversee this new committee. As Chair-Elect, Dr. Emerson was excluded from all discussions and decisions about the distribution of laboratory space through the Space Committee.
- (e) Tellingly, President Brody took issue with Dr. Emerson's "Academic Council Goals: 2015-16," in which she, as Faculty Chair, delineated a goal to include more female Full Professors in leadership roles, contemptuously stating that "boys control committees and boys choose boys."

### The Salk Institute's Undefined Policies Have Allowed this Pattern and Practice of Gender Discrimination to Continue for Decades

- 77. In addition to discussing the rampant gender discrimination, the 2016 Report also reported on issues of governance and transparency. Specifically, because many academic policies at the Salk Institute are not in writing, they are subject to multiple interpretations, resulting in inconsistent, non-transparent, and discriminatory governance. For example:
- (a) There are no policies regarding faculty recruitment, allowing the Salk Institute to hire 3.5 male faculty for every one female faculty member in the past six years.
- (b) There are no annual faculty performance reviews, giving the Administration and the Board of Trustees maximum latitude to make subjective biased decisions regarding

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

promotions, pay raises, Endowed Chair awards, and leadership appointments. This is in sharp contrast to most other scientific institutes in the Salk Institute's peer group.

- There are no written policies regarding private donor funding opportunities, (c) which, unlike federal and state grants, are not open to all faculty members. Instead, the Salk Institute endows certain influential male Full Professors and administrators with the power to select the lucky applicants without any transparency or stated objective metrics to guide their decisions.
- (d) There are no established term limits for faculty committee positions, indefinitely limiting power to a select group of senior male Full Professors.
- There are also no clear policies and there is no accountability for the distribution of laboratory space, bridge funding, private donor funding, or indirect cost awards, allowing the Salk Institute to make distributions with little to no oversight.
- (f) There are no criteria or policies governing the category of "Special Arrangements for Individual [Private Investigators]" or permanent "bridge funding," which benefit only those favored senior male Full Professors who cannot support their large laboratories with their own NIH funding, or lack thereof.

### The Administration and the Board of Directors Have Knowingly Violated the Salk Institute's Policies and Allowed Gender Discrimination to Continue for Years

- 78. The Salk Institute's By-Laws vest all corporate powers in the Board of Trustees, "which shall control the property and manage the affairs of the Corporation." See "By-Laws of The Salk Institute for Biological Studies, San Diego California, April 17, 2015," Article IV, Section 1. Irwin Jacobs was the Chairman of the Board of Trustees from November 2006 to November 2016 and is currently serving on the Board of Trustees as Chairman Emeritus. The President and Faculty Chair also serve on the Board of Trustees, among other elected members.
- 79. The Salk Institute's "Suggested Roles and Responsibilities of a Board of Trustee Member: Criteria for Board Service" states that the Board of Trustees is charged with establishing overall policies, implementing a strategic plan with identified goals and objectives, evaluating the Salk Institute's performance once policies are established, providing financial and investment

oversight, and "upholding the Salk Institute Code of Ethics and setting the ethical tone for the Salk, the management, and the employees of the Salk."

80. The Salk Institute's "Guidelines for the Board of Trustees" again emphasizes that the Board of Trustees is responsible for setting the ethical tone for the Salk Institute, and charges the

- 80. The Salk Institute's "Guidelines for the Board of Trustees" again emphasizes that the Board of Trustees is responsible for setting the ethical tone for the Salk Institute, and charges the Board of Trustees with establishing policies to encourage all personnel to alert management and the Board of Trustees of ethical issues and potential violations of the law, without fear of retribution.
- 81. In addition to the Salk Institute's "Policy Prohibiting Harassment, Discrimination and Retaliation," the Salk Institute's "Code of Ethics" also requires that it "provide equal employment opportunities to all employees" in "all aspects of employment, including, but not limited to, hiring, job assignment, compensation, promotion, benefits, training, discipline and termination." The Code also prohibits the Salk Institute from engaging in unfair methods of competition and unfair or deceptive acts or practices, and requires that staff members maintain and report accurate financial information. Staff members are "personally responsible" for bringing any instance, occurrence, or practice that they in good faith believe is a violation of the Ethics Code to the attention of management. The Salk Institute vows to ensure a thorough and timely investigation and resolution.
- 82. Dr. Emerson, as a faculty member, Faculty Chair of the Academic Council, and Member of the Board of Trustees, upheld her fiduciary duties and ethical responsibilities to alert management and the Board of Trustees of ethical issues and potential violations of the law by repeatedly complaining about the rampant gender discrimination. Among other complaints:
- (a) In May 2016, Dr. Emerson and Drs. Jones and Lundblad met with President Blackburn to complain about the lack of resources for their laboratories based on their gender, and Dr. Emerson's and Dr. Jones' lower salaries. Although President Blackburn told them that they were each "outstanding scientists," she was unable or unwilling to remedy these issues.
- (b) President Blackburn later commissioned the Community and Culture Committee (one of several committees established by her), from which the "Faculty Issues" Subgroup emerged. Dr. Emerson was asked to chair the Faculty Issues Subgroup, comprised of five other faculty members, and wrote a White Paper on "Diversity and Inclusion," which included

gender discrimination issues. Dr. Emerson compiled the evidence and prepared the 2016 Report, which was delivered to President Blackburn in June 2016 with the understanding that the 2016 Report would be sent to Chairman Jacobs and certain Board members to discuss at the August 2016 Board meeting. The 2016 Report provided evidence of the Salk Institute's substantial and long-standing gender discrimination against female Full Professors. However, neither President Blackburn nor Chairman Jacobs ever questioned Dr. Emerson about the 2016 Report, presented the 2016 Report to the Board of Trustees, or otherwise notified the Board of Trustees of the 2016 Report's findings.

- Committee of the Board of Trustees (on which she served, along with President Blackburn and Chairman Jacobs) about the Salk Institute's annual budgets to determine how resources are allocated in an attempt to identify and remedy discriminatory allocations. Dr. Emerson asked specific questions about ambiguous budget categories such as "Special Arrangements," "Special Payments," and "Individual PI agreements for Institute Funding," but received no response. Dr. Emerson also pointed out that although the Salk Institute's endowment has performed poorly for years, government grant funding has decreased significantly, and the number of faculty members has decreased, the amount of money budgeted for the Administrative Expenses has increased and budgets for direct research have decreased. Furthermore, certain members of the Administration continue to receive high salaries and yearly bonuses.
- 83. In violation of the Salk Institute's "Policy Prohibiting Harassment, Discrimination and Retaliation" and the "Code of Ethics," the Administration and the Board of Trustees have never investigated the findings of the 2003 Report, the 2016 Report, or the Finance Committee's 2016 White Paper, and have not taken any corrective actions to stop or remedy the discrimination or prevent it from continuing.

The Salk Institute Retaliated Against Dr. Emerson by Denying her Request for a Contract Extension, Thereby Wrongfully Terminating Dr. Emerson's Employment After She Made Numerous Complaints of Gender Discrimination and Filed this Lawsuit

84. During Dr. Emerson's May 2016 meeting with President Blackburn regarding gender discrimination, Dr. Emerson and President Blackburn also discussed Dr. Emerson's current funding,

future research plans, and Dr. Emerson's desire to keep her laboratory viable and productive. Dr. Emerson complained that the External Relations Department had largely ignored female Full Professors' laboratories over the years and failed to provide female Full Professors with the same fundraising support it provided to male Full Professors. This resulted in the Salk Institute forcing female Full Professors to drastically reduce their staff. Dr. Emerson told President Blackburn that she believed the reason for the lack of fundraising support and staff reductions was to force female Full Professors into the Death Spiral so that the Salk Institute could deny their requests for contract extensions at the end of their tenure.

- 85. In response, President Blackburn told Dr. Emerson to "forget about" the External Relations Department and promised to get money for Dr. Emerson. President Blackburn asked Dr. Emerson to write a research initiative that President Blackburn could present to potential donors.
- 86. In December 2016, Dr. Emerson submitted her "Cancer Ecosystems" initiative to President Blackburn, who said it clearly looked like it would be one of the Salk Institute's Next 50 Science Initiatives to be presented to the Board of Trustees and promised to send it to the Science Initiatives Implementation Task Force. President Blackburn also opined on the best ways to present Dr. Emerson's proposal to potential donors to maximize fundraising effectiveness.
- 87. Thereafter, Dr. Emerson worked for months with President Blackburn's Task Force, including preparing a five-year budget and a lay summary for her initiative, at the Task Force's request. When preparing the budget, the Task Force told Dr. Emerson she had "the freedom to ask for whatever [Dr. Emerson] like[d], the bigger the better so the team can budget accordingly" and asked Dr. Emerson about her preferences regarding a new faculty hire to potentially expand the research initiative. In making these preparations, Dr. Emerson reasonably believed the Salk Institute intended to extend her employment contract so that her laboratory could work on the initiative.
- 88. However, by May 2017 (after the Salk Institute learned that Dr. Emerson may be filing a lawsuit against it), the Task Force and President Blackburn stopped communicating with Dr. Emerson regarding her initiative despite Dr. Emerson's multiple follow-up requests regarding the status of her initiative and her contract extension. On July 18, 2017, Dr. Emerson filed this lawsuit.

- 89. On August 11, 2017, after months of silence, the Task Force requested that Dr. Emerson review a one-page summary it had prepared regarding her initiative, to be presented to the Board of Trustees. The summary described Dr. Emerson's initiative as the Salk Institute's "new view on cancer," but unfortunately, made no mention whatsoever of Dr. Emerson. Instead, a quote by a male Full Professor was misleadingly placed next to the summary. Confused, Dr. Emerson contacted President Blackburn and asked what her functional role was to be in the initiative and about her request for a contract extension. Again, President Blackburn was silent.
- 90. Finally, on September 14, 2017, Dr. Emerson met with President Blackburn, Chief Financial Officer Kim Witmer, and Chief Scientific Officer Martin Hetzer regarding her request for a contract extension. President Blackburn informed Dr. Emerson that her request had been denied, claiming Dr. Emerson failed to meet the Salk Institute's Post-Tenure Faculty Guidelines which state that "[t]he academic post-tenure professorial rank will *typically* be reserved for those with 50% or more of external salary funding." (emphasis added) Just as Dr. Emerson predicted, she had been forced into the Death Spiral. Upon information and belief, unlike Dr. Emerson and the female Full Professors before her, the Salk Institute assisted and supported the males who were up for contract extensions in obtaining external salary funding or made exceptions to the guidelines on their behalves. Upon information and belief, these male professors include, but are not limited to, Geoff Wahl, Jean Rivier, and David Schubert.
- 91. In response, Dr. Emerson pointed out that the reason she wrote the initiative one-year prior was because President Blackburn promised to help fundraise for Dr. Emerson's laboratory, like the External Relations Department did for her male peers. When Dr. Emerson asked President Blackburn why she had not fundraised for her initiative, as promised, President Blackburn callously replied that "anyone can write an initiative, but it doesn't mean that we will fundraise for it." Dr. Emerson's wrongful termination was confirmed, in writing, on September 27, 2017.
- 92. As such, Dr. Emerson's over 30-year career with the Salk Institute was wrongfully terminated on December 31, 2017. As a result, Dr. Emerson has suffered and continues to suffer lost wages, humiliation, embarrassment, loss of enjoyment of life, emotional distress, and mental

anguish. Dr. Emerson is a victim of Defendant's unlawful practices and therefore brings this action to recover damages, restitution, and injunctive and declaratory relief.

## FIRST CAUSE OF ACTION Gender Discrimination (Disparate Treatment) and Wrongful Termination In Violation of California Government Code §12940(a)

- 93. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 94. Government Code §12940 states in pertinent part: "It is an unlawful employment practice ... [f]or an employer, because of the ... gender ... of any person ... to discharge the person from employment ... or to discriminate against the person in compensation or in terms, conditions, or privileges of employment." Government Code §12940(a).
- 95. At all relevant times mentioned herein, Dr. Emerson, who is female, was in a class of persons protected by Government Code §12940 because of her gender, and Defendant was aware of her gender.
- 96. As set forth above, and in violation of Government Code §§12940 *et. seq.*, Defendant discriminated against Dr. Emerson on the basis of her gender. Among other discrimination, Dr. Emerson was: (1) promoted at a slower rate than her male counterparts; (2) compensated less than her male counterparts in both wages and benefits; (3) deprived of her fair share of resources, including donor funding and laboratory space; (4) blocked from high-value funding opportunities from private donors and foundations; (5) denied leadership and professional advancement opportunities; (6) forced to work in a hostile environment in which Dr. Emerson was undermined, disrespected, disparaged, and treated unequally; (7) forced to suffer a loss of professional reputation; and (8) denied her request for a contract extension, wrongfully terminating her employment effective December 31, 2017.
- 97. As a proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits, and potential career opportunities, in an amount to be determined according to proof at the time of trial.

- 98. As a further proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, humiliation, embarrassment, loss of enjoyment of life, emotional distress, and mental anguish, all in an amount to be determined according to proof at the time of trial.
- 99. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and with conscious disregard for the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount commensurate with Defendant's wrongful acts sufficient to punish and make an example of Defendant to deter further despicable conduct.
- 100. Dr. Emerson has sustained and continues to sustain legal expenses and attorney fees, in addition to other damages that may be sustained herein, and is also entitled to recover prevailing party attorney's fees and costs pursuant to Government Code §12965(b), as a result of Defendant's calculated, contemptable, and loathsome conduct.

## SECOND CAUSE OF ACTION Gender Discrimination (Disparate Impact) and Wrongful Termination In Violation of California Government Code §12940(a)

- 101. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 102. Government Code §12940 states in pertinent part: "It is an unlawful employment practice ... [f]or an employer, because of the ... gender ... of any person ... to discharge the person from employment ... or to discriminate against the person in compensation or in terms, conditions, or privileges of employment." Government Code §12940(a).
- 103. At all relevant times mentioned herein, Dr. Emerson, who is female, was in a class of persons protected by Government Code §12940 because of her gender, and Defendant was aware of her gender.
- 104. As set forth above, and in violation of Government Code §§12940, *et. seq.*, Defendant's employment practices and/or policies have had a disproportionate adverse effect on female Full Professors, including Dr. Emerson. Among other disproportionately adverse effects,

Defendant's employment practices and/or policies caused female Full Professors to be: (1) promoted at slower rates than their male counterparts; (2) paid less than their male counterparts in both wages and benefits; (3) deprived of their fair share of resources, including donor funding and laboratory space; (4) blocked from high-value funding opportunities from private donors and foundations; (5) denied leadership and professional advancement opportunities for which they were qualified; (6) forced to work in a hostile environment in which they were undermined, disrespected, disparaged, and treated unequally; (7) forced to suffer a loss of their professional reputations; and (8) denied requests for contract extensions, thereby wrongfully terminating their employment.

- 105. As a proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits, and potential career opportunities, in an amount to be determined according to proof at the time of trial.
- 106. As a further proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, humiliation, embarrassment, loss of enjoyment of life, emotional distress, and mental anguish, all in an amount to be determined according to proof at the time of trial.
- 107. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and with conscious disregard for the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount commensurate with Defendant's wrongful acts sufficient to punish and make an example of Defendant to deter further despicable conduct.
- 108. Dr. Emerson has sustained and continues to sustain legal expenses and attorney fees, in addition to other damages that may be sustained herein, and is also entitled to recover prevailing party attorney's fees and costs pursuant to Government Code §12965(b), as a result of Defendant's calculated, contemptable, and loathsome conduct.

## THIRD CAUSE OF ACTION Retaliation and Wrongful Termination In Violation of California Government Code §12940(h)

- 109. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 110. Government Code §12940(h) makes it unlawful for an employer to discharge or otherwise discriminate against any person because the person has opposed any practices forbidden by the Fair Employment and Housing Act, Government Code §§12940, *et seq.*, including gender discrimination.
- 111. As set forth above, Dr. Emerson made numerous protected complaints to Defendant regarding gender discrimination including, but not limited to, unequal pay, unequal distribution of resources, and unequal access to leadership opportunities, and filed this lawsuit regarding the same. Unfortunately, Defendant took no action to protect Dr. Emerson. Instead, Defendant retaliated against Dr. Emerson and denied Dr. Emerson's request for an employment contract extension, thereby wrongfully terminating Dr. Emerson's employment. Dr. Emerson's complaints and filing of this lawsuit were substantial motivating reasons for Defendant's decision to deny Dr. Emerson's request for an employment contract extension.
- 112. As a proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits, and potential career opportunities, in an amount to be determined according to proof at the time of trial.
- 113. As a further proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, humiliation, embarrassment, loss of enjoyment of life, emotional distress, and mental anguish, all in an amount to be determined according to proof at the time of trial.
- 114. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and with conscious disregard for the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount commensurate with Defendant's wrongful acts sufficient to punish and make an example of Defendant to deter further despicable conduct.

115. Dr. Emerson has sustained and continues to sustain legal expenses and attorney fees, in addition to other damages that may be sustained herein, and is also entitled to recover prevailing party attorney's fees and costs pursuant to Government Code §12965(b), as a result of Defendant's calculated, contemptable, and loathsome conduct.

## FOURTH CAUSE OF ACTION Failure to Prevent Discrimination and Retaliation from Occurring In Violation of California Government Code §12940(k)

- 116. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 117. Government Code §12940(k) makes it unlawful for an employer "to fail to take all reasonable steps necessary to prevent discrimination ... from occurring." "[R]etaliation is a form of discrimination actionable under [Government Code] section 12940, subdivision (k)." *Taylor v. City of Los Angeles Dept. of Water & Power*, 144 Cal. App. 4th 1216, 1239-40 (2006) (disapproved on other grounds in *Jones v. The Lodge at Torrey Pines Partnership*, 42 Cal. 4th 1158, 1173-1174, 177 P.3d 232 (2008)).
- 118. As set forth above, Defendant discriminated against Dr. Emerson based on her gender and retaliated against Dr. Emerson for making numerous protected complaints to Defendant regarding gender discrimination.
- 119. At all times mentioned herein, Defendant was aware of the discriminatory and retaliatory conduct toward Dr. Emerson, and failed to take reasonable steps to prevent such conduct from occurring, in violation of Government Code §12940(k).
- 120. As a proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits in an amount to be determined according to proof at the time of trial.
- 121. As a further proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, humiliation, embarrassment, loss of enjoyment of life, emotional distress, and mental anguish, all in an amount to be determined according to proof at the time of trial.

- 122. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and with conscious disregard for the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount appropriate to punish and make an example of Defendant.
- 123. Dr. Emerson is also entitled to recover prevailing party attorney's fees and costs pursuant to Government Code §12965(b), as a result of Defendant's calculated, contemptable, and loathsome conduct.

## FIFTH CAUSE OF ACTION Gender Pay Discrimination In Violation of California Labor Code §1197.5(a)

- 124. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 125. Labor Code §1197.5(a) makes it unlawful for an employer to "pay any of its employees at wage rates less than the rates paid to employees of the opposite sex for substantially similar work when viewed as a composite of skill, effort, and responsibility, and performed under similar working conditions ...".
- 126. At all times mentioned herein, Dr. Emerson, who is female, was in a class of persons protected by Labor Code §1197.5(a) because of her gender, and Defendant was aware of her gender.
- 127. As set forth above, and in violation of Labor Code §1197.5, Defendant willfully paid Dr. Emerson less than her similarly-situated male colleagues performing substantially similar work when viewed as a composite of skill, effort, and responsibility, and performed under similar working conditions.
- 128. The differential in pay between male and female Full Professors was due strictly to gender and not due to either a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or any other bona fide factor such as education, training, or experience. Alternatively, to the extent Defendant allegedly relied upon one or more of these bona fide factors, such factors were not applied reasonably and do not account for the entire wage differential.

1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25	I	l

27

28

- 129. As a proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, a substantial loss of wages and benefits based on the unlawful differential. Pursuant to Labor Code §1197.5(h), Dr. Emerson is entitled to recovery of the differential in wages and benefits, plus interest, in an amount to be determined according to proof at the time of trial.
- 130. Dr. Emerson is entitled to liquidated damages pursuant to Labor Code §1197.5(h), as a result of Defendant's wrongful conduct.
- 131. Dr. Emerson is also entitled to attorneys' fees and costs pursuant to Labor Code §1197.5(h), as a result of Defendant's calculated, contemptable, and loathsome conduct.

## SIXTH CAUSE OF ACTION Retaliation and Wrongful Termination In Violation of California Labor Code §1197.5(k)

- 132. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 133. Labor Code §1197.5(k) makes it unlawful for an employer to "discharge, or in any manner discriminate or retaliate against, any employee by reason of any action taken by the employee to invoke or assist in any manner the enforcement of this section."
- 134. Labor Code §1197.5(k)(2) provides for "reimbursement for lost wages and work benefits caused by the acts of the employer, including interest thereon, as well as appropriate equitable relief" for violations of Labor Code §1197.5(k).
- 135. At all times mentioned herein, Dr. Emerson, who is female, was in a class of persons protected by Labor Code §1197.5(a) because of her gender, and Defendant was aware of her gender.
- 136. As set forth above, and in violation of Labor Code §1197.5, Defendant willfully paid Dr. Emerson less than her similarly-situated male colleagues performing substantially similar work when viewed as a composite of skill, effort, and responsibility, and performed under similar working conditions.

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	

1

- 137. As set forth above, and in violation of Labor Code §1197.5, Defendant retaliated against Dr. Emerson by denying Dr. Emerson's request for a contract extension, thereby wrongfully terminating her employment, on the basis of her gender and requests to be paid equally.
- 138. As a proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits, and potential career opportunities, in an amount to be determined according to proof at the time of trial.
- 139. Dr. Emerson is also entitled to attorneys' fees and costs pursuant to Labor Code §1197.5, as a result of Defendant's calculated, contemptable, and loathsome conduct.

### SEVENTH CAUSE OF ACTION Wrongful Termination In Violation of Public Policy

- 140. Dr. Emerson re-alleges and incorporates herein by reference each and every allegation in the preceding and subsequent paragraphs.
- 141. Defendant's conduct in discriminating against, retaliating against, and ultimately terminating Dr. Emerson's employment on the basis of her gender violated the public policy of that State of California embodied in *City of Moorpark v. Super. Ct.*, 18 Cal. 4th 1143, 1155, 959 P.2d 752 (1998); see also Tameny v. Atlantic Richfield Co., 27 Cal. 3d 167, 178, 610 P.2d 1330 (1980).
- 142. Public policy may also be violated by retaliating against an employee for internal disclosure of "illegal, unethical, or unsafe practices" which affect the public at large. *See Collier v. Super. Ct.*, 228 Cal. App. 3d 1117, 1122-23 (1991); *Green v. Ralee Engineering Co.*, 19 Cal. 4th 66, 87, 960 P.2d 1046 (1998). This fundamental public policy is embodied in California statutory law, including, without limitation, Labor Code §1197.5. Adverse employment actions taken by an employer in response to such activity are contrary to such public policy and are thus actionable under the common law of California.
- 143. As set forth above, Defendant discriminated and retaliated against Dr. Emerson denying Dr. Emerson's request for a contract extension, thereby wrongfully terminating her employment, based on her gender and because she opposed and complained of Defendants' discriminatory conduct towards her.

- 144. In terminating Dr. Emerson for these reasons and under these circumstances, Defendant violated the fundamental public policies embodied in California Government Code §12940(a) and (h), Labor Code §1197.5, and other provisions of law identified herein.
- 145. As a proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings and job benefits in an amount to be determined according to proof at the time of trial.
- 146. As a further proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered, and continues to suffer, humiliation, embarrassment, emotional distress, and mental anguish, all in an amount to be determined according to proof at the time of trial.
- 147. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and with conscious disregard for the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount appropriate to punish and make an example of Defendant.
- 148. Dr. Emerson is also entitled to attorneys' fees and costs, pursuant to the private attorney general theory doctrine (Code of Civil Procedure §1021.5), and any other applicable provision for attorney fees and costs, based upon the violation of the underlying public policies.

### **EIGHTH CAUSE OF ACTION Intentional Infliction of Emotional Distress**

- 149. Dr. Emerson hereby re-alleges and incorporates by reference the allegations contained in the paragraphs above, as if fully set forth herein.
- 150. Defendant engaged in outrageous conduct toward Dr. Emerson with the intention of causing, or with reckless disregard for the probability of causing, Dr. Emerson to suffer severe emotional distress, and with wanton and reckless disregard for the injurious result to Dr. Emerson. As set forth in detail above, Defendant discriminated against Dr. Emerson and caused Dr. Emerson to endure numerous discriminatory reprisals based on her gender, including: (1) slower promotion rates; (2) lower pay; (3) an unequal distribution of resources; (4) exclusion from opportunities for high-value grants from private donors and foundations; (5) denial of leadership and professional advancement opportunities within and outside of the Salk Institute; (6) a hostile environment in

which Dr. Emerson was undermined, disrespected, disparaged and treated unequally; (7) a loss of professional reputation; and (8) denial of her request for an employment contract extension. Dr. Emerson has dedicated nearly 31 years of her scientific career to Defendant, and in return, Defendant subjected Dr. Emerson to systemic marginalization to intentionally minimize her success. Salk also retaliated against Dr. Emerson by denying her request for an employment contract extension, thereby wrongfully terminating her employment, because she made protected complaints regarding gender discrimination and filed this lawsuit regarding the same. Further, Defendant has been on notice of the rampant gender discrimination for over a decade, yet has intentionally failed to prevent the discrimination from continuing.

- 151. As a proximate result of Defendant's calculated, contemptable, and loathsome conduct, Dr. Emerson has suffered, and continues to suffer, substantial losses in earnings, earning capacity, and other benefits of employment, all in an amount to be determined according to proof at the time of trial
- 152. As a further proximate result of Defendant's wrongful conduct, Dr. Emerson has suffered and continues to suffer humiliation, embarrassment, loss of enjoyment of life, emotional distress and mental anguish, all in an amount to be determined according to proof at the time of trial.
- 153. In performing the acts alleged herein, Defendant acted with oppression, fraud, malice, and in conscious disregard of the rights of Dr. Emerson, and Dr. Emerson is therefore entitled to punitive damages against Defendant in an amount appropriate to punish and make an example of Defendant.

#### NINTH CAUSE OF ACTION

### Violation of the Unfair Competition Law, Business & Professions Code §§17200, et seq.

- 154. Dr. Emerson hereby re-alleges and incorporates by reference the allegations contained in the paragraphs above, as if fully set forth herein.
  - 155. Defendant is a "person" as defined under Bus. & Prof. Code §17021.
- 156. Each of the directors, officers, and/or agents of Defendant is equally responsible for the acts of the others as set forth in Bus. & Prof. Code §17095.

1

157.

2	et seq. The actions of Defendant as alleged within this Complaint constitute unlawful and unfair			
3	business practices with the meaning of Bus. & Prof. Code §§17200, et seq.			
4	158. As described above, Defendant has conducted the following unlawful activities:			
5	(a) violation of Government Code §12940(a) by discriminating against and			
6	wrongfully terminating Dr. Emerson based on her gender;			
7	(b) violation of Government Code §12940(h) by retaliating against Dr. Emerson			
8	for making numerous protected complaints to Defendant regarding gender discrimination, including			
9	by filing this lawsuit;			
10	(c) violation of Government Code §12940(k) by failing to prevent discrimination			
11	and retaliation from occurring;			
12	(d) violation of Labor Code §1197.5(a) by discriminating against Dr. Emerson			
13	in payment based on her gender; and			
14	(e) violation of Labor Code §1197.5(k) by retaliating against and wrongfully			
15	terminating Dr. Emerson because she has taken actions to invoke or assist in any manner the			
16	enforcement of Labor Code §1197.5.			
17	159. Defendant's activities also constitute unfair practices in violation of Bus. & Prof.			
18	Code §§17200, et seq., because Defendant's practices violate the above noted laws, and/or violate			
19	an established public policy, and/or the practice is immoral, unethical, oppressive, unscrupulous,			
20	and substantially injurious to Dr. Emerson.			
21	160. The identified violations of the Government and Labor Codes constitute business			
22	practices because they were done repeatedly over a period of time, and in a systematic manner to			
23	the detriment of Dr. Emerson.			
24	161. As a result of Defendant's violations of the Government and Labor Codes, Dr.			
25	Emerson has suffered injury-in-fact and has lost money or property as a result of Defendant's			
26	practices. This injury-in-fact and loss of money or property consists of the lost wages and other			
27	restitutionary remedies provided by the Government and Labor Codes as detailed in this Complaint			
28	and other resulting harms. Dr. Emerson is entitled to restitution, an injunction, declaratory, and other			

Defendant engaged in unlawful activity prohibited by Bus. & Prof. Code §§17200,

equitable relief against such unlawful practices to prevent future damage for which there is no adequate remedy at law.

- 162. As a result of its unlawful acts, Defendant has reaped and continues to reap unfair benefits and unlawful profits at the expense of Dr. Emerson. Defendant should be enjoined from this activity pursuant to Bus. & Prof. Code §17203.
- 163. As a direct and proximate result of the unfair business practices of Defendant, Dr. Emerson is entitled to equitable and injunctive relief, including full restitution of all wages which have been unlawfully lost as a result of the business acts and practices described herein, and enjoining Defendant to cease and desist from engaging in the practices described herein for the maximum time permitted pursuant to Bus. & Prof. Code §17208, including any tolling.
- 164. Dr. Emerson is also entitled to attorneys' fees and costs, pursuant to the private attorney general theory doctrine (Code of Civil Procedure §1021.5), and any other applicable provision for attorneys' fees and costs, based upon the violation of the underlying public policies.

# TENTH CAUSE OF ACTION Accounting

- 165. Dr. Emerson hereby re-alleges and incorporates by reference the allegations contained in the paragraphs above, as if fully set forth herein.
- 166. "A cause of action for an accounting requires a showing that a relationship exists between the plaintiff and defendant that requires an accounting, and that some balance is due the plaintiff that can only be ascertained by an accounting." *Teselle v. McLoughlin*, 173 Cal. App. 4th 156, 179 (2009).
- 167. As set forth above, an employment relationship exists between Dr. Emerson and Defendant. As stated in Dr. Emerson's employment contract with Defendant, "While it is assumed that you and the Institute will use best efforts to assure that your salary continues to come from appropriate grants, contracts and other outside sources, the portion of salary not so provided by outside sources will be provided from Salk Institute funds."

2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	

168.	As set forth	above, D	efendant	does no	t have	any clear	r policies	s, and	therefo	re no
accountability	, for the dist	ribution of	f private	donor fu	unding	or indired	et costs i	from g	rant fu	nding
awarded to its	scientists									

- 169. On information and belief, some private donations specifically intended for Dr. Emerson and/or indirectly intended for Dr. Emerson via Defendant's "Women in the Sciences" fundraising efforts were usurped by Defendant without Dr. Emerson ever knowing about them. Further, on information and belief, Defendant also misallocated the indirect costs awarded from grants received by Dr. Emerson.
- 170. Due to the lack of transparency, Dr. Emerson does not know the precise amount of private donor funding and indirect cost funding due to her laboratory, and such amounts can only be determined by an accounting of Defendant's books and records.
- 171. Dr. Emerson seeks an accounting of Defendant's books and records so that the amount owed to her can be ascertained.

#### **PRAYER**

WHEREFORE, the Plaintiff seeks judgment as follows:

- A. For compensatory damages, including loss of wages and benefits (past and future), and emotional distress damages from Defendant according to proof at trial;
- B. For recovery of the differential in wages and benefits pursuant to Labor Code §1197.5(h);
  - C. For an accounting of Defendant's books and records;
  - D. For liquidated damages from Defendant pursuant to Labor Code §1197.5(h);
  - E. For pre-judgment and post-judgment interest to the extent allowable by law;
- F. For attorneys' fees and costs from Defendant pursuant to Government Code \$12965(b), Labor Code \$1197.5(h), and Code of Civil Procedure \$1021.5;
  - G. For punitive, exemplary, and special damages from Defendant, according to proof;
- H. For an injunction restraining Defendant from continuing to engage in unlawful and unfair business practices in violation of Bus. & Prof. Code, §§17200, et seq.; and
  - I. For such other and further relief as the Court deems just and proper.

HAEGGQUIST & ECK, LLP

Case No.:37-2017-00026375-CU-OE-CTL FIRST AMENDED COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF

# EXHIBIT 1

2218 Kausen Drive, Suite 100 | Elk Grove | CA | 95758 800-884-1684 | TDD 800-700-2320 www.dfeh.ca.gov | email: contact.center@dfeh.ca.gov DIRECTOR KEVIN KISH

#### **AMENDED**

January 03, 2017

Jenna Rangel 225 Broadway, Suite 2050 San Diego California 92101

**RE: Notice to Complainant or Complainant's Attorney** 

DFEH Matter Number: 153954-268847

Right to Sue: Emerson / The Salk Institute For Biological Studies, San Diego, California

Dear Complainant or Complainant's Attorney:

Attached is a copy of your complaint of discrimination filed with the Department of Fair Employment and Housing (DFEH) pursuant to the California Fair Employment and Housing Act, Government Code section 12900 et seq. Also attached is a copy of your Notice of Case Closure and Right to Sue. Pursuant to Government Code section 12962, DFEH will not serve these documents on the employer. You or your attorney must serve the complaint. If you do not have an attorney, you must serve the complaint yourself. Please refer to the attached Notice of Case Closure and Right to Sue for information regarding filing a private lawsuit in the State of California.

Be advised that the DFEH does not review or edit the complaint form to ensure that it meets procedural or statutory requirements.

Sincerely,

2218 Kausen Drive, Suite 100 | Elk Grove | CA | 95758 800-884-1684 | TDD 800-700-2320 www.dfeh.ca.gov | email: contact.center@dfeh.ca.gov DIRECTOR KEVIN KISH

#### **AMENDED**

January 03, 2017

**RE: Notice of Filing of Discrimination Complaint** 

DFEH Matter Number: 153954-268847

Right to Sue: Emerson / The Salk Institute For Biological Studies, San Diego, California

#### To All Respondent(s):

Enclosed is a copy of a complaint of discrimination that has been filed with the Department of Fair Employment and Housing (DFEH) in accordance with Government Code section 12960. This constitutes service of the complaint pursuant to Government Code section 12962. The complainant has requested an authorization to file a lawsuit. This case is not being investigated by DFEH and is being closed immediately. A copy of the Notice of Case Closure and Right to Sue is enclosed for your records.

Please refer to the attached complaint for a list of all respondent(s) and their contact information.

No response to DFEH is requested or required.

Sincerely,

DIRECTOR KEVIN KISH

2218 Kausen Drive, Suite 100 I Elk Grove I CA I 95758 800-884-1684 I TDD 800-700-2320 www.dfeh.ca.gov I email: contact.center@dfeh.ca.gov

#### **AMENDED**

January 03, 2017

Beverly Emerson 12295 Misty Blue Court San Diego, California 92131

**RE: Notice of Case Closure and Right to Sue** 

DFEH Matter Number: 153954-268847

Right to Sue: Emerson / The Salk Institute For Biological Studies, San Diego, California

Dear Beverly Emerson,

This letter informs you that the above-referenced complaint was filed with the Department of Fair Employment and Housing (DFEH) has been closed effective January 03, 2017 because an immediate Right to Sue notice was requested. DFEH will take no further action on the complaint.

This letter is also your Right to Sue notice. According to Government Code section 12965, subdivision (b), a civil action may be brought under the provisions of the Fair Employment and Housing Act against the person, employer, labor organization or employment agency named in the above-referenced complaint. The civil action must be filed within one year from the date of this letter.

To obtain a federal Right to Sue notice, you must visit the U.S. Equal Employment Opportunity Commission (EEOC) to file a complaint within 30 days of receipt of this DFEH Notice of Case Closure or within 300 days of the alleged discriminatory act, whichever is earlier.

Sincerely,



DIRECTOR KEVIN KISH

2218 Kausen Drive, Suite 100 I Elk Grove I CA I 95758 800-884-1684 I TDD 800-700-2320 www.dfeh.ca.gov I email: contact.center@dfeh.ca.gov

# **AMENDED**

**Enclosures** 

CC:

## COMPLAINT OF EMPLOYMENT DISCRIMINATION 1 2 BEFORE THE STATE OF CALIFORNIA DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING 3 Under the California Fair Employment and Housing Act 4 (Gov. Code, § 12900 et seq.) 5 In the Matter of the Complaint of DFEH No. 153954-268847 6 Beverly Emerson, Complainant. 7 12295 Misty Blue Court San Diego, California 92131 8 VS. 9 The Salk Institute For Biological Studies, San 10 Diego, California, Respondent. 11 10010 N. Torrey Pines Road La Jolla, California 92037 12 13 Complainant alleges: 14 15 16 FEHA. 17 18 19

- 1. Respondent The Salk Institute For Biological Studies, San Diego, California is a subject to suit under the California Fair Employment and Housing Act (FEHA) (Gov. Code, § 12900 et seq.). Complainant believes respondent is subject to the
- 2. On or around **January 03, 2017**, complainant alleges that respondent took the following adverse actions against complainant: Discrimination Denied a work environment free of discrimination and/or retaliation, Denied equal pay, Denied promotion, Other, Promoted at a slower rate than male counterparts, denied an equitable distribution of resources, excluded from private funding opportunities, and excluded from leadership and professional advancement opportunities, among other discriminatory acts. Complainant believes respondent committed these actions because of their: Sex - Gender .
- 3. Complainant Beverly Emerson resides in the City of San Diego, State of California. If complaint includes co-respondents please see below.

DEFH 902-1

20

21

22

Date Filed: January 03, 2017

Date Amended: January 04, 2017

# 

#### DEEH 902-1

Throughout her employment with Respondent, Ms. Emerson has been and continues to be discriminated against based on her gender. Among other discriminatory acts, Ms.

Emerson has been denied equal pay, promoted at a slower rate than her male

counterparts, denied an equitable distribution of resources, excluded from private funding opportunities, and excluded from leadership and professional advancement

Date Amended: January 04, 2017

Date Filed: January 03, 2017

**Additional Complaint Details:** 

opportunities based on her gender.

# **VERIFICATION** I, Jenna Rangel, am the Attorney for Complainant in the above-entitled complaint. I have read the foregoing complaint and know the contents thereof. The same is true of my own knowledge, except as to those matters which are therein alleged on information and belief, and as to those matters, I believe it to be true. On January 03, 2017, I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. San Diego, CA Jenna Rangel DEEH 902-1 Complaint ± DFEH No. 153954-268847 Date Filed: January 03, 2017

Date Amended: January 04, 2017

# EXHIBIT 2

2218 Kausen Drive, Suite 100 I Elk Grove I CA I 95758 (800) 884-1684 I TDD (800) 700-2320 http://www.dfeh.ca.gov I email: contact.center@dfeh.ca.gov

January 26, 2018

Jenna Rangel 225 Broadway 2050 San Diego, California 92101

RE: Notice to Complainant's Attorney

DFEH Matter Number: 845724-268847

Right to Sue: Emerson / The Salk Institute For Biological Studies, San Diego,

California

Dear Jenna Rangel:

Attached is a copy of your **amended** complaint of discrimination filed with the Department of Fair Employment and Housing (DFEH) pursuant to the California Fair Employment and Housing Act, Government Code section 12900 et seq.

Pursuant to Government Code section 12962, DFEH will not serve these documents on the employer. You or your client must serve the complaint.

The amended complaint is deemed to have the same filing date of the original complaint. This is not a new Right to Sue letter. The original Notice of Case Closure and Right to Sue issued in this case remains the only such notice provided by the DFEH. (Cal. Code Regs., tit. 2, § 10022.)

Be advised that the DFEH does not review or edit the complaint form to ensure that it meets procedural or statutory requirements.

Sincerely,

#### BEFORE THE STATE OF CALIFORNIA 2 DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING **Under the California Fair Employment and Housing Act** 3 (Gov. Code, § 12900 et seq.) 4 In the Matter of the Complaint of 5 Beverly Emerson DFEH No. 845724-268847 6 Complainant, VS. 7 The Salk Institute For Biological Studies, San 8 Diego, California 9 10010 N. Torrey Pines Road La Jolla, California 92037 10 Respondent. 11 12 1. Respondent The Salk Institute For Biological Studies, San Diego, California is an **employer** subject to suit under the California Fair Employment and Housing 13 Act (FEHA) (Gov. Code, § 12900 et seq.). 14 2. Complainant **Beverly Emerson**, resides in the City of **San Diego** State of California. 15 16 3. Complainant alleges that on or about **December 31, 2017**, respondent took the following adverse actions: 17 Complainant was discriminated against because of complainant's sex/gender and 18 as a result of the discrimination was terminated, denied hire or promotion, denied equal pay, denied a work environment free of discrimination and/or retaliation, 19 denied any employment benefit or privilege, failed to give equal considerations in 20 making employment decisions. 21 Complainant experienced retaliation because complainant reported or resisted any form of discrimination or harassment, participated as a witness in a 22 discrimination or harassment claim and as a result was terminated, denied a work 23 environment free of discrimination and/or retaliation, denied any employment benefit or privilege, failed to give equal considerations in making employment decisions. 24 25

COMPLAINT OF EMPLOYMENT DISCRIMINATION

Complaint - DFEH No. 845724-268847

28 Date Filed: January 3, 2017 Date Amended: January 26, 2018

26

27

1

1	Additional Complaint Details: Throughout her employment with Respondent, Dr.
2	Emerson was discriminated against based on her gender. Among other discriminatory acts, Dr. Emerson was denied equal pay, promoted at a slower rate
3	than her male counterparts, denied an equitable distribution of resources, excluded
4	from private funding opportunities, and excluded from leadership and professional advancement opportunities based on her gender. After Dr. Emerson engaged in
5	protected activity by making numerous complaints of gender discrimination to Respondent, and after filing a lawsuit against Respondent alleging gender
6	discrimination on July 18, 2017, Dr. Emerson was further discriminated and
7	retaliated against when Respondent denied her request for an employment contract extension. As a result, Dr. Emerson's employment wrongfully terminated on
8	December 31, 2017.
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
2 <del>4</del>	
25 26	
27	-2-
	Complaint – DFEH No. 845724-268847
28	ID 1 57 1 1 2 2 22 7

Date Filed: January 3, 2017
Date Amended: January 26, 2018

1	VERIFICATION
2 3 4	I, <b>Jenna Rangel</b> , am the <b>Attorney for Complainant</b> in the above-entitled complaint. I have read the foregoing complaint and know the contents thereof. The same is true of my own knowledge, except as to those matters which are therein alleged on information and belief, and as to those matters, I believe it to be true.
5	On January 26, 2018, I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.
6	
7	San Diego, CA
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18   19	
20	
21	
22	
23	
24	
25	
26	
27	-3-
28	Complaint – DFEH No. 845724-268847
	Date Filed: January 3, 2017 Date Amended: January 26, 2018