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SCIENTIFIC EVIDENCE IN DEVELOPMENT OF HCT/Ps SUBJECT TO PREMARKET APPROVAL

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1 marrow cell transplant experiments but they have 2 been under the oversight of the FDA. We are aware 3 that some of the procedures that have been out 4 there have had complications and I believe you may 5 hear about them soon and we advocate that all of the therapy should have (inaudible) data and have 6 7 that regulatory oversight. Again, we do not 8 advocate the use of pay policy for these sort of 9 studies.

10 DR. KAHN: Thank you Dr. Mansfield. Our 11 next speaker is Thomas Albini. Dr. Albini is an 12 Associate Professor of Clinical Ophthalmology at 13 the Bask and Palmer Eye Institute in Miami. 14 DR. ALBINI: Thank you very much for the 15 invitation to speak here. Thanks to the FDA and 16 Dr. Kahn and it is also a pleasure to be following 17 after Dr. Mansfield and my colleagues at Baskin Palmer Eye Institute and myself have been familiar 18 19 with Foundation for Fighting Blindness. I've 20 worked with them for years and I have to say I have very little of substance of what he said from 21 22 the patient's perspective. I think it is a great

organization in really helping patients who have 1 2 these blinding conditions. But what I'm going to 3 share with you is what I think is a very rare instance of a dreadful outcome from a "health 4 5 provider" in South Florida where I think there was really demonstrated neglect for both patient 6 7 safety and for any sort of scientific integrity 8 from what was being done. Just to discuss a real 9 case scenario with three patients who were 10 treated, came from elsewhere in the country, were treated in South Florida and then were seen at my 11 12 facility within two or three days after the 13 treatment because of complications and of note 14 this happened three times not just once. It was 15 really a very horrifying situation. I have no 16 relevant disclosures.

17 We've heard already about macular 18 degeneration this morning and a little bit in the 19 last talk and its important to say that for most 20 patients with macular degeneration they preserve 21 their vision for quite a long time. Dry macular 22 degeneration especially in its early

manifestations is really consistent with retained 1 2 vision for decades for the vast majority of 3 patients. But for about 10 percent of the patients they will go on to develop one of the 4 5 severe forms. There is the wet form of macular degeneration. This is the form where patients are 6 7 routinely getting monthly injections of various 8 biologic agents to control the fluid that 9 accumulates underneath the retina within the 10 retina and causes vision loss. Another 10 percent 11 of the macular degeneration patients will develop 12 severe dry form macular degeneration where there 13 is atrophy of the retinal pigment epithelium as we 14 discussed earlier this morning. 15 Now these patients will go on to severe

16 vision loss for the vast majority of them if left 17 untreated. We have very good treatment now for 18 the wet form. For the dry form we still don't 19 have a very good treatment. So the story is the 20 patient moves to Florida and is getting excited 21 about taking up golf as their main retirement 22 activity and then loses the ability to drive, read

and recognize faces. These patients maintain good
peripheral vision so they can get around a room
but they lose their central vision.

4 So the wet macular degeneration outcomes 5 these are outcomes from pivotal trials for a drug called Ramibizumab that was introduced by 6 7 Genentech back in 2006 and really have shown 8 marked improvement of visual acuity from baseline 9 whereas in the control groups the patients lost 10 visual acuity. This is maintained out at least through the first three or four years, we've got 11 12 data through seven years. You lose a little bit 13 of vision but if you continue with these monthly injections of biologics you can really maintain 14 15 that visual acuity for a long time.

16 In dry macular degeneration the number 17 of different strategies that are out there trying 18 to find a treatment including biologics, small 19 molecules, gene therapies and cell therapies as 20 we're talking about here today. Perhaps these 21 embryonic stem cell derived retinal pigment 22 epithelial cells much like the cells that were

discussed earlier today. But provided from 1 2 another source a company called Ocata Therapeutics 3 that published their results in The Lancet, this 4 is for dry macular degeneration and really showed 5 some modest but apparently real visual acuity gains and some other biologic findings on various 6 7 imaging studies demonstrating that these cells 8 actually took residence and where the retinal 9 pigment epithelium should be in the sub-retinal space and had some demonstrated function as well 10 in that space. So we are having some victories in 11 12 this field. They are coming slowly but I think 13 this is very welcome news that there is some real 14 data that stem cells are working for dry macular 15 degeneration.

Now one of the delivery methods that we haven't talked about today is just intravitreal delivery which is perhaps the simplest form of delivery. It is the form of delivery that we use for intravitreal injections of biologics for wet macular degeneration like that drug Ramibizumab that I just mentioned. In this case these four

different trials that are listed on 1 2 clinicaltrials.gov are looking at taking stem 3 cells and delivering them straight into the vitreous cavity. One of these studies was using 4 5 adipose derived stem cells where the adipose tissue was harvested on the same day that the 6 7 intravitreal injection was done and was then 8 processed in a very quick fashion under an hour. 9 In the same procedure injected them bilaterally 10 into both eyes. It is the outcome of this 11 procedure that I'm going to be talking about. 12 This company that was doing this unbelievably 13 without an ophthalmologist directly involved in 14 what was happening without and M.D. injecting the 15 They were injected by a nurse cells. practitioner without M.D. oversight. This has 16 resulted in really bad outcomes and as I said 17 18 again demonstrated a complete lack of regard to 19 the patient safety and to any sort of scientific 20 integrity. They did have a trial that was posted on clinicaltrials.gov. The patients when I saw 21 22 them and they came to the hospital a day or two

1 after this happened, by the way this was a very 2 painful procedure for them which is not typical 3 for injections. They are usually somewhat painful 4 but not the type of profound paint that these 5 patients were describing. They were under the impression that they had participated in a 6 7 clinical trial. When we finally were able to see 8 the informed consents that the patients had signed 9 the informed consents actually weren't for any 10 clinical trial they were just for a basically fee for service procedure which was explained to the 11 12 patient to have the possibility of resulting in blindness and that it was outside the standard of 13 care of treatment. That's how this particular set 14 15 of injections was performed.

16 So we're reporting here three cases of 17 bilateral vision loss after bilateral intravitreal 18 injection of stem cells in a stem cell clinic. 19 All patients underwent intravitreal injections of 20 the usual dose of volume that is injected with 21 autologous adipose tissue derived stem cells. All 22 three of the patients paid \$5000 for the

1 procedures. One of the patients also had the same 2 stem cell preparation injected into both of her 3 knees on the same day for an extra \$1200. They 4 signed a consent form for the procedure but not a 5 study consent and all three of the patients here had seen the clinicaltrials.gov website. One of 6 7 the patients, the first patient I'll describe was 8 a statistician who had been involved in clinical 9 research and these patients were under the 10 impression that the clinicaltrials.gov website 11 lended some credibility to the study. 12 The first patient is a 72-year-old 13 female with a history of dry macular degeneration and vision of 20/60 and 20/40 which is pretty good 14 15 vision. 20/40 is good enough to drive if that 16 were your only eye at least in the State of 17 Florida and it is good enough to read standard newspaper print. This is not perfect vision but 18 19 it is very functional vision. She came in with 20 three days of decreased vision, pain and vomiting and nausea. Visual acuity on presentation was 21 22 hand motions only, she couldn't see the large E on

the eye chart out of either of her eyes and she 1 2 had extremely high intraocular pressures. What we 3 found in this particular patient these are 4 ultrasounds showing anterior displacement of the 5 crystalline lens which the zonules that hold the lens in place apparently became loose somehow. 6 7 This is probably not because of the needle or a 8 direct pushing or ripping of the lens but we think 9 it is an enzymatic digestion that something that 10 was injected into the eye. Trypsin for example is 11 known to digest the zonules and used to be part of 12 standard intracapsular cataract surgery. It is a 13 way to remove the lens. But some protein that was 14 injected in the eye probably dislodged these 15 lenses that pushed forward that causes the obstruction of outflow of fluid from the eye, 16 17 increases the pressures in the eye which of course is bad for the optic nerve that causes an acute 18 19 glaucoma. She was found to have a vitreous 20 hemorrhage in both eyes there was no view to the We had to remove the lens emergently, 21 back. 22 remove the vitreous that was in the back of the

| 1 | eye and remove the blood that was there. She was |
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| 2 | found to have a retinal detachment in the right |
| 3 | eye and over one week her vision dropped down to |
| 4 | no light perception in either eye. She ultimately |
| 5 | had retinal detachments in both eyes that needed |
| 6 | to be fixed. Here you see a lot of intraretinal |
| 7 | hemorrhage all throughout the fundus, retinal |
| 8 | detachment, displaced lenses, really a disastrous |
| 9 | outcome that you never see after routine injection |
| 10 | of biologics for wet macular degeneration. |
| 11 | The second patient is a 78-year-old |
| 12 | female. She had wet macular degeneration which |
| 13 | was well controlled. She hadn't required an anti |
| 14 | veg-F injection for two years prior to undergoing |
| 15 | this therapy. Her visual acuity in the right eye |
| 16 | had just dropped to a point where she was losing |
| 17 | her driver's license and therefore sought out this |
| 18 | treatment as a potential remedy for herself that |
| 19 | her daughter found on the web. Again, from the |
| 20 | web was referenced to the clinicaltrials.gov |
| 21 | website and mentioned that when we spoke to her. |
| 22 | She was also under the impression that she was |

participating in a clinical trial. Similar 1 2 diffuse hemorrhage in both eyes worse on the left 3 than right. She presented without retinal 4 detachments. Also very bad vision, counting 5 fingers again not formed vision in either eye. She was initially observed and eventually 6 7 developed retinal detachments in both eyes 8 requiring treatment and now at least has one eye 9 with visual acuity of 20/200 and the other one 10 doesn't have any formed vision. 11 This is the third patient 88-year-old 12 female, dry macular degeneration. Had a visual 13 acuity of 20/40 again relatively good vision in

14 the right, 20/200 in the left eye prior to 15 injection. She came in seven days after the procedure with light perception vision only in the 16 17 right eye, 20/200 in the left eye. A very mature looking retinal detachment with what we call PVR, 18 19 Proliferative Vitreal Retinopathy which one of the 20 reasons the retinal detachment surgery fails is that you get a growth of scar tissue on the 21 22 surface of the retina fibroblast that contract and

pull the retina back off of the wall of the eye. 1 2 It is possible that the stem cells which in some 3 of the imaging that we have seen to take residence on the anterior surface of the retina and they may 4 5 be actually pulling the retina off and being the reason why all these patients eventually developed 6 7 retinal detachments in both eyes as this patient 8 did in her left eye about a month later.

9 So these were the initial vision of the patients ranging from 20/40 to 20/200. Their 10 11 presenting visual acuity when they came to the 12 clinic ranging from 20/200 to light perception. 13 Five of the eyes had lens subluxation, some were 14 along their course. Four eyes had severe 15 intraretinal hemorrhages. All of the eyes 16 eventually developed retinal detachment and the 17 ultimate visual acuity was legal blindness in all three of these ladies. We had a one year follow 18 19 up and unfortunately none of the patients have 20 gotten any better.

So what are the potential causes forthese findings? Well they include contamination

1 of stem cell with toxic substances during 2 preparation. Use of trypsin or collagenase during 3 stem cell isolation which we're looking at because of that zonular weakness that we've seen and maybe 4 5 it is not appropriately washed out. And there may be some genuine affect from growth factors in 6 7 cytokines and the vitreous and blood derived 8 undifferentiated stem cells to myofibroblast cells 9 resulting in detachment of the surface of the 10 retina. There is some biologic effect of these 11 cells and some of the phase one studies have also 12 been stopped because of retinal detachment where 13 there has been inadvertent seepage of cells that 14 were injected into the subretinal space coming 15 back into the vitreous cavity and then retinal detachment was seen in a lot of those. So there 16 17 may be some danger from this mode of delivery. 18 There is real science being done on intravitreal 19 injection of stem cells so I certainly don't want 20 to put any hindrance to that. There may be a good way to deliver intravitreally but we hypothesize 21 22 that intravitreal delivery at least in these cases

may have caused some of the problems.

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2 The patients were referred to 3 clinicaltrials.gov which listed an IRB approved 4 study however the patients were not enrolled in 5 the study. Injections were being performed 6 without FDA oversight. There was no IND obtained 7 by this clinic. These were patient funded 8 research procedures and we've talked about the 9 dangers of that. Unbelievably, an American 10 licensed physician was not involved in the care 11 and the injections were performed by a nurse 12 practitioner.

13 Sorry to share this with you but I think 14 it is an important thing for patients to know and 15 as I've learned today the extent of the industry 16 that is around these unregulated stem cell clinics 17 I hope we don't see more of this with intraocular 18 delivery. Thank you.

DR. KAHN: Thank you. Our last speaker for this session before the panel is Dr. Michael Miller. Dr. Miller is a Senior Clinical Fellow in neuropathology at the Brigham and Women's Hospital

1 wondering if we saw that result with the 2 collagenase. In the case where we saw that result 3 with collagenase have we seen that collagenase in other labs and when we talk about the training I 4 5 know that that group has a training operation. I've come in and found various discrepancies. So 6 7 when I think about taking collagenase away from 8 the field I think that there needs to be better 9 training. Maybe we could form some kind of 10 training system.

DR. ALBINI: I can't speak to the 11 12 enzymes but what I can speak to is that as a 13 retina surgeon I don't think that my colleagues 14 were aware this was going on. This was sort of 15 off the map. So in terms of what we're training 16 retina specialists I think the answer is nothing 17 because I think there just wasn't awareness of 18 this. When I've presented these cases at retina 19 meetings everybody has been in shock and is 20 unaware of other problems or that clinics like this even exist or what the market place is for 21 22 stem cell clinics and so forth. So that's not out

there and the only thing I can say is that I do 1 2 think probably a minority of retina specialists 3 would recommend to their patients to try something 4 like this. I can imagine I don't know how small 5 the minority is but I can imagine and I think I've heard of some physicians who have recommended to 6 7 patients where there are no other options why 8 don't you try this -- not the particular one that 9 I discussed but why don't you try some sort of 10 stem cell therapy. I think that just comes out of 11 the fact because we as retina specialists don't 12 really talk about any of this. So I've learned a 13 lot today and I think there probably should be a mechanism that some of what I've seen here today 14 15 be communicated back to my colleagues. DR. KAHN: Okay really, really, last, do 16 17 you want to say something? 18 DR. KIMMELMAN: I just want to say two things about this. So first of all, I'm not a 19 20 physician, I don't dispense medical advice but it seems to me that physicians dread having to tell 21 22 patients that their options are incredibly thin