

United States Senate

Financial Disclosures

Candidate Report

The Honorable Rick Scott

State of Candidacy: FL | Candidacy Commenced: 03/26/2018

📁 Filed 07/27/2018 @ 4:16 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of Florida Tallahassee, Florida	\$0.18

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Personal Residence - Naples <i>Description:</i> Florida Parcel: 09380240002 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
2	Boathouse - Naples <i>Description:</i> Florida Parcel: 13420001922 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
3	Personal Residence - Montana <i>Description:</i> Geo Code: 4498-34-2-01-05-0000 (Troy, MT) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
4	Refundable Club Deposit 1 <i>Company:</i> Royal Poinciana (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5	Refundable Club Deposit 2 <i>Company:</i> Red Sky (Wolcott, CO) <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6	Richard L. Scott Blind Trust 2014 (Qualified under the laws of the State of Florida)	Trust General Trust	Self			
6.1	Alaska ST International Airports Revenue Bond <i>Rate/Coupon:</i> 0.73% - Variable <i>Matures:</i> 10/01/2030	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.2	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
6.3	Morgan Stanley (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6.4	Morgan Stanley (Los Angeles, CA) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.5	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.6	Lower Colorado River Auth Texas Rev Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.7	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/15/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$2,501 - \$5,000
6.8	California St Variable Purpose G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.9	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.10	Massachusetts Variable Monthly Consolidated Loan Bond <i>Rate/Coupon: 3.284% Floating</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.11	Chicago Illinois O'Hare Intl Airport Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.12	Texas A&M University Perm Univ Fd Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.13	Milwaukee Wisconsin Metro Sewer District Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.14	California St Public Works Revenue - Cal St Univ Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.15	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.16	Massachusetts Water Res Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.17	California State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.18	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.19	York County South Carolina Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2024</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.20	Canadian River Texas Muni Water Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.21	Grand Prairie Texas Independent School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.22	Massachusetts State Dev Fin Agency Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$15,001 - \$50,000
6.23	Port of Seattle Washington Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.24	New York State Urban Dev Corp Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.25	Las Vegas Valley Nevada Water District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.26	Washington State Motor Vehicle Fuel Tax G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.27	Lower Alabama Gas Project Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.28	Salt River Proj Agric Power District Ariz Elec System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.29	North Texas Tollway Authority Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
6.30	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.31	Chicago Illinois Met Water Reclamation District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2030</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.32	Indiana Municipal Power Agency Power Supply System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.33	New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond <i>Rate/Coupon: 2.078% - Floating</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.34	Black Belt Energy Gas Dist Ala Gas Supply Revenue Bond <i>Rate/Coupon: 4.0% - Floating</i> <i>Matures: 07/01/2046</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.35	California State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.36	Neuberger Berman (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.37	<u>ARLP</u> - Alliance Resource Partners, L.P.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.38	<u>AM</u> - Antero Midstream Partners LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.39	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.40	<u>DM</u> - Dominion Energy Midstream Partners, LP	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
6.41	<u>ETE</u> - Energy Transfer Equity, L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.42	<u>EPD</u> - Enterprise Products Partners L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.43	<u>EQGP</u> - EQT GP Holdings, LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.44	<u>EQM</u> - EQT Midstream Partners, LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.45	<u>NEP</u> - NextEra Energy Partners, LP	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.46	<u>NYLD</u> - NRG Yield, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.47	<u>OKE</u> - ONEOK, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.48	<u>SRE</u> - Sempra Energy	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.49	<u>TRGP</u> - Targa Resources Corp.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.50	<u>WGP</u> - Western Gas Equity Partners, LP	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
6.51	<u>WMB</u> - The Williams Companies, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.52	<u>WTT</u> - Wireless Telecom Group, Inc.	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.53	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.54	Promissory Note <i>Company:</i> Pharmaca Investments, LLC (Rowayton, Connecticut)	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.55	AG Energy Partners II, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.56	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.57	AJ I Gainline LLC <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
6.58	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Private Equity Fund	Self			
6.58.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends,	\$100,001 - \$1,000,000
6.59	CAI Partners and Company IV L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.60	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.61	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.62	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest,	\$1,000,001 - \$5,000,000
6.63	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.64	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6.65	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains,	Over \$5,000,000
6.66	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.67	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.68	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends, Interest,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.69	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
6.70	Vestar Executive V, LP / Vestar Executives IV, LP / Vestar - AIV Holdings B, LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
6.71	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.72	Columbia Collier Management, LLC <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
6.73	Account Receivable from Seabass Properties LLC <i>Company:</i> Seabass Properties LLC (Austin, TX)	Accounts Receivable From a Business	Self	\$1,000,001 - \$5,000,000	Interest,	\$15,001 - \$50,000
6.74	Account Receivable from AJ I Gainline LLC <i>Company:</i> AJ I Gainline LLC (New York, New York)	Accounts Receivable From a Business	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.75	California Pollution Control Authority Bond <i>Rate/Coupon:</i> 0.84% - Variable <i>Matures:</i> 11/01/2026 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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6.76	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.77	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.78	Dallas Texas Performing Arts Muni Bond <i>Rate/Coupon: 0.43% - Variable</i> <i>Matures: 09/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.79	Derry Township Pennsylvania Indl & Commercial Dev Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: 11/01/2030</i> <i>Filer comment: Combines multiple bonds with rates from 1.1%-1.4% (variable); no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.80	Douglas County Nebraska Hospital Bond <i>Rate/Coupon: 0.08% - Variable</i> <i>Matures: 08/15/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.81	Dutchess County New York Indl Dev Agency Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.82	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.83	Hamilton County Ohio Sewer System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.84	Houston Texas Utility Sys Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds each at 5% interest; maturity dates from 11/15/2018-11/15/2033; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.85	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 10/01/2030-08/01/2044; variable interest rates from 0.75%-1.12%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.86	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.87	Indiana ST Fin Auth Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.88	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon: 0.33% - Variable</i> <i>Matures: 10/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.89	Iowa Fin Auth Health Muni Bond <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.90	Irvine California Impt Bd Act 1915 Loc Muni Bond <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.91	Kansas St Dev Fin Auth Muni Bond <i>Rate/Coupon: 0.05% - Variable</i> <i>Matures: 03/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.92	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.93	Manteca California Rede Bond <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.94	Maryland State Hlth & Higher Educational Facilities Revenue Bond <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.95	Massachusetts State G.O. Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.96	Massachusetts State G.O. Bond <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.97	Massachusetts State Health Muni Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.98	Massachusetts State Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.07%</i> <i>Matures: 12/01/2037</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.99	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.100	Milwaukee Wisconsin Area Technical College Promissory Notes Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.101	Mississippi State Business Finance Corp Gulf Opportunity Zone Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 12/01/2030-11/10/2035; all at variable interest from 0.07%-0.24%. None are currently held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.102	Missouri State Health & Educational Facilities Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.97% (variable) to 5.375% (fixed) with maturity dates of 11/01/2031-03/15/2039; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.103	Missouri State Development Finance Muni Bond <i>Rate/Coupon: 0.16% - Variable</i> <i>Matures: 12/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.104	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 04/01/2032-02/01/2036; variable interest rates from 1.1%-1.75%; no longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.105	Nantucket Massachusetts BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/30/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.106	Needham Massachusetts BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/01/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.107	New York City NY Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 08/01/2031-04/01/2042; all at variable interest from 0.51%-0.87%. None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.108	New York City NY Hsg Dev Corp Multifamily Rent Revenue Bond <i>Rate/Coupon:</i> 2.25% <i>Matures:</i> 03/15/2036 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.109	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 11/01/2036-02/01/2045; all at variable interest at 1.12%. None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.110	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon:</i> 0.3% - Variable <i>Matures:</i> 06/15/2048 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.111	New York State Dorm Auth Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 03/15/2020-07/01/2031; all at variable interest (0.74%) or fixed interest (5.0%). None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.112	New York State Hsg Fin Agy Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 05/01/2042-11/01/2046; all at variable interest from 0.04%-2.792%. None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.113	New York NY Municipal Bond Bank Agy Apl Sch Purp Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.114	Nodaway County Missouri Indl Dev Auth Revenue Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 11/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.115	North Carolina Med CA Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 08/15/2034</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.116	Pennsylvania State High Educational Facility Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.117	Snohomish County Washington School District Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.118	Pennsylvania State Public School Building Muni Bond <i>Rate/Coupon: 0.65% - Variable</i> <i>Matures: 08/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.119	Topeka Kansas - Temp Nts-Gen Impts-Ser A <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.120	Valdez Alaska Marine Terminal Refinancing Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.121	Washington State Hsg Fin Comm Nonprofit Revenue Bond <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.122	West Windsor-Plainsboro New Jersey Regional Sch Dist Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.123	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.124	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.125	Framingham Massachusetts Muni Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.126	Fulton County Georgia General Fund - Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.127	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.128	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.129	Salt Lake County Utah Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.130	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.131	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with a rate of 1.1%-5.375%; maturity dates ranging from 01/01/2032-01/01/2046; no longer held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.132	El Dorado California Irrigation District Revenue Bond <i>Rate/Coupon:</i> 4.25% <i>Matures:</i> 03/01/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.133	Ohio ST G.O. Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 09/01/2020 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.134	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> 06/01/2042 <i>Filer comment:</i> Combines multiple bonds with variable interest from 1.09%-1.75%; no longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.135	Richland County South Carolina School Dist #1 Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/01/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.136	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> 01/01/2033 <i>Filer comment:</i> Combines multiple bonds between 1.1%-1.75% variable; same maturity dates; no longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.137	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 01/01/2033 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.138	Houston Texas Independent School District Ltd Tax-Schoolhouse Bond <i>Rate/Coupon:</i> 2.25% <i>Matures:</i> 02/15/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.139	Wisconsin ST Environmental Impact Fund Revenue Bond <i>Rate/Coupon:</i> 3.0% <i>Matures:</i> 06/01/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.140	Government National Mortgage Assn Pool <i>Rate/Coupon:</i> None <i>Matures:</i> None <i>Filer comment:</i> No longer held	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.141	Ramsey New Jersey School Dist Ref Bond <i>Rate/Coupon:</i> 3% <i>Matures:</i> 01/15/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.142	Collin County Texas G.O. Bond <i>Rate/Coupon: 5%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.143	El Paso Texas Independent School District Utility Tax Bond <i>Rate/Coupon: 4.125%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.144	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.145	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.146	Beaufort County South Carolina School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.147	Lexington County South Carolina School District No. 1 Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.148	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates between 03/01/2018-04/01/2018; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.149	Seattle Washington Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.150	Union County New Jersey Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.151	California St G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.152	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: 02/01/2018</i> <i>Filer comment: Combines bonds with rates of 4-5%; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.153	Park City Utah Sales Tax Revenue <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.154	Alpine Utah School District Building Bond <i>Rate/Coupon: 4.25%</i> <i>Matures: 03/15/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.155	Cleveland Ohio Waterworks Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.156	Texas State Transportation - Commn Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.157	Grand Rapids Michigan Sanitn Sewer System Bond <i>Rate/Coupon: 4.8%</i> <i>Matures: 01/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.158	Metropolitan Government of Nashville & Davidson Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.159	Pennsylvania State Univ Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/15/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.160	Ohio State Higher Educational Facilities Commission Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.161	Louisville & Jefferson County Kentucky Met Govt Health Facilities Revenue Bond <i>Rate/Coupon: 6.125%</i> <i>Matures: 02/01/2037</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.162	Massachusetts State Water Pollution Abatement Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 02/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.163	Clark-Shawnee Ohio Local Sch District BANS Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 02/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.164	Ithaca City New York Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.165	Minnesota Public Facilities Auth Clean Water Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.166	S C Association of Govt Organization Cops Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.167	Windsor Locks Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.168	New York St Local Govt Assistance Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.169	New York Sr Tollway Auth Svc Contract Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.170	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.171	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.172	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.173	Georgetown County South Carolina School District Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/21/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.174	Rochester NY BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.175	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.176	Union Township Ohio BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.177	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.178	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.179	District of Columbia Hospital Revenue Bond - Childrens Hosp <i>Rate/Coupon: See note</i> <i>Matures: 08/15/2038</i> <i>Filer comment: Combines multiple bonds with rates from 0.96%-1.73% (variable); no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.180	E Baton Rouge Parish Louisiana Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 08/01/2035-12/01/2040; variable interest rates from 0.98%-1.11%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.181	Lancaster County PA Ho Muni Bond <i>Rate/Coupon: 1.13% - Variable</i> <i>Matures: 07/01/2034</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.182	Briarwood Capital Partners LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.183	Canyon Balanced Fund, L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, California)	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.184	Oregon St Health & Science Muni Bond <i>Rate/Coupon:</i> 1.1% - Variable <i>Matures:</i> 07/01/2042 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.185	University of Michigan Univ Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 04/01/2018 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.186	Elliott Associates, L.P. <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.187	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.188	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.189	Mendon Capital QP LP <i>Description:</i> Private Investment Partnership (Chicago, Illinois)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.190	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.191	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.192	Overlook 3G Investments, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.193	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.194	ASYS - Amtech Systems, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
6.195	CB - Chubb Limited <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.196	CABO-Cable One, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.197	GS - The Goldman Sachs Group, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.198	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.199	NVGS - Navigator Holdings Ltd. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.200	GOOGL - Alphabet Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.201	AAPL - Apple Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.202	GILD - Gilead Sciences, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.203	MSFT - Microsoft Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.204	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.205	UTG - Reaves Utility Income Fund <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
6.206	FUN - Cedar Fair, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.207	ABT - Abbott Laboratories <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.208	BAC - Bank of America Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.209	C - Citigroup Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.210	CCI - Crown Castle International Corp. (REIT) <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.211	GSPKX - Goldman Sachs US Eq Div and Prem Instl <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
6.212	HASI - Hannon Armstrong Sustainable Infrastructure Capita <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.213	DVY-iShares Select Dividend ETF <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
6.214	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
6.215	JNJ - Johnson & Johnson <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.216	KEY - KeyCorp <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.217	LMT - Lockheed Martin Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.218	LLDYX - Lord Abbett Short Duration Income I <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
6.219	PNC - The PNC Financial Services Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.220	RTN - Raytheon Company <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.221	PPA - Invesco Aerospace & Defense ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
6.222	KBWB - Invesco KBW Bank ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000
6.223	BX - The Blackstone Group L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
6.224	ETP - Energy Transfer Partners, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
6.225	EPD - Enterprise Products Partners L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.226	OAK-Oaktree Capital Group, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
6.227	WGP - Western Gas Equity Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.228	COMM - CommScope Holding Company, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.229	AMAT - Applied Materials, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.230	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.231	GLD - SPDR Gold Shares <i>Filer comment:</i> No longer held	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
6.232	Taiwan Semiconductor Mfg Co. - TSM <i>Filer comment:</i> No longer held	American Depository Receipt	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.233	ENB - Enbridge Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.234	SEP - Spectra Energy Partners, LP <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
6.235	Rimrock High Income Plus (QP) Fund, L.P. <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.236	GQETX - GMO Quality III <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.237	Account Receivable from S&S Family Entertainment, LLC <i>Company:</i> S&S Family Entertainment, LLC (Rowayton, CT) <i>Filer comment:</i> No longer held	Accounts Receivable From a Business	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.238	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$50,001 - \$100,000
6.239	Oscar S. Shafer & Partners II LP <i>Description:</i> Private Investment Vehicle (New York, NY) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.240	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$25,000,001 - \$50,000,000	Interest,	\$50,001 - \$100,000
6.241	Dreyfus - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.242	Fulton Chain Acquisition LLC <i>Description: Private Investment Partnership (Rowayton, CT)</i>	Investment Fund Private Equity Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7	F. Annette Scott 2015 GRAT Trust	Trust General Trust	Spouse			
7.1	Alaska ST International Airports Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 10/01/2030</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.2	Athens-Clarke County Georgia Unif University Georgia Athletic Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.3	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.4	Fairfax County VA Econ Dev Auth Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 12/01/2033</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.5	Illinois State Financial Auth Revenue Bond - University of Chicago Med <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 08/01/2043</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.6	Illinois ST Health Facs Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.7	Indiana ST Fin Auth Environmental Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 12/01/2039</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.8	Jackson County Mississippi Pollution Control Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.9	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.10	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.11	New York City NY Hsg Dev Corp Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 03/15/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.12	New York ST Hsg Fin Agy Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 05/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.13	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 11/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.14	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.15	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
7.16	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.17	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.18	Guilford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.19	Central Islip NY Union Free School District BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/16/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.20	Kent Ohio BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/23/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.21	East Hampton Town New York BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/24/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.22	County of Loudoun Virginia Public Impact Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.23	Framingham Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/07/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.24	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.25	Cleveland-Cuyahoga County Ohio - Cleveland Museum Art Project Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.26	Massachusetts Bay MA Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.27	Campbell CA Union High School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
7.28	University of Utah Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.29	Rochester New York G.O. Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.30	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.31	Texas State Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.32	Union Township Ohio Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.33	Essex County New Jersey BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.34	Arizona State Ctfs Partn Cops Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
7.35	Arizona State Cops - Department of Administration <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.36	Maryland ST - State and Local Facilities LN Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.37	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.38	Brookfield Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.39	Michigan State Trunk Line Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.40	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.41	Akron Ohio Income Tax Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.42	New York ST Dorm Auth Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.43	City of Worcester Massachusetts BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 01/31/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.44	Virginia ST Clg Bldg Auth - 21st Century Clg & Equip Program Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.45	North Middlesex Massachusetts Regional School District BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 02/05/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.46	Richland County South Carolina BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.47	Baltimore County Maryland BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.48	New Bedford Massachusetts BANS Bond <i>Rate/Coupon: 2.75%</i> <i>Matures: 05/03/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.49	County of Middlesex New Jersey <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.50	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.51	Kenmore-Tonawanda Union Free School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/13/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.52	Mesa Arizona G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.53	Washington State Variable Purpose Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.54	Harris County Texas Revenue Bond <i>Rate/Coupon: 5.75%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.55	Western Boone In Multi-Sch Bldg Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/10/2021</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.56	Long Island Power Authority NY Elec Sys G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.57	University of Houston Texas University Revenue Bond <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.58	Crowley Texas Independent School District Bldg Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.59	Montana ST Board of Investment <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.60	Chippewa Falls Area Un Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 1/10/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
7.61	WTT - Wireless Telecom Group, Inc.	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.62	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
7.63	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.64	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
7.65	AMAT-Applied Materials, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
7.66	AAPL-Apple Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.67	ABT-Abbott Laboratories	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.68	BAC-Bank of America Corporation	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.69	C-Citigroup Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.70	<u>CAT</u> - Caterpillar Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.71	CCI-Crown Castle International Corp. (REIT)	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.72	<u>CDEV</u> - Centennial Resource Development, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.73	COMM-CommScope Holding Company, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Capital Gains,	\$15,001 - \$50,000
7.74	<u>CVX</u> - Chevron Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.75	EGN-Energen Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.76	DVY-iShares Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$15,001 - \$50,000
7.77	<u>EMR</u> - Emerson Electric Co.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
7.78	<u>EOG</u> - EOG Resources, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.79	EPD-Enterprise Products Partners L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.80	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.81	<u>GDX</u> - VanEck Vectors Gold Miners ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.82	GILD-Gilead Sciences, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.83	<u>GLD</u> - SPDR Gold Shares	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
7.84	GOOGL-Alphabet Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Capital Gains,	\$100,001 - \$1,000,000
7.85	GSPKX-Goldman Sachs US Eq Div and Prem Instl	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$15,001 - \$50,000
7.86	LLDYX-Lord Abbett Short Duration Income I	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 - \$50,000
7.87	HASI-Hannon Armstrong Sustainable Infrastructure Capita	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.88	JNJ-Johnson & Johnson	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.89	KBWB-Invesco KBW Bank ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Capital Gains, Excepted Investment Fund,	\$100,001 - \$1,000,000
7.90	KEM-KEMET Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.91	KEY-KeyCorp	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
7.92	LMT-Lockheed Martin Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.93	<u>MMP</u> - Magellan Midstream Partners, L.P.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
7.94	MSFT-Microsoft Corporation	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.95	PNC-The PNC Financial Services Group, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.96	PPA-Invesco Aerospace & Defense ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000
7.97	RTN-Raytheon Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.98	<u>SLV</u> - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.99	VICI-VICI Properties Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
7.100	Taiwan Semiconductor Mfg Co. - TSM	American Depository Receipt	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
7.101	UTG-Reaves Utility Income Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
7.102	WGP-Western Gas Equity Partners, LP	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
7.103	<u>XOM</u> - Exxon Mobil Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.104	<u>ZBH</u> - Zimmer Biomet Holdings, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.105	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
7.106	Mitsubishi UFJ Financial Group Inc. <i>Filer comment: No longer held</i>	American Depository Receipt	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
7.107	<u>WMB</u> - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
7.108	AG Energy Partners II, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.109	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.110	AJ I Gainline LLC <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.111	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
7.111.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.112	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
7.113	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.114	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
7.115	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
7.116	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.117	New York St Hsg Fin Auth Revenue Bond <i>Rate/Coupon:</i> 2.792% <i>Matures:</i> 05/01/2042 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.118	Valdez Alaska Marine Terminal Refinancing Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.119	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: 5.375</i> <i>Matures: 01/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.120	California Pollution Control Authority Bond <i>Rate/Coupon: 0.84% - Variable</i> <i>Matures: 11/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.121	Irvine California Impt Bd Act Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.122	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.123	Manteca California Rede Bond <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.124	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.125	Milford Connecticut G.O. Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.126	Fulton County Georgia BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.127	Illinois State Health Facs Auth Revenue Bond <i>Rate/Coupon: 0.56% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.128	Illinois State Financial Authority Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.129	Iowa Financial Auth Health Facs Bond <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.130	Louisville & Jefferson County Kentucky Bond <i>Rate/Coupon: 0.11% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.131	Illinois State Financial Authority Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 10/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.132	Jackson County Mississippi Pollution Control Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.133	Maryland ST Health & Hg Bond <i>Rate/Coupon: 0.45%</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.134	Maryland ST Health & Hg Bond <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.135	Framingham Massachusetts Muni Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.136	Massachusetts ST Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 2.0%-4.0%; maturity dates from 07/01/2017-04/23/2018; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.137	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.138	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.139	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 0.26% - Variable</i> <i>Matures: 10/01/2049</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.140	Massachusetts ST Water Res Auth Muni Bond <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 08/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.141	Mississippi Business Fin Corp Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.75%-1.7% (variable); maturity dates from 12/01/2030-11/01/2035; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.142	Missouri ST Health & Educational Facs Auth Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 03/15/2039</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.143	Nodaway County Missouri Indl Muni Bond <i>Rate/Coupon: 0.2%</i> <i>Matures: 11/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.144	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.145	New Hampshire ST Business Muni Bond <i>Rate/Coupon: 0.06% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.146	Nantucket Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.147	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.148	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.149	New York NY Variable Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 08/01/2031</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
7.150	New York NY Variable Muni Bond <i>Rate/Coupon: 0.51% - Variable</i> <i>Matures: 04/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.151	New York NY Variable Muni Bond <i>Rate/Coupon: 0.87% - Variable</i> <i>Matures: 08/01/2036</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.152	New York NY Variable Muni Bond <i>Rate/Coupon: 0.5% - Variable</i> <i>Matures: 10/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.153	New York City Hsg Fin Agy Revenue Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 03/15/2036</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.154	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates ranging from 0.87%-1.72% (variable); maturity dates from 08/01/2039-02/01/2045; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.155	New York City Muni Bond <i>Rate/Coupon: 3.56%</i> <i>Matures: 05/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
7.156	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: 0.3% - Variable</i> <i>Matures: 02/01/45</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.157	New York City NY Muni Water FinAuth Bond <i>Rate/Coupon: 0.01% - Variable</i> <i>Matures: 06/15/2045</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.158	New York City NY Muni Water FinAuth Bond <i>Rate/Coupon: 0.3% - Variable</i> <i>Matures: 06/15/2048</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.159	New York ST Dorm Auth Bond <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 07/01/2031</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.160	New York St Hsg Fin Auth Revenue Bond <i>Rate/Coupon: 0.03% - Variable</i> <i>Matures: 11/01/2046</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.161	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 0.34% - Variable</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.162	Ohio ST G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.163	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.164	Delaware Valley Pennsylvania Regional Financial Revenue Bond <i>Rate/Coupon: 0.76% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.165	Derry Twp Pennsylvania Indl Muni Bond <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.166	Dutchess County New York Muni Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.167	East Baton Rouge Parish Muni Bond <i>Rate/Coupon: 0.002% - Variable</i> <i>Matures: 12/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.168	East Baton Rouge Parish Muni Bond <i>Rate/Coupon: 0.32% - Variable</i> <i>Matures: 12/01/2051</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.169	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 11/15/2045</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.170	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 0.47%</i> <i>Matures: 11/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.171	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.172	Salt Lake County Utah Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.173	Washington State Hsg Fin Auth Bond <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.174	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.175	Houston Texas Independent School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.176	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.177	Wisconsin State Environmental Impact Fund Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.178	Wisconsin State Health Muni Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 07/15/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.179	ASYS - Amtech Systems, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
7.180	CB - Chubb Limited <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
7.181	GS - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
7.182	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.183	PFE - Pfizer Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.184	CABO - Cable One, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
7.185	NVGS - Navigator Holdings Ltd. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
7.186	Briarwood Capital Partners LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.187	Canyon Balanced Fund, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.188	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.189	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
7.190	Mendon Capital QP LP <i>Description:</i> Private Investment Vehicle (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.191	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.192	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.193	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.194	Rimrock High Income Plus (QP) Fund, L.P. <i>Description: Private Investment Vehicle (Irvine, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.195	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 10/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.196	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.197	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.198	Portsmouth New Hampshire Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.199	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.200	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.201	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.202	Bristol CT Bans Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/29/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.203	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.204	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.205	Plymouth Massachusetts Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.206	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.207	Colorado State General Fund Revenue TANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.208	Middle Country NY Central School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.209	<u>RILY</u> - B. Riley Financial, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
7.210	<u>KMT</u> - Kennametal Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.211	<u>BX</u> - The Blackstone Group L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
7.212	<u>GQETX</u> - GMO Quality III	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.213	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.214	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8	Richard L. Scott GRAT Continuing Trust	Trust General Trust	Spouse			
8.1	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
8.2	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2038</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
8.3	E Baton Rouge Parish Louisiana Dev Brd Inc. Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 08/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.4	Maryland State Hlth & Higher Educational Facilities Revenue Bond - Univ MD Med Sys <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.5	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.6	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.7	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2035</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
8.8	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2045</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.9	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/15/2048</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.10	New York ST Dorm Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.11	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
8.12	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
8.13	Guilford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.14	Katy Independent School District Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.15	Rochester Minnesota Health Care Facilities Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2030</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.16	District of Columbia Hospital Revenue Bond - Childrens Hosp <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/15/2045</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.17	Georgia State G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.18	Gilbert Arizona Public Facilities Muni Property Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.19	Massachusetts Bay MA Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.20	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.21	Rochester NY BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.22	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.23	Texas State G.O. Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.24	North Texas ST Muni Water Dist Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.25	Essex County New Jersey BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.26	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.27	Arizona State Ctfs Partn Cops Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.28	Wichita Kansas Temp Nts Bond <i>Rate/Coupon: 1.1%</i> <i>Matures: 10/13/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.29	Pittsfield Massachusetts G.O. Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 10/26/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.30	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.31	Louisville & Jefferson County Kentucky Met Swr Dist Swr & Drain Sys BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.32	Cohasset Massachusetts Mun Purp LN Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.33	Houston Texas Utility Sys Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.34	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.35	Minneapolis Minnesota G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
8.36	Akron Ohio Income Tax Revenue Bond <i>Rate/Coupon: 3</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.37	New York ST Dorm Auth General Purpose Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.38	Oregon Education Districts Short Term Cops Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/31/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.39	Gwinnett County Georgia School District Sales Tax Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.40	Richland County South Carolina BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.41	Baltimore County Maryland BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.42	Virginia ST Public Sch Auth Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.43	Hamilton Twp New Jersey Mercer County BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/21/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.44	Town of Dover New Jersey BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/31/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.45	County of Middlesex New Jersey Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.46	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.47	Marlborough Massachusetts BANS Bond <i>Rate/Coupon: 2.75%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.48	Town of Plymouth Massachusetts BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
8.49	Town of Boxford Massachusetts BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/21/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
8.50	Massachusetts ST Dev Fin Agyre Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.51	Intermountain Pwr Agy Utah Power Sys Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.52	Long Island Power Authority NY Elec Sys G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.53	University of Houston Texas University Revenue Bond <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.54	Massachusetts ST Hlth & Education - Massachusetts Institute Technology Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 07/01/2036</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.55	Southern Columbia Pennsylvania Area School District Bond <i>Rate/Coupon: 5.15%</i> <i>Matures: 04/01/2040</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.56	Montana ST Board of Investment Bond <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.57	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
8.58	AG Energy Partners II, L.P. <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.59	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.60	AJ I Gainline LLC <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.61	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
8.61.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000
8.62	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.63	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
8.64	Mendon Capital QP, LP <i>Description:</i> Private Investment Partnership (Chicago, IL)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.65	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
8.66	Richard L. and F. Annette Scott Family Partnership <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Assets disclosed elsewhere in this report	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
8.67	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
8.68	Briarwood Capital Partners LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.69	Canyon Balanced Fund, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.70	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000
8.71	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.72	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.73	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.74	Overlook Partners Fund, L.P. <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.75	California Pollution Control Authority Bond <i>Rate/Coupon: 0.84%</i> <i>Matures: 11/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.76	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.77	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.78	Derry Township Pennsylvania Indl & Commercial Dev Revenue Bond <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.79	Dutchess County New York Indl Dev Agency Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.80	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.81	Framingham Massachusetts BANS Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.82	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.83	Indiana ST Fin Auth Environmental Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.84	Irvine California Impt Bd Act 1915 Loc Muni Bond <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.85	Jackson County Mississippi Port Fac Muni Bond <i>Rate/Coupon: 0.96% - Variable</i> <i>Matures: 06/01/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.86	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.87	Maryland State Hlth & Higher Educational Facilities Revenue Bond <i>Rate/Coupon:</i> 0.45% - Variable <i>Matures:</i> 07/01/2041 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.88	Massachusetts State Revenue Antic Nts Bond <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 07/01/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.89	Massachusetts State Health Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates ranging from 0.22% (variable) to 5.5% (fixed); maturity dates ranging from 07/01/2026-08/15/2040; no longer held.	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.90	Massachusetts State Water Resource Auth Bond <i>Rate/Coupon:</i> 0.9% - Variable <i>Matures:</i> 08/01/2020 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
8.91	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates from 0.24% (variable) to 2.0% (fixed); maturity dates ranging from 08/01/2017-011/15/2045; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.92	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon:</i> 0.94% - Variable <i>Matures:</i> 10/01/2039 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.93	Milford Connecticut BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/06/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.94	Minnesota ST Agriculture Muni Bond <i>Rate/Coupon:</i> 0.75% - Variable <i>Matures:</i> 09/01/2021 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.95	Mississippi State Business Finance Corp Gulf Opportunity Zone Bond <i>Rate/Coupon:</i> 0.24% - Variable <i>Matures:</i> 12/01/2030 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.96	Missouri State Health & Educational Facilities Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates from 0.89% (variable)-5.375% (fixed); maturity dates ranging from 10/01/2024-03/15/2039; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.97	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon:</i> 3.9% <i>Matures:</i> 07/01/2034 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.98	Nantucket Massachusetts BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/30/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.99	Needham Massachusetts BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/01/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.100	New York NY Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates from 0.51% (variable) to 0.87% (fixed); maturity dates ranging from 08/01/2036-04/01/2042; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.101	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.3%-0.72% (variable); maturity dates of 08/01/2039-08/01/2043; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.102	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.01-0.3% (variable); maturity dates of 06/15/2045-06/15/2050; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.103	New York State Dorm Auth Revenue Bond <i>Rate/Coupon:</i> 0.74% - Variable <i>Matures:</i> 07/01/2031	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.104	New York ST Hsg Fin Agy Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.03%-2.792% (variable); maturity dates of 05/01/2042-11/01/2046; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.105	Nodaway County Missouri Indl Dev Auth Revenue Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 11/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.106	San Antonio Texas Independent Sch Dist Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2044</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.107	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon: 0.34% - Variable</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.108	Valdez Alaska Marine Terminal Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.109	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 0.48% - Variable</i> <i>Matures: 07/15/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.110	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/16/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.111	Fulton County Georgia General Fund - Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.112	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.113	Salt Lake County Utah Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.114	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.115	Rimrock High Income Plus (QP) Fund, L.P. <i>Description: Private Investment Partnership (Irvine, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.116	Tuscaloosa County Alabama Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 04/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.117	Alaska ST International Airports Revenue Bond <i>Rate/Coupon: 0.73% - Variable</i> <i>Matures: 10/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.118	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.119	Ohio ST G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.120	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.121	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.122	Houston Texas Independent School District Ltd Tax-Schoolhouse Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.123	Wisconsin State Environmental Impact Fund Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.124	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.125	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.126	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.127	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.128	Granite Utah School District Salt Lake County Muni Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.129	Portsmouth New Hampshire Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.130	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.131	Minnesota Public Facilities Auth Clean Water Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.132	Massachusetts State G.O. Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds ranging from 2.0%-4.0%; maturity dates from 4/23/18-6/01/2018; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.133	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.134	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.135	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.136	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.137	Plymouth Massachusetts Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.138	Colorado State General Fund Revenue TANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.139	Middle Country NY Central School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.140	Manhasset NY Union Free Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/29/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.141	Colorado State Educational Loan Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.142	E Baton Rouge Parish Louisiana Muni Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 12/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.143	Guttenberg New Jersey Muni Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 06/18/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.144	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.89%-1.12% (variable); maturity dates of 08/01/2043-08/01/2044; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.145	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.146	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 1.16% - Variable</i> <i>Matures: 11/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
8.147	Oregon St Health & Science Muni Bond <i>Rate/Coupon: 1.1% - Variable</i> <i>Matures: 07/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.148	Willoughby Ohio Muni Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.149	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
9	F. Annette Scott Revocable Trust	Trust General Trust	Spouse			
9.1	Merrill Lynch (Bank of America) (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	\$15,001 - \$50,000
9.2	BIF Money Fund	Mutual Funds Stable Value Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.3	Oregon State Tax Antic Nts G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
9.4	Bay Area Toll at California Bridge Revenue Bond <i>Rate/Coupon: 2.62% - Variable</i> <i>Matures: 04/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
9.5	Bay Area Toll Auth California Revenue Bond <i>Rate/Coupon: 2.36% - Variable</i> <i>Matures: 11/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
9.6	Los Angeles California Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/28/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
9.7	Morgan Stanley (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.8	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.9	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.10	FHLMC 30 Year Gold <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
9.11	Federal National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
9.12	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.13	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.14	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.15	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.16	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.17	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.18	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.19	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.20	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.21	Illinois Fin A Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 04/01/2044</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
9.22	Morgan Stanley Active Assets Govt Trust	Mutual Funds Stable Value Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.23	Lower Colorado River Auth Texas Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$2,501 - \$5,000
9.24	California Muni Fin Auth Solid Waste District Revenue Bond <i>Rate/Coupon: 2.0% - Floating</i> <i>Matures: 12/01/2044</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
9.25	Canadian River Texas Municipal Water Auth Contract Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.26	Texas A&M University Fd Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
9.27	Black Belt Energy Gas Dist Algas Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2047</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.28	Massachusetts Water Res Auth General Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
9.29	Municipal Electric Auth Georgia Revenue Bond <i>Rate/Coupon: 6.0%</i> <i>Matures: 01/01/2023</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.30	Seattle Washington Solid Waste Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
9.31	Hudson Yds Infrastructure Corp NY Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2029</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.32	California State Public Works Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2030</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
9.33	<u>ARLP</u> - Alliance Resource Partners, L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9.34	<u>AM</u> - Antero Midstream Partners LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.35	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.36	<u>DM</u> - Dominion Energy Midstream Partners, LP	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
9.37	<u>ETE</u> - Energy Transfer Equity, L.P.	Corporate Securities Stock	Spouse	\$500,001 - \$1,000,000	Dividends,	\$50,001 - \$100,000
9.38	<u>EPD</u> - Enterprise Products Partners L.P.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.39	<u>EQGP</u> - EQT GP Holdings, LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.40	<u>EQM</u> - EQT Midstream Partners, LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.41	<u>NEP</u> - NextEra Energy Partners, LP	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.42	<u>NYLD</u> - NRG Yield, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
9.43	<u>OKE</u> - ONEOK, Inc.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.44	<u>SRE</u> - Sempra Energy	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.45	<u>TRGP</u> - Targa Resources Corp.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9.46	<u>WGP</u> - Western Gas Equity Partners, LP	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.47	<u>WMB</u> - The Williams Companies, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
9.48	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.49	Pershing Advisors - F. Annette Scott IRA	Retirement Plans IRA	Spouse			
9.49.1	Pershing Treasury Account Fund - PTA	Mutual Funds Stable Value Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.49.2	OEF-iShares S&P 100 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.50	Wells Fargo Bank, N.A. (Tallahassee, FL) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.51	Mutual of Omaha Bank (Naples, FL) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
9.52	Loan to Cathy Gellatly <i>Debtor: Cathy Gellatly</i>	Accounts Receivable From an Individual	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
9.53	Account Receivable from Pharmaca Investments, LLC <i>Company: Pharmaca Investments, LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.54	Account Receivable from S&S Equity Partners I LLC <i>Company: S&S Equity Partners I LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.55	AG Energy Partners II, L.P. <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.56	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.57	AJ I Gainline LLC <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
9.58	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
9.58.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000
9.59	CAI Partners and Company IV L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
9.60	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.61	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.62	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
9.63	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
9.64	Richard L. and F. Annette Scott Family Partnership <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Underlying assets disclosed elsewhere in this report	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
9.65	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.66	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Interest,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.67	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.68	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.69	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.70	Columbia Collier Management, LLC <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
9.71	Mesa Arizona Excise Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 07/01/2027 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.72	Washington State Health Care Facilities Auth Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 10/01/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.73	North Carolina ST Grant Antic Revenue Bond <i>Rate/Coupon: 2.0% - Variable</i> <i>Matures: 03/01/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.74	Fremont California Unified School District Alameda County <i>Rate/Coupon: 3.25%</i> <i>Matures: 08/01/2039</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.75	Seattle Washington Municipal Light & Power Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 04/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
9.76	San Diego California Unified School District Tax & Revenue Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.77	Pennsylvania State Turnpike Commission Muni Bond <i>Rate/Coupon: Variable</i> <i>Matures: 12/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.78	California State Public Works Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.79	District of Columbia G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.80	Bay Area Toll Auth California Toll Bridge Revenue Bond <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2045</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.81	Bay Area Toll Auth California Toll Bridge Revenue Bond <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2047</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.82	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.83	Denver Colorado City & County Airport Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.84	Sales Tax Asset Receivable New York Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/15/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.85	Arizona State Transportation Board Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$15,001 - \$50,000
9.86	Mesa Arizona Street & Highway Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.87	Alvin Texas Independent BE Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2036</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.88	California State Variable Purpose Bond <i>Rate/Coupon: 5.0</i> <i>Matures: 09/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.89	California St G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.90	Chicago Airport BE Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.91	Chicago General BE Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.92	Grand Prairie Texas Independent School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.93	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.94	Illinois ST Sales Tax & Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
9.95	Lower Alabama Gas Project Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.96	Massachusetts State BE Bond <i>Rate/Coupon: 1.38% - Variable</i> <i>Matures: 11/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.97	Massachusetts State BE Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.98	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.99	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.100	Michigan State Hospital Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2031</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.101	Milwaukee Wisconsin Metro Sewer District Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.102	New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond <i>Rate/Coupon: 1.27% - Variable</i> <i>Matures: 11/01/2039</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.103	New York State Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.104	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.105	Port of Seattle Washington Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.106	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.107	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.108	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.109	ENB-Enbridge Inc. <i>Filer comment: No longer held. A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
9.110	Massachusetts State G.O. Bond <i>Rate/Coupon: 1.413% - Variable</i> <i>Matures: 05/21/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.111	Illinois Fin Auth Revenue Bond <i>Rate/Coupon: 6.5%</i> <i>Matures: 11/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.112	Illinois State Sales Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.113	AHGP - Alliance Holdings GP, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
9.114	MPLX - MPLX LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.115	NSH - NuStar GP Holdings, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
9.116	Briarwood Capital Partners LP <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.117	Canyon Balanced Fund, L.P. <i>Description: Private Investment Partnership (Los Angeles, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	Over \$1,000,000 and held independently by spouse or dependent child

	Asset	Asset Type	Owner	Value	Income Type	Income
9.118	Elliott Associates, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.119	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
9.120	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
9.121	Mendon Capital QP LP <i>Description:</i> Private Investment Partnership (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.122	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.123	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Partnership (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.124	Overlook 3G Investments, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
9.125	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.126	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
9.127	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.128	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
9.129	Dreyfus - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.130	G. Scott Holding, LLC <i>Company: G. Scott Holding, LLC (Rowayton, CT) Description: Investment Management</i>	Corporate Securities Non-Public Stock	Spouse	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
9.131	Fulton Chain Acquisition LLC <i>Description: Private Investment Partnership (Rowayton, CT)</i>	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10	Richard L. And F. Annette Scott Family Partnership, Ltd. <i>Company: Richard L. And F. Annette Scott Family Partnership, Ltd. (Naples, Florida) Description: Investment Limited Partnership</i>	Business Entity Limited Partnership (LP)	Spouse			
10.1	Morgan Stanley (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
10.2	Government National Mortgage Assn Pool <i>Rate/Coupon: None Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
10.3	Government National Mortgage Assn Pool <i>Rate/Coupon: None Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.4	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
10.5	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
10.6	Neuberger Berman (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
10.7	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.8	WTT-Wireless Telecom Group, Inc.	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
10.9	Account Receivable from Nokomis Capital Partners, L.P. <i>Company: Nokomis Capital Partners, L.P. (Dallas, Texas)</i>	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.10	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
10.11	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.12	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
10.13	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, CT)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
10.14	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.15	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.16	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.17	ENB - Enbridge Inc. <i>Filer comment:</i> No longer held. A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.18	OKE - ONEOK, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$50,001 - \$100,000
10.19	AHGP - Alliance Holdings GP, L.P. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.20	AM - Antero Midstream Partners LP <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.21	DM - Dominion Energy Midstream Partners, LP <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.22	ETE - Energy Transfer Equity, L.P. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.23	EPD-Enterprise Products Partners L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.24	EQGP - EQT GP Holdings, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.25	EQM - EQT Midstream Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.26	MPLX - MPLX LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.27	NEP - NextEra Energy Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.28	SRE - Sempra Energy <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.29	TRGP - Targa Resources Corp. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.30	WGP - Western Gas Equity Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.31	WMB - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10.32	ASYS - Amtech Systems, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.33	NSH - NuStar GP Holdings, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.34	ABT - Abbott Laboratories <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.35	GOOGL - Alphabet Inc.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.36	AAPL - Apple Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.37	BAC - Bank of America Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.38	FUN - Cedar Fair, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.39	CCI - Crown Castle International Corp. (REIT) <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.40	COMM - CommScope Holding Company, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.41	DVY-iShares Select Dividend ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
10.42	GILD - Gilead Sciences, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.43	GSPKX - Goldman Sachs US Eq Div and Prem Instl <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.44	HASI - Hannon Armstrong Sustainable Infrastructure Capital <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.45	JNJ - Johnson & Johnson <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.46	MSFT - Microsoft Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.47	PNC - The PNC Financial Services Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.48	KBWB - Invesco KBW Bank ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000
10.49	LLDYX - Lord Abbett Short Duration Income I <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
10.50	PPA - Invesco Aerospace & Defense ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
10.51	C - Citigroup Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.52	UTG - Reaves Utility Income Fund <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.53	CAT - Caterpillar Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.54	EMR - Emerson Electric Co. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
10.55	EOG - EOG Resources, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
10.56	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
10.57	KEM - KEMET Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.58	KEY - KeyCorp <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.59	LMT - Lockheed Martin Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.60	MMP - Magellan Midstream Partners, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.61	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.62	RILY - B. Riley Financial, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.63	RTN - Raytheon Company <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.64	VICI - VICI Properties Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10.65	WMB - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10.66	CB - Chubb Limited <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.67	CABO - Cable One, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.68	GS - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.69	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
10.70	NVGS-Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.71	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.72	Canyon Balanced Fund, L.P. <i>Description: Private Investment Vehicle (Los Angeles, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.73	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.74	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$50,001 - \$100,000
10.75	Overlook 3G Investments, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
10.76	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
10.77	Rimrock High Income Plus (QP) Fund, L.P. <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
10.78	Account Receivable from S&S Equity Partners I, LLC <i>Company:</i> S&S Equity Partners I, LLC (Naples, FL)	Accounts Receivable From a Business	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
10.79	GQETX - GMO Quality III <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
10.80	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
10.81	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, CT)	Investment Fund Private Equity Fund	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
10.82	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$5,001 - \$15,000
10.83	Dreyfus - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
11	Wells Fargo Bank, N.A. (Tallahassee, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
12	Mutual of Omaha Bank (Naples, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
13	Pershing Advisor Solutions LLC IRA	Retirement Plans IRA	Self			
13.1	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
13.2	AAPL-Apple Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
13.3	ABT-Abbott Laboratories	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.4	AMAT-Applied Materials, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.5	BAC-Bank of America Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.6	C-Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.7	CAT-Caterpillar Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.8	CCI-Crown Castle International Corp. (REIT)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.9	CDEV-Centennial Resource Development, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.10	COMM-CommScope Holding Company, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.11	CVX-Chevron Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.12	EGN-Energen Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.13	DVY-iShares Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
13.14	EMR-Emerson Electric Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.15	EOG-EOG Resources, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.16	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.17	GDX-VanEck Vectors Gold Miners ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.18	GILD-Gilead Sciences, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.19	HASI-Hannon Armstrong Sustainable Infrastructure Capita	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.20	<u>GLD</u> - SPDR Gold Shares	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.21	GOOGL-Alphabet Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.22	GSPKX-Goldman Sachs US Eq Div and Prem Instl	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.23	JNJ-Johnson & Johnson	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.24	KEM-KEMET Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
13.25	KEY-KeyCorp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.26	KMT-Kennametal Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.27	LLDYX-Lord Abbett Short Duration Income I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.28	LMT-Lockheed Martin Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.29	MMP-Magellan Midstream Partners, L.P.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.30	MSFT-Microsoft Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.31	PNC-The PNC Financial Services Group, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.32	PPA-Invesco Aerospace & Defense ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.33	Pershing Treasury Account - PTA	Mutual Funds Stable Value Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
13.34	RTN-Raytheon Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.35	<u>SLV</u> - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
13.36	Taiwan Semiconductor Mfg Co. - TSM	American Depository Receipt	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.37	UTG-Reaves Utility Income Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.38	VICI-VICI Properties Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.39	WGP-Western Gas Equity Partners, LP	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.40	XOM-Exxon Mobil Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.41	ZBH-Zimmer Biomet Holdings, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.42	<u>RILY</u> - B. Riley Financial, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.43	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.44	<u>SLV</u> - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.45	<u>GS</u> - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
13.46	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.47	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.48	EWJ - iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.49	NVGS - Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.50	KBWB - Invesco KBW Bank ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary**Not required****Part 4b. Transactions****Not required****Part 5. Gifts****Not required**

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.