

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Liu, Jessie K

United States Attorney for the District of Columbia, Department of Justice - Executive Office for United States Attorneys

Other Federal Government Positions Held During the Preceding 12 Months:

Deputy General Counsel, U.S. Department of the Treasury (4/2017 - Present)

Names of Congressional Committees Considering Nomination:

- **Committee on the Judiciary**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Liu, Jessie K [electronically signed on 05/10/2017 by Liu, Jessie K in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lofthus, Lee, Certifying Official [electronically signed on 06/29/2017 by Lofthus, Lee in Integrity.gov]

Other review conducted by

/s/ Macklin, Jay, Ethics Official [electronically signed on 06/19/2017 by Macklin, Jay in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/02/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Morrison & Foerster LLP	San Francisco, California	Law Firm	Partner	4/2016	4/2017
2	Jenner & Block LLP	Chicago, Illinois	Law Firm	Partner	3/2009	4/2016
3	Donald J. Trump Presidential Transition	Washington, District of Columbia	Non-Profit	Agency Action Team and Landing Team, Department of Justice (uncompensated)	9/2016	1/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jenner & Block LLP (law firm)	N/A		Partnership Share	\$196,203
2	Morrison & Foerster LLP (law firm)	N/A		Partnership Share	\$895,796
3	Morrison & Foerster LLP Supplemental Retirement Plan forfeiture	N/A	\$15,001 - \$50,000	Return of deduction/contribution to retirement plan when filer departed law firm before funds vested	\$52,000
3.1	Vanguard 2040 Trust II Account	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
4	Jenner & Block, LLP Cash Balance Plan Northern Trust as Trustee	See Endnote	Yes	\$50,001 - \$100,000	None (or less than \$201)
5	Jenner & Block LLP 401(k)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Fidelity 500 Index Fund Institutional CL (FXSIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.2	Vanguard Target Retirement 2040 Fund Investor Shares (VFORX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
6	MetLife Group Variable Universal Life policy	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Morrison & Foerster LLP	San Francisco, California	For 401(k) plan, \$37,823 was set aside for a partner mandatory account, but because filer departed before funds vested, a forfeiture occurred, and the money will be returned to the filer. Another \$18,432.57 in Vanguard 2040 Trust II Account (reported in Part 2) is being rolled over to a Fidelity IRA (initially in an unfunded core position). Note also that this is separate from the \$52,000 forfeiture that already occurred and that is thus separately reported in Part 2.	5/2016
2	Jenner & Block LLP	Chicago, Illinois	The Jenner & Block, LLP Cash Balance Plan Northern Trust as Trustee (reported in Part 2) is in the process of being rolled over into a Fidelity IRA (initially in an unfunded core position).	12/2014
3	Morrison & Foerster LLP	San Francisco, California	The firm will refund to me any excess tax true-up deposit once all 2017 taxes are paid.	5/2016
4	Jenner & Block LLP	Chicago, Illinois	The firm will refund to me any excess tax true-up deposit once all 2016 taxes are paid.	4/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Jenner & Block LLP	Washington, District of Columbia	Legal services
2	Morrison & Foerster LLP	Washington, District of Columbia	Legal services
3	General Motors Co.	Detroit, Michigan	Legal advice
4	Independent Monitor for Credit Suisse	New York, New York	Legal advice
5	USAA	San Antonio, Texas	Legal advice
6	Schneider Electric USA, Inc.	Andover, Massachusetts	Legal advice
7	General Dynamics NASSCO	San Diego, California	Legal advice
8	University of Michigan	Ann Arbor, Michigan	Legal advice
9	General Electric Co.	Boston, Massachusetts	Legal advice
10	Tom Perrelli as Monitor over Citibank	Washington, District of Columbia	Legal advice
11	General Dynamics Jet Aviation	Basel, Switzerland, Outside U.S.	Legal advice
12	Hertz Corp.	Estero, Florida	Legal advice
13	Northrop Grumman Corp.	Falls Church, Virginia	Legal advice
14	ArcelorMittal USA LLC	Chicago, Illinois	Legal advice
15	Urban Institute	Washington, District of Columbia	Legal advice

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
16	General Dynamics Electric Boat	Groton, Connecticut	Legal advice
17	National Railroad Passenger Corp. (Amtrak)	Washington, District of Columbia	Legal advice
18	Accenture	Chicago, Illinois	Legal advice
19	Blackberry Ltd.	Waterloo, Canada, Outside U.S.	Legal advice
20	Chevron USA	San Ramon, California	Legal advice
21	Deere & Company	Moline, Illinois	Legal advice
22	Fujifilm Holdings Corp.	Tokyo, Japan, Outside U.S.	Legal advice
23	Goldman Sachs & Co.	New York, New York	Legal advice
24	Infineon Technologies	Neubiberg, Germany, Outside U.S.	Legal advice
25	Maxus Energy Corp.	Houston, Texas	Legal advice
26	Navajo Nation	Window Rock, Arizona	Legal advice
27	Northwest Federal Credit Union	Herndon, Virginia	Legal advice
28	Official Committee of Unsecured Creditors of UCI International	Bannockburn, Illinois	Legal advice
29	Rail.One USA Corp.	Clinton, Iowa	Legal advice
30	Softbank Group Corp.	Tokyo, Japan, Outside U.S.	Legal advice
31	Toshiba Corp.	Tokyo, Japan, Outside U.S.	Legal advice
32	Booz Allen Hamilton Inc.	McLean, Virginia	Legal advice

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
33	Cadila Pharmaceuticals	Ahmedabad, Gujarat, India, Outside U.S.	Legal advice
34	China Zhongwang Holdings	Liaoyang, Liaoning, China, Outside U.S.	Legal advice
35	Kevin Bertram	Washington, District of Columbia	Legal advice
36	Confidential clients are not disclosed, 4 because they are subject to non-public investigations and 1 because of a grand jury investigation = 4 individuals and 1 entity		Legal advice (clients of Jenner & Block LLP)
37	Confidential client is not disclosed because s/he are subject to non-public investigation = 1 individual		Legal advice (client of Morrison & Foerster LLP)
38	Central Care, Inc.	Alexandria, Virginia	Legal advice

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	George Washington University Law School	N/A		salary	
2	Hofstra University - April 25, 2016	N/A		Honorarium	\$1,225
3	Stanford University - Sept. 23, 2016	N/A		Honorarium	\$300
4	Harvard University - Aug. 4, 2016	N/A		Honorarium	\$500
5	George Mason University - Sept. 9, 2016	N/A		Honorarium	\$1,000
6	University of Texas - Feb. 17, 2017	N/A		Honorarium	\$6,000
7	Collective Judgment LLC (Computer Systems Design Related Services)	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	TIAA-CREF Equity Index R3	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	TIAA-CREF Equity Index R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	CREF Growth R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	CREF Global Equities R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	CREF Bond Market R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Fidelity Freedom K 2035 Fund (FKTHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Fidelity 500 Index Fund Institutional CL (FXSIX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
15	TIAA Traditional Guaranteed	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	CREF Growth R3	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	American Funds New World Fund CL R-6 (RNWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Vanguard Extended Market Index Fund Institutional Shares (VIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Fidelity Emerging Markets Index Fund Premium CL (FPMAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
20	Perspective on Patentable Subject Matter - Cambridge University Press 2014 (value not readily ascertainable)	N/A			None (or less than \$201)
21	Predictocracy - Yale University Press 2008 (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	529 Plan: Virginia	No			
1.1	International Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Stock Index Portfolio	Yes	\$250,001 - \$500,000		None (or less than \$201)
2	Family Trust (1/3 interest in John Hancock Life Insurance Survivorship Flexible Premium Universal Life insurance)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	U.S. bank account #2 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	Brokerage account #1	No			
5.1	Fidelity Capital Appreciation Fund (FDCAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5.2	Fidelity Extended Market Index Fund Premium Class (FSEVX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
5.3	Fidelity International Index Fund Premium CL (FSIVX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
5.4	Fidelity 500 Index Fund Premium CL (FUSVX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6	Bitcoin holdings held in Coinbase	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Mortgage	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2011	4.375%	30 year fixed
2	Wells Fargo Home Equity Account	Exercised Line of Credit	\$250,001 - \$500,000	2011	4.88%	Rolling
3	Citibank (paid in full April 2017)	Capital Account loan	\$250,001 - \$500,000	2016	1.25% over the 1-month LIBOR rate	Rolling

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	3.1	This is currently being rolled over to a Fidelity IRA (initially in an unfunded core position).
2.	4	This was rolled over on May 24, 2017 into a Fidelity IRA

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
