

UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF POSTSECONDARY EDUCATION

THE ASSISTANT SECRETARY

September 13, 2019

The Honorable Lamar Alexander Chairman Committee on Health, Education, Labor & Pensions United States Senate Washington, D.C. 20515

Dear Chairman Alexander:

In response to your questions surrounding funding for Title III Part F of the Higher Education Act, I am submitting the following information and visuals for your contextual information:

- While FY 2019 is the final year of the Student Aid and Fiscal Responsibility Act (SAFRA) section 371 mandatory funding, this is two-year funding and therefore available for obligation by the Department in the next succeeding fiscal year (see Section 371(b)(1)(B)).
- While we cannot conduct competitions for new awards in FY 2020 using carryover funding (see Section 371 (b)(1)(A)), we do have the flexibility to carry FY 2019 funding into FY 2020 for obligations associated with non-competing continuation (NCC) awards and supplemental awards (provided all funds are obligated by September 30, 2020).
- Formula-based grants to Historically Black Colleges and Universities (HBCUs) and Tribally-Controlled Colleges and Universities (TCCUs) are on a different award cycle (with the final year of mandatory funding scheduled for award in FY 2019; i.e., before September 30, 2019) due to the fact that we were able to make initial awards in FY 2010.
 - o HBCUs and TCCUs grantees will receive the last year of their SAFRA funding before September 30, 2019 for project year October 1, 2019 September 30, 2020.
- The competitive Asian American and Native American Pacific Islander Serving Institutions (AANAPISI), Native American Serving Non-Tribal Institutions (NASNTI), Predominantly Black Institutions (PBI), Alaska Native and Native Hawaiian (ANNH), and Hispanic-Serving Institutions (HSI) STEM programs had to wait until FY 2011 to make initial awards because there wasn't sufficient time to complete competitions in FY 2010.

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There have been multiple competitions for these programs over the past decade, but all currently funded institutions will receive their final NCCs in FY 2020 (PBIs and HSI STEM grantees will also receive supplemental awards) with funds carried over from FY 2019 for project year October 1, 2020 – September 30, 2021.

If my office can provide further information, please let me know.

Respectfully,

Robert L. King

Assistant Secretary

Office of Postsecondary Education

Title III Part F Funding

Program	Appropriations FY 2018 (carry-over)	Appropriations FY 2019 (carry-over)
	(FY 2019-2020 grantee performance)	(FY 2020-2021 grantee performance)
AANAPISI	\$4,756,188 (14 grants)	\$4,583,812 (14 grants)
NASNTI	\$4,161,192 (12 grants)	\$4,670,000 (12 grants)
ANNH	\$4,556,868 (12 grants)	08 NCCs \$4,967,181 (8 grants)
PBI	\$13,822,662 (24 grants)	\$14,070,000 (1 grant/23 supplements)
HSI-STEM	\$90,340,837 (91 grants)	\$88,357,437 (91 grants)
TCCU	\$28,140,000 (35 grants)	N/A
HBCU SAFRA	\$79,730,000 (97 grants)	N/A

