OGE Form 278 (Rev. 12/2011)

Form Approved:

OGE Use Only

NOV 2, 2016

5 C.F.R. Part 2634 U.S. Office of Government Ethics	Executive Branch	Personnel PUBLIC	FINANCIAL D	DISCLOSURE REPORT	OMB No. 3209-0001
Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) 07/01/2013	Reporting Status (Check Appropriate Boxes)	Covered by Report	New Entrant, Nominee, or Candidate	Filer cable) (Month, Day,	
Reporting Individual's Name	Last Name Rice		First Name and Middle Susan, E	1	to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period,
Position for Which Filing	Title of Position National Security Advisor		Department or Agency White House		shall be subject to a \$200 fee. Reporting Periods Incumbents: The reporting period is
Location of Present Office (or forwarding address)	Address (Number, Street, City, S 1600 Pennsylvania Avenu	state, and ZIP Code) ue NW, Washington DC 205	02	Telephone No. (Include Area Code) 202-456-1414	the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s)				Schedule D is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Commit	ttee Considering Nomination	Do You Intend to Creat Yes	e a Qualified Diversified Trust? X No	at the date of termination. Part II of Schedule D is not applicable.
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. Other Review (If desired by agency) Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below) Office of Government Ethics Use Only Comments of Reviewing Officials (If additional contains of the compliance with applicable laws and regulations (subject to any comments of the compliance with applicable laws and regulations (subject to any comments of the compliance with applicable laws and regulations (subject to any comments of the compliance with applicable laws and regulations of Government Ethics Use Only	Signature of Other Reviewer Signature of Designated Agency Signature	Ethics Official/Reviewing Official		Date (Month. Day. Year) Date (Month. Day. Year) L	Nominees, New Entrants and Candidates for President and Vice President: Schedule AThe reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule BNot applicable Schedule C, Part I (Liabilities) The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)Show any agreements or arrangements as of the date of filing. Schedule DThe reporting period is the preceding two calendar years and
*Timely filed o	n 4/11/14. ww	(4 see 2	box if filing extension grante	d & indicate number of days)	the current calendar year up to the date of filing. Agency Use Only

(Check box if comments are continued on the reverse side)

	porting Ind ce, Susa	n												5	SC	HE	ED	UL	E	A													Page Number	
		Assets and Income			a			tion of re		ing										i e: t								r tha		m.	\$20	1)" i	s checked,	
reprovaling in will be the control of the control o	poort each and control of the contro	C, also report the source and actual arned income exceeding \$200 (other to U.S. Government). For your spouse, ource but not the amount of earned fore than \$1,000 (except report the form of any honoraria over \$200 of	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,000 - S1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	S201 - S1,600	\$1,001 - \$2,500	\$2,501 - \$5,000	S5,001 - S15,000	515,001 - 550,000	S50,001 - S100,000	000'000'15 - 100'001S	Over \$1,000,000*	51,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Dav. Yr.) Only if Honoraria
Ex	amples	Central Airlines Common Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund			x	X	x			_ _ _					x			<u>x</u>		-				X	 x	x							Law Partnership Income \$130,000	
1	ISHAR	ES MSCE EAFE ETF						x							x			x								x								
2		DOW JONES INDLETF UT ERR 1						x							x			x								x								
3	CANAL	DIAN IMPERIAL BANK COMMERCE ON							×									x									x							
4	BROOK VTG	KFIELD ASSET MGT CLASS A LTD							×									x								×								
5		OF NOVA SCOTIA COMMON							×									×									x							
6	S. I San	IAL OIL LTD COMMON							×									x								×								
*	This cate	gory applies only if the asset/income is	solel	y tha	it of	the I	filer	s spo	oric	or de	epen	dent	chil	dren	. If	the a	asset	/inco	me	is eil	her	that	of th	e file	er or	join	tly h	eld						*

Rep	oorting Individual's Name										9	SC	HE	D	UL	E	A	con	ntin	ue	d		*									Page Number		
Ric	ce, Susan														on																		3	
	Assets and Income BLOCK A		an va	at		se o	tion f rep	orti	ng p																		r tha		em.	\$20	1)" i	s checked,		
																		Ty	/pe			- Control		100.00		10000000		Amo	ount					1000 1
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	SS00,001 - S1,000,000	Over \$1,000,000*	51,060,001 - \$5,000,000	\$5,000,001 - \$25,000,000	525,600,061 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	550,001 - 5100,000	\$100,001 - \$1,000,000	Over S1,000,000*	51,000,001 - 55,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Dav, Yr.) Only if Honoraria
1	GREAT WEST LIFECO							x									×									x			itski e					
2	CENEVUS ENERGY COMMON		0.0000000000000000000000000000000000000					x									x									x								
3	ENBRIDGE INC COMMON								x								x										x							
4	ROYAL BANK CDA COMMON								X		-						x											X						
5	CDN PACIFIC RAILWAY COMMON								x								x										х							
6	TORONTO DOMINION BK COMMON								x								×											x						
7	ROYAL TRUST CORPORATION OF CANADA CASH CDN				x														x			x												
8	ROYAL TRUST CORPORATION OF CANADA CASH US		×																x		х				53									23
9	CDN TIRE CORP CLASS A NON VTG			x													x						х											
	This category applies only if the asset/income i the filer with the spouse or dependent children														he as	sset/	inco	me i	s eitl	ner 1	hat c	of the	file	er or	join	tly h	eld	ungerell.		second (di				

1000	porting Individual's Name ce, Susan	SCHEDULE A continued (Use only if needed)															Page N	lumber 4																
	Assets and Income			at		se o	f re		Assing									othe	r ent								r tha B	t ite LOC	em. K C	\$20	1) " i	is chec	ked,	
		None (or less than \$1,001)	51,001 - 515,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	5500,001 - 51,000,000	Over \$1,000,000*	S1,000,001 - S5,000,000	SS,000,001 - S2S,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest		None (or less than \$201)	\$201 - \$1,000	S1,001 - S2,500	\$2,501 - \$5,000	S5,001 - S15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	(Other Income Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	SPDR DOW JONES INDL ETF UT SER 1				x									×			×						x											
2	ENCANA CORPORATION COMMON			×													x						x											
3	TRANSCANADA CORPORATION				x												×							×										
4	BANK OF MONTREAL COMMON					x											x							x										
5	BANK OF COMMERCE COMMON					×											×								x									
6	BANK OF NOVA SCOTIA COMMON					x											x								x									Øedistrees
7	BROOKFIELD ASSET MANAGEMENT CLASS A LTD VTG					×	27										×							×										
8	GREAT WEST LIFECO COMMON					X											x								x									
	IMPERIAL OIL COMMON This category applies only if the asset/income is so	Oel	thu.	01.1	20 5	X	cno	100	p do		ant	obile.	***		10.2	cet	X	ne	c oit	97.1	102		X			10,1-	512							÷
hv	the filer with the spouse or dependent children, w	ark	the c	ther	hin	ner c	ajzu	orice	of a	rahua	ne	anni	mull.	ato.	iie di	13EU	IIICU!	ric I	a willi	ei (l	iat 0	ı uic	:110	ı VI	pitti	uy II	ulu							

Rej	porting Individual's Name											SC	HE	CD	III	.F.	Δ	COL	itin	110	d									***		Page Number		
Ric	ce, Susan	SCHEDULE A continued (Use only if needed)															5																	
	Assets and Income BLOCK A			at		se o	f re		Assing				7.5						e: ty								r tha		m.	\$20	1)" i	s checked,		
																		Ty	pe									Amo	unt					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	5500,0001 - 51,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over S50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	S1,001 - S2,500	\$2,501 - \$5,000	S5,001 - S15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	81,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Dav. Yr.) Only if Honoraria
1	VANGUARD FTSE EMERGING MARKETS ETF					x								х			x								x									
2	CENOVUS ENERGY COMMON					x											x								×									
3	SPDR S&P 500 ETF TR UNITS ER 1 S&P						x							x			х								x						×.			
4	ISHARES MSC EAFE ETF							x						X			x									x								
5	ENBRIDGE INC COMMON						x										×									×								
6	ROYAL BANK CDA COMMON							x									x									x								
7	TORONTO DOMINION BANK COMMON									x							×									x								
8	CDN PACIFIC RAILWAY COMMON									x							×								x									
9	ROYAL TRUST CORP OF CANADA CDN CASH							x											x					x										
by	This category applies only if the asset/income is s the filer with the spouse or dependent children, m	olely nark	that	of t	he fi	ler's ner c	spo	use o	or de	peno	lent e, as	chile	iren. ropri	If ate.	he a	sset/	inco	me i	s eith	er t	hat c	of the	file	ror	joinl	tly h	eld					fatoria ita da da		

1 '	porting Individual's Name										1	SC			UI e on					nue	d					1						Page	Number 6		
	Assets and Income BLOCK A			al	Va t clo	se o	f rep	of porti	ing									othe	r en		and is no						or th	at ito	em. EK C)1)" i	is che	eked,		
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000	S250,001 - S500,000	5500,001 - 51,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	525,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than S201)	5201 - 51,000	\$1,001 - \$2,500	52,501 - 55,000	55,001 - 515,000	\$15,001 - \$50,000	S50,001 - S100,000	S100,001 - S1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)	(Mo	Date Dav. Yr.) nly if noraria
1	ROYAL TRUST CORP OF CANADA CASH US						x												X		×														
2	WALKER DUNLOP			×																	x														
3	UBS BANK USA DEP ACCT (dc)			×															x		x														
4	FANNIE MAE (dc)	×																			x														
5	FRONTIER COMMUNICATION CORP	×															x				x												•		
6	MCGRAW HILL FINANCIAL INC (dc)			x													x					x													
7	WALT DISNEY CO (dc)			×													x					x													
8	VANGUARD TOTAL STOCK MKT ETF (dc)			x													X					x													
9	UBS BANK USA DEP ACCT			×															X		x														
by	This category applies only if the asset/income the filer with the spouse or dependent children	is solely n, mark	y tha	t of	the f	iler's her c	spo	use o	or de	pene	dent e, as	chile	dren ropr	. If	the a	ssct	inco	me i	s eit	her	that	of th	ie fil	ег о	joir	tly l	reld	-				-			

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																		T	ype	Tarriera -		Same		1003000		260050		Am	ount	1888048	_	T		
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	S250,001 - S500,000	5506,001 - 51,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Ousilified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than S201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	55,001 - 515,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$1,000,000	Over S1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	FANNIE MAE	x																			x													
2	FRONTIER COMMUNICATIONS CORE	×																				x												A1C
3	MCGRAW HILL FINANCIAL INC			×													×					x												
4	VANGUARD TOTAL STOCK MKT ETF			×										x			x					x												
5	VCSP COLLEGE AMERICA 529 (underlying assets follow													x																				
6	AMCAP FUND 529c			x										x						X				X										
7	THE BOND FUND OF AMERICA - 5290			×										×			x					x											I I	
8	CAPITAL WORLD BOND FUND - 529c			x										x			x				X						1000							
9	CAPITAL WORLD GROWTH AND INCOME - 529c			x										x			x			x		x												
* b	This category applies only if the asset/income the filer with the spouse or dependent children															asse	t/inco	ome	is cit	her	that	of th	ie fil	er oi	joir	tly h	eld	1000000		(100000				·

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	Assets and Income BLOCK A			at		se o	f rep	of porti	ing (othe	r en								or the	at ite BLOC	em. K C		1)" i	is ch	ecked,	
																		Ty	pe	20002		200.0		Service.		Marks.		Amo	ount	Laborator I	r			
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	S500,001 - S1,000,000	Over S1,000,000*	\$1,000,001 - \$5,000,000	S5,000,001 - S25,000,000	525,006,001 - 550,006,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	550,001 - \$100,000	\$190,001 - \$1,000,000	Over S1,000,000*	51,090,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	FUNDAMENTAL INVESTORS - 529c				x									x			x			x		x												
2	GROWTH FUND OF AMERICA - 529c					x								x						x					x									
3	THE INVESTMENT COMPANY OF AMERICA - 529c			x										x			×			x		x												
4	SMALLCAP WORLD FUND - 529c				X									x			x				X													
5	RBC SELECT BALANCED PORTFOLIO					×								X			x				×													
6	ARRINGTON OIL AND GAS (oil and gas lease, Ward County, TX)		x															X						x										
7	SILVER CREEK OIL AND GAS (oil and gas lease, Grayson County, TX)		x															x				x												
8	JETTA OPERATING CO (oil & gas lease Grayson County , TX)	,	x						Ì				43		1000			x			×													
9	KELVIN CREEK TIMBER, Victoria, CDA							x									x				x													
* by	This category applies only if the asset/income is the filer with the spouse or dependent children	s solely , mark	tha	t of t	he fi high	ler's her c	spor	use o	of v	pend /alue	ent e	chile appr	ren.	If ate.	the a	sset	inco	me i	s cit	her	hat	of th	e file	er or	join	tly h	eld							•

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Date (Mo., Day, fy & Only if Honoraria
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	Assets and Income BLOCK A			at		lua se o		orti	ing p								Inc no o										r tha		em.	\$20	1)" i	s checked,		
																		Ту	pe	NAME OF TAXABLE PARTY.				Land South		000000		Ame	ount	Forest			\Box	34.1
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$106,061 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	S5,001 - S15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Dav. Yr.) Only if Honoraria
1	RBC PRIVATE INCOME POOL SERIES	0		×										x			x					x												1 1
2	RBC INTERNATIONAL EQUITY FUND S	SR				x								x			x			x						x								
3	ISHARES RUSSELL 1000 ETF				×				25.5					x			×			x							x							
4	RBC US MID-CAP EQUITY FD US SERIES O			×										x			x			x						x								
5	DISNEY SAVINGS AND INVESTMENT PLAN 401K (spouse) Underlying assets follow:																																	
6	FIDELITY CAP APP UNITIZED						x							x	D)		x				X													
7	DISNEY STOCK - ESOP					x											x				X													2 22 22 22
8	BTC LIFEPATH 2025 Q			x										×			x				X													
9	PNC CASH ACCOUNTS				x														x		x													
	This category applies only if the asset/income in the filer with the spouse or dependent children														the a	sset	inco	me i	s cit	ier i	that	of th	e fil	er or	join	tly h	eld					<u> </u>		

	ce, Susan											SC					A f nee			iue	d											Pag	ge Number	1	
	Assets and Income			at		se o	ſ rej	of porti	ing					500													or th	at it		\$20	1)"	is cl	hecked,		
																		Т	pe	i disa		Section .		15-85%		Freeze		Am	ount	150.500					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000	5250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	51,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	525,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than S201)	5201 - 51,000	\$1,001 - \$2,500	\$2,501 - \$5,000	55,001 - 515,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)	(Mo., Yr	ly if
1	TD BANK CASH ACCOUNT		x																x		х												(U.S. 104		
2	CAREER CORP						x														x												7778		
3	WASHINGTON INVESTMENT GROUP PARTNERSHIP	×																x	x						x										
4	TRIPOINTE CAPITAL PARTNERS LLP (Hale and Hearty Restaurant, New York, NY)			x																	x														
5																			6														781		
6	MARJORIE CAMERON TRUST CDN CASH		x																×		x														
7	MARJORIE CAMERON TRUST US CASH		x																x		x														
8																																			
9																																	- 6		
* by	This category applies only if the asset/income is the filer with the spouse or dependent children,	solel mark	the	t of	the f	iler's	spo	use o	or de s of	epen valu	dent e, as	chil app	dren	. If iate.	the	asset	Vince	me	is eit	ther	that	of th	e fil	er or	r joir	uly l	ield								

OGE Form 278 (Rev.	12/2011)
5 C.F.R. Part 2634	
U.S. Office of Govern	ment Ethic

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

U.S. Office of Government Ethics																		
Reporting Individual's Name													Page N	umber	-0.40	W. Charge	***	
Rice, Susan	SCHEDULE B																	
Part I: Transactions							N	one										
Report any purchase, sale, or exchange by you your spouse, or dependent children during the	Do not report a transaction used solely as your person		Transaction Type (x) Amount of Transaction (x)															
period of any real property, stocks, bonds, confutures, and other securities when the amount transaction exceeded \$1,000. Include transactive suited in a loss.	umodity transaction solely between dependent child. Check the	you, your spouse, or ne "Certificate of	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	- 100	\$15,001 -	2100,000	\$100,001 - \$250,000	\$500,000	\$500,001 - \$1,000,000	oo,000*	\$5,000,000	\$5,000,001 -	\$25,000,001 -	Over \$50,000,000	Certificate of divestiture
	Identification of Assets		집	SS	ឃ		S1.	SS	S	525	\$25	\$50 \$1.	Š Š	\$1, \$5,	\$5,	\$2.50	\$ 6	हैं उ
Example Central Airlines Common	7		х			2/1/99			X									
international equity merged with intern	UITY POOL TO RBC INTERNATIONAL ational equity fund)	EQUITY FUND (private			X	11/30/15	T			×			1					
2 RBC US MID CAP EQUITY FUND S	ERIES O			Х		12/24/15		X										
RBC US MID CAP EQUITY FUND SERIES O						12/29/15		х										
4 RBC US MID CAP EQUITY FUND SE	RIESO			X		3/11/15	X											
5 ISHARES RUSSELL 1000 ETF						12/24/15		X										
* This category applies only if the underlying by the filer or jointly held by the filer with the					eithe	held												
Part II: Gifts, Reimburseme	ents, and Travel Expenses			11						o_H								
For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.									Ň	lone [X							
Source (Name and Address				Впе	f Desc	приоп			V/8V					11.00			Value	
Examples Nat'l Assn. of Rock Collectors, NY Frank Jones, San Francisco, CA	Nat'l Assn. of Rock Collectors, NY, NY Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)								\$500 \$385									
1																		
2																		
3																		
4																		
5											<u>)2</u> 550							
											-5-50							

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Rice, Susan	SC			Committee of the commit	3 continu	nued Page Number											
Part I: Transactions																	
			ansact							Amount of Transaction (x)							
Mantifican	ion of Assets	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	\$1,001 -	\$15,001 -	\$50,001 -	\$100,001 -	250,001 -	\$500,001 -	Over \$1,000,000*	1,000,0001	5,000,0001 -	\$25,000,001 -	Over \$50,000,000	Certificate of divestiture
1 ISHARES RUSSELL 1000 ETF	ION OF ASSCIS		X	111	3/11/15	N N	X	is is	in in	ક્લે કલ	64 64	0 %	50 50	24 EA	ध्ये ध्ये	0 %	<u>0</u> ⊕
2 ISHARES S&P/TSX 60 INDEX ETF			х		12/24/15			X									
3 RBC INTERNATIONAL EQUITY FUN	ID SR O		Х		12/24/15	_			Х								
4 ISHARES S&P/TSX 60 INDEX ETF	9.5	X			12/29/2015			х							\vdash		
5 RBC INTERNATIONAL EQUITY FUN	ID SR O	X			12/29/2015				Х								\vdash
6 RBC US MID CAP EQUITY FUND SI	ERIES O	х			12/29/2015		Х										
7 ISHARES RUSSELL 1000 ETF		х			12/28/2015			х									\vdash
B ISHARES S&P/TSX 60		х			3/11/2015	Х											
9 RBC PRIVATE CANADIAN MID CAP	EQUITY POOL SERIES O	х			3/11/2015	Х				1						16	
10 RBC PRIVATE INCOME POOL SER	ES O	Х			3/11/2015	Х						П					
11			Г														
12	, , , , , , , , , , , , , , , , , , ,																
13																	
14																	
15				-				-									
16				-					1.0								
* This category applies only if the underlying by the filer or jointly held by the filer with the	asset is solely that of the filer's spouse of	or depend	ent c	hildre	n. If the unde	rlying	asset i	s eithe	r held								1

	oorting Individual's Name ce, Susan	SCHEDULE C														
Re	art I: Liabilities port liabilities over \$10,000 owed to any one	personal residence unless it is rented out;		Nor	ne X				Catao		A	1/-	due (es)	40		
cre	ditor at any time during the reporting period	loans secured by automobiles, household	Trong Line			Category of Amou						nt or Value (x)				
Ch	you, your spouse, or dependent children. eck the highest amount owed during the orting period. Exclude a mortgage on your	furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.		Interest	Term if	- 100.	\$15,001 - \$50,000	\$50,001 - \$100,000	- 100'0	\$250,001 - \$500,000	\$500,000 - \$1,000,000	Over \$1,000,000*	- 100,000	\$5,000,001 - \$25,000,000	\$25,000,001 -	Over \$50,000,000
	Creditors (Name and Address)	Type of Liability			applicable	S10 S15	\$15 \$50	\$50 \$10	\$10 \$25	\$25 \$50	\$50 \$1,0	0,00	S1.0 S5.0	S5,(\$25 \$50	Ovc 550
Ex	amples First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.	1		_x_								
- 3	John Jones, Washington, DC	Promissory note	1999	10%	on demand					х						
1												1				
2			+			\vdash							\vdash			
3																
4						\vdash				\vdash						\vdash
5						\vdash								 		
. 7				<u> </u>			NI NI							New .		
wi	th the spouse or dependent children, mark the	ely that of the filer's spouse or dependent children. If the liability is other higher categories, as appropriate.	is that of th	e filer or	a joint liab	ility of t	ne III	er								
	art II: Agreements or Arran															
	port your agreements or arrangements for: (1)	0	of absen	ce and (4) future em	nlovme	nt Sc	e instr	uction	s recar	rding t	he ren	orting			
	ployee benefit plan (e.g. pension, 401k, defern				r any of the						. d	ile tel	orung			
of	payment by a former employer (including seve	erance payments); (3) leaves			1.5											
							***************************************		W-99		N	lone	<u></u>			
		Status and Terms of any Agreement or Arrangement					-54			ırtics						ate
1	Pursuant to partnership agreement, wi calculated on service performed through	ill receive lump sum payment of capital account & partnership share			Do	e Jones &	& Smith	h, Hom	ctown,	State					7.	/85
1	1 CONTINUING PARTICIPATION IN EMPLOYEE BENEFIT PLAN TIAA-CREE SINCE HER TERMINATION OF															
		IENT, NO FURTHER CONTRIBUTIONS HAVE BEEN MADE BY THE FILER OR THE EMPLOYER BROOKINGS INSTITUTION							01	/03						
2																
3																
4	- 100 W	27.02														
5			v -e													
6						J.										

	E Form 278 (Rev. 12/2011)					
	F.R. Part 2634 C. Office of Government Ethics					
_	porting Individual's Name			Mary 19 12 12 12 12 12 12 12 12 12 12 12 12 12	[Page Number	
Ri	ce, Susan		SCHEDULE D		14)	
Re	art I: Positions Held Outsi port any positions held during the applicabl impensated or not. Positions include but are rector, trustee, general partner, proprietor, re	e reporting period, whether not limited to those of an officer,	consultant of any corporation, firm, partners non-profit organization or educational instit social, fraternal, or political entities and tho	tution. Exclude positions with religious,	None	e 🗖
-	Organization (Nan	ne and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Е	xamples Nat'l Assn. of Rock Collectors, NY, N' Doe Jones & Smith, Hometown, State	<u>Y</u>	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	RICE CAMERON FAMILY FOUNDAT	ION	NON PROFIT FAMILY FOUNDATION	TRUSTEE	12/2008	PRESENT
2						
3						
4						
5						
6		* **				
	art II: Compensation in E			Do not complete ti	his part if you	are an
bu the	port sources of more than \$5,000 compensa siness affiliation for services provided direct reporting period. This includes the names reporation, firm, partnership, or other busines	of clients and customers of any senterprise, or any other	non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government a		Annual Control of the	lidate.
	Source (Name a	md Address)		Brief Description of Duties		***
Ex	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones	& Smith), Moneytown, State	Legal services in connection with university co	instruction		
Ľ			200			-
2				- 11		
3						