

Financial Disclosures

Periodic Transaction Report for 09/26/2020

The Honorable Rick Scott (Scott, Rick)

Filed 09/26/2020 @ 8:29 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (11 transactions total) 2 Self 0 Joint 9 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
11	09/16/2020	Self	--	New York City Municipal Water Fin Auth Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 06/15/2038	Municipal Security	Purchase	\$100,001 - \$250,000	--
10	09/16/2020	Spouse	--	New York City Municipal Water Fin Auth Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 06/15/2038	Municipal Security	Purchase	\$250,001 - \$500,000	--
9	09/16/2020	Self	--	New York State Urban Development Corp Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/15/2037	Municipal Security	Purchase	\$100,001 - \$250,000	--
8	09/16/2020	Spouse	--	New York State Urban Development Corp Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/15/2037	Municipal Security	Purchase	\$500,001 - \$1,000,000	--
7	09/16/2020	Spouse	--	New York State Urban Development Corp Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/15/2037	Municipal Security	Purchase	\$500,001 - \$1,000,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
6	09/16/2020	Spouse	--	New York State Urban Development Corp Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 03/15/2037	Municipal Security	Purchase	\$250,001 - \$500,000	--
5	09/03/2020	Spouse	--	New York State Dorm Auth State Personal Income Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/15/2027	Municipal Security	Purchase	\$500,001 - \$1,000,000	--
4	08/27/2020	Spouse	--	Ysleta Texas Independent School District Ref Bond (Exchanged) Ysleta Texas Independent School District Ref Bond (Received) <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 08/15/2031	Municipal Security	Exchange	\$1,001 - \$15,000	--
3	08/26/2020	Spouse	--	Ysleta Texas Independent School District Ref Bond (Exchanged) Ysleta Texas Independent School District Ref Bond (Received) <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 08/15/2031	Municipal Security	Exchange	\$500,001 - \$1,000,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
2	08/27/2020	Spouse	--	Ysleta Texas Independent School District Ref Bond (Exchanged) Ysleta Texas Independent School District Ref Bond (Received) <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 08/15/2031	Municipal Security	Exchange	\$1,001 - \$15,000	--
1	08/26/2020	Spouse	--	Ysleta Texas Independent School District Ref Bond (Exchanged) Ysleta Texas Independent School District Ref Bond (Received) <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 08/15/2031	Municipal Security	Exchange	\$250,001 - \$500,000	--